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Drought Monitoring in the Middle East and North Africa (MENA) Region: Participatory Engagement to Inform Early Warning Systems

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1 **Drought Monitoring in the Middle East and North Africa (MENA) Region:**
2 **Participatory Engagement to Inform Early Warning Systems**

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25 **Abstract:** When drought hits water-scarce regions, there are significant repercussions for food
26 and water security, as well as serious issues for the stability of broader social and environmental
27 systems. To mitigate these effects, environmental monitoring and early warning systems aimed
28 at detecting the onset of drought conditions can facilitate timely and effective responses from
29 government and private sector stakeholders. The study uses multi-stage, participatory research
30 methods across more than 135 interviews, focus groups, and workshops to assess extant climatic,
31 agricultural, hydrological, and drought monitoring systems, key cross-sector drought impacts,
32 and drought monitoring needs in four MENA-region countries: Morocco, Tunisia, Lebanon, and
33 Jordan. This extensive study of user needs for drought monitoring across the MENA region is
34 informing and shaping the ongoing development of drought early warning systems, a Composite
35 Drought Indicator (CDI), and wider drought management systems in each country. Over-arching
36 themes of drought monitoring needs include: technical definitions of drought for policy
37 purposes, information-sharing regimes and data-sharing platforms, ground-truthing of remotely-
38 sensed and modeled data, improved data quality in observation networks, and two-way
39 engagement with farmers, organizations, and end-users of drought monitoring products. This
40 research establishes a basis for informing enhanced drought monitoring and management in the
41 countries, and the broad stakeholder engagement can help foster the emergence of effective
42 environmental monitoring coalitions.

43
44 **Capsule Summary:** We found a range of user needs to inform the development of drought
45 monitoring and early warning systems in four countries in the Middle East and North Africa
46 (MENA) region through engagement with governmental, academic, civil society, private sector,
47 and international organizations.

48 **1. Introduction**

49 The Middle East and North Africa (MENA) region is classified as the world's most
50 water-stressed (World Bank 2017). MENA countries have about 6.3% of the world's population
51 yet only 1.4% of the world's renewable fresh water (Roudi-Fahimi et al. 2002). Evidence from
52 across the region suggests that recent decades have been among the driest in the past millennium
53 (Cook et al. 2016; Touchan et al. 2011), and the severity of droughts from this period is partially
54 attributable to anthropogenic climate change (Bergaoui et al. 2015).

55 With the dual pressures of climate change and demographic growth, water security is an
56 increasingly pressing concern (World Bank 2017). Already regional leaders identify water crises,
57 of which drought is a precipitating factor, as the most important threat for which they are the
58 least prepared (World Economic Forum 2015). Globally, the World Economic Forum's (2018)
59 Global Risk Report identifies extreme weather events as the most likely and second most
60 impactful risk.

61 Evidence from the region suggests droughts are among the costliest natural hazards in
62 economic and social terms. In MENA countries, droughts significantly affect national budgets,
63 export earnings, and import bills (e.g., Baubion et al. 2017; Marx and Fouquet 2013). They also
64 contribute to social disparities, negative health impacts, rural outmigration, and broader political
65 disruption (Raleigh et al. 2010, Tangermann and Bennani 2016, Stanke et al. 2013, Weinthal et
66 al. 2015).

67 Given the region's inherent water scarcity and the scale and severity of drought impacts,
68 drought risk management is a critical undertaking, and one that is underpinned by effective
69 monitoring and early warning systems. The Integrated Drought Management Programme (IDMP,
70 see <http://www.droughtmanagement.info>), an international collaboration of agencies supporting

71 drought risk reduction and planning around the world, describes drought monitoring as the first
72 key component, or pillar, of drought risk management (Wilhite 2014). Past assessments have
73 described MENA drought monitoring systems as nascent, overly-reliant on precipitation-based
74 indices, and in great need of wider stakeholder involvement and participatory development
75 processes (e.g., Erian 2011, UN-ESCWA 2013, World Meteorological Organization 2006).

76 Because droughts are measured by their local effects, no single definition or indicator
77 alone is sufficient to capture the full range of drought impacts on a given sector (Wilhite 2000).
78 As a result, there has been a movement towards a Composite Drought Indicator (CDI) approach
79 that combines several indicators into a single product through a “convergence of evidence”
80 framework (Svoboda et al. 2002, Hayes et al. 2012).

81 However, bringing these indicators together requires the support of numerous agencies
82 and organizations. Such public participation in water management has been called “a nuisance
83 and a necessity” because it can slow decision-making (Newig and Fritsch 2009), but also results
84 in improved outcomes (Wall and Hayes 2016) and lasting resilience through collaborative
85 arrangements between the public and private sectors (Johannessen et al. 2014).

86 Pulwarty and Sivakumar’s (2014, p. 14) review of 21 drought early warning systems
87 from across the globe highlights that successful systems rely upon “multi-sectoral and
88 interdisciplinary collaboration among all concerned actors at each stage in the warning process.”
89 Ideally, development of drought early warning systems involves the end-users in their creation to
90 ensure that current conditions (as perceived by those affected) are built into monitoring systems.
91 This ultimately results in more accurate information that is more likely to be incorporated in
92 drought risk management policies or programs.

93 This paper presents stakeholder-identified needs to improve drought early warning
94 systems and their linkages to drought governance mechanisms in the MENA region. These
95 findings come from a participatory engagement process to develop improved early warning
96 systems including a CDI in four case study countries: Morocco, Tunisia, Lebanon and Jordan.
97 This research was a core component of a USAID-funded project to develop the MENA Regional
98 Drought Management Systems (MENA RDMS).

99 In addition to informing the development of drought early warning systems, the research
100 helped establish collaborative networks that will be critical to drive long-term drought
101 monitoring improvements and may contribute to the development of environmental monitoring
102 coalitions. The research contributes specifically to the literature on development of
103 environmental monitoring tools and more generally to discussions of water security and
104 management issues in the MENA region.

105

106 **2. Project structure and participatory approaches**

107 *2.1 Project background and structure*

108 The MENA RDMS project builds on past collaborations with Moroccan government
109 agencies and academic researchers to develop drought monitoring tools focused on rainfed
110 cereals and rangelands. These sectors form the basis of smallholder agricultural systems across
111 the MENA region and are therefore critical for food security and socio-economic stability
112 (Hazell et al. 2001). The Maroc CDI produced through that effort (Bijaber et al. 2018), which is
113 a 5x5km gridded dataset that can be produced monthly or more frequently, formed the initial
114 “template” for the MENA RDMS project.

115 The CDI produced through the MENA RDMS project primarily incorporates open-source
116 satellite and modeled data and, as a result, is not resource-intensive to produce. Initial inputs and
117 weights for the CDI were:

- 118 a. Vegetation stress 20% – NDVI anomaly derived from eMODIS (Senay et al. 2015)
- 119 b. Precipitation deficit 40% – SPI 2-month from CHIRPS (Funk et al. 2014)
- 120 c. Evapotranspiration anomalies 20% – evaporative stress index (Anderson et al. 2013)
- 121 d. Soil moisture anomaly 20% – Land Information Systems modelled data (Kumar et al.
122 2006)

123 Because CDI inputs are indicator anomalies relative to short- to medium-term baseline
124 conditions for the specific pixel, CDI outputs inherently reflect local drought as baselines shift
125 due to climate change. However, recent years will be the “leading edge” in this baseline shift,
126 and so one would expect more frequent occurrence of severe drought observations than
127 statistically normal given predictions of climate change impacts in the region (IPCC, 2014).

128 The MENA RDMS project followed the structure shown in Figure 1. The needs
129 assessments, the findings of which are reported here, sought participant information on current
130 and desired drought monitoring and management practices. These assessments informed the next
131 steps of the process: 1.) for the project team to work with central government agencies involved
132 in developing and producing the CDI operationally (hereafter core agencies) in each country to
133 tailor the CDI to national needs and incorporate it in drought governance structures, and 2.) the
134 launch of further studies on drought impacts and vulnerabilities to help identify priority impacts,
135 regions, sectors and populations as well as potential policy avenues to address the priorities.

136 Insert Figure 1 here

137 *2.2 Participatory approaches to developing environmental monitoring tools and coalitions*

138 In order to ensure early warning systems are effective and meet users' needs, it is critical
139 for those who design them to consider drought impacts on society, drivers of drought
140 vulnerability, and the wider social, environmental, and political milieu in which the monitoring
141 takes place (Bachmair et al. 2016). This requires effective engagement between government
142 agencies, civil society organizations (CSOs), the private sector, and research institutions
143 (Pulwarty and Sivakumar 2014). Participatory research methods can provide these required
144 information inputs and, through their engagement aspects, lay the foundation on which longer-
145 term environmental monitoring coalitions can develop.

146 Participatory research for drought monitoring involves working with stakeholders in an
147 empowerment aspect (capacity building) as well as incorporating participants' observations into
148 knowledge systems. Wide stakeholder involvement is a core feature of successful drought
149 monitoring regimes, and it contributes to more effective drought planning and management
150 (Wilhite et al. 2014). For example, researchers worked with the Hopi Native American
151 community in the Southwestern U.S. to incorporate local drought experiences and climatological
152 indicators (such as a rain gauge observer network) into drought monitoring and management
153 systems; this was an improvement because they found that the previously best-available product,
154 a national map of drought conditions, reflected regional trends but had failed to capture fine scale
155 detail needed for planning (Ferguson et al. 2016).

156 Drought management is inherently political, and given the stakes for society and sums of
157 money connected to intervention programs, it is a politically charged issue around the world
158 (Mount et al. 2016). To give a MENA example, public expenditure on drought emergency
159 responses in Morocco reached \$USD318 million in 1999-2001, an amount equal to 2.3% of total

160 government spending in 1999-2000 (Ouassou, 2007). Often only a disaster can provide the
161 political will and therefore policy window to amend drought monitoring and management
162 systems and create new, broad-based coalitions (Low et al. 2015; National Drought Policy
163 Commission 2000).

164 Indeed, the coalition surrounding water conservation and drought management in
165 American agriculture took decades to build, starting from the major Dust Bowl droughts of the
166 1930s. This grew over time to encompass regular drought monitoring activities (Wilhite et al.
167 2005) and is now codified in federal law as the United States National Integrated Drought
168 Information System (United States Congress 2006). Browne (1988, p. 186) articulates the
169 challenges well stating that “[t]he irony of coalitions, at least from the perspective of the
170 participants, is that on more complex policy problems few interests can live without them.”

171 Likewise, in Australia prior to the 1990s, drought management included crisis relief
172 responses driven largely by the perception that management or policies would have little effect to
173 reduce drought’s impacts (Botterill 2005). However, through improved early warning systems
174 and numerous small committee meetings, national dialogues, and international collaborations
175 (Ibid.), Australia incrementally introduced policies intended to incentivize risk management,
176 shift away from emergency assistance, and depoliticize the deployment of that assistance
177 (National Drought Program Reform Review Working Group, 2018).

178 Several countries currently follow an iterative process of engagement across stakeholder
179 groups with varied perspectives to improve drought monitoring. Brazil has built a monitoring
180 program for its semi-arid northeast region and plans to expand monitoring eventually to the rest
181 of the country (Hayes et al. 2017). The Brazilian monitoring program grew out of collaborations

182 and workshops facilitated by scientists, practitioners, academic experts, and development groups
183 within Brazil and from the U.S., Spain, and Mexico (Ibid).

184 Likewise, the governments of Morocco, Tunisia, Lebanon and Jordan are working to
185 improve drought monitoring and early warning systems by boosting local expertise and networks
186 with international support. Already, consultative bodies chaired by government agencies and
187 including CSOs, businesses, and other non-governmental institutions contribute to drought
188 declaration and mitigation planning in Morocco (Ouassou et al. 2007) and Tunisia (Louati et al.
189 2005). Various business sector representatives successfully lobby for interventions during
190 droughts in Jordan and Lebanon, which do not have explicit national drought management
191 policies or plans¹. That is, in Morocco and Tunisia, non-governmental stakeholders are actively
192 involved in decision-making discussions and implementation of decisions whereas in Jordan and
193 Lebanon, stakeholders can lobby for outcomes but are not actively part of the decision-making
194 process. These are meaningful beginnings to coalition-building and wider participation in policy
195 processes.

196 In order to obtain the most accurate and useful technical information about a problem that
197 has complex causes and effects, a network of experts must first identify interests, frame issues
198 for debate, and propose responses (Haas, 1992). Though effective drought monitoring requires a
199 cohesive network of observers (Svoboda et al., 2002), there is no single path to build this type of
200 network from the ground up. The nascent literature on participatory drought monitoring contains
201 examples of coalitions, networks, or observer groups and their specific objectives or modes of
202 understanding the drought problem context (e.g. McNeeley et al., 2016), but little emphasis on
203 how these groups were formed and how their needs were determined. Participatory research

¹ According to project interviews with Jordanian and Lebanese officials and civil society stakeholders.

204 approaches offer an avenue to assess the needs of in-country producers, and end users, of climate
205 information, and to subsequently modify the production of a drought monitoring tool.

206

207 **3. Methods**

208 The research fed into a technical development process, and so it required a progression of
209 engagement. The research started with face-to-face individual and group discussions (hereafter
210 called interviews) held from February to August 2016. Following the interviews, we conducted
211 interactive workshops and surveys of workshop participants in each country from October 2016
212 to January 2017. In interviews and workshops, the research team applied International
213 Association of Public Participation principles for engagement specialists².

214

215 *3.1 Interviews*

216 First we asked about drought impacts, secondly about existing drought monitoring
217 practices and drought monitoring needs, and finally about current drought management activities
218 and drought management needs. The purpose of this progression was to prime participants to
219 consider what drought monitoring components were most relevant based on drought impacts
220 they observe, and then to consider drought management in relationship to drought impacts and
221 existing and desired early warning systems. The level of technical detail, length, and depth of
222 discussion on each theme varied between interviews and depended on the specific participants.
223 For instance, farmer union representatives tended to focus heavily on drought impacts and
224 management whereas meteorologists focused heavily on drought monitoring themes.

² https://cdn.ymaws.com/www.iap2.org/resource/resmgr/Communications/A3_P2_Pillars_brochure.pdf

225 Interviewees consisted of a wide range of central and local government officials in
226 agricultural, water management, and meteorological roles, farmers and farmer union officials,
227 other CSOs, academics and researchers, private sector interests including agricultural finance
228 representatives, and other relevant stakeholders. In most cases, interviews had only government
229 officials or non-government stakeholders involved to avoid the potential reluctance of
230 interviewees to speak freely.

231 Central government officials nominated local government agencies to participate, and
232 CSOs facilitated the participation of local representatives and other organizations. For example,
233 in Tunisia, the national farmers' union (UTAP) organized the participation of its regional bodies
234 (URAP) as well as other civil society stakeholders. The Agricultural Engineers' Association did
235 the same in Jordan, and in Lebanon, the Chamber of Commerce, Industry and Agriculture played
236 the same role. In addition, we used pre-existing relationships to identify and include additional
237 interviewees who, in turn, provided suggested contacts for further engagement in a form of
238 snowball sampling. Ultimately, the broad group of stakeholders was intimately familiar with
239 drought topics and had wide-ranging perspectives. Table 1 provides the numbers and categories
240 of interviewees in each country and a breakdown of interviews conducted in the regions versus
241 in the capitals.

242 Insert Table 1 here.

243 Interview locations are shown in Figure 2. In each location, there were interviews with
244 local government officials and CSOs at a minimum. Locations were determined primarily by
245 core agencies' preferences and more general time and security constraints. The choice of
246 locations affected stakeholder participants and focused emphasis on locally relevant drought
247 impacts as well as monitoring and management themes.

248 In Tunisia, interviews were conducted primarily in the center of the country and spanned
249 the grain belt in sub-humid to semi-arid climatic zones. In Lebanon, interviews had roughly
250 equal regional representation with the exception of South Lebanon due to difficulty of access. In
251 Jordan, interviews focused on the Jordan Valley and highlands areas, the primary irrigated and
252 productive rainfed lands. Because of Moroccan agencies' previous CDI development work and
253 regional validation efforts (Bijaber et al. 2018), interviews there were limited in scope primarily
254 to central government agencies. Responses from Morocco therefore represent a narrower set of
255 issues and interests compared to the other countries. The results presented in Sections 4.1 to 4.3
256 primarily stem from the interview findings, and the discussion section relates those findings to
257 wider themes.

258 Insert Figure 2 here.

259 *3.2 Workshops*

260 Following the interviews, workshops were held in each country to 1.) present interview
261 findings and obtain feedback on them, 2.) elicit participants' concerns and needs for the CDI to
262 inform the technical teams' CDI development process, and 3.) to structure ongoing collaboration
263 for early warning systems development. Workshop participants completed a survey that provided
264 information to confirm and add to the list of drought impacts identified in the needs assessments,
265 rank their relevance, and provide a self-assessment of institutional capacity to monitor them.

266 Given that the workshops were designed to feed into the CDI technical development
267 process, participants consisted primarily of government officials, though in each country,
268 researchers and CSO representatives were involved as well. Workshops had various participatory
269 components to ensure that individuals could not dominate the proceedings and conversations,

270 and surveys provided further avenues for feedback and information to drive the technical
271 development process.

272 Survey results are primarily reflected in Section 4.1, and the workshop results structured
273 ongoing drought monitoring development and its potential connection to drought management as
274 presented in Sections 4.4 and 4.5

275

276 *3.3 Data collection and analysis*

277 The interviews and workshops largely followed Squires' (2010) methodological
278 guidelines for cross-language qualitative research to minimize language barrier effects. The lead
279 author conducted interviews in participants' languages – a mix of Arabic, French, and English.
280 As a non-native but competent speaker of Arabic and French, the researcher repeated statements
281 back to participants to ensure mutual understanding and conceptual equivalence of responses
282 (ibid.). Bi-lingual research assistants were present for some engagements, which allowed post-
283 interview discussions to validate summary response notes. At workshops, professionally
284 accredited simultaneous translators were employed to facilitate researchers' engagement with the
285 participants. All documents provided to interviewees and workshop participants were in Arabic
286 or French as appropriate.

287 Interview summary responses were written in English. These were subsequently analyzed
288 using qualitative coding software (QSR NVivo), including inter-coder reliability checks made by
289 four analysts (Campbell et al. 2013). The primary codes were designed *a priori* (Saldaña, 2016)
290 with secondary codes developed by coder consensus with the emergence of respondents' themes
291 (Zhang and Wildemuth, 2009). An iterative reflection and the addition of secondary categories
292 ensured the most accurate results (Saldana 2016). This process was designed to group and assess

293 common themes for drought impacts and drought monitoring and management needs in
294 accordance with the IDMP pillars of drought management (Wilhite 2014).

295 **4. Results**

296 *4.1 Drought impacts*

297 Interview and workshop survey results identify a range of drought impacts and
298 contextualize how they overlap and interact with management responses to them. The interview
299 format allowed participants freedom to name impacts that concern them the most, and this varied
300 by sector or occupation. For example, in Mount Lebanon, participants from an agricultural
301 cooperative mentioned drought impacts connected to snow cover and plant phenological and
302 altitudinal changes whereas the chamber of commerce, industry, and agriculture representative
303 from the same area discussed fruit quality degradation and linked marketing challenges.

304 The broad sample of sectors engaged during the interviews resulted in a wide range of
305 impacts that cascade from the meteorological onset of drought into agricultural, hydrological,
306 ecological, and socio-economic domains. Table 2, based on the interview data, provides
307 examples mentioned in each category. Over time, many of these noted impacts of drought may
308 become the new normal conditions as climate baselines shift. Table 3 provides ranked
309 prioritization of drought impacts from surveys of stakeholder workshop attendees, primarily
310 government officials.

311 Insert Tables 2 and 3 here.

312 *4.2 Existing drought monitoring*

313 Modern regional meteorological and hydrological monitoring stations date to the late 19th
314 century but became widespread in the post-WWII era. Table 4 below describes the core climatic

315 and hydrological monitoring networks and regular vegetative condition monitoring in the project
316 countries as described in interviews and the literature.

317 Insert Table 4 here.

318 As described in interviews, agencies in all countries – with various periodicity, degree of
319 detail, and geographic specificity – produce data on precipitation and vegetation cover, and they
320 produce SPI and NDVI maps regularly. SPI is recognized as a core meteorological drought
321 monitoring index worldwide (Hayes et al. 2011) and is used as the primary trigger for national
322 drought management planning in Morocco and Tunisia (Ouassou et al. 2007; Louati et al. 2005).
323 Stakeholders in each country reported monitoring – again with varying degrees of periodicity,
324 degree of detail, and geographic specificity - evapotranspiration, land surface temperature fluxes,
325 wind speed and direction, river and wadi³ flows, spring discharge, groundwater levels, surface
326 and groundwater salinity, and *sirocco*⁴ events. The governments also collect a wide range of data
327 on agricultural, ecological, and socio-economic drought monitoring indicators. Table 5 presents
328 some of the indicators for which information is collected and notes those currently in use in
329 drought monitoring programs.

330 Insert Table 5 here.

331 In addition to, and sometimes in partnership with, governmental drought monitoring
332 programs, academic, CSOs, and private sector organizations also conduct both formal and
333 informal drought monitoring. For instance, in Tunisia, farmers union representatives have a
334 formal role in governmental drought monitoring regimes, and in Morocco, the private sector
335 insurance firm MAMDA assesses drought impacts on the ground in conjunction with
336 government officials to determine indemnification.

³ Ephemeral streams

⁴Desert wind and/or sandstorm – associated with high wind speed, low humidity, and high temperatures

337 Non-governmental stakeholders, particularly farmers, identified a wide range of drought
338 indicators they monitor. As climate change progresses, the relevance of some of these current
339 indicators of drought may change. Many of these drought indicators constitute local, traditional,
340 and historical knowledge of environmental conditions. Stakeholders across the countries
341 mentioned several in common:

- 342 • Characteristics of bee activity, condition, range, and honey quality
- 343 • Specific bird species' presence and migration timing
- 344 • Specific date of arrival and intensity of pest species
- 345 • Minimum and maximum altitude of specific plants
- 346 • Proportional makeup of rangeland plant species
- 347 • Rainfall intensity and seasonal distribution as well as snowpack and melting periods
- 348 • Discharge of specific local springs

349 *4.3 Early warning system needs*

350 Here we present descriptions of stakeholders' identified needs for improving early
351 warning systems. They relate primarily to the way in which stakeholders generate and share
352 information, the type of information produced, and how it is used in decision-making processes.

353 The analysis of interview and survey data produced a hierarchy of specific drought
354 monitoring and early warning needs for each country except Morocco as shown in Table 6.⁵ The
355 hierarchy indicates the proportion of interview data that specified needs within a given
356 component. While specific components were to a large extent country-specific, major
357 similarities in over-arching themes emerged, and these are explained further in the rest of the

⁵ We did not use the same method for Morocco because there were fewer interviews, the large majority of participants were central government officials, and agencies there already produce and utilize a CDI; this discrepancy is a research limitation.

358 section: drought definitions, information sharing; ground-truthing of remote sensing derived
359 information; data quality challenges; and inter-sectoral engagement.

360 Insert Table 6 here.

361

362 *4.3.1. Drought definitions*

363 In all countries, interviewees – both government officials and others – stated the need to
364 develop technical definitions of drought beyond precipitation deficit and seasonal SPI in order to
365 ease declaration processes, permit tiered intervention processes, and to increase demand from
366 policymakers for rigorous monitoring data. This is one of the primary connections between
367 drought monitoring and management as described further in Section 4.5. Stakeholders
368 particularly focused on the need to incorporate different types of drought impacts in these
369 definitions beyond meteorological and hydrological drought components. This theme was of
370 high importance in Morocco, among the highest-ranked drought management needs in Tunisia,
371 fourth in drought monitoring needs in Lebanon and third in Jordan. This theme was also raised
372 frequently and at length during interview discussions of drought management needs and in the
373 workshops.

374

375 *4.3.2. Information sharing*

376 Participants universally expressed the need to formalize and automate information-
377 sharing processes, and in all countries, they mentioned the potential of a drought data platform to
378 facilitate this objective. In all project countries, information-sharing within and between
379 government agencies, and between central and local government, is a stumbling block in
380 effective drought monitoring. The reasons for this barrier are varied and include the need to

381 purchase data, the need for formal institutional data requests, and the culture of data ownership
382 by producing agencies that leads to their unwillingness to share information.

383 In all countries, interviewees promoted the idea of creating a data-sharing platform to
384 solve this challenge by legally requiring specific agencies to submit data and then providing all
385 participating agencies with open access to compiled datasets and information. Interviewees
386 believed this would facilitate information collation and therefore be key to address the challenge
387 inherent in attempting to monitor and assess a much wider range of potential drought impacts
388 simultaneously. Addressing data-sharing was the most important theme in Morocco, the second
389 most important in Tunisia and Lebanon, and the third in Jordan.

390

391 *4.3.3. Ground-truthing remote sensing derived information*

392 Across the project countries, and especially in Tunisia and Lebanon, interviewees
393 expressed skepticism about the capacity of remote sensing and modeled data to provide the
394 relevant indicators at acceptable levels of accuracy, precision and geographic scale. Often, they
395 expressed this skepticism during discussion of highly localized climatic and hydrological
396 patterns and in relation to the geographically small range between agro-ecological zones and
397 transitional areas. As such, stakeholders said that gaining widespread buy-in of drought
398 monitoring using tools like the CDI would require adequate ground-truthing and validation to
399 assess the relationship between reported CDI values and drought effects. Stakeholders want to
400 ensure monitoring tool outputs accurately reflect the drought impacts that they see on the ground
401 including at least the meteorological, agricultural, and hydrological components.

402 This theme was frequently discussed in Morocco, although some CDI validation has
403 already taken place in the Oum Rabia basin. It was highly relevant in Tunisia, the most important

404 issue in Lebanon and the second most important issue in Jordan. This issue was particularly
405 salient in Lebanon because of the expressed need to create political demand for drought
406 monitoring data. Stakeholders there perceive that political decision-makers are not interested in
407 drought monitoring because they do not perceive drought as a significant problem for the
408 country. Stakeholders consider that assuring the validity of drought monitoring and impacts data
409 would help address this perceptions challenge.

410

411 *4.3.4. Data quality challenges*

412 Interviewees, especially technical government officials, CSO representatives, and
413 researchers, raised concerns about monitoring networks' (those described in Table 4) data
414 quality. The specific challenges differed between countries but revolved around a few specific
415 and consistent themes:

- 416 • Data reliability – e.g., related to the placement and calibration (or lack thereof) of
417 climate monitoring stations
- 418 • Monitoring data source bias – monitoring networks unevenly distributed in countries
- 419 • Data continuity and frequency of production
- 420 • Indicator and reporting unit consistency across levels of government
- 421 • Lack of electronic data management, as many data are still collected on physical
422 spreadsheets.

423

424 *4.3.5. Inter-sectoral engagement*

425 Despite significant differences in agro-economic systems between the countries,
426 participants uniformly expressed the need to improve engagement between farmers – and the

427 institutions that represent them and interact with them – and government agencies with regard to
428 drought monitoring. This generally reflects farmers’ desire to receive more useful and tailored
429 drought-related information, and to provide relevant information and therefore influence the
430 agencies in charge of drought assistance and relief. Likewise, it reflects government officials’
431 realization that farmers and those closest to them hold critical drought monitoring information
432 and understand drought impacts on the local scale, and that ultimately the private sector and civil
433 society drive drought management activities in the broadest sense. This theme was the most
434 important in Tunisia, fifth in Jordan and third in Lebanon.

435

436 *4.4 Early warning systems development using interview and workshop results*

437 At the time of writing, the International Center for Biosaline Agriculture was producing a
438 25x25km resolution monthly MENA regional CDI map.. Following the workshops described
439 above, the technical development phase in each country (refer to Figure 1) focused core agencies
440 on collaborative tailoring of the CDI components so that it captures high priority drought impacts
441 (see Table 3) and meets agency capacity and capability needs. It also included CDI validation
442 efforts that are ongoing at the time of writing. Figure 3 shows an example of the regional outputs
443 and the national maps produced in Tunisia.

444

445 Insert Figure 3 here

446

447 *4.4.1 Tailoring the CDI to high priority drought impacts*

448 In each country, the core agencies are further calibrating and validating the initial CDI to
449 focus specifically on the identified high priority impacts and fulfill specific water and drought
450 management roles. In all cases, this work is still ongoing.

451 For Morocco, this is being addressed by developing an evaluator network for wider CDI
452 validation, specifying CDI weighting for specific agro-ecological zones, and potentially using it
453 to support development of basin-wide drought plans required by the new water law (No. 36-15)
454 and implementation of a new national law on pastoralism (No. 133-13), both of which include
455 policies for drought management (Yesef 2018).

456 In Tunisia, validation work showed that NDVI and soil moisture anomalies were more
457 highly correlated with cereals outputs in the semi-humid north of the country than in the semi-
458 arid center, so the core agencies may adjust CDI input weights accordingly. In the near future,
459 core agencies in Tunisia may use the CDI to inform decisions on inter-basin transfers, inter-
460 sectoral water allocation, and water infrastructure operational decision-making (Khemira and
461 Jlassi 2018).

462 In Jordan, the focus is on highlands rainfed agriculture and irrigation demand and water
463 availability in the Jordan Valley. Therefore, core agencies plan to incorporate hydrological flow
464 and reservoir storage indices to assess differential drought impacts across agro-ecological zones
465 including the desert *badia* areas and groundwater-dependent irrigation areas such as Mafraq and
466 Azraq (Kerablieh 2018). Officials in the recently-formed drought monitoring unit of the Ministry
467 of Water and Irrigation are working with the MENA RDMS team through a multi-stakeholder
468 technical committee in Jordan to incorporate the CDI in ongoing drought governance and
469 planning developments.

470 In Lebanon, agencies are considering re-weighting the CDI according to land-use and
471 land cover classification systems. Also, they are considering how to incorporate a snow water
472 equivalent indicator given its importance for agricultural and hydrological drought impacts
473 (Fayyad 2018). Similar to the case in Jordan, officials are developing a cross-ministerial team led

474 by the Ministry of Energy and Water and the meteorological agency to decide the mechanisms
475 for generating, disseminating and using the CDI outputs in decision-making.

476

477 *4.4.2 Meeting agency capacity and capability needs*

478 Government officials emphasized that it was critical to use open source data and
479 modeling platforms to ensure that the core agencies could produce, update and continue to shape
480 the CDI beyond the specific donor-funded project activities and to facilitate outputs being
481 incorporated in other national modeling frameworks, especially for groundwater recharge. Also,
482 to ensure ongoing capacity to produce the CDI, they required the coding and modeling to be
483 relatively simple and straightforward. The project team ran interactive technical workshops to
484 accomplish these objectives and train the agencies that now produce the CDI operationally.

485 Though currently using NASA's Land Information Systems model (Kumar et al. 2006),
486 the project team developed open-source GIS components and a customized python-based model.
487 As agencies adopt these, they can reduce long-term costs of CDI inputs and increase the
488 likelihood it will be used beyond project timeframes. To match local capacity and reduce long-
489 term technical burdens, the project team has developed automated scripts for data acquisition and
490 processing as well as shifted some components from Linux to Microsoft operating systems.
491 Lastly, to reflect local conditions more accurately, core agencies have begun to replace remotely-
492 sensed data with observed data inputs where possible, especially for precipitation.

493

494 *4.4.3 Ongoing CDI validation*

495 Currently, core agencies are conducting CDI validation assessments that include
496 statistical testing of input components as well as semi-quantitative evaluation of CDI products

497 through expert networks the needs assessments helped form. These expert networks have
498 included central and local government officials, researchers, and in Tunisia, farmer union
499 representatives. Core agencies signaled their intention to widen the representation of these
500 networks as the CDI becomes an operational drought monitoring tool that informs water and
501 drought governance.

502 An example of this validation process from Tunisia saw the core agencies presenting CDI
503 maps (see Figure 3) in Tunis and in regional meetings to representatives from 23 of 24 regional
504 governments and CSOs. The agencies solicited feedback on the maps' content and accuracy in
505 relation to the past year's drought (Khemira and Jlassi 2018).

506 In combination, the needs assessments findings, technical development, validation and
507 vulnerability and impact studies are shaping the planned CDI composition and area of focus for
508 each country and helping determine how the CDI and wider early warning systems can most
509 effectively improve drought management.

510

511 *4.5 Drought monitoring connections to drought management*

512 Interviewees and workshop participants discussed at length the ways in which improved
513 early warning systems could improve drought management. While assessing drought
514 management needs generally is beyond the scope of this paper, it is important to illustrate how
515 stakeholders think about the connections between drought monitoring and ultimate drought
516 management decision-making processes.

517 Figure 4 synthesizes and visualizes connections interviewees made between the
518 development of the CDI and its integration with wider early warning systems and drought

519 management policies. This diagram was tested with workshop participants and refined slightly
520 according to feedback.

521 Insert Figure 4 here

522 In short, the diagram shows the prerequisites for improved monitoring capabilities to
523 influence drought management decision-making processes. It highlights the key mediating role
524 various institutional actors and mechanisms play in the chain of information provision to inform
525 political decisions on drought management. This paper primarily focuses on issues in the first
526 two columns – technical prerequisites and institutional mechanisms – as the latter two columns
527 connect directly with drought management. However, the reported needs, especially developing
528 drought definitions, clearly link to the overall intended outcomes of easing, expediting, and
529 facilitating intervention decision-making via the provision of robust drought monitoring
530 information. At the workshops, participants indicated focus priority areas as: 1) building
531 consensus that CDI values reflect relevant drought impacts; 2) ensuring that data and information
532 was accessible to core stakeholders; 3) establishing pre-determined management roles,
533 coordination mechanisms, and contingency plans; and 4) expediting and facilitating timely
534 decision-making.

535

536 **5. Discussion**

537 *5.1 Participatory research approaches to inform environmental monitoring systems*

538 The needs assessments relied on participatory research methods. It is important to
539 characterize how the participatory component shaped development of the monitoring tools that
540 will support public policy implementation. Using Rowe and Frewer's (2005) typologies, the
541 participatory engagement primarily reflects consultation types 2 (surveys) and 4 (interviews) as

542 well as participation type 1 through workshops. Information flow was often uni-directional from
543 the public to agency officials (with the researchers being intermediaries), but in all countries,
544 particularly in the workshops, there was bi-directional information exchange and influence.

545 Overall, the participatory engagement mechanisms included:

- 546 • Semi-controlled participant selection (via relationship networks).
- 547 • Face-to-face, active elicitation and facilitation of open-ended dialogue.
- 548 • Limited and set response modes from surveys.
- 549 • Facilitated, unstructured information aggregation through researchers reading back
550 summarized responses for approval or clarification and also workshop feedback.
- 551 • Structured aggregation through the data coding process.

552 The coding of the interview data produced hierarchies of drought monitoring and
553 management needs that were presented at the workshops, and that structure focused officials'
554 attention on the specific issues participants raised. In addition, the hierarchical data provided
555 core problem statements, stemming from Figure 4, that development and implementation of early
556 warning systems, including the CDI, could address. Interview findings thus structured the
557 framing of workshops and surveys, which provided agencies and CSOs the space to deliberate on
558 how to address the identified needs by focusing on the CDI development path and discussing its
559 incorporation in drought management mechanisms in the future.

560 Using multiple engagement methods was beneficial because different formats stimulated
561 different responses. For instance, in the large workshop setting, participants tended to focus on
562 their formal agency roles and were less willing to admit they struggled to monitor the range of
563 indicators associated with drought. Technical presentations at workshops portrayed strong
564 capacity and ongoing monitoring activities. However, in interviews, participants were not

565 hesitant to identify shortcomings in drought monitoring capacities, particularly with regard to
566 data access. This finding reinforces Ker Rault and Jeffrey (2008), whose research on integrated
567 water resources management in the Levant region showed the importance of multiple forms of
568 engagement to reveal dynamics pertaining to participants' varied interests, needs, and
569 communication styles.

570 Overall, the research incorporates a wide range of stakeholder feedback, concordant with
571 the findings of Rodela et al. (2017) recommending ongoing social investigations to ensure that
572 spatial products meet participant needs. The participatory approaches taken to date in this project
573 are especially positive because early warning systems links to national initiatives with the
574 potential to shape future policy in drought planning and broader water resources management.
575 These are the policy and resource management issues for which MENA government stakeholders
576 typically exhibit the least openness to public participation (Ker Rault and Jeffrey 2008;
577 Heidenhof 2014). However, the involvement of CSOs and other stakeholders to date is
578 encouraging and hopefully continues; regionally it is increasingly common as government
579 stakeholders recognize the value in social learning and mutual problem identification that can
580 occur (Ker Rault and Jeffrey 2008), and also as regional leaders request support in and
581 implement public sector reforms (WeWorld-GVC 2018; OECD 2017).

582 The participatory research component succeeded primarily because it had strong central
583 agency support and civil society buy-in. The IDMP and specific USAID programme were
584 initiated at the request of regional ministers, and the leaders of CSOs in the project countries
585 accepted initial contacts and quickly facilitated thorough participation from their own
586 organizations and related ones within their networks. Local stakeholders opened the proverbial
587 doors, and without this support, the research would have been severely limited.

588 Researcher involvement was also key: all of the co-authors visited the project countries
589 on multiple occasions and participated in different aspects of the research. Language competency
590 contributed to this as well – conducting the volume of interviews and workshops without local
591 language skills would have been hugely resource and time-intensive. While subtle meanings may
592 have been lost in translation, the back-and-forth that ensured mutual understanding overcame the
593 worst of this problem (Squires 2010), and the social rapport contributed to development of
594 relationship networks. Lastly, circumstances enhanced the salience of the research; it occurred
595 during a severe, multi-year regional drought, which strongly increased stakeholder interest in
596 participation.

597 The needs assessments processes have contributed to the development of relevant expert
598 networks in the project countries, which may form the basis for longer term environmental
599 monitoring coalitions and/or wider public participation in drought policy development.
600 Engagement beyond central government agencies has been vital to elucidate what end-users need
601 from drought monitoring, how effective monitoring tools could affect their own drought
602 management activities, and, increasingly, to validate information products that central agencies
603 produce. The project's core agencies are now building off expert networks identified through the
604 research and including them in ongoing technical development of the early warning systems. The
605 example of the CDI core agencies in Tunisia conducting multi-sectoral workshops and follow-up
606 regional roadshows to engage local government and CSOs in validation and drought impact and
607 vulnerability assessments is a case in point.

608

609 *5.2 Discussion of drought impacts findings*

610 When asked about the most significant drought impacts, interviewees typically
611 progressed from household level to national issues – from basic household food and water
612 security to issues as wide as rural outmigration, foreign trade imbalances, and regional stability.
613 MENA regional, national, and local case studies that incorporate examination of drought impacts
614 mirror this progression. In each country, the impacts were different, and the adaptive steps taken
615 by different actors to ameliorate conditions varied. For example, in some areas of Lebanon,
616 private sector tanker drivers stepped into supply water when municipal systems were shut down
617 for extended periods.

618 Regional studies tend to focus on food and water security issues as well as agricultural
619 productivity and sectoral economic effects (e.g., World Bank 2017) whereas national studies
620 tend to focus on specific drought impacts such as Tarawhneh's (2011) evaluation of drought's
621 hydrological and municipal supply impacts in Jordan. Relatively few studies integrate across
622 multiple impact domains. Notable exceptions, even though they did not all explicitly focus on
623 drought, include the works of Verner (2013) and Arif and Doumani (2012).

624 There has been minimal research in Lebanon on drought impacts beyond meteorological
625 and environmental effects (e.g., Bergaoui et al. 2015; Ministry of the Environment 2003). This is
626 likely due to the paucity of long-term hydrological and agricultural statistics as well as the
627 widespread national perception that because Lebanon is water-rich compared to neighbors,
628 drought effects are minimal (CNRS 2015; interviews).

629 Perhaps most importantly from a longer term risk management perspective, few studies
630 thoroughly evaluate the social dislocation drought can cause, particularly as it relates to rural
631 outmigration. In a warmer, drier, more populous MENA region, water security will increasingly

632 connect with broader social stability concerns. Already, regional water resource issues fall under
633 the domain of “national security” in some contexts (Weinthal et al. 2015).

634 Interviewees in all countries described rural outmigration as a major social issue
635 connected to drought. The evidence for this is strong but not conclusive: the data show that
636 drought is definitively responsible for short- to medium-term internal displacement to medium or
637 large cities with young men the most likely to move. This has implications for the areas migrants
638 left, those receiving migrants, and for the migrants themselves. Unfortunately, though, longer-
639 term impacts have not been assessed rigorously due to the paucity of targeted studies and
640 adequate demographic information (Raleigh et al. 2010; IOM 2016). However, studies of
641 regional rural outmigration emphasize the role of long-term environmental degradation that
642 drought precipitates: rangeland, soil and groundwater quality degradation, livestock loss, and
643 oasis desiccation (Taha et al. 2014; Belgacem 2011; Karmaoui 2015).

644 This study’s findings make explicit the possible range of drought impacts and highlight
645 the issues on which the governments will focus drought monitoring and management efforts.
646 This study’s findings aimed to focus and frame more substantive evaluations of drought impacts
647 in the project countries (see Figure 1) and also to provide context for discussions on early
648 warning systems. Indeed, anticipating and having the ability to act proactively to avoid and
649 mitigate these drought impacts relies on improved environmental monitoring.

650

651 *5.3 Discussion of drought monitoring needs findings*

652 Past assessments of MENA governments’ drought monitoring capacity and capability
653 have concluded that even though most countries have well-functioning and generally adequate
654 hydro-meteorological monitoring networks, the governments are not well-prepared to use them

655 in a drought early warning or drought monitoring capacity. To do so, they would require a
656 number of improvements (De Pauw 2005, UN-ESCWA 2013):

- 657 • Enhanced data quality and collection network densities
- 658 • Reduced cost and increased data-sharing
- 659 • Making early warning information products more accurate and user friendly
- 660 • Integrating physical and social drought indicators into systematic and comprehensive
661 monitoring and early warning systems
- 662 • Providing support to create and maintain systems

663 Our findings echo these themes and add substantial detail and context to them.

664 In relation to the specific data quality issues raised, the CDI will contribute to addressing
665 them as it can provide a nationally consistent and gridded dataset produced monthly or more
666 frequently if desired. It will fill data gaps where monitoring networks are sparse and pre-existing
667 modeling capacity is insufficient, and thus it augments existing networks.

668 Over time, agencies hope to incorporate socio-economic and environmental indicators
669 more directly in formal drought monitoring regimes in order to capture more fully and
670 immediately these variegated and complex systems; the gap in socio-economic indicators'
671 incorporation in early warning systems is a common feature globally (Pulwarty and Sivakumar
672 2014). Also, the results highlight that although interviewees almost universally described
673 drought impacts on groundwater resources as a critical issue, drought monitoring programs in the
674 region include few specific components related to groundwater monitoring.

675 One of the most notable findings is that stakeholders – both governmental and non-
676 governmental – mainly focus on how they could integrate and use available monitoring
677 information more effectively. With the exception of specific data issues discussed above, the

678 primary needs relate to how government officials and other stakeholders share, use, and
679 communicate information rather than the types and volumes of information generated. These
680 themes are not isolated to environmental monitoring and connect to wider issues of public
681 governance, public administration, and state-society relationships in the region that are generally
682 beyond the scope of this paper. However, a brief example is illustrative.

683 Individual MENA states are highly variable regarding public-private sector boundaries,
684 administrative setups, and relationships with civil society (Dixon et al. 2018). The public
685 availability of government-held information in the project countries is a case in point for this
686 wide theme. While all the project countries have “access to information” laws, their scope and
687 practical implementation vary significantly. With the exception of Jordan, these laws were not in
688 force during the fieldwork, and no interviewees mentioned them explicitly. Given that drought
689 early warning systems are being developed and implemented by government agencies, this is
690 highly relevant to the likelihood of future public provision of that information.

691 Morocco’s Law No. 13-31 was passed in 2018 and is not yet active as public agencies are
692 given time to prepare for its implementation (Ben Saga and Benabou 2019). However, there is a
693 chance it will be of limited use for drought-related information given the law’s provisions for not
694 disclosing information that could harm the public interest and penalties for those who distort the
695 information provided. As noted previously, drought declaration is highly politically charged, and
696 thus information related to drought could be seen as “sensitive”.

697 Tunisia’s 2016 Law No. 22 is the most wide-ranging, and the government established an
698 independent agency to oversee compliance and hear appeals to decisions, a first in the Arab
699 world. Initial indications show it is working well (Human Rights Watch 2019). Whether this law,
700 the proactive release of information it encourages, and the already wide use of the agricultural

701 observatory's (ONAGRI) data portal ease and widen public access to drought-related
702 information still remains to be seen, though.

703 Implementation of Lebanon's recent Right to Access Information Law 2017/28 was
704 thoroughly tested by a Lebanese civil society organization (Gherbal Initiative, 2019) and
705 responses overall were poor with only 34 of 133 administrations complying. However, the law is
706 new and CSOs are actively working with government to promote successful implementation.

707 Finally, despite being an early adopter, Jordan's 2007 Freedom of Access to Information
708 Law No. 47 has not resulted in markedly improved information flows between the government
709 and the public and a recent review concluded that the law "is a formality more than a tangible
710 gain" in openness of information (Arab Reform Initiative, 2016).

711

712 *5.4 Drought monitoring to management and nascent coalitions*

713 The participatory research process and results contribute to meeting the technical
714 prerequisites for drought monitoring to facilitate improved drought risk management as
715 identified in Figure 4: results define relevant drought impacts, begin to characterize what
716 indicators constitute emerging crises, lay clear the necessary information sharing needs, and
717 inform how the early warning systems and CDI development process can most reach technical
718 consensus and increase likelihood of political buy-in and support. CDI development may also
719 facilitate robust assessments of future drought scenarios as research overcomes limitations of
720 understanding how precipitation and temperature changes will affect soil moisture, runoff, and
721 vegetation health (Cook et al. 2018).

722 While contentious drought management topics emerged during the research process, the
723 effort focused on the development of concrete drought monitoring tools. This was an explicit

724 choice stemming from the project's planned progression following the IDMP framework in
725 which drought management planning follows monitoring and early warning systems
726 development (Wilhite 2014).

727 This progressions allows participants to build trust through collaboration on important,
728 but not politically sensitive, issues. In this way, they build the groundwork and relationships for
729 more effective participatory engagement – or public participation – around politically charged
730 issues such as drought declaration processes (Ansell and Gash 2008). Distinguishing between the
731 technical and political dimensions of a problem, and focusing on how external technical
732 resources can match internal capacity, results in higher stakeholder involvement in and improved
733 quality of environmental management decision-making (Beierle 2002). Given the outcomes to
734 date, this process will likely inform drought monitoring tool development in other MENA
735 countries and regions where drought management is a politically sensitive theme and relief is
736 primarily a function of the central government.

737 Drought management changes require broad, and likely contentious, political discussions.
738 Thus, starting the participatory engagement with a focus on drought monitoring may have
739 reduced barriers for that initial collaboration between governmental and non-governmental
740 stakeholders, effectively increasing stakeholders' acceptance of the collective decisions and
741 approaches (Schuman 2006).

742

743 **6. Conclusion**

744 A wide range of stakeholders from various levels of government – and research, CSOs
745 and private sector organizations – described drought monitoring needs to inform the
746 development of early warning systems and facilitate improved drought management. The

747 primary themes consisted of technical needs (ground-truthing remotely-sensed data, CDI
748 validation, and data quality issues), institutional needs (information sharing processes and
749 platforms as well as inter-sectoral engagement), and political needs (drought declaration
750 processes and creating demand from political decision-makers for rigorous drought monitoring
751 data).

752 The results reinforce findings elsewhere that involving a wide spectrum of participants,
753 albeit with varying levels of involvement at any given time, is beneficial for the development of
754 early warning systems. Narrow perspectives of what constitutes a drought (e.g. lack of rainfall, at
755 one extreme, or water scarcity at the other) limit agencies' ability to develop comprehensive
756 monitoring programs. Through the participatory research approach and its results, these various
757 stakeholders have shaped ongoing early warning systems development and now constitute
758 nascent expert evaluation networks. These networks are critical for ongoing production and
759 future improvement of the CDI, and they have the potential to form the basis of drought
760 monitoring and management coalitions that actively participate in public deliberations drought
761 management systems, policies and interventions.

762

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772 in-depth discussions with the social science team about the capabilities and limitations of various
773 drought monitoring inputs.

774

775

776 **Appendix 1: Acronyms⁶**

777

778 *Morocco:*

779 ABH – River Basin Agency
780 CRTS – Royal Center for Remote Sensing (Ministry of Defense)
781 DGE – Water Management Directorate (Ministry of Energy, Mines, Water, and the Environment)
782 DMN – National Meteorological Office
783 DRPE – Water Research and Planning Directorate (Ministry of Energy, Mines, Water, and the
784 Environment)
785 Dir. of SS – Strategic Services Directorate (Ministry of Agriculture and Marine Fisheries)
786 HCEFLCF – High Commission for Water, Forests, and the Fight Against Desertification
787 INRA – National Agricultural Research Institute
788 MAPM – Ministry of Agriculture and Marine Fisheries
789 ONCA – National Office of Agricultural Extension (Ministry of Agriculture and Marine Fisheries)
790 ONEE – National Office of Electricity and Potable Water

791

792 *Tunisia⁷:*

793 CNCT – National Center for Mapping and Remote Sensing (Min. of Defense)
794 DGF – Forestry Directorate
795 DGSV – Veterinary Services Directorate
796 DGFIOP – Directorate for Finance, Investment, and Professional Organizations
797 DGPA – Agricultural Production Directorate
798 DGRE – Water Resources Directorate
799 INM – National Meteorological Institute (Min. of Transportation)
800 OC – Office of Cereals
801 OEP – Office of Animal Husbandry and Pastures
802 SONEDE – National Water Exploitation and Distribution Company (national water supply utility)
803 UTAP – Tunisian (National) Union of Farmers and Fishers (CSO)
804 Synagri – Farmers' Syndicate⁸ (CSO)

⁶All acronyms are in the original language with the full name translated into English

⁷ Unless otherwise noted, all organizations are part of the Ministry of Agriculture, Water Resources, and Fisheries

⁸ Synagri is a relatively recently-established farmers' union in Tunisia that is present in several but not all regions.

805 ODESYPANO – Sylvo-pastoral development authority of the North-West

806

807 *Lebanon:*

808 CNRS – National Center for Scientific Research

809 MOEW – Ministry of Energy and Water

810 LRA – Litani River Authority (Ministry of Electricity and Water)

811 LARI – Lebanese Agricultural Research Institute (Ministry of Water)

812 LAEC – Lebanese Atomic Energy Commission

813 DGCA – Directorate for Civil Aviation (Ministry of Transportation)

814 MOA – Ministry of Agriculture

815 MOE – Ministry of the Environment

816

817 *Jordan:*

818 JMD – Jordanian Meteorological Department (Ministry of Transportation)

819 JVA – Jordan Valley Authority (Ministry of Water and Irrigation)

820 MOA – Ministry of Agriculture

821 MOE – Ministry of the Environment

822 MWI – Ministry of Water and Irrigation

823 NCARE – National Center for Agriculture Research and Extension (Ministry of Agriculture)

824 RJGC – Royal Jordanian Geographic Centre

825 WAJ – Water Authority of Jordan (Ministry of Water and Irrigation)

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1148 **Tables**

1149 *Table 1. Interview descriptions by country.*

	Total # of engagements	Central government ministries/agencies (and # of directorates)	Regional government agencies	CSOs.	Research organizations	Private sector	International institutions	Local collaborative group
Morocco ⁹	10	5 (10)	0	1	1	0	1	2
Tunisia ¹⁰	58	4 (25)	7 ¹¹	10	3	6	3	2
Lebanon ¹²	35	4 (14)	10	6	2	2	3	0
Jordan ¹³	34	3 (14)	5	7 ¹⁴	2	1	3	1

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1152 *Table 2. Drought impacts described by participants.*

Hydrological	Agricultural	Ecological	Socio-economic
<ul style="list-style-type: none"> Decreased surface water flows and reservoir levels Reduced snowpack, earlier and more rapid melt Reduced groundwater recharge and spring discharge Increased salinity of surface and groundwater Other surface and groundwater chemistry changes Shifted seasonality & intensity of precipitation Increased rate of seawater intrusion in 	<ul style="list-style-type: none"> Increased water demand & irrigation rates Increased crop and livestock disease rates & exacerbated impacts Shift in cropping seasonality and crops cultivated Increased reliance on irrigation for crop survival Reduced cultivated/harvested areas and crop yields Reduced crop output quality 	<ul style="list-style-type: none"> Increased number and intensity of forest fires Presence and intensity of specific pest species Bird migration pattern shifts Marginal improvement in honey quality but reduced quantity Honey bee location shift and reduced activity Increase in arid species in semi-arid rangelands Shift in areas suitable for specific crops Altitudinal shift in plant species (up-mountain) 	<ul style="list-style-type: none"> Decreased hydropower production and higher energy demand Inter-sectoral water conflicts and increase in illegal water sales Localized increase in human disease and malnutrition Farmer income declines and reduced agricultural loan repayment Migration (temporary and long-term) from countryside to cities Fodder, feed and agricultural input market disruptions and speculation Insurance, compensation and subsidy payouts; increased imports

9 One interview and one workshop were introductory and project scoping focused; all engagements were in Rabat and Casablanca and focused on national concerns.

10 Five engagements and one workshop were introductory and project scoping focused; 19 engagements were outside of Tunis and focused on regional issues.

11 This table includes only the six CRDAs visited and the regional development organization, ODESPYANO. The table does not include the number of directorates represented in interviews at each CRDA.

12 One interview was introductory and project scoping focused; 19 engagements were outside of greater Beirut and focused on regional issues.

13 Four interviews were introductory and project scoping focused; 10 engagements were outside of Amman and focused on regional issues;

14 Of these meetings, 3 were with regional representatives from the same organization in different governorates.

<p>groundwater & shifts in its seasonality</p> <ul style="list-style-type: none"> • Changes in frost frequency and timing • Increased evapotranspiration rates and soil water reserves deficits 	<ul style="list-style-type: none"> • Increased reliance on purchased feed and fodder • Soil degradation, desiccation and loss • Livestock fecundity and birth weights decrease • Crop phenology shifts 	<ul style="list-style-type: none"> • Tree decline and mortality (especially young ones) • Wetland and <i>sabkha</i> extent and biodiversity decrease • Soil degradation 	<ul style="list-style-type: none"> • Household-level water and food security compromises • Increase in transhumance and territorial grazing conflicts • Increased time and cost for water provision
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1160 *Table 3. Reported highest-ranking drought impacts from written workshop surveys.*

Country (number of respondents)	Tunisia (18)	Jordan (22)	Morocco (3)	Lebanon (28)
Priority drought impacts from ranked results	<ul style="list-style-type: none"> • Shifting irrigation practices (e.g. to irrigated from rainfed; from surface to groundwater, etc.), • increased water demand, • reduced crop yields, and • increased demand for stored surface water. 	<ul style="list-style-type: none"> • Decreased water availability tied to delay in rains, • increased frost risk during times of low winter precipitation, • reduced reservoir storage, • groundwater over-abstraction, • reduced groundwater levels and recharge, • spring heat waves, and • plant pests (mainly insects). 	<ul style="list-style-type: none"> • Water scarcity, • overall reduced economic performance, and • changes in employment opportunities. 	<ul style="list-style-type: none"> • Decreased municipal water availability, • surface water quality degradation, • increased irrigation required to maintain agricultural output, • increased groundwater pumping (and over-abstraction), • reduced spring outflow discharge, and • reduced reservoir levels.

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1164 *Table 4. Climatic and hydrological monitoring network and vegetative state monitoring;*
 1165 *acronyms shown in Appendix 1.*

	Climate monitoring	Hydrological monitoring	Vegetation Condition monitoring
Morocco	DMN: 42 synoptic, 206 automatic climate stations (Badi and Kasmi 2016) Other institutions: ~370 climate stations (Baubion et al. 2017)	DGE/ABHs: 265 principal surface water measuring stations and ~700 gauge stations (Baubion et al. 2017); 128 groundwater wells with automated groundwater data recording (Moumen et al. 2014)	INRA/DMN/Dir. of SS: Crop Growth Monitoring System for seasonal prediction, monitoring and modeling grain yields (Balaghi 2014); CRTS: Production of CDI (Bijaber 2017)
Tunisia	DGRE: ~850 precipitation stations (Mansour 2016) INM: 26 synoptic, 31 agro-meteorological, 29 climatic, ~200 precipitation (Louati et al. 2005)	DGRE: 60 principal surface water stations and 74 gauge stations (Mansour 2016) ; ~3,700 groundwater monitoring wells (Horriche and Besbes 2006)	CNCT, DGPA, DGF: NDVI and related indices for land cover/state, ex-post cereals yield estimation (BenSalah 2016)
Lebanon (CNRS 2015; MOEW 2014)	DGCA: 36 weather stations (some synoptic); LARI: 55 agro-meteorological stations (various types); CNRS: 17 weather stations (various types) LAEC: 16 weather stations	LRA: 51 gauge stations (of which 9 are spring discharge) MOEW: 13 groundwater monitoring wells CNRS: 3 snow monitoring stations	No official ongoing monitoring of vegetative state.
Jordan	JMD: 13 synoptic, 8 agro-meteorological, 25 climate, ~50 precipitation stations (Semawi 2006).	MWI/JVA: 47 surface water monitoring stations; ~600 spring discharge gauge stations; 222 groundwater monitoring wells (Hajahjeh 2006, Hayajeneh 2012, Nait 2015).	MOA/NCARE: NDVI and related indices such as VCI (Saba 2016)

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1167 *Table 5. Agricultural, ecological and socio-economic drought monitoring indicators¹⁵.*

	Agricultural	Ecological	Socio-economic
All countries:	Cultivated area*; irrigation & crop zone demarcation*; livestock conditions & sale weight*; plant disease	Rangeland*, forest*, and pest conditions	Municipal water availability*; inter-sectoral water conflicts
Tunisia	DGPA/DGSVA/OC: Livestock birth weights & mortality rates*; Cereals harvestable area (April census)	DGPA/DGF: Rangeland extent and condition*	SONEDE/OEP/OC/DGFIOP: Agricultural input & feed prices*; livestock & produce sale prices; intervention/subsidy costs*
Lebanon	MOA/LARI: Pest presence	MOE/LARI/MOE: soil conditions*; forest fire intensity; bee productivity Crop phenology changes	MOA/Water Establishments/LRA/ Min. of Econ. & Trade/ Min. of Public Health: Hydropower production*; agricultural markets data & produce prices*; export statistics*; energy prices
Morocco	MAPM/ONCA: Livestock birth weights & mortality rates*	MAPM/HCEFLCF/CRTS: Forest fire intensity; invasive & arid species extent	MAPM/DRPE/ONEE/ABH: Agricultural input & produce prices*; hydropower production
Jordan	MOA: Crop quality / productivity* livestock & plant diseases*	NCARE/MOA/MOE: Rangeland conditions*; pest conditions; livestock & plant	WAJ/MWI/MOA/Min. of Industry/Min. of Health: Livestock sale & meat prices*; fodder subsidy usage*; incidence of human disease

¹⁵ According to interviewees, indicators marked by an asterisk (*) are components of formal drought monitoring programs that connect directly with meteorological, hydrological, and vegetative state monitoring shown in Table 4, whereas the others help characterize and contextualize drought monitoring efforts and findings.

		diseases*; forest condition; wetland degradation	
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1182 *Table 6. Reported drought monitoring needs.*

	Drought definitions	Information sharing	Technical capacity	Knowledge gaps	CDI development	Inter-sectoral engagement
Morocco	<ul style="list-style-type: none"> • Indicators for tiered drought definitions and interventions 	<ul style="list-style-type: none"> • Address drought data purchase & political sensitivity barriers • Improve data availability for technical analysts • Data-sharing platform 	<ul style="list-style-type: none"> • Uniform data standards including periodicity 	<ul style="list-style-type: none"> • Integrate drought monitoring with seasonal forecasting, rainfall runoff, and groundwater recharge models 	<ul style="list-style-type: none"> • Validate CDI beyond rainfed crops and in more geographic areas 	<ul style="list-style-type: none"> • Develop network of CDI evaluators
Tunisia	<ul style="list-style-type: none"> • Clear technical definitions for drought beyond seasonal precipitation deficit 	<ul style="list-style-type: none"> • Formalize information-sharing regimes; • Data-sharing platform • Timeliness of data provision • Improved local to central government communication 	<ul style="list-style-type: none"> • Validate and ground-truth remote sensing data • Production of locally-relevant data • Connect to crop planning and guidance 	<ul style="list-style-type: none"> • Link with groundwater resources impacts, climate change adaptation, and specific crop connections 	<ul style="list-style-type: none"> • CDI and input layers openly available • Production of locally-relevant data 	<ul style="list-style-type: none"> • Two-way communication between the government and farmers, CSOs, and agricultural inputs stores • Government should use civil society/private sector effectively • Expand and formalize training role of CSOs
Lebanon	<ul style="list-style-type: none"> • Create definitions for triggers of drought management or declaration mechanisms 	<ul style="list-style-type: none"> • Formalize information networks • Data-sharing platform; 	<ul style="list-style-type: none"> • Ground-truth remote sensing data • Increase capacity for extension services to use information • Data quality improvements 	<ul style="list-style-type: none"> • Understand drought-crop connection • Improve understanding of connections between snowpack and drought 	<ul style="list-style-type: none"> • Host should easily share information and act as data clearinghouse • Host should have strong GIS and RS skills 	<ul style="list-style-type: none"> • Create political demand and policy role for drought monitoring data • Find avenues to reach farmers and affected populations • Boost public awareness of drought
Jordan	<ul style="list-style-type: none"> • Clear technical definitions for drought • Government-wide consensus on indicators 	<ul style="list-style-type: none"> • Formally regularize data-sharing agreements • Data-sharing platform • Data purchase barriers 	<ul style="list-style-type: none"> • Improve technical capacity to use existing models and data • validate remote-sensing data 	<ul style="list-style-type: none"> • Links with groundwater recharge and discharge • Link to crop planting and irrigation advice • Link to climate change adaptation and finance sector • Link to water pricing regimes 	<ul style="list-style-type: none"> • Host in agency with remote sensing and GIS capacity • Link with end-users • Open-source data • Appropriate time scales • Train other agencies and decision-makers to interpret CDI • CDI and input layers freely available 	<ul style="list-style-type: none"> • Intra-governmental cooperative environment and coordination • Provide channels for two-way communication with farming organizations;

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Figures

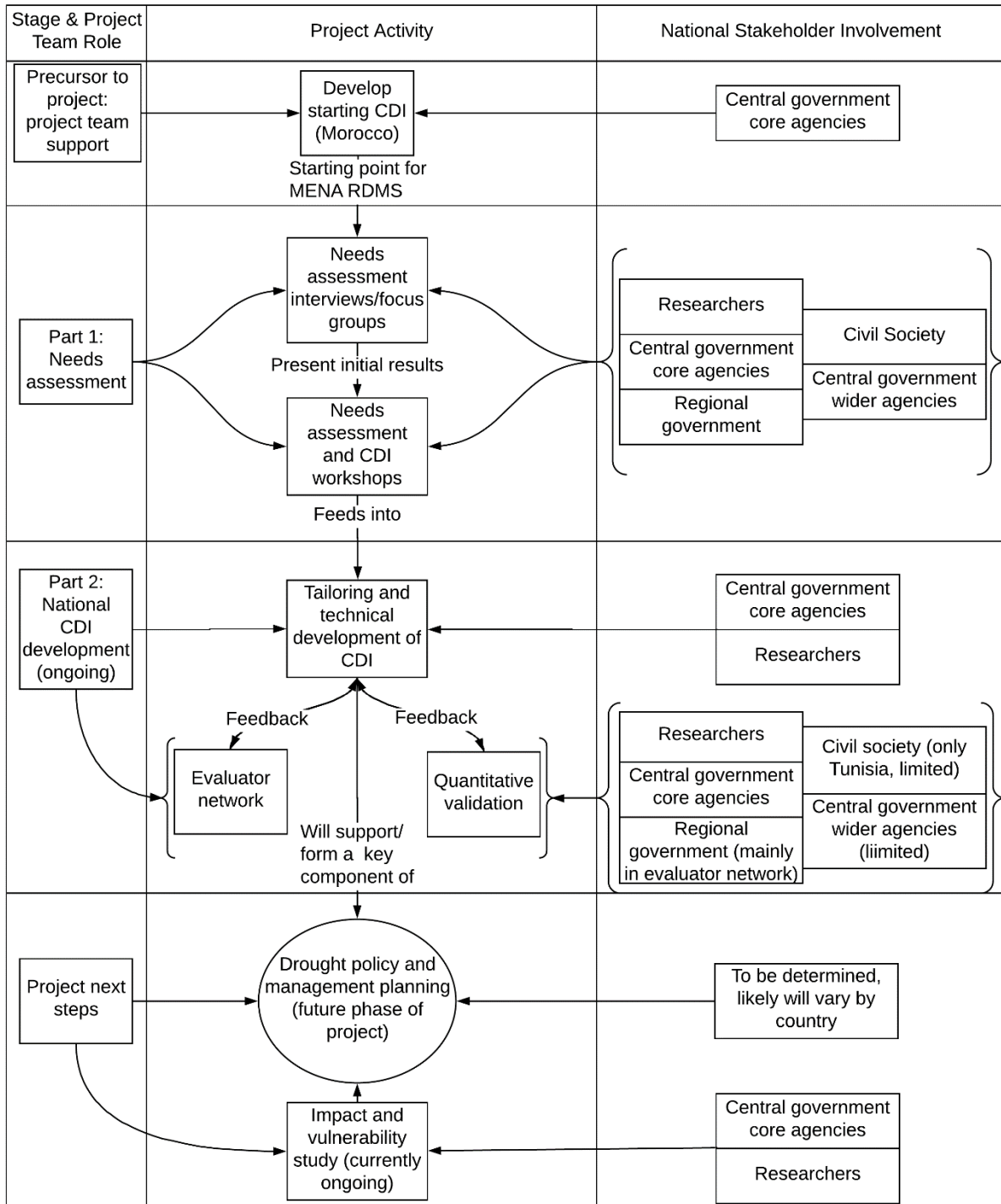


Figure 1 - MENA RDMS project structure.

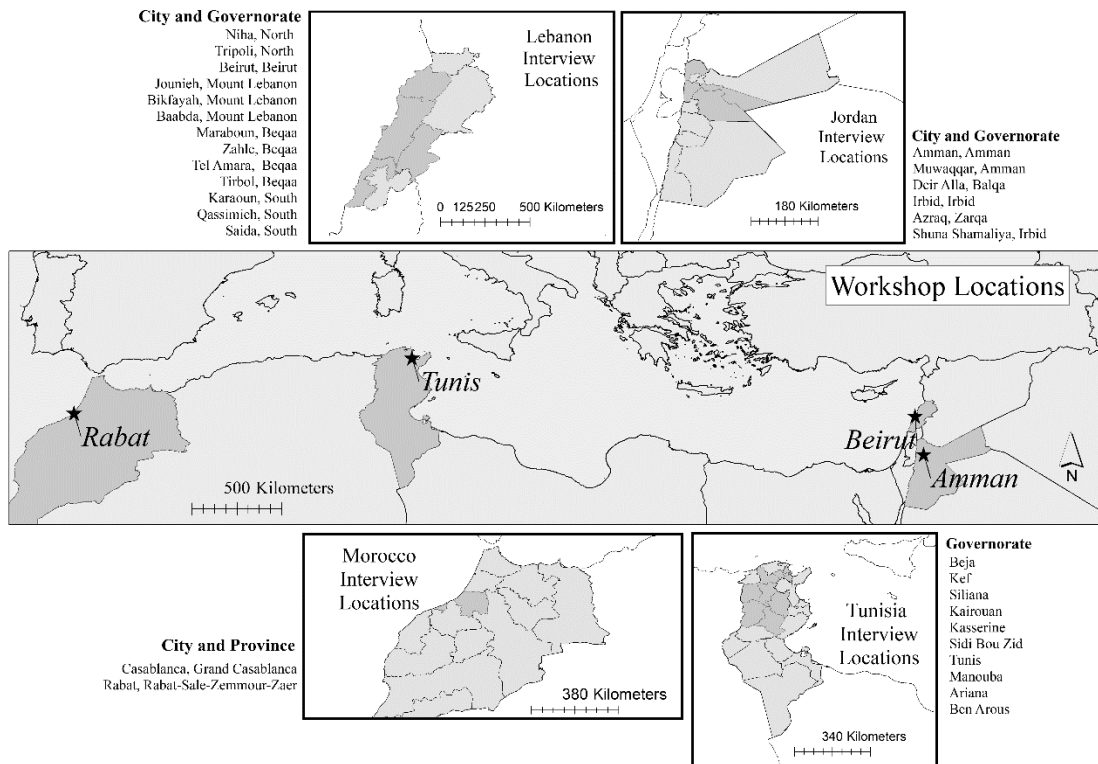


Figure 2. Interview, focus group and participatory workshop locations by country. Focal countries and provinces are depicted in greyscale. Workshop locations are starred and displayed in italics. Sources: the authors; GIS data layers from North American Cartographic Information Society's Natural Earth database,¹⁶ and the GADM database of Global Administrative Areas.¹⁷ These maps provide a general overview of the location of interviews and workshops, and they are neither a political statement nor a reflection of the authors' position regarding the delineation of each country.

¹⁶<http://www.naturalearthdata.com/>

¹⁷<http://www.gadm.org/>

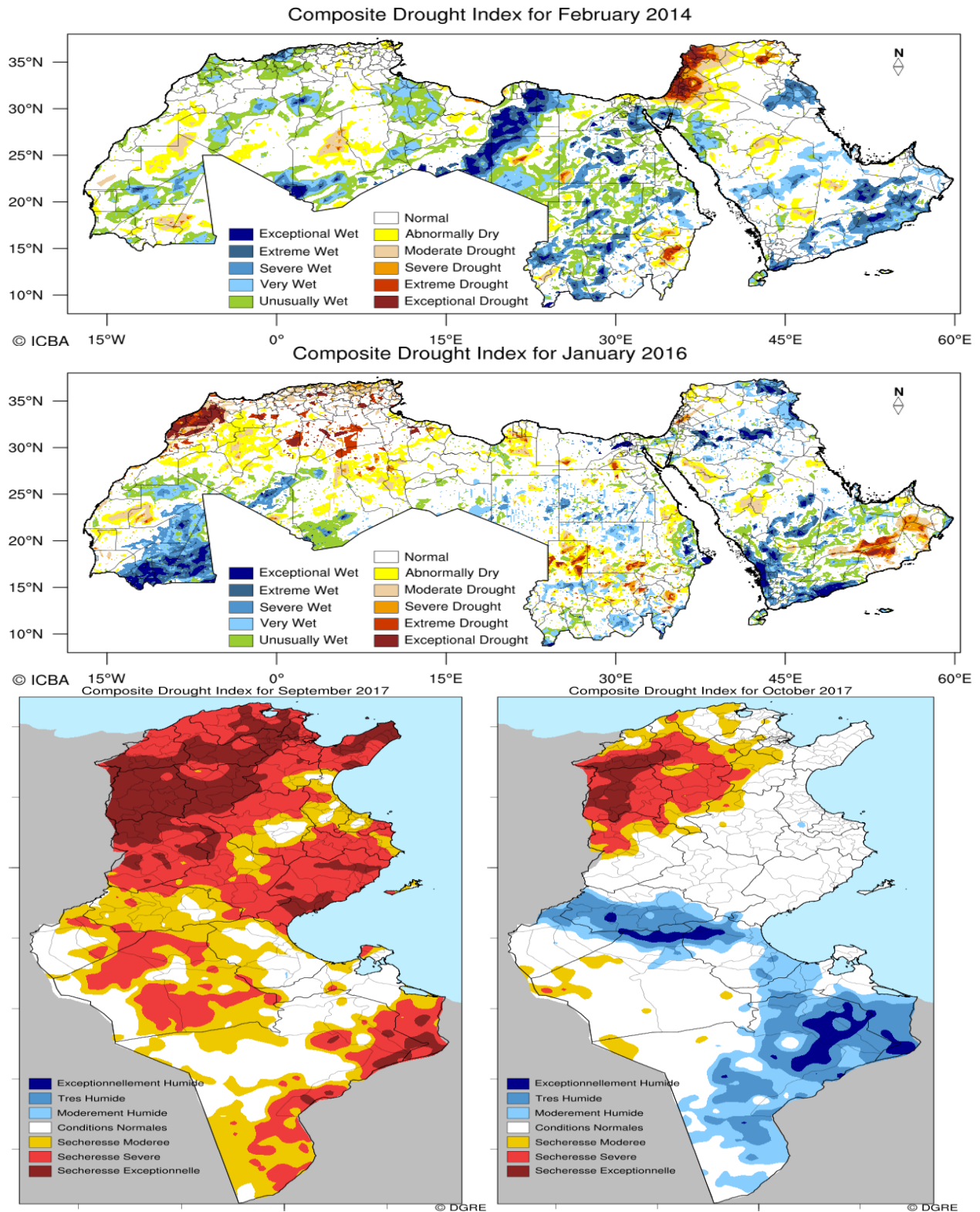


Figure 3. MENA CDI for February 2014 (top) and January 2016 (second from top); Tunisia CDI for September 2017 (bottom left) and October 2017 (bottom right). Source: Khemira and Jlassi (2018).

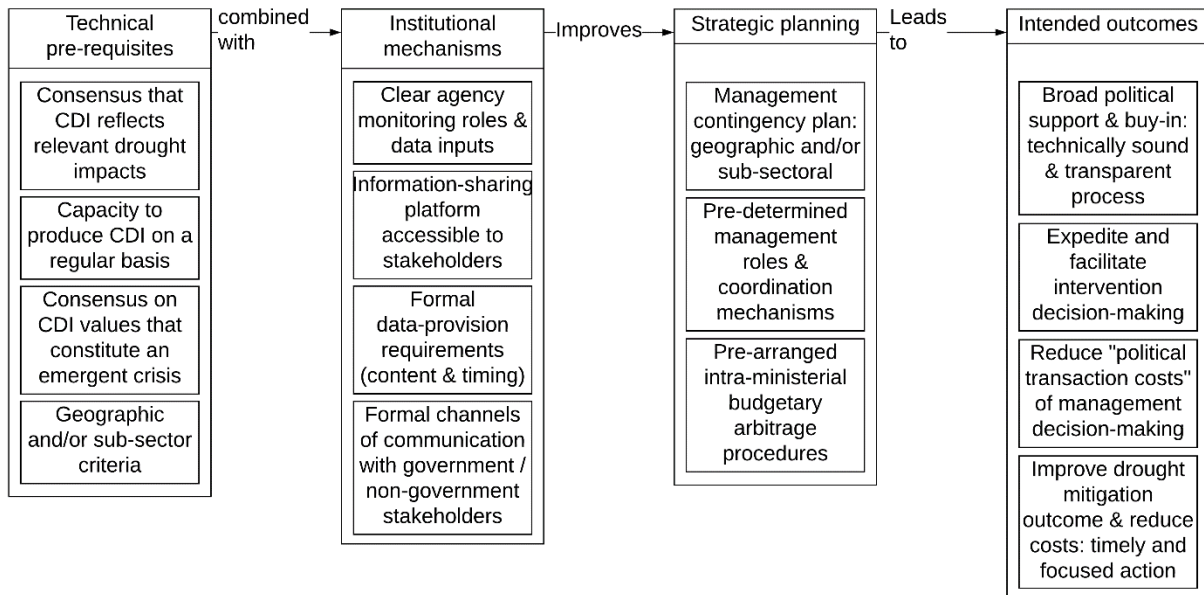


Figure 4. Synthesis of drought monitoring to management components.