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### Use of Multiple Environment Variety Trials Data to Simulate Maize Yields in the Ogallala Aquifer Region: A Two Model Approach

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## Use of Multiple Environment Variety Trials Data to Simulate Maize Yields in the Ogallala Aquifer Region: A Two Model Approach

Vaishali Sharda, Mesfin M. Mekonnen, Chittaranjan Ray, and Prasanna H. Gowda

**Research Impact Statement:** DSSAT and AquaCrop models were calibrated using variety trial data to demonstrate their use for simulating maize production at regional scale when detailed in season crop growth data are not available.

**ABSTRACT:** With a long-term goal to optimize use of groundwater in the Ogallala Aquifer Region (OAR) to sustain food production systems, this study was conducted to calibrate Decision Support System for Agrotechnology Transfer (DSSAT) and AquaCrop crop modeling platforms to simulate maize production at a regional scale using historic datasets. Calibration of the models with local crop growth data and crop management practices is important, but usually this in-season crop growth information is not available. This study determined the possibility of using maize variety trial data for the evaluation of the CSM-Crop Estimation through Resources and Environmental Synthesis-Maize and AquaCrop models in the OAR. The models were calibrated and tested in three counties in Nebraska. Both the models were then used to simulate irrigated maize yield during 1988 to 2015 for all three counties. The criteria for evaluating the performance of these crop models included statistical parameters and graphical analysis. The performance of both models were then compared with the observed yield from field variety test results and historic National Agricultural Statistical Service yields. The results indicated that difference between yield of calibrated DSSAT model and observed yield was less than 10% and AquaCrop root mean square error ranged from 740 to 1,820 kg/ha. Long-term comparison between observed and simulated Nebraska county yields also indicated confidence in calibrating crop models with typical end of season yield data and using these models for studying crop production at regional scales when detailed in-season crop growth observed data are not available.

(KEYWORDS: crop simulation; DSSAT; AquaCrop; cultivar coefficients; calibration; long term.)

### INTRODUCTION

As the population of the world soars, the agricultural production and scientific communities are faced with the challenge of increasing food production and adapting the agricultural systems to changing climate while sustaining the environment. Policy makers and researchers need more accurate prediction of

food production at large spatial scales (Huang et al. 2017). Several crop models like Decision Support System for Agrotechnology Transfer (DSSAT), (Jones et al. 2003); EPIC, (Williams et al. 1989); AquaCrop, (Vanuytrecht et al. 2014); SALUS, (Basso et al. 2006); APSIM, (Keating et al. 2003), etc. have been around for several decades. These models are tools that incorporate several guiding principles and methods of crop physiology, agronomy, agro meteorology,

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soil mechanics, soil water, and economics, among others (Hoogenboom 2000); and have been extensively used to analyze and evaluate the impacts of climate change and environmental factors on crop production systems (Rosenzweig and Iglesias 1998; Hermans et al. 2010; Nelson et al. 2010; Semenov and Shewry 2011).

Several studies have used crop models in conjunction with climate projections of Global Climate Models around the world (Basak et al. 2010; Dias et al. 2016) and in the United States (U.S.) (Rao 2002). The analyses have included yield risk assessment (Challinor et al. 2018); impact of change in temperature and precipitation changes in yields (Alexandrov and Hoogenboom 2000); economic analyses (Nelson et al. 2014) among others. During the course of conducting research and reporting results of the studies mentioned earlier, scientists have evaluated different crop management strategies like planting dates, fertilizer, and irrigation applications as well as selecting cultivars or hybrids that might help to mitigate the impact of climate change on agricultural production systems.

The process of adjusting cultivar specific parameters so that simulated values mimic the observed field data is called calibration (Hoogenboom et al. 2015). Crop models, when locally calibrated and validated, can be effectively used as decision support tools in different environments (Kisekka et al. 2016). These models rely on detailed in-season crop growth and end of season yield data over several crop production cycles to effectively calibrate the models. To apply a model to a new location, or use it with new varieties, it is important to calibrate and evaluate it extensively (Bao et al. 2017). Given the personnel cost associated with data collection, these data are costly to obtain and hence are not readily collected during field experiments. The scarcity or even absence of these data is a serious limitation to use of tools like crop models to study crop productivity, productivity gaps, and understand why these gaps exist (Burke and Lobell 2017). In most of the studies conducted, the crop cultivars are generally chosen from the default values provided with the crop model or obtained from literature. This overlooking of the calibration and evaluation procedures introduces additional errors and noise in the model simulations. Therefore, selection of cultivars and crop hybrids for climate change studies remains a challenge. Improper calibration of the crop model or failure to validate the model over a temporal scale could result in uncertainties and errors in the simulations effecting the output of impact studies.

All models are considered imperfect representations of complicated, real-world biological processes, with their precision restricted by their design and structure (Watson and Challinor 2013; Huang et al. 2017). Calibration procedures have been developed

for several crops like maize, soybean, winter wheat, grain sorghum, etc., when limited experimental data are available (Soler et al. 2007; Gaiser et al. 2010). New and improved cultivars and hybrids are continuously developed, but these are not updated or parameterized regularly in the crop models (Holzworth et al. 2015). Due to inherent differences between the working of different models, there have been discussions on the uncertainties that crop models could introduce in environmental impact studies (Rötter et al. 2012). In spite of the model improvements, structural ambiguity remains an unavoidable concern for model simulations. The use of multimodel ensembles (Rosenzweig et al. 2013) to minimize uncertainties are becoming an accepted approach to improve forecast by correcting different biases and making use of strengths of individual models (Gupta et al. 2012). Martre et al. (2015) compared several crop models with an ensemble of their results and found that multimodel forecasts were more precise than their individual runs. Several other studies have also indicated that use of multiple models vs. individual models offer more robust information (Iocola et al. 2017; Yin et al. 2017).

Rötter et al. (2012), Bassu et al. (2014), and Asseng et al. (2013) have compared multiple models for barley, maize, and wheat, respectively. However, comparison of multiple models at a regional scale for crops like maize and soybean remains to be seen when detailed experimental data are not available to calibrate the model. Also, a gap exists in reporting of data about calibration in crop modeling studies at a regional scale when using experimental data (Grassini et al. 2015a, b). One approach under this scenario could be to use multiyear crop variety trial data from different locations. Crop variety trials are aimed at evaluation of improved varieties or hybrids of crops using the data generated by multienvironmental trials (MET) (Smith et al. 2001; Smith et al. 2005). Bao et al. (2017) studied the feasibility of using maize variety trial data for evaluation and comparison of DSSAT and EPIC models in the state of Georgia, U.S. We selected DSSAT and AquaCrop models to study and compare the performance of these two models in simulating yields of maize in the Northern High Plains (NHP) region of the Ogallala aquifer. DSSAT and AquaCrop are two of the most commonly and widely used crop models around the region as well as around the world. These two models were intentionally chosen so as to compare the performance of a detailed, process-based crop model (DSSAT) and a simpler model (AquaCrop) for field variety trials for maize in NHP when detailed crop phenological data are unavailable.

The DSSAT (Jones et al. 2003; Hoogenboom et al. 2015) has been extensively used (Tsuji et al. 1998;

Thorp et al. 2008) for assessing agricultural management options. DSSAT version 4.7 comprises models for more than 28 crops that simulate crop growth, development, and yield along with management strategies that involve irrigation, fertilizer application, crop rotations, and others (Sharda et al. 2017). DSSAT is used to simulate crop water use and production along with the evaluation of management strategies under different environmental conditions (Liu et al. 2011; Soler et al. 2011; McNider et al. 2015). DSSAT has also been employed at various temporal and spatial scales to model climate change impacts on crop production (Tubiello et al. 2002; Carbone et al. 2003) and to forecast yield (Bannayan et al. 2003; Soler et al. 2007). DSSAT's Crop Estimation through Resources and Environmental Synthesis (CERES) Maize (Ritchie et al. 1998) calculates crop growth and simulates water and nitrogen balance at a daily time step by simulating processes of soil water, nutrient, and plant growth, along with developmental processes for the formation of final crop yield and yield components. The model simulates six phenological stages for a maize plant. Each phenological stage is controlled by environmental factors such as water, sunlight, atmospheric gases, etc., in addition to weather factors and plant genetics.

The AquaCrop model was developed by the Food and Agricultural Organization (FAO) and evolves from the concepts of yield response to crop water use originally presented in the FAO Irrigation and Drainage Paper No. 33 (Doorenbos and Kassam 1979) to a concept of a normalized crop water productivity (Steduto et al. 2009). The model separates the nonproductive soil evaporation from productive crop transpiration and simulates crop biomass of herbaceous crops directly from actual crop transpiration through a normalized water productivity parameter under different biophysical and management conditions (Raes et al. 2009; Steduto et al. 2009; Steduto et al. 2012). The model maintains an optimal balance between accuracy, robustness, and simplicity, by requiring a relatively small number of model input parameters but ensuring realistic simulation of crop responses to environment through fundamental and often complex biophysical processes. The AquaCrop model has been validated and applied successfully for several crops under different environmental and agronomic settings (Hsiao et al. 2009; Todorovic et al. 2009; Araya and Stroosnijder 2010; Araya et al. 2010a, b; Stricevic et al. 2011; Abedinpour et al. 2012; Vanuytrecht et al. 2014).

In the absence of detailed in-season experimental crop growth data, this study was undertaken to explore the feasibility of using multi-environment maize variety trial data for the evaluation of two structurally different crop simulation models. Two

specific objectives of this study were to calibrate DSSAT and AquaCrop models using multiple year and multiple location maize variety trial data; and to use the calibrated models in simulating long-term historic yields and compare them with observed yields to conclude whether the performance of the two assessed models is comparable in predicting maize yield in NHP.

## METHODOLOGY

### *Data*

University of Nebraska, Lincoln Extension's Crop-Watch portal provides information on the institute's variety testing program. Extensive hybrid and variety trials for maize, soybean and other crops are conducted at various locations spread throughout the state to help the producers, extension personnel, and researchers identify the best performing varieties/hybrids according to their needs. For this study, yield data from maize variety trials conducted from 2009 to 2015 were used (Regassa et al. 2009, 2010, 2011, 2012, 2013; Regassa and Shapiro 2014, 2015). Three maize hybrids were selected for modeling, based on the criterion that the varieties were grown in all three counties from 2009 until 2015. Maize cultivars selected were Pioneer 33D49, Nutech/G2Genetics G5H513, and Nutech/G2Genetics G5X411. The locations selected were Clay, Phelps and Lincoln counties in Nebraska (Figure 1). The locations were selected based on availability of continuous weather data for the location where the variety trials were conducted. Irrigated trials designed to have no water stress and pest and disease damage were selected.

The input data required to run the crop models include daily air temperatures (maximum and minimum), precipitation, and solar radiation, along with soil properties, management information (e.g., date of planting, row spacing, and plant population), and hybrid-specific genetic coefficients that are required by the models to simulate yield (Sharda et al. 2017). Observed climate data for all the counties were obtained from University of Nebraska High Plains Regional Climate Center's Automated Weather Data Network (AWDN 2018). Twenty-eight years (1988–2015) of climate data from three weather stations located near the variety trial sites were downloaded. The soil types varied with year for some of the variety trials (in which fields within a county were different among some years) but for this analysis the most common soil type was used for each location. The soil type was Crete silt loam for Clay, Holdrege silt loam

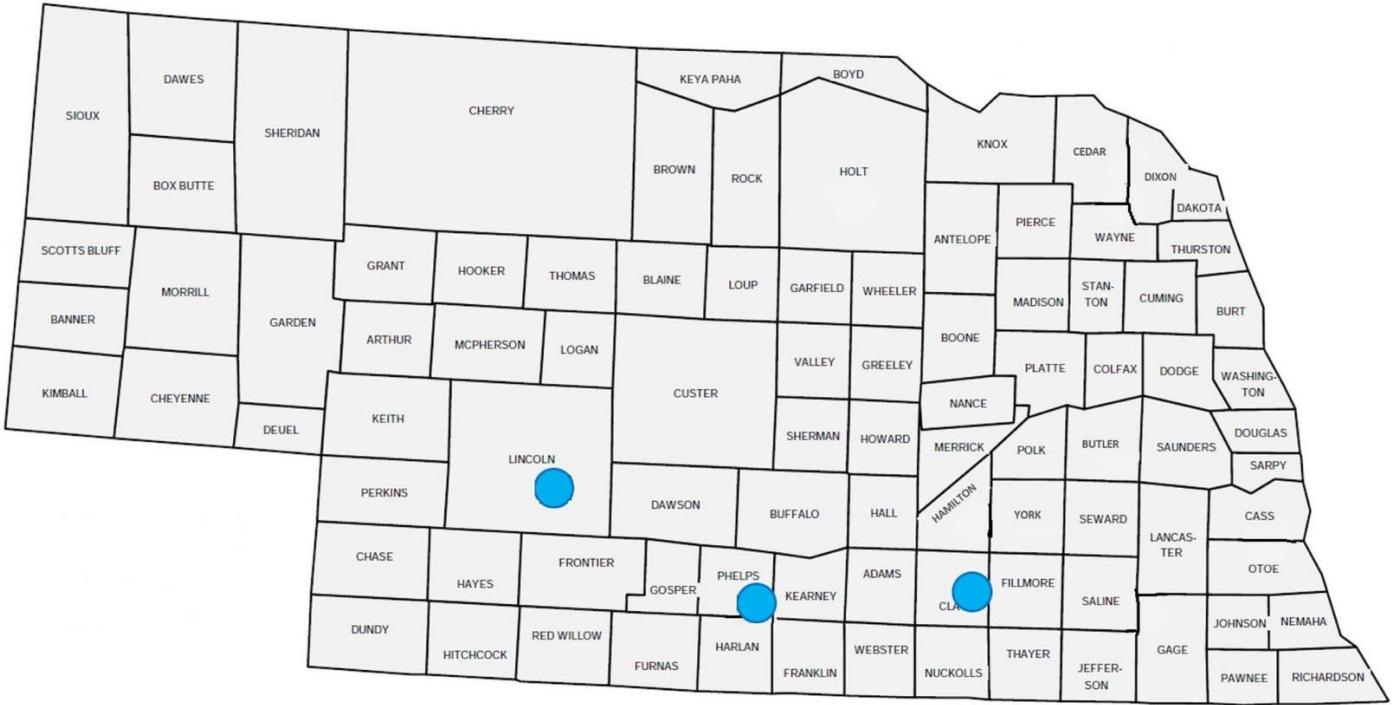


FIGURE 1. Irrigated maize variety trial sites selected for this study: Clay, Phelps, and Lincoln Counties in Nebraska (Adapted from Regassa et al., 2012).

for Phelps, and Cozad silt loam for Lincoln. The Natural Resource Conservation Service National Cooperative Soil Survey (NCSS 2013) Soil Survey Geographic database that provides soil data for more than 95% of the counties of the conterminous U.S., was used to download the soil profiles for study sites. The soils data were then converted to DSSAT soil profile using the methodology given in Sharda et al. (2017). National Agricultural Statistical Service (USDA NASS 2018) historic county yield data were used to compare simulated maize yields with observed county averages.

In DSSAT crop management details such as planting dates, plant population, row spacing, and fertilizer type and amount were set to what was reported in irrigated variety trial reports. The plant population was set to 6 plants/m<sup>2</sup>, row spacing of 30 cm and planting depth of 7 cm was used. Irrigation amounts and dates are not specified in the variety trials, therefore, irrigation in the model was set to no water stress or “irrigate when needed” setting. Under this setting, DSSAT attempts to minimize water stress throughout the growing season by applying irrigation based on soil water content (McNider et al. 2015). Similarly, for AquaCrop crop planting, harvesting date and plant density as reported in crop variety trial reports was used. The plant population was set to 6 plants/m<sup>2</sup> at a row spacing of 30 cm.

### Model Calibration

**DSSAT.** Yield and phenology in CERES-Maize are determined by six genetic coefficients, and the purpose of calibration is to obtain reasonable estimates of these coefficients by comparing simulated yield data with observed data. These genetic coefficients are thermal time from seedling emergence to the end of the juvenile phase (P1), extent to which development is delayed for each hour increase in photoperiod above the longest photoperiod at which development proceeds at a maximum rate (P2), thermal time from silking to physiological maturity (P5), maximum possible number of kernels per plant (G2), kernel filling rate during the linear grain filling state and under optimum conditions (G3), and the interval in thermal time (degree days) between successive leaf tip appearances (PHINT) (Table 1).

The first step of calibration included adjusting Soil Fertility Factor (SLPF) as it is one of the most important factors that impact simulated yield (total biomass) (Guerra et al. 2008) and is accredited with impacting soil fertility and soil-based pests (Bao et al. 2017). Due to lack of observations of biomass, SLPF was manually adjusted to minimize root mean square error (RMSE) between observed and initial simulated yield. Adjustment of SLPF was followed by use of GENCALC (Hunt et al. 1993) to calibrate the genetic

TABLE 1. Cultivar coefficients for the Crop Estimation through Resources and Environmental Synthesis (CERES)-Maize model.

Coefficient	Definition	Units	Min.	Max.
P1	Thermal time from seedling emergence to end of juvenile phase	°C days	110	458
P2	Extent to which development is delayed for each hour increase in photoperiod above the longest photoperiod at which development proceeds at a maximum rate	day/h	0	3
P5	Thermal time from silking to physiological maturity	°C days	390	1,000
G2	Maximum possible number of kernels per plant	kernel/plant	248	990
G3	Kernel filling rate during the linear grain filling state and under optimum conditions	mg/day	4.4	16.5
PHINT	Interval in thermal time between successive leaf tip appearances	°C days	30	75

coefficients. GENCALC uses genetic coefficients of the default cultivar selected and iterates to a best value of the coefficient by lowering the RMSE between observed and simulated variables. Since only observed yield was available for calibration, the rule for calibration in GENCALC was set to only yield. Using this rule, the genetic coefficients G2 and G3 were automatically calibrated using GENCALC. Remaining cultivar coefficients P1, P2, P5, and PHINT were manually adjusted to further diminish the difference between observed and simulated yields. The cultivars Pioneer 3394, H512, and 2600–2650 GDD, available in DSSAT databases were used as base cultivars to calibrate the cultivars Pioneer 33D49, Nutech/G2Genetics G5H513, and Nutech/G2Genetics G5X411, respectively.

**AquaCrop.** In AquaCrop, crop biomass is directly related to crop transpiration, which is directly influenced by the canopy cover (CC). Therefore, calibration of AquaCrop was done by adjusting the crop parameters to determine the development of CC. The canopy growth coefficient, canopy decline coefficient, maximum CC, days to emergence, days to senescence, and days to full maturity are the main parameters that determine the development of CC (Steduto et al. 2009). These parameters were iteratively adjusted until the RMSE between the simulated yields and field level measured yields are minimized.

### Maize Yield Simulation

Following calibration and statistical evaluation, both DSSAT and AquaCrop models were used to simulate yield under irrigated conditions for Clay, Lincoln, and Phelps counties using long-term historical weather data from 1988 to 2015. The simulated results from both the models were analyzed to assess the differences between end of season yield simulations between the two models for different environments, but using the same crop management as was used in the variety trial data. The methods used in

DSSAT to simulate various processes in the model include the FAO 56 (Allen et al. 1998) and the Suleiman-Ritchie (Suleiman and Ritchie 2003) options for estimating reference evapotranspiration and soil evaporation, respectively, and the Soil Conservation Service option for estimating infiltration. The simulated yields averaged over the cultivars for each location were compared with NASS (USDA NASS 2018) historic county averages.

### Statistical Analysis

Statistical criteria commonly used for evaluating crop models (Anothai et al. 2008; Bao et al. 2017) were selected for this study. These include RMSE and index of agreement ( $d$ ) or  $d$ -stat (Willmott et al. 2012), given by Equations (1 and 2), respectively:

$$\text{RMSE} = \sqrt{\frac{\sum_{i=1}^n (P_i - O_i)^2}{n}}, \quad (1)$$

$$d = 1 - \left[ \frac{\sum_{i=1}^n (P_i - O_i)^2}{\sum_{i=1}^n (|P'_i| + |O'_i|)^2} \right], \quad (2)$$

where  $n$  is the number of observations,  $P_i$  is the simulated value for the  $i$ th measurement,  $O_i$  is the observed value for the  $i$ th measurement, and  $P'_i = P_i - \bar{O}$  and  $O'_i = O_i - \bar{O}$ , where  $\bar{O}$  is the mean of all observations. “ $d$ ” measures the relative erroring model estimates and indicates the degree to which simulated and observed values show similar variation from the observed averages (Zeke et al. 2011). The closer the value of  $d$ -stat is to 1, the better the simulation with a value of 0 indicating complete disagreement (Greaves and Wang 2016). The smaller the RMSE value is, the better the simulation fit. The calibrated values for the three maize cultivars were copied into DSSAT cultivar (CUL) file to further simulate maize yields and evaluate the results.

## RESULTS AND DISCUSSION

*Model Calibration*

As the first step of cultivar calibration in DSSAT, the soil fertility factor, SLPF were determined to be 0.9, 0.82, and 0.75 for Clay, Lincoln, and Phelps counties, respectively (Table 2). Values of observed and simulated yields averaged over the cultivars along with RMSE and *d* stat for calibrated SLPF values are given in Table 2. The average percentage difference between observed and simulated yields was <7% in all the counties. RMSE value was the lowest for Clay county (1,388 kg/ha), whereas it was approximately 1,865 kg/ha for both Lincoln and Phelps county. Phelps County had the lowest average *d*-stat value of 0.29 among the three counties. Low value of *d*-stat in Phelps County can be attributed to the fact that *d*-stat is overly sensitive to extreme values due to the squared differences and any large deviations in values can strongly influence it (Yang et al. 2014). RMSE values for Phelps County are comparable to both Clay and Lincoln Counties.

The calibration of cultivars Pioneer 3394, H512, and 2600–2650 GDD based on cultivars Pioneer 33D49, Nutech/G2Genetics G5H513, and Nutech/G2Genetics G5X411, respectively, involved calibrating growth and phenology coefficients. The values of the cultivar coefficients are given in Table 3. These values of GENCALC calibrated coefficients G2 and G3 ranged from 526 to 903 kernel/plant and 9 to 15.5 mg/day, respectively. The calibrated values of G3 were higher than the base cultivars in case of all three cultivars indicating a higher grain filling rate for Pioneer 33D49, Nutech/G2Genetics G5H513, and Nutech/G2Genetics G5X411 as compared to the base cultivars selected for calibration. The higher value of G3 for G5X411 among the calibrated cultivars indicated that it is a higher yielding cultivar as compared to the other two. The values for other cultivar coefficients that were manually adjusted to match observed yields include P1, that ranged from 185°C to 237°C days; P2 from 0.3 to 0.75 day/h; P5,

TABLE 2. Soil fertility factor (SLPF) for three counties and observed (Obs.) and simulated (Sim.) maize yield. Statistics include root mean square error (RMSE); and index of agreement (*d*-stat) between simulated and observed yield.

Location	SLPF	Obs. yield (kg/ha)	Sim. yield (kg/ha)	RMSE	<i>d</i> -stat
Clay	0.9	16,250	15,299	1,388	0.78
Lincoln	0.82	14,451	14,573	1,865	0.68
Phelps	0.75	15,484	14,794	1,863	0.29

TABLE 3. Calibrated values of cultivar coefficients for Pioneer 33D49, Nutech/G2Genetics G5H513, and Nutech/G2Genetics G5X411 for Decision Support System for Agrotechnology Transfer (DSSAT) and AquaCrop.

Parameter	Pioneer		
	33D49	G25H513	G25X411
DSSAT, CERES-Maize			
P1	236.8	185.0	215.0
P2	0.31	0.75	0.35
P5	878	850.0	875.0
G2	840	902.9	526.1
G3	9.25	12.58	15.40
PHINT	42.88	49.0	40.00
AquaCrop			
Canopy growth coefficient (%/day)	14.5	15.2	14.5
Maximum canopy cover (CCx, %)	95	99	95
Canopy decline coefficient (%/day)	9.0	7.4	8.7
Upper threshold for stomatal closure	0.65	0.69	0.69

from 850°C to 878°C days; and PHINT, that varied between 40°C and 49°C days. In case of Nutech/G2Genetics G5H513, the value of PHINT was same as that of the base cultivar selected for calibration.

AquaCrop was also satisfactorily calibrated and validated in this study. During calibration, parameters were adjusted until they provided a better index of agreement and lower RMSE values. The calibrated values of AquaCrop adjusted parameters are given in Table 3. For other parameters, we have adopted the standard AquaCrop values for maize.

The maize yield simulated by DSSAT CERES-Maize during calibration period strongly agreed with the observed values (Table 4). A comparison of simulated and observed yields for each county and cultivar combination with the lowest RMSE are shown in Figure 2. For Lincoln County, different cultivars had the lowest RMSEs for DSSAT and Aquacrop so two datasets are presented in Figure 2c, DSSAT — G25H513 and Aquacrop — Pioneer 33D49. The lowest RMSE during calibration was 920 kg/ha in Clay county for the Cultivar G25X411, 1,305 kg/ha in Clay county for Pioneer 33D49, and 1,315 kg/ha in Lincoln county for G25H513. The deviation of the simulated yield from observed yield for maize ranged between <1% and 7%. It is important to note that the performance of DSSAT varied between locations and among cultivars. For most of the simulations, the model overestimate yield, which is expected since the model does not consider limitations like pests, weeds, etc. During certain years (e.g., 2014), maize yield was underestimated, but since the deviation of yield was in the range of less than 10%, it was not considered to be

an issue with the calibration of the model (Bao et al. 2017; Araya et al. 2017a, b, c).

The  $d$ -stat mostly ranged between 0.6 and 0.9 indicating that the model simulated the observed values adequately during calibration. Overall, the statistical evaluation of the model indicated that simulated the maize yield satisfactorily. There have been several studies in the past in the NHP region where crop simulation models have been found to satisfactorily simulate grain yield for different environments (Saseendran et al. 2013; Liu et al. 2015; Araya et al. 2017a, b, c). To summarize, DSSAT simulated maize yield for the dataset evaluated demonstrated a fair agreement with observed yield and was comparable to the calibration dataset.

AquaCrop simulated maize yield well during the calibration period with RMSE ranging from 740 to 1,820 kg/ha between cultivars and locations. The relationship between the measured and simulated yield was fair. Index of agreement obtained was comparatively lower as compared to some of the other similar simulations carried out in the region (Araya et al. 2017a, b, c) and other places (Heng et al. 2009; Hsiao et al. 2009).

Performances indices of DSSAT were better than AquaCrop for most of the location and cultivar combinations. This was expected due to simplification of complex processes in AquaCrop (Araya et al. 2017a, b, c) as compared to DSSAT. The performance of AquaCrop during calibration period was better in Phelps county for cultivar G25X411 where the index of agreement and RMSE for AquaCrop were 0.6 and 740 kg/ha, respectively, as compared to 0.2 and 1,216 kg/ha in case of DSSAT. However, there is overestimation and underestimation in some counties for all the cultivars calibrated. When compared with observed yields, the percentage difference between Aquacrop simulated yields ranged between 0.6% and 11% among locations and hybrids.

### Maize Yield Simulation

Comparison of long-term historic DSSAT yield simulations with NASS yields (years 1988–2015) averaged over cultivars for all the locations are given in Figure 3a. The model was able to capture the yield variability over the time of simulation, which is most likely attributed to environmental factors. It was found that the difference between simulated and measured yield was higher in the early 1990s and the simulated and observed maize yields converged in the year 2001 onwards. This trend is expected since the present day cultivars are improved, high yielding varieties as compare to the cultivars that existed nearly 30 years ago (Richards 2006; Grassini et al. 2015a, b; Qian and Zhao 2017). The crop management factors remained same over the simulation period and actual historic weather data were used, so the yield variation in Figure 3 could be the explained by the use of genetically improved cultivars (e.g. drought tolerant hybrids) as well as variation in crop management practices over time. Some of the other factors not considered in this study that could attribute to the yield difference between observations and simulations could include factors like introduction of conservation tillage as well as transgenic pest control (Edgerton 2009). Since irrigated yields remove the impact of rainfall variability on yield (Bao et al. 2017), this variation in yield indicates that environmental conditions and weather play a significant role in the yield variability from year to year and from one location to another. For AquaCrop (Figure 3b), the simulated yield follows a similar trend of converging to NASS observed yields year 2000 onward. In contrast to DSSAT simulations, these simulated yields, however, show smaller variability over time and remain in the same range from 1988 to 2015.

The variation in simulated maize yield for 28 years (1988–2015) for both models at each location are presented in Figure 4. The average simulated yields for

TABLE 4. The average simulated maize yield for DSSAT and AquaCrop calibration of the three cultivars. Statistics include index of agreement ( $d$ ); and RMSE of simulated and observed yield.

County	Pioneer 33D49			G25H513			G25X411		
	Yield, kg/ha	$d$	RMSE	Yield, kg/ha	$d$	RMSE	Yield, kg/ha	$d$	RMSE
DSSAT									
Clay	15,472	0.7	1,305	16,601	0.7	1,939	16,270	0.9	920
Lincoln	14,850	0.6	2,865	14,892	0.7	1,315	16,233	0.6	1,414
Phelps	15,186	0.2	2,557	16,088	0.4	1,816	16,291	0.2	1,216
AquaCrop									
Clay	14,941	0.5	1,323	16,360	0.6	1,481	16,165	0.8	1,216
Lincoln	13,752	0.3	1,606	13,752	0.4	1,887	14,547	0.5	1,821
Phelps	13,709	0.3	1,726	16,014	0.3	2,064	14,781	0.6	740



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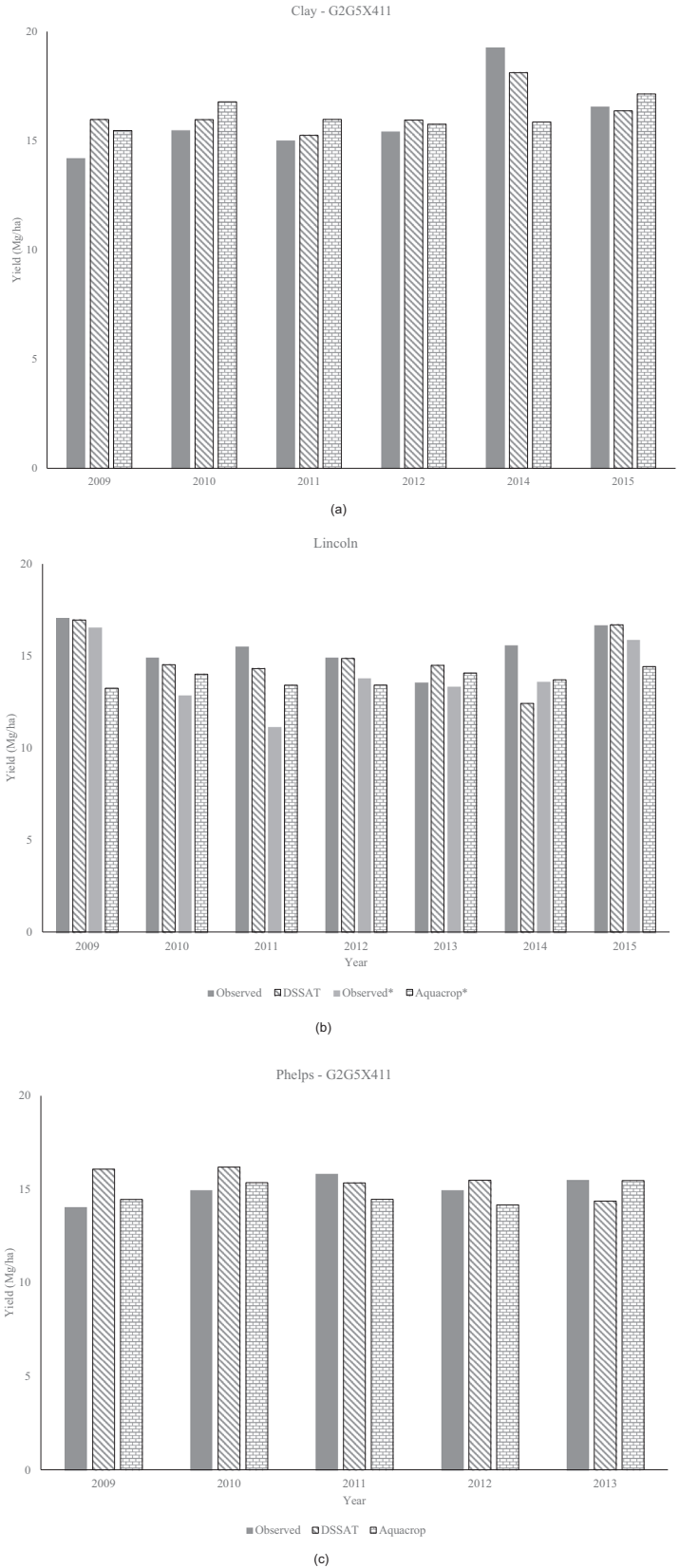


FIGURE 2. A comparison of observed yields with DSSAT and Aquacrop simulated yields for different cultivar and locations (a) Clay County (b) Lincoln County and (c) Phelps County. \*For Lincoln County, different cultivars had the lowest RMSEs for DSSAT and Aquacrop so two datasets are presented in this figure, DSSAT — G25H513 and Aquacrop — Pioneer 33D49.

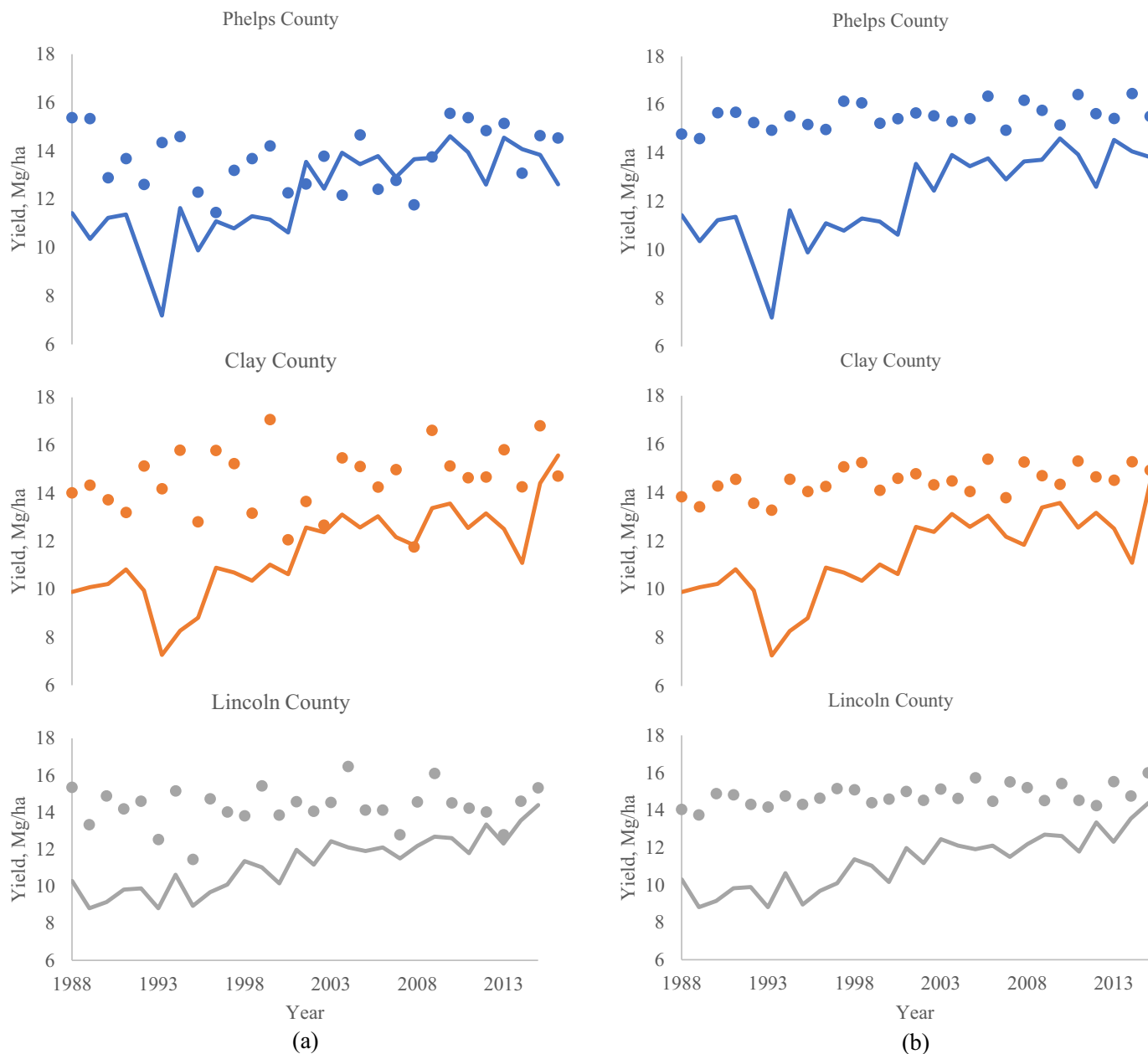


FIGURE 3. Comparison of observed historic National Agricultural Statistical Service (NASS) County yields for years 1988–2015 with simulated DSSAT (a) and AquaCrop (b) yields. Lines represent observed NASS yields and dots represent simulated yields. Simulated yields are averaged over the cultivars.

DSSAT ranged from 11.4 to 17 Mg/ha with a median yield of 14.25 Mg/ha at the three locations. DSSAT yields from Phelps County had the most variation and Lincoln County had the least. Simulations of AquaCrop were similar to DSSAT in Lincoln County with maximum yield about 50 kg/ha more than DSSAT. AquaCrop, overall, had less variability than DSSAT simulations, which is also evident from the time series analysis of averaged yields (Figure 3). The minimum yields simulated with AquaCrop were higher than DSSAT simulated yields in both Clay

and Phelps counties. AquaCrop yields ranged from about 13.5 to 16.65 Mg/ha over the three locations. Simulated yields averaged over year 2000 onwards (2000–2015) and three different cultivars indicate that both DSSAT and AquaCrop overestimated yields at all three locations (Figure 5) as compared to observed county yields with the difference between simulated and observed yield ranging from 0.3% to 19%. DSSAT yields were found to be closer to be observed yields as compared to AquaCrop yields at all locations with the variation in <0.3% to 12%. This

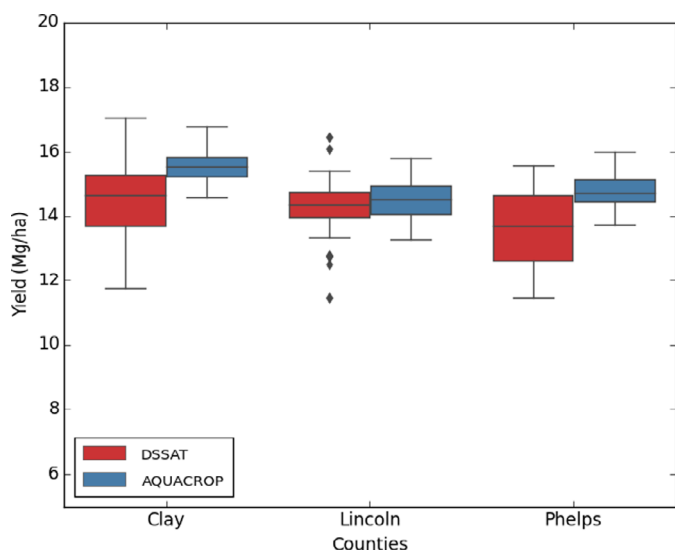


FIGURE 4. Variation in simulated maize yields by DSSAT and AquaCrop models.

overestimation, although high, is close to the calibration period (0.6%–11%) and is in agreement with several studies that have adopted similar approach for calibrating the models (Klein et al. 2012; Bao et al. 2017; Adnan et al. 2019). There are several factors that are not accounted for in the models used and could have attributed toward the overestimation of crop yield. These factors include, but are not limited to, several biotic and abiotic stresses (Garibay et al. 2019) as well as pedo-climatic conditions (Brilli et al. 2017).

## SUMMARY AND CONCLUSIONS

Before crop models can be used as tools to help in agricultural management decision making, they need to be tested extensively at regional levels (Asseng et al. 2013). This process of calibrating different cultivar coefficients is important to establish confidence in model simulations. However, many times detailed in-season crop growth data are unavailable to be used in the calibration process. This study was designed to demonstrate the use of regional variety trial data from various locations in Nebraska to calibrate DSSAT and AquaCrop crop models for maize in absence of in-season crop growth data. The variety trials data used in this study were obtained entirely from a public source, so that this approach and methodology could be easily reconducted in other regions.

Both DSSAT (CERES-Maize) and AquaCrop models were calibrated for three popular cultivars grown

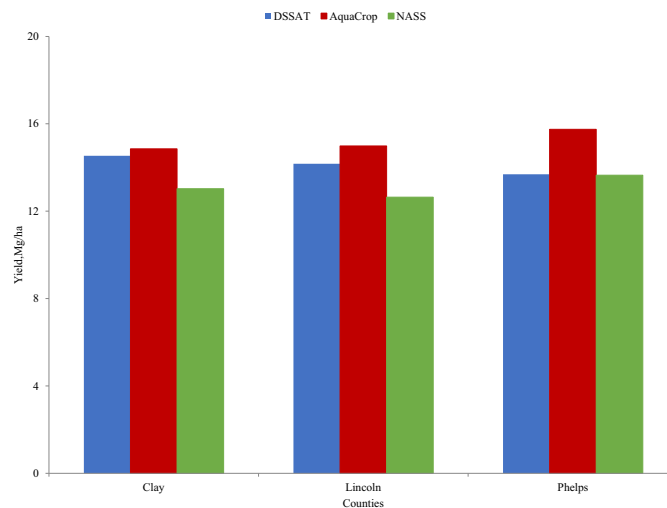


FIGURE 5. Comparison of simulated yield averaged over 16 years (2000–2015) and cultivars (P33D49, G25H513, G25X411) with observed NASS county yields at all the three locations studied in Nebraska for DSSAT and AquaCrop models.

in NHP region using the University of Nebraska CropWatch variety trial data from Clay, Lincoln and Phelps counties during the years 2009–2015. The calibrated models were used to simulate maize yield based on long-term historic weather data (1988–2015). The simulated yields were then compared with observed NASS yields.

The study demonstrated that the calibration performance of both DSSAT and AquaCrop models was, in general, representative of the observations for the three cultivars calibrated at all the three locations. The results indicated that both the models studied have the capability of simulating maize yields at a regional scale in absence of detailed in-season growth data for calibration. The differences that exist between the performance of the two models, despite using the exactly same crop management, weather and other input data, could be attributed to the fact that both the models are structured differently. For all the locations studied the median simulated maize yield of AquaCrop was higher than that of DSSAT and both the models overestimated yield as compared to observed county averages. Overall, both the models were able to simulate maize yield reasonably well as compared to observed yields both during calibration as well as historic time series.

Description of agricultural systems and their performance through system analysis and understanding the natural processes behind them is the core principle behind crop models and their use as decision support tools. As stated earlier, the calibration of these crop growth and agricultural systems models requires an integrated research approach between setting up field experiments and recording intensive in season

crop development data. This method presented in our study of using multi-environment crop variety trial data to estimate the cultivar coefficients of crop is a good alternative when detailed crop growth data from during the season are not available. Availability of breeder/variety trial datasets from different locations and over a range of crop management scenarios like planting dates when combined with a systematic approach can prove helpful in calibrating and using crop models in data scarce environments (Adnan et al. 2019). We found that a large number (multiple years and multiple locations) of variety trials that have only end of season yield data can be successfully used to derive cultivar information to use in two different crop models. Although the long-term simulations indicated some uncertainty in the model behaviors, use of two different crop models that have different descriptions for various crop growth and other physical processes could be useful in generating more reliable results than using averages. The results also highlighted that there is a necessity for adjusting model parameters for regional conditions. This need could be discounted when using data from locations with different climatic conditions within a region (Klein et al. 2012) (e.g., variety trials).

As we move toward advanced application of crop models to study impacts of changing climate and improved hybrids, among others; use of multimethod and multimodel ensembles will improve the risk assessment and forecasts of crop production (Liu et al. 2016). While not always better, this approach of using multimodels when detailed, in season crop growth data are not available, could have a wide variety of applications in both research and policy. Obtaining end-of-season yield estimates at the field scale over a region from inexpensive sources (e.g., field variety trials conducted by Land-grant institutions) could improve the ability to conduct evaluations of various management interventions on our agricultural production systems. Other applications of this approach could be in studying and understanding yield gaps and their sources in absence of extensive crop management data (Burke and Lobell 2017).

As discussed earlier, crop models have been extensively utilized in yield impact studies under various circumstances and environment. With integration of various models (Haacker et al. 2019) becoming more common, crop models can also play a significant role in studying the overall sustainability of water resources for crop production. Several recent studies have utilized DSSAT, AquaCrop and other crop simulation models to optimize the use of groundwater for irrigation in the Ogallala Aquifer Region and NHP (Rad et al. 2020; Xiang et al. 2020). Sustainability studies of water resources in a changing environment have also used crop models (Araya et al. 2017a, b, c;

Sharda et al. 2019) to understand the impact on crop yields under different irrigation strategies.

It is important to note that although the results of this study showed that variety trials data can be a valuable for crop model calibration for areas where detailed in-season crop growth data from field experiments are not available, calibration of crop models based on end of season yield is not a replacement of calibration procedure when more elaborate data are present.

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#### AUTHORS' CONTRIBUTIONS

**Vaishali Sharda:** Conceptualization; data curation; formal analysis; investigation; methodology; software; validation; visualization; writing-original draft; writing-review & editing. **Mesfin M. Mekonnen:** Data curation; formal analysis; investigation; methodology; software; validation; writing-original draft; writing-review & editing. **Chittaranjan Ray:** Funding acquisition; project administration; resources; supervision; writing-review & editing. **Prasanna H. Gowda:** Funding acquisition; project administration; resources; supervision; writing-review & editing.

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