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Vacuum ultraviolet photoabsorption spectroscopy of space-related ices: formation and destruction of solid carbonic acid upon 1 keV electron irradiation

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ABSTRACT

Context. Carbonic acid (H_2CO_3) is a weak acid relevant to astrobiology which, to date, remains undetected in space. Experimental work has shown that the β -polymorph of H_2CO_3 forms under space relevant conditions through energetic (UV photon, electron, and cosmic ray) processing of CO_2 - and H_2O -rich ices. Although its α -polymorph ice has been recently reassigned to the monomethyl ester of carbonic acid, a different form of H_2CO_3 ice may exist and is synthesized without irradiation through surface reactions involving CO molecules and OH radicals, that is to say γ - H_2CO_3 .

Aims. We aim to provide a systematic set of vacuum ultraviolet (VUV) photoabsorption spectroscopic data of pure carbonic acid that formed and was destroyed under conditions relevant to space in support of its future identification on the surface of icy objects in the Solar System by the upcoming Jupiter ICy moons Explorer mission and on interstellar dust by the *James Webb* Space Telescope spacecraft.

Methods. We present VUV photoabsorption spectra of pure and mixed CO_2 and H_2O ices exposed to 1 keV electrons at 20 and 80 K to simulate different interstellar and Solar System environments. Ices were then annealed to obtain a layer of pure H_2CO_3 which was further exposed to 1 keV electrons at 20 and 80 K to monitor its destruction pathway. Fourier-transform infrared (FT-IR) spectroscopy was used as a secondary probe providing complementary information on the physicochemical changes within an ice.

Results. Our laboratory work shows that the formation of solid H_2CO_3 , CO, and O_3 upon the energetic processing of CO_2 : H_2O ice mixtures is temperature-dependent in the range between 20 and 80 K. The amorphous to crystalline phase transition of H_2CO_3 ice is investigated for the first time in the VUV spectral range by annealing the ice at 200 and 225 K. We have detected two photoabsorption bands at 139 and 200 nm, and we assigned them to β - H_2CO_3 and γ - H_2CO_3 , respectively. We present VUV spectra of the electron irradiation of annealed H_2CO_3 ice at different temperatures leading to its decomposition into CO_2 , H_2O , and CO ice. Laboratory results are compared to Cassini UltraViolet Imaging Spectrograph observations of the 70–90 K ice surface of Saturn’s satellites Enceladus, Dione, and Rhea.

Key words. astrochemistry – molecular processes – methods: laboratory: molecular – techniques: spectroscopic – planets and satellites: surfaces – ultraviolet: planetary systems

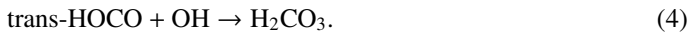
1. Introduction

Carbonic acid (H_2CO_3) is a weak acid, which is of great interest in astrochemistry and astrobiology. On Earth, H_2CO_3 is found in a solution with water (H_2O) and carbon dioxide (CO_2). It plays an important role in the global carbon cycle and in biological carbonate-containing systems (Wang et al. 2016). For instance, about 30–40% of human-induced emissions of atmospheric CO_2 dissolve into the oceans to form carbonic acid (Caldeira & Wickett 2003). Moreover, the chemical weathering of sedimentary rocks mainly occurs due to the action of water, carbonic acid, and oxygen on minerals and rocks (Haldar & Tišljarić 2014). In space, carbonic acid is believed to be present in a variety of different environments including the surface of Mercury’s north pole, within the Martian polar caps, on the

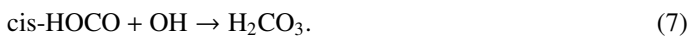
Galilean satellites Europa, Ganymede, and Callisto, on comets, on smaller icy objects in the outer Solar System, and on dust grains in the interstellar medium (ISM, Strazzulla et al. 1996; McCord et al. 1997; Hage et al. 1998; Zheng & Kaiser 2007; Kohl et al. 2009; Peeters et al. 2010; Jones et al. 2014a,b; Delitsky et al. 2017). The rationale behind the assumption that carbonic acid is ubiquitous in space is based on extensive laboratory evidence showing that H_2CO_3 ice is synthesized efficiently upon energetic irradiation of CO_2 : H_2O ice mixtures (Moore & Khanna 1991; Moore et al. 1991; DelloRusso et al. 1993; Brucato et al. 1997; Gerakines et al. 2000; Wu et al. 2003; Garozzo et al. 2008; Lv et al. 2014), with water being the most abundant molecule found in astrochemical ices (Boogert et al. 2015).

Hage et al. (1993) produced H_2CO_3 via acid-base reactions at low temperatures and found two different polymorphs of H_2CO_3 ,

α -H₂CO₃ in methanolic solutions and β -H₂CO₃ in water solutions (Hage et al. 1996a,b; Mitterdorfer et al. 2012). α -H₂CO₃ presents weaker absorption bands in the O–H stretch regions than β -H₂CO₃, which has its strongest absorption band around 2600 cm⁻¹. However, more recently, the synthesis of α -H₂CO₃ in methanolic solutions was reassigned to the monomethyl ester of carbonic acid (CAME, HO-CO-OCH₃, Reisenauer et al. 2014; Köck et al. 2020), leaving the β -polymorph as the only confirmed form of H₂CO₃ to date. It is known that H₂CO₃ synthesized through energetic irradiation of CO₂:H₂O ice mixtures produces amorphous β -H₂CO₃ that crystallises if heated to temperatures above 220 K (Hage et al. 1995, 1996b; Bernard et al. 2013). Zheng & Kaiser (2007) exposed CO₂:H₂O ice mixtures to 5 keV electrons in the temperature range 10–60 K and suggested that β -H₂CO₃ forms through a two-step mechanism involving an initial H₂O-CO₂ complex and the formation of the HOCO intermediate



Zheng & Kaiser (2007) found that the number of H₂O-CO₂ complexes in the mixed ice increases with the deposition temperature and, as a consequence, the formation of H₂CO₃ follows a similar trend. Oba et al. (2010) proved that H₂CO₃ can also form without irradiation through the surface reaction of CO molecules and OH radicals at 10–40 K in a water-rich environment. The structure of H₂CO₃ obtained in this way differs from that of β -H₂CO₃ and it is closer, but not identical, to what was once considered to be the structure of α -H₂CO₃. Hence, a γ -polymorph might have been produced in the work by Oba et al. (2010) from the reaction of CO ice with OH radicals (Köck et al. 2020)



According to Oba et al. (2010), H₂CO₃ can also be synthesized through surface reaction (4), and they found a temperature dependent H₂CO₃ final yield due to the different mobility of species involved in the temperature range 10–40 K. In the gas phase, three distinct conformers of H₂CO₃ exist that differ by the position of the OH hydrogen atoms with the most stable cis-cis, the slightly less stable cis-trans, and the least stable trans-trans conformers (Bucher & Sander 2014). Energetic processing can inject enough energy in the ice to allow the formation and dimerization of the cis-cis conformer leading to the β -H₂CO₃ form of ice, even when starting from reaction (4) (Zheng & Kaiser 2007). On the other hand, the surface reactions (4)–(7) initiated by atom-addition processing, that is without irradiation, at low temperatures can cause the formation of a variety of H₂CO₃ conformers starting from reactions (4) and (7) that may stabilize in the ice forming a different structure than the known β -polymorph (Oba et al. 2010; Mitterdorfer et al. 2012). Although β - and γ -H₂CO₃ do not share a common formation pathway and present spectral features that differ in relative intensity and peak position, the existence of γ -H₂CO₃ is currently speculative and needs to be confirmed in future work.

Moreover, as previously mentioned, there has been no clear detection of H₂CO₃ in space. This is likely due to spectral confusion in the mid-infrared (MIR), where the vibrational modes of H₂CO₃ overlap with those from more abundant species in the solid phase, and the lack of extensive and systematic laboratory data at other spectral frequencies beyond the infrared, such as the vacuum ultraviolet (VUV) spectral range, where H₂CO₃ can potentially show distinct absorption bands allowing its future identification in the Solar System.

Jones et al. (2014a) reported the ultraviolet-visible (UV-vis, 240–600 nm) photoabsorption spectrum of solid H₂CO₃ formed upon 5 keV electron irradiation of a CO₂:H₂O = 5:1 ice mixture at 5.5 K followed by warm up to 216 K. In this case, H₂CO₃ was unambiguously detected mass spectrometrically in the gas phase upon thermal desorption. However, the UV-vis spectra in the region covered in this study did not present any distinctive signature of H₂CO₃ ice, but just an increased slope toward shorter wavelengths. More recently, Pavithraa et al. (2019) presented the first VUV spectra of H₂CO₃ ice in the 120–320 nm range synthesized by irradiating a CO₂:H₂O = 2:1 ice mixture at 10 K by means of the quasi-monoenergetic light (~9 eV photons) of the synchrotron source at the National Synchrotron Radiation Centre, Taiwan. The authors identified a photoabsorption band of H₂CO₃ ice at 200 nm and followed its profile evolution as a function of the sample temperature in the 10–230 K range. Unfortunately, in this case, H₂CO₃ photoproduction was conducted exclusively at 10 K. Zheng & Kaiser (2007) pointed-out that temperatures around 10–20 K do not mimic the majority of Solar System ice surfaces and showed that the H₂CO₃ formation yield increases with temperature in the range 10–60 K when CO₂:H₂O mixtures are exposed to 5 keV electron irradiation. Moreover, Jones et al. (2014b) showed that 5 keV electron irradiation of a layer of H₂CO₃ at 80 K leads to its decomposition into CO, CO₂, and H₂O ices. Therefore, understanding the formation and destruction pathways of H₂CO₃ under ISM and Solar System conditions is important if we are to correctly interpret data from the myriad of observational studies expected in the next decade.

Concerning future Solar System missions, the ESA Jupiter ICy moons Explorer (JUICE) mission is set to make detailed observations of Jupiter and three of its largest moons, that are Ganymede, Callisto, and Europa (Banks 2012). Onboard the spacecraft two instruments will cover the VUV-visible range (i.e., the UVS at 55–210 nm with spectral resolution ≤ 0.6 nm and MAJIS at 400–5400 nm with spectral resolution 3–7 nm). Due to the spectral gap of such instruments around 210–400 nm, it is fundamental to obtain detailed laboratory data covering the full VUV-vis range (115–750 nm) to truly support and inform future observations in the Solar System (Hendrix et al. 2020). Moreover, in the MIR, the upcoming NASA *James Webb* Space Telescope (JWST) mission will map ices with unprecedented spatial and spectral detail, increasing the possibility to detect frozen H₂CO₃ on water-rich ice grains of the ISM.

Here we present the first systematic study of the formation and destruction of both amorphous and crystalline H₂CO₃ ice at 20 and 80 K in the VUV spectral range. Complementary MIR data are also provided. We investigate the formation of β - and γ -H₂CO₃ ice under space relevant conditions and discuss their unique fingerprint in the VUV and MIR spectral ranges to support their future detection in space. Keeping in mind that the γ -polymorph of H₂CO₃ is yet to be confirmed, hereafter we conveniently name solid H₂CO₃ synthesized through reactions (1)–(4) as β -polymorph and H₂CO₃ ice produced through reactions (5)–(7) as γ -polymorph.

2. Experimental

2.1. Experimental setup

The experiments described here were performed using a custom-made portable astrochemistry chamber (PAC), a high vacuum (HV) system with a base pressure of 10^{-9} mbar. The experimental setup is designed to investigate the energetically induced chemistry that takes place in interstellar ices and on the icy surfaces of moons and small objects of the Solar System. More details on the design of the setup can be found in [Ioppolo et al. \(2020\)](#). In brief, the PAC consists of a compact spherical cube chamber connected to a turbo molecular pump, a closed cycle helium cryostat with a base temperature 20 K and a 1 keV electron gun. At the center of the main chamber, a magnesium fluoride (MgF₂) or zinc selenide (ZnSe) substrate window is mounted in a holder made of oxygen-free high conductivity copper (OFHC) in thermal contact with the cryostat. The temperature of the substrate is measured with a silicon diode and can be controlled in the range 20–300 K by means of a Kapton tape heater connected to the OFHC block and regulated with a temperature controller system. The electron beam current of the 1 keV gun was measured at the center of the chamber with a Faraday cup placed instead of the substrate holder, and the flux of electrons used here is $2 \times 10^{13} \text{ e}^-/(\text{cm}^2 \text{ s})$. A high vacuum pre-chamber, with base pressure $\geq 10^{-5}$ mbar, is used to prepare gas samples that are then dosed into the main chamber through an all metal leak valve. A rotary stage mounted in between the main chamber and the cryostat head allows for the rotation of the substrate so that it can be positioned with its surface normal to either (1) the inlet tube of the gas deposition line during deposition of gases; (2) the incident light, when a spectrum is acquired; or (3) the electron beam during irradiation.

Experiments were carried-out at two different sites to access both the VUV (120–340 nm) and MIR (4000–600 cm^{-1}) spectral ranges. The advantage of using the same HV system at two different facilities is that experimental conditions and preparation of ices can be accurately reproduced allowing for a more straight forward comparison of results. VUV photoabsorption spectra were measured on the AU-UV beam line at the ASTRID2 synchrotron light source at Aarhus University, Denmark ([Eden et al. 2006](#); [Palmer et al. 2015](#)). The beam line can produce monochromatized light over the wavelength range 115 to 700 nm using two gratings. The high energy grating settings used for the measurements presented here corresponds to a typical VUV beam flux of 10^{10} photons/s/100 mA and a wavelength resolution of 0.08 nm. The step sizes used for this work were chosen to be between 0.05 and 1 nm, to resolve absorption features of molecules detected in the solid phase. Fourier-transform infrared (FT-IR) spectra of the same ices were measured at the Molecular Astrophysics Laboratory at the Open University (OU), UK ([Dawes et al. 2016](#)). A Nicolet Nexus 670 spectrometer coupled to an external mercury cadmium telluride (MCT) detector was used to acquire FT-IR spectra in transmission mode with absorbance spectra collected at 1 cm^{-1} resolution and with an average of 128 scans. Outside the vacuum chamber, IR spectrometer and purge boxes were constantly flushed with compressed dry air to minimize any gas-phase contribution of atmospheric contaminants along the IR-beam line of sight.

2.2. Experimental procedure

During a standard experiment, the substrate was first cooled down to 20 K, flash-heated to 200 K, and then cooled to

the selected temperature for the experiment to remove possible contaminants from the surface. In this work, experiments were performed at two different temperatures, 20 and 80 K, to simulate ISM and Solar System conditions. Once the substrate was at its selected temperature, a background spectrum was acquired and used as a reference for sample spectra taken afterwards. Pure CO₂ and H₂O samples, and CO₂:H₂O ice mixtures were prepared in a pre-chamber with partial pressures controlled by a mass-independent baratron. Liquid water was deionized and purified (Milli-Q water purifier, EMD Millipore) before being degassed by several freeze-pump-thaw cycles, while gaseous CO₂ was used as received from Sigma-Aldrich (99.995%, CANgas). Gases were admitted into the main chamber by an all metal needle valve. During deposition, pressure was controlled and regulated between $(1-5) \times 10^{-7}$ mbar by means of a mass-dependent ion gauge, which gave deposition rates of between 0.2 and 1 nm s^{-1} .

The thickness of the deposited ice samples at the ASTRID2 facility was determined using a HeNe laser interference technique described elsewhere (e.g., [Born & Wolf 1970](#); [Goodman 1978](#); [Baratta & Palumbo 1998](#)). The thickness of the ice layer was calculated using the following equation

$$l = \frac{\lambda_0}{2n_1 \cos \theta_1} \times N, \quad (8)$$

where λ_0 is the wavelength of the Ne-He laser beam in vacuum (632.8 nm), θ_1 is the angle of the laser within the ice, n_1 the refractive index of the ice film, and N is the number of constructive pattern repetitions during the deposition time. The refractive index n_1 at 632.8 nm was estimated from the ratio of the maxima and the minima of the laser interference pattern ([Born & Wolf 1970](#); [Berland et al. 1994](#); [Westley et al. 1998](#)). Some of the experimental parameters of the investigated ices, such as the refractive index (n), thickness, penetration depth of the electrons in the ice, stopping power (i.e., the energy released by 1 keV electrons into the ice), and the density of the ice, are listed in Table 1. Unfortunately, during the deposition of pure H₂O ice at 80 K at ASTRID2, the HeNe laser was not recorded properly by the photodetector, hence, it was not possible to determine the thickness and refractive index of the ice. However, the deposition pressure monitored from inside the vacuum chamber by an ion gauge and the deposition time were kept the same as for the other experiments. Thus, we expect the thickness to be within 2–3 times that of pure H₂O deposited at 20 K (see also Appendix A).

The ice thickness of samples deposited at the OU was determined by calculating first the column densities of the ice species from the MIR spectra by integrating the Beer-Lambert law equation over the absorption band for a given vibrational transition in the following rearranged equation

$$N_i = \frac{1}{A_i} \int \tau(\nu) \, d\nu, \quad (9)$$

where N_i is the column density [molecules cm^{-2}], the integral $\int \tau(\nu) \, d\nu$ corresponds to the area of the absorption band for a given vibrational mode of a molecular species, $\tau(\nu)$ is the optical depth of the material, i , and $A_i = \int \sigma(\nu) \, d\nu$ is the band strength for the selected absorption band obtained from the literature. The thickness of an ice was then calculated by scaling the column density by a conversion factor (N_V) defined as the number density per unit of volume

$$l = \frac{N_i}{N_V} = N_i \times \frac{Zm_p}{\rho_i}, \quad (10)$$

Table 1. Selected parameters of the main experiments.

Experiment	n	Thickness		Penetration depth [μm]	Stopping power		Density [g cm^{-3}]
		[μm]			[eV/Å]	[eV/16u]	
		VUV	IR		10^{-15}		
CO ₂ at 20 K	1.35	$0.24 \pm 0.02^{(a)}$	$0.53 \pm 0.11^{(b)}$	0.04	2.85	7.79	0.98 ^(c)
CO ₂ at 75 K	1.35	$0.24 \pm 0.02^{(a)}$	$0.25 \pm 0.05^{(b)}$				
H ₂ O at 20 K	1.34	$0.12 \pm 0.01^{(a)}$	$0.76 \pm 0.15^{(b)}$	0.06	1.66	4.45	1.07 ^(d,e)
H ₂ O at 80 K ^(f)			$1.06 \pm 0.22^{(b)}$				0.94 ^(e,g)
CO ₂ :H ₂ O (6:1) at 20 K	1.36	$0.96 \pm 0.09^{(a)}$	$1.87 \pm 0.38^{(b)}$	0.04	2.7	7.37	1.0 ^(h)
CO ₂ :H ₂ O (6:1) at 80 K	1.36	$0.96 \pm 0.09^{(a)}$	$1.57 \pm 0.32^{(b)}$				1.0 ^(h)
			[nm]				10^{-16}
a-H ₂ CO ₃ irr at 20 K ^(i,j)			$0.79 \pm 0.16^{(b)}$	0.06	0.02	0.59	1.0 ^(k)
c-H ₂ CO ₃ irr at 20 K ^(i,j)			$4.81 \pm 0.98^{(b)}$		0.13	3.57	
a-H ₂ CO ₃ irr at 80 K ^(i,j)			$1.09 \pm 0.22^{(b)}$		0.03	0.81	
c-H ₂ CO ₃ irr at 80 K ^(i,j)			$2.41 \pm 0.49^{(b)}$		0.07	1.79	

Notes. ^(a)The uncertainty in the ice thickness values is calculated by assuming a 10% error in the estimation of n ; ^(b)the uncertainty in the ice thickness values is calculated by assuming a 10% error in the band strength and density of the ice; ^(c)Luna et al. (2012); ^(d)Narten et al. (1976); ^(e)Jenniskens & Blake (1994); ^(f)laser measurement not recorded; ^(g)Sceats & Rice (1982); ^(h)average value for a CO₂:H₂O (6:1) mixture; ⁽ⁱ⁾a- and c-H₂CO₃ stand for amorphous and crystalline H₂CO₃ ice, synthesized at either 20 or 80 K and then annealed to 200 and 220 K, respectively; ^(j)solid H₂CO₃ is thinner than the penetration depth of 1 keV electrons; ^(k)assumed value (Peeters et al. 2010).

where Z is the atomic number [amu], m_p is the proton mass [g], and ρ_i is the density of the ice [g cm^{-3}]. From the column density of the ice species in the MIR it was also possible to determine the ratios of the ice mixtures studied.

After deposition, an absorbance spectrum of the deposited sample was acquired. Samples were then exposed to the electron beam of a 1 keV electron gun for three hours for a total fluence of $2.2 \times 10^{17} \text{ e}^- \text{ cm}^{-2}$ corresponding to a dose of $9.9 \times 10^2 \text{ eV/16u}$. The penetration depth of 1 keV electrons was estimated using the CASINO software (Monte Carlo simulation of electron trajectory in solids) to be around $0.04 \mu\text{m}$ for both pure solid CO₂ and CO₂:H₂O (6:1) ice mixtures, and $0.06 \mu\text{m}$ for both pure H₂O and H₂CO₃ ices (see Fig. 1 and Table 1). Since the ice thicknesses of pure and mixed ices were larger than the penetration depth of the impinging electrons, the electrons were implanted into the ice upon irradiation. Absorption spectra were acquired at the end of the irradiation exposure. The irradiated ices were subsequently heated to either 200 or 225 K and then cooled down to the selected temperature, that were 20 or 80 K. The annealing process was used to allow CO₂ and H₂O to desorb from the sample surface leaving the most refractory irradiation products, that was frozen H₂CO₃, in the solid phase (Gerakines et al. 2000).

We have measured the area of the 2626 cm^{-1} absorption band of solid H₂CO₃ and used its band strength, that is $16 \times 10^{-17} \text{ cm molecule}^{-1}$ (Gerakines et al. 2000), to calculate the column density of the synthesized H₂CO₃ using a rearranged form of the Beer–Lambert Law, that is Eq. (9). The thickness of the H₂CO₃ layers was estimated to be in the 0.5–2 nm range, with an assumed density of 1 g cm^{-3} (see Table 1). A spectrum of H₂CO₃ at 20 or 80 K was then used as a reference for sample spectra taken afterwards. At this point, the ice was exposed to electron irradiation again for a time between 30 min and 3 h, depending on the specific experiment, which corresponds to fluences between 3.6×10^{16} and $2.2 \times 10^{17} \text{ e}^- \text{ cm}^{-2}$. The second irradiation was performed to determine products formed by electron irradiation of H₂CO₃ ice (Jones et al. 2014b). It is worthy of note that most of the effects produced by energetic

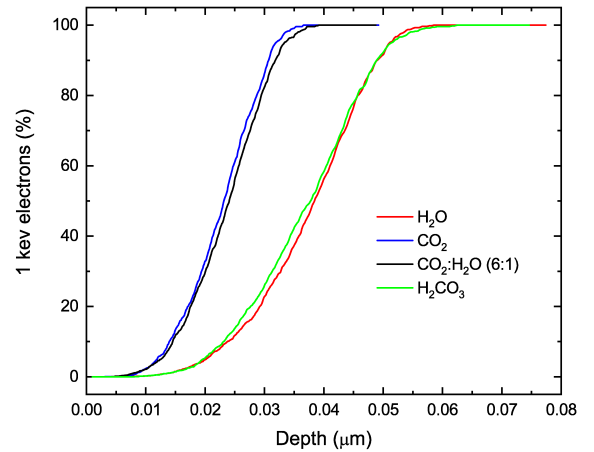


Fig. 1. Results from CASINO simulations of 1 keV electrons trajectories in pure CO₂ and H₂O ices, in a CO₂:H₂O (6:1) ice mixture and in H₂CO₃ ice. For each simulation 1000 trajectories were calculated. All electrons impinging normal to the pure CO₂ and CO₂:H₂O (6:1) ice surfaces are stopped at $0.04 \mu\text{m}$ (solid blue and black lines, respectively), while all electrons are stopped in pure H₂O and H₂CO₃ ices at $0.06 \mu\text{m}$ (solid red and green lines, respectively).

ions interacting with solid matter are due to secondary electrons produced along the ion track in the irradiated sample (Baratta et al. 2002). Thus, studying the effect of electron exposure to ice samples is an essential step towards a better understanding of a variety of energetic processing occurring in space.

3. Results and discussion

Since CO₂ and H₂O ice mixtures are ubiquitously observed in space, a detailed study of their interaction with energetic particles, UV photons, cosmic rays, and electrons, is important to our understanding of chemistry in space (e.g., Gibb et al. 2004; Pontoppidan et al. 2008; Ioppolo et al. 2013). Here we investigate

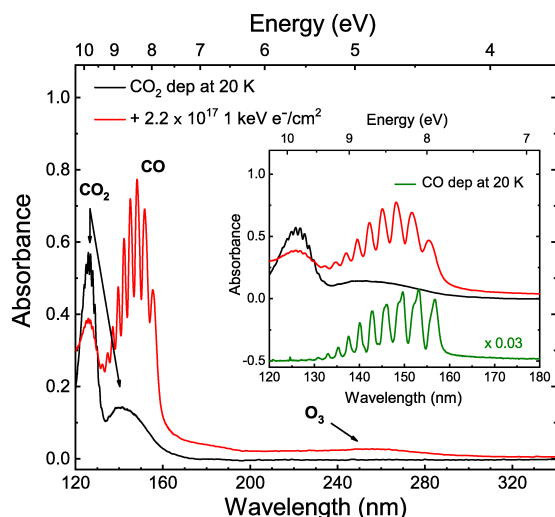


Fig. 2. VUV (120–340 nm) spectra of pure CO₂ ices deposited at 20 K before and after (solid black and red lines, respectively) 1 keV electron irradiation with a total fluence of $2.2 \times 10^{17} \text{ e}^- \text{ cm}^{-2}$, i.e., a dose of $9.9 \times 10^2 \text{ eV}/16\text{u}$. A step size interval of 0.05 nm is used to resolve the fine structures in the spectral range between 120 and 160 nm. The remainder of the VUV spectra are acquired with a step size of 1 nm. A VUV spectrum of pure CO ice acquired with a step size of 0.1 nm is also displayed for comparison (solid green line).

the electron irradiation of pure and mixed CO₂ and H₂O ices to discuss the formation and destruction of frozen carbonic acid and provide the first systematic set of VUV data for the future observation of this species in space.

3.1. Pure CO₂ and H₂O ices

Figure 2 shows a VUV photoabsorption spectrum of solid CO₂ deposited at 20 K (solid black line) in the spectral range 120–340 nm with an inset showing the spectrum of pure CO₂ in the 120–180 nm range. Mason et al. (2006) showed that CO₂ ice presents two broad absorption features in the VUV. Centered at 126 and 140 nm, such spectral bands are assigned to the ${}^1\Pi_g \leftarrow {}^1\Sigma_g^+$ transition due to the promotion of an electron from the $1\pi_g$ to the $3s\sigma_g$ orbitals and to the ${}^1\Delta_u \leftarrow {}^1\Sigma_g^+$ transition due to the promotion of a $1\pi_g$ electron to the $2\pi_u$ orbital (Yoshino et al. 1996). Although the broad CO₂ transition at 140 nm appears quite smooth, the higher energy transition around 126 nm presents extensive vibrational structure (Lu et al. 2008).

Upon 1 keV electron irradiation, the 126 nm band decreases in intensity and a new strong vibrational progression appears in the same spectral region of the broad CO₂ band at 140 nm (solid red line). A comparison with a VUV spectrum of pure CO ice deposited at 20 K (solid green line) reveals that this progression is due to newly synthesized CO molecules in a CO₂ ice and can be assigned to the $\tilde{A}^1\Pi \leftarrow \tilde{X}^1\Sigma^+$ transition (see Table 2 for peak positions, Lu et al. 2005; Mason et al. 2006; Cruz-Diaz et al. 2014a). The VUV vibrational progression of CO ice is recorded with a step size interval of 0.05 nm to highlight its fine structure. The VUV spectrum of photoprocessed CO₂ ice deposited at 8 K as presented by Cruz-Diaz et al. (2014b) is qualitatively similar to our spectrum of electron irradiated ice, confirming formation of CO in the ice. As discussed in Cruz-Diaz et al. (2014b), there is a shift to shorter wavelengths in the vibrational progression of CO mixed with CO₂ with respect to the pure CO transitions (see

Table 2. CO ice electronic transitions observed in the VUV photoabsorption spectra of CO₂ ice deposited at 20 and 75 K and then irradiated with 1 keV electrons at a total dose of $9.9 \times 10^2 \text{ eV}/16\text{u}$ in the 120–160 nm spectral range.

Pure CO		CO ₂ irr., 20 K		CO ₂ irr., 75 K	
[nm]	[eV]	[nm]	[eV]	[nm]	[eV]
127.1	9.75				
129.0	9.61				
130.8	9.48				
133.0	9.32	132.4	9.36		
135.3	9.16	134.8	9.20		
137.6	9.01	137.1	9.04	138.1	8.98
140.1	8.85	139.6	8.88	140.4	8.83
142.8	8.68	142.3	8.71	143.1	8.66
145.6	8.52				
146.1	8.49	145.1	8.54	145.7	8.51
148.6	8.34				
149.6	8.29	148.2	8.37	148.9	8.33
152.0	8.16				
153.1	8.10	151.7	8.17	152.0	8.16
156.7	7.91	155.5	7.97	154.9	8.00

Notes. Data are compared to the transition of pure CO ice deposited at 20 K.

inset of Fig. 2 and Table 2). Moreover, the relative intensity of the bands changes. Another product of the electron irradiation of pure CO₂ is frozen ozone (O₃) identified through the absorption feature at 257 nm corresponding to the Hartley band due to the ${}^1{}^1B_2 \leftarrow X^1A_1$ transition (see Fig. 2, Mason et al. 1996).

The presence of CO and O₃ in the ice suggests that CO₂ is dissociated by 1 keV electrons into CO molecules and O atoms. A detailed discussion on the radiolysis-induced dissociation reactions of CO₂-containing ices is presented in Bennett et al. (2010). The latter can then recombine forming O₂ and O₃ molecules. At 20 K, the newly formed CO, O₂, and O₃ do not desorb and should all be detectable in the VUV spectral range. However, O₂ ice has a strong absorption band at 150 nm that overlaps with the vibrational progression of CO, making its unambiguous detection harder (Ioppolo et al. 2020). At 75 K, only traces of CO₂ molecules and other species are detected in the ice during 1 keV electron processing of the ice (see Fig. A.1). This means that the electron-induced dissociation products desorb efficiently upon formation due to the higher temperature of the ice (Collings et al. 2004, 2015; Acharyya et al. 2007; Noble et al. 2012; Jones et al. 2014a).

Figure 3 presents VUV photoabsorption spectra of water ice. As in the case of pure CO₂ ice, amorphous solid water (ASW) is deposited at 20 K and then further exposed to a 1 keV electron beam with a total dose of $9.9 \times 10^2 \text{ eV}/16\text{u}$ (solid black and red lines, respectively). The ice is then annealed to higher temperatures until complete desorption occurred. The VUV photoabsorption spectrum of high-density ASW at 20 K presents a band in the 120–132 nm range attributed to the transition $\tilde{B}^1A_1 \leftarrow \tilde{X}^1A_1$ (Lu et al. 2008). At 132 nm, there is a local minimum, which is also observed by Lu et al. (2008). The strongest absorption band of ASW in the VUV at 144 nm is assigned to the $\tilde{A}^1B_1 \leftarrow \tilde{X}^1A_1$ (Mota et al. 2005; Mason et al. 2006; Lu et al. 2008; Cruz-Diaz et al. 2014a).

Palumbo (2006) showed the formation of compact solid water after ion irradiation of porous ASW at 15 K. Starting

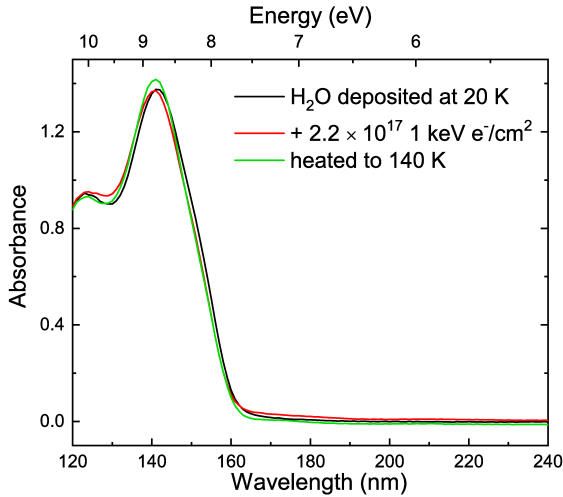


Fig. 3. VUV (120–240 nm) spectra of pure H₂O ices deposited at 20 K before and after (solid black and red lines, respectively) 1 keV electron irradiation with a maximum total fluence of $2.2 \times 10^{17} \text{ e}^- \text{ cm}^{-2}$. A VUV photoabsorption spectrum of the processed ice heated to 140 K is also shown for comparison (solid green line). All VUV spectra are acquired with a step size of 1 nm.

from cubic crystalline ice (I_c), Baratta et al. (1991) and Leto & Baratta (2003) proved that both ion and UV-photon irradiation induce amorphization of I_c when irradiated at lower temperatures. Although we do not exclude morphological changes in the ice upon electron irradiation, under our experimental conditions and settings, there is no evidence for major changes in the spectral profiles of the VUV photoabsorption water bands before and after 1 keV electron irradiation. Hence, H atoms and OH radicals, that are the electron-induced dissociation products of pure ASW ice, recombine efficiently at 20 K. At 80 K, the decrease in intensity of the 144 nm water band upon electron exposure of the ice indicates that some desorption occurs (see Fig. A.1). Both H and OH are volatile species that if synthesized at the surface of the ice can recombine or sublimate (see Fig. A.1, Andersson & van Dishoeck 2008). Some spectral changes are seen upon heating the ice before its full desorption. Particularly, the VUV spectrum of ASW electron irradiated at 20 K and heated to 140 K (solid green line in Fig. 3) presents a slightly sharper feature at 144 nm. Such spectral modifications are likely due to a phase change in the ice at higher temperatures because an amorphous solid water ice layer annealed to 140 K undergoes restructuring toward crystallization before desorption (Jenniskens & Blake 1994; Fraser et al. 2001; Dohnalek et al. 2003; Allodi et al. 2014). Zheng et al. (2006a,b) investigated the electron irradiation of cubic crystalline water ice under ultrahigh vacuum conditions at temperatures between 12 and 90 K and observed a minor production of hydrogen and oxygen, both molecular and atomic, and of hydrogen peroxide that decreases with increasing temperature. These findings strongly indicate a thermal, possibly diffusion-controlled component of the reaction mechanism, which could facilitate the back-reaction toward the reformation of water ice in agreement with our results.

3.2. Mixed CO₂:H₂O ices

To achieve the goal of this work that is the systematical study of the formation and destruction of solid H₂CO₃ in the VUV spectral range, we have first performed an extensive list of control experiments on CO₂:H₂O ice mixtures with different

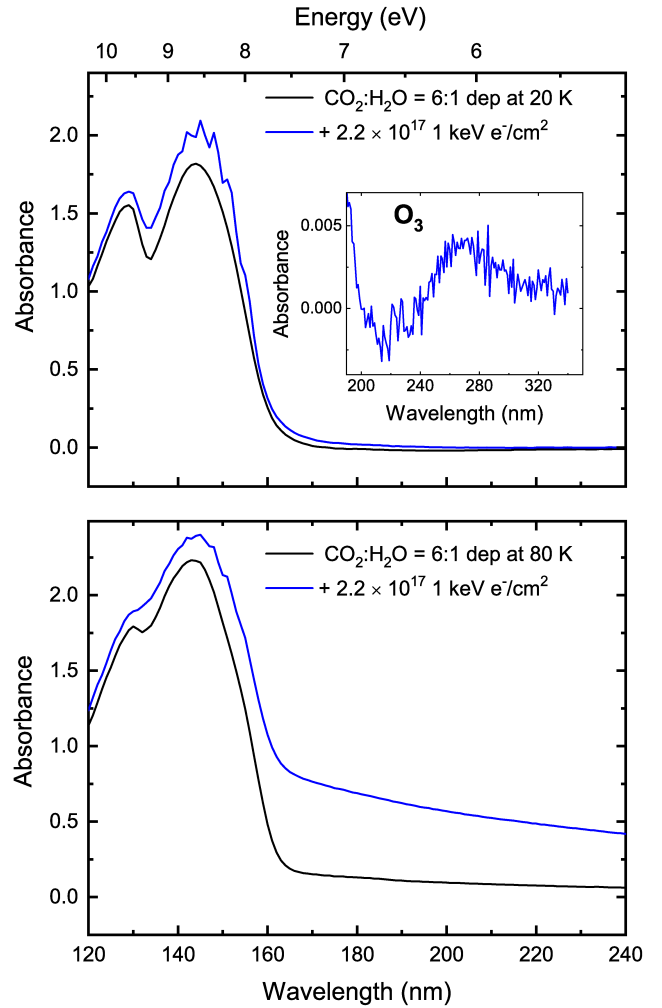


Fig. 4. VUV (120–240 nm) photoabsorption spectra of CO₂:H₂O (6:1) ice mixtures deposited at 20 and 80 K (top and bottom panels, respectively) before and after (solid black and blue lines respectively) 1 keV electron irradiation with a total fluence of $2.2 \times 10^{17} \text{ e}^- \text{ cm}^{-2}$. All VUV spectra are acquired with a step size of 1 nm.

ratios (1:1, 3:1, 5:1, 6:1, 10:1, and 20:1) irradiated with 1 keV electrons for periods between 1 and 6 hours corresponding to doses of $(3.3\text{--}19.8) \times 10^2 \text{ eV}/16\text{u}$, and subsequently annealed to 220 K. The control experiments allowed us to identify the experimental conditions that maximize the production of H₂CO₃ ice upon 1 keV electron irradiation under our experimental settings, that are an initial CO₂:H₂O=6:1 ice mixture and a total dose of $9.9 \times 10^2 \text{ eV}/16\text{u}$. Although, in the ISM, water is the most abundant molecule in the solid phase, our choice of irradiating CO₂:H₂O (6:1) ice mixtures at different temperatures is still astronomically relevant. In many astrophysical environments, as for example icy satellites and other minor objects in the outer Solar System or ice mantles on interstellar grains, solid molecules undergo heating, which causes the segregation of volatile species into more refractory ices. Thus, patches of CO₂-rich ice are expected to exist on the surface of the above mentioned objects.

The top panel of Fig. 4 presents the VUV photoabsorption spectra of a CO₂:H₂O (6:1) ice mixture deposited at 20 K before and after 1 keV electron irradiation (solid black and blue lines, respectively). The step size interval of the VUV photoabsorption spectra is 1 nm. Therefore, as opposed to Fig. 2, in Fig. 4 the

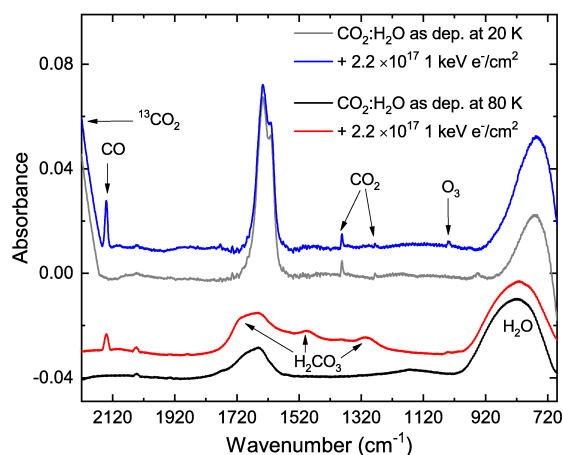


Fig. 5. MIR (2220–690 cm⁻¹) FT-IR spectra of CO₂:H₂O (6:1) ice mixtures deposited at 20 and 80 K before (solid gray at 20 K and black lines at 80 K) and after (solid blue at 20 K and red lines at 80 K) 1 keV electron irradiation with a total fluence of $2.2 \times 10^{17} \text{ e}^- \text{ cm}^{-2}$. The inset shows the difference spectra of the CO₂:H₂O (6:1) ice at 20 K after and before electron exposure.

vibrational progression of solid CO formed upon electron exposure of the ice is not fully resolved. Moreover, the VUV spectra of all the deposited ice mixtures are quite thick (i.e., around 1 μm , see Table 1). Therefore, some of the feature profiles are potentially affected by saturation effects. We note that the electron irradiation fluence is the same as for the VUV experiments of pure CO₂ and H₂O ices. As for the experiment of electron bombardment of pure CO₂ ice, the VUV spectrum after irradiation of the ice mixture at 20 K reveals the formation of solid CO and O₃ (see top panel and its inset in Fig. 4). Moreover, the VUV spectrum of the deposited mixture at 80 K is not much different from the one deposited at 20 K and the VUV spectrum of the electron irradiated mixture at 80 K confirms what has been seen in the case of pure CO₂ ice at 75 K, that is the formation of CO and its sublimation at 80 K. Traces of CO molecules trapped in the ice mixture are visible in the bottom panel of Fig. 4, see the weaker CO vibrational progression. At 80 K, ozone is not detected in the VUV spectra. Hence, it is likely not synthesized in the ice because of the thermal desorption of its parent species, that are O and O₂, upon formation. Finally, a clear slope at wavelengths higher than 160 nm appears in the VUV spectrum of the irradiated ice at 80 K. This slope is most likely to be caused by Rayleigh scattering of light from a rougher ice surface obtained after irradiation at 80 K and is not present in the VUV spectrum at 20 K.

In Fig. 5, MIR spectra of the CO₂:H₂O (6:1) ice mixture deposited at 20 K before and after 1 keV electron irradiation (solid gray and blue lines, respectively) are shown in the 2220–690 cm⁻¹ range. In this region, the water bending mode of the deposited ice at 1630 cm⁻¹ appears split in two peaks, one being due to a H₂O-CO₂ complex at 1637 cm⁻¹ and the other due to pure H₂O ice at 1610 cm⁻¹. Here the CO₂ symmetric stretch (ν_1) is also visible at 1382 cm⁻¹ because CO₂ is mixed with water ice and the sample is thick enough to see this weak feature that otherwise in pure CO₂ ice is infrared inactive (McGuire et al. 2016). There is another H₂O-CO₂ complex at 1275 cm⁻¹ and the libration mode of water ice is observed at 755 cm⁻¹ (Zheng & Kaiser 2007). The electron irradiated ice MIR spectrum shows the formation of CO and O₃ from their stretching modes at 2140 and 1040 cm⁻¹, respectively. The presence of H₂CO₃ in

the ice is detected through the observation of several absorption bands in the inset of Fig. 5, where the difference spectra between the FT-IR spectrum after and before electron exposure is shown. H₂CO₃ present several absorption bands at 1723, 1486, and 1292 cm⁻¹ shown in the inset of Fig. 5, that are the C=O stretch, the C–OH asymmetric stretch and the C–OH in-plane bend, respectively (Gerakines et al. 2000; Zheng & Kaiser 2007). Although the 1723 cm⁻¹ band is usually the strongest of the series, this is not the case in our difference spectra because of changes in the more intense H₂O and H₂O-CO₂ complex absorption bands overlapping with H₂CO₃. The inset of Fig. 5 shows also the tentative detection of cis- and trans-HOCO at 1773 and 1848 cm⁻¹, respectively, with the latter being the less intense (Milligan & Jacox 1971). The presence of both isomers of the HOCO radical suggests that solid H₂CO₃ is formed through both reactions (4) and (7) under our experimental conditions at 20 K. In this scenario, H₂CO₃ forms through the H₂O-CO₂ complex route and also when thermalized OH radicals react barrierlessly with the frozen CO, previously synthesized in the ice at 20 K. The different conformers of solid H₂CO₃ formed through these routes can stabilize in the ice at 20 K, especially because they are likely to be synthesized in isolation within a CO₂:H₂O ice matrix.

At 80 K, as expected, none of the isomers of HOCO are detected upon electron exposure of the ice mixture because of the higher temperature of the ice that induces diffusion and desorption of volatile species (solid red line in Fig. 5). However, three absorption bands due to H₂CO₃ are clearly visible at 1710, 1495, and 1307 cm⁻¹. By comparing the spectra before and after irradiation at 80 K (solid black and red lines), it becomes clear that CO is formed in the ice and a fraction of it stays trapped in the ice matrix at 80 K. A negligible amount of solid O₃ is visible. Differences in CO and O₃ formation yields between pure and mixed ices compared at the same temperatures can be explained by the presence of water in the ice mixture that favors trapping and reaction of parent and product species.

3.3. Formation of pure H₂CO₃ ice

Since H₂CO₃ is more refractory than CO₂ and H₂O, an effective way of producing a pure sample of H₂CO₃ is to heat the ice until full desorption of water and carbon dioxide (Moore & Khanna 1991). Figure 6 shows the VUV absorption spectra of solid pure H₂CO₃ obtained after annealing the processed CO₂:H₂O (6:1) ice mixtures discussed in the previous section. The figure compares four different H₂CO₃ formation experiments, where ice mixtures are deposited and electron processed at 20 and 80 K and then annealed to 200 and 225 K. The choice of annealing the ice to two different temperatures is made to study different morphological structures of solid H₂CO₃ in the VUV spectral range (amorphous versus crystalline, Bernard et al. 2013). All spectra shown in Fig. 6 present an absorption peak at 139 nm, which we assign to H₂CO₃ ice. Here all solid H₂CO₃ spectra are normalized to this peak. To date, the only VUV data on pure H₂CO₃ ice available in literature are reported by Pavithraa et al. (2019), who did not detect the 139 nm feature in their VUV spectra. Figure 2 of their paper shows several H₂CO₃ VUV spectra during heating. Looking more closely at the 130–140 nm region, a shoulder of an absorption feature is actually visible. However, any data points below 130 nm seem to be very noisy, likely because of the lower amount of VUV photons reaching the detector at ≤ 130 nm in Pavithraa et al. (2019).

Interestingly, in Fig. 6 there is a second absorption feature at 200 nm only in the spectra of ices deposited and processed

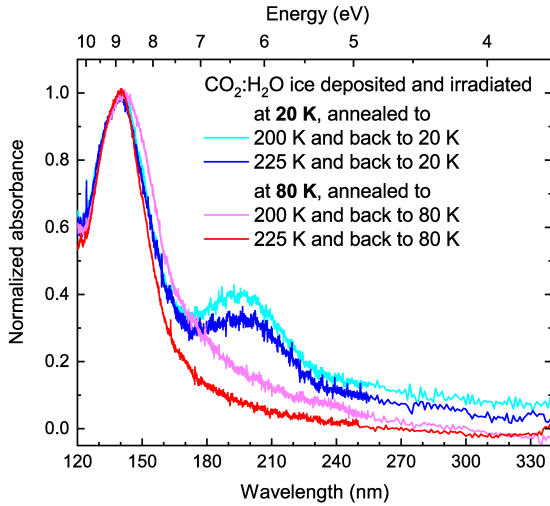


Fig. 6. VUV (120–340 nm) photoabsorption spectra of pure H_2CO_3 ices synthesized upon 1 keV electron irradiation of $\text{CO}_2:\text{H}_2\text{O}$ (6:1) ice mixtures at 20 and 80 K and subsequently annealed to 200 and 225 K to obtain amorphous and crystalline ice, respectively. All VUV spectra are normalized to the 139 nm peak of solid H_2CO_3 . VUV spectra are acquired with a step size interval of 0.05 nm between 120 and 250 nm and an interval of 1 nm between 250 and 340 nm.

at 20 K, regardless of the final annealing temperature. Pavithraa et al. (2019) identified the 200 nm peak as frozen H_2CO_3 . We agree with their assignment and point out that this feature disappears when H_2CO_3 is synthesized at higher temperatures. Pavithraa et al. (2019) performed their experiments only at 10 K, therefore, they could not have noticed such behavior. Their data is, however, in good agreement with our VUV spectra of H_2CO_3 synthesized at 20 K. To better understand this on/off effect of the 200 nm photoabsorption band of pure H_2CO_3 at different temperatures, we need to consider the formation mechanisms involved and the composition of the ice at such temperatures. As confirmed by our data, formation of H_2CO_3 at 80 K most likely occurs through the mechanism suggested by Zheng & Kaiser (2007), that is a two-step mechanism involving an initial $\text{H}_2\text{O}\cdot\text{CO}_2$ complex and reactions (1)–(4). Such process leads to the formation of $\beta\text{-H}_2\text{CO}_3$ (Hage et al. 1996b). This is also confirmed in our experiments by the MIR peak position of the H_2CO_3 absorption bands shown in Fig. 5. However, at 10–20 K, solid H_2CO_3 can also be formed through reactions (5)–(7) involving newly formed CO ice that does not desorb from the ice at temperatures below 30 K (Acharyya et al. 2007). As pointed out by Oba et al. (2010), this reaction pathway leads to the formation of a different form of H_2CO_3 , namely the γ -polymorph (Köck et al. 2020). The H_2CO_3 synthesized at 20 K in our experiments is likely a combination of β - and γ -polymorph ice. Hence, we assign the 139 nm band to $\beta\text{-H}_2\text{CO}_3$ ice and the 200 nm band to frozen $\gamma\text{-H}_2\text{CO}_3$. This assignment is further confirmed by a systematic comparison of FT-IR data of H_2CO_3 ices discussed later in the text. We exclude any of the 139 and 200 nm bands to be due to H_2CO_3 hydrates, because MIR data shows that the amount of water trapped in the ice is not linked to the H_2CO_3 formation temperature, since, for instance, water is also trapped during the experiments at 80 K (see the section on the destruction of pure H_2CO_3). Moreover, the 200 nm band should not be due to the H_2CO_3 monomer because the absorption band does not disappear during annealing of the ice above 220 K. The band is indeed present in both amorphous and crystalline H_2CO_3 ice

and it is independent on the crystallization process. We have repeated the H_2CO_3 formation experiments monitoring the ice in the VUV and confirming the presence of the 200 nm band only in electron irradiation experiments carried out at 20 K.

Concerning phase transition between amorphous and crystalline H_2CO_3 ice, VUV spectra of the analog experiments annealed to 200 and 225 K do not show any major differences. In the processed ices at 20 K, the one annealed at 225 K presents a relatively slightly weaker 200 nm band compared to the one from the ice annealed at 220 K. In the case of experiments carried out at 80 K, the ice annealed at 225 K shows a sharper 139 nm band and less of a tail at lower wavelengths. Clear differences between amorphous and crystalline H_2CO_3 ice will be presented later in the text, when FT-IR data will be discussed. Finally, all the VUV photoabsorption spectra in Fig. 6 show an underlying slope discussed in the next section.

3.4. Rayleigh scattering from the ice residue

The VUV photoabsorption spectra in Fig. 6 reveal an underlying slope that is potentially due to Rayleigh scattering off islands of material, that is H_2CO_3 ice, caused by a nonhomogeneous coverage of the substrate (Dawes et al. 2018). Following Dawes et al. (2018), the scattering tails in the absorbance spectra of the H_2CO_3 ice can be fitted with a function of the form

$$A = c \ln \left(\frac{1}{1 - a\lambda^{-4}} \right) + b, \quad (11)$$

where the absorbance (A) equation, which is derived using the rearranged form of the Beer–Lambert Law, is modified by introducing the term $a\lambda^{-4}$ in the denominator corresponding to loss in the transmitted intensity due to scattering. Here a is proportional to r^6 , where r is the scattering particle size and c is proportional to the number density of the scatterers in the beam path. Figure 7 shows the VUV photoabsorption spectra of H_2CO_3 ice together with the fitted curves (left panels) and the spectra after correction, that is subtracting the scattering tails (right panels). The good agreement in the spectral regions around 170 nm and 120–340 nm between fits and spectra of H_2CO_3 synthesized from processing $\text{CO}_2:\text{H}_2\text{O}$ (6:1) ice mixtures at 20 K suggests that the observed slopes are likely due to Rayleigh scattering. On the other hand, the large discrepancies between fits and VUV spectra around the two absorption features at 139 and 200 nm, indicate that these are real absorption bands due to frozen H_2CO_3 . In the case of solid H_2CO_3 synthesized from processed $\text{CO}_2:\text{H}_2\text{O}$ (6:1) ice mixtures at 80 K, the agreement between the fit and the spectra slope is at 180–340 nm, indicating the presence of a single photoabsorption band at 139 nm. In conclusion, this section gives a further confirmation that both photoabsorption bands at 139 and 200 nm are real features of an H_2CO_3 ice, which is only a few nm thick and, therefore, does not fully and homogeneously cover the substrate surface causing Rayleigh light scattering in the VUV spectral range.

3.5. Destruction of pure H_2CO_3 ice

The solid red lines in Fig. 8 are the non-normalized version of the VUV photoabsorption spectra of H_2CO_3 presented in Fig. 6 in the spectral range 120–240 nm. It is interesting to report that the 139 nm absorption band intensity is comparable in all the spectra except for the crystalline H_2CO_3 at 20 K, which presents a much weaker feature. The 200 nm band intensity for both amorphous and crystalline H_2CO_3 is, however, comparable, with the one of

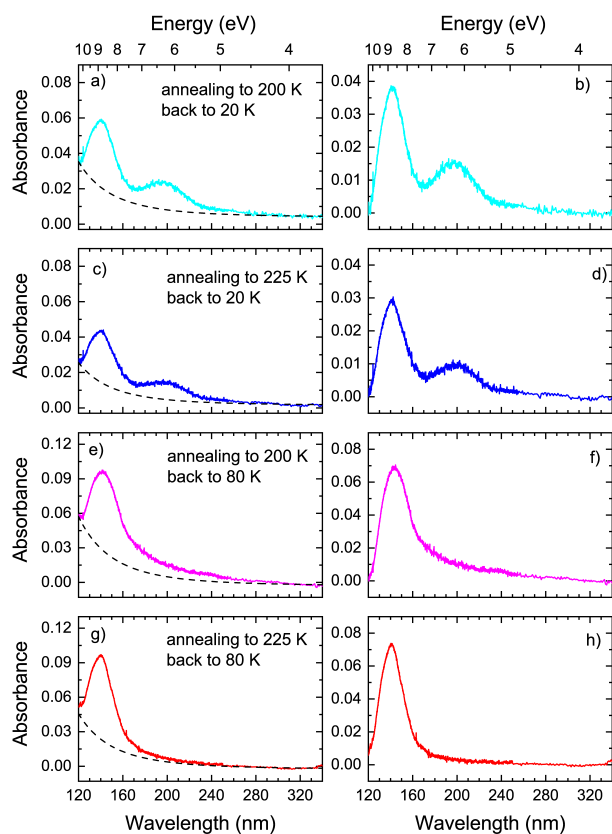


Fig. 7. Left panels: VUV spectra of pure amorphous and crystalline H₂CO₃ ices at 20 and 80 K (solid lines) fitted with Eq. (11) (dashed black lines) to highlight the effect of Rayleigh scattering. Right panels: VUV spectra of pure amorphous and crystalline H₂CO₃ ices at 20 and 80 K after fit subtraction to show real photoabsorption features of H₂CO₃ ice at 139 and 200 nm.

crystalline ice being slightly less intense. All the other spectra shown in Fig. 8 were acquired after 1 keV electron irradiation of the residual H₂CO₃ at different fluences. Jones et al. (2014b) studied 5 keV electron irradiation of carbonic acid at 80 K in the MIR showing the increasing appearance of water, CO₂, and perhaps traces of CO at different fluences. Our VUV irradiation spectra of solid H₂CO₃ showed a decrease of the H₂CO₃ absorption bands with increased fluence. The 200 nm band disappears almost immediately upon electron irradiation, indicating that it is caused by a metastable material such as γ -H₂CO₃ ice. The 139 nm band decreases more gently with increasing fluences, in line with the gradual formation of H₂O, CO₂, and CO ice. At fluences higher than $1 \times 10^{16} \text{ e}^- \text{ cm}^{-2}$, the 139 nm band disappears leaving a new absorption feature at about 150 nm. This feature is consistent with a VUV absorption of a mixture of water and CO₂ ice, which reform upon electron processing. On top of the 150 nm feature the CO vibrational progression appears stronger with increasing fluences (see Table 3 for peak positions). A closer comparison among the four experiments presented in Fig. 8 confirms that CO is more easily synthesized and preserved in the ice, when the latter is irradiated at lower temperature. This is also confirmed by the analog FT-IR data (see Fig. 9). In general, lower yields are observed for both ices irradiated at 80 K when compared to those at 20 K and the smallest yields are seen in our experiments using crystalline H₂CO₃ ice irradiated at 80 K, where the CO vibrational progression is within the noise, that is below detection. At fluences higher than

Table 3. CO ice electronic transitions observed in the VUV photoabsorption spectra of 1 keV electron irradiated H₂CO₃ ice in the 120–160 nm spectral range.

Pure CO		H ₂ CO ₃ irr, 20 K		H ₂ CO ₃ irr, 80 K			
[nm]	[eV]	Ann, 200 K	Ann, 225 K	Ann, 200 K			
127.1	9.75						
129.0	9.61						
130.8	9.48						
133.0	9.32	132.4	9.36				
135.3	9.16	134.8	9.20				
137.6	9.01	137.1	9.04	137.9	8.99		
140.1	8.85	140.5	8.82	140.2	8.84		
142.8	8.68	143.0	8.67	142.5	8.70	143.0	8.67
145.6	8.52						
146.1	8.49	145.7	8.51	145.9	8.50	145.6	8.52
148.6	8.34						
149.6	8.29	148.8	8.33	148.6	8.34	149.2	8.31
152.0	8.16						
153.1	8.10	152.2	8.15	152.1	8.15	152.0	8.16
156.7	7.91	155.3	7.98				

Notes. Data are compared to the transition of pure CO ice deposited at 20 K.

$1 \times 10^{17} \text{ e}^- \text{ cm}^{-2}$, a new unknown feature appears at 180 nm. It could be an organic residue left on the surface of the substrate after the irradiation of the thin ice. However, more investigation is needed to provide any definitive characterization of this band.

Results shown in Fig. 9 are the analogs of those presented in Fig. 8, but in the MIR range. Solid black lines are the H₂CO₃ ices as obtained from processing and annealing CO₂:H₂O (6:1) ice mixtures. It should be mentioned that hexagonal ice (I_h) features around the 3 μm OH stretch water band are visible in some MIR spectra of annealed ice. Some water molecules are trapped in the H₂CO₃ ice independent of the conditions at which solid H₂CO₃ ice was formed, that are 20 or 80 K. The presence of a small amount of crystalline water in the H₂CO₃ does not, however, affect our results. Amorphous solid H₂CO₃ at 20 K presents broader and less intense MIR features if compared with crystalline solid H₂CO₃ at 20 K. New sharper peaks appear in the 3000–2500 cm^{-1} range in the crystalline ices. Those are due to the O–H stretch mode of H₂CO₃ ice (Zheng & Kaiser 2007). Table 4 compares the MIR vibrational modes of H₂CO₃ ice obtained in our experiments with literature data of β - and γ -H₂CO₃ ice (Zheng & Kaiser 2007; Oba et al. 2010). The profile of amorphous H₂CO₃ ice obtained after electron irradiation of a CO₂:H₂O (6:1) ice mixtures at 20 K followed by annealing to 220 K is qualitatively closer to the one from Oba et al. (2010), that is γ -polymorph ice. Absorption band peak positions are also shifted compared to the other H₂CO₃ ice (see Table 4). This is particularly evident from the weak absorption band around 2600 cm^{-1} , that is the O–H stretch mode. In the case of amorphous H₂CO₃ ice at 80 K, the band is sharper and shifted by some 30 cm^{-1} ; it becomes even more intense for crystalline H₂CO₃ ices. FT-IR spectra of amorphous and crystalline H₂CO₃ at 80 K are qualitatively similar to literature spectra of β -polymorph ice (Gerakines et al. 2000; Zheng & Kaiser 2007). This is in good agreement with our assignment of the β - and γ -H₂CO₃ ices in our VUV photoabsorption data. Hence, MIR spectra seems to be more sensitive to amorphous-to-crystalline

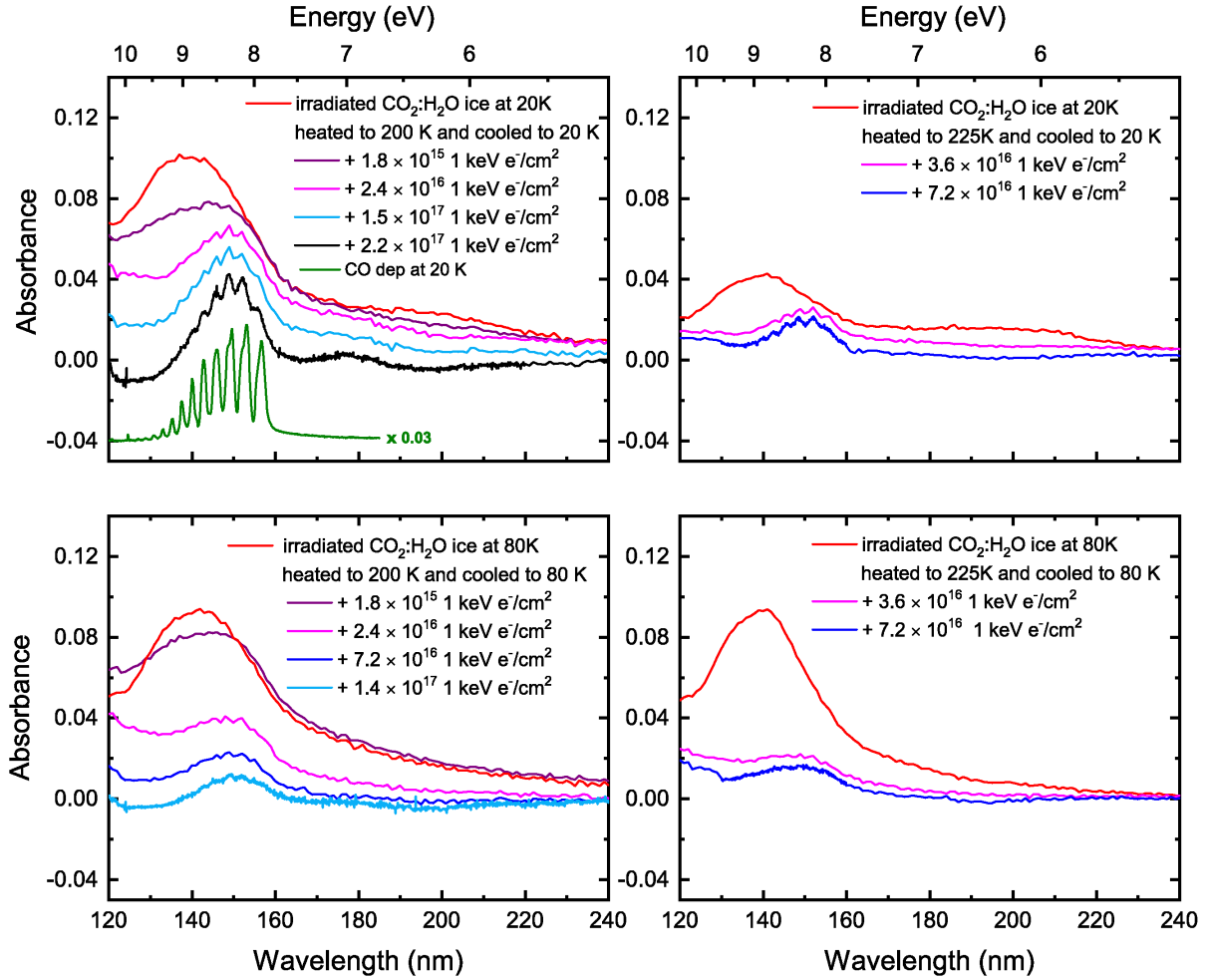


Fig. 8. VUV (120–240 nm) photoabsorption spectra of pure amorphous and crystalline (*left and right panels*, respectively) H_2CO_3 ices (solid red lines) at 20 and 80 K (*top and bottom panels*, respectively) compared to the same ices after 1 keV electron irradiation at different fluences with maximum fluence of $2.2 \times 10^{17} \text{ e}^- \text{ cm}^{-2}$. A VUV spectrum of pure CO ice is also displayed in *top-left panel* (solid green line).

Table 4. MIR vibration mode peak positions of H_2CO_3 ice observed in FT-IR transmission spectra of 1 keV electron irradiated $\text{CO}_2:\text{H}_2\text{O}$ (6:1) ice mixtures annealed to different temperatures.

Literature		H_2CO_3 irr, 20 K		H_2CO_3 irr, 80 K		Vibration
$\beta\text{-H}_2\text{CO}_3$ [cm^{-1}] ^(a)	$\gamma\text{-H}_2\text{CO}_3$ [cm^{-1}] ^(b)	Ann, 220 K [cm^{-1}]	Ann, 225 K [cm^{-1}]	Ann, 200 K [cm^{-1}]	Ann, 225 K [cm^{-1}]	
2850	2853	2892	2850	2855	2841	O–H stretch
2620	2610	2604	2632	2631	2633	O–H stretch
1723	1766	1722	1726	1714	1715	C=O stretch
1483	1505	1480	1514	1508	1510	C–OH a-sym stretch
1292	1309	1302	1314	1313	1309	C–OH in-plane bend
1038	1030	1026	1037	1040	1036	C–OH sym stretch
	908	903	908	900	912	C–OH out-of-plane bend
812	809		808	801	800	CO_3 out-of-plane bend

Notes. Data are compared to literature values acquired in reflection mode at 10–20 K. ^(a)Zheng & Kaiser (2007) – $\text{CO}_2:\text{H}_2\text{O}$ (2.5:1) ice mixture exposed to 5 keV electrons at 10 K for 3 h and then warmed to 210 K; ^(b)Oba et al. (2010) – Simultaneous deposition of CO and OH species on a 20 K gold surface followed by warm up to 220 K.

transitions and less sensitive to the H_2CO_3 polymorphism than VUV data.

The solid red and blue lines in Fig. 9 are FT-IR spectra of 1 keV electron irradiated amorphous and crystalline H_2CO_3 ice, respectively. We note that infrared spectra of H_2CO_3 before

irradiation were used as reference spectra, hence, the negative peaks mirroring those from solid H_2CO_3 . Therefore, negative peaks show the destruction of H_2CO_3 ice, while positive peaks show the formation of new species in the ice upon electron exposure. Water, CO, and CO_2 are confirmed to be formed from irradiation

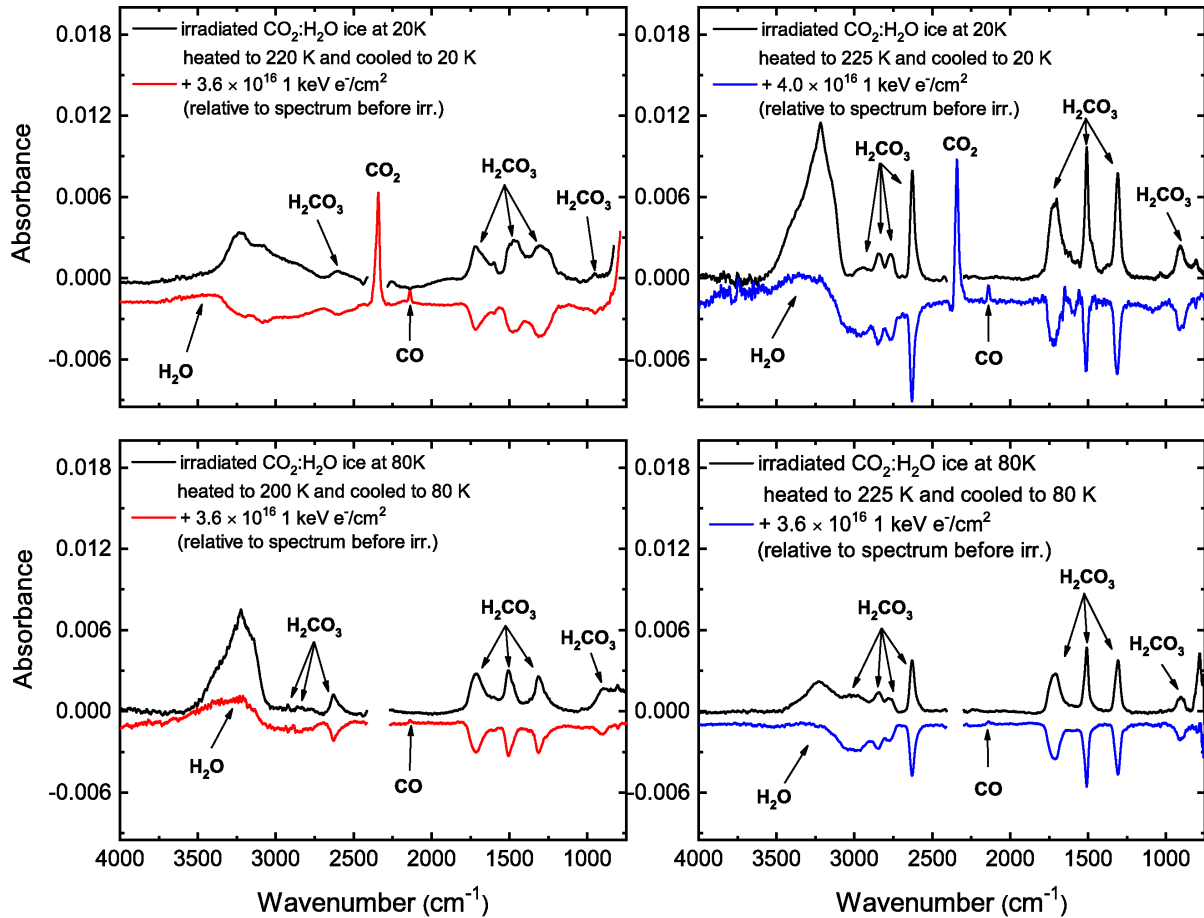


Fig. 9. MIR (4000–750 cm⁻¹) FT-IR spectra of pure amorphous and crystalline (*left and right panels*, respectively) H₂CO₃ ices (solid black lines) at 20 and 80 K (*top and bottom panels*, respectively) compared to the same ices after 1 keV electron irradiation at different fluences with maximum fluence of $4.0 \times 10^{16} \text{ e}^- \text{ cm}^{-2}$.

of H₂CO₃ ice. As discussed before, CO is best synthesized and retained in the ice irradiated at 20 K. Only traces of CO are visible in the experiments at 80 K, in agreement with Jones et al. (2014a). Unfortunately, CO₂ is only visible in our FT-IR data, when gas-phase absorptions from CO₂ along the IR-beam line-of-sight outside the vacuum chamber were properly subtracted by the reference spectra. This was the case only for ices processed at 20 K, hence, the decision to mask the CO₂ stretch region for the other spectra. In the experiments at 20 K, a larger amount of solid CO₂ is formed in the crystalline H₂CO₃ ice.

Jones et al. (2014a) showed that CO₂ formed at 80 K by 5 keV electron irradiation of crystalline H₂CO₃ ice (i.e., ice annealed at 220 K) present peculiar band profiles and peak positions that do not resemble CO₂ synthesized from other ice species containing carbon and oxygen. The authors suggested that CO₂ from irradiation of H₂CO₃ ice fit well an absorption feature observed on Callisto. The Jones et al. (2014a) experiment is analog to our experiment shown in the bottom-right panel of Fig. 9. Unfortunately, we are not able to check the newly synthesized CO₂ band profile and peak position for the 80 K experiment because of the aforementioned issue with gas-phase CO₂ contribution outside the chamber. However, regarding CO₂ synthesized after irradiation at 20 K of amorphous and crystalline H₂CO₃ ice, the peak positions for both are at 2343 cm⁻¹ and the band is asymmetric with a profile similar to CO₂ mixed with H₂O and a small amount of CO ice at 20 K (Whittet et al. 1998). Thus, similar experiments carried-out at different temperatures lead to the formation

of the same species, for example CO₂, but with absorption band profiles substantially different from each other and temperature dependent.

4. Astrophysical implications

The systematic laboratory work presented here on the formation and destruction of amorphous and crystalline carbonic acid ice has implications for a large range of astrophysical environments. Although H₂CO₃ is not among the around 200 molecules currently detected in the interstellar medium or circumstellar shells, there is laboratory evidence that H₂CO₃ is embedded in a water-rich layer on interstellar grains. Oba et al. (2010) studied the H₂CO₃ formation upon the surface reaction route starting from CO + OH to form cis- and trans-HOCO followed by the HOCO + OH reaction to form H₂CO₃. This surface route can potentially be important for the formation of carbonic acid in cold dense interstellar clouds, where atom addition reactions are dominant on ice grains. In dense clouds, only cosmic rays and the cosmic ray-induced UV field can further process ice mantles. On the other hand, energetic bombardment (e.g., ions, photons, and electrons) of CO₂:H₂O mixtures in a wide range of ratios also leads to the formation of solid H₂CO₃ (Moore & Khanna 1991; Gerakines et al. 2000; Zheng & Kaiser 2007). H₂O and CO₂ are among the most abundant species found in the solid phase in space and are also found mixed within the same interstellar ice layer (Boogert et al. 2015). Hence, our laboratory work suggest

that a combination of β - and γ - H_2CO_3 ice should be searched for in the ISM, with a prevalence of γ - H_2CO_3 ice in cold dark clouds and β - H_2CO_3 ice in more evolved star-formation regions, such as high- and low-mass young stellar objects (YSOs). A possible explanation for the non-detection of gas-phase H_2CO_3 in the ISM is its extremely low vapor pressure under vacuum. H_2CO_3 is a refractory species and can stay on interstellar grains beyond water sublimation. Thus, H_2CO_3 has a chance to be included in protoplanetary accretion disks, planets, moons and comets without going from the solid to the gas phase. On the other hand, we show that H_2CO_3 ice can easily be destroyed by electron bombardment of the ice. Hence, if H_2CO_3 is not shielded from energetic triggers, it will dissociate into CO, CO_2 , and H_2O ice. Another issue linked to the detection of H_2CO_3 on interstellar grains is its spectral confusion in the MIR range. Some of the weak ice absorption features in the 3.3–4.0, 7.0–10.4 μm (3030–2500, 1428–1265, and 1250–961 cm^{-1}) spectral regions observed toward YSOs could be at least partially due to solid H_2CO_3 . Future space-based IR facilities such as the JWST with high spectral resolving powers R of up to 3000 are set to provide strong constraints on the identification of new ice species in the aforementioned IR spectral ranges. Our systematic work on solid H_2CO_3 at different temperatures and morphologies shows that MIR band profiles for amorphous H_2CO_3 ice are broader and weaker than the corresponding bands of crystalline H_2CO_3 ice. This is also the case for γ - H_2CO_3 compared to β - H_2CO_3 ice. Although the β -polymorph seems to be the most stable form of H_2CO_3 ice, a γ - H_2CO_3 structure of the ice is potentially present in space and should be searched for in the coldest regions of the ISM and Solar System by JWST.

Frozen CO_2 and H_2O have been detected on the surface of many objects exposed to energetic processing in the inner and outer Solar System, for example planet polar caps as well as ice surfaces of moons, comets, and distant small Solar System bodies. For instance, Mercury’s north pole and Martian polar caps are continuously bombarded by solar wind particles. Most of the icy moons belonging to Jupiter and Saturn are embedded in the magnetospheres of their respective planets, hence, exposed to energetic ions and electrons. Finally, comets, trans-Neptunian objects (TNOs) and Kuiper belt objects (KBOs) are all exposed to galactic cosmic rays and solar wind ion bombardment for billions of years. Thus, it is likely that solid H_2CO_3 is synthesized and destroyed on the surface of the aforementioned objects. Our set of VUV data indicate that solid H_2CO_3 presents two distinct photoabsorption bands in the 120–340 nm range at 139 and 200 nm that we have assigned to β - and γ - H_2CO_3 ice, respectively (see Fig. 7). Although β -polymorph ice is synthesized upon any energetic processing, γ - H_2CO_3 ice forms in presence of abundant CO ice at low temperatures. Therefore, we expect β -polymorph ice to be ubiquitously formed in the Solar System and γ -polymorph to be present mainly in the outer Solar System beyond the CO snowline. Our work shows that VUV spectroscopy can potentially be a key tool in the identification of different forms of H_2CO_3 ice.

In Fig. 11 of Ioppolo et al. (2020), we compared the reflectance spectra of Enceladus, Dione, and Rhea, as measured in the VUV (115–190 nm) by the Cassini UltraViolet Imaging Spectrograph (UVIS, Hendrix et al. 2010), with a two-components fit of the VUV transmittance spectra of ASW and nitrogen dioxide (NO_2), both deposited at ~ 20 K. As discussed in Ioppolo et al. (2020), the observational spectra of the Saturn’s moons present a saturated band between 115–160 nm that is reproduced by a VUV spectrum of ASW and a slope between 160–200 nm, peaking at 180 nm, that is fitted with a VUV

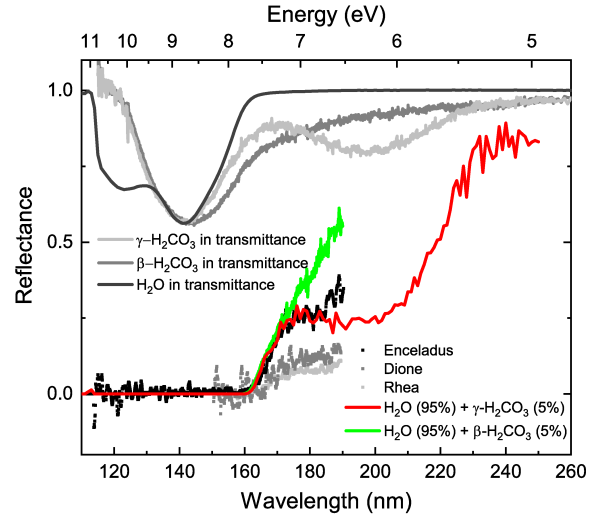


Fig. 10. VUV (115–190 nm) Cassini UVIS data of the surface of Enceladus (square black symbols), Dione (square dark gray symbols), and Rhea (square light gray symbols) compared to two fits: a fit (solid red line) of the VUV photoabsorption spectra of pure ASW (solid black line) deposited at ~ 20 K and amorphous γ - H_2CO_3 at 20 K (solid light gray line); and a fit (solid green line) of the VUV photoabsorption spectra of the same water ice and amorphous β - H_2CO_3 at 80 K (solid dark gray line). The laboratory ice components are normalized and scaled in the figure for clarity.

spectrum of solid NO_2 . In Fig. 10, we show the fit of the observational data of Enceladus with VUV transmittance spectra of pure ASW at ~ 20 K and amorphous carbonic acid to test whether β - and/or γ - H_2CO_3 are potentially present on the surface of the moons of Saturn. The figure shows that a VUV spectral fit of 95% ASW at ~ 20 K and 5% amorphous γ - H_2CO_3 at 20 K (solid red line) cannot reproduce observations between 180 and 200 nm because the 200 nm absorption band of γ - H_2CO_3 appears to be too broad in the 190–230 nm region. The far ultraviolet (FUV) spectrum of Saturn’s moon Enceladus from the Cosmic Origins Spectrograph (COS) on the *Hubble* Space Telescope (HST, not shown in Fig. 10) combined with Cassini UVIS data, as shown in Fig. 6 of Zastrow et al. (2012), reveals that the VUV spectrum of Enceladus does not have any clear absorption feature in the 200–260 nm region. Moreover, Fig. 3 of Hendrix et al. (2018) compares the VUV-UV-vis composite spectra of several Saturn’s moons including Enceladus, Dione, and Rhea. In all cases, there is not a clear absorption feature centered at 200 nm, while the absorption at ~ 250 nm has been already assigned to solid ozone (e.g., see Noll et al. 1997; Boduch et al. 2016). Therefore, although traces of γ - H_2CO_3 on the surface of Saturn’s satellites cannot be completely ruled-out, γ - H_2CO_3 should not be considered as a major fit component of the VUV observational spectra.

A VUV spectral fit of 95% ASW at ~ 20 K and 5% amorphous β - H_2CO_3 at 80 K (solid green line in Fig. 10) can reproduce VUV observations of Enceladus between 115–170 nm. In this case, the observed 180 nm absorption slope is not well fitted. However, the 180 nm feature can be reproduced by a third component of NO_2 (not shown in the figure) as suggested in Ioppolo et al. (2020). Hence, our results are still in agreement with those in Ioppolo et al. (2020). The addition of β - H_2CO_3 as a VUV spectral component to the fit shown in Ioppolo et al. (2020) causes a slight reduction in the amount of ASW needed for the fit, while improving it in the 160–170 nm region. It should

be, however, noted that although the mean surface temperatures of Endeladus, Dione, and Rhea around 70–90 K are consistent with the formation of β -H₂CO₃ in H₂O:CO₂ mixtures, both the 139 nm β -H₂CO₃ and 144 nm ASW features lie in the saturated region of the observational spectra. Hence, a quantitative analysis of the fit components as well as the unambiguous identification of carbonic acid on the surface of Saturn's moons are not possible at this stage. Further observational evidence of solid carbonic acid in the Solar System is expected to be delivered by the JUICE mission. Unfortunately, the instruments on board JUICE spacecraft will not cover the 210–400 nm spectral range. Hence, an unambiguous detection of the 200 nm band of γ -H₂CO₃ may prove to be challenging. However, for all the aforementioned reasons, the 139 nm band of both β - and γ -H₂CO₃ is a good candidate for the unambiguous identification of solid H₂CO₃ on the 50–100 K ice surfaces of Jupiter's moons by the upcoming JUICE mission, provided that the VUV spectra of the Jovian moons will not be saturated in the 100–200 nm spectral range.

5. Conclusions

We have presented new VUV absorption spectra of pure and mixed CO₂ and H₂O ices exposed to 1 keV electron irradiation under conditions relevant to the ISM and the Solar System. Below we list the main findings of this work.

The 1 keV electron irradiation of solid pure CO₂ ice at 20 K leads to the formation of frozen CO and O₃ as detected by their VUV photoabsorption features. Products of the electron irradiation of CO₂ ice at 75 K desorb efficiently upon formation, leaving only traces of trapped CO in the ice, while O₃ is under our detection limit. VUV spectra of pure water ice before and after electron irradiation at 20 K are similar to each other, indicating that the electron dissociated products are likely to recombine in the ice. At 80 K, desorption of the ice is indirectly monitored by the loss of ice due to the sublimation of volatile reaction products in the upper layers of the ice.

The 1 keV electron irradiation of CO₂:H₂O (6:1) ice mixtures at 20 and 80 K shows the formation of CO and O₃ molecules in the VUV spectral range, with the electron irradiation products being more abundantly present at low temperature. MIR spectra of the corresponding ices reveal the formation of H₂CO₃ both at 20 and 80 K and the trapping of its precursors, that are the cis- and trans-HOCO radicals, at 20 K.

We have shown the first systematic VUV spectra of pure amorphous and crystalline H₂CO₃ ice obtained by annealing the CO₂:H₂O (6:1) ice to 200 and 225 K, respectively, after electron irradiation at 20 and 80 K. Two photoabsorption bands are assigned to solid H₂CO₃ at 139 and 200 nm with the latter being only detected when H₂CO₃ was synthesized at 20 K. Hence, we have assigned the 139 nm band to β -H₂CO₃, the form of H₂CO₃ notoriously produced by energetic processing of CO₂- and H₂O-rich ices; and we have assigned the 200 nm band to a different form of H₂CO₃, the so-called γ -polymorph ice, produced through the surface reactions between CO molecules and OH radicals occurring at low temperatures, that is below 30 K. Assignments are also supported by complementary MIR data.

We provide a complete set of VUV spectra at different temperatures in support of the future identification of H₂CO₃ ice in space. A qualitative comparison of our laboratory VUV spectra with Cassini UVIS data of the ice surface of Enceladus, Dione, and Rhea is discussed. Our data indicate that β -H₂CO₃ should be ubiquitously present in space on the surface of CO₂- and

H₂O-rich ices, while unique spectral features of γ -H₂CO₃ should be searched for in the coldest regions of the ISM and in the outer Solar System beyond the CO snowline.

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Appendix A: 1 keV electron irradiation of pure CO₂ and H₂O ices at ~80 K

The top panel of Fig. A.1 displays VUV photoabsorption spectra of crystalline CO₂ ice deposited at 75 K and bombarded with 1 keV electrons at the same temperature. The VUV spectrum of deposited ice (solid black line) is slightly different from the corresponding one deposited at 20 K (see Fig. 2). The peak position of the 126 nm band is shifted to longer wavelengths and the profile appears broader with a less prominent vibrational progression (see inset of top panel of Fig. A.1). It should be noted that the 126 nm spectral band of the ice deposited at 75 K presents a relative absorbance larger than 1 at its peak. Therefore, some of the differences between the 126 nm band profiles at 20 and 75 K can also be attributed to saturation effects in the spectrum at 75 K. The 140 nm band is also broader, and appears as a shoulder on the larger 126 nm band. The VUV spectrum of the irradiated ice (solid blue line) shows that basically all CO₂ molecules desorbed during electron bombardment. This is not surprising because pure CO₂ molecules desorb around 80 K (Collings et al. 2004). A small amount of CO and CO₂ is trapped in the ice residue, which is likely made of the background water sputtered from the chamber walls during irradiation. Peak positions of the CO vibrational progression are shifted compared to those of a pure CO ice (see solid green line in the inset of the top panel of Fig. A.1). It is also likely that species formed in the ice, like CO and O₃, co-desorbed with CO₂ quickly after their formation because of the high temperature of the sample.

The bottom panel of Fig. A.1 shows the VUV photoabsorption spectra of low density ASW deposited (solid black line) and irradiated (solid red line) at 80 K and then heated to 140 K (solid green line). The 144 nm band of the VUV spectrum of ASW deposited at 80 K presents a more defined shoulder at 155 nm and a more pronounced local minimum at 132 nm compared to the ones of the high density ASW sample deposited at 20 K as shown in Fig. 3. It should be highlighted that during deposition of ASW at 80 K, the HeNe laser signal was lost and the ice thickness was not measured. As stated in the main text, deposition was controlled by keeping the deposition gas pressure inside the main chamber and the time of deposition the same as for the deposition of ASW at 20 K. A final roughly 2–3 times thinner ice was deposited at 80 K compared to the deposition at 20 K according to the photoabsorption relative intensities of the 144 nm band shown in Figs. 3 and A.1. Some desorption was indirectly observed upon 1 keV electron irradiation of the ice at 80 K. The different temperature and thickness, and unknown deposition rate of this ice due to technical issues during the beamtime at ASTRID2 can explain the observed relatively high loss of water ice upon 1 keV electron processing compared to the experiment at 20 K. All VUV photoabsorption bands of ASW before and after irradiation at 80 K are qualitatively similar to each other suggesting that no other species is formed in the ice as for the

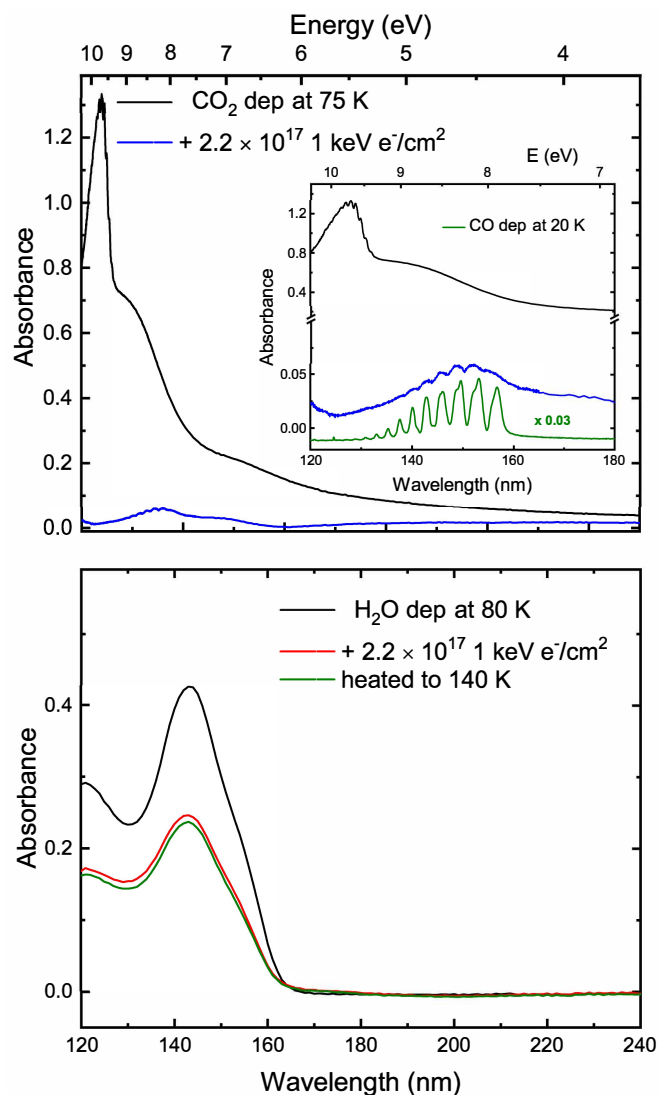


Fig. A.1. *Top panel:* VUV (120–340 nm) photoabsorption spectra of pure CO₂ ices deposited at 75 K before and after (solid black and blue lines, respectively) 1 keV electron irradiation with a total fluence of $2.2 \times 10^{17} \text{ e}^- \text{ cm}^{-2}$ (i.e., a dose of $9.9 \times 10^2 \text{ eV}/16\text{u}$). A VUV spectrum of pure CO ice is also displayed (solid green line). *Bottom panel:* VUV (120–340 nm) photoabsorption spectra of pure H₂O ices deposited at 80 K before and after (solid black and red lines, respectively) 1 keV electron irradiation with a maximum total fluence as for pure CO₂ ice. The VUV photoabsorption spectrum of the processed ice heated to 140 K is also shown (solid green line).

ASW experiment at 20 K. Finally, the VUV spectrum of the ice heated to 140 K slightly deviate from the irradiated spectrum at 80 K in agreement with our VUV analog data at 20 K.