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# Neutrophil dynamics in the tumor microenvironment

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31 **Abstract**

32 The tumor microenvironment profoundly influences the behavior of recruited leukocytes and tissue resident  
33 immune cells. These immune cells, which inherently have environmentally-driven plasticity necessary for  
34 their roles in tissue homeostasis, dynamically interact with tumor cells and the tumor stroma and play critical  
35 roles in determining the course of disease. Among these immune cells, neutrophils were once considered  
36 much more static within the tumor microenvironment; however, some of these earlier assumptions were  
37 the product of the notorious difficulty in manipulating neutrophils in vitro. Technological advances that allow  
38 us to study neutrophils in context are now revealing the true roles of neutrophils in the tumor  
39 microenvironment. Here we discuss recent data generated by some of these tools and how it might be  
40 synthesized into more elegant ways of targeting these powerful and abundant effector immune cells in the  
41 clinic.

## 42 **Introduction**

43 Recent years have seen a resurgence in neutrophil biology in the context of cancer. Emerging data show that  
44 neutrophils are far from the simple homogeneous population they were once thought to be, and depending  
45 on context, neutrophil activity can differ in degrees towards pro- or even anti-tumor (1-3). Like other myeloid  
46 cells, neutrophils are highly influenced by their environment, therefore, fully understanding the interactions  
47 that occur between these cells and their surroundings will enable us to better target them during cancer  
48 progression and metastasis. Crucial to our anti-microbial response (4), neutrophils are produced in the tens  
49 of millions in the bone marrow and are the largest leukocyte population in the blood of humans. As  
50 committed neutrophils are non-proliferative and equipped with an arsenal of proteolytic enzymes and self-  
51 destructive effector strategies, they are notoriously hard to purify, manipulate, and study *ex vivo*. This  
52 technical constraint, along with long held, but over-simplistic views of neutrophil biology (i.e. that they are  
53 homogenous and inflexible in their response) has meant that neutrophil cancer immunology has lagged  
54 behind that of lymphocytes or even the other myeloid cells. Fortunately, recent technological advances allow  
55 us to study better than ever how neutrophils contribute to and are influenced by the tumor  
56 microenvironment (TME) – both at the primary and secondary sites. Here we review progress in this area  
57 and discuss the relative strengths and weaknesses of existing technology and tools to manipulate neutrophils  
58 along with examples of how they have benefited knowledge in the field, or in some cases argue why they  
59 should be applied to neutrophil biology next considering their contribution to other aspects of *in situ* cancer  
60 immunology.

61

## 62 **Neutrophil function at the primary tumor site**

63 The innate immune system co-evolved with infectious microorganisms and its actions are dominated by this  
64 primary function (5). Neutrophils contain potent anti-microbial molecules to counter microbial colonization  
65 and facilitate tissue repair. This deadly arsenal affords neutrophils the ability to counteract tumor formation  
66 and outgrowth (6-14). To recognize and phagocytize cancer cells, neutrophils can use Fc receptors and the  
67 immunoglobulins, IgG or IgA, through a process called antibody-dependent cellular toxicity (ADCC). Recent  
68 work has shown that blocking the interaction between CD47 – a ligand often expressed on cancer cells that

69 blocks phagocytosis – and its receptor, signal regulatory protein alpha (SIRP $\alpha$ ), on neutrophils enhances  
70 ADCC (15). These observations have important implications for cancer immunotherapy, given that inhibitors  
71 to the CD47-SIRP $\alpha$  axis are currently being evaluated in cancer patients (16). Neutrophils can also delay  
72 tumorigenesis by presenting tumor antigens to killer CD8 T cells and secreting IL-12 to stimulate Type 1  
73 immunity and IFN $\gamma$  expression from CD4<sup>-</sup>CD8<sup>-</sup> unconventional  $\alpha\beta$  T cells (11, 12, 17). However, many of the  
74 effector functions that are important in maintaining host tissue integrity also help tumors initiate and grow,  
75 via direct effects on cancer cells (18-21), remodeling the extracellular matrix (ECM) (22, 23), stimulation of  
76 angiogenesis (13, 24-35), activation of pro-tumorigenic macrophages (36), inhibition of anti-tumor immunity  
77 (35, 37-44), production of reactive oxygen species (ROS) (20, 24, 45, 46), or release of neutrophil extracellular  
78 traps (NETs) (42, 47-49) (Figure 1).

79           Neutrophils arise from bone marrow progenitor cells, and tumors often secrete systemic factors,  
80 such as G-CSF, to stimulate granulopoiesis in the bone marrow (50-52). G-CSF is induced by IL-1 $\beta$  and IL-17A  
81 in autochthonous and transplantable mouse tumor models of breast and lung cancer (50, 53, 54), indicating  
82 that a number of tumor-initiated cell-cell communication events are often required to orchestrate  
83 granulopoiesis. In a Kras-driven, p53-deficient cancer model, tumors in the lung activate osteoblastic stromal  
84 cells in the bone marrow, which encourage the production of SiglecF-expressing neutrophils that promote  
85 cancer progression (55, 56). However, new research indicates that trained immunity (i.e. functional  
86 transcriptomic, epigenetic and metabolic reprogramming of innate immune cells evoked by foreign stimuli)  
87 can alter granulopoiesis and cancer progression. For example, the fungal-derivative  $\beta$ -glucan can rewire bone  
88 marrow progenitor cells through upregulation of Type I interferons to generate anti-tumor neutrophils that  
89 can slow the growth of B16 melanoma cells in mice (57). Once released from bone marrow, neutrophils are  
90 recruited to tumors by the CXCR2 ligands, CXCL1, CXCL2, CXCL5 and CXCL8 (in humans only) (22, 58-63), that  
91 are regulated by KRAS signaling (64), NOTCH signaling (65) and the transcription factor, SNAIL (35). Expression  
92 of the CXCL1 chemokine can also be enhanced by obesity in an IL-1 $\beta$ -driven mouse model of esophageal  
93 cancer (66), leading to increased neutrophil recruitment to tumors. Tumor growth is slowed in CXCR2-  
94 deficient mice or CXCR2 inhibitor-treated mice in mouse models of lung, skin and intestinal cancer (22, 39,

95 58, 61-63, 67, 68), providing opportunities for therapeutic intervention. Indeed, CXCR2 inhibitors are being  
96 trialed in cancer patients (NCT04477343, NCT03161431, NCT03177187, PRIMUS003).

97         Although the molecules regulating neutrophil expansion and recruitment to tumors are shared  
98 across the entire population, neutrophils can exhibit striking functional differences, and information on their  
99 diversity continues to emerge. The mechanisms by which neutrophils are polarized towards pro- or anti-  
100 tumor states primarily occurs through cytokines, such as TGF $\beta$ , IFN $\beta$ , IFN $\gamma$ , G-CSF and GM-CSF (10-13, 26, 50,  
101 69). Tumor hypoxia is another important regulator of neutrophil phenotype and polarization, since  
102 counteracting hypoxia in an autochthonous mouse model of PTEN-driven uterine cancer decreases  
103 neutrophil-mediated cancer progression (70). The importance of neutrophil polarization and diversity in  
104 cancer has been recently reviewed elsewhere (1-3, 71, 72). However, it is important to mention that specific  
105 nomenclature describing neutrophil polarization states have led to confusion when comparing data in the  
106 field. These terms include N1/N2 neutrophils, which were coined to mirror T helper cell (Th)-1/2 immunity  
107 and M1/M2 macrophages; granulocytic or polymorphonuclear myeloid-derived suppressor cells (G/PMN-  
108 MDSCs), which are T cell-inhibiting neutrophils; as well as low-density neutrophils (LDNs) and high-density  
109 neutrophils (HDNs), whose name stems from the location of neutrophils in density gradients. There are many  
110 biological arguments for and against the continued use of these terms (1, 2, 73, 74), but overall, we argue  
111 that to more accurately describe emerging data in the field they should be avoided. The terms above are  
112 either too narrow or too simplistic in their ability to capture the inherent plasticity of neutrophils, or they  
113 perpetuate the incorrect notion that N1, N2, MDSCs, LDNs, HDNs are cell populations distinct from  
114 neutrophils. These terms describe pathological activation or maturation states of neutrophils, rather than  
115 separate cell types (75).

116

### 117 **Neutrophil participation in metastasis**

118 The importance of neutrophils in cancer spread was established in the 1980s (76, 77), but not until recently  
119 have studies started to uncover the mechanisms of neutrophil function during the evolution of metastatic  
120 disease. Neutrophils can either help or hinder metastasis formation, independent of any action on primary  
121 tumor growth. To counteract metastasis, neutrophils can secrete H<sub>2</sub>O<sub>2</sub> to kill cancer cells (7, 78) or

122 thrombospondin 1 (TSP1) to create an anti-metastatic environment in distant organs (79, 80). These cells can  
123 clear antibody-opsonized cancer cells in experimental liver metastasis models by ingesting plasma membrane  
124 fragments in a process called trogoptosis (81). However, most studies on this topic report on the ability of  
125 neutrophils to encourage metastasis.

126           Neutrophils can promote metastasis from the vantage point of the primary tumor site by promoting  
127 escape of cancer cells into the vasculature (82), in the circulation where they provide mitogenic cues (83), or  
128 at the secondary site where these cells accumulate in a variety of models (50, 51, 65, 84-90). In visceral  
129 organs, neutrophils can direct disseminated cancer cells to specific locations (89, 91), promote vascular  
130 leakiness for easy extravasation (31, 32, 85) or suppress anti-tumor immunity by CD8 T cells and NK cells (50,  
131 51, 65, 69, 84, 86, 90-92). Recent data have provided new evidence of metabolic crosstalk between  
132 neutrophils and cancer cells, where neutrophils take up lipids from mesenchymal cells in the lung of  
133 mammary tumor-bearing mice and provide them to disseminated cancer cells as an additional energy source  
134 to fuel metastasis (93). Another pro-metastatic function of neutrophils is their ability to expel protein-  
135 covered nucleic acids, known as neutrophil extracellular traps (NETs), that catch circulating cancer cells and  
136 stimulate their adhesion to endothelial cells, invasion and proliferation at secondary sites (23, 94-100). NETs  
137 are triggered from neutrophils by inflammatory agents such as lipopolysaccharide (LPS) or Cathepsin C, a  
138 cancer cell-secreted protease, in the lungs of mammary tumor-bearing mice to stimulate dormant, non-  
139 cycling cancer cells into proliferating or to capture disseminated cancer cells from blood (100, 101). The  
140 complement molecule, C3a, also induces NETs and primary tumor progression in an *Apc*-mutated bowel  
141 cancer model (48). NETs activate a receptor on breast cancer cells, called coiled-coil domain containing  
142 protein 25 (CCDC25), that stimulates intracellular signaling via the ILK- $\beta$ -parvin-RAC1-CDC42 pathway to  
143 promote metastasis formation (102). Whether CCDC25 is expressed by cancer cells across multiple tumor  
144 types or whether the interaction between NETs and cancer cells occurs through other receptors is unknown.  
145 Furthermore, neutrophil cooperation with platelets and platelet attachment to NETs can contribute to  
146 thrombosis. This poses a problem not only for the establishment of metastasis, but also for organ dysfunction  
147 at non-metastatic sites in cancer patients (103).

148           The mechanisms by which tumors manipulate neutrophils provide opportunities for therapeutic  
149 intervention in cancer patients with metastatic disease. Crosstalk with other immune cells is critical in this  
150 process. For example, in autochthonous breast cancer mouse models, macrophages expressing IL-1 $\beta$  in  
151 primary tumors stimulate IL-17-producing  $\gamma\delta$  T cells that control the expansion and phenotype of  
152 immunosuppressive neutrophils (50, 84). NK cells also regulate neutrophil behavior, as pro-metastatic  
153 neutrophils are converted to anti-metastatic neutrophils in NK cell-deficient mice (92); although, the  
154 mechanism by which this occurs is not clear. As mentioned above, TGF $\beta$  is an important molecule for  
155 neutrophil polarization. Neutrophil-specific deletion of TGF $\beta$  receptors decreases metastasis in breast and  
156 colorectal cancer models by reverting their suppression of anti-tumor immunity (65, 69). The atypical  
157 chemokine receptor, ACKR2, functions in a similar manner as TGF $\beta$  in controlling the phenotype and activity  
158 of neutrophils. Whereas ACKR2-proficient neutrophils are pro-metastatic, ACKR2-deficient neutrophils are  
159 anti-metastatic (104).

160           Another emerging indicator of neutrophil-driven metastasis is mutational status of tumors. An in-  
161 depth comparison of 16 different autochthonous mouse models of breast cancer recently showed that  
162 neutrophil-mediated metastasis is dependent on p53 status in primary tumors. p53 null cancer cells increase  
163 expression of WNT ligands to activate IL-1 $\beta$  from tumor-associated macrophages, which in turn drive IL-17A  
164 production by  $\gamma\delta$  T cells and neutrophil accumulation, while p53-proficient cancer cells do not (84). The  
165 upregulation of WNT ligands stemmed from the inability of p53 to suppress microRNA-34a expression, which  
166 subsequently suppresses WNT ligand expression. Using p53-deficient breast cancer models, inhibition of  
167 WNT ligands prevents both circulating and lung-infiltrating neutrophils and reduces pulmonary metastasis  
168 (84). Interestingly, loss of p53 in models of metastatic colorectal cancer fail to fit within this paradigm;  
169 instead, NOTCH1 signaling is the determining factor of neutrophil-mediated metastasis. Gut tumors driven  
170 by loss of p53 and KRAS hyperactivation do not metastasize to the liver, but when NOTCH1 signaling is added  
171 to this mutational combination, neutrophils are abundant and liver metastasis occurs (65). Moreover,  
172 epigenetic changes in renal cell carcinoma results in overexpression of CXCR2 ligands, neutrophilia and  
173 neutrophil-mediated lung metastasis that can be blocked with a bromodomain and extra-terminal motif  
174 inhibitor (BETi) (105). Breast cancer cells naturally producing Dickkopf-1 (DKK1), a regulator of the WNT



175 pathway that desensitizes cells to canonical WNT signaling, are inefficient at seeding the lung in part because  
176 DKK1 represses neutrophil recruitment to pulmonary tumors (106); although, it is unclear how the genetic  
177 makeup of these breast cancer cells results in overexpression of DKK1. These types of analyses should be  
178 extended to other tumor types to determine how tumor genotype dictates neutrophil responses.

179

## 180 **Implications for the clinic**

181 Because neutrophilia is a common feature in many cancer patients, blood neutrophil-to-lymphocyte ratio  
182 (NLR) is a useful and easily attainable biomarker to predict patient outcome, response to chemotherapy, and  
183 response to immunotherapy. A high NLR is generally associated with poor prognosis across multiple cancer  
184 types (107). NLR may be further refined by incorporating recent discoveries in neutrophil heterogeneity,  
185 using surface markers or nuclear morphology. Neutrophil subpopulations may be more pronounced at  
186 specific stages of cancer progression than others, so quantifying and using these subsets as biomarkers may  
187 be better prognostic indicators of disease severity. Indeed, the frequencies of neutrophil subsets as identified  
188 by mass cytometry (CyTOF) change as cancer progresses in melanoma patients (108). With this type of  
189 analysis, it will be important to determine optimal low, medium, and high thresholds of neutrophil subsets  
190 in order to parse confounding data from cancer patients with infections or other inflammatory diseases (a  
191 common side-effect of current immunotherapies), whose neutrophils will dynamically respond.

192 In addition to circulating neutrophils, the density of neutrophils in primary tumors is often associated  
193 with poor outcome (2, 3) and frequently correlates inversely with T cell infiltration (109). CD66b and  
194 myeloperoxidase (MPO) are the most common markers used to identify neutrophils by  
195 immunohistochemistry; however, these markers are not exclusively specific to neutrophils and can be  
196 expressed by other myeloid cell populations. Using gene expression datasets, neutrophil-related gene  
197 signatures can also be used as prognostic indicators of outcome. In fact, using the computational method  
198 CIBERSORT to quantify cell populations from TCGA data, neutrophils are the greatest indicator of poor  
199 outcome among multiple immune cell populations across 39 different cancer types (110).

200 Given their importance in primary tumor growth and metastasis, neutrophils represent a prime  
201 target for immunotherapy in patients with cancer. Three main strategies exist to modulate these cells via

202 interference with their recruitment, survival, or polarization. As discussed in more detail below, the most  
203 well studied method to block neutrophil recruitment is through CXCR2 inhibitors, which are currently being  
204 trialed in cancer patients. Neutrophils are very susceptible to various classes of chemotherapy due to their  
205 rapid turnover. However, chemotherapy-induced neutropenia may be advantageous in some cases, since  
206 this side-effect is associated with improved survival in patients with lung, breast, stomach, and colon cancer  
207 (111-114). Neutropenia comes with greater infection risk and must be carefully managed. Conversely,  
208 boosting neutrophils may be beneficial when these cells play an anti-tumor role. Increasing neutrophils can  
209 be accomplished through administration of G-CSF or GM-CSF. To alter neutrophil polarization and convert  
210 pro-tumor neutrophils into anti-tumor neutrophils, targeting cytokines, such as TGF $\beta$  or IFN $\beta$ , offers a viable  
211 approach. These strategies require further exploration with special consideration given to duration of  
212 treatment and toxicities. Furthermore, targeting neutrophil recruitment, survival, or polarization may  
213 synergize with other cancer immunotherapy modalities, such as checkpoint inhibitors, in patients resistant  
214 to these drugs. However, to fully implement neutrophil-related targets in the clinic, a greater understanding  
215 of neutrophil biology is required.

216

## 217 **Loss- and gain-of-function methods to study cancer-associated neutrophils**

### 218 ***Neutrophil depletion / Neutropenia***

219 Neutrophils are rapidly turned over, making depletion studies difficult, especially in long-term cancer models.  
220 The Gr1 antibody (RB6-8C5), which binds both Ly6C and Ly6G antigens, as well as the Ly6G antibody (1A8)  
221 are used in many studies to specifically target neutrophils (13, 50, 55, 115). However, other cell types can  
222 express Ly6C and G including monocytes and eosinophils, respectively, complicating interpretation. In  
223 addition, the low levels of Ly6G expressed on immature neutrophils means that these may be inefficiently  
224 depleted. Indeed, in a mouse model of head and neck cancer, depletion-resistant neutrophils were present  
225 in the tumor and spleen whilst being effectively depleted in the peripheral blood (116). During consistent  
226 depletion pressure, neutrophil numbers can rebound, and immature neutrophils can actually increase in  
227 tumor-bearing mice compared with controls.

228 Attempts at refining antibody-mediated depletion of neutrophils using anti-Ly6G together with  
229 secondary anti-rat antibody may afford more durable neutrophil depletion (117). Neutrophil trafficking is  
230 dependent on CXCR2 signaling; therefore, interference with CXCR2 via genetic deletion or pharmacological  
231 inhibitors are useful to block neutrophil ingress into tumors. As mentioned earlier, clinical trials are already  
232 underway of CXCR2 inhibitors in cancer patients. However, CXCR2 inhibitors can also affect CXCR2-expressing  
233 tumor cells and stromal cells (118, 119). The use of CXCR2 inhibitors may also induce compensatory  
234 mechanisms from other myeloid cells, as is observed in pancreatic cancer models (120). A preclinical model  
235 known as Genista mice lacks mature neutrophils due to a point mutation in Growth Factor Independence 1  
236 (Gfi1) (121) and has impaired NK cell responsiveness (122) but retains normal T and B cell differentiation.  
237 Transplantation of cancer cell lines into Genista mice suggests that neutrophils antagonize cancer  
238 progression by blocking the function of IL-17-producing  $\gamma\delta$  T cells, which are well established promoters of  
239 tumor growth and metastasis (123). Neutrophils impede  $\gamma\delta$  T cells through NOX-2-dependent production of  
240 ROS to inhibit their proliferation (124). Interestingly, these mice have a population of Ly6G intermediate cells,  
241 which potentially provides a model for studying immature neutrophils. To overcome these blunt approach  
242 models, conditional loss of function models have been developed. *Mrp8-Cre* mice crossed with diphtheria  
243 toxin receptor mice show 80-95% neutrophil depletion; although, there is minor leakage into the  
244 monocyte/macrophage compartment (125).

245

## 246 **Neutrophilia**

247 CXCR4 is important for retaining neutrophils in the bone marrow through interaction with its ligand CXCL12  
248 (126), and interference with this molecule can be used to promote neutrophilia. CXCR4-deficient mice die  
249 perinatally (127, 128). Therefore, CXCR4 manipulation has mainly relied on pharmacological antagonists,  
250 such as Plerixafor (AMD3100), which leads to a rapid release of neutrophils into the circulation. Mice with  
251 *LysM-Cre*-driven conditional deletion of *Cxcr4*, which specifically deletes CXCR4 in the entire myeloid  
252 compartment, exhibit neutrophilia. Melanoma cells transplanted into these mice have reduced growth and  
253 elicit increased NK cell cytotoxic response, indicative of anti-tumor polarized neutrophils (129). Clinical trials  
254 targeting CXCR4 to increase trafficking of anti-tumor immune cells in combination with T cell checkpoint

255 immunotherapy are underway in pancreatic cancer patients (NCT04177810). However, like CXCR2, CXCR4 is  
256 expressed by several cell types, suggesting caution is warranted in data interpretation.

257

### 258 ***Neutrophil effector functions***

259 Collating the above-mentioned mouse models highlights the complexity and limitations of inducing  
260 neutropenia or neutrophilia to study the role of neutrophils in cancer. Knockout or conditional models are  
261 used to specifically target key neutrophil-derived molecules. The process of neutrophil extracellular trap  
262 production (NETosis) is dependent upon peptidylarginine deiminase 4 (PAD4), so PAD4-deficient mice are  
263 used to study NETs in cancer progression (23, 42, 49, 97, 130). Pancreatic tumor-bearing PAD4 knockout mice  
264 have even established the potential utility of combining NET inhibitors with T cell checkpoint inhibitors, such  
265 as anti-PD1 immunotherapy (42). Neutrophil myeloperoxidase (MPO), another enzyme highly abundant in  
266 neutrophils, leads to the generation of reactive oxygen (ROS) and nitrogen species (RNS). MPO knockout  
267 mice and inhibitors have been used in mouse models of lung cancer to delay tumor growth with some success  
268 (131). However, ROS production by neutrophils can also play a role in cancer cell killing (20, 24, 45, 46), but  
269 the context in which ROS is pro- or anti-tumor remains unresolved. Conditional models, such as *Mrp8-Cre*  
270 and *LysM-Cre*, are not entirely specific to neutrophils. The *Ly6g-Cre* (Catchup) mouse was generated to  
271 increase neutrophil specificity (132), and this mouse has been used to demonstrate the importance of TGF $\beta$ -  
272 mediated neutrophil polarization in liver metastasis (65) as TGF $\beta$  is a major driver of pro-tumorigenic  
273 neutrophils in various models (13, 69). These data exemplify the utility of such mouse models. More  
274 sophisticated approaches aimed at targeting specific neutrophil effector molecules may shed some light on  
275 their role within cancer progression, but ultimately their combination with the more specialized techniques  
276 outlined below will likely improve our understanding.

277

### 278 **Spatially independent tools to study neutrophils**

#### 279 ***Flow and Mass Cytometry***

280 As new insights into neutrophil diversity, maturity, and polarization are uncovered (1-3, 71, 72), methods to  
281 distinguish these different neutrophil populations become more important. Flow cytometry is an essential

282 tool in these efforts due to the ability to assess multiple molecules simultaneously. For example, in patients  
283 with non-small cell lung cancer (NSCLC), a 27-colour flow cytometry panel has been used to characterize the  
284 tumor immune landscape, which revealed neutrophils as the most abundant cell type in NSCLC tumors (109).  
285 New markers of neutrophil subsets, including CD10 (133), CD101 (65, 134), CD117/cKIT (50, 135-137), CD177  
286 (14) and SiglecF (55, 56), are easily interrogated by traditional flow cytometry methods. However, as the list  
287 of markers grows, data analysis becomes laborious. Automated gating algorithms, such as MegaClust, have  
288 aided comprehensive characterization of tumor-associated neutrophils within mouse models (35).

289 Flow cytometry, though extremely valuable, still has limitations in the number of simultaneous  
290 markers possible. Mass cytometry combines flow cytometry and mass spectrometry, using stable isotope  
291 labelled antibodies analyzed by mass spectrometry to dramatically increase multiplexing (138). This  
292 improvement is imperative for examining precious patient samples with limited total cell numbers. So far, in  
293 the context of cancer, neutrophils have mostly been investigated by mass cytometry in the circulation (108,  
294 139). Fluorescence-based cytometry has recently bridged the gap somewhat with mass cytometry, and  
295 better optical design and the use of spectrally resolved detectors now allow 30+ markers to be  
296 analyzed. Fluorescence-based cytometry removes some of the constraints of mass cytometry, including the  
297 need for specialized kits and antibodies for stable isotope labeling and allows the possibility of sorting cells  
298 for downstream analysis (whereas mass cytometry destroys the sample). Isolation of neutrophils can be  
299 difficult without altering their phenotype/activation status and therefore their functional response in ex vivo  
300 assays (140). However, fluorescence activated cell sorting (FACS) of neutrophils for transcriptomic profiling  
301 has been important in revealing their role in the TME (141).

302

### 303 ***RNA sequencing***

304 Mostly due to accessibility, the first studies analyzing neutrophil transcripts in cancer have been performed  
305 on blood and bone marrow. RNAseq analysis of circulating neutrophils from *K14-Cre;Cdh1<sup>F/F</sup>;Trp53<sup>F/F</sup>*  
306 mammary tumor-bearing mice show an increase in expression of genes encoding the pro-metastatic proteins  
307 *Prok2/Bv8*, *S100a8*, *S100a9* and *Nos2* (which encodes inducible nitric oxide synthase (iNOS)) (50).  
308 Transcriptional analysis of sorted neutrophil populations from the blood of mice bearing liver metastases

309 from 4T1 mammary cancer cells has uncovered differences in expression of transcription factors, where  
310 neutrophils produce higher levels of C/EBP $\epsilon$  (98). More recently the comparison of neutrophil transcripts  
311 from premetastatic lung and peripheral blood revealed the overexpression of lipid droplet-associated genes  
312 by pre-metastatic lung neutrophils, allowing the subsequent description of a neutrophil-fueled mechanism  
313 of breast cancer metastasis (93).

314 Single cell (sc)RNAseq allows the detection of heterogeneity in maturation/activation markers in the  
315 wider population of neutrophils. Neutrophil heterogeneity in bone marrow, peripheral blood, and spleen has  
316 been recently assessed by scRNAseq in homeostasis and bacterial infection (142), but such a comprehensive  
317 study is still lacking in cancer. However, an analysis of human tumor biopsies and mouse models of lung  
318 cancer showed that neutrophils from humans and mice form a continuum of states with several shared  
319 populations amongst species (143). These populations consisted of canonical neutrophils expressing high  
320 levels of MMP8/9, S100A8/9 and ADAM8, and several tumor-specific neutrophils which were proposed to  
321 promote tumor growth in mice. In these studies, neutrophils exhibit very low transcript counts – a warning  
322 that neutrophils can inadvertently be excluded using common data filters. Tumor-infiltrating neutrophils only  
323 partially overlap blood neutrophil populations, highlighting the influence of microenvironment on neutrophil  
324 phenotype (143).

325

### 326 **Spatially resolved tools to study neutrophils**

327 Visualizing neutrophils in their anatomical location can help understand how, where and when neutrophils  
328 influence tumor cells and other immune cells as well as their role in disease progression and therapy  
329 response. Using both routine and more advanced imaging techniques, the spatial context of tumor and  
330 stromal cells can be analyzed to investigate local clusters, cell dispersion and interactions in two to four  
331 dimensions (Figure 2). For example, immunohistochemistry (IHC) and immunofluorescence (IF) analyses of  
332 tumor and metastatic tissue are widely used to characterize neutrophils in tumors. Stratification of human  
333 tumors according to the presence of CD66b- or CD15-expressing neutrophils results in different prognostic  
334 significance depending on the tumor-type and cellular localization (144, 145). NETs have also been  
335 extensively analyzed in fixed tissues (49, 130, 146), usually quantified by co-localized immunofluorescence

336 staining of extracellular chromatin DNA with granule proteins (e.g. MPO; Neutrophil Elastase, NE; MMP9).  
337 NETosis implies chromatin decondensation which usually requires nuclear histone citrullination by PAD4.  
338 Therefore citrullinated histones are markers of NETosis but are dispensable in some conditions (147). Highly  
339 multiplexed imaging of tissue sections is achievable by multiplexed ion beam imaging (MIBI), which uses  
340 metal isotope-tagged antibodies in tissue sections in a similar way to mass cytometry. Using MIBI on triple  
341 negative breast cancer biopsies has revealed that neutrophils tend to cluster together and are enriched near  
342 the tumor border (148, 149). Furthermore, 3D imaging and tissue clearing techniques that reduce refractive  
343 indices and increase imaging depth are being employed to gain a deep understanding of neutrophil location  
344 and function throughout entire organs. Imaging neutrophil–T cell interactions in cleared human head and  
345 neck tumors has provided direct evidence that T cell activity is decreased when these cells are in close  
346 proximity to neutrophils (150). With multiple markers, these techniques could be used to better assess  
347 neutrophil heterogeneity (maturation, polarization, etc.) in the TME.

348

#### 349 ***In vivo Imaging***

350 The In Vivo Imaging System (IVIS) allows non-invasive, longitudinal fluorescence or bioluminescence imaging  
351 of living organisms albeit with limited resolution and sensitivity compared to microscopy. This method can  
352 be used to monitor neutrophils in vivo. Luminol, a compound that emits luminescence after oxidization,  
353 enables the imaging of MPO activity (151). In mice transplanted with 4T1 mammary cancer cells, MPO-  
354 expressing neutrophils can be detected at the site of injection only two days after cancer cell transplantation,  
355 before tumors are palpable (152). Similarly, a probe to image Neutrophil Elastase Activity (Neutrophil  
356 Elastase 680 FAST imaging agent) has shown utility in cancer models (153, 154).

357 Intravital microscopy (IVM) is a high-resolution technique to gain valuable spatiotemporal  
358 information on cells of interest in mice (reviewed in (155-157)), including neutrophils. In transplantable  
359 mouse models of head and neck squamous cell carcinoma, IVM revealed that intratumoral neutrophils move  
360 slowly, compared with peritumoral neutrophils, which have a higher velocity that increases with cancer  
361 progression (158). NETs can also be imaged by IVM to visualize their effects on anti-tumor immune cells (47).  
362 Additionally, IVM has uncovered a role for neutrophils in transporting drug nanoparticles to tumors (159,

363 160). Neutrophil-dependent steps of the metastatic cascade, including neutrophil-mediated cancer cell  
364 adhesion to liver endothelium have been visualized by IVM (95, 161). However, some organs are easier to  
365 probe by IVM than others, such as the lung, which constantly moves. To overcome these mechanical issues,  
366 vacuum-stabilized imaging windows have been developed to visualize neutrophil behavior in the lung  
367 following tail vein injection of cancer cell lines (162). Neutrophil activation by cancer cells *in situ* can also be  
368 measured with imaging windows (47, 96, 163). Recent advances in permanent lung imaging windows for IVM  
369 (164) may allow monitoring of neutrophil behavior during the process of metastasis over time: from  
370 development of the pre-metastatic niche to cancer cell seeding to tumor outgrowth.

371 Other animal models are extremely useful to study neutrophil dynamics in cancer. Zebrafish larvae  
372 are transparent and relatively small, so it is possible to track every neutrophil in the whole organism over  
373 extended periods of time (165). In zebrafish implanted with human estrogen receptor positive (ER+) breast  
374 cancer cells and neutrophils, neutrophils were observed to promote cancer cell invasion (166).

375

## 376 **Conclusion**

377 Recent mechanistic and technological advances have uncovered new aspects of neutrophil biology that offer  
378 potential avenues for therapeutic intervention. After years of lagging behind other immune cells, knowledge  
379 on neutrophil phenotype and function is finally growing. The community now has spatially independent and  
380 spatially resolved methodologies to address critical questions regarding neutrophil behavior. These  
381 methodologies should provide details on the context in which neutrophils help or hinder cancer progression.  
382 Given the new information on neutrophil diversity, lifespan, and physiological roles (167), these  
383 methodologies should be used (in combination) to interrogate neutrophil plasticity more  
384 comprehensively. Like other myeloid cells, neutrophils exist in a wide spectrum of phenotypes driven by  
385 systemic, tumor-derived signals as well as local, tissue-specific microenvironments (167). However, there is  
386 still a serious gap in our knowledge about how the TME and neutrophils influence each other both locally  
387 and systemically, and how these mechanisms differ between cancer types. With this information, we can  
388 understand the complex roles and responses of these cells during cancer progression and perhaps exploit  
389 neutrophils for cancer immunotherapy to benefit cancer patients.



390

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395

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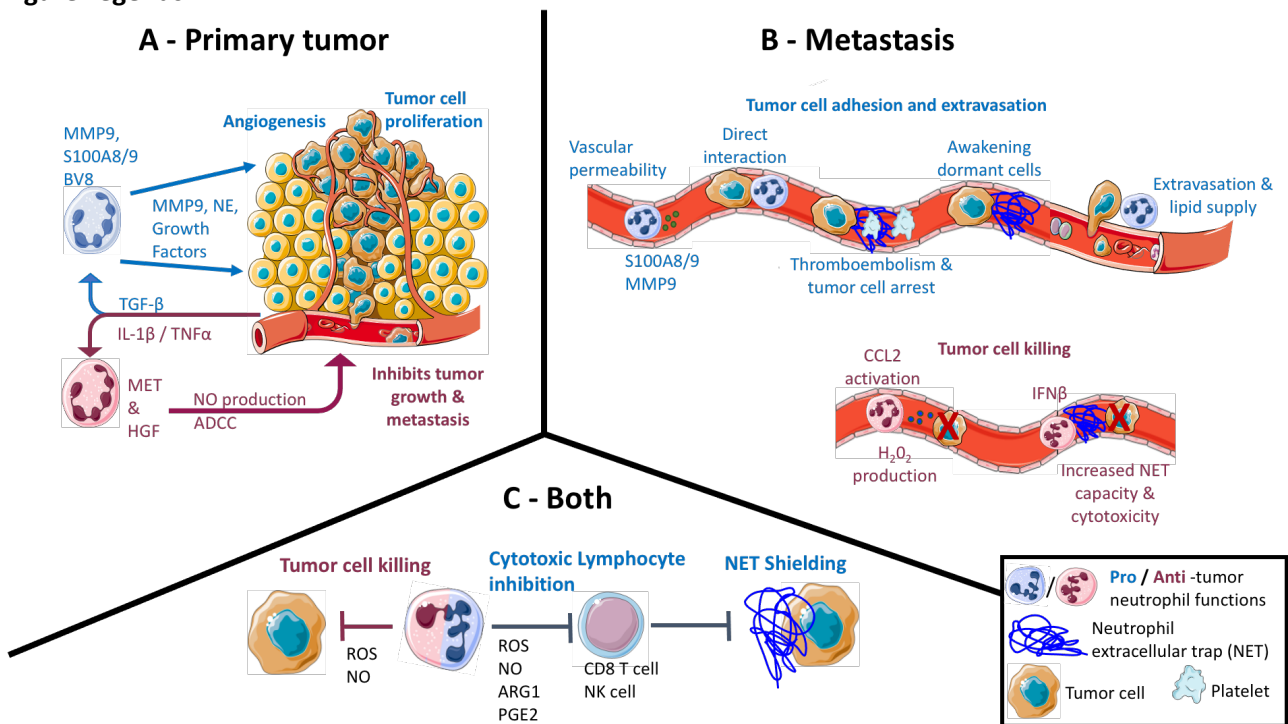
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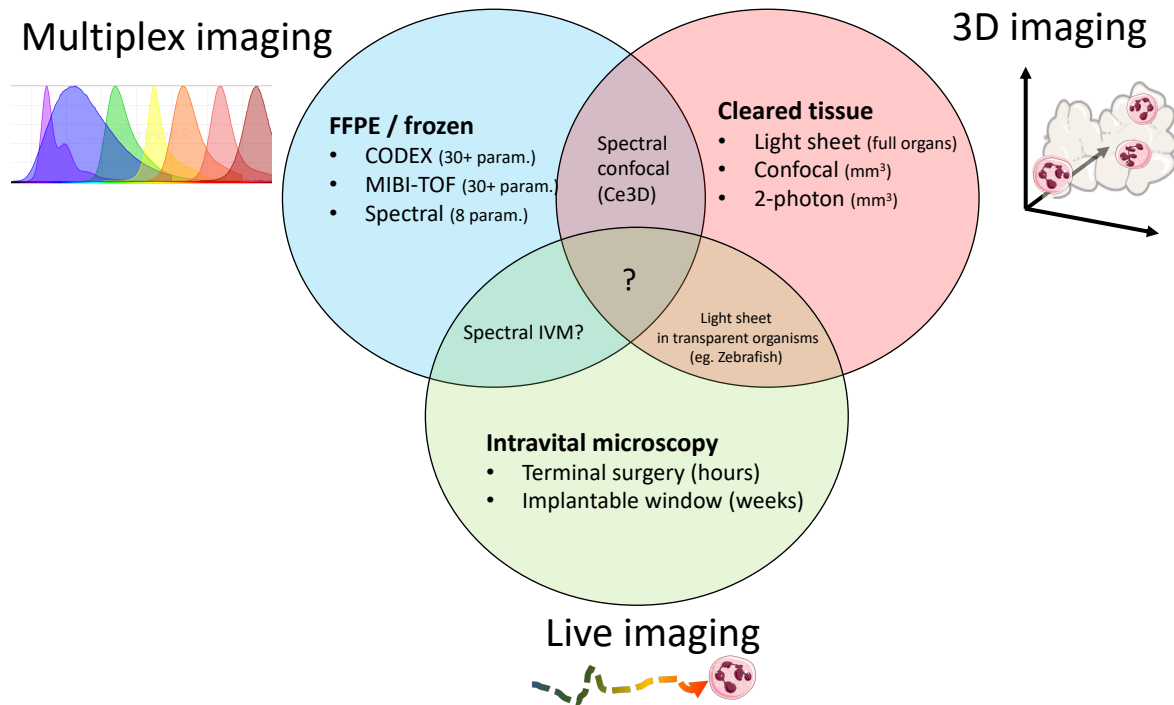
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870 **Figure 1. Neutrophil functions during cancer progression.** Neutrophils participate in tumor progression by  
 871 acting both at primary tumors and the (pre-)metastatic niche. (A) In primary tumors, neutrophils can  
 872 mediate angiogenesis through the release of MMP9, S100A8-A9 and BV8 to activate VEGF. The production  
 873 of growth factors and laminin degradation by neutrophil-derived proteases NE and MMP9 can assist tumor  
 874 cell proliferation. Alternatively, inflammatory stimuli (IL-1 $\beta$  and TNF- $\alpha$ ) can induce neutrophil MET  
 875 expression and binding of HGF, leading to NO production and tumor cell killing. Neutrophils also use  
 876 antibody-dependent cellular cytotoxicity (ADCC) to kill cancer cells. (B) Neutrophils can support metastasis  
 877 through a number of different factors individually or in combination. Inflammation induced by molecules  
 878 such as S100A8 increases vascular permeability and therefore extravasation. Direct interactions between  
 879 cancer cells and neutrophils or NETs can lead to their arrest in the vasculature. In addition, NETs have been  
 880 suggested to wake dormant tumor cells and neutrophils can feed tumor cells with lipids to aid their  
 881 survival. Together, these events favor tumor cell extravasation and metastasis. Neutrophils can also aid  
 882 tumor cell killing. CCL2 produced by the primary tumor can activate neutrophils in the premetastatic niche  
 883 to produce hydrogen peroxide providing an efficient tumor cell killing mechanism. IFN- $\beta$  has also been  
 884 shown to increase neutrophil anti-tumor potential by increasing NET capacity and cytotoxicity towards  
 885 tumor cells (C) The release of reactive oxygen species (ROS) and nitric oxide (NO) can induce tumor cells

886 death but conversely, through ROS, NO, ARG, PGE2, or a 'shielding' effect of NETs, neutrophils can  
 887 suppress cytotoxic immune cell activity.  
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889  
 890 **Figure 2. Overlap in state-of-the-art of TME imaging approaches.** Current state-of-the-art high-resolution  
 891 imaging techniques allow highly multiplexed imaging in two dimensions with mass imaging or CODEX and to  
 892 a lesser extent spectral imaging. It is possible to image large volumes of tissues and even whole organs in  
 893 three dimensions using tissue clearing techniques in combination with light sheet, confocal or multiphoton  
 894 microscopy but multiplexing options are currently sparse. To capture cell dynamics *in vivo*, imaging windows  
 895 can be implanted in mice to image cells *in situ* in real time. However, tissue penetration and multiplexing  
 896 options are again currently limited. The use of transparent organisms such as zebrafish embryos and the  
 897 combination of volumetric imaging/intravital microscopy with spectral imaging could be a way to circumvent  
 898 some of these limitations.  
 899