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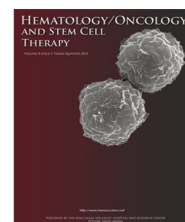
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ORIGINAL RESEARCH REPORT

Role of gene therapy in Fanconi anemia: A systematic and literature review with future directions

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KEYWORDS

Bone marrow transplant;
Fanconi anemia;
Gene therapy

Abstract

Gene therapy (GT) has been reported to improve bone marrow function in individuals with Fanconi anemia (FA); however, its clinical application is still in the initial stages. We conducted this systematic review, following the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) guidelines, to assess the long-term safety and clinical outcomes of GT in FA patients. Electronic searches from PubMed, Web of Science, Cochrane Library, and Google Scholar were conducted and full texts of articles meeting our inclusion criteria were reviewed. Three clinical trials were included, with a total of nine patients and mean age of 10.7 ± 5.7 years. All patients had lentiviral-mediated GT. A 1-year follow-up showed stabilization in blood lineages, without any serious adverse effects from GT. A metaregression analysis could not be conducted, as very little long-term follow-up data of patients was observed, and the median survival rate could not be calculated. Thus, we can conclude that GT seems to be a safe procedure in FA; however, further research needs to be conducted on the longitudinal clin-

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ical effects of GT in FA, for a better insight into its potential to become a standard form of treatment.

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46 **Introduction**

47 Fanconi Anemia (FA) is an inherited bone marrow failure
48 (BMF) syndrome, characterized by congenital malforma-
49 tions, pancytopenia, cancer predisposition, and sensitivity
50 to cross-linking agents [1]. To date, 22 genes have been
51 implicated in FA, which code for "FANC" proteins that recog-
52 nize and repair DNA damage. Disease results from
53 homozygous mutations in both alleles (autosomal recessive)
54 of the specific *FANCA* (A-W) gene, except for *FANCB* (X-
55 linked), and *FANCR* (dominantly inherited), which encodes
56 RAD51 [2,3].

57 Although androgens have been used to improve cytope-
58 nias caused by FA, the only current cure available is a
59 hematopoietic cell transplant (HCT) [4,5]. Another evolving
60 management strategy is gene therapy (GT), which can
61 potentially improve BM function in FA patients, and help
62 overcome limitations of HCT such as relapse and graft-
63 versus-host disease (GVHD), which are associated with a
64 high mortality and morbidity [6,7].

65 The regenerative nature of hematopoietic stem cells
66 (HSCs) has potential for maximum regain of function and
67 elimination of hematological abnormalities after genetic
68 correction in FA [8]. In addition, mosaicism in FA patients
69 allows for a natural reversion to normal hematopoiesis by
70 providing these corrected stem cells with a strong repopula-
71 tion and survival advantage in vivo over FA stem cells, mak-
72 ing FA compelling for GT [9].

73 In gene addition, functional copies of the gene are added
74 with the help of viruses, such as adenovirus or retrovirus.
75 Retroviruses have the potential to transfer a specific FA
76 gene, for example, *FANCC*, to HSCs [10,11]. Although
77 gamma-retroviruses have been associated with genotoxicity
78 [12,13], recent developments in lentiviral (LV) vectors have
79 shown excellent safety profiles, with optimized transcrip-
80 tional activity required for correction of hematopoietic pro-
81 genitors in FA [14–16].

82 We undertook a systematic review to evaluate the total-
83 ity of evidence for the role of GT in FA with respect to clinical
84 and long-term outcomes.

85 **Methods**

86 This review follows the Preferred Reporting Items for Sys-
87 tematic Reviews and Meta Analyses (PRISMA) guidelines
88 [17].

89 **Selection criteria**

90 **Inclusion criteria**

91 For types of studies: randomized control trials (RCT), clinical
92 trials (CTs) or quasi-randomized trials, as well as retro-

93 spective studies, case reports, and case controls. For types
94 of participants: individuals with FA of any age or sex,
95 regardless of geography. For types of interventions: GT,
96 with or without standard treatment of HCT. For time of pub-
97 lishing: studies published from 2005 to 2019.

98 **Exclusion criteria**

99 Papers were excluded if they were non-English literature,
100 murine studies, or review articles.

101 **Types of outcomes (attributable to and after GT)**

102 **Primary outcomes**

103 The primary outcomes included: symptom-free survival (in-
104 dividuals alive and free of FA symptoms); serious adverse
105 events (SAEs), including genotoxic events, death, in-
106 patient admissions, life-threatening complications, or sig-
107 nificant disability and impairment; long-term survival prob-
108 ability of individuals with FA.

109 **Secondary outcomes**

110 Secondary outcomes were post-infusion blood cell counts
111 [hemoglobin (Hb), platelets (Plts), and neutrophils]; need
112 for blood transfusions or HCT; and quality of life (QoL).

113 **Search methods for identification of studies**

114 Electronic searches implemented for each search engine
115 included PubMed, Web of Science, Cochrane Library, and
116 Google Scholar using the following search strategies:

117 PubMed: (("Fanconi Anemia"[Mesh]) AND ("Genetic
118 Therapy"[Mesh] OR "Gene Editing"[Mesh] OR "Gene
119 Transfer"[Mesh])).

120 Web of Science: ("Fanconi Anemia") AND ("Genetic
121 Therapy" OR "Gene Editing").

122 Cochrane Library: ("Fanconi Anemia") AND ("Gene Edit-
123 ing" OR "Gene Therapy").

124 Google Scholar: ("Fanconi Anemia") AND ("Genetic
125 Therapy") and ("Fanconi Anemia") AND ("Genetic
126 Editing").

127 The date of the most recent search was December 30,
128 2019.

129 **Search for other resources**

130 Other resources were screened through the reference lists
131 of included studies to identify potentially relevant studies.

132 **Data collection and analysis**

133 Two authors independently undertook searches for eligible
134 studies.

135	Selection of studies	Data synthesis	186
136	Search results were compiled in referencing software (End-Note X8) and duplicates excluded. Two authors independently screened and filtered through the search results using the titles of the studies. A consecutive second screening using abstracts was performed, and full texts of articles that met our inclusion criteria were reviewed. Upon disagreement regarding the inclusion of an article, a consensus meeting with a third author was held.	We planned to assess for clinical homogeneity between results, to see if a meta-analysis can be conducted. If not, a descriptive/qualitative analysis was considered to be the default.	187 188 189 190
144	Data extraction and management	Assessment of reporting biases	191
145	Two authors independently extracted data from the included studies, using a structured data form, which included:	Assessment for publication bias was planned if at least 10 trials were included in our systematic review, using the funnel plot to check for presence of asymmetry. If it was present, then publication bias would be considered a possible factor in skewing the results [20].	192 193 194 195 196
148	1. Study characteristics: title; authors; year of publication; journal name; study type; and sample size of patients.	Assessment of heterogeneity	197
149	2. Patients: gender; age at GT (years); mutation and specific protein type; baseline blood cell counts [Hb (g/dl), neutrophils (/ μ L) and Plts (10^3 / μ L)]; and baseline bone marrow CD34+ cells collected (10^6 /kg) and colony forming cells (CFCs) (/ μ L).	Statistical heterogeneity would be calculated using the chi-square test for homogeneity, with $p < .1$ considered significant. The impact of statistical heterogeneity was quantified using I^2 , which describes the percentage of total variation across studies attributable to heterogeneity rather than chance [21]. An I^2 value of $\geq 75\%$ was considered as significant heterogeneity.	198 199 200 201 202 203 204
150	3. Intervention: cryopreservation; cell mobilization; transduced/infused CD34+ cells; total nucleated cells (TNCs) infused; transduced CFCs; vector used and its specific subtype; number of transductions; vector copy number (VCN).	Results	205
151	4. Follow-up (FU) after GT: post-infusion blood cell counts; serious adverse effects (SAEs) from the investigational therapy; CFC survival to mitomycin-C (MMC) pre- and post-infusion (%); and cytogenetic abnormalities post-infusion.	Study selection	206
152		A total of 298 studies were identified from the resources described above. With duplicate removal, title screening, and a rigorous abstract and full-text review as per our inclusion criteria, a total of three open-label CTs met requirements for qualitative review (Fig. 1) [22–24]. We could not proceed for a quantitative review (meta-analysis) because of substantial heterogeneity between articles.	207 208 209 210 211 212 213 214
153		Study characteristics	215
154		Of the three CTs, two were available as full-text articles, whereas one was presented as a meeting abstract. A total of nine patients were therefore included in our study, with a mean age of 10.7 ± 5.7 years. Mean pre-infusion Hb, neutrophils, and Plts were 11.0 ± 1.6 (2 studies), $1,181 \pm 525$, and 61.1 ± 28.7 , respectively. An average of 0.75 ± 0.4 (median, 0.71; 0.2–1.3) CD34+ cells were collected (2 studies) and transduced with an overall VCN of 0.59 ± 0.6 (2 studies). Shared features between these studies included patients with a FANCA mutation using an LV vector for GT; gene editing was not reported in any study. A limited follow-up (FU) period was reported in two of the studies. None of the three articles reported any SAEs from the investigational therapy, and there were no cytogenetic abnormalities reported in two studies after infusion. Neither symptom-free survival nor median survival rates were determined as no mortality was reported for any of the nine patients during their FU; also, no patients required HCT after GT, indicating a positive response to GT as a safe and low toxicity management solution for FA.	216 217 218 219 220 221 222 223 224 225 226 227 228 229 230 231 232 233 234 235
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166	Dealing with missing data		
167	If numerical data was not reported, or full texts did not provide sufficient information, efforts were made to contact the authors to request for necessary data for further analysis.		
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171	Assessment of risk of bias in the included studies		
172	Two authors independently planned to assess the risk of bias for RCTs and other CTs from the Cochrane Risk of Bias (RoB) tool, using bias from randomization process, deviations from the intended interventions, missing outcome data, in measurement of the outcome, in selection of the reported result, and overall bias [18]. In case of controls or cohort studies, we planned to use the Newcastle Ottawa Scale (NOS), with the use of a ‘‘star’’ system [19].		
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180	Measures of treatment effect		
181	For continuous outcome data, we calculated the means and standard deviations (SD), using SPSS version 25.0 (SPSS Inc., Chicago, IL, USA). If outcomes had different units, the standardized mean difference (SMD) and their associated 95% confidence intervals (CI) would be used.		
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FIGURE 1: PRISMA FLOWCHART:

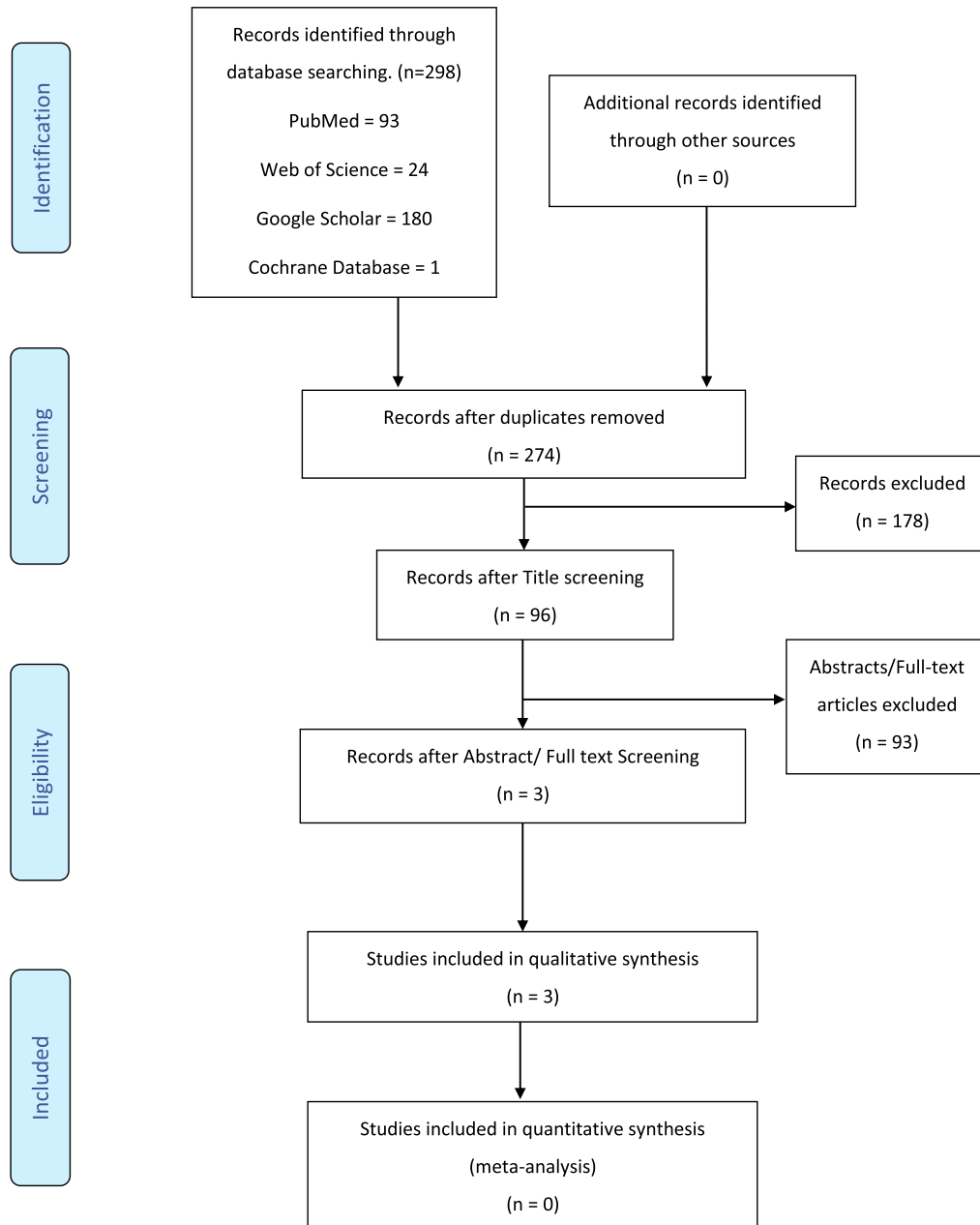


Fig. 1 PRISMA flowchart. Note. PRISMA = Preferred Reporting Items for Systematic Reviews and Meta-Analyses.

236 The age range of participants in the study of Rio et al.
 237 [22] and Kelly et al. [23] was narrower compared with that
 238 in the study cohort of Adair et al. [24], with a gap of
 239 2.4 years (7.6–5.2), 2.4 (15.5–13.1), and 12 (22–10),
 240 respectively. Whereas Kelly et al. [23] used two cycles of
 241 GT, others used one cycle of transduction, demonstrating
 242 an improvement in the methods and efficacy of GT over the
 243 years.

244 A common finding was increased survival of CFCs to MMC,
 245 which reflects chromosomal stability after transduction, as
 246 chromosomal fragility to this DNA cross-linking agent is a
 247 gold standard test for FA [25]. Kelly et al. [23] reported
 248 an average CFC survival after MMC (10 nM) administration

of approximately 45%, in comparison to 31% in Rio et al.'s
 [22] study; however, these could not be compared statisti-
 cally. All three studies had used different cell lines for the
 transduction process; hence, a true comparison of CFC sur-
 vival could not be made. Also, age did not seem to be a fac-
 tor in considering comparison of CFC survival after MMC
 administration.

FU hematological parameters were not available numeri-
 cally in the work of Rio et al. [22] and Adair et al. [24], so it
 was not possible to statistically compare improvements in
 individual parameters. However, a 1-year FU of most
 patients showed stabilization in blood lineages. Table 1
 summarizes all three studies.

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Table 1 Summary of the three included studies.

Variables	Study 1	Study 2	Study 3
Title	Successful engraftment of gene-corrected hematopoietic stem cells in nonconditioned patients with Fanconi anemia	Stem cell collection and gene transfer in Fanconi anemia	Gene therapy for Fanconi anemia in Seattle: clinical experience and next steps
Authors	Rio et al.	Kelly et al.	Adair et al.
Year of publication	2019	2007	2016
Journal of publication	<i>Nature Medicine</i>	<i>Molecular Gene Therapy</i>	<i>Blood</i> . Conference: 58th Annual Meeting of the American Society of Hematology, ASH 2016
Study design	Clinical trial	Clinical trial	Clinical trial
Full text available	Yes	Yes	No (Abstract)
Sample size	4	3	2
Mean age (y)	5.85 ± 1.6	13.7 ± 1.6	16.0 ± 8.5
Cryopreservation	Yes (2 patients)	Yes (1 patient)	No
Cell mobilization	Yes (all; G-CSF and Plerixafor)	Yes (1 patient; G-CSF)	No
Baseline mean			
Hb (g/dL)	11.3 ± 0.9	10.7 ± 2.5	NP
Neutrophils (/μL)	1,235 ± 472	1,423 ± 672	710 ± 56.7
Plts (×10 ³ /μL)	48.0 ± 21.8	76.0 ± 40.1	61.0 ± 29.7
CD34+ cells (10 ⁶ /kg)	0.833 ± 0.3	0.183 ± 0.2	NP
CFC survival to 10 nM MMC (%)	0.025	0.0	NP
Vector used (specific subtype)	Lentiviral (PGK-FANCA-WPRE lentiviral)	Lentiviral (MSCV-FANCA lentiviral)	Lentiviral (NP)
LV transduction	3 × 10 ⁸ IU/mL	NP	10 IU/cell
VCN	0.35 ± 0.2	NP	1.08 ± 1.1
Transduced/infused mean			
CD34+ cells (10 ⁵ /kg)	2.6 ± 1.07	NP	NP
CFCs (/kg)	46.0 × 10 ³ ± 76.1 × 10 ³	NP	30.7%
TNC (10 ⁵ /kg)	NP	2.83 ± 2.1	NP
No. of transductions	1	2	1
Mean CFC survival after MMC administration after gene therapy (10 nM) (%)	31.0	44.7	NP
Follow-up period	18–30 mo	12 mo	NP
Follow-up cytogenetic abnormalities	None	None	NP
Serious adverse effects of investigational therapy	None	None	None
FU: blood cell lines improvement: (numerical/description):	No	Yes (transient) 6 mo after infusion: 13.5 ± 0.7	NP
(Hb (g/dL)		NP	
Neutrophils (/μL)		90.0 ± 28.3	
Plts (×10 ³ /μL)	Yes	Yes	Yes
Stabilization			

Note. CFCs = colony forming cells; FU = follow-up; Hb = hemoglobin; LV = lentiviral; mo = month; NP = not provided; Plts = platelets; TNC = total nucleated cells; VCN = vector copy number.

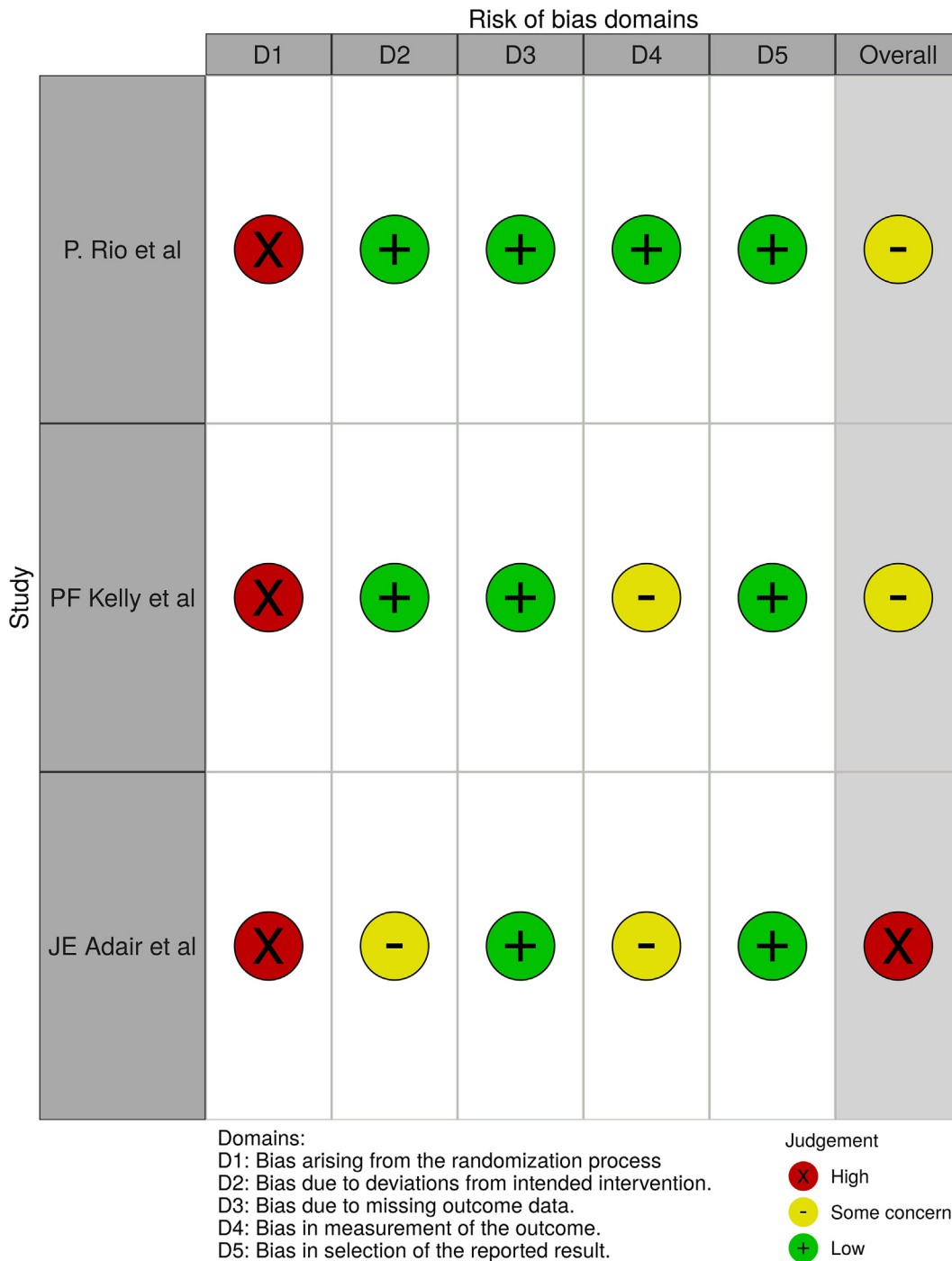


Fig. 2 Risk of bias assessment.

262 **Risk of bias**

263 With no randomized, case–control, or cohort studies avail-
264 able, assessment of risk of bias showed a poor score in many
265 domains, with the overall quality of our review being low,
266 typical of newer strategies (Fig. 2). Furthermore, as we only
267 had three articles, we were unable to assess the publication
268 bias of our studies.

Results of individual studies

Rio et al. [22] (NCT03157804, ClinicalTrials.gov)
In this study [22], four male patients, with a mean age of
5.85 ± 1.6 years, participated, as they met the inclusion cri-
teria of at least one hematological parameter: Hb > 8, neu-
trophils > 750, or Plts > 30,000. No patient had been
transfused for 3 months prior to being treated with mobiliz-
ing drugs. The mean baseline values for Hb, neutrophils, and

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Table 2 Details of Rio et al. [22] study.

Variables	Patient 1	Patient 2	Patient 3	Patient 4
Age at HSC gene therapy	5.2	7.6	4.0	6.6
Mutation (protein)	FANCA:c295C > T; p.Gln99* (truncated protein)	FANCA; c.1115_1118delTTGG; p.V372AfsX42	FANCA; c295C > T;p.Gln99* (truncated protein)	FANCA; exon38: c.3788_3790del; p.Phe1263del & exon29: c.2851C > G; p.Arg951Gly)
Baseline Hb (g/dL)	10.5	10.8	12.5	11.3
Baseline neutrophils (/μL)	1,600	900	1,680	760
Baseline Plts (×10 ³ /μL)	29	46	38	79
Baseline bone marrow				
CD34+ cells (/μL) at GT	135	25.1	276.1	34.1
CD34+ cells (10 ⁶)/kg	0.55	0.71	1.30	0.77
Baseline CFCs (/μL)	2.81	0.8	5.25	5.05
Survival to MMC (10 nM) (%)	0.1	0.0	0.0	0.0
Cryopreservation	Yes	Yes	No	No
Cell mobilization	G-CSF and Plerixafor			
Transduced/infused: CD34+ cells (10 ⁵)/kg	2.5	1.6	2.2	4.1
LV transduction (IU/mL)	3.0 × 10 ⁸			
Transduced CFCs (10 ³)/kg	14.0 ± 2.9	7.3 ± 0.88	2.8 ± 0.4	160 ± 12.0
VCN/cell (total colonies)	0.45	0.24	0.17	0.53
CFC survival after MMC administration (10 nM) (%)	70 (24 mo)	20 (24 mo)	30 (24 mo)	4 (12 mo)
Cytogenetics	No abnormalities (24 mo for Patients 1 and 3; 12 mo for Patients 2 and 4)			
Gene marking at follow-up (latest)	55% in PBL and 70% in BL (30 mo) 43.5% in BM (24 mo)	2–9% in PBL and 15–25% in BM (24 mo)	4–6% in PBL (30 mo) 8–20% in BM (24 mo)	5–17% in PBL (18 mo) 4–8% in BM (12 mo)

Note. BL = B-lymphocytes; BM = bone marrow; mo = month; CFCs = colony forming cells; G-CSF = granulocyte colony-stimulating factor; Hb = hemoglobin; LV = lentiviral; PBL = peripheral blood leukocytes; Plts = platelets.

277 Plts were 11.3 ± 0.9, 1,235 ± 472, and 48.0 ± 21.8, respec- 276
 278 tively. The mean baseline BM CD34+ cells and CFCs were 277
 279 0.833 ± 0.3 and 3.48 ± 2.1, respectively. In addition, 118.0 278
 280 ± 117.0 bone marrow CD34+ cells/μL were available at GT. 279
 281 A mean of 2.6 ± 1.07 CD34+ cells (10⁵)/kg and 46.0 ± 76.1 280
 282 CFCs (10³)/kg were infused, with LV transduction of 281
 283 3 × 10⁸ IU/mL and an average VCN/cell of 0.35 ± 0.2. There 282
 284 were no cytogenetic abnormalities at 12 and 24 months FU. 283
 285 Patient 3 received prophylactic platelet transfusion after 284
 286 GT, at days 32 and 36 and at months 12 and 18, whereas 285
 287 other patients had stable, although low, Plt counts. Gene 286
 288 markers in peripheral blood leukocytes, B-lymphocytes, 287
 289 and BM increased progressively throughout FU at regular 288
 290 intervals as shown in Table 2. 289

291 In a recent update, a higher level of gene marking was 290
 292 associated with a higher survival of CFC to MMC, up to 70% 291
 293 at 3-year FU, and also lower levels of chromosomal break- 292
 294 age. Hb levels for two patients had increased to a normal 293
 295 healthy range, and no genotoxic events had been reported. 294

296 An additional five patients were recruited in this study, who 296
 297 were transduced with 50,000–1.6 × 10⁶ CD34+ cells/kg. 297
 298 Preliminary results include confirmation of gene corrected 298
 299 PB cells, with a similar pattern in the levels of gene marking 299
 300 with the initial four patients. Two of these patients, 300
 301 after ≥ 1-year FU, have demonstrated evidence of engraft- 301
 302 ment, as shown by increases in PB VCNs [26–28]. 302

303 *Kelly et al. [23] (NCT00272857 ClinicalTrials.gov)* 303
 304 In this study [23], three patients (mean age, 13.7 304
 305 ± 1.6 years) were recruited. Eligibility criteria included a 305
 306 diagnosis of FA genotype A (FA-A), age > 1 year, no evidence 306
 307 of leukemia or myelodysplastic syndrome, and > 1 × 10⁵ 307
 308 CD34+ cells/kg available for in vitro gene correction. One 308
 309 patient underwent cryopreservation, whereas another 309
 310 received granulocyte colony-stimulating factor (G-CSF) for 310
 311 stem cell mobilization. The mean baseline values for Hb, 311
 312 neutrophils, and Plts were 10.7 ± 2.5, 1,423 ± 672, and 312
 313 76.0 ± 40.1, respectively. This study reported a mean post- 313

Table 3 Details of Kelly et al. [23] study.

Variables	Patient 1	Patient 2	Patient 3
Age at HSC gene therapy	13.1	12.5	15.5
Cryopreservation	No	Yes	No
Cell Mobilization	G-CSF	NR	None
Baseline Hb (g/dL)	11.8	7.8	12.5
Baseline neutrophils (/ μ L)	780	2,120	1,370
Baseline Plts ($\times 10^3$ / μ L)	94	30	104
Baseline CFC survival to MMC (10 nM) (%)	0	0	0
Post-harvest and post-CD34+ selection: total CD34 (10^6)/kg	0.4	1.3	0.2
Cell yield post-transduction and 84 hours in culture (%)	82	5	110
Total nucleated cells infused (10^5)/kg:	4.5	0.5	3.5
Pro-virus detected after infusion	No	N/A ^a	(At 2 and 4 weeks after infusion; none in the BM)
CFC Survival after MMC administration (10 nM) (%)	40	46	48
Peak improvements in blood cell counts (months after GT):			
Hb (g/dL)	Between 13 and 14 (2)	N/A	Between 14 and 15 (3)
Plts ($\times 10^3$ / μ L)	Between 110 and 120 (1)		Between 130 and 140 (1)
6 mo after infusion		N/A	
Hb (g/dL)	13		14
Plts (\times / μ L)	70		110
1 y follow-up After infusion	Approximately 1 g increase in Hb for 10 mo Transient increase in platelets No changes in WBCs No cytogenetic abnormalities (including FISH studies) No morphological changes	N/A	Variable increase in Hb, that peaked at 3 mo Increase in platelets that peaked at 1 mo after infusion No changes in WBCs No cytogenetic abnormalities (including FISH studies) No morphological changes

Note. FISH = fluorescent *in situ* hybridization; G-CSF = granulocyte colony-stimulating factor; Hb = hemoglobin; GT = gene therapy; N/A = not applicable; Plts = platelets; WBC = white blood cells.

^a Patient 2 who underwent cryopreservation was not transduced with autologous products due to the poor *in vitro* survival of previously cryopreserved cells.

Table 4 Details of Adair et al. [24] study.

Variables	Patient 1	Patient 2
Age at HSC gene therapy	22	10
Mutation	FANCA; c1827-1 G > A	FANCA; exon6-31del
Conditioning (prior to infusion)	No	
Baseline Hb (g/dL)	NP	NP
Baseline neutrophils (/ μ L)	500–1,000 750 (mean)	670
Baseline Plts ($\times 10^3$ / μ L)	40	82
Total CD 34 + cells collected/ 10^6 (BM volume in L)	9.4 (1.1)	30.6 (0.4)
LV transduction (IU/cell)	10	
VCN (/cell)	0.33	1.83
Transduced CFC/kg (%)	18.4	43.0
Post-infusion cell counts	NP	NP

Note. BM = bone marrow; CFCs = colony forming cells; Hb = hemoglobin; HSCs = hematopoietic stem cells; LV = lentiviral; VCN = vector copy number.

314 harvest and CD34+ selection of 0.183 ± 0.2 cells (10^6)/kg,
315 and an average of 65.7% cell yield after transduction and
316 84 hours in culture. All patients received a TNC infusion of
317 approximately 2.83 ± 2.1 (10^5)/kg, whereas the mean CFC
318 survival after MMC administration (10 nM) was 44.7%. On
319 FU, there were no 12-month cytogenetic abnormalities in
320 the two patients infused, and 6 months mean post-infusion
321 Hb and Plts values were 13.5 ± 0.7 and 90 ± 28.3 , respec-
322 tively, as shown in Table 3.

323 *Adair et al. [24] (NCT01221018 ClinicalTrials.gov)*

324 As this was an abstract [24], only limited data were avail-
325 able. The mean age of the two patients included was 16.0
326 ± 8.5 years. Bone marrow was harvested to collect unmanip-
327 ulated HSCs and did not include either cryopreservation or
328 stem cell mobilization prior to cell infusion. Baseline neu-
329 trophils and Plts were 710 ± 56.7 and 61.0 ± 29.7 , respec-
330 tively. A mean of 20.0 ± 15 total ($\times 10^6$) CD34+ cells were
331 collected from 0.75 L of BM. LV transduction was 10 IU/cell,
332 and an average of 30.7% transduced CFC/kg was achieved
333 with a mean VCN/cell of 1.08 ± 1.1 as shown in Table 4.
334 The infusion was tolerated well, and stable blood cell
335 counts were maintained post GT, but showed progressively
336 lower levels of transduced cells in peripheral blood.

337 Discussion

338 We aimed to review the impact of GT reported for FA
339 patients. Only three studies met our inclusion criteria,
340 which highlights the need for further research on the effects
341 of GT for FA. Another CT identified was conducted two dec-
342 ades ago, hence considered beyond the scope of our a priori
343 inclusion criteria [29]. In this trial, four patients were
344 recruited, out which three patients received three or four
345 cycles of gene transfer, each with two or three infusions
346 of HSCs transduced ex vivo with normal FANCC genes based
347 retroviral vectors. There was a significant increase in HSC
348 colonies in vitro and a transient improvement in BM
349 cellularity.

350 All published and ongoing GT CTs for FA have used retro-
351 viral, for example, LV, mediated gene transfer. However,
352 there have been rapid developments in other methods of
353 GT, with a specific focus on gene editing. Site-specific
354 DNA double-strand breaks are introduced using specific
355 endonuclease enzymes, after which they are repaired by
356 either non-homologous end joining (NHEJ) through gene
357 mutations via insertion–deletion (indel) disruptions, or
358 homology-dependent repair (HDR), which uses donor DNA
359 templates for precise gene modification. Currently used
360 enzymes include zinc finger nucleases (ZFNs), transcription
361 activator-like effector nucleases (TALENs), and canonical
362 clustered regularly interspaced short palindromic repeats
363 (CRISPR)-associated protein-9 nuclease (Cas9). Thus, the
364 specific mutation is corrected in its original genomic locus,
365 allowing for targeted delivery and tissue-specific regulatory
366 response [30–32].

367 Both ZFNs and TALENs-based gene editing in FA have
368 reported that integration of the transgene adeno-
369 associated virus integration site 1 (AASV1) can correct
370 MMC hypersensitivity, and therapeutic gene editing is possi-
371 ble in CD34+ cells from FA-A patients [33,34]. Meanwhile,

372 CRISPR-Cas9-based gene editing, with some advantages over
373 ZFNs and TALENs [35], has demonstrated immense thera-
374 peutic potential by generating induced pluripotent stem
375 cells for gene correction and differentiation into adult stem
376 cells [36]. Moreover, murine studies have shown that
377 CRISPR-Cas9 mediated gene editing is effective in restoring
378 FANCF function with increased survival in the presence of
379 MMC, whereas NHEJ-mediated gene editing via CRISPR-
380 Cas9 has been very efficient in restoring mutant coding
381 frames across multiple FA groups, with corrected FA-HSCs
382 having a proliferative advantage [37,38]. Although this
383 approach does appear promising, there has been an associ-
384 ation of imperfect DNA repair with deleterious indels, along
385 with a limited editing efficiency [39]. Most recently, trans-
386 fusion independence was achieved in two patients of
387 transfusion-dependent β -thalassemia at 15 and 9 months
388 after GT (NCT036655678, ClinicalTrials.gov), and in one
389 patient of severe sickle cell disease, who was also free of
390 vaso-occlusive crises at 9 months after GT (NCT03745287,
391 ClinicalTrials.gov) [40,41].

392 In addition, cytosine and adenine base editors as well as
393 novel prime editors, both versions of the DNA double-strand
394 breaks free CRISPR-Cas9 genomic editing tool, were devel-
395 oped. Prime editors, as a “search-and-replace” method,
396 are CRISPR-Cas9 nickase/nCas9 (H840A)-reverse transcrip-
397 tase fusions that use designed prime editing RNAs (pegRNAs)
398 [42,43]. When comparing base editing (CRISPR) versus prime
399 editing, the preclinical work by Lui’s team [44] has demon-
400 strated prime editing to offer more targeting flexibility and
401 a greater editing precision, while having a higher or similar
402 editing efficiency compared with HDR and a lower indel by-
403 product generation [45]. Thus, we hope this newer tech-
404 nique of prime editing will prove to be potentially effica-
405 cious and safe for the treatment of monogenic diseases,
406 including FA, and emphasize the essential need for and
407 urgency of gene edited human trials for FA.

408 Besides a small number of patients, absence of long-term
409 FU was the major limitation of our study. We were also
410 unable to assess QoL after GT. In addition, a metaregression
411 analysis could not be conducted because of the limited
412 availability of patient data, and absence of RCTs for GT ver-
413 sus HCT for FA, which would have provided a more reliable
414 insight into benefits and adverse effects of this interven-
415 tion. Meanwhile, HCT has evolved significantly over the
416 years, with longer survival rates and long-term mortality
417 benefits, attributable to improved grafting techniques, T-
418 cell-depleted grafts, and reduced intensity conditioning
419 regimens [46]. Advancements in the approach to HCT for
420 FA have allowed for 100% engraftment, acute GVHD
421 of < 10%, and a 94% survival; meanwhile, Anur et al. [47]
422 demonstrated 5- and 10-year survival rates to be 100% and
423 84%, respectively [48].

424 With promising initial results, multiple GT CTs have been
425 initiated, from the FANCOLEN-2 trial in the United States
426 (NCT04248439, ClinicalTrials.gov) [49], to a global Phase 2
427 study aiming to treat patients in earlier stages of BMF with
428 infusion of higher numbers of corrected HSCs
429 (NCT04069533, ClinicalTrials.gov) [50]. Early Phase 1 data
430 (NCT03814408, ClinicalTrials.gov) described two patients
431 (aged 5 and 6 years) with CD34+ cells transduction from an
432 LV carrying the FANCA gene. No adverse events related to
433 GT occurred. Both patients had stabilizing cytopenias

6 months after infusion, as well as increasing BM-MMC resistance. Continuous monitoring is being done to assess the safety and efficacy of GT [51]. Despite multiple challenges, there is an essential need for future genetic-edited HSC CTs for FA (Table 5), with translational relevance of prime importance since it can be life-changing for FA patients.

Conclusion

In summary, GT seems to be a safe and promising management strategy for FA, with beneficial clinical outcomes in the future. However, further research must be conducted to study the long-term effects of GT, for conclusive evidence of the potential to become a standard form of treatment. Novel studies involving gene editing techniques also need to be explored, in which HCT is the only currently known curative therapy.

Authors' contributions

SS and ET: wrote the first draft of the manuscript and are the first authors, under the supervision and guidance of SKH. All authors contributed substantially to the conception, acquisition, analysis, and interpretation of the data for the work and approved the final approval of the version to be published.

Declaration of Competing Interest

The authors declare the following disclosures, which may not be considered as potential competing interests: SKH has received honoraria from Novartis, Pfizer, Mallinckrodt, and Janssen. SKH has received travel grants from Sanofi, Gilead, MSD, and GSK. ADP has received research funding from Genentech and Takeda, has participated in Advisory Boards for Bayer, Shire/Takeda, Sigilon, and UniQure, and consulted for I-Mab and Sunovion. The other authors declare no conflicts of interest.

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