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Author(s)	Kikukawa, Hiroshi; Sakuradani, Eiji; Nakatani, Masato; Ando, Akinori; Okuda, Tomoyo; Sakamoto, Takaiku; Ochiai, Misa; Shimizu, Sakayu; Ogawa, Jun
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1 **Gene targeting in the oil-producing fungus *Mortierella alpina* 1S-4 and**  
2 **construction of a strain producing a valuable polyunsaturated fatty**  
3 **acid by gene targeting**

4  
5 Hiroshi Kikukawa<sup>a</sup>, Eiji Sakuradani<sup>a,b</sup>, Masato Nakatani<sup>a</sup>, Akinori Ando<sup>a,c</sup>, Tomoyo  
6 Okuda<sup>a</sup>, Takaiku Sakamoto<sup>a</sup>, Misa Ochiai<sup>d</sup>, Sakayu Shimizu<sup>a,e</sup>, and Jun Ogawa<sup>a,c\*</sup>

7 <sup>a</sup> Division of Applied Life Sciences, Graduate School of Agriculture, Kyoto University,  
8 Kitashirakawa-oiwakecho, Sakyo-ku, Kyoto 606-8502, Japan;

9 <sup>b</sup> Institute of Technology and Science, Tokushima University, 2-1 Minami-josanjima, Tokushima  
10 770-8506, Japan;

11 <sup>c</sup> Research Unit for Physiological Chemistry, Kyoto University, Kitashirakawa-oiwakecho, Sakyo-ku,  
12 Kyoto 606-8502, Japan;

13 <sup>d</sup> Research Institute, Suntory Global Innovation Center Ltd., 1-1-1 Wakayamadai, Shimamoto-cho,  
14 Mishima-gun, Osaka 618-8503, Japan;

15 <sup>e</sup> Department of Bioscience and Biotechnology, Faculty of Bioenvironmental Science, Kyoto  
16 Gakuen University, 1-1 Nanjo, Sogabe, Kameoka 621-8555, Japan;

17 \*Corresponding author: Jun Ogawa

18 Present address: Division of Applied Life Science, Graduate School of Agriculture, Kyoto  
19 University, Kitashirakawa-oiwakecho, Sakyo-ku, Kyoto 606-8502, Japan.

20 Tel: +81 75 753 6115, Fax: +81 75 753 6128,

21 E-mail: [ogawa@kais.kyoto-u.ac.jp](mailto:ogawa@kais.kyoto-u.ac.jp)

22

1 **Abstract**

2 To develop an efficient gene-targeting system in *Mortierella alpina* 1S-4, we  
3 identified the *ku80* gene encoding the Ku80 protein, which is involved in the  
4 nonhomologous end joining pathway in genomic double-strand break (DSB) repair, and  
5 constructed *ku80* gene-disrupted strains via single-crossover homologous recombination.  
6 The  $\Delta ku80$  strain from *M. alpina* 1S-4 showed no negative effects on vegetative growth,  
7 formation of spores, or fatty acid productivity and exhibited high sensitivity to methyl  
8 methanesulfonate, which causes DSBs. Dihomo- $\gamma$ -linolenic acid (DGLA)-producing  
9 strains were constructed by disruption of the  $\Delta 5$ -desaturase gene, encoding a key  
10 enzyme of bioconversion of DGLA to ARA, using the  $\Delta ku80$  strain as a host strain. The  
11 significant improvement of gene-targeting efficiency was not observed by disruption of  
12 *ku80* gene, but the construction of DGLA-producing strain by disruption of the  
13  $\Delta 5$ -desaturase gene was succeeded using the  $\Delta ku80$  strain as a host strain. This report  
14 describes the first study on the identification and disruption of the *ku80* gene in  
15 zygomycetes and construction of a DGLA-producing transformant using a  
16 gene-targeting system in *M. alpina* 1S-4.

17

18 **Keywords**

- 1 *Mortierella alpina*, Ku80, homologous recombination, gene targeting,  $\Delta$ 5-desaturase,
- 2 dihydro- $\gamma$ -linolenic acid

## 1 **Introduction**

2       Integration of exogenous DNA into the chromosome in all organisms follows two  
3 pathways of DNA double-strand break (DSB) repair: homologous recombination (HR)  
4 and nonhomologous end joining (NHEJ) pathways (Kanaar et al. 1998). The repair of  
5 DSBs is induced by both exogenous and endogenous triggers and causes detrimental  
6 DNA lesions (Haber 2000). In the mechanism of the HR pathway, the homologous  
7 region is used as a template and the exogenous DNA is integrated into the chromosome.  
8 In contrast, in the mechanism of the NHEJ pathway, the strand ends of the exogenous  
9 DNA are directly ligated into DSBs without a requirement of sequence identity. These  
10 two mechanisms for DSB repair are independent of each other and are considered to  
11 function competitively (Van Dyck et al. 1999). The repair of DSBs requires many  
12 associated proteins, such as the Rad protein group including Rad54, Rad51, Rad52,  
13 Mre11, and Xrs2 in the HR pathway (Kooistra et al. 2004; Krappmann 2007), and Ku70,  
14 Ku80, DNA-dependent protein kinase catalytic subunit (DNA-PKcs), DNA ligase 4  
15 (Lig4), and X-ray repair cross-complementing protein 4 (Xrcc4) in the NHEJ pathway  
16 (Critchlow and Jackson 1998; Daley et al. 2005). A pathway similar to HR has been  
17 confirmed ubiquitously in various organisms (bacteria, yeast, and human) (Krogh and  
18 Symington 2004; Shibata et al. 2001). In addition, important discoveries such as the

1 Rad51-independent HR and Ku80-independent NHEJ pathways and the occurrence of  
2 all nonhomologous chromosomal integration under the control of Lig4 have been  
3 reported (Ishibashi et al. 2006).

4 The yeast *Saccharomyces cerevisiae* mainly utilizes the HR system for DSB repair.  
5 Accordingly, gene targeting through the HR pathway in *S. cerevisiae* exhibits quite high  
6 efficiency (Schiestl et al. 1994). In contrast, many other organisms, including mammals,  
7 plants, insects, and filamentous fungi, predominantly use the NHEJ pathway for DSB  
8 repair, and exogenous DNA, even if it consists of a long homologous sequence, can be  
9 integrated into nonspecific regions in chromosomes. Disruption of the *ku70*, *ku80*, or  
10 *lig4* gene leads to an increase in the frequency of HR in filamentous fungi (Ishibashi et  
11 al. 2006; Ishidoh et al. 2014; Krappmann et al. 2006; Mizutani et al. 2008; Ninomiya et  
12 al. 2004; Takahashi et al. 2006; Tani et al. 2013). In particular, disruption of the *ku80*  
13 and/or *lig4* gene in *Neurospora crassa* and the *lig4* gene in *Aspergillus oryzae* have led  
14 to 100% targeting efficiency (Ishibashi et al. 2006; Mizutani et al. 2008; Ninomiya et al.  
15 2004).

16 The oil-producing filamentous fungus *Mortierella alpina* 1S-4 is a producer of  
17 carbon 20 (C20) polyunsaturated fatty acids (PUFAs), such as arachidonic acid (20:4 $\omega$ 6,  
18 ARA) and eicosapentaenoic acid (20:5 $\omega$ 3, EPA), which are rich in triacylglycerols

1 (Sakuradani et al. 2009). In addition, the lipid productivity of this fungus reaches 600  
2 mg/g of dried mycelia. For these reasons, the fungus has been used as a model  
3 oleaginous microorganism for biosynthesis and accumulation of lipids, including  
4 PUFAs (Kawashima et al. 1995; Kikukawa et al. 2013; Sakuradani et al. 2005;  
5 Sakuradani et al. 2013; Sakuradani et al. 1999b; Sakuradani et al. 2008). In previous  
6 studies, several techniques for gene manipulation in this fungus, such as a host–vector  
7 system (Ando et al. 2009a; Takeno et al. 2004a; Takeno et al. 2005b), RNA interference  
8 (Takeno et al. 2005a), and transformation systems (Ando et al. 2009b; Takeno et al.  
9 2004b), have been established. By use of such transformation systems, plasmid vectors  
10 are integrated randomly into the fungal genome.

11 To construct a high-producing strain of beneficial PUFAs from this fungus by  
12 metabolic engineering, an efficient gene-targeting system using HR is necessary.  
13 However, gene targeting by HR in this fungus is rarely attempted, given that NHEJ is  
14 predominant and the efficiency of HR is low. In this study, to evaluate function of Ku80  
15 to HR in *M. alpina* 1S-4, we identified the *ku80* gene and constructed *ku80*  
16 gene-disrupted strains via single-crossover HR. Moreover, to evaluate the  
17 gene-targeting efficiency in the *ku80* gene-disruptant, we constructed of a  
18 dihomono- $\gamma$ -linolenic acid (DGLA)-producing strain by  $\Delta 5$ -desaturase ( $\Delta 5ds$ )

1 gene-disruption.

2



## 1 **Materials and methods**

### 2 Enzymes and chemicals

3 Restriction enzymes and other DNA-modifying enzymes were obtained from  
4 Takara Bio (Shiga, Japan). All other chemicals were of the highest purity commercially  
5 available.

6

### 7 Strains, media, and growth conditions

8 *M. alpina* 1S-4 is deposited in the Graduate School of Agriculture of Kyoto  
9 University, Japan (Sakuradani 2010) and the uracil auxotrophic strain (*ura5<sup>-</sup>* strain)  
10 (Takeno et al. 2004b) was used as a host strain. Czapek–Dox agar medium containing  
11 0.05 mg/ml uracil was used for sporulation of the *ura5<sup>-</sup>* strain, as described previously  
12 (Takeno et al. 2004b). Synthetic complete (SC) medium was used as a uracil-free  
13 synthetic medium for cultivation of transformants derived from the *M. alpina* 1S-4  
14 *ura5<sup>-</sup>* strain at 28°C (Takeno et al. 2004b). GY medium (20 mg/ml glucose and 10  
15 mg/ml yeast extract) was used for fatty acid composition analysis and extraction of  
16 genomic DNA. GY agar medium containing 0.75 mg/ml 5-fluoroorotic acid (5-FOA)  
17 and 0.05 mg/ml uracil were used to confirm the growth of *ku80*-disrupted transformants  
18 (Boeke et al. 1984; Razanamparany and Bégueret 1986; Watrin et al. 1999). GY agar

1 medium containing 100 µg/ml carboxin was used for selection of *ku80*-disrupted  
2 transformants. *Escherichia coli* strain DH5α was used for DNA manipulation and grown  
3 on LB agar plates containing 50 µg/ml kanamycin. All solid media contained 2% agar.

4

#### 5 Genomic DNA preparation

6 *M. alpina* 1S-4 host strain and transformants were cultivated in 100 ml of GY  
7 liquid medium at 28°C for 5 days with shaking at 100 rpm. Fungal mycelia were  
8 harvested by suction filtration and washed with sterile water. Preparation of genomic  
9 DNA was performed by a previously described method (Okuda et al. 2014).

10

#### 11 Cloning and identification of the *ku80* gene from *M. alpina* 1S-4

12 Two highly degenerate primers, *ku80* F and *ku80* R (Table 1), were synthesized for  
13 cloning of the *ku80* cDNA, based on the amino acid sequences of Ku80 homologs from  
14 two filamentous fungi, *Rhizopus delemar* (accession EIE88285) and *Aspergillus*  
15 *clavatus* (accession XP\_001272945). The sequences of the primers correspond to  
16 regions that encode IAIQMIVT and PFAGDVNTY peptides. PCR amplification was  
17 performed in a total volume of 50 µl containing 1 µg of genomic DNA, 0.25 µl of  
18 *Takara EX taq* polymerase (Takara Bio), 5 µl of 10× *EX Taq* buffer, 200 µM of each

1 dNTP, and 5 pM of primers, and performed as 35 cycles of 94°C for 1 min, 60°C for 1  
2 min, and 72°C for 2 min, followed by one cycle of extension at 72°C for 5 min. The  
3 resulting 0.7-kb fragment was cloned into the pT7Blue T-Vector (Novagen, Merck  
4 KGaA, Darmstadt, Germany), and the nucleotide sequence was determined with a  
5 Beckman Coulter CEQ8000 system (Beckman Coulter, Fullerton, CA, USA). For  
6 cDNA synthesis and construction of a cDNA library, RNA extraction reagent Isogen  
7 (Nippon Gene, Tokyo, Japan) and a PrimeScript High Fidelity RT-PCR Kit (Takara Bio)  
8 were used, following the supplier's instructions.

9 To isolate whole *ku80* genomic DNA from *M. alpina* 1S-4, inverse PCR was  
10 performed with primers, *ku80* IPCR F and *ku80* IPCR R (Table 1). The  
11 *SalI/XhoI*-digested genomic fragment was self-ligated and then used as a template. PCR  
12 amplification was performed in a total volume of 50 µl containing 500 ng of the  
13 template, 0.25 µl of *Takara EX taq* polymerase (Takara Bio), 5 µl of 10× *EX Taq* buffer,  
14 200 µM of each dNTP, and 5 pM of each primer, and performed as follows: initial  
15 denaturation at 94°C for 1 min, followed by 35 cycles of 94°C for 40 s, 57°C for 40 s,  
16 and 72°C for 3 min and one cycle of extension period at 72°C for 10 min. The amplified  
17 3.3-kb fragment was cloned into the pT7Blue T-Vector and identified completely with  
18 the Beckman Coulter CEQ8000 system.

1

## 2 Construction of the plasmid vector for *ku80* gene-targeting

3 A binary vector pKSUku80 was constructed for *ku80* gene-targeting on the  
4 backbone of pKSU, which is pBluescript II KS (+) (Stratagene, La Jolla, CA, USA)  
5 ligated with a *ura5* gene marker cassette derived from the *M. alpina* 1S-4  
6 transformation vector. A 4.3-kb fragment containing a partial *ku80* gene, amplified with  
7 *ku80* start and *ku80 XhoI R* primers (Table 1) using *M. alpina* 1S-4 genomic DNA as a  
8 template, was cloned into the pUC118 using a Mighty Cloning Kit (Blunt End) (Takara  
9 bio). The resulting plasmid (pUC118ku80) was digested with *HindIII* and the digested  
10 partial *ku80* fragment was ligated into pKSU vector digested with the same restriction  
11 enzyme. The resulting plasmid was named pKSUku80 (Fig. 2A).

12 A pKSCD5 vector for the *Δ5ds* gene-targeting was constructed on the backbone of  
13 the *M. alpina* 1S-4 transformation vector pKSC. The *CBXB* gene expression cassette  
14 from pSBZNCBXB (Ando et al. 2009a), digested with *EcoRI* and *XbaI*, was ligated into  
15 pBluescript II KS (+) (Stratagene) digested with the same restriction enzymes, and the  
16 resulting plasmid was named pKSC. The partial *Δ5ds* gene (2024-bp) was amplified  
17 with two primers,  $\Delta 5$  *EcoRI F* and  $\Delta 5$  *XhoI R* primers (Table 1) and *M. alpina* 1S-4  
18 genomic DNA as a template. The resulting fragment was digested with *EcoRI* and *XhoI*

1 and ligated into the pKSC plasmid digested with the same restriction enzymes. The  
2 resulting plasmid was named pKSCD5 (Fig. 5A).

3

#### 4 Transformation of *M. alpina* 1S-4

5 The gene-targeting vectors were introduced into spores of *M. alpina* 1S-4 by  
6 biolistic particle bombardment with PDS-1000/He Particle Delivery System (Bio-Rad,  
7 Hercules, CA, USA) (Takeno et al. 2004b). Given that linear plasmids are completely  
8 integrated into chromosomes by HR (Shiotani and Tsuge 1995), the vectors were  
9 digested with restriction enzymes and introduced into the spores: the pKSUku80 vector  
10 was digested with *NcoI* and the pKSCD5 vector was digested with *NruI*. Spores ( $1.5 \times$   
11  $10^8$ ) were spread on an agar plate using SC uracil-free medium for transformation with  
12 pKSUku80 or GY containing 5-FOA for transformation with pKSCD5. After  
13 bombardment, the plates were incubated at 28°C for 5 days. Transformants were  
14 transferred to a new GY plate containing 5-FOA.

15

#### 16 Mutagen sensitivity

17 Sensitivity to chemical mutagen toxicity in gene-manipulated transformants was  
18 evaluated by spot test (Kato et al. 2004; Mizutani et al. 2008). Methyl methanesulfonate

1 (MMS) was added to GY agar medium at final concentrations of 0, 0.01, 0.02, 0.025,  
2 0.05, and 0.1%.

3

#### 4 Identification of gene-disruption by PCR and Southern blot analysis

5 The *ku80* gene-disrupted candidates were evaluated by means of colony-PCR  
6 using the extracted genomic DNA as a template and the primers ku80 start and ura5 stop  
7 R (Table 1). When integration into the genomic *ku80* gene locus was successful, a  
8 4.3-kb fragment was amplified using the primers and genomic DNA from the  
9 transformants.

10 Correct homologous integration in the genomic *ku80* gene was confirmed by  
11 Southern blot analysis. The 1.0-kb partial *ku80* gene amplified with primers ku80 F2  
12 and ku80 R2 (Table 1) using *M. alpina* 1S-4 genomic DNA as a template was used as a  
13 probe for hybridization. Southern blot hybridization was performed as described  
14 previously (Sakuradani et al. 1999a). Genomic DNA (10 µg) digested with *XhoI* was  
15 size-fractionated by electrophoresis in 1% agarose gel and transferred to Amersham  
16 Hybond-N<sup>+</sup> membrane (GE Healthcare Ltd., Buckinghamshire, UK) using a VacuGene  
17 XL Vacuum Blotting System (GE Healthcare). Southern hybridization was performed  
18 using the Gene Images AlkPhos Direct Labeling and Detection System (GE

1 Healthcare).

2 For Southern blotting analysis of *Δ5ds* gene-disruption, 1.7 kb of the *Δ5ds* gene  
3 fragment amplified with primers  $\Delta 5$  F and  $\Delta 5$  R (Table 1) using *M. alpina* 1S-4 genomic  
4 DNA as a template was used as a probe for hybridization. Genomic DNA (10  $\mu$ g) was  
5 digested with the pair *NarI* and *XbaI* or *ClaI* and *XhoI*. Southern blot hybridization was  
6 then performed as described above.

7

8 Fatty acid analysis

9 Fatty acid production and composition of transformants were analyzed as  
10 described previously (Kikukawa et al. 2013). In this study, mycelia of the transformants  
11 and the host strain were inoculated into 3 ml of GY liquid medium in a 20-ml  
12 Erlenmeyer flask and cultivated at 28°C with reciprocal shaking at 120 rpm for 7 days.  
13 The fungal strains after cultivation were harvested by filtration and dried at 120°C for 2  
14 h. The dried cells were directly transmethylated with 10% methanolic HCl at 55°C for 2  
15 h. The resulting fatty acid methyl esters were extracted with *n*-hexane, concentrated,  
16 and analyzed with a GC-17A gas chromatograph (GC; Shimadzu, Kyoto, Japan)  
17 equipped with an HR-SS-10 capillary column (Shinwa Chemical Industries, Kyoto,  
18 Japan). Fatty acids were quantified using tricosanoic acid as an internal standard. All

1 experiments were performed in triplicate.

2

3 Nucleotide sequence accessions

4 The *ku80* gene from *M. alpina* 1S-4 has been registered in the DNA Data Bank of

5 Japan (DDBJ) database as accession LC009413. The  $\Delta 5ds$  genomic gene of *M. alpina*

6 1S-4 has been deposited in GenBank/EMBL/DDBJ as accession AB188307.

7



## 1 **Results**

### 2 Identification and phylogenetic analysis of the *ku80* gene from *M. alpina* 1S-4

3 To isolate the *ku80* partial gene fragment, a 0.7-kb gene fragment was amplified by  
4 PCR using highly degenerate primers and *M. alpina* 1S-4 genomic DNA as a template.  
5 The predicted amino acid sequence encoded by the partial gene fragment showed high  
6 similarity to those of Ku80 proteins from other organisms. To identify the whole *ku80*  
7 gene from *M. alpina* 1S-4, inverse PCR was performed with *M. alpina* 1S-4 genomic  
8 DNA. The open reading frame of *ku80* gene from *M. alpina* 1S-4 was found to consist  
9 of 3366 bp. Based on the whole *ku80* gene information from genomic DNA, the  
10 full-length cDNA of the *ku80* gene was obtained by PCR. The *ku80* cDNA with 2511-bp  
11 length was predicted to encode a protein consisting of 836 amino acids. These results  
12 suggested that the *M. alpina* 1S-4 *ku80* genomic gene has nine exons (1–129, 257–311,  
13 400–543, 653–821, 906–1467, 1580–1795, 1877–2043, 2141–3116, 3274–3366) and  
14 eight introns. The predicted amino acid sequence of *M. alpina* 1S-4 Ku80 shares low  
15 identities with those of metazoa (*Mus musculus*, 21%; *Rattus norvegicus*, 21%; *Homo*  
16 *sapiens*, 25%; *Tigriopus japonicas*, 21%), higher plants (*Hordeum vulgare*, 24%;  
17 *Triticum aestivum*, 25%; *Oryza sativa*, 25%; *Arabidopsis thaliana*, 22%), oleaginous  
18 yeast (*Rhodospiridium toruloides*, 24%), fungi (*Neurospora crassa*, 29%; *N.*

1 *tetrasperma*, 29%; *Lecanicillium* sp., 29%; *Aspergillus oryzae*, 28%; *A. sojae*, 28%; *A.*  
2 *fumigatus*, 29%; *Penicillium digitatum*, 28%). Compared with various Ku80 proteins  
3 from these organisms, the Ku80 from *M. alpina* 1S-4 is located in the expected position  
4 in the phylogenetic tree (Fig. 1).

5

6 Disruption of the *ku80* gene of *M. alpina* 1S-4 with pKSUku80 vector

7 A vector for *ku80* gene disruption, pSKUku80, digested with *Nco*I was delivered  
8 into spores of *M. alpina* 1S-4 *ura5*<sup>-</sup> strain by biolistic particle bombardment with a  
9 PDS-1000/He Particle Delivery System. To confirm integration of a *ura5* gene marker,  
10 all transformants grown on an SC uracil-free plate were inoculated onto GY medium  
11 containing 5-FOA. Finally, 77 transformants were obtained under these conditions. The  
12 transformants were selected by colony PCR with primers *ku80* start and *ura5* stop R  
13 (Table 1), and each genomic DNA as a template. Fragments of approximately 4.3-kb,  
14 which were formed presumably by integration via HR, were observed in only two  
15 transformants (3 and 6), but not in the host strain (Fig. S1 A and B).

16 The genome integration patterns of transformants 3 and 6 were confirmed by  
17 Southern blot analysis. Their genomic DNAs were digested with *Xho*I and a 1.0-kb  
18 fragment consisting of partial *ku80* gene was used as a probe (Fig. 2A). The 5.2-kb

1 hybridization signal on the host strain was not detected in the two transformants (Fig.  
2 2B). However, the expected 4.0- and 8.3-kb signals resulting from single-crossover HR  
3 were detected only in transformant 3. These results suggest that a single pKSUku80  
4 vector was successfully integrated into *ku80* genomic DNA of transformant 3. In  
5 contrast, some of the introduced pKSUku80 vectors appear to have been integrated  
6 ectopically into the *ku80* gene locus in transformant 6. Thus, transformant 3 was used as  
7 a host strain for  $\Delta 5ds$  gene disruption in the present research.

8

#### 9 Growth characteristics and mutagen sensitivity

10       Given that Ku70, Ku80, and Lig4 proteins are involved in DSB repair through  
11 NHEJ in diverse organisms (Hopfner et al. 2002; Lisby and Rothstein 2004) and  
12 telomere maintenance in some organisms (Hande 2004), the growth characteristics and  
13 mutagen sensitivity of *M. alpina* 1S-4  $\Delta ku80$  strain were investigated. The growth rate  
14 of the  $\Delta ku80$  strain did not decrease, compared with that of the wild strain both on plate  
15 medium and in liquid medium (data not shown). In addition, the germination rate of its  
16 spores was similar to that of the wild strain (data not shown). Furthermore, given that  
17 the fatty acid productivity and composition of the  $\Delta ku80$  strain were similar to those of  
18 the wild strain, we infer that *ku80* gene disruption did not affect fatty acid productivity

1 (Table 3). The sensitivity to chemical mutagens causing DSBs, MMS, of *M. alpina* 1S-4  
2  $\Delta ku80$  strain was evaluated as described previously (Ishibashi et al. 2006; Ninomiya et  
3 al. 2004). The  $\Delta ku80$  strain showed no sensitivity to low ( $\leq 0.02\%$ ) concentrations of  
4 MMS, but showed high sensitivity to 0.05% MMS (Fig. 3).

5

#### 6 Construction and characterization of $\Delta 5ds$ gene disruptant with pKSCD5 vector

7 To evaluate the improvement of gene-targeting efficiency in the  $\Delta ku80$  strain and  
8 construct a strain producing valuable PUFAs by use of gene targeting,  $\Delta 5ds$  gene  
9 disruption causing an increase in DGLA production and a decrease in ARA production  
10 (Fig. 4) was performed in the  $\Delta ku80$  strain as a host strain (Fig. 5A). A vector for  $\Delta 5ds$   
11 gene disruption, pKSCD5, which contains the *CBXB* marker, was digested with *NruI* to  
12 enhance gene targeting efficiency and was introduced into spores of the  $\Delta ku80$  strain on  
13 GY medium containing 100  $\mu\text{g/ml}$  carboxin by biolistic particle bombardment. After  
14 bombardment, the spores were cultivated at 28°C for 5 days. Finally, 32 stable  
15 transformants were obtained.

16 All stable transformants, the wild type, and the  $\Delta ku80$  strain were cultivated in 3  
17 ml of GY medium at 28°C for 7 days with reciprocal shaking, and their fatty acid  
18 productivities were determined by GC analysis. The ratio of DGLA to total fatty acids

1 reached 36.8% in transformant 15, whereas that of ARA was only 3.4% (Fig. 6 and  
2 Table 3). Transformant 15 exhibited the same fatty acid composition as that of the *Δ5ds*  
3 gene-defective mutant S14 isolated previously (Jareonkitmongkol et al. 1993) (Table 3).  
4 To confirm the disruption of the *Δ5ds* gene in transformant 15, Southern blot analyses  
5 were performed with genomic DNAs prepared from the *Δku80* strain and transformant  
6 15 (Fig. 5B). When the genomic DNAs were digested with *ClaI* and *XhoI*, the 4.3-kb  
7 hybridization signal corresponding to the original *Δ5ds* open reading frame was not  
8 detected in transformant 15, but the 3.3- and 7.5-kb signals were detected. When the  
9 genomic DNAs were digested with *NarI* and *XbaI*, the 3.7-kb signal was not detected in  
10 transformant 15, but the expected 4.2- and 6.0-kb signals were detected. These results  
11 showed that the *Δ5ds* gene in transformant 15 was successfully disrupted by integration  
12 of the pKSCD5 vector. Unexpected signals in transformant 15, however, were observed  
13 on the Southern blot. This finding may mean that several pKSCD5 vectors had been  
14 introduced into random sites in the genomic DNA of the *Δku80* strain by biolistic  
15 particle bombardment.

16

## 1 Discussion

2 To improve gene-targeting efficiency in *M. alpina* 1S-4, we cloned and identified  
3 the *ku80* gene encoding the Ku80 protein, which forms a Ku-protein complex with  
4 Ku70 protein, and is involved in the NHEJ pathway. Ku80 homolog proteins of other  
5 organisms were classified by kingdom (Fig. 1). However, the predicted translation  
6 product of the *ku80* gene of this fungus shares low (<30%) identities with those of other  
7 organisms. We constructed a *ku80* gene disruptant (transformant 3 in Fig. 2B) via HR  
8 using the pKSUku80 vector. In transformant 6, we speculated that some of the  
9 introduced pKSUku80 vectors were integrated ectopically into the *ku80* gene locus (Fig.  
10 2B). In general, one of the problems of biolistic particle bombardment is the delivery of  
11 many plasmids into cells. However, in view of the result for transformant 3, the biolistic  
12 particle bombardment method is applicable to the integration of a single vector into the  
13 genome via HR. The  $\Delta ku80$  strain showed no marked differences in vegetative growth,  
14 formation of spores, or fatty acid productivity compared with the host strain (Table 3).  
15 Thus, we expect the  $\Delta ku80$  strain to be a superior host strain for metabolic engineering  
16 for PUFA production. Furthermore, the  $\Delta ku80$  strain exhibited a sensitivity to 0.05%  
17 MMS (Fig. 3) similar to those of *N. crassa*, *A. fumigatus*, and *A. aculeatus* (da Silva  
18 Ferreira et al. 2006; Ninomiya et al. 2004; Tani et al. 2013). Such sensitivity indicates

1 that the NHEJ pathway in this strain is repressed. To evaluate the improvement of  
2 gene-targeting efficiency and construct a beneficial PUFA-producing strain, the  
3 disruption of *Δ5ds* gene was performed using the *Δku80* strain as a host strain. The *Δ5ds*  
4 gene disruptant, transformant 15, produced a large amount of DGLA. The DGLA  
5 productivity of transformant 15 was at the same level (Table 3) as that of a *Δ5ds*  
6 gene-defective mutant obtained by chemical mutagenesis (Jareonkitmongkol et al.  
7 1993). However, chemical mutagens cause mutation in multiple locations in the genome  
8 and often suppress growth, spore germination, and PUFA production. The ARA  
9 production of the *Δ5ds* gene disruptant was drastically decreased. Though we isolated a  
10 single colony from spore of the *Δ5ds* gene disruptant to avoid contamination of intact  
11 spores, quite low level of ARA remained. Given that the pKSCD5 vector was integrated  
12 via single-crossover HR, the incomplete  $\Delta 5$ -desaturase may act in catalyzing the  
13 conversion of DGLA to ARA. For this reason, future gene targeting should be  
14 performed via double-crossover HR. Southern blot analysis using the genomic DNA  
15 from the *Δ5ds* gene disruptant suggested that several vectors were integrated into  
16 ectopic sites on the chromosome. To obtain a complete disruptant with a single plasmid,  
17 more transformants should be isolated and checked, or gene targeting should be  
18 performed by an alternative transformation method such as an *A. tumefaciens*-mediated

1 method, introducing a single vector into a spore of the host strain.

2 Only one of the 77 transformants was detected as a *ku80*-gene disruptant (Table 2).

3 Using of the *ku80* gene-disrupted strain as a host, one of the 32 transformants was

4 detected as  $\Delta 5ds$ -gene disruptant. In previous research,  $\Delta 6ds$ -gene disruption was

5 attempted in *Mortierellaceae*, *M. isabellina*, by biolistic particle bombardment and more

6 than 70 transformants were obtained. However, none was disrupted in its  $\Delta 6ds$ -gene

7 (Zhang et al. 2007). In this study, we found that we obtained at least one of 32

8 transformant by using  $\Delta ku80$  strain. The efficiencies of gene targeting in  $\Delta ku80$  strains

9 from *A. sojae*, *A. oryzae*, *A. fumigatus*, *A. niger*, and *Lecanicillium* sp. were

10 significantly improved (da Silva Ferreira et al. 2006; Honda et al. 2011; Ishidoh et al.

11 2014; Takahashi et al. 2006). However, the efficiency in the  $\Delta ku80$  strain from *M.*

12 *alpina* 1S-4 was hardly improved. In a previous study in *N. crassa*, chromosomal

13 integration of exogenous DNA was achieved via two types of HR and two types of

14 NHEJ, the Ku80-dependent major pathway and the Ku80-independent minor pathway

15 (Ishibashi et al. 2006). In *M. alpina* 1S-4, it is suggested that the Ku80-independent

16 pathway may play a major pathway of NHEJ and reduce gene-targeting efficiency.

17 When the  $\Delta ku80$  strain was used as a host, the incomplete Ku80 protein formed a

18 Ku-protein complex with the Ku70 protein, and the pKSCD5 vector was integrated



1 ectopically via the NHEJ pathway. The loss of Lig4 activity involved in both the major  
2 and minor NHEJ pathways raised the targeting efficiency to 100% in *A. oryzae* and *A.*  
3 *luchuensis* (Mizutani et al. 2008; Takahashi et al. 2011). Further improvement in  
4 targeting efficiency in the  $\Delta ku80$  strain, such as by simultaneous disruption of the *lig4*  
5 gene, might facilitate metabolic engineering and reverse-genetic studies in *M. alpina*  
6 1S-4.

7 In summary, this report is the first to date to describe the identification and  
8 disruption of the *ku80* gene in Mucoromycotina fungi. We succeeded in gene targeting  
9 in *M. alpina* 1S-4. On the other hand, though a *ku80* gene disruptant showed normal  
10 growth, germination, and lipid production, gene-targeting efficiency was hardly  
11 improved. On the other hand, we achieved to construct a  $\Delta 5ds$ -gene disruptant using the  
12  $\Delta ku80$  strain as a host strain. This gene-targeting system may contribute to the  
13 construction of various PUFA-producing strains via metabolic engineering.

14

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- 3

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- 35

1 **Figure legends**

2

3 **Fig. 1** Phylogenetic tree of Ku80 proteins. The tree was created by the neighbor-joining  
4 (NJ) method with 10,000 bootstrap replicates using the sequence analysis software  
5 GENETYX 11.0 (Genetyx corp., Tokyo, Japan). MmKu80 (*Mus musculus*),  
6 AAH51660; RnKu80 (*Rattus norvegicus*), NP\_803154; HsKu80 (*Homo sapiens*),  
7 P13010; TjKu80 (*Tigriopus japonicus*), AIL94178; HvKu80 (*Hordeum vulgare* subsp.  
8 *vulgare*), AEO86624; TaKu80 (*Triticum aestivum*), ADO00729; OsKu80 (*Oryza sativa*  
9 Japonica Group), Q75IP6; AtKu80 (*Arabidopsis thaliana*), AEE32242; MaKu80  
10 (*Mortierella alpina* 1S-4), LC009413; RtKu80 (*Rhodospiridium toruloides*),  
11 AIA21644; NcKu80 (*Neurospora crassa*), AFM68948; NtKu80 (*N. tetrasperma* FGSC  
12 2508), EGO57771; *Lecanicillium* sp. Ku80 (*Lecanicillium* sp. HF627), AHY22503;  
13 AoKu80 (*Aspergillus oryzae*), BAE78503; AsKu80 (*A. sojae*), BAE78504; AfKu80 (*A.*  
14 *fumigatus* Af293), Q4WI96; PdKu80 (*Penicillium digitatum*), AGT79985.

15

16 **Fig. 2** Construction scheme of *ku80* gene disruptant and confirmation by Southern blot  
17 analysis. (A) The figure illustrates the homologous integration of pKSUku80 vector into  
18 the *ku80* genomic gene locus in *M. alpina* 1S-4. Gray short bar indicates the position  
19 hybridized by the probe. Dotted lines indicate the position and base lengths of  
20 hybridization signals. (B) Southern hybridization analysis of *ku80* gene-disrupted  
21 candidates. *Xho*I-digested genomic DNAs from transformants 3 and 6 and host strain  
22 were hybridized with the probe.

23

24 **Fig. 3** Sensitivity of *M. alpina* 1S-4 wild strain, host strain (uracil auxotrophic mutant),

1 and *Δku80* strain to methyl methanesulfonate (MMS). Their spores were spotted and  
2 grown on GY agar plate without MMS or containing 0.05% MMS for 4 days.

3

4 **Fig. 4** Biosynthetic flow of ARA. ARA is biosynthesized by desaturation at the  
5  $\Delta 5$ -position of DGLA by  $\Delta 5$ -desaturase. LA, linoleic acid; GLA,  $\gamma$ -linolenic acid;  
6 DGLA, dihomo- $\gamma$ -linolenic acid; ARA, arachidonic acid;  $\Delta 6$ ,  $\Delta 6$ -desaturase; GLELO,  
7  $\Delta 6$ -elongase;  $\Delta 5$ ,  $\Delta 5$ -desaturase.

8

9 **Fig. 5** Construction scheme of *Δ5ds* gene disruptant and confirmation by Southern blot  
10 analysis. (A) The figure illustrates the homologous integration of the pKSCD5 vector  
11 into the *Δ5ds* genomic gene locus in *M. alpina* 1S-4. Gray short bar indicates the  
12 position hybridized by the probe. Dotted lines indicate the position and base lengths of  
13 hybridization signals. (B) Southern hybridization analysis of *Δ5ds* gene-disrupted  
14 candidates. *NarI*- and *XbaI*- or *CraI*- and *XhoI*-digested genomic DNAs were  
15 hybridized with the probe. Lane #15 and *Δku80* indicate a *Δ5ds* gene-disrupted  
16 candidate and the host strain (*ku80*-disruptant), respectively.

17

18 **Fig. 6** GC chromatograms of fatty acid methyl esters prepared from total lipids of the  
19 *Δku80* strain (A) used as a host strain and the *Δ5ds* gene disruptant #15 (B).