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- 1 Title: Can red sea bream *Pagrus major* learn about feeding and avoidance through the
- 2 observation of conspecific behavior in video playback?
- 3
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10 Abstract

11 The present study investigated whether red sea bream Pagrus major could learn about feeding 12and avoidance area through video model observation. In Experiment 1, 45-mm standard length 13 (SL) fish were trained to learn about a feeding area in a tank. In Experiment 2, 114-mm SL 14juveniles were conditioned to avoid a hand net by moving into a shelter. Three treatments were 15established in each experiment: (i) live model observer: fish observed the behavior of a real fish 16in an adjacent tank; (ii) video model observer, fish observed video playback of a conspecific on 17a monitor; and (iii) non-observing control. Ten observational trials were performed in both 18experiments for the live and video model observer. Afterwards, fish from all treatments were 19 conditioned by feed or avoidance conditioning. In Experiment 1, there was no difference in the 20feed learning process among treatments. In contrast, in Experiment 2, live and video model 21observers acquired avoidance learning more quickly than control. The result indicates that the 22video model can be as efficient as the live model for observational learning in fish. This study 23suggests that video playback may be useful for anti-predator training of seedlings for stock 24enhancement. 25

26 Keywords: conditioning; observational learning; social transmission; Sparidae; stock

27 enhancement; training

28 Introduction

In stock enhancement, released seedlings often suffer high mortality due to maladaptive behavior towards natural preys and predators [1-3]. Such behavioral deficiencies in reared fish can possibly be improved before release. Training has been considered as one of the options to improve the quality of seedlings [4-6]. Through feed training before release, released fish can forage more effectively for natural foods in their living environment. Moreover, fish trained to respond adequately to a threat stimulus would be able to avoid novel predators.

35 Observational learning is the acquisition of behavior through the observation of 36 other individual(s). For instance, nine-spined stickleback *Pungitius pungitius* learns food patch 37 quality by observing the success of others [7]. Fish can acquire information more effectively by 38 the observational learning than no-observed learning [8]. The observational learning has drawn 39 attention as a training method for released seedlings in stock enhancement, especially for the 40 conditioning of predator information [9, 10]. In practice, however, it is difficult to train fish by 41 observational learning using a live model because of the limitations of time and space.

In this study, we propose training method by observational learning using video playback model. Video playback can be an effective tool of observational learning because it is easily repeatable in a limited space. Past studies have shown that fish can recognize conspecific and heterospecific fish in video playback as much as live fish in an adjacent tank [11-15].

46Whereas past studies have found that fish show certain responses to model fish in 47video playback, to the best of our knowledge, no study has revealed whether fish can acquire 48 the information by observational learning of video model. The present study investigated the 49observational learning of video model in *Pagrus major* for feed conditioning (Experiment 1) 50and avoidance conditioning (Experiment 2). In each experiment, the observational trials were 51established the following treatments: (i) live model observation treatment, where the observer 52fish was allowed to directly observe behavior of a live fish in the adjacent model fish tank; and 53(ii) video model observation treatment, where the observer fish observed fish behavior on video

54	playback. Their learning processes for these observational treatments were compared with (iii)
55	non-observing control fish in both experiments.
56	
57	
58	
59	Materials and methods
60	
61	Experiment 1: Feed conditioning
62	Fish
63	Fertilized P. major eggs were purchased from Pacific Trading Co. (Fukuoka, Japan), and the
64	eggs were stocked in four 500 l transparent polycarbonate tanks supplied with filtered seawater
65	at the Maizuru Fisheries Research Station (MFRS) of Kyoto University. After hatching on
66	October 13, 2010, larvae were provided with rotifers Brachionus plicatilis, Artemia sp. nauplii,
67	and dry pellets (N400 and N700, Kyowa Hakko Bio Co., Ltd., Tokyo, and Otohime S1,
68	Marubeni Nisshin Feed Co., Ltd., Tokyo, Japan), in accordance with growth. The water
69	temperature was maintained at 24 °C using a heater and thermostat. Fish attained about 40 mm
70	standard length (SL) on January 6, 2011. The size is suitable for the experiment; that is, when
71	they could feed on enough pellets at one time. At the experiment, fish SL was $44.6 \pm 5.8$ mm
72	(average $\pm$ standard deviation), and there was no difference of size among live model observer,
73	video model observer and control treatments (ANOVA: $F_{2, 14} = 1.15, P > 0.05$ ).
74	
75	Experimental tank
76	Four transparent polypropylene experimental tanks (length $\times$ width $\times$ height: 30 $\times$ 20 $\times$ 20 cm)
77	were set in a room with a 12:12 h light/dark regime. These tanks were covered with black vinyl
78	sheets, and seawater was filled to a depth of 15 cm with circulating filtered seawater. Each tank

vas used as model fish tank, live model observer tank, video model observer tank and control

fish tank. The live model observer tank was located next to the model fish tank, and that of the video model observer faced a 26-inch waterproof monitor (Disign, Inc., Kanagawa, Japan). One of the long sides of the each observer tank faced a model fish tank or on a video monitor, in respectively. The black vinyl sheets between each observer tank and model tank or video monitor were removable, and the sheets were used as blind sheets except for the observational trial.

A grey polyvinyl chloride (PVC) pipe (diameter × height:  $3 \times 2$  cm) covered by a white PVC board was set at the center of each tank as a feeding base (Fig. 1). A grey PVC pipe (diameter × height:  $2.5 \times 15$  cm) was placed on the feeding base. In experiments, three to five pellets (Otohime S1) were dropped on the feeding base through the pipe which prevented feeding of fish before the conditioning. On a conditioning, the pellets on the feeding base were exposed to the fish by removing the PVC pipe.

92

93 Model fish conditioning

94 A single fish randomly selected from a rearing tank was introduced into the model fish tank.

95 The fish was conditioned to forage the pellets on the feeding base. We defined the conditioning

96 trial as a sequence that fish starts to forage the pellets on the feeding base after the removal of

97 the PVC pipe. Afterwards, the PVC pipe was placed back on the feeding base. Conditioning

98 trials were repeated at 30-min intervals, and then the model fish had been conditioned to feed on

99 pellets on the feeding base within 30 s after PVC pipe removal in four consecutive trials.

For the video model, the feeding behavior of the model fish was recorded from the lateral side by a video camera (HDR-CX550, SONY Co., Tokyo, Japan); ten unique events of the model fish performing the task were recorded.

103

104 Observational trials

105 The observational trials were established the live model observer and video model observer 106 treatments (Fig. 2). A single fish randomly selected from a rearing tank was introduced into 107 each model observer and control fish tanks on the day before the experiment. These fish were 108 allowed to acclimatize overnight, and then a few pellets were provided before initiating the 109 experiment. If the fish ate these pellets, the observational trials were started except for control 110 fish. The blind sheet between the each observer tank and model fish tank or the monitor was 111 removed 30 min before the beginning of observational trials; thereby, each observer fish was 112 visible to live model fish or video monitor through transparent wall of tanks, in respectively. 113The observational trial for the live model observer was a sequence where model fish

foraged pellets on the feeding base after removing the PVC pipe, in the adjacent model tank. In the video model observer treatment, the observer fish observed the above sequence on the video monitor. An observational trial lasted 1 min, and ten trials were conducted for both observational treatments, with 5-min intervals.

118

119 Test and conditioning trials

120After the tenth observational trial, blind sheet was set between each observer tank and model 121fish tank or video monitor, and 30 min later, we tested whether the each observer fish and 122control fish could respond to the feeding base without pellets as follows. The test trial lasted 60 123 s following removal of the PVC pipe. If fish pecked on the feeding base within the 60 s, the fish 124was considered to have learned about the feeding base. If fish not, the fish was considered as 125unlearned fish, and then the fish was conditioned to forage the pellets on the feeding base after 126 the test trial. Conditioning trials were provided same manner as the model conditioning. 127 Conditioning trials were repeated four times at 30-min intervals followed by a next test trial. 128 Four conditioning trials the following one test trial was defined as one session. If the fish did 129not forage the pellets within 30 min, the fish was considered to be under stress and was replaced 130 by a new one. Two sessions were conducted in a day, and the experiment was repeated for a

131	maximum of four consecutive days until the fish met the definition of learning, equivalent to a
132	maximum of nine test trials. At the end of the experiment, fish body length was measured. Five
133	replications were conducted for each observer and control treatments.
134	
135	Analyses
136	The proportion of fish to have learned the feeding base was compared among live model
137	observers, video model observers, and non-observing controls from the first to the ninth test
138	trial, using survival analysis. In the survival analysis, the Cox proportional hazard model
139	likelihood ratio test with the Breslow method was performed using the "Survival" package for R
140	statistical software, version 3.0.0 (R Development Core Team 2013).
141	
142	Experiment 2: Avoidance conditioning
143	
144	Fish
145	Hatchery-reared P. major juveniles, hatched on June 10, 2010, were transported from Miyazu
146	National Center for Stock Enhancement to the MFRS. Fish were kept in 500 l transparent
147	polyethylene tanks. The fish were fed as in Experiment 1, until December 26, 2010. Fish SL
148	was 114.2 $\pm$ 6.7 mm (average $\pm$ standard deviation), and there was no difference of size among
149	treatments (ANOVA: $F_{2, 17} = 0.05$ , $P > 0.05$ ).
150	
151	Experimental tank
152	Eight glass experimental tanks (length $\times$ width $\times$ height: 60 $\times$ 30 $\times$ 36 cm) were set in a room
153	with 12:12 h light/dark regime. Each two tanks were used as model fish tanks, live model
154	observer tanks, video model observer tanks and control fish tanks. These tanks were covered
155	with black vinyl sheets, and seawater was filled to a depth of 25 cm with circulating filtered
156	seawater. The live model observer tank was located next to the model fish tank, and that of the

video model observer faced a 26-inch waterproof monitor (Disign, Inc., Kanagawa, Japan). One of the long sides of the each observer tank faced a model fish tank or on a video monitor, in respectively. The black vinyl sheets between each observer tank and model tank or video monitor were removable, and the sheets were used as blind sheets except for the observational trial.

162A half-cut transparent polyethylene case (length × width × height:  $15 \times 20 \times 20$  cm)163attached to a black polyethylene board (length × height:  $30 \times 20$  cm) with a hole (length ×164height:  $5 \times 10$  cm) was set in the experimental tank as a shelter (Fig. 3). A black PVC board165(length × height:  $7 \times 30$  cm) was set as a door in front of the hole to prevent fish from entering166the shelter, before experiment.

167

168 Model fish conditioning

169 A single fish randomly selected from a rearing tank introduced into the model fish tank. The fish 170was conditioned to escape into the shelter when chased by a hand net (length  $\times$  height:  $30 \times 30$ 171 cm), after removing the door. A conditioning trial was composed of the following sequence: the 172door was removed, and after 30 s, a hand net was introduced at the opposite side of the shelter 173and the net was left for 15 s; the hand net was then moved slowly to 22.5 cm from the shelter 174during the following 15 s. If the fish did not enter the shelter after moving the net, the hand net 175was moved to 3 cm from the shelter until the fish escaped into the shelter. The escaped fish was 176 allowed to stay inside the shelter for 5 min. If the fish did not go out from the shelter within 5 177min, fish was gently forced out using a black polyethylene board. The door was placed back in 178front of entrance, and the entrance closed. Conditioning trials were repeated at 30-min intervals, 179 and then the model fish had been conditioned to escape into the shelter from hand net within 30 180 s after removing the door at least four consecutive trials. 181

For the video model, the escaping behavior of the model fish was recorded by a
video camera (HDR-CX550, SONY Co., Tokyo, Japan); ten unique trials of the model fish

183 performing the task were recorded. Video playback from the first to the fifth trial was recorded

184 from the lateral side, and a recording from the oblique backward side was conducted from the 185 sixth to tenth trial. This was because the observer fish might have difficulty understanding the 186 entrance to the shelter in a two-dimensional video monitor.

187

188 Observational trials

189 The same three treatments as in Experiment 1 were conducted. One fish was introduced into the 190 each model observer and control fish tank from the stock tank on the day before the experiment. 191 These fish were allowed to acclimatize overnight, and the fish were provided with observational 192trials 30 min after removing the blind sheet except for control fish. An observational trial lasted 193 1 min, and ten trials were conducted for both observational treatments with 5-min intervals. The 194 observational trial for the live model observer was a sequence where model fish escaped into the 195shelter from the hand net within 30 s, in the adjacent model tank. In the video model observer 196 condition, the observer fish observed the above sequence on the video monitor. An 197 observational trial lasted 1 min, and ten trials were conducted for both observational treatments, 198with 5-min intervals. 199 200 Conditioning trials 201 After the tenth observational trial, blind sheet was put back, and conditioning trials was started 202 30 min afterwards. Conditioning trials were provided a same manner as the model conditioning. 203 For each conditioning trial, the period from removing the door to escaping into the shelter was

recorded as the escape latency. Ten conditioning trials were conducted per day, with 30-min

205 intervals, for two consecutive days. This means that avoidance conditioning consisted of twenty

206 trials for each fish. A single fish was used for one replication, and six replications were

207 conducted for all treatments. Fish body length was measured after the experiment.

208

209 A	nalyses
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210	The escape latency was used to evaluate avoidance learning; latency is expected to decrease as
211	the fish learns how to avoid the hand net by entering the shelter. The escape latency from the
212	first to the twentieth trial was analyzed using generalized linear mixed models (GLMM) with
213	the "lme4" package for R statistical software. The error distribution of response variables was
214	fitted to the Poisson distribution, with restricted maximum likelihood parameter estimation. The
215	two fixed factors were "trial" (1 to 20) and "treatment" (live model observer, video model
216	observer, and control). We treated "individual" as random factor since individual fish were
217	repeatedly measured. Tukey's test was performed for "treatment" by general linear hypotheses
218	(GLHT) using the "multcomp" package.
219	
220	
221	Results
222	
223	Experiment 1: Feeding conditioning
224	
225	For the feed learning, the proportion of trained fish during nine test trials was not significantly
226	different among observational treatments (Cox proportional hazard model likelihood ratio test =
227	0.03 on 2 df, $P > 0.05$ ; Fig. 4). Neither live model nor video model observer were improved the
228	learning efficiency, compared to control fish.
229	
230	Experiment 2: Avoidance conditioning
231	
232	The escape latency of the control fish was significantly longer than that of the live model
233	observer (Tukey's test by GLHT for GLMM: $Z = -13.73$ , $P < 0.001$ ; Fig. 5 & Table 1) and video

234 model observer (Z = -14.87, P < 0.001). There was no significant difference in escape latency 235 between the fish observed live model and video model (Z = -1.16, P > 0.05).

236

237

238 Discussion

239In Experiment 1, 45-mm SL P. major juveniles did not improve their feed learning ability 240through the observation of conspecific individuals feeding, either in an adjacent tank or 241displayed on a video monitor. Therefore, it was not possible to evaluate the efficiency of video 242model for observational learning. However, in Experiment 2, the escape latency of 114-mm SL 243juveniles decreased through the observation of live model and video model, compared to 244non-observing control fish. This result shows that P. major juveniles can acquire avoidance and 245sheltering information by observing conspecific fish in video playback. The video model has 246been reported to work as effectively as a live model for other fish species and innate behavioral 247aspects [11-17]. For example, a male swordtail *Xiphophorus helleri* shows a courting behavior 248to a female displayed in video playback [16], and conspecific model in video playback would 249induce aggression behavior in *Betta splendens* [17]. In addition to these studies, the present 250study indicates that watching video model can work for observational learning of avoidance 251information.

Past studies revealed that by observing a predation event on a live conspecific in an adjacent tank a fish can acquire information about predator threat without risking themselves [18-20]. Watching predation event on a video model, observer fish may be able to learn anti-predator behavior. Indeed, Johnson & Basolo [21] found that *X. helleri* recognized a predation event on a conspecific in video playback, and their mating responses were altered after watching the video. Observational learning for predation event in a video playback should be studied to develop a practical training technique. Furthermore, the duration of such memory

also has a high priority for further study to improve the efficiency of training in hatchery-rearedfish.

261The size of fish may have induced the different results of observational learning 262between Experiment 1 and 2. Our previous studies showed that learning capability in fish 263changes ontogenetically and between conditioned stimuli [22, 23]. We also found that the 264ontogenetic change of observational learning in T. japonicus coincides with that of social 265interaction [24]. Further studies using juveniles in several developmental stages are required to 266evaluate observational learning through video model on fish feeding behavior. 267For establishing observational learning in fish, the appearance of model would be 268important [25, 26]. Using animation techniques, it is possible to manipulate the model 269 appearance, e.g., size, color, and motion in video model. Fishes are reported to react to animated 270fish in video model just as to live models [27, 28]. Such image manipulation may play an 271important role in furthering investigations on the mechanisms of observational learning and thus 272for the application of this technique in the practice of stock enhancement.

273

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343	Figure	Captions
	0	

345	Fig. 1 Schen	natic drawings	of treatments in	Experiment 1:	(a) live model	observer, (b) video
	<b>— — — — — — — — — —</b>					

- 346 model observer, and (c) control. A PVC pipe was placed on a feeding base at the center of the
- tank. The pipe was removed, and the pellets were presented to the fish
- 348
- 349 Fig. 2 Flowchart of the procedure in Experiment 1. The Experiment 2 had same procedure
- 350 except for having 20 conditioning trials
- 351
- 352 Fig. 3 A schematic drawing of experimental tank in Experiment 2. A sheltering area was placed
- at one end of the tank. On a conditioning trial, the fish was chased by a hand net from the
- 354 opposite end of the tank towards the shelter
- 355
- 356 Fig. 4 The proportion (%) of fish to have learned the feeding base in the course of nine test
- 357 trials in Experiment 1: control (**•**), live model observer (**•**), and video model observer (**(**)

- 359 **Fig. 5** Average avoidance latency (s) in the course of 20 conditioning trials in Experiment 2:
- 360 control ( $\blacksquare$ ), live model observer ( $\blacklozenge$ ), and video model observer ( $\bigcirc$ ). Bars indicate standard
- 361 deviation (n = 6)







Fig.3



Fig.4



Fig.5