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2 **Commonalities and differences in the spatiotemporal neural dynamics**
3 **associated with automatic attentional shifts induced by gaze and arrows**

4
5 *Running title:*

6 Neural activation to gaze and arrows

7
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29

30 **Abstract**

31 Gaze and arrows automatically trigger attentional shifts. Neuroimaging
32 studies have identified a commonality in the spatial distribution of the neural
33 activation involved in such attentional shifts. However, it remains unknown
34 whether these activations occur with common temporal profiles. To
35 investigate this issue, magnetoencephalography (MEG) was used to evaluate
36 neural activation involved in attentional shifts induced by gaze and arrows.
37 MEG source reconstruction analyses revealed that the superior temporal
38 sulcus and the inferior frontal gyrus were commonly activated after 200 ms, in
39 response to directional versus non-directional cues. Regression analyses
40 further revealed that the magnitude of brain activity in these areas and in the
41 bilateral occipital cortex was positively related to the effect of attentional
42 shift on reaction times under both the gaze and the arrow conditions. The
43 results also revealed that some brain regions were activated specifically in
44 response to directional versus non-directional gaze or arrow cues at the
45 350–400-ms time window. These results suggest that the neural mechanisms
46 underlying attentional shifts induced by gaze and arrows share
47 commonalities in their spatial distributions and temporal profiles, with some
48 spatial differences at later time stages.

49 **Keywords:** Attention orienting; Arrow; Gaze; Magnetoencephalography
50 (MEG)

51

52 **1 Introduction**

53 Sharing attention with others allows individuals to share critical
54 information regarding the environment and to respond appropriately in
55 coordination with others. Gaze direction provides information about the
56 direction of others' attention (Emery, 2000), and behavioral studies have
57 shown that the eye gaze of others triggers attentional shifts (Frischen et al.,
58 2007). For example, Friesen and Kingstone (1998) presented gaze cues at the
59 center of a screen. Subsequently, a target appeared to the left or the right of
60 the cue. Participants were asked to detect, localize, and identify the
61 subsequent target. The results revealed that participants showed a shorter
62 reaction time (RT) to gaze-at-targets (i.e., valid condition) than to
63 non-gaze-at-targets (i.e., invalid condition). Attentional shifts occurred even
64 when the cues were counterpredictive of the target locations (Driver et al.,
65 1999) or were presented without the conscious awareness of the participant
66 (Sato et al., 2007). These data indicate that gaze automatically triggers
67 attentional shifts.

68 Symbols, such as arrows, are also important cues that signal attentional
69 direction. Pioneering studies have demonstrated that arrows trigger
70 attentional shifts only when participants intend to follow the direction of the
71 cues (e.g., Posner, 1980). In line with this, some behavioral studies have
72 demonstrated that, unlike gaze cues, arrow cues did not induce reflexive
73 attention orienting in some situations; arrow cues did not trigger attention

74 orienting when they were counterpredictive of a target location (Friesen et al.,
75 2004) or had different characteristics (e.g., color) than that of the target
76 (Ristic et al., 2007). Further, a recent study found a right-lateralized
77 hemispheric asymmetry for attention orienting by gaze but not by arrow cues
78 (Greene and Zaidel, 2011), suggesting that different psychological
79 mechanisms were involved in the two types of cueing. However, other
80 studies have shown that arrow cues automatically trigger attentional shifts in
81 the same manner as do gaze cues (Hommel et al., 2001 and Tipples, 2002).
82 Several recent studies have compared the behavioral effects of gaze and arrow
83 cues using the cueing paradigm (Sato et al., 2010; Stevens et al., 2008 and
84 Tipples, 2008). These studies found that both types of cues trigger
85 attentional shifts even when they are counterpredictive of target locations
86 (Tipples, 2008), induce enhanced response speed but not enhanced accuracy
87 when discriminating the target following the cue (Stevens et al., 2008), and
88 have comparable sensitivity to the stimulus onset asynchrony between cues
89 and targets (Sato et al., 2010). These data suggest some common features in
90 the psychological mechanisms underpinning the automatic attentional shifts
91 triggered by gaze and arrows.

92 Recent functional magnetic resonance imaging (fMRI) studies have
93 investigated the neural activity underlying the attentional shifts induced by
94 gaze and arrow cues. Hietanen et al. (2006) demonstrated activation of the
95 middle/inferior occipital area by gaze cues, whereas arrow cues induced

96 activity in these regions as well as in areas in the fronto-parietal cortex.
97 However, other fMRI studies have revealed common patterns of neural
98 activation underlying the attentional shifts induced by gaze and arrows
99 (Tipper et al., 2008 and Sato et al., 2009). Tipper et al. (2008) presented an
100 ambiguous cue stimulus in the cueing paradigm and asked participants to view
101 the cue stimulus as either an eye or an arrow. This study found that the
102 distributed frontoparietal and posterior regions, which include the inferior
103 frontal gyrus (IFG), posterior superior temporal sulcus (STS), inferior
104 parietal lobule (IPL), and inferior occipital gyrus (IOG), were commonly
105 activated during attentional shifts following gaze and arrow cues. Sato et al.
106 (2009) investigated neural activation while participants passively observed
107 the directional and non-directional cues of gaze and arrows. Brain regions,
108 including the IOG, STS, IPL, and IFG in the right hemisphere, were
109 commonly activated in response to directional versus non-directional gaze
110 and arrow cues. In a study comparing gaze cues and different non-gaze cues
111 (i.e., peripheral squares), Greene et al. (2009) also demonstrated that these
112 two types of cues activated largely overlapping brain regions covering the
113 aforementioned areas. Although these studies also found differences in
114 neural activity in response to gaze and arrow cues (Sato et al., 2009 and
115 Tipper et al., 2008), brain regions which showed distinct activations to gaze
116 and arrow cues were not consistent across studies. These findings suggest
117 that attentional shifts induced by gaze and arrow cues are implemented by the

118 activation of common as well as different neural mechanisms.

119 However, due to the limited temporal resolution of the fMRI technology,
120 questions about whether the neural activation in response to gaze and arrow
121 cues occurs with common temporal profiles have remained unanswered.
122 Commonalities in the spatial distribution of neural activations do not
123 necessarily indicate a commonality of temporal profiles. Electrophysiological
124 recordings, including electroencephalography (EEG) and
125 magnetoencephalography (MEG), are appropriate tools to measure brain
126 activity with high temporal resolution. A few previous EEG studies have
127 investigated the processing of gaze and arrow cues (Brignani et al., 2009 and
128 Hietanen et al., 2008). Brignani et al. (2009) evaluated neural responses in the
129 cueing paradigm using directional gaze and arrows. Consistent with the
130 results of the fMRI studies (Sato et al., 2009 and Tipper et al., 2008), similar
131 spatial and temporal patterns of EEG activation were found in the posterior
132 and frontal regions in response to directional cues. Hietanen et al. (2008)
133 presented directional and non-directional gaze and arrow cues and found that
134 some components in temporoparietal sites, specifically after 200 ms, were
135 commonly activated in response to directional versus non-directional cues. A
136 recent MEG study also compared the brain responses to gaze cues and to
137 non-gaze cues (i.e., peripheral squares) and found very similar patterns in
138 the time course of global field power (Nagata et al., 2012). In summary,
139 these data suggest a certain level of commonality in the temporal profiles of

140 brain activation in response to gaze and arrow cues. However, because of
141 limitations in the spatial resolution of electrophysiological measures (Dale
142 and Halgren, 2001), it remains unclear whether the activation of the specific
143 brain regions identified in fMRI studies (Sato et al., 2009 and Tipper et al.,
144 2008) exhibited common temporal profiles in response to gaze and to arrows.

145 In this study, we recorded MEG signals and conducted
146 source-reconstruction analysis using fMRI data (Litvak et al., 2011) to
147 investigate the temporal profiles of the neural activation involved in
148 attentional shifts induced by gaze and arrows. Directional and
149 non-directional gaze and arrow cues were presented, and participants were
150 asked to localize the peripheral target as quickly and accurately as possible.
151 Temporal profile analyses for the MEG signals in response to the directional
152 and non-directional gaze and arrow cues were conducted in spatially restricted
153 brain regions (i.e., the IOG, STS, IPL, and IFG) derived from a previous fMRI
154 study (Sato et al., 2009). It was predicted that these brain regions would show
155 a common temporal activation in response to directional versus
156 non-directional cues. Regression analyses between brain activation and
157 behavioral data were also conducted to test the prediction that the neural
158 activation would be related to behavioral attentional shifts.

159 Additionally, we explored differences in the temporal pattern of
160 activations in response to gaze and arrows. Based on previous behavioral
161 (Friesen et al., 2004 and Ristic et al., 2007) and fMRI (Hietanen et al., 2006;

162 Tipper et al., 2008 and Sato et al., 2009) studies, it is plausible that the gaze
163 and arrow cues could activate distinct in addition to common neural
164 mechanisms. We explored the different spatiotemporal profiles of the MEG
165 signals in response to gaze and arrow cues in the superior parietal lobule
166 (SPL), the precentral gyrus (PCG), and the middle temporal gyrus (MTG),
167 areas identified by a previous fMRI study (Sato et al., 2009).

168

169 **2 Materials and methods**

170 *2.1 Participants*

171 Eighteen volunteers participated in the study. All participants were
172 right-handed, as assessed by the Edinburgh Handedness Inventory (Oldfield,
173 1971), and had normal or corrected-to-normal visual acuity. All participants
174 provided written informed consent prior to participation in this study, which
175 was approved by the ethics committee of the Primate Research Institute,
176 Kyoto University.

177 We analyzed the data from 13 volunteers (nine males; mean \pm *SD* age
178 27.6 ± 5.8 years). Five volunteers (two females and three males) were
179 excluded from the MEG analysis because the RT differences between invalid
180 and valid conditions were not above zero, indicating no attentional shifts to
181 the cued location under either gaze or arrow conditions. Our preliminary
182 analyses confirmed that the same RT patterns were found even when these
183 participants were included in the analyses.

184 *2.2 Design*

185 The experiment was constructed using a within-participant
186 two-factorial design; cue type (gaze or arrow) and cue direction (directional
187 or non-directional).

188 *2.3 Stimuli*

189 Gaze and arrow stimuli (Fig. 1) utilized by previous studies (Sato et al.,
190 2009 and Sato et al., 2010) were employed here. These studies confirmed that
191 these gaze and arrow cues trigger the same degree of attentional shift.

192 For directional gaze cues, we prepared gray-scale photographs consisting
193 of full-face neutral expressions displayed by three females and three males
194 looking left. Mirror images of these stimuli were created using Photoshop 6.0
195 (Adobe), and these were used as the stimuli indicating the right direction. For
196 non-directional gaze cues, photographs of full-face neutral faces gazing
197 straight ahead were also prepared.

198 For directional arrow cues, we created left-pointing stimuli consisting
199 of horizontal lines subtending $4.0\text{--}8.0^\circ$ horizontally with two oblique lines
200 that tilted $30\text{--}60^\circ$ from the horizontal line. Mirror images of these stimuli
201 were created and used as right-pointing stimuli. Non-directional arrow cues
202 were also prepared. Finally, a lozenge was constructed using the same
203 horizontal and oblique lines that were used for the directional stimuli.

204 All stimuli were depicted within a rectangle, subtending 12.5° vertical
205 $\times 10.0^\circ$ horizontal, on a gray plane background. The mean luminance of all

206 images was made constant using MATLAB 6.0 (Mathworks).

207 *****

208 Figure 1

209 *****

210 2.4 Apparatus

211 Events were controlled by Presentation software (version 10.0;
212 Neurobehavioral System) implemented on a Windows computer. The stimuli
213 were projected from a liquid crystal projector (DLA-G150CL; Victor) to a
214 mirror that was positioned in front of the participants.

215 2.5 Procedures

216 In each trial, after a crosshair was presented at the center of the screen
217 for 500 ms, a gaze or arrow cue (right, left, or non-directional) was
218 presented at the same location for 500 ms. Then, the target letter “T”
219 appeared to the left or the right side of the cue stimulus (Fig. 2). The
220 presented cue did not predict the target location. Thus, the target appeared to
221 each side of the cue stimulus 50% of the time. The participants were asked to
222 specify as quickly and accurately as possible whether the target appeared on
223 the left or the right side of the screen by pressing the corresponding button
224 on the switch box using the right index or middle finger, respectively. The
225 interval from target appearance to button response was measured. The target
226 and cue remained on the screen until a response was made; if 1000 ms
227 elapsed with no response, the target disappeared. After an 800–1200-ms

228 inter-trial interval, the next trial started. The participants were told that the
229 cues did not predict the target location and were instructed to fixate on the
230 center of the screen.

231 The experiment consisted of gaze and arrow blocks, and block order
232 was counterbalanced across participants. Each block included six blocks of
233 36 trials. Thus, a total of 432 trials (72 trials each for valid-gaze,
234 invalid-gaze, non-directional-gaze, valid-arrow, invalid-arrow, and
235 non-directional-arrow condition) were conducted. The trials were presented
236 in random order, and participants were allowed to rest between blocks.
237 Twenty practice trials preceded the experimental trials.

238 *****

239 Figure 2

240 *****

241 2.6 MEG acquisition

242 MEG acquisition was performed in an electromagnetically shielded
243 room using a 210-channel whole-head supine-position system (PQ1400RM;
244 Yokogawa). A forehead strap was used to stabilize head position. MEG data
245 were sampled at 1000 Hz through a band-pass of 0.05–200 Hz. Vertical and
246 horizontal electrooculograms (EOGs) were simultaneously recorded.

247 To measure head position within the MEG sensor system, five
248 calibration coils were mounted on the participants' heads. An
249 electromagnetic calibration of the coil positions was performed before each

250 MEG recording session. Participants' head shape and calibration coil
251 positions were digitized with a three-dimensional (3D) laser-optical scanner
252 and a stylus marker (FastSCAN Cobra; Polhemus) and were later used to
253 co-register the MEG sensor locations to an anatomical space defined by an
254 individual MRI.

255 *2.7 Anatomical MRI acquisition*

256 Anatomical MRI acquisition was performed on a 3 T scanning system
257 (MAGNETOM Trio A, Tim System; Siemens) using a 12-channel head coil
258 with a forehead pad used to stabilize head position. A T1-weighted
259 high-resolution anatomical image was obtained using a
260 magnetization-prepared rapid-acquisition gradient-echo (MP-RAGE)
261 sequence (TR = 2250 ms; TE = 3.06 ms; IT = 900 ms; flip angle = 9°; field of
262 view = 256 × 256 mm; voxel size = 1 mm × 1 mm × 1 mm).

263 *2.8 Data analysis: behavioral performance*

264 Data were analyzed using SPSS 10.0J (SPSS; Japan). As in previous
265 studies (e.g., Langtone and Bruce, 1999), the median RT of correct responses
266 was calculated for each condition and analyzed using a 2 (cue type) × 3
267 (cue-target validity) repeated-measures analysis of variance (ANOVA). In
268 cases in which the assumption of sphericity was not met ($p < 0.05$,
269 Mauchley's sphericity test), the Greenhouse–Geisser adjusted degree of
270 freedom was used. Multiple comparisons were performed using Ryan's
271 method. To confirm the results in the absence of parametric assumptions, we

272 also performed Wilcoxon signed-rank tests. The results of all tests were
273 considered statistically significant at $p < .05$.

274 2.9 Data analysis: MEG preprocessing and source reconstruction

275 2.9.1 Commonality.

276 Data analysis was conducted using Statistical Parametric Mapping
277 software (SPM8 r4290; <http://www.fil.ion.ucl.ac.uk/spm/>). Continuous MEG
278 data were epoched into 500-ms segments for each trial and down-sampled to
279 200 Hz; pre-stimulus baseline data were collected for 50 ms, and
280 experimental data were collected for 450 ms after cue stimulus onset. The
281 data were initially subjected to independent component analyses (ICA) for
282 the purpose of artifact rejection using EEGLAB toolbox
283 (<http://sccn.ucsd.edu/eeglab/index.html>). The ICA components (ICs) were
284 visually inspected, and those representing eye artifact, heartbeat, or muscle
285 activities were rejected. The rest of the ICs were projected back to the MEG
286 sensor space to obtain a “clean” MEG signal. Threshold-based artifact
287 rejection was also conducted. Any epochs containing a gradiometer
288 amplitude ≥ 3000 fT/cm and an absolute EOG amplitude ≥ 80 μ V were
289 rejected as artifacts. The number of artifact-contaminated trials did not
290 differ across conditions (mean \pm SD = 8.56 ± 4.66 ; $p > 0.1$,
291 within-participant ANOVA). The pre-processed data were then low-pass
292 filtered at 48 Hz, baseline corrected on the basis of the 50-ms pre-stimulus
293 period, and averaged over trials by conditions for the following analyses.

294 For fMRI-constrained MEG source reconstruction, the restricted
295 solution approach implemented in SPM8 (Litvak et al., 2011) was used,
296 where a “hard” spatial prior derived from fMRI studies was imposed to solve
297 the MEG inverse problem with a reduced source space. First, the cortical
298 mesh on which the current dipoles were placed was created. The individual
299 anatomical MRI of each participant was segmented and spatially normalized
300 to the Montreal Neurological Institute (MNI) space. The inverse of this
301 normalization transformation was then used to warp a canonical cortical
302 mesh in the MNI space to the individual cortical mesh (Mattout et al., 2007).
303 The cortical mesh described the source locations with 20,484 vertices (i.e.,
304 “fine” size). Next, the MEG sensors were co-registered to anatomical MRI
305 data by matching the positions of three fiducials (nasion and R- and
306 L-preauricular points) and head shape. The forward model could then be
307 computed using a “single sphere” model by assuming that the orientations of
308 the sources were normal to the cortical mesh.

309 The forward model was inverted using a parametric empirical Bayesian
310 framework (Mattout et al., 2007) with the optimization of Multiple Sparse
311 Priors (MSP) by a greedy search algorithm (Friston et al., 2008). To
312 investigate the commonality of the brain activities induced by gaze and
313 arrows, the inverse reconstruction used to compute cortical source activities
314 was restricted to eight predefined regions of interest (ROIs; i.e., restricted
315 solution approach). Based on a previous fMRI study (Sato et al., 2009), the

316 brain regions that were more activated in response to directional versus
317 non-directional gaze and arrow cues were selected. The center coordinates
318 for the IOG, STS, IPL, and IFG in the right hemisphere were selected. A
319 sphere with a 24-mm radius centered on the coordinates of each area was
320 created. Although the original study (Sato et al., 2009) reported activation in
321 only the right hemisphere, the hemispheric functional asymmetry in fMRI
322 (Tipper et al., 2008), EEG (Brignani et al., 2009 and Hietanen et al., 2008)
323 and behavioral studies (Greene and Zaidel, 2011 and Okada et al., 2012) is
324 inconsistent, and thus, data from the IOG, STS, IPL, and IFG of both
325 hemispheres (IOG: $x = \pm 36$, $y = -86$, $z = -8$; STS: $x = \pm 64$, $y = -46$, $z = 16$;
326 IPL: $x = \pm 42$, $y = -48$, $z = 46$; IFG: $x = \pm 48$, $y = 24$, $z = 20$) were used by
327 flipping ROIs in the right hemisphere based on MSP generation (cf. Henson
328 et al., 2009). The parameters of the inversion were based on SPM default
329 settings with the exception of not using a Hanning taper for the time series.

330 For every participant and condition, 3D source-reconstructed images in
331 the MNI standard space of evoked activity were obtained every 50 ms
332 between 0 and 400 ms in the post-stimulus window. The intensity was
333 normalized to the mean over voxels and conditions to reduce
334 inter-participant variance. All images were smoothed by 8 mm in the
335 conversion from a cortical mesh to the MNI voxel space.

336 2.9.2 *Difference.*

337 The same method used for the commonality analysis was used to

338 explore differences in brain activities in response to gaze and arrow cues.
339 One exception was that the inverse reconstruction used to compute cortical
340 source activities was restricted to other ROIs. Based on a previous fMRI
341 study (Sato et al., 2009), three brain regions (the left-hemisphere SPL, the
342 left-hemisphere PCG, and the right-hemisphere MTG) that showed different
343 activation in response to gaze and arrow cues were selected. Another fMRI
344 study also found differences in the MTG and the PCG (Hietanen et al., 2006).
345 The center coordinates in both hemispheres were used for the SPL, PCG, and
346 MTG (SPL: $x = \pm 16$, $y = -52$, $z = 46$; PCG: $x = \pm 32$, $y = 8$, $z = 42$; MTG: $x =$
347 ± 52 , $y = -66$, $z = 2$) by flipping ROIs in each hemisphere.

348 *2.10 Data analysis: MEG regional brain activity analysis*

349 *2.10.1 Commonality.*

350 The source-reconstruction images were entered into the random-effects
351 general linear model (GLM) as repeated-measures factors including cue type
352 (gaze vs. arrow), cue direction (directional vs. non-directional), and time
353 window (0–50, 50–100, 100–150, 150–200, 200–250, 250–300, 300–350, and
354 350–400 ms); participant was a factor of no interest. The ensuing covariance
355 components were estimated using a restricted maximum likelihood procedure
356 to adjust the statistics. The low-variance regions, which can cause
357 artificially high statistical values and localization bias, were also adjusted
358 (Ridgway et al., 2012).

359 Planned contrasts were performed for each time window. Based on the

360 objectives of the current study, the common activity associated with the
361 effect of cue direction (directional vs. non-directional) across cue types
362 (gaze and arrow) was tested using a conjunction analysis with interaction
363 masking (Nichols et al., 2005 and Price and Friston, 1997; cf. Sato et al.,
364 2009). First, the contrast of directional gaze versus non-directional gaze
365 (contrast 1) and directional arrow versus non-directional arrow (contrast 2)
366 was specified, and then the main effect of contrast 1 + 2 was exclusively
367 masked by the *F*-tests of interactions (i.e., a two-tailed test of contrast 1 -
368 contrast 2). The significance threshold of the masking was set to $p < 0.05$
369 (uncorrected). Significantly activated voxels were identified if they reached
370 the extent threshold of 10 voxels with a height threshold of $p < 0.05$
371 (uncorrected). To display the activation, the root-mean-square (RMS) time
372 course of MEG source activities within a 4-mm radius of the peak foci was
373 extracted between 0 and 400 ms for each participant and averaged across
374 participants.

375 Additionally, multiple regression analyses were performed to
376 investigate the relationships between MEG source activity and behavioral
377 measures, specifically RT. First, subtraction images between the directional
378 and non-directional condition in each time window were created for each cue
379 type. RT ratios between invalid and valid conditions were also calculated for
380 each participant under gaze and arrow conditions and used as a measure of
381 the cueing effect. This method allowed controlling for baseline RT

382 difference across individuals to investigate the degree of attentional
383 facilitation (c.f. Sereno and Holzman, 1996). Based on the results of the
384 above conjunction analysis, we searched for voxels that showed a positive
385 relationship between the source activity evoked by the directional cue and
386 the cueing effect in the 200–250, 250–300, 300–350, and 350–400 ms time
387 windows. Then, GLMs including the behavioral measure (cueing effect) as a
388 covariate of interest and cue type (gaze and arrow) as a factor of interest
389 were constructed; participant was a factor of no interest. To identify brain
390 regions that exhibited the same relationship with the cueing effect under
391 both gaze and arrow conditions, a conjunction analysis with interaction
392 masking was conducted. The contrast of the positive regression slope with
393 the cueing effect of gaze and arrow were masked by the F -tests of
394 interactions (i.e., the differential regression slope between the cueing effect
395 of gaze and arrow) in each time window. A significant activation was
396 identified if the activation foci reached the height threshold of $p < 0.05$ with
397 the extent threshold of 10 voxels.

398 Preliminary analyses were also conducted for target-related activities
399 (cf. Nagata et al., 2012). However, we found that the MEG signals were
400 severely contaminated by noise related to eye movements and hand
401 responses to peripheral targets even after the ICA preprocessing. The results
402 also showed effects around eye regions. Thus, we did not report the
403 target-related activity in this paper.

404 *2.10.2 Difference.*

405 The same GLM used in the commonality analysis was used in this
406 analysis. First, the contrasts between directional gaze and non-directional
407 gaze (contrast 1) and between directional arrow and non-directional arrow
408 (contrast 2) were specified. To explore the differences in brain activity in
409 response to gaze and arrow cues, we analyzed the specific instances in which
410 higher activity was more strongly associated with one stimulus type than
411 with the other. For example, the interaction involving higher activity
412 specifically for directional gaze was tested as follows: [(directional eyes –
413 non-directional gaze) – (directional arrow – non-directional arrow)].
414 Significantly activated voxels were identified if they reached the extent
415 threshold of 10 voxels with a height threshold of $p < .05$ (uncorrected).

416

417 **3 Results**418 *3.1. Behavioral performance*

419 The RT results are presented in Fig. 3. The 2 (cue type) \times 3 (cue-target
420 validity) repeated-measures ANOVA for RT revealed a significant main effect
421 of cue-target validity, indicating that RTs were shorter for valid cues
422 compared with invalid and non-directional cues ($F(2, 24) = 20.96, p < 0.05$).
423 The main effect of cue type ($F(1, 12) = 0.48, p > 0.1$) and the interaction
424 between cue type and cue-target validity ($F(2, 24) = 0.75, p > 0.1$) were not
425 significant. The multiple comparisons for the main effect of cue-target

426 validity revealed that the RTs under the valid condition were shorter than
427 were those under the invalid ($t(24) = 5.89, p < 0.05$) and non-directional (t
428 ($24) = 5.27, p < 0.05$) conditions. Non-parametric Wilcoxon signed-rank
429 tests confirmed significant differences between valid cues compared with
430 invalid and non-directional cues ($Z > 3.10, p < 0.05$) as well the lack of
431 significant differences between gaze and arrow cues under each validity
432 condition ($Z < 0.25, p > 0.1$). The results revealed that participants exhibit
433 cueing effects of comparable magnitudes in response to both gaze and arrow
434 cues.

435 *****

436 Figure 3

437 *****

438 3.2. MEG: commonality

439 The inverse reconstruction to compute cortical source activities from
440 MEG signals was conducted in eight predefined regions of interest (the IOG,
441 STS, IPL, and IFG in both hemispheres). We analyzed 3D
442 source-reconstructed images in the MNI standard space of cortical source
443 activity. The common activity associated with the effect of cue direction
444 (directional vs. non-directional) across cue types (gaze and arrow) was
445 tested using a conjunction analysis with interaction masking. The main
446 effect of cue direction, contrasting directional and non-directional cues, was
447 tested for each 50-ms time window. Within the 0–50, 50–100, 100–150, and

448 150–200 ms time windows, no significant activations were observed.
 449 Significant activations were observed at 200–250 ms in the right STS region
 450 ($t(564) = 1.70$, $p = 0.04$; Fig. 4), at 250–300 ms in the left IFG ($t(564) = 2.44$,
 451 $p = 0.007$), at 300–350 ms in the bilateral IFG (left: $t(564) = 2.46$, $p = 0.007$;
 452 right $t(564) = 1.77$, $p = 0.038$) and the left STS ($t(564) = 1.81$, $p = 0.035$),
 453 and at 350–400 ms in the left STS region ($t(564) = 2.47$, $p = 0.007$) and the
 454 bilateral IFG (left: $t(564) = 1.90$, $p = 0.029$; right: $t(564) = 1.86$, $p = 0.035$).

455 *****

456 Figure 4

457 *****

458 Multiple regression analysis was performed to investigate the
 459 relationships between MEG source activity (the contrasts between the
 460 directional and non-directional conditions) and behavioral measures (RT
 461 ratios between invalid and valid conditions). Significant positive
 462 relationships were found at 200–250 ms with the left STS region ($t(22) =$
 463 1.88 , $p = 0.03$; Fig. 5) and the bilateral IFG (left: $t(22) = 2.58$, $p = 0.005$;
 464 right: $t(22) = 2.08$, $p = 0.019$); at 250–300 ms with the right IOG ($t(22) =$
 465 2.34 , $p = 0.01$) and the left IFG ($t(22) = 2.23$, $p = 0.013$); at 300–350 ms with
 466 the bilateral IOG (left: $t(22) = 2.27$, $p = 0.017$; right: $t(22) = 2.17$, $p = 0.015$)
 467 and the left IFG ($t(22) = 1.79$, $p = 0.037$); and at 350–400 ms with the
 468 bilateral IOG (left: $t(22) = 1.92$, $p = 0.035$; right: $t(22) = 2.78$, $p = 0.005$).

469 *****

470

Figure 5

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3.3. MEG: difference

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The inverse reconstruction to compute cortical source activities from MEG signals was conducted in six predefined ROIs (the SPL, PCG, and MTG in both hemispheres). We analyzed the specific instances in which higher activity was more strongly associated with one stimulus type than with the other. Significant activations were observed only in the 350–400 ms time window. The SPL in both hemispheres was activated in response to directional arrow cues (right: $t(564) = 1.82$, $p = 0.034$; left: $t(564) = 1.75$; $p = 0.039$, Fig.6), whereas the MTG in both hemispheres (right: $t(564) = 3.45$, $p < 0.001$; left: $t(564) = 2.58$; $p = 0.005$) and the left PCG ($t(564) = 1.81$; $p = 0.035$) was activated in response to directional gaze cues.

483

484

Figure 6

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4 Discussion

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The behavioral data from the present study demonstrate that participants localize cued targets more rapidly than they localize non-cued targets, irrespective of cue type. These results are consistent with previous findings indicating that both gaze (e.g., Friesen and Kingstone, 1998) and arrow (e.g., Tipples, 2002) cues automatically trigger attentional shifts. The

492 participants analyzed in this study exhibited cueing effects of comparable
493 magnitudes in response to both gaze and arrow cues. This allowed for the
494 investigation of the neural substrates underlying the common behavioral
495 response of attentional shifts induced by gaze and arrow cues.

496 The MEG data from the present study revealed a common activation
497 for directional versus non-directional gaze and arrows in the STS and IFG.
498 These spatial patterns are consistent with previous fMRI studies that
499 indicate that directional gaze and arrows activate widespread
500 temporoparietal and frontal regions (Sato et al., 2009 and Tipper et al.,
501 2008). These results are also in line with the theoretical proposal that a
502 neural network, which includes the STS and IFG, is associated with the
503 reorienting of attention (Corbetta and Shulman, 2002) and suggest that the
504 STS and the IFG perform the psychological process common to the
505 attentional shifts induced by directional gaze and arrows.

506 Moreover, these MEG data revealed commonalities in the temporal
507 profiles of this neural activation. First, directional versus non-directional
508 cues commonly activated the right STS region at 200–250 ms. A visual
509 inspection of this component (Fig. 4) indicates that the peak of this
510 component occurred during the 150–200-ms time window, which is in line
511 with several previous EEG and MEG studies using facial stimuli (e.g.,
512 Bentin et al., 1996; for a review, see Rossion and Jacques, 2008). The
513 difference between cue directions at this component is also consistent with

514 several EEG and MEG studies reporting higher amplitude for this component
515 in response to an averted than to a straight gaze (Puce et al., 2000; Sato et al.,
516 2008 and Watanabe et al., 2001). Hietanen et al. (2008) found that, in the
517 cueing paradigm, both directional gaze and arrows induce larger amplitudes
518 in this component than do non-directional cues. A previous combined fMRI
519 and ERP study also reported that the amplitude of this component was
520 correlated with BOLD signals in face-related regions including the STS
521 (Horovitz et al., 2004). This result is also consistent with data from
522 single-unit recording studies in monkeys that found that a subset of cells in
523 the STS codes the direction of another's attention regardless of the visual
524 features (Jellema et al., 2000 and Perrett et al., 1992) and with neuroimaging
525 studies in humans that found that the STS was activated in response to
526 different social attention signals including gaze (Hoffman and Haxby, 2000)
527 and gestures (Sato et al., 2009). Together with these data, the current results
528 suggest that the activation of the right STS region at 200–250 ms is involved
529 in extracting directional information, irrespective of cue type.

530 Subsequently, after 250 ms, the focus of activation moved to the left
531 IFG. The activation of the IFG in these time windows has also been shown
532 by previous MEG studies. Nishitani et al. (2004) found that the left IFG was
533 active at around 250 ms when participants observed and imitated another's
534 mouth action. Sato et al. (submitted) demonstrated that dynamic versus
535 static facial expressions activate the right IFG at 300–350 ms. Based on

536 these findings, it would be reasonable to think that the information about cue
537 direction processed by the right STS was transferred into the left IFG during
538 this time window. It has been proposed that the STS and IFG are associated
539 with the reorienting of attention by behaviorally relevant stimuli (Corbetta
540 and Shulman, 2002). In our daily lives, the ability to react to the direction of
541 gaze and arrows is highly relevant to the ability to effectively communicate
542 with others (cf. Guzzon et al., 2010). Given that both factorial and
543 regression analyses relate the overlapped left IFG activity to directional cues,
544 this activation may be critical for attentional shifts induced by gaze and
545 arrow cues.

546 The present findings also revealed the activation of the bilateral IFG
547 and the left STS after 300 ms. Although the STS and IFG play an important
548 role in orienting attention (e.g., Corbetta and Shulman, 2002), other studies
549 have suggested that these brain regions are involved in various cognitive
550 functions. For example, it has been proposed that the mirror neuron network,
551 which includes the IFG and the STS, is employed in understanding the action
552 intention of others (Rizzolatti et al., 2001). Previous fMRI studies suggest
553 that the IFG and the STS play a role when inferring the intentions behind a
554 symbolic gesture (Villarreal et al., 2012) and the animated motion of
555 non-biological objects (Osaka et al., 2012 and Schultz et al., 2004).
556 Behavioral studies also suggest that the inference of intention would be a
557 critical component in attentional shifts induced by gaze and arrows. For

558 example, some studies have shown that biological and non-biological cues
559 did not trigger an attentional shift when the model's intention was
560 ambiguous (Teufel et al., 2010 and Wiese et al., 2012). Based on these
561 findings, the left STS activation and the prolonged activity of the bilateral
562 IFG might function as a system that sustains or suppresses attentional shifts
563 depending on whether information about intention is extracted from the cue.

564 The regression analysis also revealed that the activation of the STS and
565 the IFG were positively correlated with the degree of the attentional shifts
566 induced by gaze and arrow cues. We also found a positive relationship
567 between the later activation (~250 ms) of the bilateral occipital cortex and
568 the cueing effect. Previous EEG studies have reported that gaze and arrow
569 cues trigger the enhancement of subsequent visual processing at
570 occipitotemporal sites (Hopf and Mangun, 2000 and Schuller and Rossion,
571 2004). These findings suggest the possibility that the STS and the IFG send
572 feedback signals to the visual cortex and enhance the visual processing of
573 the subsequent target.

574 Spatial and temporal commonalities in the brain regions underlying the
575 attentional shifts induced by gaze and arrows suggest that the human brain
576 has incorporated the neural mechanisms for the processing of biological cues
577 into those used for the processing of symbolic cues. Previous studies have
578 shown that biological cues, including gaze, trigger attentional shifts even in
579 macaque monkeys (Deaner and Platt, 2003). However, in addition to

580 biological cues, symbolic representations of directional information, such as
581 arrows (Sato et al., 2010) and words (i.e., right and left; Vecera and Rizzo,
582 2006), also trigger automatic attentional shifts in humans. Promising
583 directions for further research include whether other attention-orienting cues
584 (e.g., directional words) are also processed in the same manner and how
585 spatial and temporal commonalities in the neural mechanisms underlying
586 attentional shifts have developmentally and evolutionally emerged.

587 In contrast to our results showing common STS activation in response
588 to gaze and arrow cues, some previous fMRI studies have reported different
589 activation patterns in the STS in response to these cues (Hietanen et al. 2006
590 and Kingstone et al., 2004). Kingstone et al. (2004) found stronger STS
591 activation in response to gaze than to other cues. They presented cue stimuli
592 that could be perceived as eyes or as cars based on an instruction. This
593 finding does not exclude the engagement of the STS during processing of
594 other directional cues because cars do not inherently indicate the location of
595 objects. Hietanen et al. (2006) found that gaze cues did not induce STS
596 activation. In contrast to other studies (Sato et al., 2009, Tipper et al., 2008
597 and Kingstone et al., 2004), Hietanen et al. included no female participants.
598 This may introduce ambiguity into the significance of STS activity in
599 response to gaze cues, as women are more sensitive to the gaze of others
600 than are men (see Frischen et al. (2007) for a review). In addition to these
601 issues, these analyses differ from a methodological perspective. Previous

602 studies (Hietanen et al. 2006 and Kingstone et al., 2004) performed separate
603 contrasts for each cue and tested the difference in brain activity between
604 gaze and arrow cues, whereas we performed statistical conjunction analyses
605 to investigate the commonality from a positive perspective. This difference
606 may explain the inconsistent results among the studies.

607 In addition to these areas of commonality, we also found differences in
608 brain activity in response to gaze and arrow cues at the 350–400-ms time
609 window. The results revealed that the SPL in both hemispheres was activated
610 in response to directional arrow cues, whereas the MTG in both hemispheres
611 and the left PCG was activated in response to directional gaze cues. Previous
612 fMRI studies also demonstrated that arrow cues specifically activated the
613 SPL (Hietanen et al., 2006 and Sato et al., 2009). Corbetta and Shulman
614 (2002) proposed that the dorsal attention networks, including the SPL, were
615 involved in top-down attention control, whereas the ventral attention
616 networks were associated with stimulus-driven attention orienting. Several
617 studies have shown that arrow cues trigger attentional shifts only when
618 participants intend to follow the direction of the cues (e.g., Posner, 1980).
619 These findings suggest that differences in brain activity may appear during
620 later time windows, reflecting the stronger top-down control of attention
621 induced by arrow than by gaze cues.

622 Several limitations of the current study should be acknowledged. First,
623 although the functional roles of the STS and the IFG at each time window

624 were discussed, the relationship between neural activation and the
625 behavioral cueing effect addressed here was correlational rather than causal.
626 The application of noninvasive transcranial stimulation methods could
627 provide important information regarding whether the activation in specific
628 brain regions in specific time windows is critical for automatic attention
629 orienting by directional gaze and arrow cues. Second, this study did not find
630 any activation of the IPL in response to directional gaze and arrow cues.
631 This might relate to the fact that MEG has the disadvantage of being
632 insensitive to deep or radially oriented sources (Dale and Sereno, 1993).
633 Additional complementary methods with high spatial–temporal resolution
634 (e.g., a combined EEG and fMRI study) would provide useful information
635 concerning the neurocognitive mechanisms involved in attentional shifts
636 induced by gaze and arrow cues. Third, some participants did not show
637 reflexive attention orienting in response to gaze and arrow cues and were
638 excluded from the MEG analysis. Several previous studies have shown that
639 reflexive attention orienting clearly appeared at a shorter SOA (e.g.,
640 Langton and Bruce, 1999). Although we selected a relatively longer SOA to
641 record a clear MEG signal without contamination by response-related
642 activities, a shorter SOA may be useful for inducing robust reflexive
643 attention orienting and underlying brain activation. Fourth, this study
644 focused on cue-related and not target-related activity. A recent fMRI study
645 comparing invalidly and validly cued targets demonstrated that arrow but not

646 gaze cues recruited widely distributed brain regions including the STS, IPL,
647 and IFG (Engell et al., 2010). Although eye movement and the
648 response-related electromyogram to the peripherally presented target may
649 contaminate the MEG signal, MEG would be useful for investigating the
650 temporal characteristics of target-related activity in response to gaze and
651 arrow cues.

652

653 **5 Conclusions**

654 The current study investigated the temporal dynamics of brain
655 activation during attentional shifts induced by gaze and arrow cues. These
656 findings demonstrate that both the STS and IFG are more activated in
657 response to directional than non-directional gaze and arrow cues and that
658 they exhibit a common temporal profile from 200–400 ms after cue onset.
659 The regression analyses revealed that the activation of brain regions close to
660 those specified in the factorial analysis (the STS and the IFG) and of the
661 bilateral occipital cortex are positively correlated with the degree of the
662 attentional shifts induced by gaze and arrow cues. We also found differences
663 in brain activity in response to gaze and arrow cues at the 350–400-ms time
664 window. The SPL in both hemispheres was activated in response to
665 directional arrow cues, whereas the MTG in both hemispheres and the left
666 PCG was activated in response to directional gaze cues. These results
667 indicate commonalities and differences in the spatiotemporal neural

668 dynamics underlying the attentional shifts by gaze and arrow cues.

669

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679

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- 812

813

814 **Figure Legends**815 **Figure 1** Examples of gaze and arrow stimuli.

816

817 **Figure 2** Sequence of stimulus presentation under the invalid condition.

818

819 **Figure 3** Mean (with *SE*) reaction times to localize the target.

820

821 **Figure 4** Common brain activation in response to directional versus
822 non-directional gaze and arrow cues in each time window. The height
823 threshold of $p < 0.05$ (uncorrected) with the extent threshold of 10 voxels.
824 Waveforms represent source estimates in response to directional and
825 non-directional gaze and arrow cues in the ROIs. Error bars show the *SE*.

826

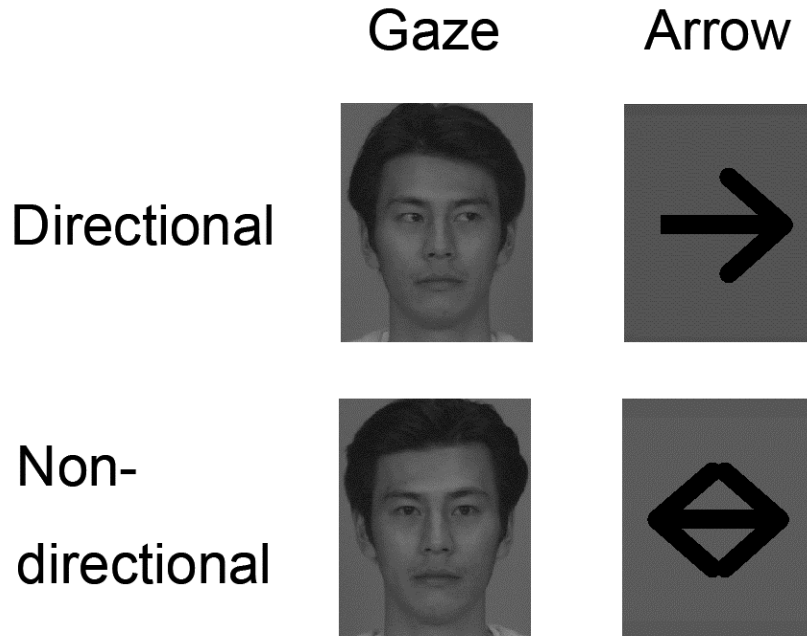
827 **Figure 5** Common brain regions showing a positive relationship between the
828 cueing effect and the activation to directional versus non-directional gaze
829 and arrow cues in each time window. The height threshold of $p < 0.05$
830 (uncorrected) with the extent threshold of 10 voxels. RT ratios between
831 invalid and valid conditions were also calculated for each participant under
832 gaze and arrow conditions and used as a measure of the cueing effect. The
833 cueing effect is plotted against contrasts between the directional and
834 non-directional conditions in the ROIs. Solid and broken lines represent
835 linear regressions in gaze and arrow cues, respectively.

836

837 **Figure 6** The brain regions showing different activation in response to
838 directional versus non-directional gaze and arrow cues at 350–400 ms. The
839 height threshold is $p < 0.05$ (uncorrected), and the extent threshold is 10
840 voxels. Waveforms represent source estimates in response to directional and
841 non-directional gaze and arrow cues in the ROIs. Error bars show the *SE*.

842

843 **Figure 1**

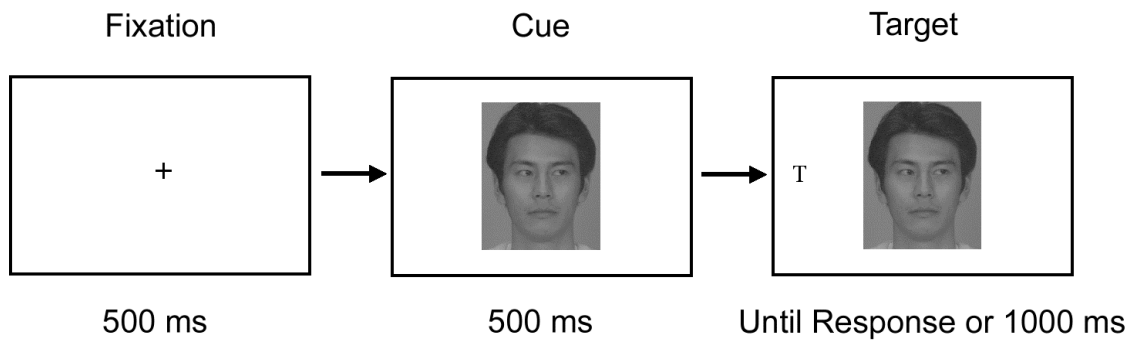


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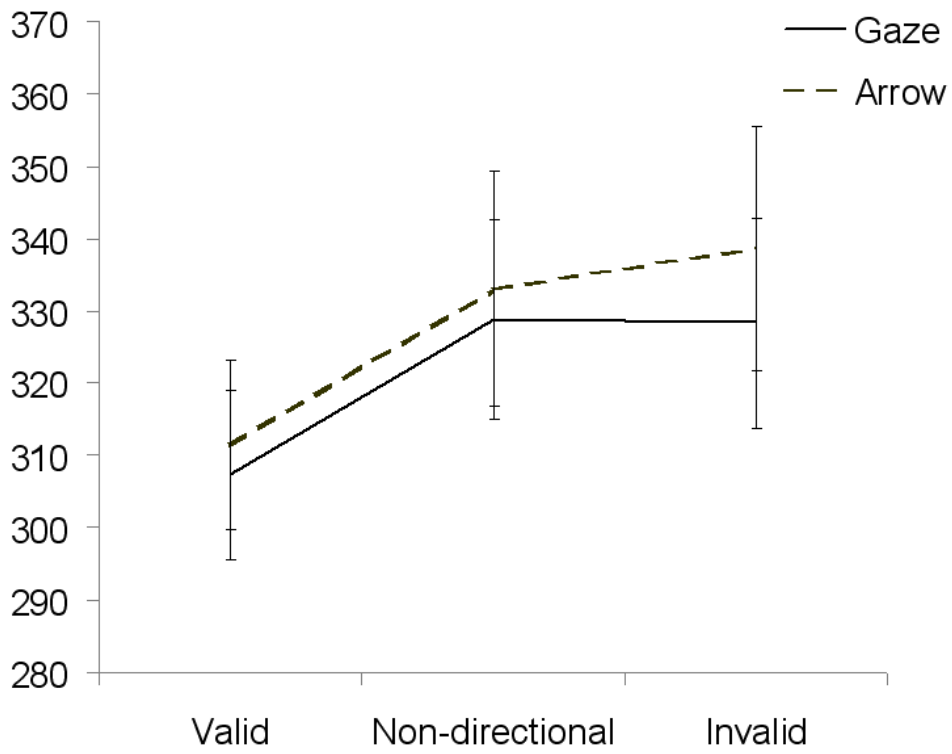
847 **Figure 2**



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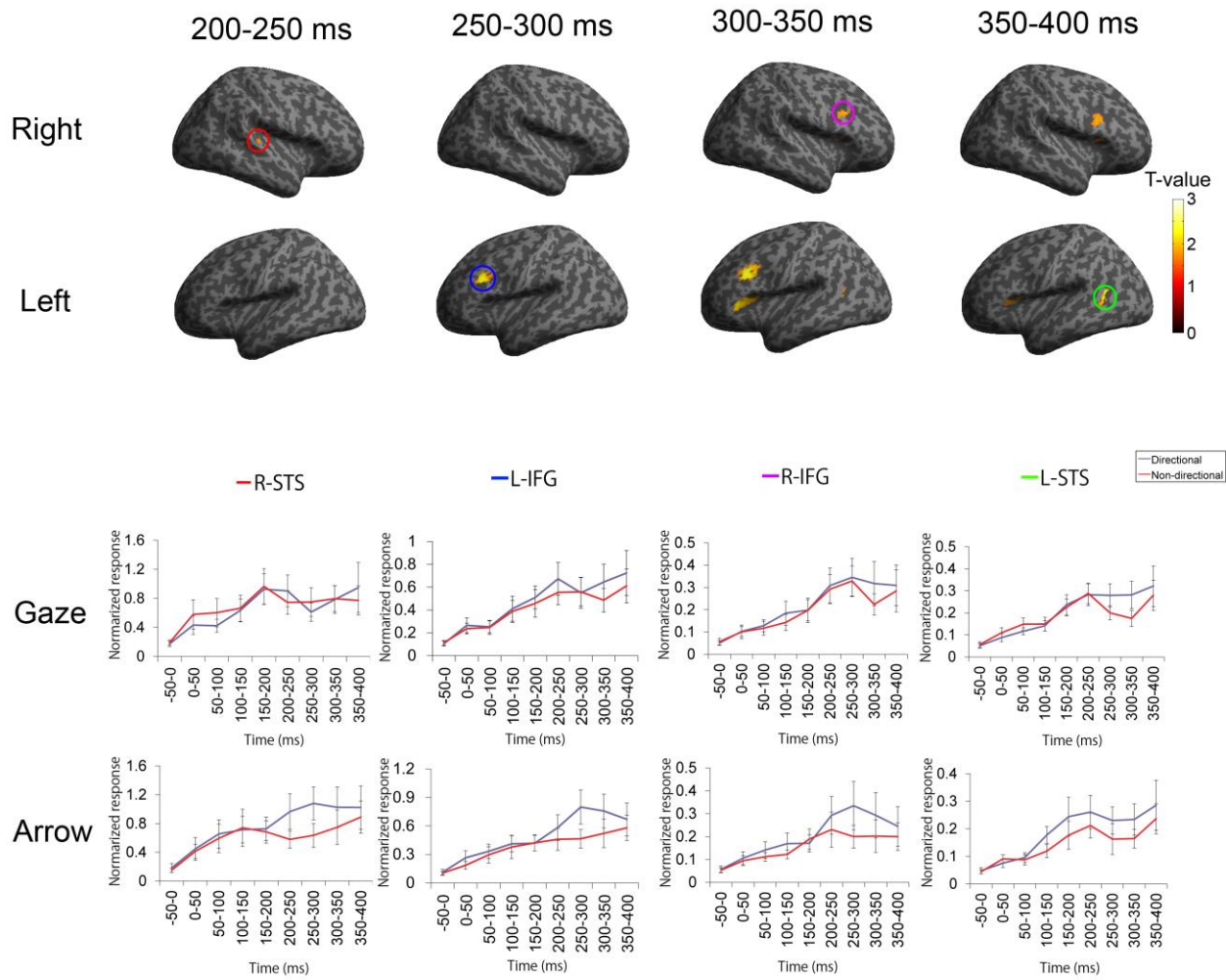
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850 **Figure 3**

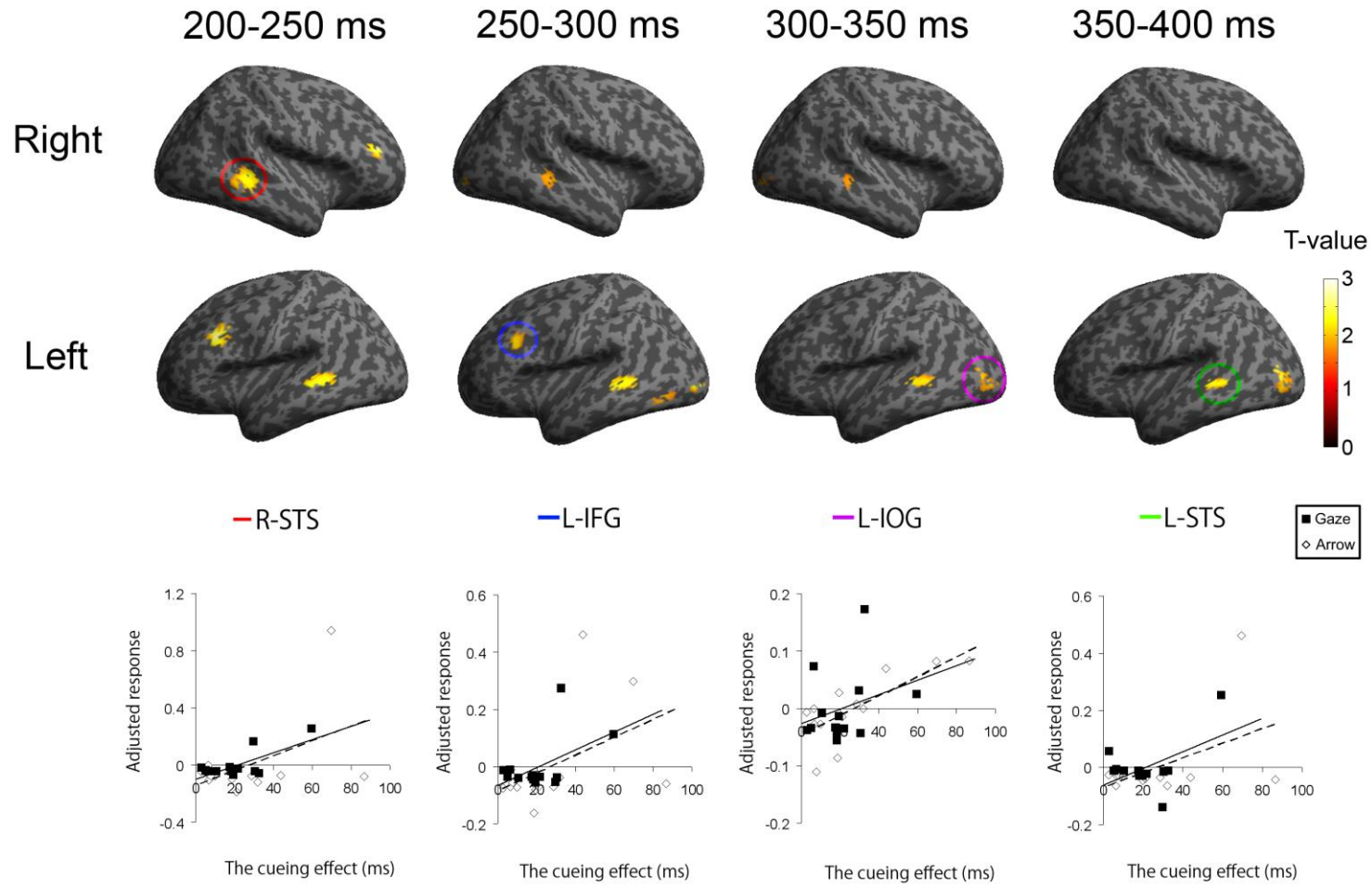


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852 **Figure 4**



854 **Figure 5**

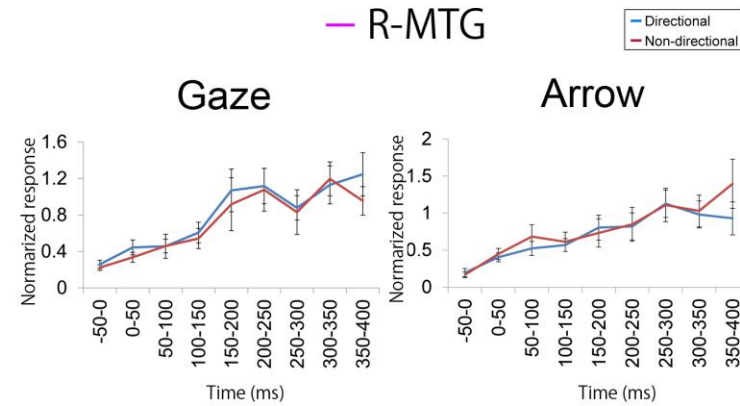
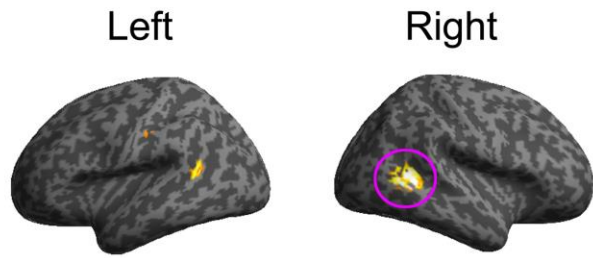


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857 Figure 6

Gaze - Arrow (Diretional - Non-directional)



Arrow - Gaze (Directional - Non-directional)

