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Author(s)	Takehiro, Shin-ichi
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# Penetration of Alfvén waves into an upper stably-stratified layer excited by magnetoconvection in rotating spherical shells

Shin-ichi Takehiro

Research Institute for Mathematical Sciences, Kyoto University, Sakyo-ku, Kyoto 606-8502, Japan

## Abstract

The penetration of magneto-hydrodynamic (MHD) disturbances into an upper strongly stratified stable layer excited by magnetoconvection in rotating spherical shells is investigated. An analytic expression for the penetration distance is derived by considering perturbations of a stably stratified rotating MHD Boussinesq fluid in a semi-infinite region, with the rotation axis and a uniform magnetic field tilted relative to the gravity axis. Solutions for the response to MHD disturbances applied at the bottom boundary show that the disturbances propagate as Alfvén waves in the stable layer. Their propagation distance is proportional to the Alfvén wave speed and inversely proportion to both the arithmetic average of viscosity and magnetic diffusion and the total wavenumber of the disturbance. The derived expression for penetration distance is in good agreement with the numerical results for neutral convection in a rotating spherical shell with an upper stably stratified layer embedded in an axially uniform basic magnetic field.

*Keywords:* penetration distance, planetary fluid core, dynamo, geomagnetic secular variation

### 1. Introduction

Recent seismological observations have indicated the existence of a stably stratified
 layer below the core-mantle boundary (CMB) of the Earth (e.g. Lay and Young, 1990;

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Email address: takepiro@gfd-dennou.org (Shin-ichi Takehiro)

Helffrich and Kaneshima, 2004; Tanaka, 2007; Helffrich and Kaneshima, 2010). It
has also been argued that there exists a stable layer in the upper part of the fluid core
of Mercury (e.g. Christensen, 2006). It was hypothesized that the composition of the
stable stratification may originate from the accumulation of light elements released
from the inner core and/or through barodiffusion below CMB (e.g. Gubbins and Davies,
2013; Helffrich and Kaneshima, 2013). Thermal effects have also been discussed based
on the recently revised value of thermal conductivity under core conditions (e.g. Pozzo
et al., 2012).

It is considered that in the unstable layer below the stably stratified layer, columnar 12 convection elongating in the direction of the rotation axis develops due to the domi-13 nant effect of the Coriolis force. It is this that generates the intrinsic magnetic field 14 of the planet through the dynamo process. However, since convective motion is sup-15 pressed in the stable layer, the associated generation of a magnetic field is expected to 16 be weaker. The effects of the existence of the upper stable layer on the generated mag-17 netic field have been investigated numerically using magneto-hydrodynamics (MHD) 18 rotating spherical shell models; it was shown that the stable layer acts as a low-pass 19 filter on the magnetic field, allowing small-scale magnetic field components to diffuse 20 efficiently (e.g. Christensen, 2006; Christensen and Wicht, 2008; Nakagawa, 2011). In 21 other models, however, filtering of the magnetic field does not occur due to strong zonal 22 flows generated in the stable layer (Stanley and Mohammadi, 2008). 23

The extent of the penetration of the MHD disturbances excited by deep convective motion is one of the key issues in the MHD of stably stratified layers related to the generation of an intrinsic magnetic field through the dynamo process. Furthermore, it also plays a part in the formation of geomagnetic secular variations. Takehiro and Lister (2001) derived a theoretical expression for the penetration distance of columnar convection into the upper stable layer in non-magnetic cases  $\delta_{NoMag}$ :

$$\delta_{NoMag} = \frac{2\Omega}{N} \cdot \frac{1}{K_H},\tag{1}$$

where  $\Omega$  is the angular velocity of the planet, *N* is the Brunt-Väisälä frequency of the stable layer, and  $K_H$  is the horizontal wavenumber of the disturbance. The scaling of the penetration depth in magnetic cases, however, is not yet known. Therefore,

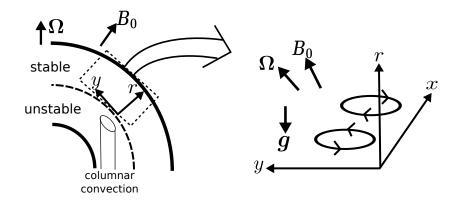


Figure 1: Schematic of the system considered.

the present paper derives a theoretical expression for the penetration depth of MHD

<sup>34</sup> disturbances in the outer stable layer induced by convective motions in the layer below,

<sup>35</sup> in the presence of the magnetic field.

# 36 2. Properties of MHD waves in a rotating strongly stratified layer

Let us consider a stably stratified rotating MHD Boussinesq fluid in the semiinfinite region  $r \ge r_b$  as shown in Fig. 1, where  $r = r_b$  is the boundary between the unstable and stable layers. The rotation axis is tilted relative to gravity (*r*-axis), considering the situation at mid and high latitudes of a spherical shell. In addition, a uniform magnetic field, which is also tilted relative to gravity, is imposed. The linearized equations about a state of rest are the following:

$$\frac{\partial \boldsymbol{u}}{\partial t} + 2\boldsymbol{\Omega} \times \boldsymbol{u} = -\frac{1}{\rho_0} \nabla p + \alpha g T \boldsymbol{e}_r + \frac{1}{\rho_0 \mu} (\boldsymbol{B}_0 \cdot \nabla) \boldsymbol{b} + \nu \nabla^2 \boldsymbol{u}, \qquad (2)$$

$$\frac{\partial \boldsymbol{b}}{\partial t} = (\boldsymbol{B}_0 \cdot \nabla) \boldsymbol{u} + \lambda \nabla^2 \boldsymbol{b}, \tag{3}$$

$$\frac{\partial T}{\partial t} + \Gamma u_r = \kappa \nabla^2 T,\tag{4}$$

$$\nabla \cdot \boldsymbol{u} = 0, \quad \nabla \cdot \boldsymbol{b} = 0. \tag{5}$$

Here,  $\boldsymbol{u}$  is the velocity,  $u_r$  is the vertical component of velocity, p is the pressure distur-

<sup>44</sup> bance, T is the (potential) temperature,  $\Omega$  is the rotation of the system,  $\alpha$  is the thermal

expansion coefficient, g is the acceleration due to gravity,  $e_r$  is a unit vector in the

<sup>46</sup> vertical (*r*) direction,  $\mu$  is the permeability,  $\rho_0$  is the density,  $B_0$  is the imposed basic <sup>47</sup> magnetic field, **b** is the magnetic field disturbance, *ν* is the kinematic viscosity,  $\lambda$  is the <sup>48</sup> magnetic diffusion coefficient,  $\kappa$  is the thermal diffusivity, and  $\Gamma$  is the basic vertical <sup>49</sup> temperature gradient.

- From the bottom boundary, i.e.  $r = r_b$ , a disturbance in the form of  $e^{ikx+ily-i\omega t}$ is introduced, where *k*, *l* and  $\omega$  are wavenumbers in the *x* and *y* directions and the frequency of the disturbance, respectively.
- By operating  $e_r \cdot \nabla \times$  and  $e_r \cdot \nabla \times \nabla \times$  on (2), we can remove the pressure disturbance. Furthermore, operating  $e_r \cdot \nabla \times \nabla \times$  on (3) yields

$$\frac{\partial \zeta_r}{\partial t} - (2\mathbf{\Omega} \cdot \nabla)u_r = \frac{1}{\rho_0} (\mathbf{B}_0 \cdot \nabla) j_r + \nu \nabla^2 \zeta_r, \tag{6}$$

$$\frac{\partial}{\partial t} \nabla^2 u_r + (2\mathbf{\Omega} \cdot \nabla)\zeta_r$$

$$1 \quad z = -2 \quad z = -$$

$$= \frac{1}{\rho_0 \mu} (\boldsymbol{B}_0 \cdot \nabla) \nabla^2 b_r + \alpha g \nabla_H^2 T + \nu \nabla^2 \nabla^2 u_r, \tag{7}$$

$$\frac{\partial b_r}{\partial t} = (\boldsymbol{B}_0 \cdot \nabla) u_r + \lambda \nabla^2 b_r, \tag{8}$$

$$\frac{\partial j_r}{\partial t} = \frac{1}{\mu} (\boldsymbol{B}_0 \cdot \nabla) \zeta_r + \lambda \nabla^2 j_r, \tag{9}$$

where  $\zeta_r = \mathbf{e}_r \cdot (\nabla \times \mathbf{u})$  is the vertical component of vorticity,  $b_r = \mathbf{e}_r \cdot \mathbf{b}$  is the vertical component of the magnetic field,  $j_r = \mathbf{e}_r \cdot (\nabla \times \mathbf{b})/\mu$  is the vertical component of electric current, and  $\nabla_H^2 = \nabla^2 - \partial_r \partial_r$  is the horizontal Laplacian operator.

First, let us investigate the MHD wave properties by neglecting viscosity and diffusion. Assuming that the variables are proportional to  $e^{ikx+ily-i\omega t} \cdot e^{imr}$ , we can obtain the following dispersion relation from Eqs. (4), (6), (7), (8), and (9):

$$\omega^{4} - \left[\frac{4(\mathbf{\Omega} \cdot \mathbf{k})^{2}}{K^{2}} + \frac{N^{2}K_{H}^{2}}{K^{2}} + 2(V_{A} \cdot \mathbf{k})^{2}\right]\omega^{2} + (V_{A} \cdot \mathbf{k})^{2}\left[(V_{A} \cdot \mathbf{k})^{2} + \frac{N^{2}K_{H}^{2}}{K^{2}}\right] = 0, (10)$$

where  $\mathbf{k} = (k, l, m)$  is the wavenumber vector,  $K^2 = k^2 + l^2 + m^2$  is the square of the total wavenumber,  $K_H^2 = k^2 + l^2$  is the square of the horizontal wavenumber,  $N = \sqrt{\alpha g \Gamma}$ is the Brunt-Väisälä frequency, and  $V_A = \mathbf{B}_0 / \sqrt{\rho \mu}$  is the Alfvén wave speed. Solving Eq. (10) gives

$$\omega^2 = \frac{B \pm \sqrt{B^2 - 4C}}{2}$$

$$B = \frac{4(\mathbf{\Omega} \cdot \mathbf{k})^2}{K^2} + \frac{N^2 K_H^2}{K^2} + 2(V_A \cdot \mathbf{k})^2,$$
  
$$C = (V_A \cdot \mathbf{k})^2 \left[ (V_A \cdot \mathbf{k})^2 + \frac{N^2 K_H^2}{K^2} \right].$$

- Further assuming that the stable stratification is sufficiently strong such that  $(V_A \cdot k)^2 \ll$
- <sup>66</sup>  $N^2 K_H^2 / K^2$ , which gives  $B \gg C$ , then,

$$\omega^{2} = \begin{cases} \frac{4(\mathbf{\Omega} \cdot \mathbf{k})^{2}}{K^{2}} + \frac{N^{2}K_{H}^{2}}{K^{2}} + 2(\mathbf{V}_{A} \cdot \mathbf{k})^{2}, \\ \frac{(\mathbf{V}_{A} \cdot \mathbf{k})^{2} \left[ (\mathbf{V}_{A} \cdot \mathbf{k})^{2} + \frac{N^{2}K_{H}^{2}}{K^{2}} \right]}{\frac{4(\mathbf{\Omega} \cdot \mathbf{k})^{2}}{K^{2}} + \frac{N^{2}K_{H}^{2}}{K^{2}} + 2(\mathbf{V}_{A} \cdot \mathbf{k})^{2}}. \end{cases}$$
(11)

- The first mode is the inertia gravity waves modified by the imposed magnetic field (the
  fast mode) and the second is the slow waves (the slow mode).
- The dispersion relation of the slow waves can be simplified when we assume  $4(\mathbf{\Omega} \cdot \mathbf{k})^2/K^2 \ll N^2 K_H^2/K^2$ :

$$\omega_{slow}^2 \sim (V_A \cdot \boldsymbol{k})^2, \qquad \omega_{slow} \sim \pm V_A \cdot \boldsymbol{k}. \tag{12}$$

<sup>71</sup> This indicates that the slow mode is the Alfvén waves (Alfvén, 1942).

<sup>72</sup> When the frequency of the disturbance given at the bottom boundary  $\omega$  is suffi-<sup>73</sup> ciently small, the fast modes cannot propagate into the stable layer (evanescent). In <sup>74</sup> this case their penetration distance can be estimated as

$$\frac{4(\mathbf{\Omega}\cdot \mathbf{k})^2}{K^2} + \frac{N^2 K_H^2}{K^2} \sim 0,$$
(13)

which leads to the expression for the non-magnetic case derived by Takehiro and Lister
(2001) (Eq. (1)).

On the other hand, the slow modes can propagate into the stable layer in the direction of the imposed magnetic field (wavy) however small the frequency of the disturbance is. These slow modes are the Alfvén waves whose fluid motion is restricted to the horizontal direction due to the strong stratification. These waves can be expressed by Eq. (6) with  $u_r \rightarrow 0$  and Eq. (9).

#### 82 **3.** Penetration distance of the Alfvén waves

The penetration distance of the Alfvén waves can be estimated by including the effects of viscosity and diffusion. The governing equations for the Alfvén waves are the following:

$$\frac{\partial \zeta_r}{\partial t} = \frac{1}{\rho_0} (\boldsymbol{B}_0 \cdot \nabla) j_r + \nu \nabla^2 \zeta_r, \qquad (14)$$

$$\frac{\partial j_r}{\partial t} = \frac{1}{\mu} (\boldsymbol{B}_0 \cdot \nabla) \zeta_r + \lambda \nabla^2 j_r.$$
(15)

<sup>86</sup> By taking the  $\xi$  coordinate in the direction of the imposed uniform magnetic field, the <sup>87</sup> governing equations become

$$\frac{\partial \zeta_r}{\partial t} = \frac{B_0}{\rho_0} \frac{\partial j_r}{\partial \xi} + \nu \nabla^2 \zeta_r, \tag{16}$$

$$\frac{\partial j_r}{\partial t} = \frac{B_0}{\mu} \frac{\partial \zeta_r}{\partial \xi} + \lambda \nabla^2 j_r, \tag{17}$$

where  $B_0 = |B_0|$ . A Fourier transformation with respect to the coordinates perpendicular to  $\xi$ ,

$$\frac{\partial \zeta_r}{\partial t} = \frac{B_0}{\rho_0} \frac{\partial j_r}{\partial \xi} + \nu \left(\frac{\partial^2}{\partial \xi^2} - \tilde{K}_H^2\right) \zeta_r,\tag{18}$$

$$\frac{\partial j_r}{\partial t} = \frac{B_0}{\mu} \frac{\partial \zeta_r}{\partial \xi} + \lambda \left( \frac{\partial^2}{\partial \xi^2} - \tilde{K}_H^2 \right) j_r, \tag{19}$$

<sup>90</sup> where  $\tilde{K}_H$  is the square of the total wavenumber in the plane perpendicular to  $\xi$ .

We solve these equations by assuming that the variables are proportional to  $e^{i\tilde{m}\xi-i\omega t}$ .

<sup>92</sup> The dispersion relation becomes

$$\tilde{m}^{2} + \tilde{K}_{H}^{2} = \frac{1}{2\lambda\nu} \left\{ -[-i\omega(\nu + \lambda) + V_{A}^{2}] \\ \pm \sqrt{[-i\omega(\nu + \lambda) + V_{A}^{2}]^{2} + 4\nu\lambda(\omega^{2} + \tilde{K}_{H}^{2}V_{A}^{2})} \right\},$$
(20)

<sup>93</sup> where  $V_A = B_0 / \sqrt{\rho_0 \mu}$  is the Alfvén wave speed. When  $\lambda$  and  $\nu$  are sufficiently small,

<sup>94</sup> the approximate dispersion relation is

$$\tilde{m}^2 + \tilde{K}_H^2 \sim -\frac{-i\omega(\nu+\lambda) + V_A^2}{\lambda\nu}, \ \frac{\omega^2 + \tilde{K}_H^2 V_A^2}{-i\omega(\nu+\lambda) + V_A^2},$$

95 The first mode is

$$\tilde{m} \sim \pm i \frac{V_A}{\sqrt{\lambda \nu}}. \label{eq:mass_state}$$

- <sup>96</sup> This is the boundary mode which decays rapidly as  $\xi$  increases since  $\lambda$  and  $\nu$  are small.
- 97 On the other hand, the dispersion relation of the second mode is

$$\tilde{m} \sim \pm \left[ \frac{\omega}{V_A} + i \frac{\nu + \lambda}{2} \left( \frac{K_H^2}{V_A} + \frac{\omega^2}{V_A^3} \right) \right]$$

<sup>98</sup> which can be identified as the Alfvén waves from the real part of the wavenumber in <sup>99</sup> the  $\xi$  direction,  $\tilde{m}$ . The imaginary part of  $\tilde{m}$  expresses the extent of the attenuation due <sup>100</sup> to the effects of viscosity and diffusion. Consequently, the penetration distance of the <sup>101</sup> Alfvén waves  $\delta_A = 1/\text{Im}[\tilde{m}]$  can be written as

$$\delta_A = \frac{2}{\nu + \lambda} \left( \frac{\tilde{K}_H^2}{V_A} + \frac{\omega^2}{V_A^3} \right)^{-1} = \frac{2}{\nu + \lambda} \frac{V_A}{\tilde{K}^2}.$$
 (21)

Here,  $\tilde{K}^2 = \tilde{K}_H^2 + \tilde{m}_0^2$  is the total wavenumber of the waves and  $\tilde{m}_0 = \omega/V_A$  is the wavenumber of the waves in the  $\xi$  direction. Eq. (21) means attenuation of Alfvén waves by viscosity and magnetic diffusion. Note that this expression includes neither the Brunt-Väisälä frequency of the stable layer nor the angular velocity of planetary rotation in contrast to Eq. (1) for non-magnetic cases. When we non-dimensionalize this penetration distance with the layer thickness *d*,

$$\frac{\delta_A}{d} = \frac{S}{\tilde{K}^2 d^2}, \quad S = \frac{2V_A d}{\nu + \lambda},\tag{22}$$

where *S* is the Lundquist number (Lundquist, 1952; Schaeffer et al., 2012). The Lundquist number is a measure for the extent of penetration of the Alfven waves, which gives the maximum wavenumber of the waves penetrating through the layer. For example, the value of the Lundquist number in the Earth's outer core is estimated as  $O(10^4-10^5)$ .

#### **4. Numerical calculations**

We now compare the derived expression for the penetration distance of the Alfvén waves with the neutral MHD convection structures formed in a rotating spherical shell calculated numerically. We consider MHD Boussinesq fluid in a spherical shell with inner and outer radii of  $r_i$  and  $r_o$ , respectively, rotating with a constant angular velocity  $\Omega$ . A self-gravitational force  $g = -\gamma r$  acts on the fluid where r is the position vector

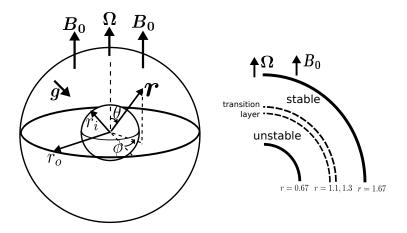


Figure 2: Schematic of the spherical shell system considered.

with respect to the center of the shell. The temperature distribution of the basic state  $T_{0}(r)$  is the same as that used by Takehiro and Lister (2001). The inner part of the shell is unstably stratified due to uniform internal heating, while the outer part is stably stratified with a constant temperature gradient (Fig. 2).

$$\frac{dT_0}{dr} = -\frac{1}{2}(\beta r + \Gamma_0) \left[ 1 - \tanh\left(\frac{r - r_b}{a}\right) \right] + \Gamma_0, \tag{23}$$

where  $\beta$  is a parameter expressing the temperature gradient in the lower unstable layer, 123 which is given by  $\beta = Q_0/(3\rho_0 C_p \kappa)$ , in which  $Q_0$  is the uniform internal heating and 124  $C_p$  is the specific heat of the Boussinesq fluid.  $\Gamma_0$  is the temperature gradient in the 125 upper stable layer.  $r = r_b$  is the boundary between the unstable and stable layers and a 126 is the thickness of the transition layer. The length scale is chosen to be the thickness of 127 the shell  $d = r_o - r_i$ ; the time scale is the viscous diffusion time  $d^2/\nu$ , the velocity scale 128 is v/d, the temperature scale is  $\beta d^2$ , and the scale of the magnetic field is the magnitude 129 of the imposed basic magnetic field  $B_0$ . The linearized equations for the disturbances 130 become 131

$$\nabla \cdot \boldsymbol{u} = 0, \quad \nabla \cdot \boldsymbol{b} = 0, \tag{24}$$

$$\frac{\partial \boldsymbol{u}}{\partial t} + \tau \boldsymbol{k} \times \boldsymbol{u} = -\nabla p + (Ra/Pr)T\boldsymbol{r} + \nabla^2 \boldsymbol{u}$$

$$+\Lambda\tau Pm^{-1}[(\nabla \times \boldsymbol{B}_0) \times \boldsymbol{b} + (\nabla \times \boldsymbol{b}) \times \boldsymbol{B}_0]$$
(25)

$$\frac{\partial T}{\partial t} + u_r \frac{dT_0}{dr} = P r^{-1} \nabla^2 T, \qquad (26)$$

$$\frac{\partial \boldsymbol{b}}{\partial t} = \nabla \times (\boldsymbol{u} \times \boldsymbol{B}_0) + P m^{-1} \nabla^2 \boldsymbol{b}.$$
(27)

The non-dimensional parameters appearing in the equations are the square root of the
Taylor number, the Rayleigh number, the Prandtl number, the magnetic Prandtl number,
and the Elsasser number, which are defined as

$$\tau = \sqrt{Ta} = \frac{2\Omega d^2}{\nu}, \quad Ra = \frac{\alpha\gamma\beta d^6}{\kappa\nu},$$
$$Pr = \frac{\nu}{\kappa}, \quad Pm = \frac{\nu}{\lambda}, \quad \Lambda = \frac{B_0^2}{2\Omega\rho_0\mu\lambda}.$$
(28)

The Alfvén wave speed  $V_A$  is expressed as  $V_A = \sqrt{\Lambda \tau / Pm}$  in this system. The important non-dimensional parameter for the penetration of the Alfvén waves, Lundquist number *S*, is related to these non-dimensional parameters as,

$$S = \frac{2\sqrt{Pm \cdot \Lambda \cdot \tau}}{1 + Pm}.$$
(29)

A basic uniform magnetic field parallel to the rotation axis is imposed:

$$\boldsymbol{B}_0 = \boldsymbol{e}_r \cos \theta - \boldsymbol{e}_\theta \sin \theta, \tag{30}$$

where  $\theta$  is the colatitude and  $e_r$  and  $e_{\theta}$  are the unit vectors in the radial and colatitudinal directions, respectively.

Fixed uniform temperature and stress-free conditions are applied to the inner and outer spheres. The magnetic field disturbance is connected with an external potential field:

$$u_r = \frac{\partial}{\partial r} \left( \frac{u_\theta}{r} \right) = \frac{\partial}{\partial r} \left( \frac{u_\phi}{r} \right) = T = 0, \quad \text{at} \quad r = r_i, r_o, \tag{31}$$

$$\boldsymbol{b} = \boldsymbol{b}_e \quad \text{at} \quad \boldsymbol{r} = r_i, r_o, \tag{32}$$

where  $(u_r, u_{\theta}, u_{\phi})$  are the radial, colatitudinal, and azimuthal components of velocity, respectively, and  $\boldsymbol{b}_e = \nabla^2 W$  is the external potential field.

We intorduce toroidal and poloidal potentials to express solenoidal velocity and magnetic fields (e.g. Glatzmaier, 1984). The governing equations and boundary conditions for these potentials and temperature are expanded with spherical harmonic functions and Chebyshev polynomials in the horizontal and radial directions, respectively. Furthermore, by assuming that the variables are proportional to  $exp(\sigma t)$ , the system becomes a linear eigenvalue problem for each azimuthal wavenumber with respect to the eigenvalue  $\sigma$  (growth rate). For a given set of parameters, the growth rate  $\sigma$  can be obtained by solving the linear eigenvalue problem. Through an iterative procedure with respect to *Ra*, a neutral solution where the real part of  $\sigma$  vanishes is sought.

For the numerical calculations, the total wavenumber minus the azimuthal wavenumber of the spherical harmonics is truncated at 30, while the Chebyshev polynomials are calculated up to the 32nd order. Moreover, in order to reduce computational time and resources, the poloidal velocity field, toroidal magnetic field, and temperature field are assumed to be equatorially symmetric, while the toroidal velocity field and poloidal magnetic field are assumed to be equatorially antisymmetric.

We fix the values of the radius ratio to 0.4 ( $r_i = 0.6667, r_i = 1.6667$ ). We also consider the case with  $\tau = 10^5$ , Pr = 1,  $r_b = 1.2$ , and a = 0.05. The value of the temperature gradient  $\Gamma_0$  is set to  $10^3$ . The magnetic Prandtl number Pm and the Elsasser number  $\Lambda$  are varied by setting Pm = 0.2, 1, 5 and  $\Lambda = 5 \times 10^{-2}, 0.1, 0.2, 0.5, 1, 2, 5$ . The azimuthal wavenumber is varied from 16 to 25.

Figure 3 compares the meridional structures of the azimuthal velocity field, the 166 radial components of vorticity, and the electric currents of the obtained neutral convec-167 tion modes for various values of the Elsasser number  $\Lambda$ . When the basic magnetic field 168 is weak ( $\Lambda = 0.2, S = 141.4$ ), all the variables are trapped below the stable layer. This 169 is consistent with the penetration distances for non-magnetic cases Eq. (1) proposed 170 by Takehiro and Lister (2001), which was  $O(10^{-2})$ . However, it is found that the MHD 171 disturbances gradually penetrate the stable layer as  $\Lambda$ , and S, is increased and the basic 172 magnetic field is strengthened. Note that the control parameter for penetration of the 173 Alfvén waves is not  $\Lambda$  but S. In the case of Figure 3, when  $\Lambda$  is increased, the Alfvén 174 wave speed becomes larger but the diffusion parameters are fixed, then the Lundquist 175 number S is increased, resulting deep penetration of the MHD disturbances. Also note 176 that even the values of the Lundquist number are the same, the structures of MHD 177 disturbances are not necessarily the same, since the wavenumber  $\tilde{m} \sim \omega/V_A$  may be 178 different. 179

<sup>180</sup> Figure 4 compares the structures of the azimuthal velocity field, the radial com-

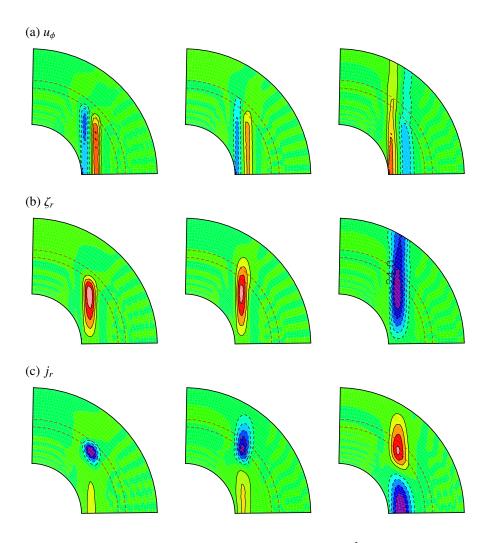


Figure 3: Comparison of the meridional structures of neutral convection.  $\tau = 10^5$ , Pm = 1, and the azimuthal wavenumber is 22. From left to right,  $\Lambda = 0.2, 1, 5$  (S = 141.4, 316.2, 707.1), respectively. (a) the azimuthal velocity,  $u_{\phi}$ , (b) radial vorticity  $\zeta_r$ , (c) radial components of the electric current  $j_r$ . The red broken lines indicate the transition layer (r = 1.15 and 1.25).

ponent of vorticity, and the electric current for the obtained neutral convection modes 181 for various values of the Elsasser number  $\Lambda$ . The comparison is made on the cylin-182 drical surface at s = 0.9, where s is the cylinder's radial coordinate. When the basic 183 magnetic field is weak ( $\Lambda = 0.2, S = 141.4$ ), the wavefronts are tilted from the axial 184 direction (the direction of the imposed basic magnetic field) although the amplitudes 185 of the variables are small in the stable layer compared with those in the inner unstable 186 layer, resulting in a large  $\tilde{m}_0$ . In contrast, when the basic magnetic field is strengthened, 187 the wavefronts of the MHD disturbances become parallel to the axial direction, mean-188 ing that  $\tilde{m}_0$  decreases. This tendency can be understood by considering the dispersion 189 relation of the Alfvén waves,  $\omega = V_A \tilde{m}_0$ . When the basic magnetic field is strength-190 ened while the frequency of the convective motion in the lower layer remains relatively 191 constant, the Alfvén speed  $V_A$  increases and  $\tilde{m}_0$  decreases as a result. 192

In order to compare the numerical results with the theoretical estimates obtained previously, the penetration distance and wavenumber in the axial direction of the neutral modes are evaluated as follows. Taking the *z* coordinate in the axial direction, we consider a variable f(z) that can be expressed as

$$f(z) = Ae^{imz-z/\delta} = |A|e^{i(mz+\alpha)-z/\delta},$$

where *m* is the wavenumber in the *z* direction,  $\delta$  is the characteristic penetration distance, and  $\alpha$  is the phase at z = 0. By sampling the data at two observational points  $z = z_1$  and  $z_2$ , we obtain

$$\delta = -\frac{z_1 - z_2}{\log(|f(z_1)|/|f(z_2)|)}, \quad m = \frac{\tan^{-1}(s_1) - \tan^{-1}(s_2)}{z_1 - z_2}.$$
 (33)

Here,  $s_i = \tan(mz_i + \alpha)$ . For comparison of numerical results and theoretical estima-200 tions, we choose the observational points so that  $z_1 = z_b + 0.1$  and  $z_2 = z_b + 0.2$  on 201 the cylindrical surface where the amplitude of  $\zeta_r$  becomes maximum, where  $z = z_b$ 202 is the location of the bottom of the stable layer  $r = r_b$ . Figures 5 and 6 compare the 203 values of  $\delta$  and *m* obtained numerically with those estimated theoretically. We eval-204 uate the square of the total wave number perpendicular to the axial direction  $\tilde{K}_H$  as 205  $\tilde{K}_{H}^{2} = (m_{\phi}/s_{obs})^{2} + (\pi/0.15)^{2}$ . Here,  $m_{\phi}$  is the azimuthal wavenumber and  $s_{obs}$  is the 206 cylindrical radial coordinate of the observational points.  $\pi/0.15$  is the value of the 207

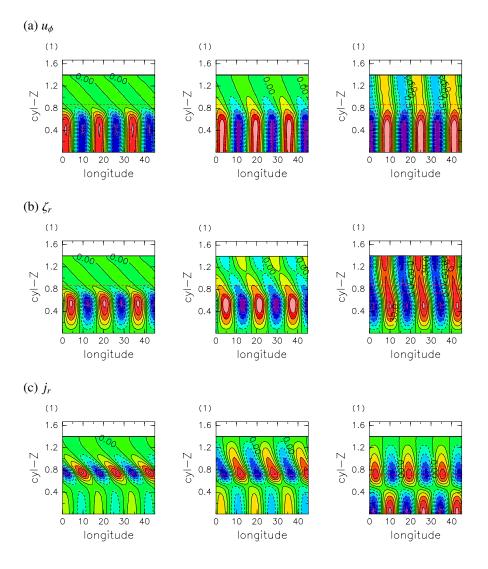


Figure 4: Comparison of the cylindrical structures of azimuthal velocity components at the surface of a cylinder of radius s = 0.9.  $\tau = 10^5$ , Pm = 1, and the azimuthal wavenumber is 22. From left to right,  $\Lambda = 0.2, 1, 5$  (S = 141.4, 316.2, 707.1), respectively. (a) the azimuthal velocity,  $u_{\phi}$ , (b) radial vorticity  $\zeta_r$ , (c) radial components of the electric current  $j_r$ . The red broken lines indicate the transition layer ( $z = \pm 0.72$  and  $\pm 0.87$ ).

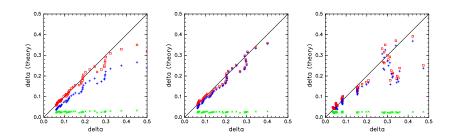


Figure 5: Comparison of propagation distance.  $\tau = 10^5$ . From left to right, Pm = 0.2, 1 and 5, respectively. The abscissa is the propagation distance of the Alfvén waves measured from the distribution of  $\zeta_r$ , which is obtained through numerical calculations of neutral thermal convection. The ordinate is the theoretical estimate of the propagation distance, which is indicated by blue crosses (21). Red squares denote the propagation distance obtained by solving (20) without the approximation. Green crosses indicate the penetration distance without a magnetic field derived by Takehiro and Lister (2001) (Eq. 1).

wavenumber in the cylinder's radial direction, which is roughly measured from the meridional cross-sections.

In the case with Pm = 1 (Fig. 5 center), the blue crosses are located along the 210 line of y = x, indicating good agreement between the theoretical estimations and the 211 numerical results for the penetration distance. In contrast, the distribution of the green 212 crosses shows that the penetration distance in the non-magnetic case (1) cannot explain 213 the numerical results. In the case with Pm = 0.2 (Fig.5 left), the theoretical penetration 214 distance is in good agreement with, albeit a little smaller than, the numerical results. In 215 the case with Pm = 5 (Fig.5 right), the theoretical penetration distance again matches 216 the numerical results when  $\delta \leq 0.2$ ; however, when  $\delta \geq 0.3$ , the agreement is to a lesser 217 extent. 218

The center panel of Fig. 6 compares the theoretically estimated and numerical 219 values of the wavenumber m for the case of Pm = 1. The blue crosses are located 220 along the line of y = x, indicating good agreement between the theoretical estimations 221 and the numerical results for the axial wavenumber. In the case with Pm = 0.2 (Fig. 6 222 left), the results for the theoretical axial wavenumber are in good agreement, although 223 the theoretical values are systematically slightly smaller than the numerical values. In 224 the case with Pm = 5 (Fig. 6 right), the theoretical axial wavenumber distance is in 225 good agreement with the numerical values except when  $m \ge 15$ . 226

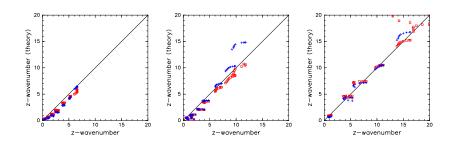


Figure 6: Comparison of the axial wavenumbers.  $\tau = 10^5$ . From left to right, Pm = 0.2, 1 and 5, respectively. The abscissa is the wavenumber of the Alfvén waves measured from the distribution of  $u_{\phi}$ , which is obtained through numerical calculations of neutral thermal convection. The ordinate is the theoretical estimate of the axial wavenumber. Blue crosses indicate the theoretical estimate using  $\omega/V_A$ . Red squares denote the propagation distance obtained by solving (20) without the approximation.

## 227 5. Concluding remarks

We investigated the influence of deep convection on the MHD fluid motion in the 228 upper stably stratified layer considering the effects of the magnetic field. We found that 229 the Alfvén waves are able to propagate into the stable layer however strong the strat-230 ification is. We proposed an analytical expression for the penetration distance of the 231 Alfvén waves, which is proportional to the Alfvén wave speed and inversely propor-232 tional to both the arithmetic average of viscosity and magnetic diffusion and the total 233 wavenumber of the waves. The neutral modes of MHD thermal convection in a rotating 234 spherical shell with an upper stably stratified layer and the axially uniform magnetic 235 field were reproduced numerically. It was observed that the MHD disturbances trapped 236 below the stable layer gradually penetrate into the stable layer as the imposed magnetic 237 field is strengthened. The penetration distance and axial wavenumber of the numerical 238 solutions are in good agreement with the theoretical analytic expressions proposed in 239 this study. 240

Note that the Alfvén waves propagate in the direction of the basic magnetic field. When the basic magnetic field in the stable layer is in the horizontal direction (a toroidal field in a spherical shell geometry), the Alfvén waves cannot propagate through the stable layer. Therefore, the horizontal (toroidal) basic magnetic field inhibits the penetration of MHD disturbances into the stable layer. On the other hand, when the basic magnetic field is in the vertical direction (a poloidal field in a spherical shell geometry),
the Alfvén waves are able to penetrate the stable layer efficiently.

Also note that the rotating spherical shell model discussed in section 4 is a special case where the basic magnetic field is imposed in the direction of the rotating axis in order to illustrate the penetration of Alfvén waves clearly. In general cases where the basic magnetic field does not align exactly with the rotation axis, the theoretical results derived in this paper suggests that penetration occurs in the direction of the basic magnetic field rather than the rotating axis.

From a geophysical perspective an interesting variable is the radial component of 254 the magnetic field, which can be observed at a planet's surface. In the simplified plane 255 layer model used for theoretical investigation of wave properties, MHD disturbances in 256 the strongly stratified layer are not expected to have a radial component of the magnetic 257 field, because they are the Alfvén waves with inhibited vertical fluid motion. However, 258 in the stable layer of a spherical shell, the radial component of the magnetic field can 259 be induced through advection of a basic magnetic field in the horizontal direction in 260 the induction terms: 261

$$\frac{\partial b_r}{\partial t} \sim -\frac{u_\phi}{r\sin\theta} \frac{\partial B_{0r}}{\partial \phi} - \frac{u_\theta}{r} \frac{\partial B_{0r}}{\partial \theta}.$$
(34)

The results in the present study suggest that the Alfvén waves may be excited and 262 penetrate the upper stable layer in the numerical calculations of MHD dynamos in 263 rotating spherical shells performed in previous works (e.g. Christensen, 2006; Chris-264 tensen and Wicht, 2008; Nakagawa, 2011). It was found in these studies that the stable 265 layer filters out and weakens the magnetic field generated in the convective lower layer 266 by observing the radial component of the magnetic field. However, the toroidal compo-267 nents of velocity and the magnetic field, which are the main constituents of the Alfvén 268 waves, may not be attenuated by the stable layer. 269

Finally, we discuss the possibility of the penetration of MHD disturbances into the upper stable layer of the fluid cores of the Earth and Mercury. If we assume  $\bar{B}_r \sim 10^{-3}$ T in the stable layer of the Earth's outer core, the Alfvén wave speed becomes  $V_A = \bar{B}_r / \sqrt{\rho \mu} \sim 10^{-2}$  m/s. Then the Lundquist number becomes  $S \sim 10^3$ , when we use the values of the stable layer thickness thickness and the magnetic diffusivity as  $O(10^2)$ km

and  $\eta = 1 \text{m}^2/\text{s}$ , respectively, and the viscosity is neglected. From the condition  $\delta_A/d =$ 275  $S/(K^2d^2) > 1$ , the maximum wavenumber  $K_M$  of the waves penetrating through the 276 stable layer is estimated as  $O(10^{-3})$  m<sup>-1</sup>. On the other hand, considering the westward 277 drift component of the geomagnetic field (e.g. Bullard et al., 1950; Yukutake, 1962; 278 Finlay and Jackson, 2003), the total horizontal wavenumber is assumed to be 10, and 279 the frequency to be  $\omega \sim 10^{-9} \text{ s}^{-1}$ , which makes the total wavenumber  $K \sim 10^{-6} \text{ m}^{-1}$ . 280 This is much smaller than  $K_M$  estimated above (the propagation distance  $\delta_A \sim 10^9$  m, 281 which is much larger than the expected thickness of the stable layer). Therefore, some 282 components of geomagnetic secular variation may be explained by the Alfvén waves 283 propagating through the stable layer excited by the deep convection. 284

In contrast, since observed Mercury's magnetic field is quite weak, the Lundquist 285 number is small compared with the Earth. When we assume the magnetic field strength 286 is about  $O(10^3-10^4)$  nT based on the surface value of 500nT, the Alfvén wave speed 287 is estimated as  $O(10^{-5}-10^{-6})$  m/s. Although the thickness of the stratified layer is 288 uncertain, we assume that it is comparable to the that of the fluid core thickness of 289  $O(10^6)$ m (Christensen and Wicht, 2008). Then the value of the Lundquist number 290 becomes O(1-10), suggesting that only the global MHD disturbances are possible to 291 penetrate through the stratified layer. 292

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