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On Critical Exponents of Matroids and Linear Codes

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Abstract

The critical exponent of a matroid is one of the important parameters in matroid theory which is related to the critical problem (cf. [6]). A representable matroid over $GF(q)$ is corresponding to a linear code over $GF(q)$. In this note, we give a bound on critical exponents of linear codes and give a construction of linear codes which attain the equality of the bound.

1 Preliminaries

Let E be a finite set and $\rho : 2^E \rightarrow \mathbb{Z}^+ \cup \{0\}$ be a function. $M = (E, \rho)$ is called a *matroid* if M has the following properties:

- (R1) If $X \subseteq E$, then $0 \leq \rho(X) \leq |X|$.
- (R2) If $X \subseteq Y \subseteq E$, then $\rho(X) \leq \rho(Y)$.
- (R3) If X and Y are subsets of E , then

$$\rho(X \cup Y) + \rho(X \cap Y) \leq \rho(X) + \rho(Y).$$

We refer the reader to [9] and [11] for the basic definitions in matroid theory.

For a matroid $M = (\rho, E)$, the *characteristic polynomial* $p(M; \lambda)$ of M is defined by

$$p(M; \lambda) = \sum_{X \subseteq E} (-1)^{|X|} \lambda^{\rho(E) - \rho(X)}.$$

Let M be a matroid representable over $GF(q) = \mathbb{F}_q$. It is well known that $p(M; q^k) \geq 0$, for all $k \in \mathbb{Z}^+$. Then the *critical exponent* $c(M; q)$ of M is defined by

$$c(M; q) = \begin{cases} \infty, & \text{if } M \text{ has a loop;} \\ \min\{j \in \mathbb{Z}^+ : p(M; q^j) > 0\}, & \text{otherwise.} \end{cases}$$

Thus if M has no loops, then $p(M; q^k) > 0$ for all $k \geq c(M; q)$. For a matroid M which is representable over \mathbb{F}_q , one of the critical problems is the problem of determining the critical exponent $c(M; q)$ (cf. [6, 1]). However, this is difficult in general.

The *support* and *weight* of each vector $\mathbf{x} = (x_1, x_2, \dots, x_n) \in \mathbb{F}_q^n$ is given by

$$\begin{aligned} \text{supp}(\mathbf{x}) &:= \{i : x_i \neq 0\}; \\ \text{wt}(\mathbf{x}) &:= |\text{supp}(\mathbf{x})|. \end{aligned}$$

Similarly, the *support* and *weight* of each subset $B \subseteq \mathbb{F}_q^n$ are defined as follows:

$$\begin{aligned} \text{Supp}(B) &:= \bigcup_{\mathbf{x} \in B} \text{supp}(\mathbf{x}); \\ \text{wt}(B) &:= |\text{Supp}(B)|. \end{aligned}$$

Let C be an $[n, k]$ code over \mathbb{F}_q , that is, a k -dimensional subspace of the vector space \mathbb{F}_q^n . Let G be a generator matrix of C , that is, a $k \times n$ matrix over \mathbb{F}_q whose rows form a basis for C . Set $E := \{1, 2, \dots, n\}$. For each subset $X \subseteq E$, the *punctured code*, denoted by $C \setminus X$, is the linear code obtained by deleting the coordinate X from each codeword in C . It is easy to check that if we define a function ρ by $\rho(X) = \dim C \setminus (E - X)$, for any $X \subseteq E$, then $M_C = (E, \rho)$ is a matroid, conversely, if M is a representable matroid over \mathbb{F}_q , then there exists a linear code C such that $M = M_C$ (cf. [11, 9]). Thus, for an $[n, k]$ code over \mathbb{F}_q , the *characteristic polynomial* $p(C; \lambda)$ of C is defined by

$$p(C; \lambda) = \sum_{X \subseteq E} (-1)^{|X|} \lambda^{k - \dim C \setminus X},$$

and the *critical exponent* $c(C; q)$ of C is defined by

$$c(C; q) = \begin{cases} \infty, & \text{if } \text{Supp}(C) \neq E; \\ \min\{j \in \mathbb{Z}^+ : p(C; q^j) > 0\}, & \text{otherwise.} \end{cases}$$

For any subset $X \subseteq E$, the *shortened code*, denoted by C/X , is the linear code obtained by deleting the (zero) coordinates X from each codeword $\mathbf{x} \in C$ with $\text{supp}(\mathbf{x}) \cap X = \emptyset$. Crapo and Rota ([4]) prove the following theorem widely known as the *Critical Theorem* (cf. Theorem 2 in [1]).

Lemma 1 (The Critical Theorem) *Let C be an $[n, k]$ code over \mathbb{F}_q . For any $X \subseteq E$ and any $m \in \mathbb{Z}^+$, the number of ordered m -tuples $(\mathbf{v}_1, \dots, \mathbf{v}_m)$ of codewords $\mathbf{v}_1, \dots, \mathbf{v}_m$ in C with $\text{supp}(\mathbf{v}_1) \cup \dots \cup \text{supp}(\mathbf{v}_m) = X$ is $p(C/X; q^m)$.*

From Lemma 1, if there exists at least one set of m codewords $V = \{\mathbf{v}_1, \dots, \mathbf{v}_m\}$ in C with $\text{Supp}(V) = E$, then $p(C; q^m) > 0$ and so $c(C; q) \leq m$. For $0 \leq r \leq k$ and any $X \subseteq E$, let $A_X^{(r)}$ be the number of r -dimensional subcodes D of C with $\text{Supp}(D) = X$. We note that the polynomial

$$W_C^{(r)}(x, y) = \sum_{i=0}^n A_i^{(r)} x^{n-i} y^i$$

is the r -th *support weight enumerator* of C , where $A_i^{(r)} = \sum_{X \in \binom{E}{i}} A_X^{(r)}$ (cf. [5]).

Then we have the following result:

Proposition 2 Let C be an $[n, k]$ code over \mathbb{F}_q having generator matrix G and set $E = \{1, 2, \dots, n\}$. The following are equivalent:

- (1) $c(C; q) = m$.
- (2) $\min\{r : 0 \leq r \leq k, A_E^{(r)} \neq 0\} = m$.
- (3) m is the smallest positive integer such that there exists a $(k - m)$ -dimensional subspace U of \mathbb{F}_q^k which does not contain any of the n column vectors of G .

2 Bounds on Critical Exponents

Let G be a $k \times n$ matrix over \mathbb{F}_q which contains as columns exactly one multiple of each nonzero vector in \mathbb{F}_q^k . Then the $[n = (q^k - 1)/(q - 1), k]$ code C having generator matrix G is a dual Hamming code and C^\perp is a $[n, n - k, 3]$ Hamming code. It is also known that, for any r , $1 \leq r \leq k$,

$$\sum_{X \in \binom{E}{i}} A_X^{(r)} = \begin{cases} \binom{k}{r}_q & i = (q^k - q^{k-r})/(q - 1), \\ 0 & \text{otherwise,} \end{cases}$$

where $\binom{k}{r}_q$ denotes the Gaussian binomial coefficient (cf. [5]). So we have that $i = n$ if and only if $r = k$.

Proposition 3 If C is a dual Hamming $[n, k]$ code over \mathbb{F}_q , then

$$\min\{r : 0 \leq r \leq k, A_E^{(r)} \neq 0\} = k.$$

A *maximum distance separable* (MDS) code over \mathbb{F}_q is an $[n, k]$ code over \mathbb{F}_q whose minimum Hamming weight is $n - k + 1$. According to Theorem 6, p. 321, in [7], the number A_w of codewords of weight w in an MDS $[n, k]$ code over \mathbb{F}_q is given by

$$A_w = \binom{n}{w} (q - 1) \sum_{j=0}^{w-d} (-1)^j \binom{w-1}{j} q^{w-d-j}, \quad (1)$$

for $d \leq w \leq n$, where $d = n - k + 1$.

Theorem 4 Let C be an MDS $[n, k]$ code over \mathbb{F}_q . Then

$$c(C; q) \leq 2.$$

Remark 5 From Proposition 3, for a $[q+1, 2]$ MDS code C over \mathbb{F}_q , we have that $c(C; q) = 2$. So the bound is sharp.

It is known that a uniform matroid $U_{n,m}$ representable over \mathbb{F}_q is corresponding to a matroid obtained by an MDS $[n, m]$ code over \mathbb{F}_q (cf. [9]). As a corollary of the above theorem, we have the following.

Corollary 6

$$c(U_{n,m}; q) \leq 2.$$

In general, we have the following bound on critical exponents for linear codes over finite fields.

Theorem 7 Let C be an $[n, k]$ code over \mathbb{F}_q having generator matrix G . If $d^\perp > q$, then

$$c(C; q) \leq k - d^\perp + 2,$$

except when C is a binary codes such that $d^\perp = n$ is odd or such that $n = 2^k - 1$ and $d^\perp = 3$ in which case $c(C; q) = k - d^\perp + 3$, where C^\perp denotes the minimum Hamming weight of the dual code C^\perp .

As a corollary of the above theorem, we have the following bound on critical exponents for representable matroids over finite fields.

Corollary 8 Let M be a rank k representable simple matroid over \mathbb{F}_q with girth g . If $g > q$, then

$$c(M; q) \leq k - g + 2,$$

except when M is a binary matroid isomorphic to $U_{2l+1, 2l}$ or $PG(k-1, 2)$ in which case $c(M; q) = k - g + 3$.

Example 9 Let C be the ternary $[11, 5]$ code having generator matrix

$$G = \begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 1 & 2 & 2 & 2 & 1 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 & 1 & 2 & 2 & 2 & 1 \\ 0 & 0 & 1 & 0 & 0 & 2 & 1 & 2 & 0 & 1 & 2 \\ 0 & 0 & 0 & 1 & 0 & 1 & 1 & 0 & 1 & 1 & 1 \\ 0 & 0 & 0 & 0 & 1 & 2 & 2 & 2 & 1 & 0 & 1 \end{pmatrix}.$$

Then the dual code C^\perp is an $[11, 6, 5]$ quadratic residue code. By a Magma calculation, we have that

$$A_E^{(1)} = 0, A_E^{(2)} = 330, A_E^{(3)} = 825, A_E^{(4)} = 110, A_E^{(5)} = 1,$$

where $E = \{1, 2, \dots, 11\}$. If M_C is the vector matroid obtained from G , then $c(M_C; 3) = 2 (= 5 - 5 + 2)$ and so M_C holds the equality in Theorem 7.

3 A construction of tangential blocks

As defined in [3, 6], for $1 \leq r \leq k-1$, a set M of points of the projective geometry $PG(k-1, q)$ is an r -block over \mathbb{F}_q if every $(k-r)$ -dimensional subspace in $PG(k-1, q)$ contains at least one point in M . If X is a flat in M , a *tangent* of X is a $(k-r)$ -dimensional subspace U in $PG(k-1, q)$ such that

$$M \cap U = X.$$

An r -block M is called to be *minimal* if every point in M has a tangent, and to be *tangential* if every proper nonempty flat in M of rank not exceeding $k-r$ has a tangent.

Alternatively, a matroid M is a *tangential r -block* over \mathbb{F}_q if the following conditions hold:

- (i) M is simple and representable over \mathbb{F}_q .
- (ii) $p(M; q^r) = 0$.
- (iii) $p(M/F; q^r) > 0$ whenever F is a proper nonempty flat of M .

Proposition 10 For any positive integer k , set $K := \{1, 2, \dots, k\}$. For an m ($1 \leq m \leq k$), we take an m elements subset $T \in \binom{K}{m}$ and a family \mathcal{V} of $(m-1)$ distinct points $\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_{m-1} \in PG(k-1, q)$ with $\text{supp}(\mathbf{v}_i) \cap T = \emptyset$, $i = 1, 2, \dots, m-1$. Define

$$\begin{aligned} X^T &:= \{\mathbf{x} \in PG(k-1, q) : \text{supp}(\mathbf{x}) \cap T = \emptyset\}, \\ Y_{\mathcal{V}}^T &:= \{\mathbf{x} \in PG(k-1, q) : |\text{supp}(\mathbf{x}) \cap T| = 1\} \setminus \{\mathbf{v}_i + \lambda \mathbf{e}_j : \mathbf{v}_i \in \mathcal{V}, \lambda \in \mathbb{F}_q - \{0\}, j \in T\}, \\ Z^T &:= \{\mathbf{x} \in PG(k-1, q) : \text{supp}(\mathbf{x}) \in \binom{T}{2}\}. \end{aligned}$$

Then $M := X^T \cup Y_{\mathcal{V}}^T \cup Z^T$ is a $(k-m)$ -block over \mathbb{F}_q .

Then we can give a construction of tangential blocks as follows:

Theorem 11 Let M be the set of points in $PG(k-1, q)$ defined in Proposition 10. If $m-1 \leq q^{k-m-1}$, then M is a tangential $(k-m)$ -block over $GF(q)$.

From the definition, M is a minimal r -block over \mathbb{F}_q if and only if $c(C; q) = r+1$ for the linear code having generator matrix G whose column vectors are all points in M (cf. p. 168 in [3]).

Corollary 12 Let M be the set of points defined in Proposition 10. If $m=2$, then the linear code C over \mathbb{F}_q whose generator matrix obtained from M attains the bound in Theorem 7.

Proof. From the definition of M , it finds that $d^\perp = 3$, since there exist three column vectors in G which are linearly dependent. Thus we have that

$$k-2+1 = k-1 = c(C; q) \leq k-3+2 = k-1.$$

□

Example 13 Let C be the binary $[22, 5]$ code over \mathbb{F}_q having generator matrix

$$G = \begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 0 & 0 & 0 & 0 & 1 & 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 1 & 1 & 1 & 0 & 1 & 1 & 0 & 0 & 1 & 1 & 0 & 0 & 1 & 1 & 1 & 1 & 0 \\ 0 & 0 & 1 & 0 & 0 & 1 & 1 & 0 & 1 & 0 & 0 & 1 & 1 & 0 & 0 & 1 & 1 & 1 & 1 & 1 & 1 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 1 & 0 & 1 & 0 & 1 & 0 & 1 & 0 & 1 & 0 & 1 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 1 & 0 & 1 & 0 & 1 & 0 & 1 & 0 & 1 & 1 \end{pmatrix}.$$

From Theorem 11, G forms a binary tangential 3-block. Moreover, we have that

$$\begin{aligned} p(M_C; \lambda) &= \lambda^5 - 22\lambda^4 + 175\lambda^3 - 610\lambda^2 + 9 - 4\lambda - 448 \\ &= (\lambda-1)(\lambda-2)(\lambda-4)(\lambda-7)(\lambda-8). \end{aligned}$$

If M_C is the vector matroid obtained from G , then $c(M_C; 2) = 4 (= 5 - 3 + 2)$ and so M_C holds the equality in Theorem 7.

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