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# Decomposition behaviors of various crystalline celluloses as treated by semi-flow hot-compressed water

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# 32 Abstract

Various types of crystalline celluloses consisted of group I (Cell I, III<sub>I</sub>, IV<sub>I</sub>) and group II (Cell II, III<sub>I</sub>, IV<sub>I</sub>) prepared from cotton linter were adjusted for their degree of polymerization (DP) as starting materials. These celluloses were, then, treated by semi-flow hot-compressed water (HCW) at 230-270°C/10MPa/2-15min to study their decomposition behaviors. The treatments performed resulted in the residues of celluloses and water-soluble (WS) portions. Consequently, the crystallinity of the residues was found to remain the same, but the DP was reduced as temperature increased. Additionally, the X-ray diffractometry (XRD) and Fourier transform-infrared (FT-IR) analyses demonstrated that the crystallographic changes were occurred for residues of Cell III<sub>1</sub>,  $IV_I$  and  $III_{II}$ . Despite of these changes, the overall results of the residues showed that group I has higher resistance to be decomposed than group II. As for the WS portions, the yields of the hydrolyzed and degraded products were more in group II as compared with group I, indicating that group II are lesser in resistance for their decomposition by HCW treatment. Both results on the residues and WS portions are in agreement with each other, concluding that the degree of difficulty for decomposition was higher in group I compared with group II. Therefore, the decomposition behaviors of the celluloses are due to the differences in crystalline forms. Keywords Cellulose • Cotton linter • Crystalline structure • Hydrolysis • Semi-flow hot-compressed water 

# 62 Introduction

63 Cellulose together with hemicelluloses is often encrusted with lignin in a complex composite known as 64 lignocellulose. Such lignocellulose is not easy to separate and dissolve in almost any solvents, making them 65 resistant to enzymatic hydrolysis (O'Sullivan 1997). Cellulose is a crystalline homopolysaccharide composed of 66 D-glucopyranose linked together by  $\beta$ -1,4-glucosidic bonds. The  $\beta$ -1,4 linkages in cellulose form linear chains 67 that are highly stable and resistant to chemical attack because of the high degree of intra- and inter-molecular 68 hydrogen bondings (Nishiyama et al. 2002).

There are six known polymorphs of celluloses (I, II, III<sub>I</sub>, IV<sub>I</sub>, and IV<sub>I</sub>) identified by their characteristic X-ray diffraction (XRD) patterns as well as <sup>13</sup>C nuclear magnetic resonance (NMR) spectra. This crystalline structural arrangement of one allomorph can be converted to the other by treating it with chemicals through various processes such as mercerization, hydrothermal processes etc (Isogai 1994).

Cellulose I, known as native cellulose, is abundant in nature and can be further classified into two polymorphs, celluloses  $I_{\alpha}$  and  $I_{\beta}$  (Atalla et al. 1984; Sugiyama et al. 1991), whose detailed structures have been established through synchrotron X-ray and neutron fiber diffraction studies (Nishiyama et al. 2002; 2003). Cellulose  $I_{\alpha}$  is metastable and converted irreversibly into cellulose  $I_{\beta}$  by hydrothermal treatment, without losing its crystallinity (Yamamoto et al. 1993; Wada et al. 2004).

78 Cellulose II can be prepared by two distinct routes: mercerization by alkali treatment and regeneration 79 through solubilization and subsequent recrystallization. It is thermodynamically more stable in structure with an 80 anti-parallel arrangement of the strands and some inter-sheet hydrogen bondings. On the other hand, celluloses 81  $III_{I}$  and  $III_{II}$  can be prepared from celluloses I and II, respectively, by treatment with liquid ammonia or some 82 amines. The swelling of cellulose in liquid ammonia or some amines is a simple and classical way to increase 83 the accessibility of crystalline cellulose (Da Silva Perez et al. 2003), while celluloses  $IV_I$  and  $IV_{II}$  can be 84 obtained by heating celluloses III<sub>I</sub> and III<sub>I</sub>, respectively, at 260°C in glycerol (O'Sullivan 1997; Zugenmaier 85 2008).

Acid/alkali treatment and acid/alkali pretreatment followed by enzymatic hydrolysis are conventional methods to produce glucose from cellulose (Camacho et al. 1996; Karr et al. 2000). The obtained glucose can be used to synthesize various specialty chemicals or fermented to produce bioethanol. However, these treatments are associated with serious economic and environmental constraints due to the heavy use of chemicals (Hsu 1996). Furthermore, cellulose utilization is still seen to be restricted by its resistance against hydrolysis due to the encrusted structure with lignin (Grous et al. 1986; Mes-Hartree et al. 1988).

- For the past three decades, hot-compressed water (HCW) treatments have attracted much attention because of their suitability as a non-toxic, environmentally benign, inexpensive medium for lignocellulose hydrolysis without any catalysts (Bobleter et al. 1976; Bobleter et al. 1983; Yu et al. 2010). The HCW treatment system can be categorized into batch-type or flow-type arrangements. Due to significant decomposition reactions occurred in batch-type treatment, flow-type HCW treatment was preferred to hydrolyze and fractionate lignocellulose (Ehara et al. 2002; Lu et al. 2009; Phaiboonsilpa et al. 2010; 2011).
- About 4-22% of cellulose decomposed in HCW (200-230°C/34.5MPa/15min) by using flow-type
  treatment (Mok et al. 1992). Another configuration of HCW system is a semi-flow HCW treatment. It resulted
  in the better digestible cellulose (Xiao et al. 2011), greater removal of lignin (Tirtowidjojo et al. 1988), higher

- 101 sugar recovery from hemicelluloses (Bobleter et al. 1983) and less inhibitors in the hydrolyzed liquid as
- 102 compared with the conventional batch and co-current flow systems (Liu et al. 2003). Recently, the two-step
- 103 semi-flow HCW treatments were used to study the chemical conversion of lignocellulose biomass (Lu et al.
- 104 2009; Phaiboonsilpa et al. 2010; 2011) and it revealed that 230°C/10MPa/15min and 270°C/10MPa/15min were
- 105 optimum conditions for decomposition of hemicelluloses and cellulose, respectively.

106 In this present study, we aimed to evaluate quantitatively decomposition behaviors of various 107 crystalline celluloses as treated by semi-flow HCW. The obtained residues of celluloses and water-soluble (WS) 108 portions after their treatments were, therefore, investigated in order to understand how different crystalline 109 forms of celluloses are decomposed.

# **Materials and Methods**

#### **Preparation of various types of crystalline cellulose samples**

112 Various types of crystalline celluloses were prepared from cotton linter (Buckeye 1AY-500). Cotton 113 linter in its native form is cellulose I (Cell I). Mercerized cellulose with crystalline form of cellulose II (Cell II) 114 was prepared from Cell I by soaking it into 20.0% of aqueous NaOH solution for 24h at ambient temperature, 115 followed by washing thoroughly with water and freeze-drying (Isogai et al. 1998). Samples with the crystalline 116 forms of celluloses III<sub>I</sub> (Cell III<sub>I</sub>) and III<sub>II</sub> (Cell III<sub>II</sub>) were prepared from Cell I and Cell II, respectively, by 117 soaking them in 100% ethylenediamine (EDA) for 24h at ambient temperature, washed with dried methanol and 118 kept under vacuum.

119 The prepared Cell III<sub>I</sub> and Cell III<sub>II</sub> were further used for the preparation of celluloses  $IV_I$  (Cell  $IV_I$ ) and 120  $IV_{II}$  (Cell  $IV_{II}$ ). They were firstly soaked in glycerol for 3 days at ambient temperature and then heated in a 121 reaction vessel at 260°C/0.6MPa for 30 min (Isogai et al. 1989). After cooling down to ambient temperature, the 122 product was washed with water and acetone successively and dried in vacuum. To simplify, the cotton linter 123 (Cell I) was converted into group I (Cell I, III<sub>I</sub> and IV<sub>I</sub>) and group II (Cell II, III<sub>II</sub> and IV<sub>II</sub>) celluloses. The 124 chemical compositions of these celluloses were also analyzed and all the cellulose samples were found to 125 contain similar components of about 99.9wt% glucose and 0.1wt% xylose.

126 To evaluate decomposition behaviors for various types of crystalline celluloses by semi-flow HCW 127 treatment, cellulose samples with the similar degree of polymerization (DP) are appropriate for their comparison. 128 Consequently, these celluloses were adjusted by trial and error for their DPs by changing the treatment condition 129 mentioned above for converting Cell I to various forms of celluloses.

#### 130 Determination of the degree of polymerization (DP) and crystallinity of the

#### 131 celluloses

132 The degree of polymerization (DP) is an important parameter to be considered in order to study the 133 decomposition behaviors. The viscosities of the celluloses were, therefore, measured by using 0.5M 134 cupriethethylenediamine (Cuen) (TAPPI, 1982) in a Cannon Fenske capillary viscometer. The viscosity average 135 DP of the cellulose samples was calculated from the intrinsic viscosity [ $\eta$ ] according to the Eq. (1) (Sihtola et al. 136 1963): 137  $DP^{0.905} = 0.75[\eta]$  Eq. (1)

138 Apart from the DP, the crystallinity of the celluloses is another essential parameter. The XRD patterns 139 of these celluloses were recorded by X-ray diffractometer Rigaku RINT 2200 equipped with monochrometer. 140 About 20mg of cellulose was placed on the glass sample holder and flattened carefully, then mounted on the 141 sample holder. X-ray diffraction (XRD) was conducted on reflectance modes through a 20 range between 7.5° 142 and 32.5° by Cu-K<sub>g</sub> radiation ( $\lambda$ =0.1542nm), operated at 40kV and 30mA at ambient temperature.

Gaussian functions were used to deconvulate the XRD patterns of various crystalline celluloses, and
the crystallinity was calculated from the ratio of the area of all crystalline peaks with less background to the total
area (Park et al. 2010).

The diffraction angles of each XRD pattern and their assignment diffraction planes are summarized based on a newly proposed conventional indexing for various unit cells of cellulose (French 2013). Here, the unit cells were mainly referenced to the orientation along c-axis, whereby various data from literatures and experimental approaches were used, thus some variations may be occurred (Gardiner et al. 1985; Isogai 1994; Langan et al. 2001; Nishiyama et al. 2002; 2003; Wada et al. 2004).

#### 151 Fourier transform-infrared (FT-IR) analysis of residual celluloses

The FT-IR analysis was carried out for the residues of the celluloses obtained after HCW treatments. The spectra of the dried sample pellets in KBr were recorded using a Shimadzu IR-8000 spectrophotometer. All the spectra were recorded with an accumulation of 64 scans, revolution of 4 cm<sup>-1</sup>, in a range from 4000 to 400 cm<sup>-1</sup>.

### 156 Treatment of various crystalline celluloses by semi-flow HCW

157 The prepared celluloses (about 0.4g) as starting materials were treated individually within a 5ml 158 reaction vessel in a semi-flow HCW system at temperatures of 230°C, 250°C and 270°C under 10MPa for 2min 159 to 15min. The semi-flow HCW conversion system and its operational procedures as explained elsewhere were 160 adapted for this study (Lu et al. 2009; Phaiboonsilpa et al. 2010; 2011). Briefly, the ambient distilled water from 161 a water tank was flown through the reaction vessel by a pump in order to pressurize the system at 10MPa 162 controlled by a back-pressure regulator. To raise the temperature, the preheating unit monitored by 163 thermocouples was used to reach at the designated temperature for about 20min under 10MPa. In addition, 164 another heating unit was installed at the reaction vessel to maintain the designated temperature in the reaction 165 vessel, into which the HCW was passed through at the flow-rate of 10ml/min.

These treatments yielded residues of celluloses and WS portions. After the HCW passing through reaction vessel, the WS portions were cooled down immediately by the cooling system to terminate all reactions. The WS portions collected every 5min were allowed to settle in ambient temperature and pressure for a minimum of 12h, before filtering them by 0.45µm membranes prior to subsequent analysis. The residues of celluloses left in the reaction vessel were, on the other hand, collected, dried unless otherwise mentioned and evaluated for its DP and crystallinity, again (Ehara et al. 2002; Kumar et al. 2010). The experimental process for the study is illustrated in Fig. 1. The WS portions were, then, characterized and analyzed by using high-performance anion-exchange chromatography (HPAEC), high-performance liquid chromatography (HPLC) and capillary electrophoresis (CE) as described previously (Lu et al. 2009; Phaiboonsilpa et al. 2010; 2011). The product percentages, presented on oven-dried weight basis of the initial material, are based on the chromatogram peak areas of the HPAEC, HPLC and CE.

## **Results and Discussion**

To evaluate decomposition behaviors for various types of crystalline celluloses by semi-flow HCW treatment, the celluloses must be the same in their DPs as the starting materials. Therefore, as shown in Table 1, the DP of those celluloses was adjusted by trial and error to be similar. Thus, they can be directly compared as they are decomposed. The XRD patterns of these starting celluloses are shown in Fig. 2. The diffraction angles of each XRD pattern and their assignment diffraction planes are summarized in Table 2. For the celluloses in Table 1 and Fig. 2, the semi-flow HCW treatments were carried out at temperatures of 230°C, 250°C and 270°C under 10MPa for 2 min to 15min (230-270°C/10MPa/2-15min).

#### 186 Evaluation of the residues of celluloses

187 The XRD patterns recorded for the residues of celluloses in group I and group II after XRD analysis are 188 shown in Figs. 3 and 4, respectively. In Fig. 3, the XRD patterns of the residues from Cell I are observed to 189 remain the same as in the starting Cell I, in contrast with the XRD patterns of residues from Cell III<sub>I</sub> and Cell 190 IV<sub>I</sub>. Two equatorial reflections of Cell III<sub>I</sub> at  $2\theta \approx 11.7$  and  $20.8^{\circ}$  indexed as 010 and  $1\overline{10}$ , respectively, can be 191 observed at the starting of Cell III<sub>I</sub>. After the HCW treatments at 230-270°C/10MPa/15min, the peak at  $2\theta \approx$ 192 11.7°, for all residues from Cell III<sub>I</sub> was totally disappeared, whereas a peak at  $2\theta \approx 20.8^{\circ}$  was noticeably 193 becoming smaller at elevated temperatures. In addition, the XRD peaks at  $2\theta \approx 14.4$ , 16.3 and 22.5° were 194 intensively appeared. These peaks were similar to those of Cell I, indexed as  $\overline{1}10$ , 110 and 200, respectively. 195 Here, therefore, all residues from Cell III<sub>I</sub> were observed to be totally converted to Cell I. A similar result is 196 reported for Cell III<sub>I</sub> treated in ethylenediamine (EDA) to be reversed and converted into Cell I by treatment in 197 warm water, whereas Cell III<sub>I</sub> treated with liquid ammonia (140°C,12kPa) was found to be stable to boiling 198 water for a few hours (Sueoka et al. 1973; Roche et al. 1981; Yatsu et al. 1986; Wada 2001; Wada et al. 2008).

199 Whereas for Cell IV<sub>I</sub>, the peak at  $2\theta \approx 15.4^{\circ}$  (indexed as  $1\overline{10}$ ) was shown to emerge into two peaks as 200 it was treated in the elevated temperatures. Although the changes were not seen for residue from Cell IV<sub>I</sub> at 201 230°C, this corresponding peak started to be transformed at 250°C and 270°C, which was found to be 202 corresponded to that of Cell I; indexed as  $\overline{110}$  and 110.

As for the residues from group II shown in Fig. 4, the XRD patterns of residues from Cell II and Cell IV<sub>II</sub> remained the same. In contrast, the residues from Cell III<sub>II</sub> were observed to be transformed to other crystalline form. The peak at  $2\theta \approx 12.1^{\circ}$  (indexed as 010) for all residues from Cell III<sub>II</sub> was shown to have no changes compared with the control Cell III<sub>II</sub>. However, a peak at  $2\theta \approx 20.4^{\circ}$  was completely emerged into two peaks at  $2\theta \approx 19.7$  and  $22.0^{\circ}$ , as can be seen with residues from Cell III<sub>II</sub>. These peaks corresponded, respectively, to crystalline peaks at 110 and 020 of Cell II. Moreover, a small peak at  $2\theta \approx 15.1^{\circ}$  was noticed and became more prominent at 270°C, which also matched up with crystalline peak of Cell IV<sub>II</sub> that indexed as  $1\overline{10}$ .

- 210 Thus, these outcomes of residues from Cell  $III_{II}$  after HCW treatments comprised a mixture of Cell II and Cell 211  $IV_{II}$ .
- 212 All XRD patterns on residues from celluloses in Figs. 3 and 4 were recorded and compared for the 213 dried residual samples. Therefore, they were also examined under the wet conditions by X-ray diffractometry 214 for the residues from celluloses of Cell III<sub>I</sub>, Cell IV<sub>I</sub> and Cell III<sub>I</sub>. Figure 5 shows the obtained XRD patterns of 215 residues from Cell III<sub>I</sub> as treated by semi-flow HCW at lower temperature (230°C/10MPa/2-15min). It seems 216 apparent that Cell III<sub>I</sub> has started to be converted to Cell I at an early stage of the HCW treatment. The peaks for 217  $1\overline{10}$  of Cell III<sub>1</sub> were disappearing even after 2 min treatment. Similar observations were recorded for Cell III<sub>1</sub> 218 treated at the higher temperature (250-270°C/10MPa/2-10min). In the same way, the wet residues of celluloses 219 were also examined and found that they had already been converted as well.
- 220 The same was performed for Cell IV<sub>1</sub> and Cell III<sub>II</sub>, at 230-270°C/10MPa/2-10min and they were found 221 to behave in a similar manner. Cell IV<sub>I</sub> has started to be converted to Cell I at 10 min treatments under 250-222 270°C/10MPa, whereas for Cell III<sub>II</sub>, the conversion has occurred even at 2 min treatment under 230°C/10MPa 223 to Cell II mainly and to Cell  $IV_{II}$  to some extent. All these results showed that they have already been converted 224 at the early stage of the HCW treatment at 230-270°C/10MPa. Since Cell III<sub>II</sub> was observed to be converted to 225 Cell II and Cell IV<sub>II</sub>, further treatments for Cell II were also performed at 270°C/10MPa/2-10min in order to check whether it would be converted to Cell IVII. However, no significant crystallographic changes was 226 227 observed, thus it remained as Cell II at 270°C/10MPa/2-10min.
- In relation to the residues from Cell III<sub>I</sub>, Cell IV<sub>I</sub> and Cell III<sub>II</sub> in Figs. 3-5, the FT-IR measurements were performed to compare with the XRD results. For the FT-IR spectra, they were focused only on the OH stretching regions before and after the HCW treatments as shown in Fig. 6. The extensively discussed bands in literatures at 3,480, 3,300 and 3150 cm<sup>-1</sup> were found in the spectra of Cell III<sub>I</sub>, whereas the residues of celluloses were found to be similar as those of halocynthia and ramie which are Cell I (Cell I<sub>β</sub> type) (Wada, 2001; Kokot et al. 2002; Zugenmaier, 2008). The bands at 3,720 cm<sup>-1</sup> was clearly observed showing that Cell III<sub>I</sub> has been converted to Cell I.
- In contrast, neither Cell  $IV_I$  nor Cell  $III_{II}$  was regularly discussed in literatures. The residues from Cell IV<sub>I</sub> that consisted of a mixture of Cell I and Cell  $IV_I$ , usually has higher proportion of Cell  $IV_I$  (Marrinan et al. 1956; Zugenmaier, 2008). Despite the presence of a high proportion of Cell  $IV_I$ , there seems to be no distinctive absorption which could be attributed to Cell  $IV_I$ . It is, therefore, assumed that the spectra of the residues from Cell  $IV_I$  resemble that from Cell I.
- The Cell III<sub>II</sub> spectrum has close resemblance with that of Cell II as suggested by Marrinan et al. (1956) that the distance of the hydrogen bonding must be very similar in the two forms. Similarly, the general appearance of Cell IV<sub>II</sub> spectrum resembles that of Cell II. The FT-IR spectra of the residues from Cell III<sub>II</sub> at 230-270°C agreed with the corresponding XRD patterns in Fig. 4 that consisted of a mixture of Cell II and Cell IV<sub>II</sub>.
- All the observations above showed that the interconversion of various crystalline celluloses of Cell III<sub>I</sub>, Cell IV<sub>I</sub> and Cell III<sub>II</sub> has occurred at either early or later stage of the HCW treatments. From these results, a possible interconversion for the preparation process and transformation of celluloses by HCW treatments can be summarized as in Fig. 7.

- 249 In spite of the crystallographic changes occurred for the residues from Cell  $III_I$ ,  $IV_I$  and  $III_{II}$ , the 250 changes in crystallinity and DP for residues of celluloses after HCW treatments were demonstrated in Fig. 8. 251 The crystallinity for residues from Cell III<sub>I</sub>, IV<sub>I</sub> and III<sub>II</sub> was evaluated from the obtained XRD patterns as 252 treated by the corresponding temperatures. The overall results were found out to be increased slightly and 253 remained almost constant approximately at 90%. The slight increase could be due to the combined effects of an 254 aqueous environment that removed the paracrystalline portions of celluloses during hydrolysis (Weimer et al. 255 1995) and annealing that could take place on the cellulose at temperature between 220°C and 280°C (Yamamoto 256 et al. 1993). This observation was also in agreement with previous studies, that even at a severe treatment 257 temperature 270°C, the crystallinity was observed to remain almost unchanged (Sasaki et al. 2004; Jollet et al. 258 2009; Kumar et al. 2010, Tolonen et al. 2011). One study emphasized that (i) crystallinity and (ii) changes in the 259 crystalline form of a cellulose; as the two of the main determining factors efficacy of hydrolysis of biomass 260 (Cetinkol et al. 2010). However, it is generally thought that drying could lead to the formation of new hydrogen 261 bonds and recrystallization, which would increase the crystallinity rather than reducing it (Tolonen et al. 2011).
- 262 Contrary to the crystallinity results, the DP for all residues of celluloses was seen to decrease slightly 263 with increase in temperature (Tolonen et al. 2011). The expectation that the lower DP celluloses such as Cell 264  $IV_{II}$  would be easier to decompose due to their shorter average lengths of molecules, however, was not observed 265 in Fig. 8. The DP was decreased and leveling off to about 70 even after the treatment at 270°C/10MPa/15min. 266 The present interpretations suggested that the crystalline structure of various types of celluloses were still rigid, 267 but only broken down into shorter-chain of cellulose molecules. As temperature increases, the DP decreases 268 which would raise the solubility of cellulose in water and conversion of cellulose to the hydrolyzed and 269 degraded products (Sasaki et al. 2004; Yu et al. 2009). In Fig. 8, the DP of group I celluloses was observed to be 270 generally higher than that of group II. This shows that group II is easier to be decomposed as compared with 271 group I. Also, based on visual examination, the fibers as the starting materials have been changed into powder-272 liked residues after the HCW treatment.
- Figure 9 illustrates the relationship of DP and residues of celluloses after HCW treatments. Both are seen to decrease as the treatment temperatures were increased. It has also been reported in previous studies that the DP of residues gradually decreased with increasing cellulose decomposition (Sasaki et al. 2004; Tolonen et al. 2011).
- The overall yield of the residues after semi-flow HCW treatment for various types of celluloses is shown in Fig. 10. These residues notably decreased with the increase in temperatures. The residues of various types of celluloses obtained particularly at treatment condition of 230°C/10MPa/15min were still quite high in yield, in the range of 70-90wt%. This is agreeable as the condition was known not to hydrolyze the crystalline cellulose in wood (Lu et al. 2009; Phaiboonsilpa et al. 2010; 2011).
- Since in the present study, the starting DP was adjusted to be the same for all celluloses, a direct comparison can be made for decomposition behaviors of celluloses. As DP in the residues of celluloses is a function of cellulose decomposition, the observed changes on residues were only due to the different crystalline structures of celluloses as starting materials. The various crystalline celluloses prepared as the starting materials have assisted the decomposition process in HCW treatments even though the interconversion of some celluloses have occurred.

288 Cell I yielded the highest residue among various types of celluloses used in this study followed by Cell 289 III<sub>I</sub> and IV<sub>I</sub>, as in Fig. 10. At 230 and 250°C, both Cell III<sub>I</sub> and IV<sub>I</sub> behaved very similarly, however, Cell IV<sub>I</sub> 290 was decomposed more at 270°C as compared with Cell III<sub>I</sub>. This trend was later followed by Cell II, III<sub>II</sub> and IV<sub>II</sub>. 291 Both Cell III<sub>II</sub> and IV<sub>II</sub> behaved in a similar way, nevertheless, Cell IV<sub>II</sub> was decomposed more at 270°C as 292 compared with Cell III<sub>II</sub>. Cell II was observed to have no residues left at 270°C. Therefore, no crystallinity and

293 DP values were recorded in Fig.8.

As a conclusion, Cell I in native crystalline form was found to be the most resistant against decomposition, while Cell II, III<sub>II</sub> and IV<sub>II</sub> were decomposed the most as compared with the other types of celluloses such as Cell III<sub>I</sub> and IV<sub>I</sub>. This could be an indication of the easiness for decomposition of the various crystalline cellulose samples and it seemed likely that group I celluloses (Cell I, III<sub>I</sub> and IV<sub>I</sub>) had higher resistance to be decomposed as compared with group II celluloses (Cell II, III<sub>I</sub> and IV<sub>I</sub>).

#### 299 Evaluation of the water-soluble (WS) portions

For the temperature ranges under high pressure, ionic products of water increases, thus, hydrolysis reaction would be proceeded without any catalysts (Franck 1987; Dinjus et al. 2004; Kruse et al. 2007; Lu et al. 2009, Phaiboonsilpa et al. 2010). If such a reaction is prolonged, hydrolyzed products would be degraded due to high temperature treatment. A reaction scheme showing how cellulose I is decomposed as treated by semi-flow HCW is shown in Fig. 11 (Phaiboonsilpa et al. 2010). The products resulted from cellulose decomposition in the treatment can be categorized into hydrolyzed and degraded products.

306 Under these treatment conditions, the glucosidic lingkages of cellulose are cleaved and cellulose starts 307 to be hydrolyzed into cello-oligosaccharides, subsequently, hydrolyzed to monosaccharide such as glucose 308 (Antal et al. 1990a; Ehara et al. 2002; Kruse et al. 2003; Phaiboonsilpa et al. 2010). Fructose and mannose are 309 also obtained during the process as a result of isomerization of glucose. Monosaccharides are unstable at high 310 temperature and thus some parts of them are further converted into their degraded products such as furfural, 5-311 hydroxymethyl furfural (5HMF), levoglucosan through dehydration, and erythrose, glycolaldehyde, 312 methylglyoxal through fragmentation (Antal et al. 1990b). A prolonged treatment allows further degradation to 313 take place, generating other products such as organic acids. It is important to know the decomposition pathway 314 of cellulose as the degraded products could inhibit the fermentation process for ethanol production (Palmqvist et 315 al. 2000). The WS portions for various crystalline celluloses obtained from each treatment were found to follow 316 similar decomposition pathway as in Fig. 11.

317 To observe the decomposition behavior of various crystalline celluloses, the yields of the hydrolyzed 318 and degraded products were investigated. However, the latter is a result of the degradation of the former. Thus, 319 the total yields reflect the easiness of the hydrolysis decomposition behavior. Figure 12 shows one example of 320 the results on such yields. It can be seen that for both groups, more than 50wt% of the products consisted of 321 hydrolyzed products and that higher portion of them are obtained as compared with degraded products. 322 According to Fig.11, the hydrolyzed yields produced were still at the upper paths of cellulose decomposition 323 pathway. This could be an indication that the cellulose samples have resistance against decomposition. From Fig. 324 12, it is apparent that the group II is easier than group I for hydrolysis reactions. These results from WS portions 325 are in good agreement with those from residues of celluloses.

326

# 327 Concluding Remarks

328 The decomposition behaviors on various types of crystalline celluloses were investigated at 230-329 270°C/10MPa/2-15min. To compare directly the effect of the treatment, the DP of the celluloses was adjusted 330 by trial and error to be similar prior to the treatment. Based on the results of the residues, crystallographic 331 changes were found to be occurred during the HCW treatment for Cell III<sub>I</sub>, Cell IV<sub>I</sub> and Cell III<sub>I</sub>. In general, 332 group I celluloses (Cell I, III<sub>I</sub>, Cell IV<sub>I</sub>) have been converted to Cell I and group II (Cell II, III<sub>II</sub>, IV<sub>II</sub>) to Cell II. 333 Despite of these changes, the overall results of residues showed that group I has higher resistance to be 334 decomposed than group II. In the meantime, for the WS portions, celluloses in group II were decomposed more 335 to be the hydrolyzed and degraded products as compared with those in group I. The results on the WS portion 336 revealed that the degree of difficulty for decomposition is greater in group I than group II. Based on these 337 findings, it can be concluded that group I has more resistance to be decomposed than group II.

Based on the obtained results, it was clear that the decomposition behaviors were due to their different crystalline forms of celluloses. For that reason, it is recommended to transform one type crystalline allomorph of cellulose to the other for a better hydrolysis reaction. All these data are, therefore, useful in order to understand the behaviors of different types of crystalline celluloses that provide information for efficient use of lignocellulose.

343

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#### 478 479 Fig.1 The experimental process to study the decomposition behaviors for various types of crystalline 480 celluloses prepared from cotton linter 481 Fig.2 The XRD patterns for various types of crystalline celluloses prepared in this study 482 Fig.3 The XRD patterns for the residues from Cell I, Cell III<sub>1</sub> and Cell $IV_1$ (from left to right) as treated by 483 semi-flow HCW at 230-270°C/10MPa/15min 484 Fig.4 The XRD patterns for the residues from Cell II, Cell III<sub>II</sub> and Cell $IV_{II}$ (from left to right) as treated by 485 semi-flow HCW at 230-270°C/10MPa/15min 486 Fig.5 The XRD patterns for the residues from Cell III<sub>1</sub> as treated by semi-flow HCW at $230^{\circ}$ C/10MPa for 487 different treatment times 488 Fig.6 The FT-IR spectra for the residues from Cell III<sub>I</sub>, Cell IV<sub>I</sub> and Cell III<sub>I</sub> (from left to right) as treated by 489 semi-flow HCW at 230-270°C/10MPa/15min 490 Fig.7 The possible interconversion pathways for various types of crystalline celluloses 491 Fig.8 The changes on crystallinity and DP for the residues from various crystalline celluloses as treated at 492 different temperatures under 10MPa for 15 min (230-270°C/10MPa/15min) by semi-flow HCW 493 Fig.9 The relationship between DP and residues from various crystalline celluloses as treated by semi-flow 494 HCW at 230-270°C/10MPa/15min 495 Fig.10 The changes on the residues from various types of crystalline celluloses as treated at different 496 temperatures under 10MPa for 15min (230-270°C/10MPa/15min) by semi-flow HCW 497 Fig.11 Decomposition pathway of crystalline cellulose as treated by semi-flow HCW (adapted from 498 Phaiboonsilpa et al. 2010) 499 Fig.12 The yields on the water-soluble portions from various types of crystalline celluloses as treated by semi-500 flow HCW at 270°C/10MPa/15min. 501 502 503 504



Fig.1 The experimental process to study the decomposition behaviors for various types of crystalline celluloses prepared from cotton linter



Fig.2 The XRD patterns for various types of crystalline celluloses prepared in this study



Fig. 3 The XRD patterns for the residues from Cell I, Cell III<sub>I</sub> and Cell IV<sub>I</sub> (from left to right) as treated by semi-flow HCW at 230-270°C/10MPa/15min



Fig. 4 The XRD patterns for the residues from Cell II, Cell III<sub>II</sub> and Cell IV<sub>II</sub> (from left to right) as treated by semi-flow HCW at 230-270°C/10MPa/15min



Fig. 5 The XRD patterns for the residues from Cell III<sub>I</sub> as treated by semi-flow HCW at  $230^{\circ}$ C/10MPa for different treatment times



Fig. 6 The FT-IR spectra for the residues from Cell III<sub>I</sub>, Cell IV<sub>I</sub> and Cell III<sub>I</sub> (from left to right) as treated by semi-flow HCW at 230-270°C/10MPa/15min



Fig. 7 The possible interconversion pathways for various types of crystalline celluloses Solid line: Preparation process; Dotted line: Transformation by HCW



**Fig. 8** The changes on crystallinity and DP for the residues from various crystalline celluloses as treated at different temperatures under 10MPa for 15 min (230-270°C/10MPa/15min) by semi-flow HCW



Fig. 9 The relationship between DP and residues from various crystalline celluloses as treated by semi-flow HCW at 230-270°C/10MPa/15min



**Fig. 10** The changes on the residues from various types of crystalline celluloses as treated at different temperatures under 10MPa for 15min (230-270°C/10MPa/15min) by semi-flow HCW



**Fig. 11** Decomposition pathway of crystalline cellulose as treated by semi-flow HCW (adapted from Phaiboonsilpa et al. 2010)



**Fig. 12** The yields on the water-soluble portions from various types of crystalline celluloses as treated by semiflow HCW at 270°C/10MPa/15min.

Cell	DP	Crystallinity (%)
Ι	176	92
II	173	85
$III_{I}$	164	86
$III_{II}$	176	87
$IV_I$	167	90
IVII	164	85

Table 1 The DP and crystallinity for various types of crystalline celluloses prepared in this study

Cell	Diffraction planes / Diffraction angles, $2\theta$ (°)				
Ι	<b>110</b> / 14.4	110 / 16.3	200 / 22.5		
II	$\overline{1}10$ / 12.1	110 / 19.7	020 / 22.0		
$III_{I}$	010 / 11.7	100 / 20.8	$1\overline{1}0$ / 20.8		
$\mathrm{III}_{\Pi}$	010 / 12.1	100 / 20.4	$1\overline{1}0$ / 20.4		
$IV_I$	110 / 15.4	020 / 21.8	200 / 22.2		
$IV_{II}$	110/15.1	012 / 20.6	200 / 22.5		

Table 2 The diffraction planes and angles in XRD patterns of various crystalline celluloses

I, II and  $III_I$  according to French (2013)