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Title

Facile synthesis of acyl chitosan isothiocyanates and their application to porphyrin-appended chitosan derivative

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Abstract

Chitosan (**1**) was reacted with phenylisothiocyanate in 5% AcOH/ H₂O to give *N*-phenylthiocarbamoyl chitosan (**2**) with a degree of substitution (DS) of *N*-phenylthiocarbamoyl groups of 0.86 in 87.1% yield. The following acylation of compound **2** with hexanoyl chloride in the presence of pyridine afforded 3,6-di-*O*-2,3-hexanoyl chitosan isothiocyanate (**4a**) with a DS of the isothiocyanate groups of 0.70 in high yield, unexpectedly. Compound **4a** exhibited high levels of reactivity towards various amines to give the corresponding *N*-thiocarbamoyl chitosan derivatives in high yields. Other acyl (decanoyl (**4b**), myristoyl (**4c**), stearoyl (**4d**), benzoyl (**4e**)) chitosan isothiocyanates were also prepared from chitosan (**1**) in high yields. To evaluate the potential applications of acyl chitosan isothiocyanates, *N*-(triphenylporphynyl)thiocarbamoyl chitosan derivative **6** with a DS of the triphenylporphynyl groups of 0.46 was prepared from compound **4b**. The Langmuir–Blodgett monolayer film of compound **6** gave a good photon-to-electron conversion performance.

Keywords

Acylation, Chitosan, Isothiocyanate, *N*-Phenylthiocarbamoylation, Photocurrent, Porphyrin

1 **1. Introduction**

2 Chitosan is a linear cationic heteropolymer of *N*-acetylglucosamine (GlcNAc)
3 and glucosamine (GlcN) residues thorough β -1,4 linkages by the deacetylation
4 of chitin which is the second most abundant natural biopolymer in nature, and a
5 most versatile polysaccharide that lends itself to countless chemical and
6 biochemical modifications (Muzzarelli, Tosi, Francescangeli & Muzzarelli 2003;
7 Ravi Kumar et al. 2004; Muzzarelli R.A.A. & Muzarelli, C. 2005; Kurita 2006;
8 Rinaudo 2006; Harish Prashanth & Tharanathan, 2007; Mourya & Inamdar,
9 2008; Sahoo D., Sahoo S., Morhanty, Sasmal & Nayak, 2009). However,
10 considerable levels of attention have still been focused on the development of
11 the high-value-added utilization for chitosan and its derivatives.

12 The *N*-substituted thiocarbamoyl chitosan derivatives which was prepared
13 by *N*-thiocarbamoylation of chitosan with isothiocynate compounds are one of
14 the important functional chitosan derivatives. For example, *N*-acetyl- (Ferkry &
15 Mohamed 2010), *N*-acyl- (Zhong et al. 2008,), *N*-fluoresceiny- (Qaqish & Amiji,
16 1999, Ma et al. 2008)), *N*-phenyl- (Baba, Noma, Nakayama & Matsushita, 2002,
17 Monier & Abdel-Latif 2012) thiocarbamoyl chitosan derivatives has been
18 reported as a corrosion inhibitor, an antimicrobial material, a macromolecular

19 fluorophore, a metal adsorbent, respectively. However, the availability of the
20 commercial isothiocyanate compounds are limited. If chitosan isothiocyanate
21 derivatives are easily synthesized, various amines are available for the
22 syntheses of versatile *N*-substituted thiocarbamoyl chitosan derivatives for new
23 applications. Glucosamine isothiocyanate derivatives can be prepared by the
24 reaction of glucosamine with thiophosgene (Jochims & Seegler, 1965;
25 Fernández-Bolaños, Zafra, López, Robina & Fuentes, 1999), but similar
26 chitosan isothiocyanate derivatives have not been reported in the literature,
27 even though chitosan has an amino group at its C-2 position that could be
28 converted to an isothiocyanate group. The isothiocyanation of amines can be
29 achieved by the reaction of an amine with thiophosgene or carbon disulfide
30 (Mukerjee & Ashare, 1991; Fernández & Millet 1999, Munch, Hansen, Pittelkow,
31 Christensen & Boas, 2008; Sun et al., 2012), although it is important to mention
32 that both of these reagents are highly toxic. With this in mind, the development
33 of a facile and safe synthetic method for the formation of chitosan
34 isothiocyanate derivatives is strongly desired.

35 We recently reported a facile and safe synthetic method for acyl chitosan
36 isothiocyanates by two reactions, that is, *N*-phenylthiocarbamoylation with

37 phenylisothiocyanate and acylation with acyl halide or acyl anhydride (Takano &
38 Shibano, 2013). The resulting acyl chitosan isothiocyanates are soluble in
39 common organic solvents and are expected to be useful synthetic
40 intermediates for new functional chitosan derivatives. But, we did not report this
41 procedure in its full detail.

42 On the other hand, the synthesis of porphyrin-containing chitosan
43 derivatives represents one of several recent proposals for the high-value-added
44 utilization of chitosan, with other examples including the construction of
45 metallotetraphenylporphyrin appended chitosan derivatives (Huang, Guo &
46 Tang, 2007), the use of an Mn (III) deuteroporphyrin-bearing chitosan as
47 catalyst for oxidation reactions (Sun, Hu, Zhao & Liu, 2012), and
48 tetraphenylporphyrin tethered chitosan derivatives for use as nanocarriers for
49 gene delivery (Geware et al., 2013). The LB monolayer films of
50 6-O-porphynyl-2,3-di-O-stearoyl cellulose, which is a regioselectively
51 substituted cellulose derivative, have been reported to exhibit high
52 photon-to-electron conversion performances (Sakakibara, Ogawa & Nakatsubo,
53 2007). The high performance of this material has been attributed to the dense
54 packing of the porphyrin moieties along the cellulose backbone because of the

55 well-defined and regular structure of the cellulose derivative. The
56 *N*-porphynylthiocarbamoyl chitosan derivatives prepared from the acyl chitosan
57 isothiocyanates could therefore potentially be used as alternative
58 photon-to-electron conversion materials.

59 This paper provides a detailed account of our new method for the synthesis
60 of acyl chitosan isothiocyanates (Scheme 1). Furthermore, we have described
61 the reactivity of these materials with various amines, and the preparation and
62 evaluation of an LB monolayer film of porphyrin-appended chitosan derivative
63 as one of the examples of the application of the isothiocyanates for the
64 preparation of functional chitosan derivatives.

65

66 **2. Experimental**

67 *2.1. General*

68 Chitosan (DAICHITOSAN 100D (VL), degree of deacetylation 98%) was kindly
69 supplied by Dainichiseika Color & Chemicals Manufacturing Co. (Tokyo, Japan).

70 All of the other chemicals used in the study were purchased from commercial
71 sources and used without further purification. Fourier-transform-infrared (FT-IR)
72 spectra were recorded on a Shimadzu IR Prestige-21 spectrophotometer

73 (Shimadzu, Kyoto, Japan) as KBr pellets (sample 1 mg/ KBr 200 mg). ^1H and
74 ^{13}C NMR were recorded on a Varian 500 MHz FT-NMR spectrophotometer
75 (Aglient Technologies, Santa Clara, CA, USA) using tetramethylsilane (TMS) as
76 an internal reference standard in $\text{DMSO-}d_6$ or CDCl_3 . The standard number of
77 scans in the ^1H and ^{13}C NMR measurements were 3500 and 22000,
78 respectively. The chemical shifts (δ) of the NMR spectra have been reported in
79 parts per million (ppm). UV-vis spectra were recorded on a Jasco V-560
80 UV-vis spectrophotometer (Jasco, Tokyo, Japan).

81

82 *2.2. Preparation of acyl chitosan isothiocyanate*

83 *2.2.1. N-Phenylthiocarbamoylation*

84 Chitosan (**1**, 1.20 g, 7.45 mmol) was dissolved in a 5% (v/v) solution of AcOH in
85 water (30 mL) and the resulting solution was diluted with MeOH (120 mL).
86 Phenyl isothiocyanate (5.34 ml, 44.7 mmol) was then added to the solution, and
87 the resulting mixture was stirred at 35 °C for 24 h, during which time a
88 precipitate formed. The precipitate was filtered, and the filter-cake was washed
89 with MeOH before being collected and suspended in MeOH (300 mL) without
90 drying. The suspension was then stirred at ambient temperature for 30 min and

91 filtered, and the filter-cake was washed with MeOH. This purification procedure
92 was repeated several times until no absorbance could be detected at 280 nm in
93 the filtrate. The solid product was then dried in vacuo to afford
94 *N*-phenylthiocarbamoyl chitosan (**2**, 1.80 g, 87.1% yield).

95 *Compound 2* - DS_{PhNHCS}: 0.86 (determined by elemental analysis); FT-IR (KBr):
96 ν 3298, 2873, 1660, 1541, 1497, 1373, 1234, 1150, 1065, 898, 746, 692 cm⁻¹;
97 ¹H NMR (DMSO-*d*₆): δ 9.43 (NH), 7.80–7.00 (phenyl-H), 4.69 (H-1), 4.00–3.00
98 (H-2, H-3, H-4, H-5, H-6a, H-6b) ppm; ¹³C NMR (DMSO-*d*₆): δ 182.0 (C=S),
99 139.5, 129.1, 124.5 (phenyl-C), 102.5 (C-1), 82.0 (C-4), 75.1 (C-5), 73.2 (C-3),
100 60.6 (C-6), 59.9 (C-2) ppm.

101

102 2.2.2. Acylation

103 3,6-Di-*O*-hexanoyl chitosan isothiocyanate (**4a**) (typical method)

104 Compound **2** (300 mg, 1.1 mmol) was suspended in a mixture of CHCl₃ (6 mL)
105 and pyridine (10 mL), and the resulting suspension was stirred at 35 °C for 24 h.
106 A solution of hexanoyl chloride (1.66 ml, 12.1 mmol) in CHCl₃ (4 mL) was then
107 added to the suspension in a drop-wise manner at 0 °C over a period of 10 min.
108 The resulting mixture was then stirred at 1–2 °C for 1 h before being heated at

109 30 °C for 1 h. The mixture was then heated at 80 °C for 18 h, before being
110 cooled to ambient temperature and poured into MeOH (400 mL). The resulting
111 mixture was stirred at ambient temperature for 2 h and formed a suspension,
112 which was filtered. The filter-cake was then washed with MeOH before being
113 collected and dissolved in a small amount of CHCl₃. The resulting solution was
114 added to MeOH (400 mL) in a drop-wise manner to give a suspension, which
115 was filtered. The filter-cake was then washed with MeOH before being collected
116 and dried in vacuo to afford compound **4a** (409 mg).

117 Compounds **4b-4e** were also prepared according to the procedure for
118 compound **4a**. The DS, ¹H and ¹³C NMR and FT-IR data of compounds **4a-4e**
119 were summarized in Table 1.

120

121 *2.3. Reactivity of hexanoyl chitosan isothiocyanate **4a** with amines*

122 *3,6-Di-O-hexanoyl-N-phenylthiocarbamoyl chitosan (**5a**) (typical method)*

123 Aniline (0.23 mL, 2.50 mmol) was added to a solution of compound **4a** (200 mg)
124 in THF (4 mL), and the resulting mixture was stirred at 35 °C for 24 h before
125 being poured into distilled water (400 mL). The resulting precipitate was
126 collected by filtration, and the filter-cake was washed with distilled water before

127 being collected and dissolved in a small amount of THF. The resulting solution
128 was added to distilled water (400 mL) in a drop-wise manner to give a
129 precipitate, which was collected by filtration. The filter-cake was then washed
130 with distilled water before being collected and dried in vacuo at 40 °C to afford
131 compound **5a** (196 mg).

132 Compound **4a** was also reacted with *n*-propyl amine and piperidine by the
133 same procedure to give compounds **5b** and **5c**. The DS, ¹H and ¹³C NMR and
134 FT-IR data of compounds **5a-5c** were summarized in Table 1.

135

136 *2.4. Application of decanoyl chitosan isothiocyanate (4b) to the formation of*
137 *functional chitosan derivatives*

138 *2.4.1. Preparation of*

139 *3,6-di-O-heaxnoyl-N-(p-(10,15,20-triphenyl-5-porphyrinyl)phenyl thiocarbamoyl*
140 *chitosan (6)*

141 5-(4'-Aminophenyl)-10,15,20-triphenylporphyrin (TPP-NH₂) (29.1 mg), which
142 was prepared according to the method reported by Luguya et al. (2004), was
143 added to a solution of compound **4b** (30 mg) in CH₂Cl₂ (4 mL), and the resulting
144 mixture was stirred at 35 °C for 48 h in the absence of light before being poured

145 into MeOH (200 mL). The resulting precipitate was collected by centrifugation
146 (3000 ×g, 15 min), and dissolved in a small amount of CH₂Cl₂. The resulting
147 CH₂Cl₂ solution was then added to MeOH (200 mL) in a drop-wise manner to
148 give a precipitate, which was collected by centrifugation (3000 ×g, 15 min). This
149 precipitation/dissolution process was repeated three times. The solid product
150 was then dried in vacuo at 40 °C to afford compound **6** (29 mg).

151 *Compound 6* - DS_{TTPNHCS}: 0.46 (determined by elemental analysis); FT-IR: ν
152 3415(NH), 2957, 2870, 2047, 1747 (C=O), 1537, 1498, 1377, 1356, 1242, 1167,
153 1107, 1053, 750, 696 cm⁻¹; ¹H-NMR (CDCl₃): δ 8.80, 8.53, 8.18, 7.97, 7.73,
154 7.38 (porphyrin-H), 5.40-3.10 (H-1, H-2, H-3, H-4, H-5, H-6a, H-6b), 2.36
155 (hexanoyl -OCOCH₂-), 1.60 (hexanoyl -OCOCH₂-CH₂-), 1.26 (hexanoyl -CH₂-),
156 0.88 (hexanoyl -CH₃), -2.80 (NH of porphyrin) ppm.

157

158 *2.4.2. Preparation and evaluation of LB monolayer films of compound 6*

159 A solution of compound **6** in CHCl₃ (0.5 mg/mL) was spread onto a water
160 subphase in a Teflon-coated trough (331 × 100 × 5 mm, USI-3-22T, USI-system,
161 Fukuoka, Japan). Ultrapure water was obtained from a Milli-Q water purification
162 system (Simpli Lab, Merck Japan, Tokyo, Japan) and used for the subphase.

163 The solvent was evaporated for 30 min and the surface pressure (π)–area (A)
164 isotherms were measured at a constant compression rate of 6 mm/min. The
165 surface pressure was measured using a Wilhelmy-type film balance. The
166 surface pressure was held at 10 mN m⁻¹ for 30 min prior to the deposition of the
167 surface monolayer onto the substrates.. The vertical dipping method was used
168 to deposit the surface monolayer onto the substrate with quartz, or an Indium
169 Tin Oxide (ITO) electrode. The downward and upward stroke rates were set at 6
170 mm/min. The surface pressure was held at 10 mN m⁻¹ throughout the
171 deposition process, and the surface temperature was kept at 20 °C for the
172 preparation of the LB monolayer films [i.e., film 6A (on quartz, transfer ratio:
173 downward: 0.00, upward: 1.03), and film 6B (on an ITO electrode, transfer ratio:
174 downward: 0.00, upward: 0.96)]. The photocurrent of film 6B was measured
175 according to a previously reported method (Sakakibara, Ogawa & Nakatsubo,
176 2007).

177

178 **3. Results and discussion**

179 *3.1. Preparation of acyl chitosan isothiocyanates*

180 The *N*-phenylthiocarbamoylation of chitosan (**1**) was performed according to a

181 slightly modified version of the method reported by Baba et al (2002). It is
182 noteworthy that the authors of this particular study only reported part of FT-IR
183 data during their characterization of the structure of *N*-phenylthiocarbamoyl
184 chitosan (**2**). In terms of the *N*-phenylthiocarbamoylation of chitosan (**1**) ,
185 chitosan was reacted with phenyl isothiocyanate in a mixture of 5% (v/v) AcOH
186 in water and MeOH at 35 °C for 24 h to afford compound **2** in 87.1% yield. The
187 FT-IR spectrum of this compound (Supporting information 1) contained
188 characteristic bands derived from phenylthiocarbamoyl groups at 1541, 1497,
189 746, and 692 cm⁻¹ (Monier & Abdel-Latif, 2012; Shibano, Kamitakahara &
190 Takano, 2013). ¹H and ¹³C NMR analyses of compound **2** revealed signals
191 around 7.0 and 125–135 ppm, which were assigned to the aromatic protons
192 and carbons of the phenylthiocarbamoyl group, respectively. The ¹³C NMR
193 spectrum of compound **2** also contained a signal at 182.0 ppm, which was
194 assigned to the C=S moiety of the phenylthiocarbamoyl group. The degree of
195 substitution of the phenylthiocarbamoyl groups (DS_{PhNHCS}) in compound **2** was
196 determined to be 0.86 by elemental analysis.

197 The hexanoylation of compound **2** was performed under typical acylation
198 conditions (i.e., hexanoyl chloride and pyridine at 0 °C for 1 h, 30 °C for 1 h, and

199 80 °C for 18 h sequentially) to give product A in high yield. Analysis of this
200 compound by FT-IR revealed characteristic ester bands at 1747 and 1167 cm^{-1} ,
201 whereas the band around 3298 cm^{-1} corresponding to the hydroxyl groups and
202 NH moieties of the thioureido groups of compound **2** were absent. Signals
203 characteristic of the hexanoyl groups (Zong, Kimura, Takahashi & Yamane,
204 2000) were also found in the ^1H and ^{13}C NMR spectra of product A (Fig.1).
205 Taken together, these results suggested that hexanoylation had proceeded
206 smoothly at both the O-3 and O-6 positions. In contrast, however, the
207 characteristic bands of the phenylthiocarbamoyl groups at 1541, 1497, 746,
208 and 692 cm^{-1} were not present in the FT-IR spectrum of product A. Furthermore,
209 the aromatic signals of the phenyl moiety of the phenylthiocarbamoyl group
210 around 7.0 and 125–135 ppm had disappeared from the ^1H and ^{13}C NMR
211 spectra. These results therefore demonstrated, rather unexpectedly, that the
212 phenylthiocarbamoyl groups were being removed from the chitosan during the
213 hexanoylation process. The FT-IR spectrum of product A also contained a new
214 band at 2047 cm^{-1} , which was consistent with the introduction of isothiocyanate
215 (i.e., -NCS) groups (Shibano, Kamitakahara & Takano, 2013). Furthermore, this
216 band disappeared when product A was reacted with an amine, which provided

217 further evidence that this band related to the presence of NCS groups in
218 product A. NMR analysis of provided further evidence in support of the
219 presence of NCS groups in product A, with a signal consistent with the C=S
220 moiety of the NCS group being observed at 140.8 ppm in the ^{13}C NMR
221 spectrum (Fig.1). Taken together, these data for product A indicated that this
222 material was not 3,6-di-*O*-hexanoyl *N*-(hexanoyl)phenylthiocarbamoyl chitosan
223 (**3a**) as expected, but 3,6-di-*O*-hexanoyl chitosan isothiocyanate (**4a**). The
224 DS_{NCS} of compound **4a** was determined to be 0.74 by elemental analysis.

225 Fig.2 shows the FT-IR spectra of the products resulting from the
226 hexanoylation of compound **2** at various time points during the 80 °C heating
227 stage of the reaction. The results of this analysis revealed that the characteristic
228 bands of the ester and amide groups at 1747 and 1167 cm^{-1} and 1678 cm^{-1} ,
229 respectively, (Mohamed & Abd El-Ghany, 2012) appeared rapidly after only 1 h,
230 whereas the bands attributed to the hydroxyl and thiourea groups at 3298 cm^{-1}
231 were reduced significantly. These changes in the FT-IR spectra indicated that
232 the *O*-hexanoylation of the 3-OH and 6-OH positions had proceeded smoothly,
233 as well as the *N*-hexanoylation of the phenylthiocarbamoyl groups. The ^1H
234 NMR spectrum of the product after 1 h, however, showed that the

235 O-hexanoylation process had not proceeded to completion (data not shown).
236 The FT-IR spectrum of the product after 1 h of the 80 °C heating stage
237 contained a small band at 2047 cm⁻¹ for the NCS groups, which suggested that
238 the *N*-phenylthiocarbamoyl groups were beginning to degrade during the first
239 hour of this heating stage. As the reaction increased, there was an increase in
240 the intensity of the band at 2047 cm⁻¹, whereas the intensities of the bands at
241 1678, 1541, 1497, 746, and 692 cm⁻¹ decreased. After 18 h, the bands at 1678,
242 1541, 1497, 746, and 692 cm⁻¹ were disappeared completely, suggesting that
243 the *N*-(hexanoyl)phenylthiocarbamoyl groups had been fully degraded.

244 *N,N'*-Disubstituted thioureas are known to decompose to the
245 corresponding amines and isothiocyanates when they are heated (Mukerjee &
246 Ashare, 1991). For example, the pyrolysis of *N*-benzoyl-*N'*-phenylthiourea at
247 180 °C was reported to afford phenyl isothiocyanate in high yield (Rajappa,
248 Rajagopalan, Sreenivasan & Kanal, 1979). Based on these reports and the
249 FT-IR spectra shown in Fig.2, we have proposed a mechanism for this
250 transformation which is shown in Fig.3. Briefly, the phenylthiocarbamoyl groups
251 of compound **2** would be converted to the *N,N'*-(hexanoyl)phenylthiocarbamoyl
252 groups during O-hexanoylation process. The

253 *N,N*-(hexanoyl)phenylthiocarbamoyl groups would then be degraded by the
254 abstraction of a proton by pyridine, which would result in the formation of the
255 NCS groups.

256 To evaluate the versatility of this method, we investigated the use of
257 several other acylating agents for the acylation of compound **2** (i.e.,
258 dodecanoylation, myristoylation, stearoylation, and benzoylation) under the
259 same conditions as those used for the hexanoylation reaction, which afforded
260 compounds **4b–e** in high yields. The FT-IR spectra of compounds **4a–d**
261 revealed that the characteristic bands of the phenylthiocarbamoyl groups at
262 1541, 1497, 746, and 694 cm^{-1} had disappeared, and that the characteristic
263 bands of the NCS and ester groups had appeared around 2047 cm^{-1} , and
264 around 1748 and 1159 cm^{-1} , respectively (Supporting information 1). These
265 results indicated that the isothiocyanation reaction had proceeded in all cases
266 regardless of the acyl group used in the acylation reaction. The DS_{NCS} values of
267 compounds **4b–d** and **4e** were determined to be 0.70 and 0.56, respectively, by
268 elemental analysis. The solubility of compound **2**, as well as those of
269 compounds **4a–e** are summarized in Table 2. The acyl chitosan isothiocyanates
270 **4a–e** were found to be soluble in a range of common solvents, including THF,

271 CHCl₃, and CH₂Cl₂. Interestingly, however, compounds **4a–e** became insoluble
272 in these solvents when they were stored as drying solids at ambient
273 temperature for more than several days. Subsequent testing of the insoluble
274 solid materials by FT-IR spectroscopy revealed that they were analytically
275 identical to the initial solids (data not shown). Similar insolubilization behavior
276 has also been observed for compound **2** and 6-isothiocyanato cellulose
277 derivatives (Shibano, Kamitakahara & Takano, 2013).

278 The *N*-phenylthiocarbamoylation of chitosan with phenyl isothiocyanate,
279 followed by acylation with acyl chloride under basic conditions (i.e., in the
280 presence of pyridine) has therefore been demonstrated as effective process for
281 the preparation of acyl chitosan isothiocyanates. Furthermore, this method
282 allows for the use of harmful reagents such as thiophosgene to be avoided. In
283 many ways, our newly developed method represents a trans-isothiocyanation
284 reaction from a phenyl isothiocyanate to an acyl chitosan isothiocyanates in two
285 reactions.

286

287 3.2. Reactivity of hexanoyl chitosan isothiocyanate **4a** with amines

288 Sugar isothiocyanates are known to react readily with amines to form thioureas

289 (Pérez, Mellet, Fuentes & Fernández, 2000). To confirm it, we proceeded to
290 investigate the reactivity of the acyl chitosan isothiocyanates towards a variety
291 of amines. When compound **4a** was reacted with aniline (aromatic amine) in
292 THF at 35 °C for 24 h, compound **5a** was formed in high yield. The FT-IR
293 spectrum of compound **5a** contained the characteristic bands of the
294 phenylthiocarbamoyl groups at 1537, 1497, 750, and 696 cm⁻¹, whereas the
295 characteristic NCS band at 2047 cm⁻¹ had disappeared. Furthermore, the ¹³C
296 NMR spectrum of compound **5a** contained a new signal at 181.0 ppm for the
297 C=S moiety of the newly formed phenylthiocarbamoyl group, which indicated
298 that the reaction of compound **4a** with aniline had proceeded smoothly.
299 Compound **4a** was also reacted with propyl amine (aliphatic primary amine) and
300 piperidine (aliphatic secondary amine) under the same conditions to give the
301 corresponding compounds **5b** and **5c** in high yields, respectively. These results
302 demonstrated that the acyl chitosan isothiocyanates were highly reactive
303 towards amino compounds, and could therefore be used as intermediates for
304 the synthesis of *N*-thiocarbamoyl chitosan derivatives.

305

306 3.3. *Formation of a functional chitosan derivative from decanoyl chitosan*

307 *isothiocyanate 4b*

308 The acyl chitosan isothiocyanate **4b** was converted to the porphyrin-appended
309 chitosan derivative **6** to demonstrate the potential application of these
310 compounds for the formation of functional chitosan derivatives. Compound **4b**
311 was reacted with TPP-NH₂ in CH₂Cl₂ at 35 °C for 48 h to give compounds **6** in
312 high yield. The FT-IR spectrum of compound **6** contained the characteristic
313 bands of decanoyl chitosan at 2926, 2854, 1744, 1155, 1111, and 1055 cm⁻¹,
314 as well as those from the porphyrin at 3415, 1597, 1468, 1350, 1178, 966, 800,
315 732, and 702 cm⁻¹, and those from the thiourea groups at 1547 cm⁻¹ (Fig.4). It
316 is noteworthy that a small band corresponding to the NCS group was detected
317 at 2039 cm⁻¹ in FT-IR spectrum of compound **6**, which indicated that the
318 reaction with TPP-NH₂ had not proceeded to completion. The ¹H NMR
319 spectrum of compound **6** contained signals from the aromatic protons of the
320 porphyrin ring in the range 7.2–9.0 ppm, as well as the pyrrole-NH proton of the
321 porphyrin ring at –2.80 ppm (Luguya et al. 2004) (Supporting information 2).
322 The UV-vis spectrum of compound **6** in chloroform contained a Soret band in
323 the range of 350–450 nm (Supporting information 3). These results clearly
324 indicated that compound **6** was the expected porphyrin-appended chitosan

325 derivative. The $DS_{TPPNHCS}$ value of compound **6** was determined to be 0.46 by
326 elemental analysis. This medium DS value was attributed to the steric
327 hindrance of the porphyrin groups, because a similar effect was also observed
328 in the corresponding porphyrin-appended cellulose derivative (Sakakibara,
329 Ogawa & Nakatsubo, 2007).

330 LB monolayer films of compound **6** were prepared on quartz (film 6A) and
331 on an ITO electrode (film 6B) using the vertical dipping method with surface a
332 pressure of 5 mN/m, which was decided based on the surface pressure
333 (π)-area (A) isotherm of compound **6** at the air-water interface at 20 °C
334 (Supporting information 4). In both cases, the monolayer film on the water was
335 not transferred during the first down stroke, but was transferred during the
336 second up stroke with a transfer ratio of almost 1.0, which indicated that films
337 6A and 6B were Z-type LB films. Film 6A was subjected to UV-vis analysis,
338 whereas 6B was evaluated in terms of its photocurrent generation performance.
339 The UV-vis spectrum of film 6A (solid state) had a similar profile to that of
340 compound **6** in chloroform (solution state), which suggested that the monolayer
341 had been successfully transferred. Fig. 5i shows the photoelectrochemical
342 response of film 6B with illumination at 420 nm. The photocurrent was

343 generated quickly when film 6B was illuminated. Fig. 5ii shows the action
344 spectrum of film 6B (circles) and the UV-vis spectrum of film 6A (solid line). The
345 patterns of these two spectra were very similar, which suggested that the
346 porphyrin moieties of compound **6** were effectively behaving as photoactive
347 species for the generation of the photocurrent, based on the absorption
348 spectrum. The photocurrent density (i.e., photocurrent per unit area of a
349 working electrode) for film 6B at 420 nm was 236 $\mu\text{A}/\text{cm}^2$. This value was lower
350 than that of an LB monolayer film constructed from a porphyrin-appended
351 cellulose derivative, which had a $\text{DS}_{\text{porphyrine}}$ value of 0.64 (Sakakibara, Ogawa
352 & Nakatsubo, 2007), and could therefore have been lower because of the lower
353 $\text{DS}_{\text{TTPNH}_2}$ value of compound **6**. Taken together, these results suggest that
354 compound **6** could be used as an effective alternative photon-to-electron
355 conversion material in biomaterial-based solar cells.

356

357 **4. Conclusion**

358 A facile new method has been developed for the synthesis of for the preparation
359 of acyl chitosan isothiocyanates based on the *N*-phenylthiocarbamoylation of
360 chitosan followed by acylation of the resulting thiocarbamoylated material under

361 basic conditions. Surprisingly, the formation of the NCS groups of the acyl
362 chitosan isothiocyanates occurred as a consequence of the degradation of the
363 *N,N*-(acyl)phenylthiocarbamoyl groups under the basic conditions required of
364 the acylation reaction. A similar outcome was observed when the acylation
365 reaction was conducted with acyl anhydride species under basic conditions,
366 and the details of this alternative method will be published in our next paper.

367 The acyl chitosan isothiocyanates exhibited a high level of reactivity
368 towards amines to afford the corresponding *N*-thiocarbamoyl chitosan
369 derivatives, which suggested that various functional amines could be used to
370 for the functionalization of chitosan. A porphyrin-appended chitosan derivative
371 (**6**) was also prepared to evaluate the application of these acyl chitosan
372 isothiocyanates to the synthesis of functional materials. The LB monolayer film
373 of compound **6** gave a good photon-to-electron conversion performance, which
374 suggested that compound **6** could be used as a promising photon-to-electron
375 conversion material. Taken together, the results of this study demonstrate that
376 our new method can be used to be provide rapid access to a range of acyl
377 chitosan isothiocyanates, which have the potential to become useful
378 intermediates for the construction of functional chitosan derivatives.

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382

383 **Supplementary data**

384 Supplementary data associated with this article can be found in the online
385 version, at <http://dx.doi.org/10.106/j.carbpol>. .

386

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Legends of Figures & Tables

(Figures & Table)

Scheme 1 Preparation of *N*-substituted thiocarbamoyl chitosan derivatives (**5a–c** and **6**) via the corresponding acyl chitosan isothiocyanates (**4a–e**).

Figure 1. ¹H and ¹³C NMR spectra of product A (Compound **4a**).

Figure 2. FT-IR spectra of the products during the 80 °C heating stage for the hexanoylation of compound **2** (normalized at 1379 cm⁻¹).

Figure 3. Proposed reaction mechanism for the formation of isothiocyanate groups.

Figure 4. FT-IR spectra of compounds **4b** (A); **6** (B); and TPP-NH₂ (C).

Figure 5. (i) Photoelectrochemical response of the LB monolayer film **6B** with illumination at 420 nm; (ii) Action spectrum of film **6B** (circles); UV-vis spectrum of film **6A** (solid line).

Table 1. Data of compounds **4a–e** and **5a–c**

Table 2. Solubility of chitosan derivatives **2** and **4a–e**

(Supporting information)

Supporting information 1

FT-IR spectra of compounds **1**, **2**, **4a–e** and **5a–c**.

Supporting information 2

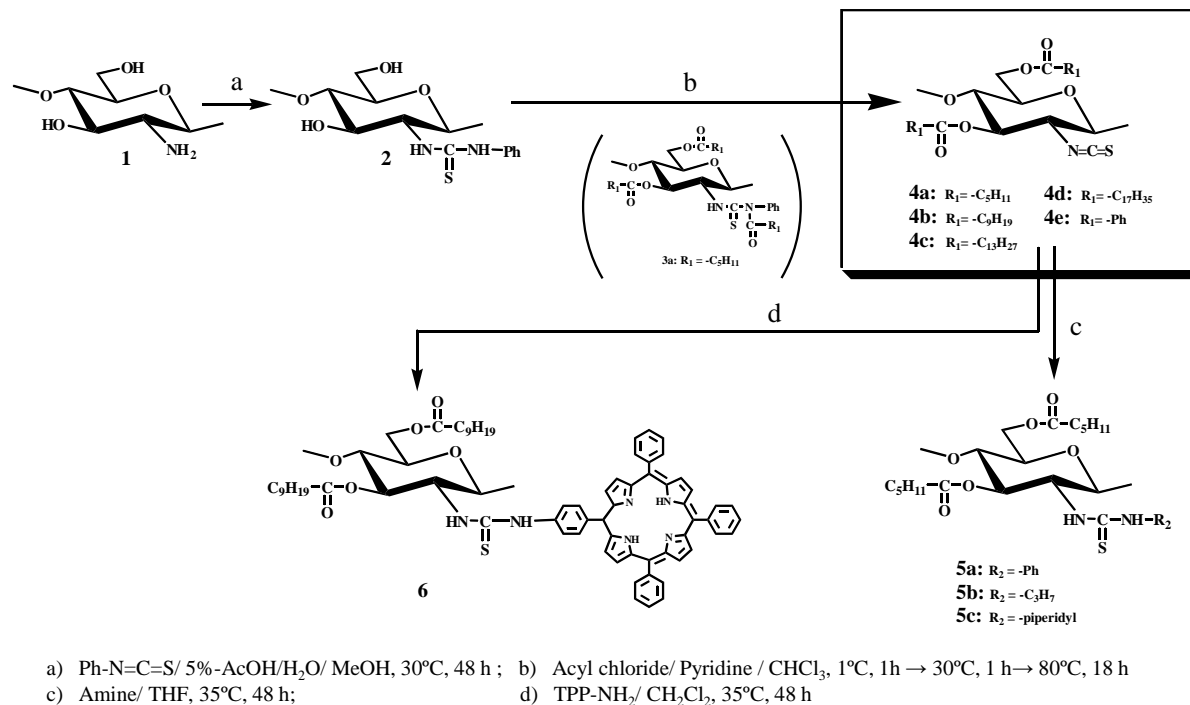
¹H NMR spectrum of compound **6**.

Supporting information 3

UV-vis spectra of compound **6** in CHCl₃ (solid line) and the LB monolayer film **6A** (dashed line) (normalized at 424 nm).

Supporting information 4

Surface pressure (π)-area (A) isotherm of compound **6**



Scheme 1 Preparation of *N*-substituted thiocarbamoyl chitosan derivatives (**5a-c** and **6**) via acyl chitosan isothiocyanates (**4a-e**)

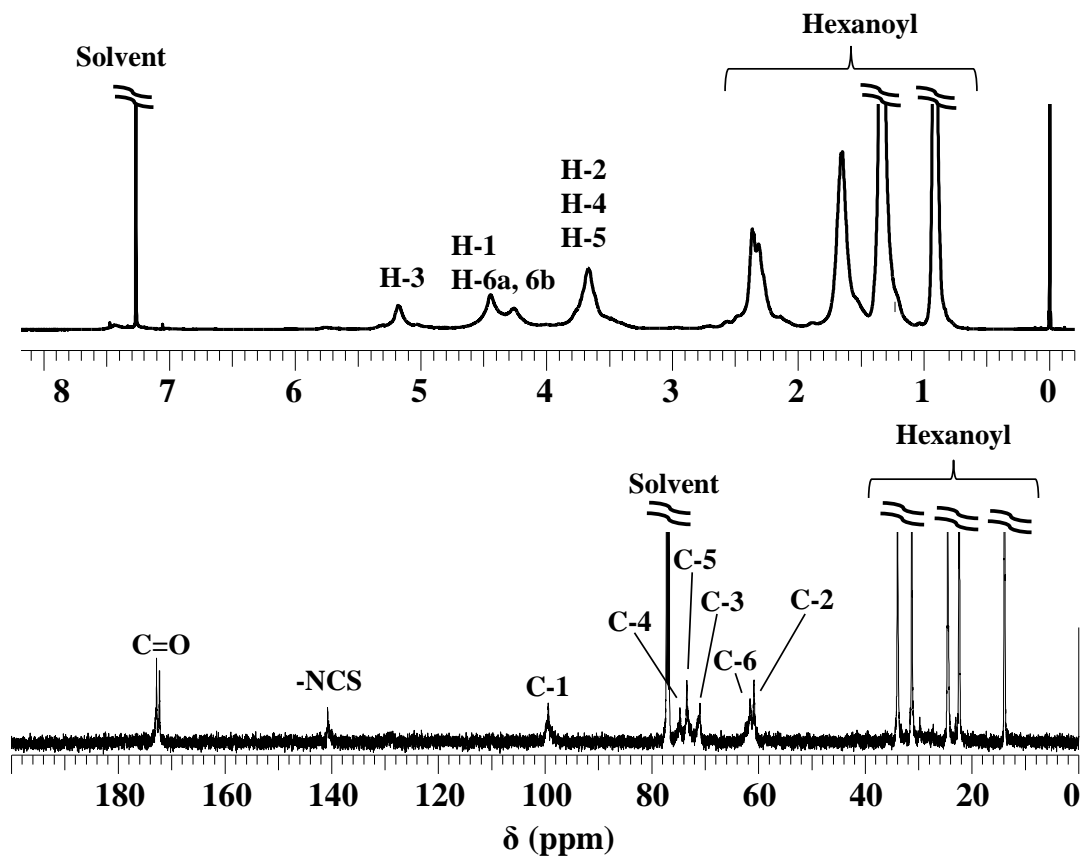


Figure 1. ^1H - and ^{13}C -NMR spectra of product A (Compound 4a)

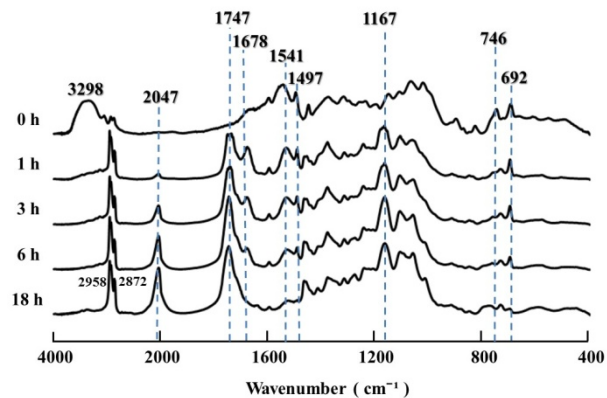


Figure 2. FT-IR spectra of the products at the 80°C stage in hexanoylation of compound **2** (normalized at 1379 cm⁻¹)

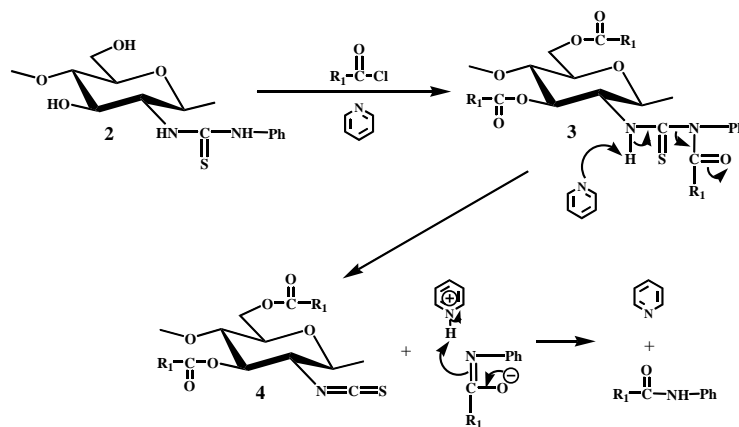


Figure 3. Proposed reaction mechanism for the formation of isothiocyanate groups

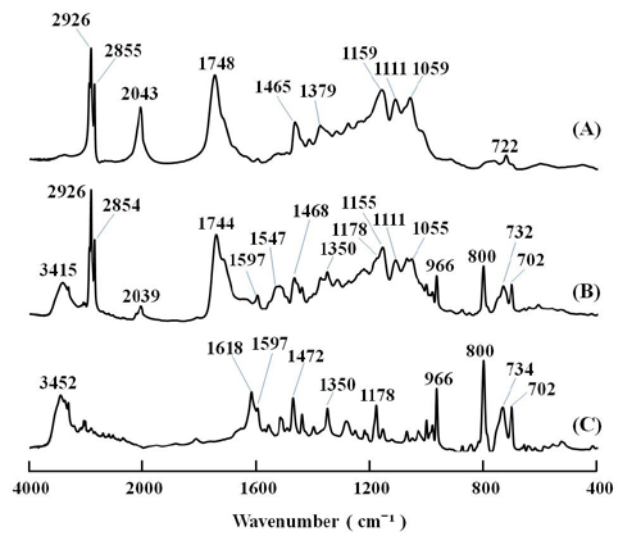


Figure 4. FT-IR spectra of compounds **4b** (A); **6** (B); TPP-NH₂ (C)

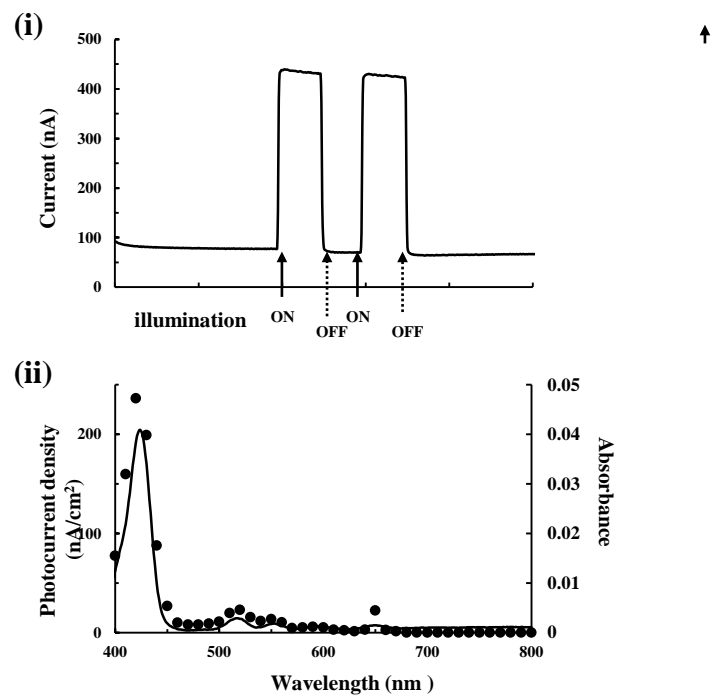


Figure 5. (i) Photoelectrochemical response of the LB monolayer film 6B with illumination at 420 nm; (ii) Action spectrum of film 6B (circles); UV-vis spectrum of film 6A (solid line) .

Table 1 Data of compounds **4a-e** and **5a-c**

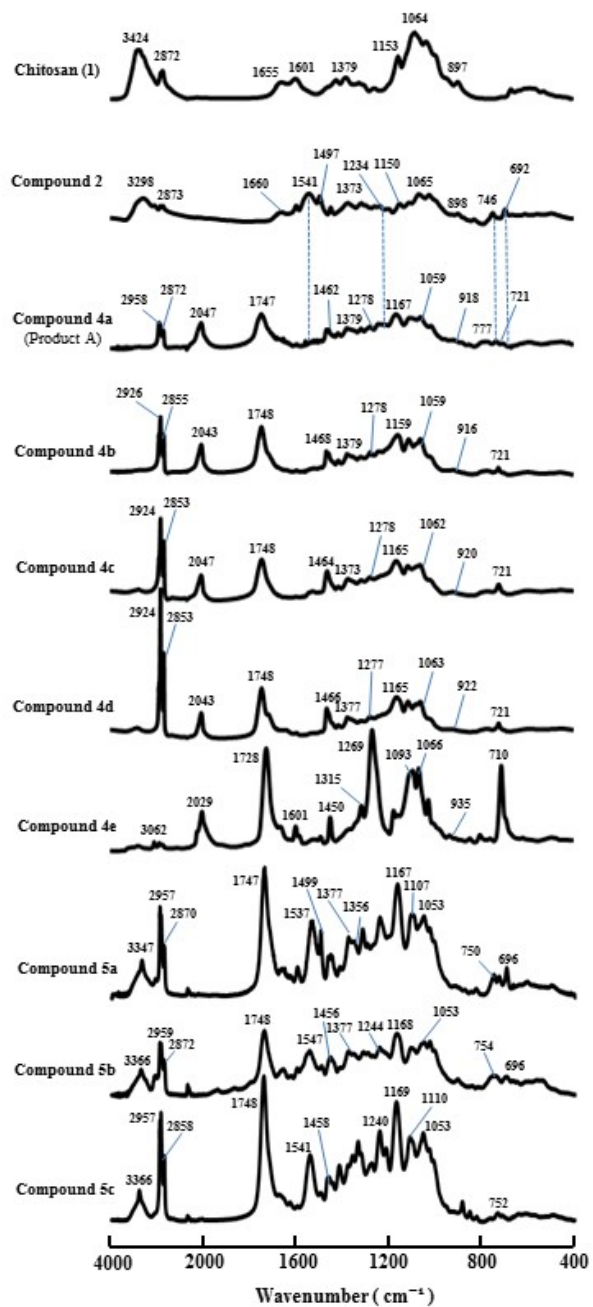
Compound (Acyl group)	4a (hexanoyl)	4b (decanoyl)	4c (myristoyl)	4d (stearoyl)	4e (benzoyl)	5a (hexanoyl)	5b (hexanoyl)	5c (hexanoyl)
DS*	0.74	0.70	0.70	0.70	0.56	0.68	0.68	0.64
	NCS	NCS	NCS	NCS	NCS	PhNHCS-	PrNHCS-	PiperidylNHCS-
¹ H NMR (in CDCl ₃) (ppm)								
H-3	5.18	5.17	5.17	5.17	5.22	5.03	5.10	5.01
H-1, H-6a	4.45	4.44	4.45	4.45	4.28	4.80-4.00	4.50-4.00	4.65-4.00
H-6b	4.26	4.26	4.27	4.26	4.09			
H-2, H-4, H-5	3.67	3.67	3.67	3.67	3.67	4.00-3.40	4.00-3.40	4.00-3.40
acyl -OCOCH ₂ -	2.37	2.35	2.38	2.35	-	2.30	2.34	2.34
acyl -OCOCH ₂ -CH ₂ -	1.65	1.64	1.64	1.60	-	1.58	1.62	1.61
acyl -CH ₂ -	1.34	1.27	1.26	1.26	-	1.29	1.32	1.32
acyl -CH ₃	0.91	0.88	0.88	0.88	-	0.88	0.89	0.88
Others	-	-	-	-	8.17-7.67, 7.64-6.90 (benzoyl aromatic-H)	7.60-7.06 (phenyl aromatic-H)	2.34, 1.32, 0.89 (propyl-H)	2.60-2.20, 1.51 (piperidyl-H)
¹³ C NMR (in CDCl ₃) (ppm)								
C=S	-	-	-	-	-	181.0	183.1	180.9
C=O	172.8, 172.3	172.8, 172.3	172.8, 172.2	172.8, 172.2	164.9, 164.8	173.5, 173.4	173.5, 173.4	173.7, 173.6
NCS	140.8	140.8	140.8	140.8	140.9	-	-	-
C-1	99.5	99.5	99.6	99.6	99.5	101.0	101.3	102.3
C-2	60.9	60.8	60.8	60.9	60.9	58.3	58.9	58.9
C-3	71.0	71.3	71.7	71.5	71.1	71.2	71.5	73.2
C-4	74.7	74.7	74.6	74.6	74.7	74.7	75.3	77.5
C-5	73.4	73.4	73.4	73.4	73.4	73.2	72.5	73.4
C-6	61.6	61.6	61.6	61.6	62.1	62.6	63.0	62.8
acyl -C	33.9, 31.3, 24.5 22.4, 13.9	34.0, 31.8, 29.5 24.9, 22.7, 14.1	34.0, 31.8, 29.4 24.9, 22.7, 14.1	34.1, 31.9, 29.4 24.9, 22.7, 14.1	-	33.9, 31.3, 24.5 22.3, 13.9	34.0, 31.3, 24.5 22.3, 14.0	34.0, 31.3, 24.5 22.4, 13.9
Others	-	-	-	-	133.4, 129.4, 128.6 (benzoyl aromatic-C)	132.6, 129.9, 124.8 (phenyl aromatic-C)	46.8, 24.9, 11.4 (propyl-C)	49.2, 25.6, 22.5 (piperidyl-C)
FT-IR (cm ⁻¹)								
	2958, 2872, 2047 1747, 1462, 1379 1278, 1167, 1059 918, 777, 721	2926, 2855, 2043 1748, 1468, 1379 1279, 1159, 1059 916, 721	2924, 2853, 2047 1748, 1464, 1373 1278, 1165, 1062 920, 721	2924, 2853, 2043 1748, 1466, 1377 1278, 1163, 1061 922, 721	3062, 2029, 1728 1601, 1450, 1315 1269, 1093, 1066 935, 710	3347, 2957, 2870 1747, 1537, 1499 1377, 1356, 1242 1167, 1107, 1053 750, 696	3366, 2959, 2872 1748, 1547, 1456 1377, 1356, 1244 1168, 1110, 1053 754, 696	3399, 2934, 2856 1748, 1541, 1495 1377, 1358, 1240 1169, 1110, 1053 752

* The DS (degree of substitution) were determined by elementary analyses.

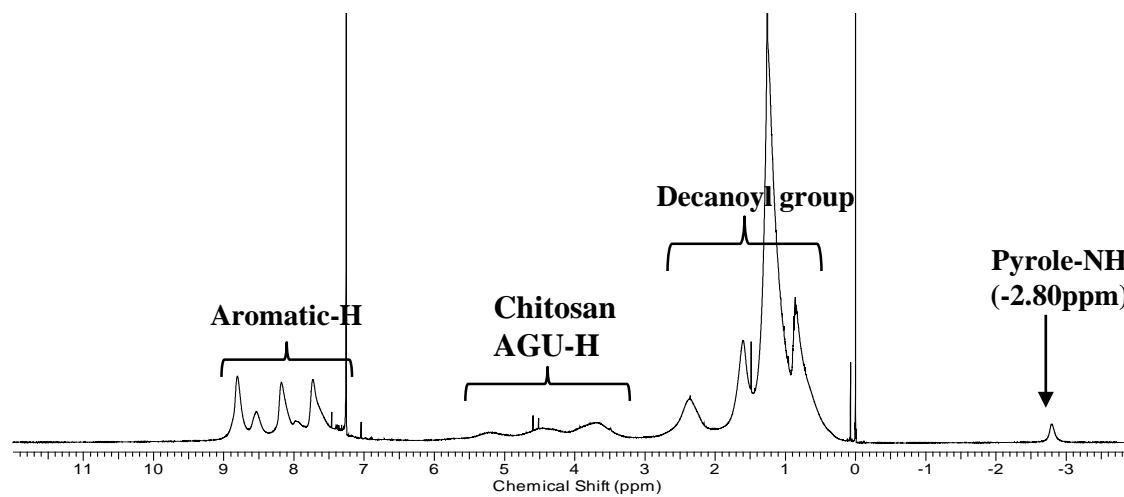
Table 2: Solubility of chitosan derivatives **2** and **4a-4e**

Solvents	δ	Compound					
		2	4a	4b	4c	4d	4e
THF	9.1	×	○	○	○	○	○
Chloroform	9.3	×	○	○	○	○	○
Acetone	9.4	×	○	△	△	×	○
Dichloromethane	9.6	×	○	○	○	○	○
Dioxane	9.8	×	○	○	△	△	○
DMF	11.5	○	○	△	△	×	○
DMSO	12.8	○	△	△	×	×	○
Methanol	12.9	×	×	×	×	×	×
Water	21.0	×	×	×	×	×	×

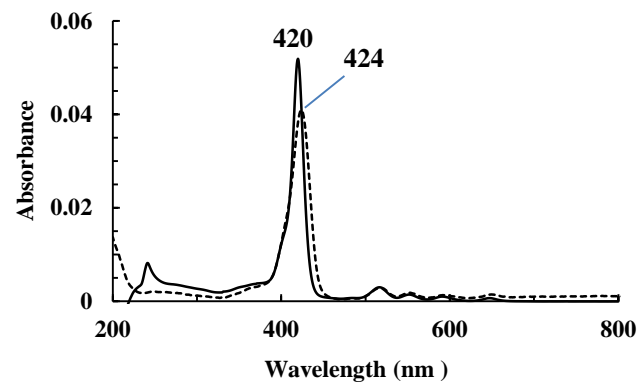
δ : Solubility parameter; ○: Soluble, △: Partially soluble, ×: Insoluble



Supporting information 1 FT-IR spectra of compounds 1, 2, 4a-e and 5a-c

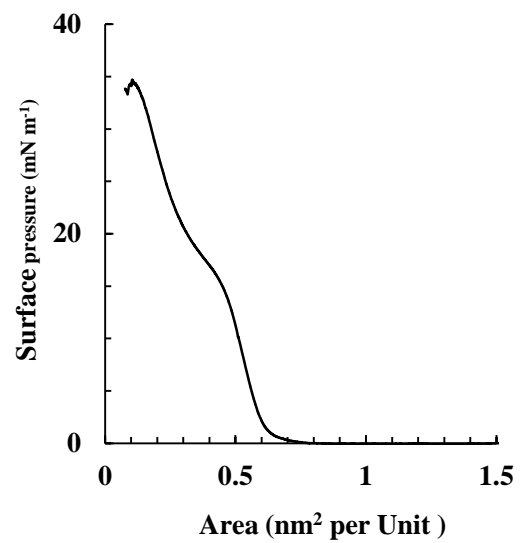


Supporting information 2
 $^1\text{H-NMR}$ spectrum of compound 6.



Supporting information 3

UV-vis spectra of compound **6** in CHCl₃ (solid line) and the LB monolayer film 6A (dashed line) (normalized at 424 nm).



Supporting information 4

Surface pressure (π)-area (A) isotherm of compound 6