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# A new theoretical approach to biological self-assembly

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**Abstract** Upon the biological self-assembly, the number of accessible translational configurations of water in the system increases considerably, leading to a large gain in water entropy. It is important to calculate the solvation entropy of a biomolecule with a prescribed structure by accounting for the change in water-water correlations caused by solute insertion. Modeling water as a dielectric continuum is not capable of capturing the physical essence of the water-entropy effect. As a reliable tool, we propose a hybrid of the angle-dependent integral equation theory combined with a multipolar water model and the morphometric approach. Using our methods wherein the water-entropy effect is treated as the key factor, we can elucidate a variety of processes such as protein folding, cold, pressure, and heat denaturating of a protein, molecular recognition, ordered association of proteins such as amyloid-fibril formation, and functioning of ATP-driven proteins.

**Keywords** Solvation entropy, Biological self-assembly, Protein folding, Protein denaturation, Molecular recognition, ATP-driven protein, Integral equation theory, Morphometric approach

## Introduction

The structures formed by the biological self-assembly are collapsed by the application of high pressures (Yoshidome et al. 2009). Typical examples are denaturation of a protein, dissociation of filamentous actin (F-actin) into actin monomers, and destruction of amyloid fibril. At low temperatures, the power of forming the structures becomes considerably weaker (Yoshidome and Kinoshita 2012). For example, a protein is unfolded and the binding of myosin to F-actin is weakened. This weakening is also relevant to the following: Upon temperature lowering, the solubility of methane increases, the critical micelle concentration becomes higher, and the average size of micelles for nonionic amphiphilic molecules becomes smaller. These phenomena are suggestive that there are common features of the biological self-assembly and a certain physical factor universally plays a dominant role as the driving force. What is this physical factor?

It is often claimed that the formation of intramolecular or intermolecular hydrogen bonds by biomolecules derive the biological self-assembly. However, they accompany the break of biomolecule-water hydrogen bonds. The energy decrease and increase arising from the formation and break, respectively, are rather compensating. The electrostatic attractive interaction between oppositely charged groups of a biomolecule or biomolecules is also considered to be important. Though it is quite strong and long ranged in vacuum, it becomes orders of magnitude weaker and shorter ranged in aqueous solution (~0.15M-NaCl solution in biological systems) due to the screening effects by water molecules and counter-ions (Kinoshita and Harano 2005).

In the present article, we review the results of our studies and point out that the physical factor mentioned above is the entropic effect originating from the translational displacement of water molecules which coexist with biomolecules in the system (Kinoshita 2009a). In particular, the gain in the translational entropy of water due to the biomolecule-water-water triplet and higher order correlations (i.e., the reduction in water crowding) is the most important. The principal topic is protein folding and unfolding, but the qualitative aspects of the conclusions are also applicable to such processes as molecular recognition and association of proteins. The water-entropy effect plays imperative roles even in the functioning of ATP-driven proteins such as unidirectional movement of myosin along F-actin (Amano et al. 2010) and protein flux through a chaperonin system (Amano et al. 2011). As an important example, we revisit our rotational mechanism for the  $\gamma$ -subunit in F<sub>1</sub>-ATPase.

#### Protein folding in aqueous solution under physiological condition

Protein folding occurs with the system pressure and volume kept almost unchanged (Yoshidome et al. 2008). Hence, we consider the constant-volume condition. Let  $\Delta A$  (A is a thermodynamic quantity) be "A of the native state" minus "A of the unfolded state". The free-energy change for the system upon protein folding  $\Delta F$  can be expressed as

$$\Delta F = \Delta E_{\rm I} - T\Delta S_{\rm I} + \Delta \mu = \Delta E_{\rm I} + \Delta E_{\rm V} - T\Delta S_{\rm I} - T\Delta S_{\rm V} (\Delta \mu = \Delta E_{\rm V} - T\Delta S_{\rm V}), \tag{1}$$

where  $E_I$  and  $S_I$  denote the protein intramolecular energy and entropy, respectively, and  $E_V$ ,  $S_V$ , and  $\mu$  denote the solvation energy, entropy, and free energy, respectively, and T is the absolute temperature ( $\mu = E_V - TS_V$ ).  $\Delta \mu$  takes a large, positive value: In this sense, water destabilizes the native state. This is because  $\Delta E_V$  is positive and very large. Inversely,  $\Delta E_I$  is negative and very large.  $\Delta E_V$  and  $\Delta E_I$  are rather compensating, but " $\Delta E_V + \Delta E_I$ " takes a positive value. It is apparent that  $\Delta S_I$  is negative because the folding accompanies a conformational-entropy loss. For  $\Delta F$  to become negative, a factor surpassing " $\Delta E_I + \Delta E_V - T\Delta S_I$ " is required: It is  $\Delta S_V$  that takes a large, positive value. Protein folding is driven by a large water-entropy gain. Many of the donors and acceptors for intramolecular hydrogen bonds (e.g., N and O, respectively) are buried in the interior due to the water-entropy effect after the break of hydrogen bonds with water molecules (e.g., CO…W and NH…W; W denotes a water molecule). There is no problem if intramolecular hydrogen bonds (e.g., CO…HN) are formed. However, such formation is not always realized, giving rise to a positive value of " $\Delta E_V + \Delta E_I$ ". The validity of the above picture of protein folding has been supported by the novel experiment by Terazima et al. where apoplastocyanin (apoPC) is made to fold at 298 K (Yoshidome et al. 2008). The folding accompanies the significantly large increase in enthalpy (corresponding to " $\Delta E_V + \Delta E_1$ "), ~870 kJ/mol. In conventional experiments, a protein is denatured at a high temperature and the changes in enthalpy and specific heat upon thermal denaturation are measured. Assuming that the specific-heat change is constant against *T*, they estimate the changes in enthalpy and entropy upon protein folding of the system at 298 K,  $\Delta H_{298}$  and  $\Delta S_{298}$ , using the thermodynamic equations. However, the specific-heat change upon the denaturation, which is positive, increases to a considerably large extent as *T* becomes lower. The conventional assumption tends to estimate that both  $\Delta H_{298}$  and  $\Delta S_{298}$  are negative (Kinoshita 2009b). The experiment by Terazima et al. is free from this type of problem.

#### **Entropic excluded-volume effect**

Why does a large gain in water entropy occur upon protein folding? To answer this question, we explain the excluded-volume effect (Kinoshita 2009a) using simplified geometries. As shown in Fig. 1(a), the presence of a large particle, a solute molecule, in small particles forming the solvent generates a space from which the centers of small particles are excluded. Upon the contact of a pair of large particles illustrated in Fig. 1(b), the two excluded spaces overlap, and the total volume available to the translational displacement of small particles increases by the volume of this overlapped space. A denser layer of small particles is formed near a large particle (see "Importance of solute-water many-body correlations"). Upon the contact, part of the small particles within the dense layers is released to the bulk, with the result that the system pressure remains roughly unchanged. The contact leads to an increase in the number of accessible translational configurations of small particles and to a resultant gain of the translational entropy of small particles. The large particles are driven to contact each other. Since this effect is induced even when all of the particles are hard bodies with no soft interaction potentials (all of the allowed system configurations share the same energy and the system behavior becomes purely entropic in origin), it is called "entropic excluded-volume effect". This effect is dependent not only on the excluded volume but also on the area and curvature of the surface that is accessible to the centers of small particles. It is also influenced by the structure of small particles confined by large particles. An elaborate statistical-mechanical theory (e.g., an integral equation theory) shows that an interaction, which reaches several times of the diameter of small particles, is entropically induced between large particles (Kinoshita 2009a).

The entropic excluded-volume effect plays important roles in a colloidal suspension (Kinoshita 2006). When colloidal particles with highly charged surfaces (e.g., polystyrene microspheres) are immersed in salt solution with appropriately low salt concentration (e.g.,  $\sim 0.01$ M-NaCl), due to the screening of the surface charges, the interaction between colloidal particles can be described by nearly hard-sphere potential in a sea of salt solution: Water

molecules need not explicitly be treated. The hard-sphere diameter is roughly equal to the diameter of the particle itself plus the Debye length that is much larger than several times of the molecular diameter of water. For a colloidal suspension containing these particles of significantly different sizes, where the number density of larger particles is much lower than that of smaller particles, we can take the view that the larger particles generate excluded volumes for the smaller particles. The salt solution can be regarded as inert background. In biological systems, however, this simple treatment is no more acceptable for the following reason. A biomolecule such as a protein is characterized by the heterogeneity that it comprises nonpolar groups as well as positively and negatively charged groups. Moreover, the charges are screened by water molecules and counter-ions. The concentration of NaCl is ~0.15M that is over an order of magnitude higher than ~0.01M. Consequently, biomolecules or their portions can approach each other up to a separation that is smaller than several times of the diameter of water molecules. They can even contact each other. The presence of water molecules must explicitly be taken into account. Water molecules and biomolecules as solutes correspond to "small particles" and "large particles", respectively. In fact, we have shown that the water-entropy effect is usually dominant in biological systems (Kinoshita 2009a).

When the shape of solutes is highly aspherical (e.g., long cylinders, thin discs, etc.), the entropic excluded-volume effect exhibits very interesting behavior. For example, among the four contact manners of solutes shown in Fig. 2, manner 4 maximizes the volume of the overlapped space and the entropic gain of solvent molecules. Hence, the solvent forces the solutes to contact each other in manner 4. Thus, regular or orderly contacts or association of solutes in specific manners are driven by the solvent-entropy effect (Kinoshita 2004). The translational displacement of solutes, which is also important, favors the dispersion of solutes. This is the effect of entropy of mixing which competes with the excluded-volume effect. If the solute concentration is low, the former dominates. If it becomes sufficiently high, the latter becomes substantially larger.

#### Thermodynamics of apoplastocyanin folding

The formation of a helical structure by a portion of the backbone occurs in the  $\alpha$ -helix (Fig. 3(a)) and a lateral contact of portions of the backbone occurs in the  $\beta$ -sheet (Fig. 3(b)), leading to a significantly large decrease in the total excluded volume for water molecules. At the same time, the intramolecular hydrogen bonds, which compensates for the break of hydrogen bonds with water molecules, can also be assured. Thus, these two secondary structures are very advantageous units. When side chains are closely packed (Fig. 3(c)), the decrease in the total excluded volume is quite large. Protein folding can be characterized by the formation of as much  $\alpha$ -helix and  $\beta$ -sheet as possible and the close packing of the backbone and side chains with a variety of geometric features (Yasuda et al. 2010). Using a tube model mimicking the backbone or its portion, some other groups (Snir and Kamien 2007; Hansen-Goos et al. 2007; Poletto et al. 2008) have examined which of the  $\alpha$ -helix and the  $\beta$ -sheet is more stabilized

when the density and molecular diameter of the solvent are varied as important parameters. The solvent-entropy effect is treated as the key factor. However, the effect of the side-chain packing specific to their geometric features cannot be argued with the tube model. The adoption of the polyatomic structure is crucial in the argument.

The experimental study by Terazima et al. for apoPC (with 99 residues) folding and our theoretical analysis have shown that the water-entropy gain upon the folding reaches  $\sim 670k_B$  ( $k_B$  is the Boltzmann constant). The analysis for apoPC folding has been performed using the hybrid of an integral equation theory and the morphometric approach which is described in the next section. The water-entropy gain upon protein folding is given by " $S_V$  of the native state" minus " $S_V$  of the unfolded state". The results obtained can be summarized as follows (Yoshidome et al. 2008): The large gain in water entropy is ascribed primarily to the reduction in the excluded volume generated by the protein; the water-entropy gain, which is attributable to the change in the surface properties (e.g., the ASA), makes no essential contributions to the net gain; and the rotational-entropy gain of water is only ~5% of the net gain. The large gain in water entropy cannot be elucidated by the classical picture that the amount of water structuring near nonpolar groups decreases upon protein folding.

In the original Asakura-Oosawa (AO) theory (Asakura and Oosawa 1958), "large particles" and "small particles" corresponded to colloidal particles and macromolecules, respectively, and the solvent was regarded as inert background. (Minton et al. (Ellis and Minton 2003) has also been treating the solvent as inert background). We can apply the AO theory to protein folding by considering water molecules to be the small particles: Since the AO theory considers only the excluded-volume term of the protein-water pair correlation component (see "Importance of solute-water many-body correlations"), the water-entropy gain is simply given by  $k_{B}\rho_{S} | \Delta V_{ex} |$  where  $\rho_{S}$  is the number density of bulk water and  $\Delta V_{ex}$  (<0) is the change in the excluded volume generated by the protein. According to this equation, the water-entropy gain upon apoPC folding is only ~180 $k_{B}$ , indicating an unacceptable underestimation. (The AO mechanism has been rederived by Vrij (Vrij 1976) using a different approach.)

## Hybrid of integral equation theory and morphometric approach

The solvation entropy  $S_V$  (<0), a loss of solvent entropy upon solute insertion, is an essential quantity in elucidating the biological self-assembly. Any theory in which water is regarded as a dielectric continuum is not capable of accounting for the entropic excluded-volume effect. It is critical to treat water as a system consisting of "particles with finite sizes". In physical analyses focused on the effect of the translational displacement of water molecules, water can often be modeled as hard spheres (Harano and Kinoshita 2005; Kinoshita 2009a). However, when the temperature dependence of the effect is crucial as in the case of cold denaturation of a protein (Yoshidome and Kinoshita 2012) or when a number of rather compact structures are compared in terms of the stability in aqueous solution (Yasuda et al. 2011; Yasuda et al. 2012),

a suitable molecular model must be employed for water. A problem in such cases is that the calculation of  $S_V$  for a large, polyatomic solute like a protein is a formidable task. We have solved this problem by combining an integral equation theory with the morphometric approach (MA).  $S_V$  is fairly insensitive to the solute-water interaction potential while  $E_V$  and  $\mu$  are not (Yoshidome et al. 2008; Yoshidome et al. 2012). Therefore, a protein can be modeled as a set of fused hard spheres just for calculating its  $S_V$ .

The idea of the MA is to express  $S_V$  by the linear combination of only four geometric measures of a solute molecule (Roth et al. 2006):

#### $S_V/k_{\rm B}=C_1V_{\rm ex}+C_2A+C_3X+C_4Y.$

(2)

Here, Eq. (2) is referred to as the morphometric form,  $V_{ex}$  is the excluded volume, A is the solvent-accessible surface area, and X and Y are the integrated mean and Gaussian curvatures of the accessible surface, respectively. The solvent-accessible surface is the surface that is accessible to the centers of solvent molecules.  $S_V$  is influenced not only by  $V_{ex}$  but also by the other three geometric measures. In the MA, the solute shape enters  $S_V$  only via the four geometric measures. Therefore, the four coefficients ( $C_1$ - $C_4$ ) can be determined in simple geometries. They are calculated from the values of  $S_V$  for hard-sphere solutes with various diameters immersed in the solvent. (The morphometric form can also be applied to  $\mu/(k_BT)$  of a solute in hard-sphere solvent and  $\mu/(k_BT)$  of a nonpolar solute in water.)

The procedure of calculating  $S_V$  of a protein with a prescribed structure comprises the following four steps (Mishima et al. 2012).

- (1)  $S_V$  of a hard-sphere solute with diameter  $d_U$  is calculated. The values of  $S_V$  are prepared for sufficiently many different values of  $d_U$  (e.g.,  $0 \le d_U \le 30d_S$ ;  $d_S$  is the diameter of solvent molecules). In principle, any statistical-mechanical theory or computer simulation can be employed for this calculation. We use the radial-symmetric integral equation theory and the angle-dependent integral equation theory (ADIET) for simple-fluid solvent (e.g., hard-sphere solvent) and for water with a molecular model, respectively. It is important to include sufficiently small values of  $d_U$  especially for water (Yoshidome and Kinoshita 2012).
- (2) The four coefficients are determined by the least square fitting applied to the following equation for hard-sphere solutes (i.e., Eq. (2) applied to hard-sphere solutes):

$$S_{V}/k_{\rm B} = C_1(4\pi R^3/3) + C_2(4\pi R^2) + C_3(4\pi R) + C_4(4\pi), R = (d_{\rm U} + d_{\rm S})/2.$$
(3)

- (3) The four geometric measures of a protein (V<sub>ex</sub>, A, X, and Y) with a prescribed structure are calculated. The *x-y-z* coordinates of the protein atoms are used as part of the input data to account for the polyatomic structure at the atomic level. The diameter of each atom is set at the sigma value of the Lennard-Jones potential parameters.
- (4) S<sub>V</sub> of a protein with a prescribed structure is obtained from Eq. (2) in which the four coefficients determined in step (2) are used. The computation time required in steps (3) and (4) is shorter than 1 sec on our workstation even for a very large protein.

The ADIET is a statistical-mechanical theory for molecular liquids (Kusalik and Patey 1988a; Kinoshita 2008). In this theory, a multipolar model is employed for water. For example, a water molecule is modeled as a hard sphere with diameter  $d_S$ =0.28 nm in which a point dipole and a point quadrupole of tetrahedral symmetry are embedded. The effect of the molecular polarizability is taken into account using the self-consistent mean field (SCMF) theory. At the SCMF level the water-water many-body induced interactions are reduced to pairwise additive potentials involving an effective dipole moment. We have shown that the ADIET (Kinoshita 2008) predominates over the reference interaction site model (RISM) and related theories (Hirata and Rossky 1981; Perkyns and Pettitt 1992) in the elucidation of hydrophobic hydration. Although the integral equation theory implemented by Lado et al. (Giacometti et al. 2010) is angle dependent, its details are somewhat different from the version which has been used by Patey et al. (Kusalik and Patey 1988b) and our group. The rotational-invariant expansion which is considerably more convenient is not employed in Lado's version.

The four coefficients calculated are dependent on the solvent species, thermodynamic state (e.g., temperature and pressure) of the solvent, and the method employed in step (1). When the solvent is a simple fluid,  $S_V$  of a protein can directly be calculated using the three-dimensional integral equation theory. Even in such cases, the hybrid of the radial-symmetric integral equation theory and the MA gives the results with errors smaller than  $\pm 1\%$  and computation time that is four orders of magnitude shorter (Roth et al. 2006).

The high reliability of our hybrid method in calculating  $S_V$  has been demonstrated in the following examples: quantitative reproduction of the experimentally measured changes in thermodynamic quantities upon apoPC folding; elucidation of the molecular mechanisms of pressure (Harano et al. 2008; Yoshidome et al. 2009) and cold (Oshima et al. 2009; Yoshidome and Kinoshita 2012) denaturating of proteins; proposal of a reliable measure of the thermal stability of proteins (Oda et al. 2011); structural stability of membrane proteins (Yasuda et al. 2012); development of a free-energy function capturing the features of the native fold for discriminating it from a number of misfolded decoys (Yasuda et al. 2011); development of a reliable method of characterizing the native-structure models of a protein determined through the X-ray crystallography and NMR experiments combined with structure calculations (Mishima et al. 2012); and prediction of the so-called hot spots (i.e., residues accounting for the majority of the protein-protein binding free energy despite that they comprise only a small fraction of the protein-protein interface) in protein-protein complexes (Oshima et al. 2011).

We have recently extended the MA to a multi-component solvent (Kodama et al. 2011). The extended version will be useful for analyses on the salt (e.g., NaCl) and cosolvent (e.g., sugar) effects. We note that the solvent-entropy effect is influenced by cosolvents. For example, the solubility of hydrophobic solutes exhibits a significant decrease upon salt addition to water, and the native state of a protein is more stabilized by addition of sugars such as sucrose and glucose.

#### Importance of solute-solvent many-body correlations

 $S_V$  comprises the solute-solvent pair correlation component and solute-solvent-solvent triplet and higher-order (solute-solvent many-body) correlation component. Each solvent molecule generates an excluded volume for the other solvent molecules (see Fig. 4(a)), giving rise to the entropic correlations among solvent molecules (i.e., solvent crowding). This is the origin of the many-body correlations. Changes in the translational freedom of each individual solvent molecule and in solvent crowding occur upon solute insertion. A difference between the pair and many-body correlations is as follows. When a solvent molecule contacts the solute as illustrated in Fig. 4(b), an overlap of the excluded volumes generated by the solvent molecule and the solute occurs. The total volume available to the other solvent molecules increases by the overlapped volume. The translational freedom of the solvent molecule in contact with the solute is restricted (effect 1), but that of the other solvent molecules is increased (effect 2). Effect 1 originates from the pair correlation while effect 2 is ascribed to the many-body correlations. Due to effect 2, sufficiently many solvent molecules are driven to contact the solute, leading to the formation of a denser layer of solvent molecules near the solute. The structure of the layer is determined by the interplay of these two opposing effects. At low pressures, solvent crowding is not serious and effect 2 is not very important, with the result that the number of solvent molecules in contact with the solute is not large. At high pressures, by contrast, effect 2 becomes essential due to the severe solvent crowding, and considerably more solvent molecules are driven to contact the solute. When the solute possesses a flexible, polyatomic structure as in the case of a protein, its structure is changed to the one with a much larger solvent-accessible surface area and an excluded volume kept sufficiently small: i.e., the pressure-denatured structure (Yoshidome et al. 2009).

Using an integral equation theory, we can decompose  $S_V$  into the pair correlation and many-body correlation components (Yoshidome et al. 2009). Each of the pair correlation and many-body correlation components can further be decomposed into the excluded-volume term (term 1) and the solvent-accessible-surface term (term 2; dependent on A, X, and Y) using Eq. (2). The solvent molecules near the solute contribute to term 2 while those in the bulk contribute to term 1. There are the four constituents of  $S_V$ : terms 1 and 2 of the pair correlation component and terms 1 and 2 of the many-body correlation component. Term 1 of the pair correlation component represents a decrease in the total volume available to each solvent molecule in the bulk. Term 1 of the many-body correlation component is related to an increase in translational and orientational restrictions for each solvent molecule near the solute. Term 2 of the many-body correlation component is discussed in the next paragraph.

The coefficients in the morphometric forms for the pair correlation and many-body correlation components of  $-S_V/k_B$  (note the minus sign) are dependent on the reduced number density of the bulk solvent,  $\rho_S d_S^3$  ( $d_S$  is the diameter of solvent molecules). The dependence of the first and second coefficients on  $\rho_S d_S^3$  for hard-sphere solvent ( $\mu/(k_B T) = -S_V/k_B$ ) is shown in

Fig. 5. (The value of  $\rho_S d_S^3$  for water at ambient temperature and pressure is 0.7317.) For both term 1 and term 2, the many-body correlation component is far larger than the pair correlation component. Moreover, it is much more strongly dependent on  $\rho_S d_S^3$ , which is a reflection of the strong dependence of solvent crowding on  $\rho_S d_S^3$ . Term 2 of the many-body correlation component is attributable to the following two factors: the structuring of solvent molecules near the solute (factor 1); and reduction in solvent crowding originating from "an increase in translational restriction for each solvent molecule near the solute" and from "the translational structuring of solvent molecules near the solute" (factor 2). It is interesting to note that factor 2 makes a positive contribution to  $S_V$ . Its physical interpretation is the following: As the solvent-accessible surface increases, the number of solvent molecules in contact with the solute becomes larger, leading to a positive value of term 2 of the many-body correlation component as well as a negative value of term 2 of the pair correlation component (see Fig. 4).  $C_2^{\text{Multi}}$  is negative because factor 2 is larger than factor 1 making a negative contribution to  $S_V$ .

When hard-sphere solvent is replaced by water, the behavior of  $C_1^{\text{Multi}}$ ,  $C_2^{\text{Pair}}$ , and  $C_2^{\text{Multi}}$ becomes quantitatively different but qualitatively similar at ambient temperature. The change in the rotational freedom of water molecules upon solute insertion is also included in  $S_V$ . However, this change does not possess the excluded-volume term. The insertion reduces the translational and rotational freedoms of water molecules, causing losses of translational and rotational entropies of water, respectively. Only the water molecules near the solute undergo the rotational reduction, while the translational reduction reaches the water molecules far from the solute as well. The loss of the translational entropy is substantially larger than that of the rotational entropy.

## Comparison with other methods for biomolecular solvation

Biomolecular solvation has also been investigated by some other groups at the atomic level. For example, the RISM and related theories are useful in analyses on the water-entropy effect. However, even their three-dimensional versions (Beglov and Roux 1997; Yoshida et al. 2009; Palmer et al. 2010), which have been shown to be far superior to the one-dimensional versions, are incapable of reproducing the pressure and temperature dependences of the effect. They fail to elucidate cold and pressure denaturating of proteins: A protein is not denatured at low temperatures and the pressure-denatured structure is more stable than the native structure even at low pressures. Moreover, they considerably underestimate the entropic gain of water upon protein folding (Imai et al. 2007). The molecular dynamics (MD) simulation combined with a new solution theory in the energy representation developed by Matubayasi et al. (Karino and Matubayasi 2011) is far more efficient than the standard MD simulation and potentially a reliable tool in studies on biomolecular solvation. However, it is still much more laborious than the integral equation theories. The great advantages of our hybrid method, which distinguishes it from these methods, are as follows: (i) The computation time required is orders

of magnitude shorter; and (ii) the solvation entropy can be decomposed into physically insightful constituents using the morphometric approach and the physical origin of any result can be clarified.

## Rotational mechanism for γ-subunit in F<sub>1</sub>-ATPase

The  $\gamma$  subunit in the  $\alpha_3\beta_3\gamma$  complex taken from F<sub>1</sub>-ATPase has been shown to exhibit a rotation. During one hydrolysis cycle of ATP comprising the three processes, ATP binding, hydrolysis of ATP into ADP and Pi, and dissociation of ADP and Pi, the  $\gamma$  subunit rotates by 120° (see Fig. 6). It is desired for a protein or a complex of proteins that the backbones and side chains be tightly packed to maximize the water entropy. However, this is not always possible. Even in cases where the overall tight packing is not achievable, there are certainly the portions that can be tightly packed. It is important to pack such portions preferentially. In our view, such inhomogeneity of the packing structure plays essential roles in the rotation mechanism for the  $\gamma$  subunit.

We consider state A shown in Fig. 6 where ATP is bound to the  $\beta$  subunit named  $\beta_{TP}$ , ATP that is ready to be hydrolyzed into ADP and Pi is bound to the  $\beta$  subunit named  $\beta_{DP}$ , and nothing is bound to the  $\beta$  subunit named  $\beta_E$  (only Pi remains within  $\beta_E$ ). The three  $\alpha$  subunits are named  $\alpha_{TP}$ ,  $\alpha_{DP}$ , and  $\alpha_E$ , respectively.  $\beta_{TP}$  and  $\beta_{DP}$  are in closed conformations while  $\beta_E$  takes an open conformation. We define the following three groups, "group I:  $\gamma$ ,  $\beta_E$ ,  $\alpha_E$ , and  $\alpha_{TP}$ ", "group II:  $\gamma$ ,  $\beta_{TP}$ ,  $\alpha_{TP}$ , and  $\alpha_{DP}$ ", and "group III:  $\gamma$ ,  $\beta_{DP}$ ,  $\alpha_{DP}$ , and  $\alpha_E$ " (see state A in Fig. 6). The structures of the  $\alpha_3\beta_3\gamma$  complex before and after the 120° rotation of the  $\gamma$  subunit are the same. We emphasize that the groups are defined in terms of their positions. For example, after the  $\gamma$  subunit rotates by 120°, the arrangement changes into state C in Fig. 6 and group III now comprises  $\gamma$ ,  $\beta_E$ ,  $\alpha_E$ , and  $\alpha_{TP}$ .

We have analyzed the solvation entropies of the three groups using the hybrid of the ADIET and MA (Yoshidome et al. 2011; Yoshidome et al. 2012). In what follows, we summarize the physical picture of the rotational mechanism obtained. When a local, tight packing of  $\beta_{DP}$ ,  $\alpha_{DP}$ ,  $\alpha_E$ , and the  $\gamma$  subunit with a particular orientation is preferentially achieved, the water entropy is maximized. The other two groups are packed moderately and loosely, respectively (see state A in Fig. 6). When the receptor has higher affinity with ATP than with ADP and the ATP and ADP concentrations are sufficiently high and low, respectively, any of the three processes in the hydrolysis cycle mentioned above leads to the lowering of the system free energy and unavoidably occurs. In the case of the  $\alpha_3\beta_{3\gamma}$  complex, Pi is released from  $\beta_E$ , ATP is hydrolyzed within  $\beta_{DP}$ , ATP binds to  $\beta_E$ , and ADP is released from  $\beta_{DP}$ , with the result that  $\beta_E$ ,  $\beta_{DP}$ , and  $\beta_{TP}$  change to  $\beta_{TP}$ ,  $\beta_E$ , and  $\beta_{DP}$ , respectively: The  $\gamma$  subunit rotates by 120° to recover the local, tight packing with  $\beta_{DP}$ ,  $\alpha_{DP}$ , and  $\alpha_E$  (see state C in Fig. 6). The system free energy of state C is lower than that of state A by the free-energy decrease upon the ATP hydrolysis in aqueous solution,  $F_{H}$  (<0).

It is experimentally known that the conformation of  $\beta_{DP}$  becomes half-open upon the release of Pi from  $\beta_E$  and hydrolysis of ATP within  $\beta_{DP}$ . Namely, the most tightly packed group becomes looser. Instead, the moderately packed and loosely packed groups are made tighter to recover the water entropy, inducing the 40° rotation of the  $\gamma$  subunit: state A  $\rightarrow$  state B in Fig. 7. The ATP binding to  $\beta_E$ ' and release of ADP from  $\beta_{DP}^{HO}$  give rise to further promotion of these changes in the packing structures of the three groups, inducing the 80° rotation of the  $\gamma$  subunit: state B  $\rightarrow$  state C in Fig. 7. Thus, three portions which are different from one another in the degree of packing are always formed, and they are cyclically exchanged. The  $\gamma$  subunit simply follows this cyclic exchange arising from the interplay of water entropy and hydrolysis cycle of ATP. This picture is consistent with the initially surprising experimental observation (Uchihashi et al. 2011) that the inhomogeneous packing structure *rotates* even without the  $\gamma$  subunit.

The change in the system free energy during the 120° rotation of the  $\gamma$  subunit is explained in Fig. 8. The sum of the free-energy decreases arising from the following events is equal to  $F_{\rm H}$ : Pi release and ATP hydrolysis, reorganization of the packing structure of the  $\alpha_{3}\beta_{3}\gamma$  complex accompanying the 40° rotation of the  $\gamma$  subunit, ATP binding and release of ADP, and reorganization of the packing structure of the complex accompanying the 80° rotation of the  $\gamma$  subunit. The reorganization is driven by the water-entropy effect.

Protein folding occurs so that the system free energy can be lowered. The native state is visually different from the unfolded state. In the  $\alpha_3\beta_3\gamma$  complex, however, state C appears to be the same as state A (see Fig. 6). Actually, they are different: one ATP molecule is hydrolyzed, and the system free energy in state C is lower by  $F_{\rm H}$ . Without the three processes in the hydrolysis cycle, state A does not exhibit any change once it is stabilized. The three processes drive the rotation in the complex so that the system free energy can be lowered. The ATP hydrolysis into ADP and Pi is required just for recovering the original state by releasing ADP and Pi. The recovery allows the complex to repeat the rotational function. Water executes the rotation, and the ATP action provides a switch initiating the state change. We believe that all the ATP-driven proteins share the same physical essence.

#### **Concluding remarks**

The entropic excluded-volume effect becomes stronger as the molecular size of the solvent decreases and/or the solvent number density increases. Thanks to the hydrogen bonding, water can exist in liquid state at ambient temperature and pressure despite its exceptionally small molecular size. The effect, which stems from the translational displacement of solvent molecules, is the largest for water among ordinary liquids in nature. This is an answer to the question: Why is water indispensable to life?

The physical factor driving the biological self-assembly referred to in "Introduction" is a gain in the translational entropy of water brought by the reduction in water crowding in the system, i.e., the water-entropy effect arising from the biomolecule-water many-body

correlations. We have shown that this effect is the true physical origin of *hydrophobicity* (Yoshidome and Kinoshita 2012). The water-entropy effect becomes considerably less powerful at low temperatures, giving rise to the collapse of the self-assembled structures (e.g., cold denaturation of a protein).

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**Conflict of interest** None.

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# **Figure Captions**

Fig. 1. Contact of a pair of large particles in small particles. When the small particles are spheres with diameter  $d_s$  and the large particle is a sphere with diameter  $d_L$ , the excluded space (the space occupied by the large sphere itself plus the space shown in gray) is a sphere with diameter " $d_s+d_L$ ". Upon the contact, the two excluded spaces overlap (the overlapped space is marked in black).

Fig. 2. Four contact manners of large particles, solutes, with high asphericity (e.g., long cylinders, thin discs, etc.) immersed in small particles forming the solvent. Manner 4 maximizes the volume of the overlapped space (the space marked in black).

Fig. 3. Crucial importance of water-entropy effect in protein folding. (a) Formation of a helical structure by a portion of the backbone occurring in the  $\alpha$ -helix. (b) Lateral contact of portions of the backbone occurring in the  $\beta$ -sheet. (c) Close packing of side chains.

Fig. 4. Illustration of a difference between the solute-solvent pair correlation and solute-solvent-solvent triplet and higher-order (solute-solvent many-body) correlations.

Fig. 5. Dependence of  $C_1^{\text{Pair}}$ ,  $C_1^{\text{Multi}}$ ,  $C_2^{\text{Pair}}$ , and  $C_2^{\text{Multi}}$  on  $\rho_S d_S^3$  for hard-sphere solvent.  $C_1^{\text{Pair}}=C_1$  for the pair correlation component of  $-S_V/k_B$  ( $C_1^{\text{Pair}}=\rho_S$ ),  $C_1^{\text{Multi}}=C_1$  for the many-body correlation component of  $-S_V/k_B$ ,  $C_2^{\text{Pair}}=C_2$  for the pair correlation component of  $-S_V/k_B$ , and  $C_2^{\text{Multi}}=C_2$  for the many-body correlation component of  $-S_V/k_B$ .  $C_1=C_1^{\text{Pair}}+C_1^{\text{Multi}}$ and  $C_2=C_2^{\text{Pair}}+C_2^{\text{Multi}}$ .  $C_1$  and  $C_2$  are the first and second, principal coefficients in the morphometric forms.  $S_V$ ,  $k_B$ ,  $\rho_S$ , and  $d_S$  are the solvation entropy, Boltzmann constant, number density of bulk solvent, and diameter of solvent molecules, respectively. If the Asakura-Oosawa theory is applied to the present system,  $C_1^{\text{Pair}}=\rho_S$ ,  $C_1^{\text{Multi}}=0$ ,  $C_2^{\text{Pair}}=0$ , and  $C_2^{\text{Multi}}=0$ .

Fig. 6. States A and C of the  $\alpha_3\beta_3\gamma$  complex. State C: after the 120° rotation of the  $\gamma$  subunit.

Fig. 7. States A, B, and C of the  $\alpha_3\beta_3\gamma$  complex. State B: after the 40° rotation of the  $\gamma$  subunit. The release of Pi from  $\beta_E$  and hydrolysis of ATP within  $\beta_{DP}$  induces the 40° rotation. The ATP binding to  $\beta_E$ ' and release of ADP from  $\beta_{DP}^{HO}$  (half-open conformation of  $\beta_{DP}$ ) induces the 80° rotation. Prime represents that the packing structure has more or less changed.

Fig. 8. Change in the system free energy during the 120° rotation of the  $\gamma$  subunit.  $F_{\rm H}$  (<0) denotes the free-energy decrease upon the ATP hydrolysis in aqueous solution.

















System free energy