



Title	Aicardi-Goutières Syndrome Is Caused by IFIH1 Mutations.
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1 Abstract

2	Aicardi-Goutières syndrome (AGS) is a rare, genetically determined early-onset progressive
3	encephalopathy. To date, mutations in six genes have been identified as etiologic for AGS. Our Japanese
4	nationwide AGS survey identified six AGS individuals without a molecular diagnosis; we performed
5	whole exome sequencing on three of these individuals. After removal of the common polymorphisms
6	found in SNP databases, we were able to identify IFIH1 heterozygous missense mutations in all three. In
7	vitro functional analysis revealed that IFIH1 mutations increased type I interferon production, and the
8	transcription of interferon-stimulated genes were elevated. IFIH1 encodes MDA5, and mutant MDA5
9	lacked ligand-specific responsiveness, similarly to the dominant IFIH1 mutation responsible for the SLE
10	mouse model that results in type I interferon overproduction. This study suggests that the IFIH1
11	mutations are responsible for the AGS phenotype due to an excessive production of type I interferon.

1	Aicardi-Goutières syndrome (AGS [MIM 225750]) is a rare, genetically determined early-onset
2	progressive encephalopathy ¹ . Individuals affected with AGS typically suffer from progressive
3	microcephaly associated with severe neurological symptoms, such as hypotonia, dystonia, seizures,
4	spastic quadriplegia, and severe developmental delay ² . On brain imaging, AGS is characterized by basal
5	ganglia calcification, white matter abnormalities, and cerebral atrophy ^{3, 4} . Cerebrospinal fluid (CSF)
6	analyses show chronic lymphocytosis and elevated levels of IFN- α and neopterin ³⁻⁵ . AGS individuals are
7	often misdiagnosed as having intrauterine infections, such as TORCH syndrome, because of the
8	similarities of these disorders, particularly the intracranial calcifications ¹ . In AGS, etiological mutations
9	have been reported in the following six genes: TREX1 (MIM 606609), which encodes a DNA
10	exonuclease; RNASEH2A (MIM 606034), RNASEH2B (MIM 610326), and RNASEH2C (MIM 610330),
11	which together comprise the RNase H2 endonuclease complex; SAMHD1 (MIM 606754), which encodes
12	a deoxynucleotide triphosphohydrolase; and ADAR1 (MIM 146920), which encodes an adenosine
13	deaminase ⁶⁻⁹ . Although more than 90% of AGS individuals harbor etiological mutations in one of these
14	six genes, some AGS-affected individuals presenting with the clinical characteristics of AGS still lack a
15	genetic diagnosis, suggesting the existence of additional AGS associated genes ¹ .
16	We recently conducted a nationwide survey of AGS in Japan and reported 14 AGS individuals ¹⁰ .
17	We have since recruited three other Japanese AGS individuals, and among these 17 individuals, we have
18	identified 11 individuals with etiologic mutations; namely, TREX1 mutations in six, SAMHD1 mutations
19	in three, and RNASEH2A and RNASEH2B mutations in one each. Of the remaining six individuals
20	without a molecular diagnosis, trio-based whole exome sequencing was performed in three whose parents

21 also agreed to participate in further genome-wide analyses (Figure 1A). Genomic DNA from each

22 individual and their parents was enriched for protein-coding sequences, followed by massively parallel

1	sequencing. The extracted non-synonymous or splice-site variants were filtered to remove those with
2	minor allele frequencies (MAF) >0.01 in dbSNP137. To detect de novo variants, any variants observed in
3	family members, listed in Human Genetic Variation Database (HGVD), or variants with MAF >0.02 in
4	our in-house exome database were removed. To detect autosomal recessive (AR), compound
5	heterozygous (CH), or X-linked (XL) variants, those with MAF >0.05 in our in-house database were
6	removed (Figure S1). All samples were collected with the written informed consents by parents, and the
7	study protocol was approved by the ethical committee of Kyoto University Hospital in accordance with
8	the Declaration of Helsinki.
9	After common polymorphisms were removed, we identified a total of 40, 18, 89, and 22 candidate
10	variants under the de novo, AR, CH, and XL inheritance models, respectively, that were present in at least
11	one of the three individuals (Table S1). Among them, missense mutations were identified in IFIH1 (MIM
12	606951, RefSeq: NM_022168.2), which encodes MDA5 (NP_071451.2). These missense mutations are
13	c.1354G>A, p.Ala452Thr, in AGS-1; c.1114C>T, p.Leu372Phe, in AGS-2; and c.2336G>A,
14	p.Arg779His, in AGS-3 (Figure 1B). None of the mutations are found in HGVD, including the 1208
15	Japanese samples, or our in-house exome database of 312 Japanese individuals. Multiple-sequence
16	alignment using ClustalW2 revealed that each of the amino acids affected by these mutations are
17	conserved among mammals (Figure1B). The subsequent amino acid alterations were all suggested to be
18	disease-causing in at least one of the four function-prediction programs used (Table 1). None of the other
19	genes identified in the de novo inheritance model, or any of the genes identified in the other three
20	inheritance models, were mutated in all three individuals. The IFIH1 mutations identified were validated
21	by Sanger sequencing. The other coding exons of IFIH1 were also examined by Sanger sequencing, and
22	no other mutations were found.

1	MDA5 is one of the cytosolic pattern recognition receptors that recognizes double-stranded RNA
2	(dsRNA) ¹¹ . MDA5 consists of N-terminal tandem CARD domains, a central helicase domain, and a
3	C-terminal domain (Figure 1C). When bound to dsRNA, MDA5 forms a closed, C-shaped ring structure
4	around the dsRNA stem, and excludes the tandem CARD as well as creates filamentous oligomer on
5	dsRNA ¹² . It is hypothesized that the tandem CARD interacts each other, and activates MAVS on the
6	mitochondrial outer membrane. Oligomerization of MAVS induces TBK1 activation, IRF3
7	phosphorylation, and induction of type I interferon transcription, resulting in the activation of a large
8	number of interferon-stimulated genes (ISGs).
9	The neurological findings of the individuals with these IFIH1 mutations are typical of AGS (Table
10	S2). They were born with appropriate weights for their gestational ages without any signs of intrauterine
11	infection. However, they all demonstrated severe developmental delay in early infancy associated with
12	progressive microcephaly. No arthropathy, hearing loss, or ophthalmological problems were observed. As
13	for extraneural features, all three individuals had at least one of the following autoimmune features:
14	positivity for autoantibodies, hyperimmunoglobulinemia, hypocomplementemia, and thrombocytopenia.
15	Notably, none of the individuals with IFIH1 mutations had chilblain lesions, although all the five
16	individuals with TREX1 mutations and two of the three individuals with SAMHD1 mutations in the
17	Japanese AGS cohort showed chilblain lesions ¹⁰ . Individuals with SAMHD1 mutations and IFIH1
18	mutations both show autoimmune features; however, chilblain lesions have only been observed in
19	individuals with SAMHD1 mutations ¹⁰ .
20	To predict the effects of the identified amino acid substitutions on MDA5, three-dimensional
21	model structures of MDA5 mutants were generated from the crystal structure of human MDA5-dsRNA

22 complex¹² (Protein Data Bank (PDB) code; 4gl2), using PyMOL (Schroedinger) and MOE (Chemical

1	Computing Group) (Figure S2A). The oligomeric model of MDA5 was generated using the electron
2	microscopy imaging data of MDA5 filament lacking CARD domain ²² (Electron Microscopic Data Bank
3	(EMDB) code: 5444) (Figure S2B). The three amino acid substitutions in the AGS individuals are all
4	located within the helicase domain (Figures 1C and S2A). Since Ala452 directly contacts the dsRNA
5	ribose O2' atom, the p.Ala452Thr substitution probably affect the binding affinity to dsRNA due to an
6	atomic repulsion between the side chain of Thr452 and the dsRNA O2' atom (Figures S2C and S2D).
7	Leu372 is located adjacent to the ATP binding pocket, and the p.Leu372Phe substitution could increase
8	the side chain volume of the binding pocket, affecting its ATP hydrolysis activity (Figures S2E and S2F).
9	In our oligomeric model, Arg779 is located at the interface between the two monomers, which is
10	consistent with the recent report showing that Lys777, close to Arg779, is in close proximity to the
11	adjacent monomer ¹² . Furthermore, in our model, Arg779 is in close to Asp572 on the surface of the
12	adjacent monomer. We speculate that losing the positive charge due to the p.Arg779His substitution
13	would possibly affect the electrostatic interaction between the MDA5 monomers (Figures S2G and S2H).
14	To connect the identified IFIH1 mutations with the AGS phenotype, we examined the type I
15	interferon signature in the individuals by performing reverse transcription quantitative PCR (RT-qPCR)
16	of seven ISGs ¹³ . Peripheral blood mononuclear cells (PBMCs) from the three AGS individuals showed
17	up-regulation of ISGs transcription (Figure 2), confirming the type I interferon signature in the
18	individuals with IFIH1 mutations.
19	To elucidate the disease-causing capability of the identified IFIH1 mutations, three FLAG-tagged
20	IFIH1 mutant plasmids containing these mutations were constructed using site-directed mutagenesis.

22 activity as well as endogenous expression of IFIT1 ([MIM 147690]) was measured 48 hours after

21

These plasmids were transiently expressed on human hepatoma cell line Huh7 and the IFNB1 promoter

 $\mathbf{7}$

1	transfection ¹⁴ . The three mutant plasmids activated the <i>IFNB1</i> promoter in Huh7 cells more strongly than
2	the wild MDA5 and nearby missense variants reported in dbSNP (Figures 3 and S3). The up-regulation of
3	endogenous IFIT1 was also observed in the transfected cells (Figure S4), suggesting that these AGS
4	mutations enhance the intrinsic activation function of MDA5. Recent genome wide association studies
5	(GWAS) showed association of the IFIH1 with various autoimmune diseases, such as systemic lupus
6	erythematosus (SLE), type I diabetes, psoriasis, and vitiligo ¹⁵ ¹⁶ ¹⁷ ¹⁸ . We examined <i>IFNB1</i> promoter
7	activity induced by the c.2836G>A (p.Ala946Thr) polymorphism (rs1990760) identified in the GWAS.
8	Although the c.2836G>A polymorphism partially activated the promoter activity, the induced activity
9	was lower than those of the AGS-derived mutants. In addition, the dominantly inherited SLE mouse
10	model in the ENU-treated mouse colony is reported to have the IFIH1 mutation, c.2461G>A
11	(p.Gly821Ser) ¹⁴ . These observations suggest that <i>IFIH1</i> has strong association with various autoimmune
12	diseases, especially SLE, which also has a type I interferon signature ¹⁹ . Since alteration of <i>TREX1</i> has
13	been reported to cause AGS as well as SLE ²⁰ , it seems quite plausible for <i>IFIH1</i> to also be involved in
14	both AGS and SLE. Interestingly, all the individuals identified with IFIH1 mutations had autoantibodies,
15	suggesting the contribution of IFIH1 mutations to autoimmune phenotypes.

16 To further delineate the functional consequences of the three *IFH1* mutations, we measured the 17 ligand-specific *Ifnb* mRNA induction by stimulating *Ifih1*^{null} mouse embryonic fibroblasts (MEFs) 18 reconstituted with retrovirus expressing the *IF1H1* mutants by an MDA5-speicfic ligand, 19 encephalomyocarditis virus (EMCV)²¹. None of the MEF cells expressing the three mutant *IF1H1* 20 responded to the EMCV, which suggested that the MDA5 variants lacked the ligand-specific 21 responsiveness. The response of the three AGS mutants against the MDA5-specific EMCV was similar to that of the p.Gly821Ser variant reported in the dominantly inherited SLE mouse model with type I
interferon overproduction¹⁴ (Figures 4 and S5).

3 During the revision of this manuscript, Rice et al. identified nine individuals with IFIH1 mutations, 4 including the c.2336G>A mutation we identified, in a spectrum of neuroimmunological features $\mathbf{5}$ consistently associated with enhanced type I interferon states including AGS²³. Although we agree that 6 the IFIH1 mutations cause constitutive type I interferon activation, Rice et al. show the mutated MDA5 7proteins maintain ligand-induced responsiveness, which was not the case in our study. Since we measured 8 the ligand-specific responsiveness of MDA5 in different experimental conditions, further analysis 9 remains to be performed to reveal the biochemical mechanism of interferon overproduction by the 10 mutated MDA5. 11 In conclusion, we identified mutations in IFIH1 as a cause of AGS. The individuals with the IFIH1 12mutations showed encephalopathy typical of AGS as well as the type I interferon signature with 13autoimmune phenotypes, but lacked the chilblains. Further analysis remains to elucidate the mechanism

14 how the *IFIH1* mutations identified in AGS cause the type I interferon overproduction.

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11	The authors have nothing to declare on conflict of interests.
12	
13	Web Resources
14	The URLs for the data presented herein are as follows:
15	Burrows-Wheeler Aligner (BWA), http://bio-bwa.sourceforge.net/
16	Genome Analysis Toolkit (GATK), http://www.broadinstitute.org/gatk/
17	ClustalW2, http://www.ebi.ac.uk/Tools/msa/clustalw2/
18	dbSNP, http://www.ncbi.nlm.nih.gov/projects/SNP/
19	Human Genetic Variation Database (HGVD), http://www.genome.med.kyoto-u.ac.jp/SnpDB/
20	Online Mendelian Inheritance in Man (OMIM), http://www.omim.org/
21	SIFT, http://sift.jcvi.org
22	PolyPhen2, http://genetics.bwh.harvard.edu/pph2

- 1 PROVEAN, http://provean.jcvi.org/index.php
- 2 Mutation Taster, http://www.mutationtaster.org
- 3 RefSeq, http://www.ncbi.nlm.nih.gov/RefSeq
- 4 Protein Data Bank, http://www.rcsb.org/pdb/home/home.do
- 5 Electron Microscopy Data Bank (EMDB), http://www.emdatabank.org/index.html

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- 10

1 Figure legends

2 Figure	1
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3	Pedigree information for the AGS individuals and details of the IFIH1 mutations identified.
4	(A) The pedigrees of the three families indicating the AGS probands. (B) Sanger sequencing
5	chromatograms of the three IFIH1 mutations found in the AGS individuals. The locations of these
6	mutations in the amino acid sequence of the MDA5 protein are shown in alignment with the conserved
7	amino acid sequences from several species. This alignment was obtained using ClustalW2. The amino
8	acids that are conserved with human are circled in red. (C) The MDA5 protein domain structure with the
9	amino acid substitutions observed in these AGS individuals.

10

11 Figure 2

12 Quantitative RT-PCR (RT-qPCR) of a panel of seven ISGs in PBMCs obtained from the *IFIH1*-mutated 13 individuals and healthy controls. RT-qPCR was performed as previously described¹⁴. The relative 14 abundance of each transcript was normalized to the expression level of β-actin. Taqman probes used were 15 the same as previous report¹³, except for *ACTB* (MIM 102630). Individual data were shown relative to a 16 single calibrator (control 1). The experiment was performed in triplicate. Statistical significance was 17 determined by Mann-Whitney U test, **p*<0.05.

20 The effects of the three MDA5 variants on *IFNB1* expression. Huh7 cells were transfected with a reporter 21 gene containing *IFNB1* promoter (p-55C1B Luc), an empty vector (BOS) and expression vectors for

¹⁹ Figure 3

1	FLAG-tagged human wild type IFIH1, c.2836G>A polymorphism (p.Ala946Thr) in the GWAS studies,
2	and the identified IFIH1 mutants. Luciferase activity was measured 48 hours after transfection, and the
3	MDA5 protein accumulation was examined by Western blotting as previously described ¹⁴ . FLAG
4	indicates the accumulation of FLAG-tagged MDA5. Each experiment was performed in triplicate and
5	data are mean ± S.E.M. Shown is a representative of two with consistent results. Statistical significance
6	was determined by Student's t-test. * $p < 0.05$, ** $p < 0.01$.

7

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8 Figure 4
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9 Ifnb mRNA levels in Ifih1 deficient MEFs expressing IFIH1 mutants. The MEFs were infected with 10retroviruses encoding mouse wild type Ifih1, mouse Ifih1 with NM_027835.3:c.2461G>A (p.Gly821Ser) 11 mutation, or the three AGS mutants of human IFIH1. 48hrs after the retroviral infection, these MEFs 12were infected with indicated multiplicity of infection (MOI) of EMCV for 6 hours, and Ifnb mRNA levels 13were measured by RT-qPCR. The relative abundance of each transcript was normalized to the expression 14level of 18S ribosomal RNA. Data are shown as mean ± S.E.M of triplicate samples. Shown is a 15representative of two independent experiments. Statistical significance was determined by Student's t-test, 16*p<0.001. The expression of the retrovirally transduced FLAG-tagged constructs was confirmed by 17Western blotting (Figure S5).

Table 1

Functional predictions of the *IFIH1* variants

Individuals	Nucleotide change	Amino acid change	SIFT	PolyPhen2	Mutation Taster	PROVEAN
AGS-1	c.1354G>A	p.Ala452Thr	Tolerated	Benign	Disease causing	Neutral
AGS-2	c.1114C>T	p.Leu372Phe	Tolerated	Probably damaging	Disease causing	Neutral
AGS-3	c.2336G>A	p.Arg779His	Tolerated	Probably damaging	Disease causing	Deleterious

The potential functional effects of the IFIH1 variants identified in the AGS individuals were predicted using SIFT, PolyPhen2, Mutation Taster, and PROVEAN.

(A)













Figure S1. A flow diagram of the trio-based whole exome sequencing process. GRCh37; Genome Reference Consortium Human build 37.



Figure S2. Predicted effects of MDA5 amino acid substitutions on its protein structure.

(A, B) Mapping of the three mutated amino acids on the crystal structure of MDA5-dsRNA complex (Protein Data Bank (PDB) code; 4gl2). The ATP-binding domain and the other domains of MDA5 are colored green and light-green, while the adjacent MDA5 monomers are colored light blue and orange, respectively. Three residues mutated in the patients, Ala452, Leu372, and Arg779, are shown in space filling models (magenta). (A) Top view of the tertiary structure of the MDA5 protein and dsRNA. (B) Side view of the model of MDA5 monomer oligomerization. The model was constructed by fitting the MDA5 monomers and the 38bps dsRNA structure into the density map from the electron microscopic analysis of the MDA5-dsRNA fibril (EMDB code; 5444). (C, D, E, F, G, H) Detailed views of the mutated amino acid resides. (C) Ala452 is directly in contact with the O2' atom of the ribose moiety of guanine residue (G7). (D) The p.Ala452Thr substitution is predicted to induce an electric repulsion between the side chain of Thr452 and the O2' atom of RNA. (E) Leu372 is located in the ATP binding pocket. (F) The p.Leu372Phe substitution is predicted to increase the side chain volume of the binding pocket, and would affect the ATP hydrolysis activity of MDA5 by interfering with Asp443, a part of the catalytic residues. (G) Arg779 is located in the interface between MDA5 monomers, and is possibly involved in electrostatic interactions between the monomers. (H) The p.Arg779His substitution is predicted to affect the electrostatic interaction due to loss of the positive charge.



Figure S3. Comparison of the mutant MDA5 reporter activity between the AGS mutants and SNPs. Huh7 cells were transfected with a reporter gene containing *IFNB1* promoter (p-55C1B Luc), along with empty vector, wild-type MDA5, its three AGS mutants, or three MDA5 amino acid variations corresponding to other non-synonymous SNPs; namely, p.Ala452Val (c.1355C>T), p.Ala788Thr (2362G>A), and p.Arg806Cys (c.2416C>T). Luciferase activity was measured 48 hours after transfection. The experiment was performed in triplicate and data are mean \pm S.E.M. The mean of each triplicate was compared between the three AGS mutants and three mutants having other SNPs. Statistical significance was determined by Student's *t*-test. **p*<0.005.









Figure S5. Retrovirally transduced expression of *IFIH1* constructs in *Ifih1*^{null} MEFs.

Ifih1^{null} MEFs were transfected with empty retrovirus vector, retrovirus encoding FLAGmouse wild type *Ifih1* (WT) or FLAG-mouse *Ifih1* with p.Gly821Ser mutation, or the FLAGtagged three AGS mutants of human *IFIH1*. The FLAG-tagged MDA5 and β -Actin accumulation was examined by Western blotting.

Supplemental table 1

Exome sequencing summary

	AGS-1	AGS-2	AGS-3	
Exome enrichment kit	Illumina	Illumina	Agilent	
	TruSeq Exome	TruSeq Exome	SureSelect Human	
	Enrichment Kit	Enrichment Kit	All Exon V5 Kit	
Sequencer	HiSeq 1000	HiSeq 1000	HiSeq 1500	
Mapped region (>=5x)	58384949	57380736	87233940	
Exome target region	62286366	62286366	89659527	
>=x5 coverage (%)	93.7363	92.1240	97.2946	
Total variants	60273	57558	99557	
Variants after dbSNP137 filtering	AGS-1	AGS-2	AGS-3	
Total	2804	2622	2522	
Frameshift	111	98	114	
Nonsense	51	50	47	
Missense or in-frame indel	2618	2454	2067	
Splice-site	24	20	294	
Rare variants	AGS-1	AGS-2	AGS-3	
Total	34	28	102	
De novo	7	4	28	
Autosomal recessive	5	2	11	
Compound heterozygous	12	10	63	
X-linked	10	12	N.D.	

Sequence data were mapped against the human reference genome (Genome Reference Consortium Human Build 37) using Burrows-Wheeler Aligner software. Variants were called using the Genome Analysis Toolkit, and were filtered to remove those with variant quality scores less than 50. Gene annotation of each variant was performed using an in-house program. Identified non-synonymous or splice-site variants were filtered to remove those with minor allele frequencies (MAF) >0.01 in dbSNP137. For detecting any rare de novo variants, these variants observed in family members, identified in Human Genetic Variation Database, or those with MAF >0.02 in our in-house exome database were removed. For rare autosomal recessive, compound heterozygous, or X-linked variants, those with MAF >0.05 in our in-house database were removed. N.D.; not determined.

Supplemental table 2 Profiles of the AGS individuals

Clinical findings

	Age	Sex	GA	BW	Disease onset	Developmental	Other neurological manifestations	Chilblain	Extraneural manifestations
						delay		lesions	
AGS-1	5 yr	М	36 wk	2780 g	4 d	Severe	Hypertonia, complex febrile seizure,	No	Idiopathic interstitial
					Omphalitis with thrombocytopenia		microcephaly, spastic quadriplegia		pneumonia
AGS-2	6 yr	М	39 wk	3290 g	6 mo	Severe	Regression, dystonia, microcephaly,	No	Atopic dermatitis
					Developmental delay		quadriplegia		
AGS-3	2 yr	F	37 wk	2515 g	5 mo	Severe	Complex febrile seizure, dystonia,	No	Recurrent otitis media,
					Developmental delay		hypotonia, progressive microcephaly,		sinusitis, periodic fever
							spastic quadriplegia		

Laboratory and radiographic findings

	CSF	CSF elevated	CSF elevated	Serum elevated	Other laboratory features	Cranial calcification	White matter	Brain
	lymphocytosis	IFN-α	neopterin	autoantibody			abnormality	atrophy
AGS-1	No	Yes	n.d.	Anti-LKM1	Thrombocytopenia, increased	Yes	Yes	Yes
	(16 mo)	13.2IU/ml			serum transaminases,	Bilateral in the basal ganglia and		
		(16 mo)			hypocomplementemia,	white matter		
					hypergammaglobulinemia			
AGS-2	No	No	Yes	ANA 1:320	None	Yes	Yes	Yes
	(3 yr)	(3 yr)	285nM			Bilateral in the basal ganglia and		
			(3 yr)			corticomedullary junction		
AGS-3	No	No	Yes	ANA 1:320	Thrombocytopenia, increased	Yes	Yes	Yes
	(12 mo)	<6IU/ml	71.23nM	Anti-dsDNA	serum transaminases,	Bilateral spotty in the basal		
		(12 mo)	(12 mo)	Anti-Sm	hypocomplementemia,	ganglia and subcortical white		
				PAIgG	hypergammaglobulinemia	matter		

Notes: GA, gestational age; BW, birth weight; M, male; F, female; d, day(s); wk, week(s); mo, month(s); yr, year(s); n.d., not done.

The upper limit of normal CSF neopterin in our institute is 34.6nM at an age of 1-12 months and 25nM at an age of 2-12 years