

Title	Mechanism of the slow-moving landslides in Jurassic red-strata in the Three Gorges Reservoir, China
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1 Mechanism of the slow-moving landslides in Jurassic red-strata in the Three Gorges

2 Reservoir, China

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4
5 **Abstract:**

6 Landslides in Jurassic red-strata make up a great part of geohazards in the Three Gorges
7 Reservoir (TGR) in China. Most of them begin to move slowly with the accumulated
8 displacement increasing stepwise, which corresponds to seasonal rainfall and 30 meters of
9 reservoir water level fluctuation (145 m to 175 m on elevation). We analyzed the movement
10 of 21 slow moving landslides in Jurassic red-strata in TGR, and found that all these
11 landslides involved in two differing processes; one is the sliding process with different
12 shear speeds of soils within the sliding zone (landslide activity), and the other one is in
13 steady state with different durations (dormant state). This means that the soil within the
14 sliding surface may experience shearing at different shear rates and recovery in shear
15 strength during the dormant period. To clarify the mechanism of this kind of movement, we
16 took soil samples from the sliding surface of Xiangshanlu landslide, which occurred on
17 August 30, 2008 in Jurassic red-strata in TGR, and examined the shear rate dependency and
18 recovery of shear resistance by means of ring shear tests. The results of tests at different
19 shear rates show that the shear strength is positively dependent on the shear rate, and can be
20 recovered within short consolidation duration after the shearing ceased. By increasing the
21 pore-water pressure (PWP) from the upper layer of the sample, we also examined the

22 initiation of shearing which can simulate the restart of landsliding due to the fluctuation of
23 groundwater level caused by rainfall or changes in reservoir water level. The monitored
24 PWP near the sliding surface revealed that there was delayed response of PWP near the
25 sliding surface to the applied one. This kind of delayed response in pore-water pressure may
26 provide help for the prediction of landslide occurrence due to rainfall or fluctuation of
27 reservoir water level.

28

29 **Keywords:** landslides; the Three Gorges Reservoir; Jurassic red-strata; slow movement;
30 shear resistance; shear rate effect

31 **1. Introduction**

32 The Three Gorges Reservoir in China (hereinafter we call TGR, its location is shown
33 in Fig. 1) is an area with a large number of landslides (Chen, 1999; Deng et al., 2000; Wu et
34 al., 2001; Li, 2002; Liu, et al., 2004; Wen et al., 2007; Jian et al., 2009; Li et al., 2013,
35 among others). These landslides developed in all strata, especially in Jurassic stratum. The
36 Jurassic lithology in TGR is continental lake facies sedimentary rocks, the colors of which
37 are mainly fuchsia, maroon, or red brown; therefore, the Jurassic stratum is often called
38 "red-strata" by Chinese academe (Li et al., 2002; Chen et al., 2004; Xu et al., 2010). From
39 the lithology structure of Jurassic red-strata in TGR, the interbedding layer of thick
40 silty-sandstone and thin sandy-mudstone is an important reason for the development of
41 weak bands with high content of clay mineral like montmorillonite and illite in the long
42 geological history (Jian et al., 2005, 2008), which caused some typical landslides, such as
43 Chonggang landslide (Yin et al., 1993), four landslide groups in Wanzhou area (Jian et al.,
44 2009), Jipazi landslide (Huang, 2007), Qianjiangping landslide (Wang, et al., 2004; Liao et
45 al., 2005) and so on. It has been reported that among the landslides selected in the second
46 and the third phase of mitigating and monitoring projects in TGR supported by Chinese
47 government, more than 70% are in Jurassic red-strata (Chai et al., 2009). Up to now, many
48 studies had been performed on the landslides occurring in Jurassic red-strata in the TGR
49 area with focus on examining the geological basis (say, possible clay mineral in the weak
50 bands of potential sliding surface). However, the mechanism of the movement in the

51 pre-failure stage, especially in the case of slow movement of landslides influenced by
52 seasonal rainfall and periodic reservoir water level fluctuation, is not yet well understood.

53 In this paper, we investigate the movements of 21 landslides in the Jurassic red-strata
54 in TGR and examined the moving types of these landslides. The ring shear apparatus
55 developed by Disaster Prevention Research Institute, Kyoto University, was used to
56 simulate the shear process of the soils within the sliding zone in the slow movement through
57 the case study of a landslide occurred on August 30, 2008, in Jurassic red-strata in TGR.
58 We performed three groups of tests, i.e., test with different shear rates, test with different
59 consolidation duration and, tests with changing the pore-water pressure of shear band.
60 Based on the results, three aspects such as shear rate effect, recovery of residual shear
61 strength and trigger of landslide reactivation, are discussed to reveal the mechanism of the
62 slow movement of the landslides in Jurassic red-strata in the Three Gorges Reservoir.

63

64 **2 Type of the slow movement**

65 To reduce the risk from landslides in TGR, Chinese government approved many projects
66 which involve systematic investigation of landslides and potentially unstable slopes, ancient
67 landslides reactivation and their failure mechanism, especially the monitoring of large
68 landslides (Wen et al., 2007). In many cases the surface monitoring data are much easier to be
69 obtained through using a wide range of techniques such as survey markers, extensometers,
70 and digital photogrammetry, as well as global position system (GPS) and interferometric
71 synthetic aperture radar (InSAR). As a result, in the monitoring of these large landslides in

72 TGR, GPS measurements which can provide the surface displacements have been widely
73 used. Petley et al. (2002, 2005) demonstrated that the patterns of landslide movement provide
74 an insight into the processes occurring in the sliding zone, and used the surface monitoring
75 data to interpret landslide movement patterns, which mainly includes four types: (1) very slow
76 or creep movement, which occurs at the moment of formation of the tension area located on
77 the crown or flanks of the landslide; (2) low velocity movements, caused by gradual
78 formation of the shear surface; (3) rapid movement because of the sliding mass
79 disaggregating into loose materials; (4) very rapid movement as a result of landslide fails.

80 In this study 21 landslides monitored by GPS in Jurassic red-strata in TGR were
81 examined. In each landslide, 2-9 GPS stations were installed according to its scale. We
82 obtained the accumulated displacements of monitoring points from January 2007 to
83 November 2009 (35 months). We studied the monitoring point with the largest accumulated
84 displacement for each landslide, and plotted 21 curves as showed in Fig. 2. These curves can
85 be divided into two groups (Figs. 2a, b) according to the displacements. In Fig. 2a, 15 curves
86 of accumulated displacement versus time display “random oscillation” or “trending
87 oscillation” with an average sliding rate < 6 cm/year. These oscillations in the accumulated
88 displacement might result from the measurement errors that are normally intensive in the tiny
89 data range (Ueno et al., 2003). Other 6 landslides (Fig. 2b) had their displacements increased
90 mainly stepwise with their sliding rates greater than 6 cm/year but smaller than 1.5 m/year.
91 For the first group, all the landslides were in very slow movement or creep movement, which
92 could be classified as the first style of the movement of rotational and translational landslides

93 as described by Petley et al. (2005). However, for the second group the six landslides showed
94 slow movement according to the sliding rate proposed by Schuster & Krizek (1978). Because
95 all GPS stations in each landslide were installed soon after cracks occurred in the walls of
96 houses locating on the slope, following the interpretation by Petley et al. (2005), we inferred
97 that the movement shown in Fig. 2a mainly resulted from the crack propagation (shear surface
98 generation), whereas the slow movement (Fig. 2b) resulted from the occurrence of movement
99 along existing sliding surface..

100 Irrespective of the differences in the accumulated displacement, all the displacements
101 showing slow movement (Fig. 2b) increased stepwise, which can be conceptually illustrated
102 by a model shown in Fig. 3a. Differentiating this stepwise displacement gives an increasing or
103 decreasing displacement rate, indicating that the landslide would experience alternations of
104 accelerating and decelerating movements (Fig. 3b). Therefore, it is expected that the soils
105 within the sliding zone would undergo two processes; one is shearing at differing shear rates,
106 and the other one is recovery of shear strength under a constant normal stress with different
107 duration. These two processes correspond to the sliding and dormant periods, respectively.
108 Therefore, in order to understand the mechanism of the slow-moving landslides in Jurassic
109 red-strata in TGR, two questions should be answered: (1) how does the landslide come into
110 reactivation from dormant state as shown conceptually in Fig. 3; (2) how does the movement
111 stop and keep staying at the dormant state?

112

113 **3 Ring shear test**

114 3.1 Testing sample

115 To reveal the mechanism of these slowly moving landslides in Jurassic red-strata in the
116 TGR, we took soil samples along the sliding surface from Xiangshanlu landslide, which
117 occurred in the early morning on August 30, 2008. This landslide is located on the left bank
118 of Tonggulo River, a tributary of the Yangtze River in Shazhenxi Town, Zigui country in
119 TGR (Fig. 4). The landslide covers an area of $3.3 \times 10^4 \text{ m}^2$ and has a volume of $0.165 \times 10^6 \text{ m}^3$.
120 The sliding mass was composed of Quaternary colluvium and eluvium, and the lithology of
121 sliding bed rock is middle Jurassic Qianfoyan Formation (J_{2q}), as well as the soils along the
122 sliding surface are silty clay with the thickness of 10 cm, formed by weathering of mudstone
123 and sandy-mudstone (Fig. 5). It is noted that we also took samples from boring cores taken
124 from differing landslides shown in Fig. 2b, but the volumes of the cores near the sliding
125 surface were not enough for the performance of ring shear tests. We compared the physical
126 properties (see, Table 1) of these core samples to those of Xiangshanlu landslide, and found
127 that they are basically the same. Therefore, in the following we only conducted ring shear
128 tests on the samples taken from Xiangshanlu landslide as an example.

129 The testing sample is remolded from soils within the sliding zone, which mainly consists
130 of silt (about 92%) with 30% clay. The grain size distribution of the sample is presented in
131 Fig. 6a. Some physical property indexes such as dry density, liquid limit, plastic limit,
132 plasticity index and uniformity coefficient, as well as the coefficient of curvature, are listed in
133 Table 1. The plasticity chart is showed in Fig. 6b. In result, the testing sample (hereinafter we
134 call this sample as XSL) is low liquid limit silty clay.

135

136 **3.2 Ring shear apparatus**

137 Ring shear apparatus has been widely used in examining the shear behavior of the soil with
138 large shear displacement and the residual shear strength for the analysis of slope stability
139 (Bishop et al., 1971; Bromhead, 1979; Hungr and Morgenstern, 1984; Stark and Contreras,
140 1996; Wang and Sassa, 2002; Sassa et al., 2004; Wang et al., 2007, 2010; Sadrekarimi and
141 Olson, 2009). The ring shear apparatus used in the present research is DPRI-4 with the shear
142 area of 314.16 cm², which was developed in Disaster Prevention Research Institute at Kyoto
143 University, Japan (Sassa et al., 2004). This apparatus enables the simulation of many different
144 kinds of static and dynamic loading under drained or undrained conditions. The samples can
145 be sheared by means of torque-controlled or shear speed-controlled method (Wang and Sassa,
146 2002; Sassa et al., 2003).

147

148 **3.3 Testing procedure**

149 The testing procedure consisted of three parts: sample preparation, consolidation and
150 shearing. During the sample preparation, distilled water was firstly added to the oven dried
151 sample to elevate the initial water content close to its plastic limit, and then the sample was
152 stirred evenly. After keeping the sample for 72 hours in a sealed container to ensure the
153 uniform distribution of moisture, the sample was placed into the shear box. After the
154 placement of the sample and the set-up of shear system, we measured the friction between the
155 upper pair of rings and the rubber edges at the adjusted gap value for the employed ring shear

156 apparatus. This measured friction was then subtracted from the measured shear resistance to
157 obtain the real shear strength of the sample (Sassa et al., 2003, 2004).

158 After the measurement of friction of rubber edges, the sample was normally consolidated
159 under a normal stress of 98 kPa without applying any shear stress. It is noted that we planned
160 to shear the sample at differing normal stress levels. However, here, we used 98 kPa as the
161 first normal stress level, just because the ring shear apparatus was designed for tests under
162 higher normal stress (up to 2 MPa), and servo control system does not enable better
163 performance if the applied normal stress is too small, say, less than 50 kPa.

164 After consolidation under the normal stress of 98 kPa was finished (evidenced by no
165 further change in sample volume), we sheared the sample drained at a shear rate of 0.0011
166 mm/s to measure the residual shear strength. Using the same method, we measured the
167 residual shear strength at three differing normal stress levels (98, 147, and 196 kPa). We also
168 examined the shear rate dependency of residual shear strength by shearing the same sample at
169 differing shear rates while keeping the normal stress constant (196 kPa). We further examined
170 the effect of consolidation duration on the strength recovery. After the shear test with the
171 normal stress of 196 kPa was finished, the sample was kept in consolidation with the same
172 normal stress, for 1 day, 3 days, 5 days and 17 days, respectively. At the end of each
173 consolidation process, the sample was sheared with the same shear rate under the same
174 normal stress. Finally, after the above mentioned tests were finished, we consolidated the
175 sample for 14 days under the normal stress of 196 kPa and shear stress of 40 kPa
176 (corresponding to a slope angle of 11.5 degrees), and then applied a pore-water pressure of 80

177 kPa from the upper drainage tube to check the possible response of pore water pressure within
178 the shear zone.

179

180 **4 Testing results**

181 **4.1 Basic shear behavior of tested soils**

182 The shear behavior and residual shear strength of XSL sample were obtained. Figure 7a
183 shows the normal stress, shear resistance and pore-water pressure (PWP) against the shear
184 displacement for the test under the normal stress of 98 kPa. At the beginning of shearing (the
185 shear displacement < 4 mm), the PWP increased almost linearly with shear displacement,
186 thereafter it decreased slowly with progress of shearing, and the shear resistance increased
187 correspondingly. Because this test was performed under drained condition, we inferred that
188 the monitored PWP resulted from the unbalance between the generation and dissipation rates
189 of PWP. In the first shearing stage (say within 4 mm of shear displacement), PWP generation
190 rate might be greater than the dissipation rate due to the reduction in porosity of soil layers
191 near the sliding surface. This would result in the buildup of excess PWP. It is noted that a
192 vertical displacement of about 0.3 mm was observed within the first 4 mm of shear
193 displacement (called dilation, see, Pudasaini and Hutter, 2007). With further progress of
194 shearing, PWP generation rate would become smaller than the dissipation rate, and then the
195 excess PWP would become smaller.

196 The residual shear strengths obtained under three differing normal stresses are plotted in
197 Fig. 7b against the effective normal stresses. The line (residual failure line) bonding these

198 three points has a y-intercept of 18 kPa and a slope angle of 13 degrees. According to the
199 Mohr-Coulomb criterion, the effective residual cohesion of the tested sample is 18 kPa and
200 the effective residual internal friction angle is 13 degrees.

201

202 **4.2 Shear rate effect**

203 According to the movement features summarized in Fig. 2, these landslides in Jurassic
204 red-strata in TGR have different moving speeds in different active stage. This means that the
205 soil layer within the shear zone may suffer from differing rates of shearing. To clarify the
206 possible effects of shear rates on the shear behavior and then on the landsliding, we sheared
207 the sample to residual state at different shear rates under drained condition, and in each test
208 we kept the normal stress constant (196 kPa).

209 We performed multistage testing, which has been found to produce results similar to
210 testing of individual samples (Bromhead, 1992; Tika et al., 1996; Harris and Watson, 1997;
211 Tiwari and Marui, 2004; Suzuki et al., 2004). At first, we sheared the sample at a
212 displacement rate of 0.001135 mm/s (the lowest rate available by the ring shear apparatus
213 employed in this study) to the residual state, and then elevated the rate to a differing one to
214 measure the residual shear strength at this new shear rate. With the same test process, we
215 sheared the sample at 13 different displacement rates.

216 The results of the tests performed at different shear rates are presented in Fig. 8 which
217 plots the shear resistance at each shear displacement rate. As shown in Fig. 8, the shear
218 resistance became greater with increase of shear displacement rate, and the fitted curve of all

219 the data points presents a growth-oriented power function. The residual shear resistance at the
220 shear displacement rate of 175.56 mm/s (115.4 kPa) was approximately twice that obtained at
221 the shear displacement rate of 0.0011 mm/s (58.2 kPa), indicating that the shear strength is
222 strongly dependent on the shear displacement rate.

223

224 **4.3 Recovery of shear strength with consolidation period**

225 When landslides reach the dormant state, the shear strength could be recovered (Gibo et
226 al., 2002; Nakamura, 2002; Carrubba & Del Fabbro, 2008), and it is expected that the
227 recovered shear strength is time dependent. As shown in Fig. 2, these landslides experienced
228 the dormant state with different periods. Therefore, it is expected that the shear strength
229 would be recovered in some extent. In order to understand the recovery of shear strength of
230 soils within sliding zone after different consolidation durations, we performed ring shear test
231 on the sample with different consolidation durations.

232 Acknowledging that the residual shear strength of clayey soils has no association with the
233 initial structure and stress history of the soil (Mitchell, 1976), after the tests using differing
234 shear displacement rates, we kept the soil sample in consolidation for 1 day, 3 days, 5 days
235 and 17 days respectively under the normal stress of 196 kPa (hereinafter, we call them as test
236 TC1, TC3, TC5 and TC17, respectively). At the end of each consolidation process we sheared
237 the sample at the same shear displacement rate of 0.0077 mm/s.

238 The measured shear resistance and vertical displacement are plotted in Figs. 9a, b,
239 respectively, against the shear displacement. From Fig. 9a, we find that the peak shear

240 strengths obtained from TC5 was greater than that of TC3 and also both of them were greater
241 than that of TC1. This indicates that the shear strength could be recovered with consolidation
242 period. However, with further increase of the consolidation period, the recovery in the peak
243 shear strength is small, which can be seen from the test results from TC17. It is also noted that
244 the shear strengths at the shear displacement of 250 mm were different for these four tests,
245 probably due to volume change in the sample with progress of shearing. It has been clarified
246 that a shear zone will be formed and become thicker with progress of shearing (shear included
247 dilation, see, e.g., Pudasaini and Hutter, 2007) in ring shear tests on sandy soils (Wang and
248 Sassa, 2002; Wang et al., 2007; Wang et al., 2010). If the sandy soils were in loose state after
249 the consolidation, the shear zone will become denser. This is evidenced by the monitored
250 vertical displacement shown in Fig. 9b, where the vertical displacement became a bit greater
251 with progress of shear time. Re-shearing the denser shear zone will result in greater peak
252 shear strength, but should not result in any difference in the residual shear strength. Therefore,
253 we inferred that these differences in the shear strength at the shear displacement of 250 mm
254 resulted from the fact that the shear did not reach the real residual shear state yet. From Fig.
255 9a, we conclude that the shear strength could be recovered in short period, say less than 5
256 days. Considering the main purpose of these tests is examining the possible strength regain
257 through comparing the peak shear strength, here we won't make further discussion on the
258 relationship between the formation of shear zone and residual shear strength.

259

260 **4.4 Response of pore-water pressure within the shear band**

261 As well known, reservoir landslide is normally influenced by periodic fluctuation of
262 reservoir water level and/or seasonal rainfall. Groundwater table or pore-water pressure
263 within the soil layers of the landslide will be changed due to the fluctuation of reservoir water
264 level and/or precipitation. This kind of change will result in the decrease of effective normal
265 stress that causes the decrease of shear strength of soils within the sliding zone. To examine
266 the possible response of pore-water pressure of the soils near the sliding surface in the field to
267 this kind of variation of reservoir water level or groundwater table, we performed ring shear
268 test to examine the possible response of pore water pressure near the shear zone of specimen
269 to the change of pore-water pressure outside of the specimen.

270 After the above-mentioned test TC17 was finished, we changed the shear model from
271 shear-speed control to shear-stress control one. Using this shear-stress control model, we
272 consolidated the sample under a stress state with the normal stress being 196 kPa and shear
273 stress being 40 kPa. After the consolidation, we applied a water pressure of 80 kPa from the
274 upper part of the specimen by using another ring shear apparatus through a plastic pipe (Fig.
275 10). As shown in Fig. 10b, firstly we put the water into shear box of the left ring shear
276 apparatus and then applied a normal stress of 80 kPa on the water. The applied water pressure
277 will load soon on the upper part of the sample in the ring shear box.

278 The monitored pore water pressure near the shear band (sliding surface) is plotted in Fig.
279 11a together with the applied normal stress, applied shear stress, shear displacement, and
280 effective normal stress. It is seen that the pore water pressure gradually elevated. Dissipating
281 of water pressure from the top of the sample to the shear band took approximately 6,000

282 seconds. Thereafter, the pore-water pressure started to increase gradually. Figure 11b presents
283 the effective stress path together with the residual shear strength envelop (RSSE). With the
284 increasing of pore-water pressure near the shear band, the effective normal stress gradually
285 moved leftward to the RSSE. However, this moving process took a long time, because of the
286 small initial applied stress (40 kPa), and also probably due to the lower permeability of the
287 sample. About 95,000 seconds later (26 hours and 40 minutes), we increased the shear stress
288 artificially loaded on the shear band from 40 kPa to 70 kPa, and then shear failure occurred
289 with a continuously increased shear displacement (after point 'Failure', marked with an
290 ellipse in Fig. 11b). According to Fig. 11b, it is expected that if the shear stress was
291 increased to 54 kPa, shear failure would be triggered. However, after 14 days of consolidation
292 the residual shear strength of the sample had a certain recovery (about 16 kPa). As the result,
293 a shear stress greater than the residual shear strength will be needed to trigger the shear failure
294 or reactivate the landsliding.

295 In addition, from this ring shear test we found a delayed response of pore water pressure
296 within the soil layer near the sliding surface to the applied pore pressure. This delayed
297 response follows the principle of pressure diffusion in soils, which has been used to study the
298 rainfall-induced landslides (Haneberg, 1991; Reid, 1994), reservoir-induced seismicity
299 (Talwani and Acree, 1984) and also landslide movement triggered by atmospheric tides
300 (Schulz et al., 2009a).

301 For the landslide triggered by rainfall and/or reservoir water level fluctuation, the variable
302 pore pressure will be applied on the sliding mass above the shear surface. In this condition,

303 the diffusion of the pore pressure will occur, and then result in the change of pore water
304 pressure in the shear band. However, this change in the shear band will take a certain time
305 that is determined by the hydraulic diffusivity of the soils of sliding mass above the shear
306 band. In other words, there will be a delayed response of landsliding to rainfall and/or
307 fluctuation of reservoir water level.

308

309 **5. Discussion**

310 **5.1 Shear speed effect and residual shear strength recovery**

311 The effect of shear speed on the shear behavior of natural soils is complex. Lupini
312 (1980) and Lupini et al. (1981) firstly investigated the influence of shear speed on the
313 residual shear strength. Martins (1982), Skempton (1985), Lemos et al. (1985), Lemos
314 (1986), Tika (1989), and Tika et al. (1996) carried out further studies to examine the effect
315 of shear rate on the residual shear strength of differing types of soils.

316 Skempton (1985) showed that in slow shearing at the rate within 0.01 mm/min, the
317 variation of shear rate had tiny effect on the residual shear strength, while for the shear rate
318 larger than 100 mm/min the effect was significant, which was related to clay fraction of the
319 soils. Lemos et al. (1985) proposed three types of variation of the residual strength with an
320 increasing rate of displacement, i.e. positive, neutral, and negative rate effect. Lupini (1981)
321 recognized three modes of residual shearing of cohesive soils, which is turbulent mode,
322 transitional mode and sliding mode. The mechanism was confirmed as proportions of platy

323 particles to rotund particles present in the soil and the coefficient of inter particle friction of
324 the platy particles. The similar conclusions were also summarized by Tika et al. (1996).

325 According to Fig. 8, the role of positive shear rate effect on the residual shear strength
326 in the slow movement of landslides in Jurassic red-strata in the TGR can be explained as
327 follows. Firstly, when the landslide is triggered, the displacement rate increases because of the
328 initial positive acceleration. Secondly, due to the positive shear rate effect, the residual
329 shear strength becomes greater. As a result, the displacement rate increases to a maximum
330 value until the positive acceleration reduces to zero. Thirdly, when the residual shear
331 resistance at the maximum shear speed is larger than sliding force, deceleration appears
332 which results in the decrease in displacement rate with the reduction in residual shear
333 strength. Finally the deceleration reduces to zero and the landslide movement stops.

334 From the movement features summarized in Fig. 2b and the conceptual model
335 illustrated in Fig. 3, it is expected that the landslide would enter into a dormant state after a
336 certain period of decelerating movement. It is well known that for a landslide with
337 pre-existing shear zone, the shear strength of the soils within the sliding zone will be in
338 residual state when the landslide is in dormant stage, and will gain a certain recovery when
339 the landslide enters the dormant state (Ren et al., 1996; Gibo et al., 2002; Nakamura, 2002).

340 As shown in Fig. 9, the residual shear strength of the tested sample revealed a fast recovery,
341 indicating that once the landslide entered the dormant state, shear resistance increased and
342 then additional force (such as rainfall, earthquake, etc.) would be necessary to reactivate the
343 landslide.

344

345 **5.2 Landslide reactivation**

346 It has been reported that soil saturation due to the fast infiltration of rainfall is the main
347 reason for the initiation of a huge number of shallow landslides (De Vita et al., 1998; Pasuto
348 and Silvano, 1998; Polemio and Sdao, 1999; Hilley et al., 2004; Coe et al., 2004; Aleotti,
349 2004). For the landslides in reservoir area, fluctuation of the water level plays a key role in
350 the initiation of instability of embankment slopes due to the change in water content and
351 seepage conditions in the slope (Schuster, 1979; Pudasaini and Miller, 2012b). This can be
352 exemplified by the Vaiont landslide in Italy (Genevois and Ghirotti, 2005), and also by the
353 Qianjiangping landslide in the Three Gorges Reservoir (Wang et al., 2004).

354 For a landslide in the reservoir, the pore-water pressure of soils within the sliding zone
355 changes following seasonal rainfall and periodic water level fluctuation, indicating the rise
356 and fall of the underground water table respectively. The monitoring data of tensiometer
357 and GPS has shown that dormant landslides in the Three Gorges Reservoir accelerated as
358 the underground water rose and decelerated as it fell (Wang et al., 2008; Yin et al, 2010).
359 These conditions can be explained by using Mohr-Coulomb failure rule and Newton's
360 second law of motion (Schulz et al, 2009b).

361 The variation of shear resistance of the sliding soil within the shear band can be
362 expressed as $\tau_f(t) = c + \{\sigma - [u_w + p(z,t)]\} \tan \phi$, where $\tau_f(t)$ is the shear resistance of the
363 sliding soil within the shear band, and $p(z,t)$ is the pore pressure at distance z (here the
364 depth of the sliding mass above the sliding surface), which is the result of pore pressure

365 diffusion due to the application of fluctuating reservoir water level and rainfall (Talwani
366 and Acree, 1984; Haneberg, 1991; Reid, 1994); c and ϕ are the cohesion and internal
367 friction angle of soils within the sliding zone respectively; σ and u_w are the total normal
368 stress and pore-water pressure of the soils within the sliding zone respectively.

369 The above expression for $\tau_f(t)$ says that the changing of pore water pressure of the
370 sliding soil within the shear band will have a delayed time t , because the diffusion of
371 pore-water pressure in the landslide depends on the hydraulic conductivity, the thickness of
372 sliding mass and the externally applied load due to the fluctuation of the water level induced
373 by the landslide impact generated water waves in the reservoir (Pudasaini and Miller,
374 2012b). For the reactivation of dormant landslide in Jurassic red-strata in TGR, the soil
375 layer above sliding surface (or sliding mass) are mainly 6 m to 20 m. As a result, the
376 response will be delayed more significantly. This may be the reason why the monitored
377 landslide displacement showed sharp increment often after the heavy rainfall or the water
378 level regulation of TGR.

379 Around 2490 landslides had been identified in the TGR area before the construction of
380 the dam, and great efforts had been paid to manage or monitor some large landslides at high
381 risk. However, more detailed survey since the impoundment of the reservoir revealed that
382 there are more than 5700 landslides (Liu et al., 2009), and many of them are reactivated
383 ones. Due to the financial limitation, engineering countermeasures had been performed only
384 on those landslides at high risk of catastrophic failure, while other dangerous landslides
385 could only be monitored. Therefore, understanding the reactivation and movement

386 mechanisms of those seemingly dormant landslides is of great importance for the mitigation
387 of landslide hazards in TGR area.

388 Cracks on the walls of houses or fissures on the ground on a slope had been normally
389 regarded as the precursors of landslides. However, the appearance of cracks or fissures does
390 not mean that sliding surface has been formed (Kamai, 1998). In this aspect, the monitored
391 displacements summarized in Fig. 2a are consistent with the results obtained in other
392 landslides (e.g., Suemine, 1983; Kamai, 1998; Petley et al., 2002), and may provide help for
393 identifying whether the slope in Jurassic red-strata is at the failure-surface transmission state
394 or has already entered the residual (sliding) state (Kamai, 1998). This is important for the
395 stability analysis because the mobilized shear strengths at differing states will be different.

396 From Fig. 2b, we found that these slow moving landslides in Jurassic red-strata
397 experienced movement with differing velocities. Although this kind of movement may
398 result from many factors, such as rainfall and variation of water level in the reservoir, the
399 variation of residual shear strength of the sample with shear rate may also play a key role in
400 the movement. When the landsliding becomes faster, the shear resistance will become
401 greater to decelerate the movement. Therefore, it is expected that these landslides will
402 experience continuous accelerating-decelerating process, similar to the dynamics of a
403 rainfall-triggered landslide in Japan reported by Wang et al. (2010).

404 It is well known that for the landslides in reservoir area, fluctuation of the water level
405 plays a key role in the initiation of instability of embankment slopes due to the change in
406 water content and seepage conditions in the slope. The change in interstitial fluid pressure is

407 one of the most important material parameters determining the landslide initiation and the
408 dynamics of the flow and the depositional characteristics. The flow pattern, flow mobility,
409 runout distance, deposition morphology and energy dissipation are substantially influenced
410 by the pore water pressure (Sassa 1988; Iverson and Denlinger, 2001; Pitman and Le, 2005;
411 Pudasaini et al., 2005; Sassa and Wang, 2005; Pudasaini, 2012; Pudasaini and Miller, 2013).
412 On the one hand, this means that the accurate knowledge of the pore fluid pressure is very
413 crucial for the reliable predictions of the flow event and their dynamics. While on the other
414 hand, the data obtained here can be utilized to validate landslide and mass flow models by
415 back simulating the sliding mass, the fluid wave and dam walls interactions, submarine
416 mass flows, their deposition fans and extents (Pudasaini and Miller, 2012b) in technically,
417 geologically and environmentally more sensitive huge man made reservoirs and dams,
418 including the 1963 Vaiont landslide (Genevois and Ghirotti, 2005), and the landslides in
419 TGR in China. The model and unified simulation method proposed by Pudasaini and Miller
420 (2012a, b) can be applied to adequately describe the change (fluctuation) of water level in
421 the reservoir due to landslide impact at the reservoir. This is an important aspect, because it
422 plays a key role in the initiation of instability of embankment slopes due to the change in
423 water content and seepage conditions in the slope.

424

425 **6. Conclusions**

426 This paper presents the basic movement features of 21 landslides occurring in the
427 Jurassic red-strata in TGR and discusses the landslide's slow moving behavior. Three

428 groups of ring shear tests were performed to examine the possible mechanisms for different
429 types of landslide movements. Shear speed effect, residual shear strength recovery and
430 landslide reactivation trigger, are discussed to reveal the mechanisms of the slow-moving
431 landslides in Jurassic red-strata in TGR. We draw the following conclusions.

432 (1) The movement of the landslide in Jurassic red-strata in the TGR before the
433 catastrophic failure can be divided into two differing states, i.e., the slow movement with
434 different sliding rates, and the creep movement due to the crack propagation.

435 (2) The residual shear strength is positively dependent on the shear rate. This may be
436 one of the main reasons for the decelerating movement resulting in the cease of landsliding.
437 Further, the residual shear strength of the soils within the sliding zone could have a certain
438 recovery in a short time. This will elevate the stability of the landslides in Jurassic red-strata
439 in TGR. This makes the reactivation of the landslide less likely.

440 (3) The landslides in Jurassic red-strata in TGR display a delayed response to the
441 rainfall and/or periodic fluctuation of reservoir water level. This delay depends on the
442 permeability, the thickness of landsliding mass, and also the externally applied load due to
443 the fluctuation of the water level induced by the landslide impact generated water waves in
444 the reservoir.

445

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457

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Captions:

Fig. 1. Location of the Three Gorges Reservoir in China.

Fig. 2. Curves of maximum accumulated displacement with time for 21 typical landslides in Jurassic red-strata in the Three Gorges Reservoir. (a) Maximum accumulated displacement is smaller than 100 mm; (b) maximum accumulated displacement is larger than 100 mm.

Fig. 3. Conceptual model for the slow movement of landslides in Jurassic red-strata in the Three Gorges Reservoir before their catastrophic failure. (a) Accumulated displacement versus time; (b) Displacement rate versus time.

Fig. 4. Xiangshanlu landslide (with a yellow asterisk mark), nearby Qianjiangping landslide, which occurred in the early morning on July 14, 2003 and caused 24 people died (Wang, et al., 2004; Liao, et al., 2005). (Image from Google Earth) (a):Location of the landslide in TGR; (b) details of landslide locations marked on Google Earth image.

Fig. 5. Xiangshanlu landslide. (a) Houses destroyed in the toe of the landslide; (b) sliding mass; (c) soils within the sliding zone; (d) thickness of the soils within the sliding zone; and (e) profile of the sliding mass.

Fig. 6. Indices of physical properties of soil sample from Xiangshanlu landslide (XSL sample). (a) Grain size distribution of sample XSL; (b) plasticity chart of XSL sample.

Fig. 7. Ring shear test on the XSL sample. (a) Undrained shear behavior with the normal stress of 98 kPa; (b) residual shear strengths at different normal stresses.

Fig. 8. Ring shear test on the XSL sample at different shear speeds under the normal stress of 196 kPa.

Fig. 9. Ring shear test on the XSL sample with the different consolidation duration under the normal stress of 196 kPa. (a) shear resistance vs. shear displacement; and (b) vertical displacement vs. shear displacement.

Fig. 10. Configuration in the test of changing the pore-water pressure of shear zone.

Fig. 11. Shear behaviour of the sample XSL in the test of changing the pore-water pressure of shear band. (a) Shear stress and pore-water pressure versus time; (b) effective normal stress path in the test.

Table 1. Basic physical properties index of the soils within the sliding zone of Xiangshanlu landslide

Physical properties index	$\rho_d / \text{g/cm}^3$	$w_L / \%$	$w_P / \%$	I_P	C_u	C_c
XSL	1.75	49.50	27.70	21.80	24.13	57.44

Note: XSL, short for the sample collected from the sliding zone of Xiangshanlu landslide;

ρ_d : dry density; w_L : liquid limit; w_P : plastic limit; I_P : plastic index; C_u : uniformity coefficient;

C_c : coefficient of curvature.

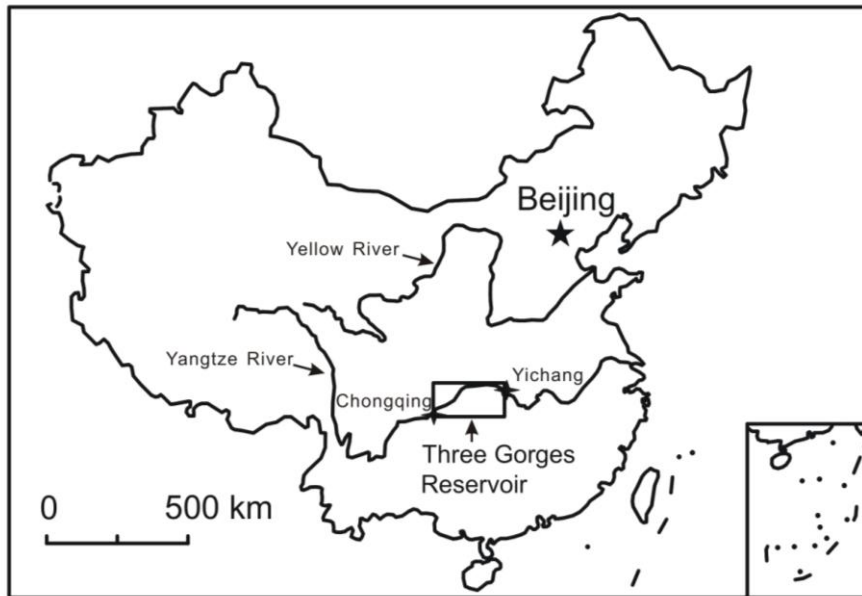


Fig. 1. Location of the Three Gorges Reservoir in China.

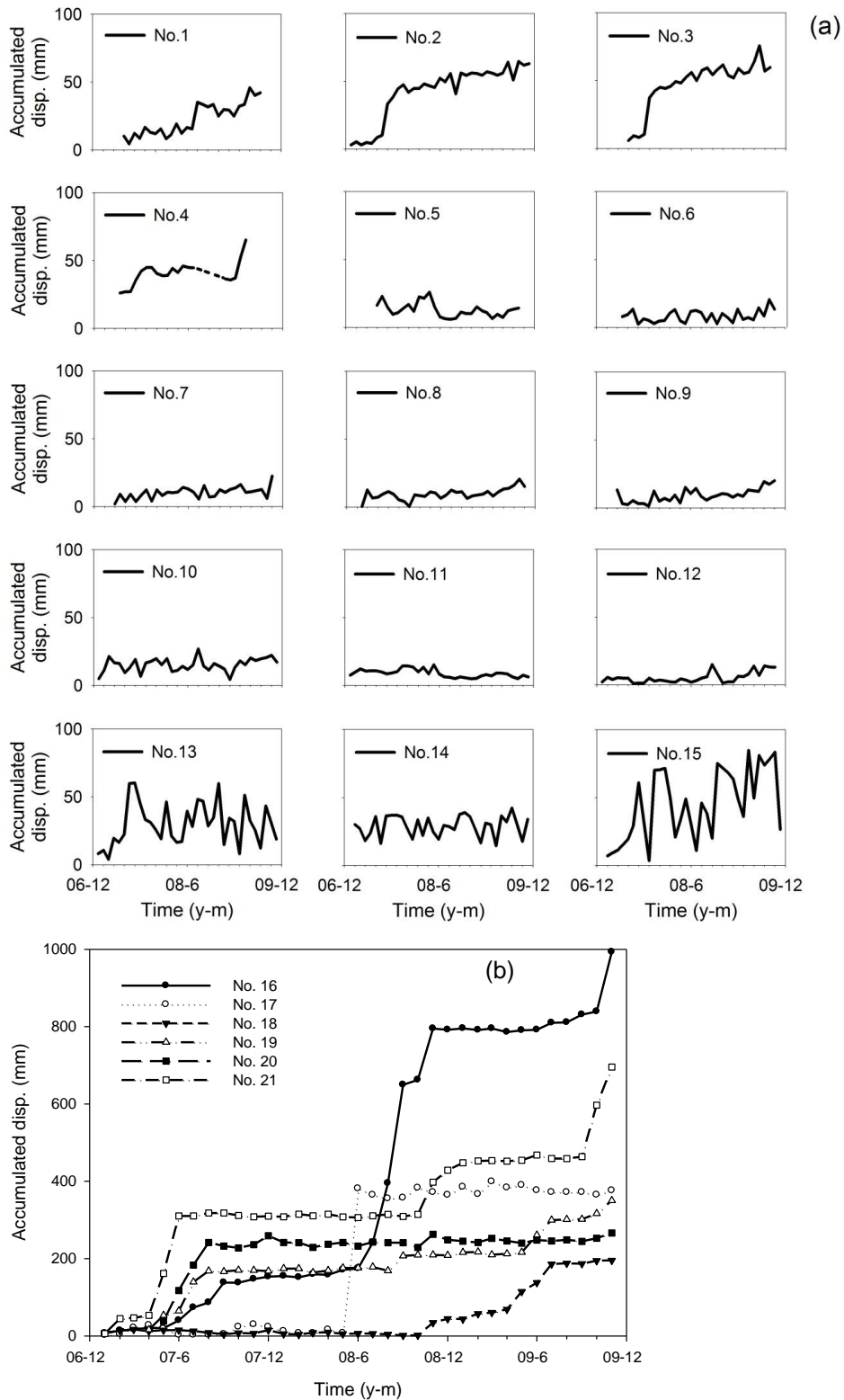


Fig. 2. Curves of maximum accumulated displacement with time for 21 typical landslides in Jurassic red-strata in the Three Gorges Reservoir. (a) Maximum accumulated displacement is smaller than 100 mm; (b) maximum accumulated displacement is larger than 100 mm.

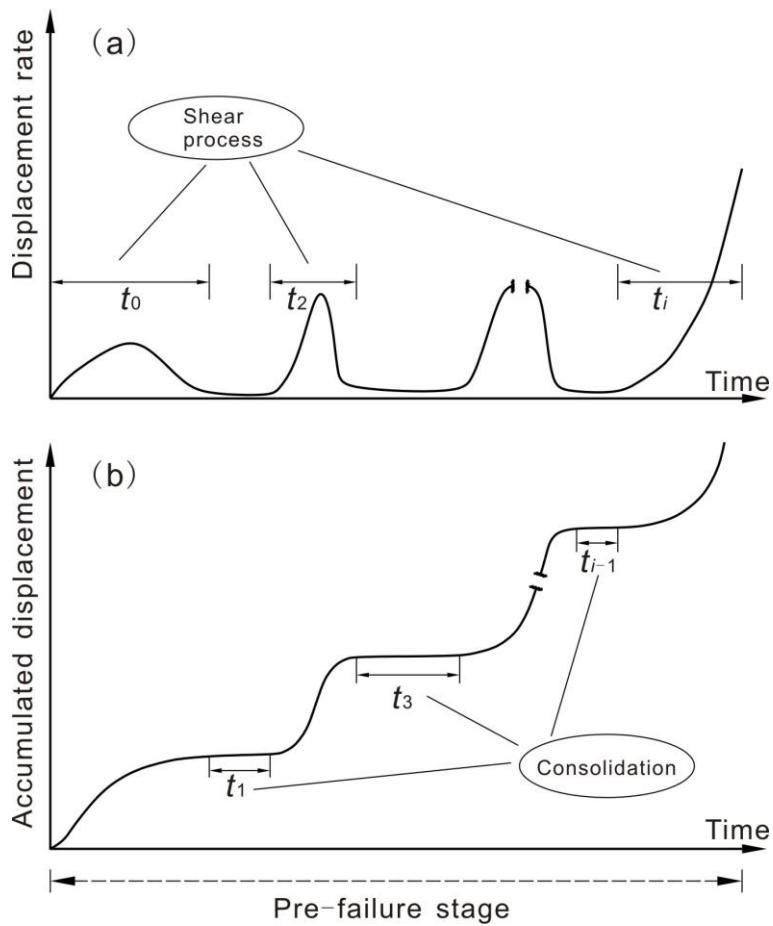


Fig. 3. Conceptual model for the slow movement of landslides in Jurassic red-strata in the Three Gorges Reservoir before their catastrophic failure. (a) Accumulated displacement versus time; (b) Displacement rate versus time.

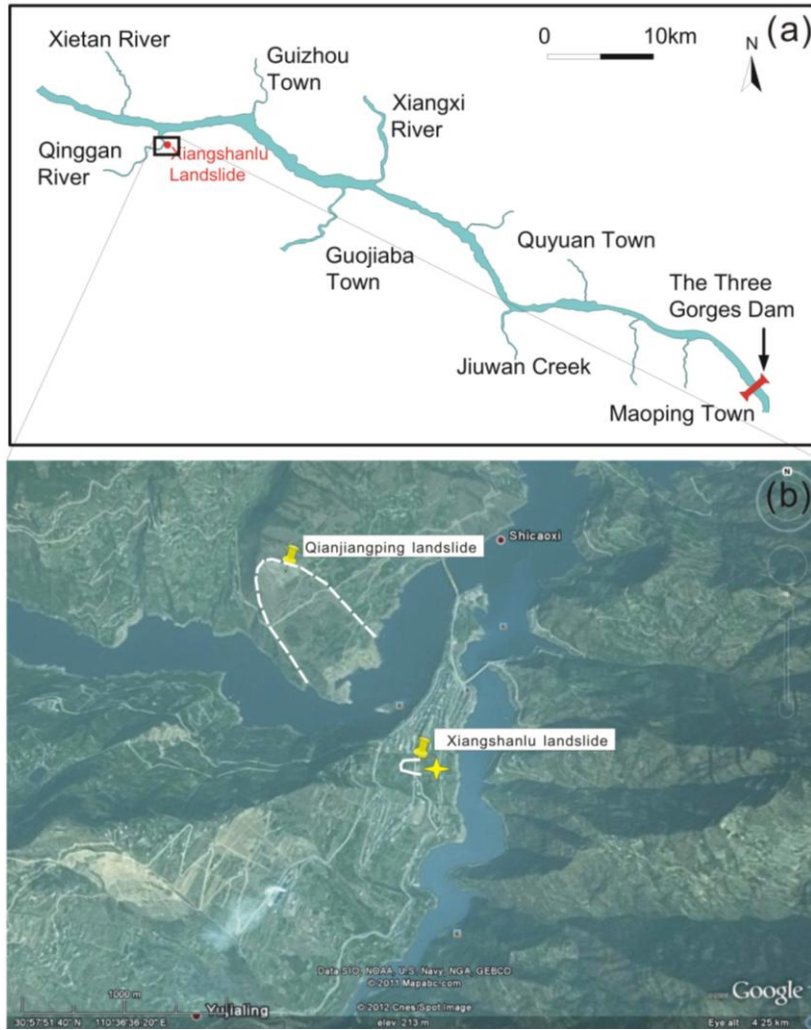


Fig. 4. Xiangshanlu landslide (with a yellow asterisk mark), nearby Qianjiangping landslide, which occurred in the early morning on July 14, 2003 and caused 24 people died (Wang, et al., 2004; Liao, et al., 2005). (Image from Google Earth) (a):Location of the landslide in TGR; (b) details of landslide locations marked on Google Earth image.

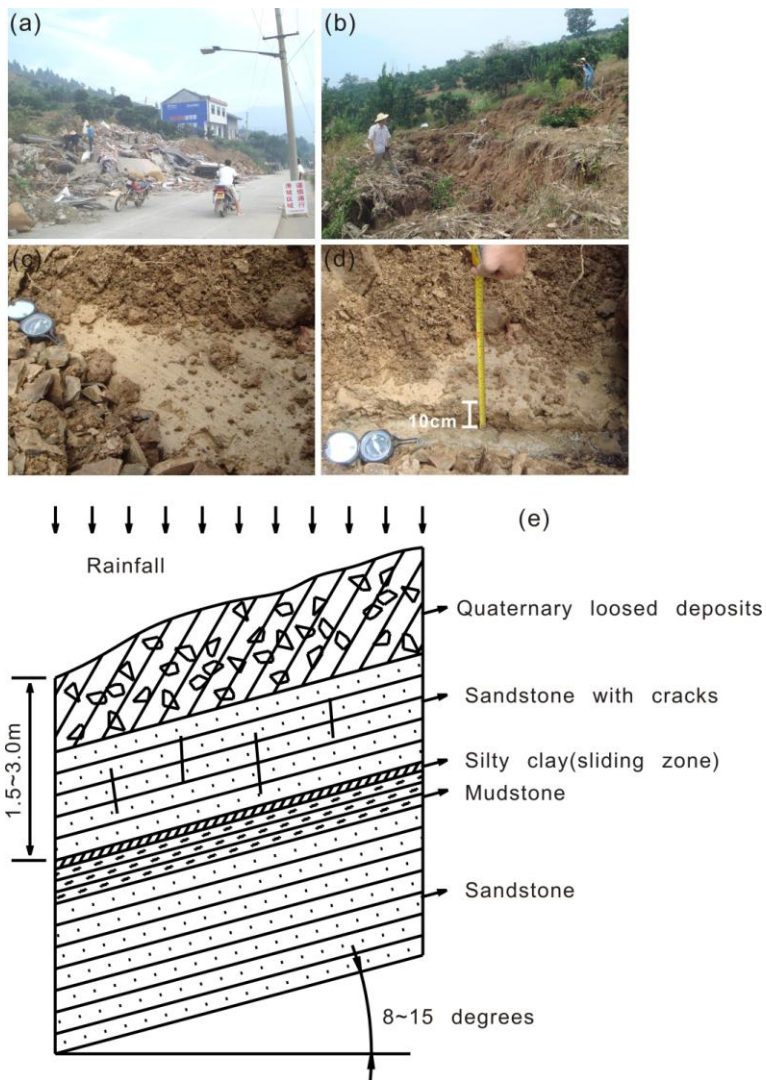


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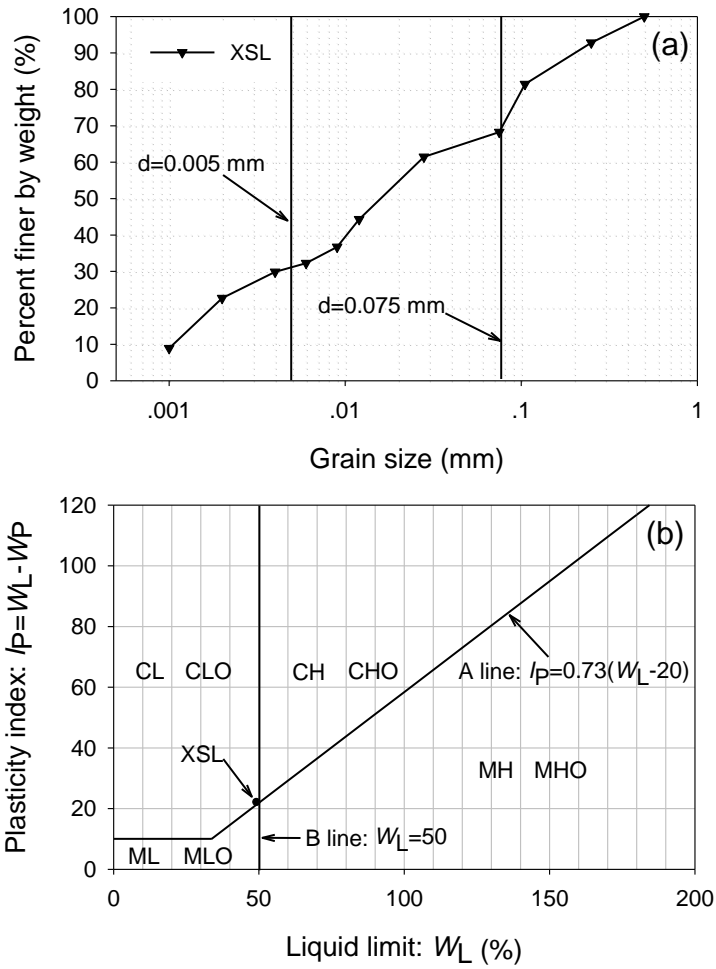


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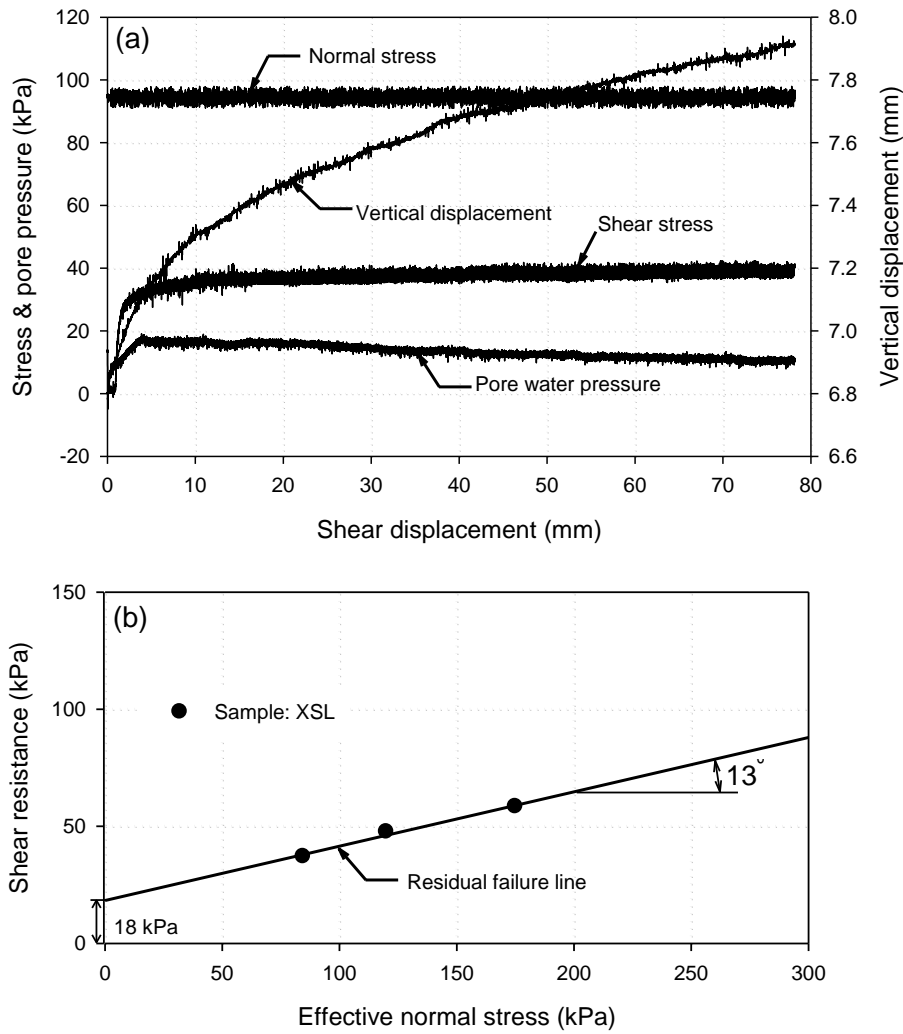


Fig. 7. Ring shear test on the XSL sample. (a) Undrained shear behavior with the normal stress of 98 kPa; (b) residual shear strengths at different normal stresses.

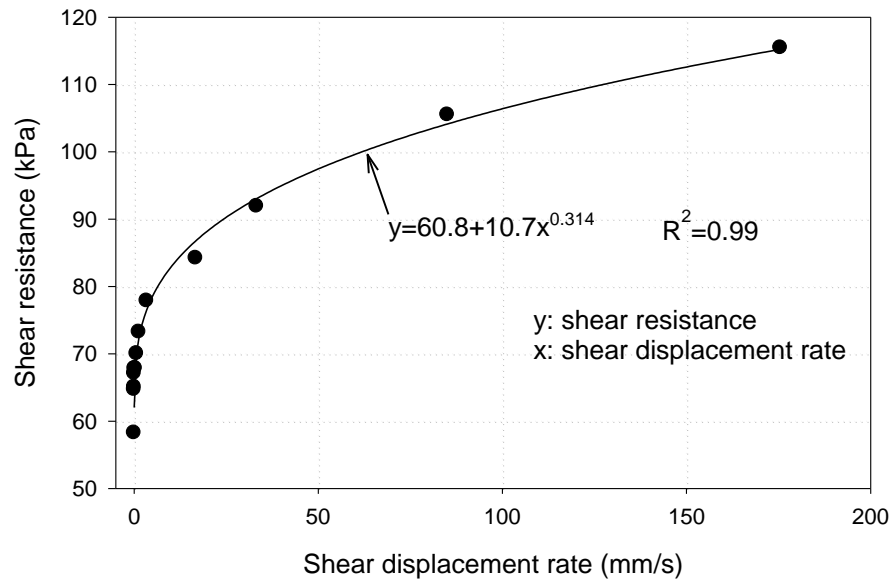


Fig. 8. Ring shear test on the XSL sample at different shear speeds under the normal stress of 196 kPa.

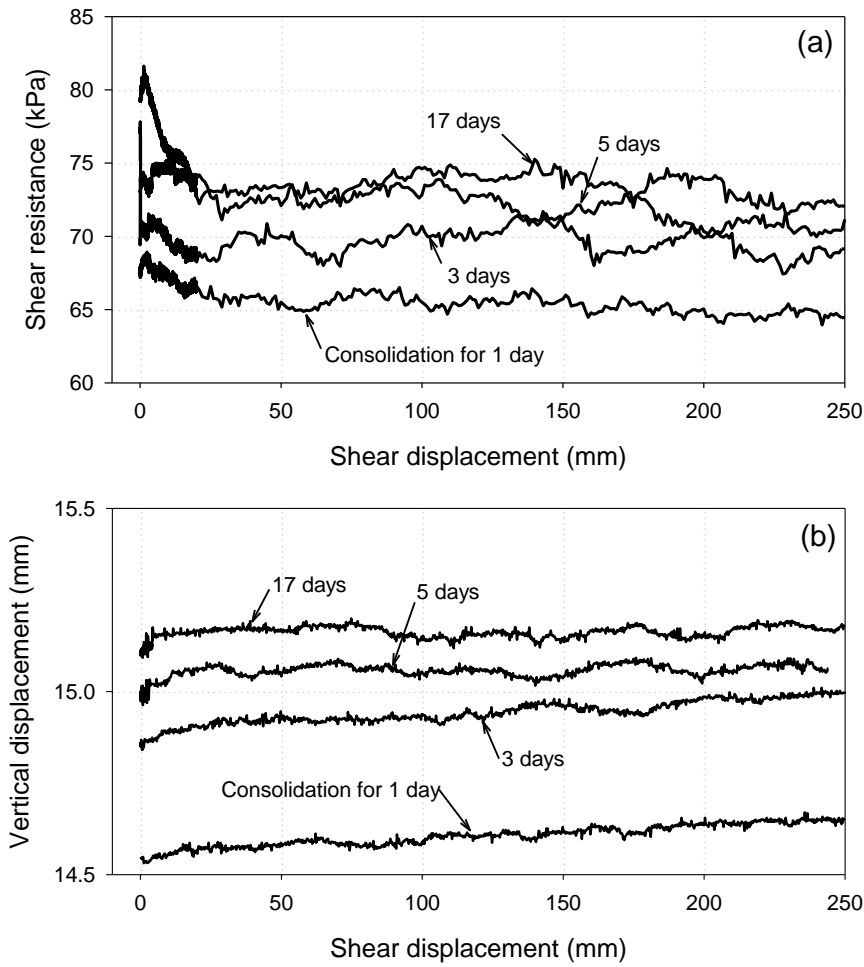


Fig. 9. Ring shear test on the XSL sample with the different consolidation duration under the normal stress of 196 kPa. (a) shear resistance vs. shear displacement; and (b) vertical displacement vs. shear displacement.

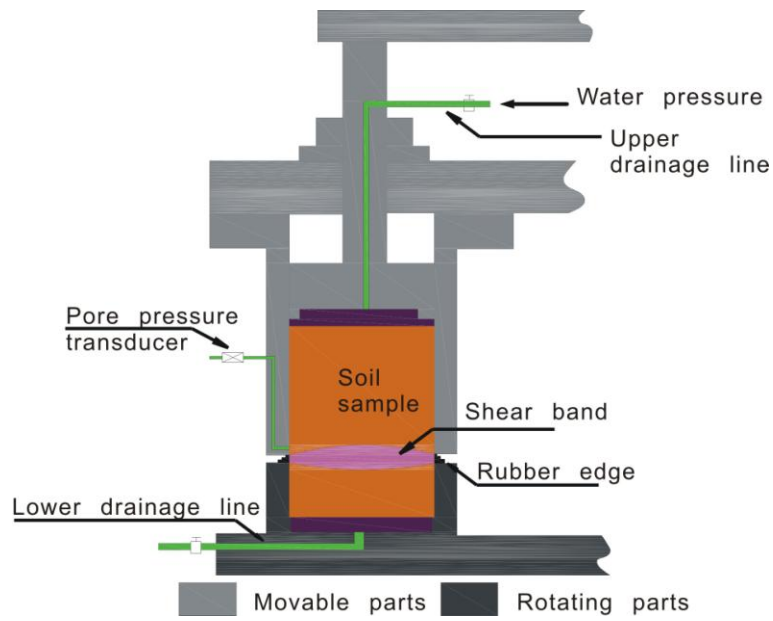


Fig. 10. Configuration in the test of changing the pore-water pressure of shear band.

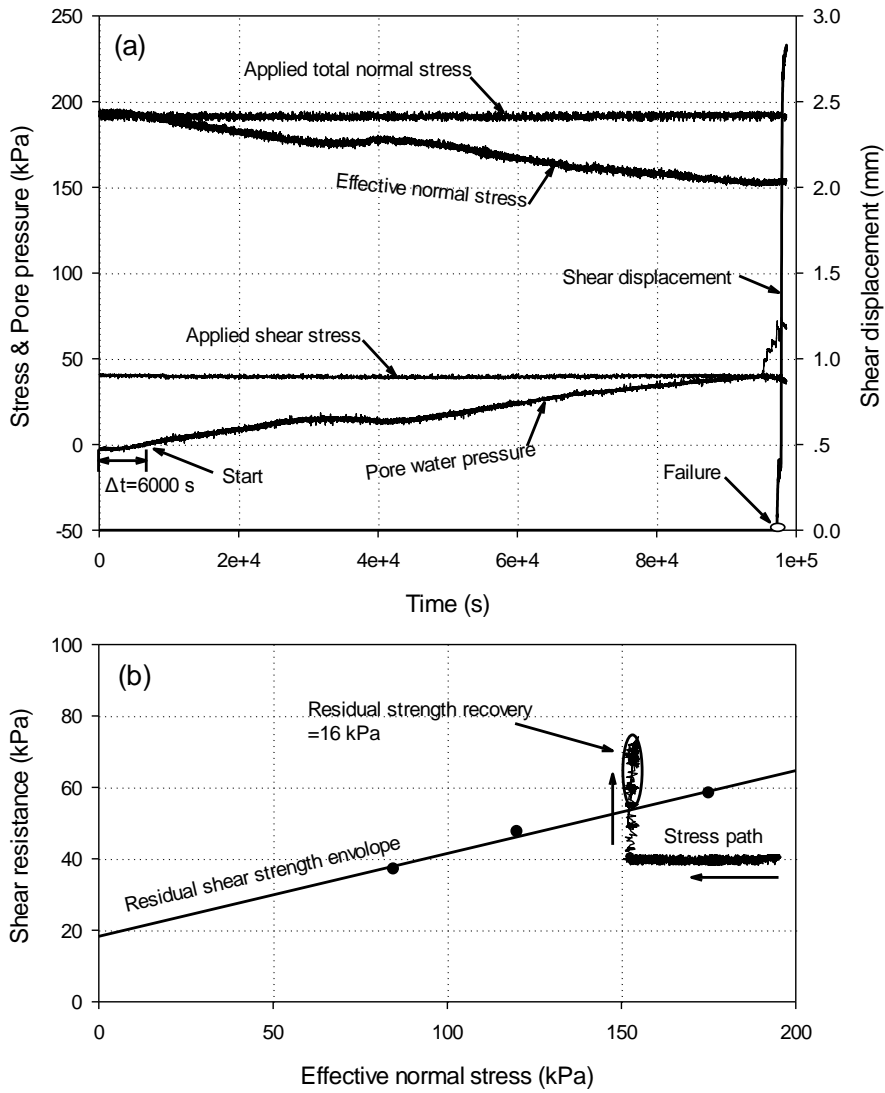


Fig. 11. Shear behaviour of the sample XSL in the test of changing the pore-water pressure of shear band. (a) Shear stress and pore-water pressure versus time; (b) effective normal stress path in the test.