

Title	Existence and non-existence results of the Fucik type spectrum for the generalized $p$ -Laplace operators (Progress in Variational Problems : Variational Methods in the Study of Evolution Equations)
Author(s)	Tanaka, Mieko
Citation	数理解析研究所講究録 (2012), 1779: 1-10
Issue Date	2012-02
URL	<a href="http://hdl.handle.net/2433/171812">http://hdl.handle.net/2433/171812</a>
Right	
Type	Departmental Bulletin Paper
Textversion	publisher

# Existence and non-existence results of the Fučík type spectrum for the generalized $p$ -Laplace operators

東京理科大学理学部二部数学科 田中 視英子 (Mieko Tanaka)

Department of Mathematics, Tokyo University of Science

## 1 Introduction

In this paper, we consider the existence of  $(\alpha, \beta) \in \mathbb{R}^2$  for which the following quasilinear elliptic equation has a non-trivial solution:

$$(F)_{(\alpha, \beta)} \quad \begin{cases} -\operatorname{div} A(x, \nabla u) = \alpha u_+^{p-1} - \beta u_-^{p-1} & \text{in } \Omega, \\ \frac{\partial u}{\partial \nu} = 0 & \text{on } \partial\Omega, \end{cases}$$

where  $\nu$  denotes the outward unit normal vector on  $\partial\Omega$ ,  $1 < p < \infty$ ,  $\Omega \subset \mathbb{R}^N$  is a bounded domain with  $C^2$  boundary  $\partial\Omega$ . Here,  $A: \bar{\Omega} \times \mathbb{R}^N \rightarrow \mathbb{R}^N$  is a map which is strictly monotone in the second variable and satisfies certain regularity conditions (see the following assumption (A)). The equation  $(F)_{(\alpha, \beta)}$  contains the corresponding  $p$ -Laplacian problem as a special case, and in this case,  $(\alpha, \beta)$  admitting a non-trivial solution to  $(F)_{(\alpha, \beta)}$  is said to belong to the *Fučík spectrum* of the  $p$ -Laplacian. Although the  $p$ -Laplace operator is  $(p-1)$ -homogeneous, the operator  $A$  is not supposed generally to be  $(p-1)$ -homogeneous in the second variable.

Here, we say that  $u \in W^{1,p}(\Omega)$  is a (weak) solution of  $(F)_{(\alpha, \beta)}$  if

$$\int_{\Omega} A(x, \nabla u) \nabla \varphi \, dx = \int_{\Omega} \alpha u_+^{p-1} \varphi \, dx - \int_{\Omega} \beta u_-^{p-1} \varphi \, dx$$

for all  $\varphi \in W^{1,p}(\Omega)$ .

Throughout this paper, we assume that the operator  $A$  satisfies the following assumption (A):

(A)  $A(x, y) = a(x, |y|)y$ , where  $a(x, t) > 0$  for all  $(x, t) \in \bar{\Omega} \times (0, +\infty)$  and

(i)  $A \in C^0(\bar{\Omega} \times \mathbb{R}^N, \mathbb{R}^N) \cap C^1(\bar{\Omega} \times (\mathbb{R}^N \setminus \{0\}), \mathbb{R}^N)$ ;

(ii) there exists a  $C_1 > 0$  such that

$$|D_y A(x, y)| \leq C_1 |y|^{p-2} \quad \text{for every } x \in \bar{\Omega}, \text{ and } y \in \mathbb{R}^N \setminus \{0\};$$

(iii) there exists a  $C_0 > 0$  such that

$$D_y A(x, y) \xi \cdot \xi \geq C_0 |y|^{p-2} |\xi|^2 \quad \text{for every } x \in \bar{\Omega}, y \in \mathbb{R}^N \setminus \{0\} \text{ and } \xi \in \mathbb{R}^N.$$

(iv) there exists a  $C_2 > 0$  such that

$$|D_x A(x, y)| \leq C_2(1 + |y|^{p-1}) \quad \text{for every } x \in \bar{\Omega}, y \in \mathbb{R}^N \setminus \{0\}.$$

Throughout this paper, we assume  $C_0 \leq p - 1 \leq C_1$  because we can take such desired  $C_0$  and  $C_1$  anew if necessary.

The hypothesis (A) has been considered in the study of the quasilinear elliptic problems (cf. [6], [12], [13]). For example, we can treat the operators like the  $p$ -Laplacian with the positive weight and

$$\operatorname{div} \left( (|\nabla u|^{p-2} + |\nabla u|^{q-2})(1 + |\nabla u|^q)^{\frac{p-q}{q}} \nabla u \right) \quad \text{for } 1 < p \leq q < \infty.$$

Let us recall the known results in the special case of  $A(x, y) = |y|^{p-2}y$  that is,  $p$ -Laplace problem and  $C_0 = C_1 = p - 1$ . The set of all points  $(\alpha, \beta) \in \mathbb{R}^2$  for which the equation

$$-\Delta_p u = \alpha u_+^{p-1} - \beta u_-^{p-1} \text{ in } \Omega, \quad \frac{\partial u}{\partial \nu} = 0 \text{ on } \partial\Omega \quad (1)$$

has a non-trivial solution is called the *Fučík spectrum* of the  $p$ -Laplacian under the Neumann boundary condition. In this paper, we denote the Fučík spectrum of  $p$ -Laplacian by  $\Theta_p$ . It is well known that the first eigenvalue  $\mu_1 = 0$  of  $-\Delta_p$  is simple and every eigenfunction corresponding to  $\mu_1 = 0$  is a constant function. Therefore,  $\Theta_p$  contains the lines  $\{0\} \times \mathbb{R}$  and  $\mathbb{R} \times \{0\}$  (we call these lines as “the trivial lines”). Furthermore, by the same argument as in [5], it can be proved that there exists a Lipschitz continuous curve contained in  $\Theta_p$  which is called “the first nontrivial curve”  $\mathcal{C}$  (see Section 2). In the  $p$ -Laplacian case, many authors have treated the Fučík spectrum (see [5], [7], [8], [10] under the Dirichlet boundary condition and [2], [3] for Neumann boundary condition).

Let us return to the general case. In [14], D. Motreanu and the present author treated the equation

$$-\operatorname{div} A(x, \nabla u) = f(x, u) \quad \text{in } \Omega, \quad \frac{\partial u}{\partial \nu} = 0 \quad \text{on } \partial\Omega \quad (2)$$

with the following nonlinearity:

$$f(x, u) = \begin{cases} \alpha_0 u_+^{p-1} - \beta_0 u_-^{p-1} + o(|u|^{p-1}) & \text{at } 0, \\ \alpha u_+^{p-1} - \beta u_-^{p-1} + o(|u|^{p-1}) & \text{at } \infty \end{cases}$$

for  $(\alpha_0, \beta_0), (\alpha, \beta) \in \mathbb{R}^2$ . Roughly speaking, by constructing two curves  $\tilde{\mathcal{C}}$  and  $\underline{\mathcal{C}}$  related to the map  $A$  (see section 3), it was shown that the equation (2) has a sign-changing solution in the case where  $(\alpha, \beta)$  is below the curve  $\underline{\mathcal{C}}$  and  $(\alpha_0, \beta_0)$  is above the curve  $\tilde{\mathcal{C}}$ . In the  $p$ -Laplacian case, we see that two curves  $\tilde{\mathcal{C}}$  and  $\underline{\mathcal{C}}$  coincide with the first nontrivial curve  $\mathcal{C}$ . Moreover, if the first nontrivial curve lies between  $(\alpha_0, \beta_0)$  and  $(\alpha, \beta)$ , then equation  $-\Delta_p u = f(x, u)$  in  $\Omega$  (under the Dirichlet boundary condition) has a non-trivial solution. Therefore, even for the general case of  $A$ , it seems reasonable to expect the existence of uncountably many Fučík type spectrum between  $\tilde{\mathcal{C}}$  and  $\underline{\mathcal{C}}$ .

Mainly, this paper consists of results in [14] and [15]. In the final section, we see further results and several questions concerning our problem.

## 2 The first nontrivial curve contained in $\Theta_p$

Here, we recall the result for the special case of  $A(x, y) = |y|^{p-2}y$ , that is,  $p$ -Laplacian problems (note that we can take  $C_0 = C_1 = p - 1$  in (A)). The construction of the curve  $\mathcal{C}$  contained in the Fučík spectrum is carried out by the same argument as in [5]: For  $s \geq 0$ , we define

$$\begin{aligned} J_s(u) &:= \int_{\Omega} |\nabla u|^p dx - s \int_{\Omega} u_+^p dx \quad \text{for } u \in W^{1,p}(\Omega), \quad \tilde{J}_s := J_s|_S \\ S &:= \left\{ u \in W^{1,p}(\Omega); \int_{\Omega} |u|^p dx = 1 \right\}, \\ \Sigma &:= \{ \gamma \in C([0, 1], S); \gamma(0) = \psi_1, \gamma(1) = -\psi_1 \}, \end{aligned}$$

where  $\psi_1 = 1/|\Omega|^{1/p}$  (so  $\|\psi_1\|_p = 1$ ). Here, the set  $C([0, 1], S)$  denotes the set of continuous functions from  $[0, 1]$  to  $S$  with the topology induced by the  $W^{1,p}(\Omega)$  norm. Finally, we set

$$c(s) := \inf_{\gamma \in \Sigma} \max_{t \in [0, 1]} \tilde{J}_s(\gamma(t)). \quad (3)$$

Then, it can be proved that  $c(s)$  is a positive critical value of  $\tilde{J}_s$  with  $c(0) = \mu_2$ , where  $\mu_2$  is the second eigenvalue of the  $p$ -Laplacian under the Neumann boundary condition. Moreover, we can see that  $c(s)$  is continuous, strictly decreasing in  $s \geq 0$  and  $c(s) + s$  is strictly increasing in  $s \geq 0$  (refer to [1, Lemma 2.2] and [5, Proposition 4.1]). Then,  $\mathcal{C}$  is defined as follows:

$$\mathcal{C} := \{ (c(s) + s, c(s)); s \geq 0 \} \cup \{ (c(s), c(s) + s); s \geq 0 \}.$$

Finally, we remark that in the case of  $N \geq p$ , it is shown in [3] that  $c(s) \rightarrow 0$  as  $s \rightarrow \infty$ , whence the asymptotic lines of the first nontrivial curve are the trivial lines  $\mathbb{R} \times \{0\}$  and  $\{0\} \times \mathbb{R}$ . However, if  $N < p$ , then  $c(s) \rightarrow \bar{\lambda}$  as  $s \rightarrow \infty$ , where  $\bar{\lambda}$  is a positive constant defined by

$$\bar{\lambda} = \inf_B \int_{\Omega} |\nabla u|^p dx, \quad \text{where } B := \{ u \in S; u(x_0) = 0 \text{ for some } x_0 \in \bar{\Omega} \}.$$

This yields that the trivial lines are not the asymptotic lines of the first nontrivial curve.

## 3 Existence and non-existence results

To state the results for  $(F)_{(\alpha, \beta)}$ , we define curves  $\underline{\mathcal{C}}$  and  $\tilde{\mathcal{C}}$  by

$$\begin{aligned} \underline{\mathcal{C}} &:= \frac{C_0}{p-1} \mathcal{C} := \{ (aC_0/(p-1), bC_0/(p-1)); (a, b) \in \mathcal{C} \}, \\ \tilde{\mathcal{C}} &:= \frac{C_1}{p-1} \mathcal{C} = \{ (aC_1/(p-1), bC_1/(p-1)); (a, b) \in \mathcal{C} \}, \end{aligned}$$

where  $C_0$  and  $C_1$  are positive constants satisfying (A). First, we state the elementary results for the equation  $(F)_{(\alpha, \beta)}$  which is shown in [14].

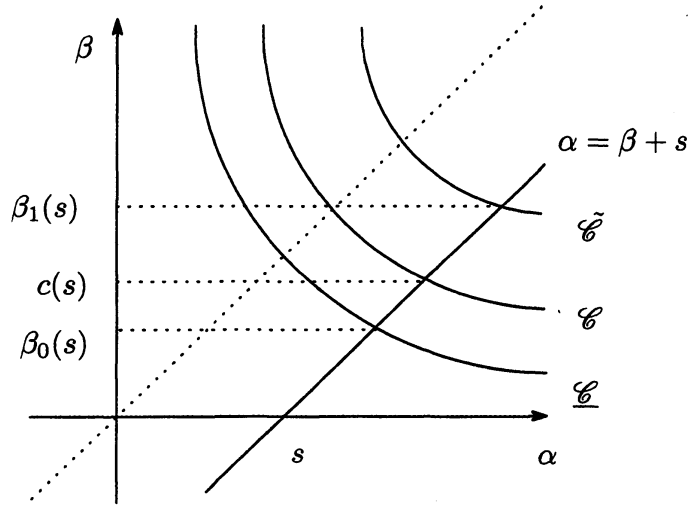
**Proposition 1** ([14, Proposition 2]) *The following assertions hold:*

- (i) if  $\alpha\beta < 0$  or  $\max\{\alpha, \beta\} < 0$  holds, then  $(F)_{(\alpha, \beta)}$  has no non-trivial solutions;
- (ii) if  $u$  is a non-trivial solution of  $(F)_{(\alpha, \beta)}$  with  $\min\{\alpha, \beta\} > 0$ , then  $u$  changes sign;
- (iii) if  $u$  is a non-trivial solution of  $(F)_{(\alpha, \beta)}$  with  $\alpha\beta = 0$ , then  $u$  is a constant function;
- (iv) if  $0 < \alpha < \alpha'$  and  $0 < \beta < \beta'$  for some  $(\alpha', \beta') \in \underline{\mathcal{C}}$ , then  $(F)_{(\alpha, \beta)}$  has no non-trivial solutions.

Define  $\beta_0(s)$  and  $\beta_1(s)$  for  $s \geq 0$  by

$$\beta_0(s) := \frac{C_0}{p-1} c\left(\frac{p-1}{C_0} s\right), \quad \beta_1(s) := \frac{C_1}{p-1} c\left(\frac{p-1}{C_1} s\right),$$

where  $c(\cdot)$  is a function defined by (3) (see the following figure):



Now, we state existence results.

**Theorem 2 ([15])** For every  $s \geq 0$  and  $R > 0$ , there exists a  $\beta \in [\beta_0(s), \beta_1(s)]$  such that  $(F)_{(\beta+s, \beta)}$  and  $(F)_{(\beta, \beta+s)}$  have at least one sign-changing solution  $u \in C^1(\bar{\Omega})$  with  $\int_{\Omega} |u|^p dx \leq R^p$ .

**Theorem 3 ([15])** Let  $s \geq 0$ ,  $\varepsilon > 0$  and  $R_2 > R_1 > 0$  be constants satisfying

$$R_2 > \max \left\{ \frac{\beta_1(s) + s + \varepsilon}{\min\{\beta_0(s), \varepsilon\}}, \frac{C_1(\beta_1(s) + s + \varepsilon)^2}{C_0(\beta_1(s) + \varepsilon)^2}, \frac{s(C_1 - C_0)}{C_0(\beta_1(s) + \varepsilon)} \right\}^{1/p} R_1.$$

Then, there exists a  $\beta \in [\beta_0(s), \beta_1(s) + \varepsilon]$  such that  $(F)_{(\beta+s, \beta)}$  and  $(F)_{(\beta, \beta+s)}$  have at least one sign-changing solution  $u \in C^1(\bar{\Omega})$  with  $R_1^p \leq \int_{\Omega} |u|^p dx \leq R_2^p$ .

### 3.1 Variational setting and notations

In what follows, we define the norm of  $W := W^{1,p}(\Omega)$  by  $\|u\|^p := \|\nabla u\|_p^p + \|u\|_p^p$ , where  $\|u\|_q$  denotes the norm of  $L^q(\Omega)$  for  $u \in L^q(\Omega)$  ( $1 \leq q \leq \infty$ ). Define  $G(x, y) := \int_0^{|y|} a(x, t)t dt$ , then we can easily see that

$$\nabla_y G(x, y) = A(x, y) \quad \text{and} \quad G(x, 0) = 0$$

for every  $x \in \bar{\Omega}$ .

**Remark 4** *The following assertions hold:*

- (i) for all  $x \in \bar{\Omega}$ ,  $A(x, y)$  is maximal monotone and strictly monotone in  $y$ ;
- (ii)  $|A(x, y)| \leq \frac{C_1}{p-1}|y|^{p-1}$  for every  $(x, y) \in \bar{\Omega} \times \mathbb{R}^N$ ;
- (iii)  $A(x, y)y \geq \frac{C_0}{p-1}|y|^p$  for every  $(x, y) \in \bar{\Omega} \times \mathbb{R}^N$ ;
- (iv)  $G(x, y)$  is convex in  $y$  for all  $x$  and satisfies the following inequalities:

$$A(x, y)y \geq G(x, y) \geq \frac{C_0}{p(p-1)}|y|^p \quad \text{and} \quad G(x, y) \leq \frac{C_1}{p(p-1)}|y|^p \quad (4)$$

for every  $(x, y) \in \bar{\Omega} \times \mathbb{R}^N$ ,

where  $C_0$  and  $C_1$  are the positive constants described in (A).

For parameters  $s \geq 0$  and  $\beta \in \mathbb{R}$ , we define the  $C^1$  functionals  $I_{\beta,s}$  and  $I_{\beta,s}^+$  on  $W^{1,p}(\Omega)$  by

$$I_{\beta,s}(u) := \int_{\Omega} G(x, \nabla u) dx - \frac{\beta+s}{p} \int_{\Omega} u_+^p dx - \frac{\beta}{p} \int_{\Omega} u_-^p dx$$

with

$$\begin{aligned} \langle I'_{\beta,s}(u), v \rangle &= \int_{\Omega} A(x, \nabla u) \nabla v dx - (\beta+s) \int_{\Omega} u_+^{p-1} v dx + \beta \int_{\Omega} u_-^{p-1} v dx, \\ I_{\beta,s}^+(u) &:= \int_{\Omega} G(x, \nabla u) dx - \frac{\beta+s}{p} \int_{\Omega} u_+^p dx \end{aligned}$$

for  $u, v \in W^{1,p}(\Omega)$ . In this paper, we use the following notations:

$$\begin{aligned} B(r) &:= \{u \in W; \|u\| \leq r\}, & B_p(r) &:= \{u \in W; \|u\|_p \leq r\}, \\ D(r, r') &:= \{u \in W; r \leq \|u\| \leq r'\}, & D_p(r, r') &:= \{u \in W; r \leq \|u\|_p \leq r'\}, \\ rS &:= \{u \in W; \|u\|_p = r\}, & rS_+ &:= \{u \in W; \|u_+\|_p = r\} \end{aligned}$$

for  $r' \geq r > 0$ . Here, we note that the topology of all subsets above are induced by the  $W^{1,p}(\Omega)$  norm. We set

$$K(I_{\beta,s}) := \{u \in W; I'_{\beta,s}(u) = 0\} \quad \text{and} \quad I_{\beta,s}^c := \{u \in W; I_{\beta,s}(u) \leq c\}$$

for  $c \in \mathbb{R}$ .

**Remark 5** Let  $u \in W^{1,p}(\Omega)$  be a critical point of  $I_{\beta,s}$ , namely,  $u$  satisfies the equality

$$\int_{\Omega} A(x, \nabla u) \nabla \varphi \, dx = (\beta + s) \int_{\Omega} u_+^{p-1} \varphi \, dx - \beta \int_{\Omega} u_-^{p-2} \varphi \, dx$$

for every  $\varphi \in W^{1,p}(\Omega)$ . Then, because of  $u \in L^\infty(\Omega)$  (see Appendix in [14]), we see  $u \in C^{1,\gamma}(\overline{\Omega})$  ( $0 < \gamma < 1$ ) by the regularity result (cf. [11]).

By Theorem 3 in [4],  $u$  satisfies  $(F)_{(\beta+s,\beta)}$  in the distribution sense and the boundary condition

$$0 = \frac{\partial u}{\partial \nu_A} := A(\cdot, \nabla u) \nu = a(\cdot, |\nabla u|) \frac{\partial u}{\partial \nu} \quad \text{in } W^{-1/q,q}(\partial\Omega)$$

for every  $1 < q < \infty$  (see [4] for the definition of  $W^{-1/q,q}(\partial\Omega)$ ). Since  $u \in C^{1,\gamma}(\overline{\Omega})$  and  $a(x, y) > 0$  for every  $y \neq 0$ ,  $u$  satisfies the Neumann boundary condition, that is,  $\frac{\partial u}{\partial \nu}(x) = 0$  for every  $x \in \partial\Omega$ .

By Proposition 1 and the remark above (note also that  $A(x, y)$  is odd in  $y$ ), it is sufficient to prove the following theorems for the proofs of Theorem 2 and 3.

**Theorem 6 ([15])** For every  $s \geq 0$  and  $R > 0$ , there exists a  $\beta \in [\beta_0(s), \beta_1(s)]$  such that  $K(I_{\beta,s}) \cap B_p(R) \setminus \{0\} \neq \emptyset$ .

**Theorem 7 ([15])** Let  $s \geq 0$ ,  $\varepsilon > 0$  and  $R_2 > R_1 > 0$  be constants satisfying (3) as in Theorem 3. Then, there exists a  $\beta \in [\beta_0(s), \beta_1(s) + \varepsilon]$  such that  $K(I_{\beta,s}) \cap D_p(R_1, R_2) \neq \emptyset$ .

Roughly speaking, to show the existence of a non-trivial critical point near zero of  $I_{\beta,s}$ , we see the variation of the critical groups at 0 for  $I_{\beta,s}$  when a parameter  $\beta$  changes from  $\beta_0(s)$  to  $\beta_1(s)$ . Moreover, it is necessary to construct a flow for which  $B_p(R)$  (or  $D_p(R_1, R_2)$ ) is invariant. Furthermore, we shall produce suitable paths to see that 0-th reduced homology group is trivial. For this purpose, we need to consider the constrained variational problems. The key point of our proof is to introduce a Finsler manifold  $rS_+$ .

Finally, we state the result characterizing  $c(s)$  by Morse theory.

**Corollary 8 ([15])** Let  $C_0 = C_1 = p - 1$  (that is, the case of  $p$ -Laplace operator). Then, for every  $s \geq 0$

$$c(s) = \min \left\{ \beta > 0; \tilde{H}_0(I_{\beta,s}^0 \setminus \{0\}) = 0 \right\}$$

holds, where  $c(s)$  is a function defined by (3) and  $\tilde{H}_*$  denotes the reduced homology groups.

This corollary means that the mountain pass value  $c(s)$  is attained by some continuous path  $\gamma_s \in \Sigma$  for each  $s \geq 0$ .

## 4 The constrained variational problems

Throughout this section, we fix any  $s \geq 0$ . Thus, set  $I_{\beta,s}(\cdot) = I_\beta(\cdot)$  for  $\beta \in \mathbb{R}$  to simplify the notation. First, we define  $C^1$  functionals  $\Phi$  and  $\Phi_+$  on  $W$  by  $\Phi(u) := \frac{1}{p}\|u\|_p^p$  and  $\Phi_+(u) := \frac{1}{p}\|u_+\|_p^p$  for  $u \in W$ . Because  $r^p/p$  is a regular value of  $\Phi$  and  $\Phi_+$  for each  $r > 0$ , it is well known that the norm of the derivative at  $u \in (rS)$  or  $u \in (rS_+)$  of the restriction of  $I_\beta$  or  $I_\beta^+$  to  $rS$  or  $rS_+$  is defined as follows:

$$\begin{aligned} \|\tilde{I}_\beta'(u)\|_* &:= \min \{ \|I_\beta'(u) - t\Phi'(u)\|_{W^*}; t \in \mathbb{R} \} \\ &= \sup \{ \langle I_\beta'(u), v \rangle; v \in T_u(rS), \|v\| = 1 \}, \\ \|(\tilde{I}_\beta^+)'(u)\|_* &:= \min \{ \|(I_\beta^+)'(u) - t\Phi_+'(u)\|_{W^*}; t \in \mathbb{R} \}, \end{aligned} \quad (5)$$

where  $T_u(rS)$  denotes the tangent space of  $rS$  at  $u$ , that is,  $T_u(rS) = \{v \in W; \int_\Omega |u|^{p-2}uv \, dx = 0\}$  (cf. section 5.3 in [17] for (5)). It is known that  $rS$  and  $rS_+$  are  $C^1$  Finsler manifolds (cf. section 27.4 and 27.5 in [9]). Hence,  $rS$  and  $rS_+$  are locally path connected. Concerning  $rS_+$ , the following result is proved.

**Corollary 9 ([15])**  *$rS_+$  is path connected for each  $r > 0$ .*

To state our results for constrained variational problems, we set the following open subsets of  $rS$  or  $rS_+$  as follows:

$$\mathcal{O}(I_\beta, r, b) := \{u \in rS; I_\beta(u) < b\}, \quad \mathcal{O}^+(I_\beta^+, r, b) := \{u \in rS_+; I_\beta^+(u) < b\}$$

for  $r > 0$  and  $\beta, b \in \mathbb{R}$ . Then, we have the following existence result.

**Lemma 10 ([15])** *Let  $\beta \in \mathbb{R}$ ,  $r > 0$  and  $b \in \mathbb{R}$ . Then, any nonempty maximal open connected subset of  $\mathcal{O}(I_\beta, r, b)$  or  $\mathcal{O}^+(I_\beta^+, r, b)$  contains at least one critical point of  $I_\beta|_{rS}$  or  $I_\beta^+|_{rS_+}$ , respectively.*

The above lemma plays an important role for the proof of constructing a suitable path. It is the developed result from one as in [5] for the manifold  $S$ .

## 5 Further results and remaining questions

Finally, the present author would like to take up two questions. First one is “Is the set  $\Theta_A$  closed?” where  $\Theta_A$  denotes the set of all  $(\alpha, \beta)$  such that  $(F)_{(\alpha, \beta)}$  has a non-trivial solution. Of course, in the case where  $A$  is  $(p-1)$ -homogeneous in the second variable, we know that the above question is true. Second is “When dose  $\Theta_A$  contain a similar curve to the first nontrivial curve  $\mathcal{C}$ ?” We state the following result related to the first question.

**Proposition 11** *For  $R_2 \geq R_1 > 0$ , we set*

$$\begin{aligned} \Theta_A(R_1, R_2) &:= \{(\alpha, \beta) \in \mathbb{R}^2; (F)_{(\alpha, \beta)} \text{ has a solution in } D(R_1, R_2)\}, \\ \Theta_A(R_1, R_2)_p &:= \{(\alpha, \beta) \in \mathbb{R}^2; (F)_{(\alpha, \beta)} \text{ has a solution in } D_p(R_1, R_2)\}. \end{aligned}$$

*Then,  $\Theta_A(R_1, R_2)$  and  $\Theta_A(R_1, R_2)_p$  are closed for any  $R_2 \geq R_1 > 0$ .*



*Proof.* Let  $\{(\alpha_n, \beta_n)\} \subset \Theta_A(R_1, R_2)_p$  (resp.  $\Theta_A(R_1, R_2)$ ) be a sequence satisfying  $\alpha_n \rightarrow \alpha_0$  and  $\beta_n \rightarrow \beta_0$  as  $n \rightarrow \infty$ . Because of  $(\alpha_n, \beta_n) \in \Theta_A(R_1, R_2)_p$  (resp.  $\Theta_A(R_1, R_2)$ ), there exists a  $u_n \in D_p(R_1, R_2)$  (resp.  $D(R_1, R_2)$ ) being a solution of  $(F)_{(\alpha_n, \beta_n)}$ , that is,  $-\operatorname{div} A(x, \nabla u_n) = \alpha_n u_{n+}^{p-1} - \beta_n u_{n-}^{p-1}$  in  $\Omega$ ,  $\partial u_n / \partial \nu = 0$  on  $\partial\Omega$ . Then, we can see that  $\{u_n\}$  is bounded in  $L^\infty(\Omega)$ . Indeed, by taking  $u_n$  as test function, we have

$$\frac{C_0}{p-1} \|\nabla u_n\|_p^p \leq \int_{\Omega} A(x, \nabla u_n) \nabla u_n \, dx \leq \max\{|\alpha_n|, |\beta_n|\} \|u_n\|_p^p \leq \max\{|\alpha_n|, |\beta_n|\} R_2^p$$

by Remark 4 (iii). This implies the boundedness of  $\|u_n\|$ . Moreover, it is known that there exists a positive constant  $C$  independent of  $n$  such that  $\|u_n\|_\infty \leq C \|u_n\|$  because  $u_n$  is a solution of  $(F)_{(\alpha_n, \beta_n)}$  and

$$|\alpha_n t_+^{p-1} - \beta_n t_-^{p-1}| \leq \max\{|\alpha_0| + 1, |\beta_0| + 1\} |t|^{p-1} \quad (6)$$

for every  $t \in \mathbb{R}$  and sufficiently large  $n$  (see Appendix in [14]). Thus, our claim is shown.

Because of the boundedness of  $\|u_n\|_\infty$  and (6), the regularity result in [11] guarantees that there exist  $\gamma \in (0, 1)$  and  $M > 0$  independent of  $n$  such that  $u_n \in C^{1, \gamma}(\bar{\Omega})$  and  $\|u_n\|_{C^{1, \gamma}(\bar{\Omega})} \leq M$ . Since the inclusion of  $C^{1, \gamma}(\bar{\Omega})$  to  $C^1(\bar{\Omega})$  is compact, we may assume that  $u_n$  converges some  $u_0$  in  $C^1(\bar{\Omega})$  by choosing a subsequence. As a result,  $u_0$  is a solution of  $(F)_{(\alpha_0, \beta_0)}$  and  $u_0 \in D_p(R_1, R_2)$  (resp.  $D(R_1, R_2)$ ). Thus,  $(\alpha_0, \beta_0) \in \Theta_A(R_1, R_2)_p$  (resp.  $\Theta_A(R_1, R_2)$ ) holds, whence our conclusion is shown.  $\blacksquare$

For any  $s \geq 0$  and  $R_2 \geq R_1 > 0$  such that  $K(I_{\beta, s}) \cap D_p(R_1, R_2) \neq \emptyset$  for some  $\beta > 0$ , we can define  $c_A(s, R_1, R_2)$  by

$$c_A(s, R_1, R_2) := \inf \{ \beta \geq \beta_0(s); K(I_{\beta, s}) \cap D_p(R_1, R_2) \neq \emptyset \}.$$

It follows from Proposition 11 that the above infimum is attained, that is,

$$c_A(s, R_1, R_2) = \min \{ \beta \geq \beta_0(s); K(I_{\beta, s}) \cap D_p(R_1, R_2) \neq \emptyset \}.$$

Then, the present author would like to consider the problem ‘‘What properties does  $c_A(s, R_1, R_2)$  have?’’ to answer to the second question.

## 5.1 Asymptotically $(p - 1)$ homogeneous case

In this subsection, we deal with the special case where the map  $A(x, y)$  is asymptotically  $(p - 1)$  homogeneous in the following sense:

(AH) there exist a positive function  $a_\infty \in C^1(\bar{\Omega}, \mathbb{R})$  and a function  $\tilde{a}(x, t)$  on  $\bar{\Omega} \times \mathbb{R}$  such that

$$A(x, y) = a_\infty(x) |y|^{p-2} y + \tilde{a}(x, |y|) y \quad \text{for every } x \in \Omega, y \in \mathbb{R}^N,$$

and  $\lim_{t \rightarrow +\infty} \frac{\tilde{a}(x, t)}{t^{p-2}} = 0$  uniformly in  $x \in \bar{\Omega}$ .

For this weight  $a_\infty$ , we can define the following mountain pass value  $c_{a_\infty}(s)$  by the same argument as in  $c(s)$ , namely

$$c_{a_\infty}(s) := \inf_{\gamma \in \Sigma} \max_{t \in [0,1]} \tilde{J}_{a_\infty,s}(\gamma(t)), \quad (7)$$

$$J_{a_\infty,s}(u) := \int_{\Omega} a_\infty(x) |\nabla u|^p dx - s \int_{\Omega} u_+^p dx, \quad \tilde{J}_{a_\infty,s} := J_{a_\infty,s}|_S.$$

It can be proved that the interval  $(0, c_{a_\infty}(s))$  has no critical values of  $\tilde{J}_{a_\infty,s}$ .

Under the hypothesis  $(AH)$ , we have the following result.

**Proposition 12** *Assume  $(AH)$ . Let  $s \geq 0$ ,  $\beta > 0$  and  $\{u_n\}$  be a sequence of a solution for  $(F)_{(s+\beta,\beta)}$ . If  $\|u_n\|_p \rightarrow \infty$  as  $n \rightarrow \infty$ , then  $\beta \geq c_{a_\infty}(s)$  holds, where  $c_{a_\infty}(s)$  is the constant defined by (7).*

*Proof.* Here, we give the sketch of the proof. Set  $v_n := u_n/\|u_n\|_p$ . Then, by the same argument as in [16, Proposition 36], we can prove that  $\{v_n\}$  has a subsequence strongly convergent to a solution  $v$  of

$$-\operatorname{div}(a_\infty(x)|\nabla u|^{p-2}\nabla u) = (s+\beta)u_+^{p-1} - \beta u_-^{p-1} \quad \text{in } \Omega, \quad \frac{\partial u}{\partial \nu} = 0 \quad \text{on } \partial\Omega,$$

where  $a_\infty$  is the positive function as in  $(AH)$ . This means that  $v$  is a critical point of  $\tilde{J}_{a_\infty,s}$  with  $\beta = \tilde{J}_{a_\infty,s}(v)$ . Because  $\beta > 0$  and  $(0, c_{a_\infty}(s))$  contains no critical values of  $\tilde{J}_{a_\infty,s}$ , we obtain  $\beta \geq c_{a_\infty}(s)$ . ■

**Corollary 13** *Assume  $(AH)$  and  $s \geq 0$ . Then, we have*

$$\liminf_{R \rightarrow \infty} c_A(s, R, \infty) \geq c_{a_\infty}(s),$$

where  $c_A(s, R, \infty) := \inf \{ \beta \geq \beta_0(s) ; K(I_{\beta,s}) \cap D_p(R, \infty) \neq \emptyset \}$ .

*Proof.* By way of contradiction, we prove our assertion. So, we assume that there exists  $s \geq 0$  such that  $(0 < \beta_0(s) \leq) \beta := \liminf_{R \rightarrow \infty} c_A(s, R, \infty) < c_{a_\infty}(s)$ . Then, by choosing a subsequence, we can take a sequence  $\{u_n\}$  of a solution for  $(F)_{(\beta_n+s,\beta_n)}$  with  $\|u_n\|_p \rightarrow \infty$  and  $\beta_n \rightarrow \beta$ . By the same argument as in [16, Proposition 36], we can show that  $\beta$  is a critical value of  $\tilde{J}_{a_\infty,s}$ . Therefore, we have a contradiction because of  $0 < \beta < c_{a_\infty}(s)$ . ■

The present author expect that in Theorem 3, we can choose  $\beta$  close to  $c_{a_\infty}(s)$  under the additional hypothesis  $(AH)$ .

## References

- [1] M. Alif and P. Omari, *On a  $p$ -Laplace Neumann problem with asymptotically asymmetric perturbations*, *Nonlinear Analysis TMA* **51** (2002), 369–389.
- [2] M. Arias, J. Campos, M. Cuesta and J.-P. Gossez, *An asymmetric Neumann problem with weights*, *Ann. Inst. Henri Poincaré* **25** (2008), 267–280.

- [3] M. Arias, J. Campos and J.-P. Gossez, *On the antimaximum principle and the Fučík spectrum for the Neumann  $p$ -Laplacian*, Differential Int. Equations **13** (2000), 217–226.
- [4] E. Casas and L. A. Fernandez, *A Green's formula for quasilinear elliptic operators*, J. Math. Anal. Appl. **142** (1989), 62–73.
- [5] M. Cuesta, D. de Figueiredo, and J.-P. Gossez, *The beginning of the Fučík spectrum for the  $p$ -Laplacian*, J. Differential Equations **159** (1999), 212–238.
- [6] L. Damascelli, *Comparison theorems for some quasilinear degenerate elliptic operators and applications to symmetry and monotonicity results*, Ann. Inst. Henri Poincaré **15** (1998), 493–516.
- [7] E. Dancer, *On the Dirichlet problem for weak nonlinear elliptic partial differential equations*, Proc. Royal Soc. Edinburgh, **76A**(1977), 283–300.
- [8] N. Dancer and K. Perera, *Some Remarks on the Fučík Spectrum of the  $p$ -Laplacian and Critical Groups*, J. Math. Anal. Appl. **254** (2001), 164–177
- [9] K. Deimling, “Nonlinear Functional Analysis”, Springer-Verlag, New York, 1985.
- [10] S. Fučík , *Boundary value problems with jumping nonlinearities*, Casopis Pest. Mat. **101** (1976), 69–87.
- [11] G. M. Lieberman, *Boundary regularity for solutions of degenerate elliptic equations*, Nonlinear Anal. **12** (1988), 1203–1219.
- [12] M. Montenegro, *Strong maximum principles for supersolutions of quasilinear elliptic equations*, Nonlinear Anal. **37** (1999), 431–448.
- [13] D. Motreanu and N. S. Papageorgiou, *Multiple solutions for nonlinear Neumann problems driven by a nonhomogeneous differential operator*, Proc. Amer. Math. Soc., to appear.
- [14] D. Motreanu and M. Tanaka, *Existence of solutions for quasilinear elliptic equations with jumping nonlinearities under the Neumann boundary condition*, to appear in Calc. Var. Partial Differential Equations.
- [15] M. Tanaka, *Existence of the Fučík type spectrums for the generalized  $p$ -Laplace operators*, submitted.
- [16] M. Tanaka, *The antimaximum principle and the existence of a solution for the generalized  $p$ -Laplace equations with indefinite weight*, submitted.
- [17] M. Willem, “Minimax Theorem”, Birkhäuser, Boston, 1996.