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# Differential equations satisfied by principal series Whittaker functions on SU(2,2)

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#### Abstract

In this talk, we discuss about a holonomic system of differential equations for Whittaker functions associated with the principal series representation of SU(2;2) with higher dimensional minimal K-type.

## 1 Introduction

Throughout, let G be the simple real Lie group SU(2,2) of rank two, and let

 $K = S(U(2) \times U(2))$ : the maximal compact subgroup of G

 $\pi$ : an irreducible representation of G which is K-admissible.

For the representation  $\pi$ , there are two types of Whittaker model with respect to a character  $\eta$  of N (a spherical subgroup of G). One is the smooth model, and the other is the algebraic models induced by the space of algebraic Whittaker vectors:

$$W(\pi,\eta) := \operatorname{Hom}_{(\mathfrak{g},K)}(\pi \mid_{K}, C^{\infty}\operatorname{-Ind}_{N}^{G}(\eta)),$$

Here,  $\mathfrak{g}$  is the Lie algebra of G,  $\pi \mid_K$  is the subspace of K-finite vectors in  $\pi$  and  $C^{\infty}$ -Ind<sub>N</sub><sup>G</sup>( $\eta$ ) is the right G-module smoothly induced from  $\eta$ .

Our aim is a characterization of the space  $W(\pi, \eta)$  for the principal series representation  $\pi$  of G associated with a minimal parabolic subgroup, which leads to a description of the following challenging question associated to  $\pi$ .

**Question**. For each intertwiner  $\Phi$  in  $W(\pi, \eta)$ , what is the image of  $\Phi$ ? Equivalently, for each K-type  $\tau$  occurring in  $\pi$ , one can ask the image of the  $\tau$ -isotypic component in  $\pi$ . The latter image is called the space of Whittaker functions of  $\pi$  with respect to  $\tau$ .

The natural and classical approach. Let  $\tau$  be a K-type belonging to  $\pi$ , and  $f_1, \ldots, f_n$  be its a basis in  $\pi$ . Denote by  $\phi_j(g)$  the image of  $f_j$  under a fixed intertwiner  $\Phi$ . Then, for each j and k in K, the function  $(k\phi_j)(g) = \phi_j(gk)$ is a linear combination of the functions  $\phi_1(g), \ldots, \phi_n(g)$ . Thus, it is enough to consider the functions  $\phi_j$  on A for our purpose. Assume that C is a square matrix of size dim $(\tau)$ , with entries in the universal enveloping algebra of  $\mathfrak{g}$ , so that

$$\pi(\mathcal{C}) \circ \begin{pmatrix} f_1 \\ f_2 \\ \vdots \\ f_n \end{pmatrix} = \gamma \cdot \begin{pmatrix} f_1 \\ f_2 \\ \vdots \\ f_n \end{pmatrix}, \qquad (1)$$

for some constant  $\gamma \in \mathbb{C}$ .

By applying  $\Phi$  to the identity (1) we get the following system of differential equations (the A-radial part)

$$\mathcal{R}(\mathcal{C}) \circ egin{pmatrix} \phi_1(a) \ \phi_2(a) \ dots \ \phi_n(a) \end{pmatrix} = \gamma \cdot egin{pmatrix} \phi_1(a) \ \phi_2(a) \ dots \ \phi_n(a) \end{pmatrix}, \ a \in A$$

where  $\mathcal{R}$  denotes the infinitesimal action of G on  $C^{\infty}$ -Ind<sup>G</sup><sub>N</sub>( $\eta$ ). Thus, one can regard the space  $W(\pi, \eta)$  as a subset of the solution space  $Sol(\mathcal{R}(\mathcal{C}))$  of the system by sending  $\Phi$  to the functions { $\phi_i(a)$ }.

**Remark**. Recall that Whittaker functions satisfy differential equations with regular singularities at "0". The most important requirements for choosing a basis for  $\tau$  are the simplicity and symmetricity of the series expansion of these functions  $\phi_j(a)(a \in A)$  around 0 and of the system of differential equations arising from (1).

**Principal series**  $\pi_{s,\chi}$ . Let

$$\mathfrak{a} = \{ a(t_1, t_2) = \begin{pmatrix} 0 & 0 & t_1 & 0 \\ 0 & 0 & 0 & t_2 \\ t_1 & 0 & 0 & 0 \\ 0 & t_2 & 0 & 0 \end{pmatrix} \mid t_1, t_2 \in \mathbb{R} \} \subset \mathfrak{g},$$
$$M = \{ \operatorname{diag}(e^{i\theta}, e^{-i\theta}, e^{i\theta}, e^{-i\theta}) \} \oplus \{ 1_4, \operatorname{diag}(1, -1, 1, -1) \}$$

Define linear functions  $\lambda_1$  and  $\lambda_2$  on  $\mathfrak{a}$  by  $\lambda_1(\mathfrak{a}(t_1, t_2)) = t_1$  and  $\lambda_2(\mathfrak{a}(t_1, t_2)) = t_2$ . Then the set  $\{\pm \lambda_1 \pm \lambda_2, \pm 2\lambda_1, \pm 2\lambda_2\}$  forms the restricted root system of type  $C_2$  for the pair  $(\mathfrak{g}, \mathfrak{a})$ . Define  $\lambda_1 \pm \lambda_2, 2\lambda_1$  and  $2\lambda_2$  to be positive. Let  $P_{min}$  be the minimal parabolic subgroup of G with Langlands decomposition  $P_{min} = MAN$ , where N is the unipotent subgroup defined by the root spaces corresponding to positive roots. For the character  $s \otimes \chi$  of  $M, s \in \mathbb{Z}$ , and the  $\mathbb{C}$ -valued real linear form  $\mu = \mu_1 \lambda + \mu_2 \lambda_2$ , one has the principal series representation

$$\pi_{s,\chi} := \operatorname{Ind}_P^G((s \otimes \chi)_M \otimes e^{\mu + \rho} \otimes 1_N),$$

where  $1_N$  is the trivial character of N.

The main object in the paper is the 8-dimensional space  $W(\pi_{s,\chi},\eta)$  of algebraic Whittaker vectors (see Kostant [2]) for non-degenerate character  $\eta$  (unitary) of N. Note that it is sufficient for our purpose to assume that  $s \ge 0$ .

#### 1.1 Some previous results

Let us recall some known identities as in (1) and previous results for the space  $W(\pi, \eta)$ . The first example is the classical **Casimir** equation: let  $\Omega$  be the Casimir operator of G. Then we have the following identity

$$\pi_{s,\chi}(\Omega)v = \chi_{\pi_{s,\chi}}(\Omega)v,$$

where  $\chi_{\pi_{s,\chi}}$  is the infinitesimal character and v is a differential vector. This identity gives us an injection of  $W(\pi_{s,\chi},\eta)$  into the solution space  $Sol(\mathcal{R}(\Omega))$  of the above equation. Note that the space  $Sol(\mathcal{R}(\Omega))$  is of infinite dimension.

Let  $\pi$  be a discrete series representation of G and  $\tau$  be its minimal K-type. Then Yamashita [10] defined an operator  $D_{\pi,\tau}$  on  $\tau$  under  $\pi$ :

$$\pi(D_{\pi,\tau})\tau=0.$$

This gives us an injection of  $W(\pi, \eta)$  into the solution space  $Sol(\mathcal{R}(D_{\pi,\tau}))$  of the operator  $\mathcal{R}(D_{\pi,\tau})$ . Moreover, under certain conditions, he showed that

$$W(\pi,\eta) \cong Sol(\mathcal{R}(D_{\pi,\tau}))$$

as vector spaces. This result is not just for the group G (see [10] and [11]).

Let  $\pi$  be the principal series representation of  $G = Sp(2, \mathbb{R})$  as in [6], and  $\tau$  be the minimal K-type of  $\pi$ . In [6], the authors obtained a matrix, of size dim $(\tau)$ , formula of the form  $\pi(\mathcal{D})v = \gamma v$  which implies

$$W(\pi,\eta)\cong Sol(\mathcal{R}(\Omega),\mathcal{R}(\mathcal{D})),$$

where  $\Omega$  stands for the Casimir operator of  $Sp(2,\mathbb{R})$ . Note that the possible value of dim $(\tau)$  is 1 or 2. The degree of  $\mathcal{D}$  is 4 if dim $(\tau) = 1$ , and 2 for the case of dimension 2.

**Remark.** In the case s = 0 and s = 1, the corresponding spaces  $W(\pi_{s,\chi}, \eta)$  behave quite similar to the above mentioned cases for  $G = Sp(2,\mathbb{R})$ , and are studied in [4], .

### 2 Differential equations

We begin by providing some formulas for the multiplicity one K-types  $\tau_{[0,s;l]}$  in the principal series  $\pi_{s,\chi}$ . These formulas come from the explicit  $(\mathfrak{g}, K)$ -module structure of  $\pi_{s,\chi}$  which originally discussed by Oda [7].

Note that the space of the adjoint K-representation  $(Ad, \mathfrak{p}_{\mathbb{C}})$  is generated by the matrix units  $E_{ij+2}$  and  $E_{i+2j}$   $(1 \leq i, j \leq 2)$  and denote by  $\mathcal{E}_{ij+2}$  and  $\mathcal{E}_{i+2j}$ their infinitesimal actions with respect to  $\pi_s$ . Let denote  $\mathbf{F}_{[s;l]}$  the transpose of the vector  $(f_0, f_1, ..., f_s)$ , where  $\{f_j : 0 \leq j \leq s\}$  is the "nice" basis of  $\tau_{[0,s;l]}$ introduced in [1] and  $\mathbf{c}_q := q/s$  for  $0 \leq q \leq s$ .

Formula 1. (Casimir equation) Let  $\Omega$  be the Casimir operator. Then we have

$$\pi_{s,\chi}(\Omega) \cdot \mathbf{F}_{[s;l]} = (\mu_1^2 + \mu_2^2 + \frac{1}{2}s^2 - 10)\mathbf{F}_{[s;l]}.$$

**Formula 2.** (Shift equations) Set  $\nu_1 = \frac{1}{2}(s+l)$  and  $\nu_2 = \frac{1}{2}(s-l)$ . Then we have

$$\pi_{s,\chi}(\bar{\mathcal{Q}}) \cdot \mathbf{F}_{[s;l]} = \frac{1}{4} (\mu_1^2 - (\nu_1 + 1)^2) \mathbf{F}_{[s;l]},$$

and

$$\pi_{s, \boldsymbol{\chi}}(\mathcal{Q}) \cdot \mathbf{F}_{[s; l]} = rac{1}{4} (\mu_2^2 - (
u_2 - 1)^2) \mathbf{F}_{[s; l]},$$

where  $\bar{\mathcal{Q}} = \{\bar{Q}_{ij}\}_{0 \leq i,j \leq s}$  and  $\mathcal{Q} = \{Q_{ij}\}_{0 \leq i,j \leq s}$  are square matrices given by

$$\begin{split} \bar{Q}_{qq-1} &= -\mathbf{c}_q (\mathcal{E}_{24} \mathcal{E}_{32} + \mathcal{E}_{14} \mathcal{E}_{31}) \\ \bar{Q}_{qq+1} &= -(1 - \mathbf{c}_q) (\mathcal{E}_{23} \mathcal{E}_{42} + \mathcal{E}_{13} \mathcal{E}_{41}) \\ \bar{Q}_{qq} &= (1 - \mathbf{c}_q) (\mathcal{E}_{23} \mathcal{E}_{32} + \mathcal{E}_{13} \mathcal{E}_{31}) + \mathbf{c}_q (\mathcal{E}_{14} \mathcal{E}_{41} + \mathcal{E}_{24} \mathcal{E}_{42}) \end{split}$$

and

$$Q_{qq-1} = \mathbf{c}_{q} (\mathcal{E}_{32}\mathcal{E}_{24} + \mathcal{E}_{31}\mathcal{E}_{14})$$

$$Q_{qq+1} = (1 - \mathbf{c}_{q})(\mathcal{E}_{42}\mathcal{E}_{23} + \mathcal{E}_{41}\mathcal{E}_{13})$$

$$Q_{qq} = \mathbf{c}_{q} (\mathcal{E}_{32}\mathcal{E}_{23} + \mathcal{E}_{31}\mathcal{E}_{13}) + (1 - \mathbf{c}_{q})(\mathcal{E}_{41}\mathcal{E}_{14} + \mathcal{E}_{42}\mathcal{E}_{24})$$

for  $0 \le q \le s$ , but all other entries are 0.

Formula 3. (Annihilation equations) We have

$$\pi_{s, oldsymbol{\chi}}(\mathcal{A}) \cdot \mathbf{F}_{[s; l]} = 0,$$

and

$$\pi_{s,\chi}(\bar{\mathcal{A}})\cdot\mathbf{F}_{[s;l]}=0,$$

where  $A = \{A_{ij}\}$  and  $\bar{A} = \{\bar{A}_{ij}\}$  are square matrix whose non-zero entries are given by

$$\begin{aligned} A_{jj-1} &= -\mathcal{E}_{31}\mathcal{E}_{14} - \mathcal{E}_{32}\mathcal{E}_{24}, \\ A_{jj} &= \mathcal{E}_{41}\mathcal{E}_{14} + \mathcal{E}_{42}\mathcal{E}_{24} - \mathcal{E}_{31}\mathcal{E}_{13} - \mathcal{E}_{32}\mathcal{E}_{23}, \\ A_{jj+1} &= \mathcal{E}_{41}\mathcal{E}_{13} + \mathcal{E}_{42}\mathcal{E}_{23}, \end{aligned}$$

and

$$\begin{split} \bar{A}_{jj-1} &= -\mathcal{E}_{14}\mathcal{E}_{31} - \mathcal{E}_{24}\mathcal{E}_{32}, \\ \bar{A}_{jj} &= \mathcal{E}_{14}\mathcal{E}_{41} + \mathcal{E}_{24}\mathcal{E}_{42} - \mathcal{E}_{13}\mathcal{E}_{31} - \mathcal{E}_{23}\mathcal{E}_{32}, \\ \bar{A}_{jj+1} &= \mathcal{E}_{13}\mathcal{E}_{41} + \mathcal{E}_{23}\mathcal{E}_{42}, \end{split}$$

for  $1 \leq j \leq s - 1$ .

**Proposition 2.1.** On the K-type  $\tau_{[0,s;l]}$  with respect to the action  $\pi_{s,\chi}$  we have

$$Q + \bar{Q} = \Omega/4.$$

#### 2.1 A holonomic system of rank 8

**Coordinate system**. Since the  $\mathbb{R}$ -split torus A for our case is two dimensional, one may choose the coordinate system  $(y_1, y_2)$ . Denote the Euler operators  $y_1 \frac{\partial}{\partial y_1}$  and  $y_2 \frac{\partial}{\partial y_2}$  with respect to this system by  $\partial_1$  and  $\partial_2$ , respectively.

We now define the matrix differential operator  $\bar{\mathcal{D}}$  by

$$\begin{pmatrix} \bar{d}_{00} & \bar{d}_{01} & 0 & \cdots & 0 \\ 0 & \bar{d}_{11} & \bar{d}_{12} & \cdots & 0 \\ 0 & 0 & \bar{d}_{22} & \cdots & 0 \\ \vdots & \vdots & & \vdots & & \\ 0 & 0 & 0 & \cdots & \bar{d}_{s-2s-2} & \bar{d}_{s-2s-1} & 0 \\ 0 & 0 & 0 & \cdots & 0 & \bar{d}_{s-1s-1} & \bar{d}_{s-1s} \\ 0 & 0 & 0 & \cdots & 0 & \bar{d}_{ss-1} & \bar{d}_{ss} \end{pmatrix}$$

where

$$d_{qq} = \frac{1}{4}((\partial_1 - q)^2 - \mu_1^2) - \xi \bar{\xi} y_1^2, \qquad d_{qq+1} = \bar{\xi} y_1(\partial_2 + \frac{1}{2}s - q) + \bar{\xi} y_1 y_2$$

for q = 0, ..., s - 1 and

$$d_{ss} = \frac{1}{4} ((\partial_1 - 2\partial_2)^2 - \mu_1^2) - \xi \bar{\xi} y_1^2 - y_2^2 - \nu_1 y_2$$
$$d_{ss-1} = -\xi y_1 (\partial_2 + \frac{1}{2}s) + \xi y_1 y_2.$$

We also define the matrix differential operator  $\mathcal{D}$  by

$$\begin{pmatrix} d_{00} & d_{01} & 0 & \cdots & 0 \\ d_{10} & d_{11} & 0 & \cdots & 0 \\ 0 & a_{32} & d_{33} & \cdots & 0 \\ \vdots & \vdots & & \vdots \\ 0 & 0 & 0 & \cdots & d_{s-2s-2} & 0 & 0 \\ 0 & 0 & 0 & \cdots & d_{s-1s-2} & d_{s-1s-1} & 0 \\ 0 & 0 & 0 & \cdots & 0 & d_{ss-1} & d_{ss} \end{pmatrix}$$

where

$$d_{00} = \frac{1}{4} ((\partial_1 - 2\partial_2)^2 - \mu_2^2) - \xi \bar{\xi} y_1^2 - y_2^2 - \nu_2 y_2$$
  
$$d_{01} = -\bar{\xi} y_1 (\partial_2 - \frac{1}{2}s) - \bar{\xi} y_1 y_2$$

and

$$d_{qq} = \frac{1}{4}((\partial_1 - s + q)^2 - \mu_2^2) - \xi \bar{\xi} y_1^2, \quad d_{qq-1} = \xi y_1(\partial_2 + q - \frac{1}{2}s) - \xi y_1y_2$$

for q = 1, ..., s. Here, the parameters  $\xi$  and  $\overline{\xi}$  are associated to the character  $\eta$ .

By using Formulas 2 and 3, one can see that the Whittaker functions of  $\pi_{s,\chi}$  with respect to  $\tau_{[0,s;l]}$  satisfy the system of differential equations  $\mathcal{D} = 0$  and  $\bar{\mathcal{D}} = 0$ . Moreover, we have the following result which characterizes the Whittaker functions of  $\pi_{s,\chi}$  with respect to  $\tau_{[0,s;l]}$ .

**Theorem 2.2.** For  $s \ge 2$ , the natural map from  $W(\pi_{s,\chi},\eta)$  into  $Ker(\bar{\mathcal{D}},\mathcal{D})$  is bijection if  $\pi_{s,\chi}$  is irreducible and  $\eta$  is a nondegenerate unitary character of N.

Here, we also have the following formula in the case s = 0, which is analogue to the class one case for  $Sp(2, \mathbb{R})$  in [5]. Write W for the little Weyl group for  $(\mathfrak{g}, \mathfrak{a})$ , and  $(\rho_1, \rho_2)$  for the pair (3, 2) related to the half sum.

**Theorem 2.3.** Let  $\pi_{0,\chi}$  be an irreducible principal series with parameter  $\mu = (\mu_1, \mu_2) \in \mathfrak{a}_{\mathbb{C}}^*$ , and set  $\varepsilon = \frac{1-\chi(-1)}{2}$ . Then the function  $\phi_{\mu}$  on A defined by

$$\begin{split} \phi_{\mu}(y_{1},y_{2}) &= y_{1}^{\rho_{1}} y_{2}^{\rho_{2}} \sum_{m,n \geq 0} \frac{\mathbf{U}_{m,n}^{0}}{2^{2n} (\frac{\mu_{1}-\varepsilon}{2}+1)_{m} (\frac{\mu_{2}-\varepsilon}{2}+1)_{n}} \times y_{1}^{\mu_{1}+2m} y_{2}^{\frac{\mu_{1}+\mu_{2}}{2}+2n} \\ &+ \frac{\varepsilon \mathbf{U}_{m,n}^{1}}{2^{2n+1} (\frac{\mu_{1}-\varepsilon}{2}+1)_{m} (\frac{\mu_{2}-\varepsilon}{2}+1)_{n+1}} \times y_{1}^{\mu_{1}+2m} y_{2}^{\frac{\mu_{1}+\mu_{2}}{2}+2n+1}, \end{split}$$

is a Whittaker function, on A, of  $\pi_{0,\chi}$  with the K-type  $\tau_{[0,0;2\varepsilon]}$ . Moreover, the intertwiners  $\Phi_{\omega(\mu)}$  attached to the function  $\phi_{\omega(\mu)}(y_1, y_2)$  form a basis of the 8-dimensional space  $W(\pi_{0,\chi}, \eta)$ . Here,

$$\mathbf{U}_{m,n}^{t} := \sum_{j=0}^{\min(m,n)} \frac{(\frac{\mu_{1}-\varepsilon}{2}+n+1+t)_{m-j}}{(m-j)!(n-j)!j!(\frac{\mu_{1}+\mu_{2}}{2}+1)_{j}(\frac{\mu_{1}-\mu_{2}}{2}+1)_{m-j}}$$

for t = 0, 1.

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