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1 Effect of forest shape on habitat selection of birds in a plantation-dominant
2 landscape across seasons: comparison between continuous and strip forests

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19

20 **Abstract**

21

22 Conversion of natural forests to other land use results not only in a decrease of forest area, but also
23 in the degradation of remnant forests as a habitat for forest animals. Although such degradation due
24 to an increase of forest edges has been studied most intensively, other factors such as forest shape
25 may also contribute to the degradation. In this study, we compared bird abundance and species
26 richness between irregular-shaped and relatively continuous forests in the breeding and migratory
27 seasons. Since the forests were surrounded by tree plantations rather than open lands, the edge effect
28 may have been weak at the study site. Our results suggested that the irregular forest shape negatively
29 affected forest bird abundance and species richness in the breeding season, but not in the migratory
30 season. The response of birds varied with bird traits: migrants avoided the irregular-shaped forest,
31 but residents did not. Among the residents, small ones preferred or tolerate the irregular shaped
32 forest whereas large ones avoided it. This study indicates that careful consideration of various factors
33 such as seasonality and bird traits is needed to understand the consequences of land use changes on
34 forest birds.

35 *Keywords:*

36

37 Plantation-dominant landscape; Migration habit; Forest shape; Seasonality; Forest bird

38 1. Introduction

39 Conversion of natural forests to other land use has become a major threat to forest animals. It results
40 not only in a decrease of forest area, but also in the degradation of remnant forests that are habitat for
41 forest animals. For example, partial deforestation frequently creates irregular-shaped forests (i.e.,
42 fragmented or strip-shaped) (e.g., Ranta et al. 1998). One of the important problems of such
43 irregular-shaped forests is the high ratio of edge to forest area. Forest edges are usually very different
44 from forest interiors with respect to abiotic and biotic conditions, and are not suitable habitat for
45 many forest animals (Murcia, 1995). Such an edge effect is more pronounced when the forest is
46 surrounded by open lands such as agricultural fields. The edge effect was first demonstrated as
47 different composition of plants between edges and interiors, and it has been studied intensively in
48 both plants and animals. To date, various examples of the edge effect have been demonstrated for a
49 wide range of forest organisms (Ries et al., 2004). For forest birds, the major threat of the edge is
50 predation or brood parasitism. Gates and Gysel (1978) demonstrated high predation loss (40-50 %)
51 and brood parasitism loss (15-25 %) of forest birds' eggs or nestlings near the forest edge in remnant
52 forests surrounded by open lands.

53 On the other hand, in remnant forests surrounded by plantations of woody plants, the edge
54 effect is considered to be negligible, or at least much weaker. However, other negative effects of an
55 irregular forest shape on forest animals are still conceivable. For example, forest animals might not

56 be able to efficiently find foods or other resources in an irregular-shaped forest in which they can
57 not maintain their optimally shaped home range for finding resources (See Recher et al., 1987).
58 However, the effects of forest shape other than the edge effect have not been well studied (but see
59 Lindenmayer et al., 2002).

60 In this study, we evaluated the effect of forest shape on forest birds by comparing highly
61 irregularly shaped and more-or-less continuous forests in a plantation-dominant landscape in Japan.
62 Although in forests surrounded by plantations the edge effect may be weak, the irregular shape
63 may make foraging of birds less efficient. We monitored the birds in both the breeding and
64 migratory seasons, since recent studies have suggested that habitat use of forest birds in a forest
65 mosaic with strong anthropogenic activities differs between seasons (Keller and Yahner 2007;
66 Keller et al. 2009; Yamaura et al. 2009; Naoe et al. 2011; but see Murgui 2007). We also evaluated
67 whether the response of the birds differed depending on bird traits (bird migratory class and body
68 weight), since many studies have demonstrated that the response of forest birds to vegetation
69 changes differ with their traits (e.g., Keller et al., 2009).

70

71 2. Methods

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73 2.1. *Study sites*

74

75 This study was conducted in the southern part of the Abukuma Mountains, Honshu (central Japan;
76 36°56'N, 140°35'E, 610–660 m a.s.l.). The annual precipitation is approximately 1910 mm, and
77 mean annual temperature is 10.7°C, with average monthly temperatures ranging from -0.9°C in
78 January to 22.6°C in August, based on data from a meteorological station in Ogawa (36°54'N,
79 140°35'E) (Moriguchi et al., 2002). The maximum snow depth in winter is about 50 cm.

80 We surveyed the species composition and density of forest birds in two forests: the
81 relatively continuous Ogawa Forest Reserve (OFR; ca. 98 ha) and strip-shaped forest fragments
82 nearby (FRG; ca. 29 ha) (Fig.1). OFR and FRG were once a single old-growth forest. However,
83 large parts of the forest were clear-cut from the 1960s to 1980s and converted mainly into evergreen
84 conifer plantations, which now surround the two forests (Fig. 1). OFR has been preserved as an
85 old-growth temperate broad-leaved forest (Miyamoto and Sano, 2008), whereas FRG remains as
86 strips of forest ranging from 5 to 200 m in width and 500 to 1500 m in length, primarily along the
87 river and ridges. Such deforestation, followed by the establishment of evergreen conifer plantations,
88 has been a very common human activity throughout Japan since the 1970s (Suzuki, 2002). FRG is
89 connected to the main forest only by a very narrow corridor.

90 OFR and FRG are both deciduous broad-leaved forests. Species composition and structure
91 in FRG are similar to those of OFR (Shibata et al., 2009). The dominant woody species in the

92 canopy layer are *Quercus serrata*, *Fagus japonica*, *F. crenata*, *Q. crispula*, and *Castanea crenata*.
93 Dwarf bamboos (*Sasa*, *Sasaella*, and *Sasamorpha* spp.) cover portions of the forest floor (Masaki et
94 al., 1992). Disturbances related to human activity, such as logging, grazing, and fire, had been
95 common around the forest until the 1930s (Suzuki, 2002). The species richness, abundance, and
96 annual stability of forest birds in OFR are similar to those in primary or mature forests in
97 low-montane areas of Honshu, suggesting that the original bird community is maintained in OFR
98 (Tojo, 2009).

99 The surrounding plantations are pure stands of *Cryptomeria japonica* or *Chamaecyparis*
100 *obtusata*. They are 20-40 years old, and their canopy has already been closed. In the surrounding
101 secondary forests, the dominant woody species are *Q.serrata*, *Pinus densiflora* and *Carpinus*
102 *laxiflora*. They are 5-60 years old.

103

104 2.2. *Monitoring birds*

105

106 To assess the abundance and species richness of birds, six and three bird census sites with similar
107 topographic features were set in OFR and FRG, respectively (Fig.1). All the census sites were set as
108 rectangles (40 m × 100 m), so that they could fit within the strip-shaped FRG. We conducted
109 15-minute point censuses in 3.5 h after sunrise at each site, from August to December 2006, June to

110 December 2007, and May to June 2008. We classified May to mid-August as the breeding season
111 and late-August to December as the migratory season, by referring to the literatures (Kiyosu, 1966;
112 Higuchi et al., 1997). For a single census, all birds found in the census site by either sight or call
113 during the 15 minutes were identified to species level and recorded. We used the mean numbers of
114 birds and species observed in 0.4 ha census in each forest as bird abundance and species richness.
115 We categorized *Picoides leucotos*, *P. major* and *Picus awokera* as large woodpeckers in estimating
116 the number of species, because we could not differentiate those species. The censuses were
117 conducted 2 to 10 days per month and were intensified during the bird migratory season because the
118 temporal variation in bird abundance was assumed to be greater. We attempted to balance the
119 number of censuses between OFR and FRG: 9-11 and 18-21 per site at OFR and FRG, respectively,
120 in the breeding season, and 25-31 and 52-56 per site at OFR and FRG in the migratory season.
121 Consequently, 120 censuses were conducted in the breeding season (OFR: 60, FRG: 60) and 331
122 censuses were conducted in the migratory season (OFR: 167, FRG: 164).

123 We evaluated the relationship between forest shape effect and birds' migratory classes in
124 the breeding and migratory season. We classified species by migration strategy into the following
125 classes, using the information from Higuchi et al. (1997): (1) long-distance Palearctic or
126 Paletropical migrant; (2) short-distance temperate migrant; (3) non-migratory permanent resident.
127 To compare the density and number of species of birds in each migratory class between OFR and

128 FRG in the two seasons, Wilcoxon signed-rank tests were performed. A Bonferroni adjustment
129 among migratory class was employed in each comparison.

130 Secondly, to examine whether the bird body weight is associated with the habitat selection
131 of each bird species in the two seasons, we calculated the OFR-FRG ratio: that is, the bird
132 abundance of OFR divided by the bird abundance of OFR and FRG (e.g., if all birds in a focal
133 species were observed only in OFR, this ratio would be 1, and if a focal species were observed at the
134 same frequency in OFR and FRG, the ratio would be 0.5). We used the mean number of each species
135 observed in OFR or FRG per site across the six/three sites (Appendix). In this analysis, species
136 observed more than ten times in the season were included. The data of body weight came from
137 Higuchi et al. (1997). We excluded *Garrulax canorus* from this analysis, because body weight
138 information of this species was not available. We also excluded *Parus ater* are known to forage
139 fruits of conifers and insects on their leaves (Higuchi et al. 1997), and thus prefer coniferous forests
140 rather than broad-leaved forests (Yamaura et al., 2009). We did not observe other conifer-preferring
141 bird species such as *Regulus regulus* and *Certhia familiaris* in the bird census. Spearman rank
142 correlation was calculated between body weight and OFR-FRG ratio for migrants and residents
143 separately. We did not distinguish between long-distance and short-distance migrants in this analysis
144 because of their small sample sizes, and because of their relatively similar response to home
145 range-related forest shape effect in terms of abundance and species richness (Table 1, Appendix). All

146 statistical analyses were performed using R (R Development Core Team, 2008).

147

148 3. Results and Discussion

149 The abundance and number of species of birds in FRG were significantly lower than those in OFR in
150 the breeding season (Table 1). This result suggests that irregular forest shape decreased the
151 abundance and species richness of forest birds in the breeding season. If we assume that the birds
152 return to the nest or cache to feed, a foraging area that is close to circular with the nest or cache at
153 the center is optimal (Covich, 1976; Andersson, 1978). In an irregular-shaped forest, birds can not
154 maintain their foraging area circular and thus they would not be able to forage with optimal
155 efficiency (See Recher et al., 1987; Lindenmayer and Hobbs, 2004; Tubelis et al., 2007). Irregular
156 forest shape may also discourage key social interactions such as mating (Recher et al., 1987). Due to
157 the low resource utilization efficiency, birds may avoid FRG in the breeding season. On the other
158 hand, differences in the abundance and number of species of birds between forests were not detected
159 in the migratory season (Table 1). In the migratory season, birds need fewer resources because they
160 just need to survive themselves than in the breeding season, when they nurture their chicks (see Gill,
161 2007), and thus the low resource utilization efficiency would not matter as much in the former. In
162 addition, some bird species wintering in Japan are gregarious and wander to forage over a large area
163 in a flock and are not territorial, i.e., they do not need to return to their nests or caches. These factors

164 probably explain the similar bird abundance and species richness in OFR and FRG in the migratory
165 season. We considered that the effect of the difference in forest area between OFR (ca. 98 ha) and
166 FRG (ca. 29 ha) on bird abundance and species richness was minimal, if not negligible, because
167 most of the forest birds in the study region are common in forests larger than 28 ha (See Yamaura et
168 al. 2009).

169 In the breeding season, the abundance and number of species of long-distance and
170 short-distance migrants in FRG were significantly lower than those in OFR, whereas no such
171 tendency was observed for residents (Table 1). Among the residents, body weight and OFR-FRG
172 ratio had a positive correlation (resident: $r_s = 0.84$, $S = 19.66$, $P < 0.01$, Fig. 2): Small residents
173 preferred FRG or did not show forest preference. These results suggest that the response of forest
174 birds to the shape of the forest might vary with bird traits. The residents may be able to utilize less
175 represented foods because they know the habitat better (Tsuji et al. 2008). In addition, smaller
176 residents, which have smaller foraging areas (see Schoener 1968; Holling, 1992), can maintain a
177 close-to-circular foraging area even in very narrow forests. These factors may make them tolerant to
178 the irregular shape of a forest. Among small residents, *P. montanus* clearly preferred FRG (Fig. 2,
179 Appendix). *P. montanus* are known to eat wide variety of foods by their flexible foraging style
180 (Higuchi et al., 1997). Therefore, *P. montanus* might use ample resources in irregular-shaped forests
181 because their competitive migrants and large residents are absent there. In the migratory season, no

182 forest shape effect was observed for any migratory class (Table 1). Body weight and OFR-FRG ratio
183 of migrants and residents did not show a significant correlation (migrant: $r_s = -0.03$, $S = 123.01$, $P =$
184 0.95 , resident: $r_s = 0.24$, $S = 124.88$, $P = 0.50$). Birds' lower resource needs and lower adhesion to
185 their nests in the migratory season would make the forest shape effect less remarkable regardless of
186 their traits.

187 Our study suggests that the irregular forest shape resulting from human activities
188 negatively affect forest bird abundance and species richness even when the edge effect is weak, and
189 that the effect varied with season and bird traits. It gives us caution that the effect of vegetation
190 changes on the ecological roles of birds such as seed dispersal, pollination, and pest control
191 (Sekercioglu, 2006) could also be different among seasons. At our study site, our previous study
192 suggested that seed dispersal in the bird breeding season was depressed in FRG, whereas that in the
193 migratory season was not (Naoe et al., 2011). Since the effects of conversion of natural forest to
194 plantations seem to be less drastic than those of conversion to open pastures and agricultural fields,
195 their impacts have tended to be underestimated. Careful consideration of various factors such as
196 seasonality and bird traits is essential for evaluating the consequences of "mild" deforestation on
197 birds and their ecological services.

198

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200

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273 Figure legends

274

275 Fig. 1 – Ogawa Forest Reserve (OFR) and surrounding landscape. The locations of the bird census

276 sites in OFR and the fragmented forest (FRG) are indicated by dots.

277

278 Fig. 2 – Relationship between log body weight and OFR-FRG ratio in the breeding season. Note

279 that each single point in the graphs means a bird species.

Fig. 1, Naoe et al.

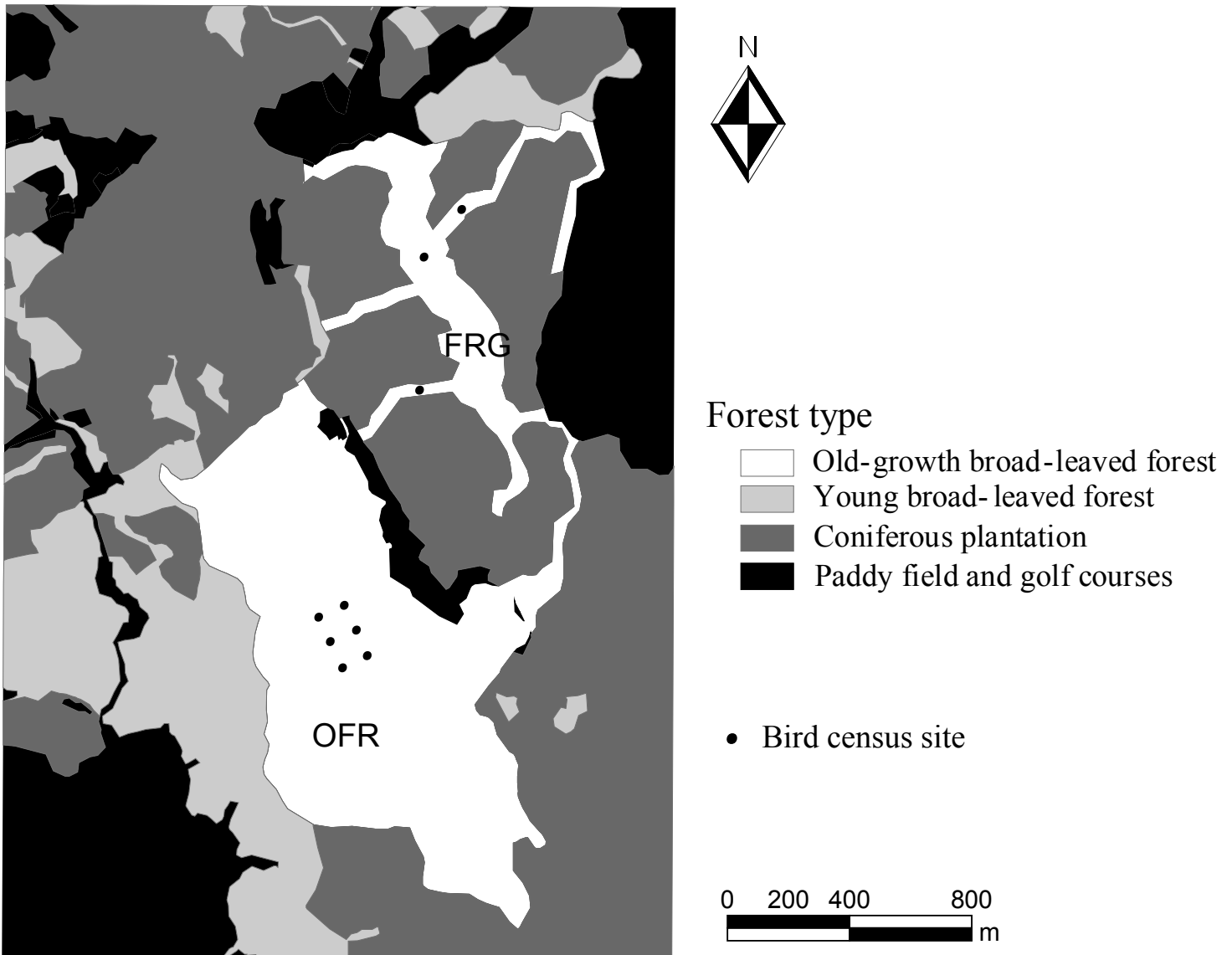


Fig. 2, Naoe et al.

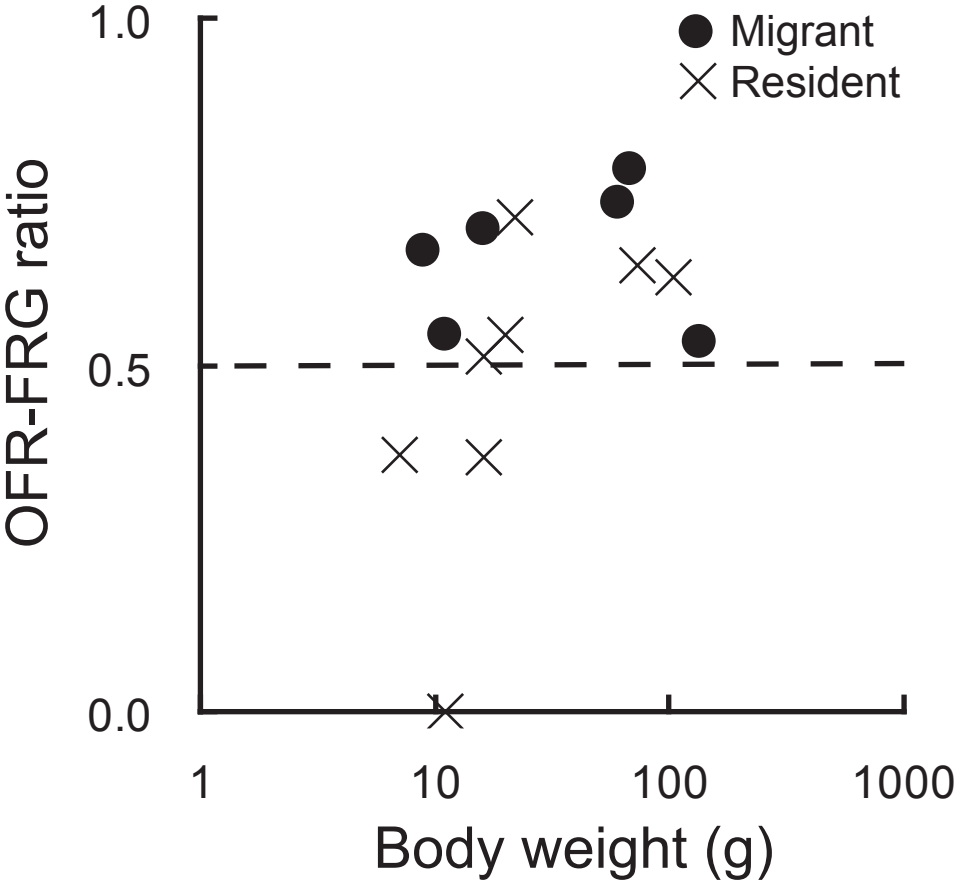


Table 1. Comparison between OFR and FRG in bird abundance and number of species in each migratory class in the breeding and migratory seasons.

Migratory class	Breeding season				Migratory season			
	Bird abundance (birds / 0.4 ha)		Number of species (species / 0.4 ha)		Bird abundance (birds / 0.4 ha)		Number of species (species / 0.4 ha)	
	OFR	FRG	OFR	FRG	OFR	FRG	OFR	FRG
Long-distance migrant	1.10 ± 0.14 *	0.60 ± 0.11	0.97 ± 0.11 *	0.58 ± 0.10	0.70 ± 0.17	0.45 ± 0.10	0.26 ± 0.05	0.19 ± 0.03
Short-distance migrant	1.13 ± 0.17 **	0.48 ± 0.10	0.75 ± 0.09 **	0.38 ± 0.08	1.05 ± 0.11	1.36 ± 0.13	0.67 ± 0.06	0.81 ± 0.07
Resident	2.27 ± 0.22	2.25 ± 0.25	1.65 ± 0.16	1.40 ± 0.15	2.69 ± 0.18	2.47 ± 0.22	1.63 ± 0.10	1.34 ± 0.11
Total	4.55 ± 0.34 *	3.40 ± 0.33	3.37 ± 0.23 **	2.37 ± 0.20	4.53 ± 0.31	4.35 ± 0.28	2.56 ± 0.15	2.34 ± 0.13

** P < 0.01; * P < 0.05.

In each comparison, we adjusted all *P*-values with the use of the Bonferroni test to control for type I error.

Appendix. Bird abundance (mean \pm SE in OFR and FRG) and OFR-FRG ratio of each species in the breeding and migratory seasons, and body weight. Only species which we observed more than ten times in the breeding and/or migratory season are shown.

Migratory class	Species	Breeding season			Migratory season			Body Weight (g)
		Bird abundance (birds / 0.4 ha)		OFR-	Bird abundance (birds / 0.4 ha)		OFR-	
		OFR	FRG	FRG ratio	OFR	FRG	FRG ratio	
Long-distance migrant	<i>Turdus cardis</i>	0.27 \pm 0.07	0.10 \pm 0.03	0.73				60
	<i>Ficedula narcissina</i>	0.45 \pm 0.10	0.20 \pm 0.08	0.69				16
	<i>Urosphena squameiceps</i>	0.16 \pm 0.06	0.08 \pm 0.06	0.67				9
	<i>Muscicapa dauurica</i>				0.02 \pm 0.01	0.05 \pm 0.03	0.33	13
	<i>Carduelis spinus</i>				0.26 \pm 0.11	0.07 \pm 0.03	0.80	13
	<i>Fringilla montifringilla</i>				0.03 \pm 0.03	0.06 \pm 0.05	0.32	22
	<i>Turdus naumanni</i>				0.17 \pm 0.04	0.11 \pm 0.07	0.60	86
	<i>Coccothraustes coccothraustes</i>				0.09 \pm 0.09	0.00 \pm 0.00	1.00	55
Short-distance migrant	<i>Hypsipetes amaurotis</i>	0.83 \pm 0.07	0.23 \pm 0.11	0.78	0.31 \pm 0.06	0.35 \pm 0.04	0.47	68
	<i>Zosterops japonica</i>	0.20 \pm 0.07	0.17 \pm 0.06	0.54	0.42 \pm 0.04	0.53 \pm 0.09	0.44	11
	<i>Garrulus glandarius</i>	0.10 \pm 0.04	0.09 \pm 0.06	0.53	0.09 \pm 0.04	0.33 \pm 0.07	0.23	135
	<i>Emberiza variabilis</i>				0.13 \pm 0.02	0.13 \pm 0.02	0.50	29
Resident	<i>Parus major</i>	0.41 \pm 0.06	0.39 \pm 0.14	0.51	0.45 \pm 0.07	0.37 \pm 0.13	0.55	16
	<i>Parus varius</i>	0.18 \pm 0.01	0.15 \pm 0.08	0.54	0.17 \pm 0.03	0.13 \pm 0.01	0.57	20
	<i>Parus montanus</i>	0.00 \pm 0.00	0.18 \pm 0.09	0.00	0.12 \pm 0.04	0.27 \pm 0.05	0.31	11
	<i>Parus ater</i>	0.02 \pm 0.02	0.15 \pm 0.03	0.10				8
	<i>Aegithalos caudatus</i>	0.18 \pm 0.06	0.31 \pm 0.09	0.37	0.58 \pm 0.08	0.45 \pm 0.10	0.56	7
	<i>Picooides leucotos, P. major, and Picus awokera</i>	0.25 \pm 0.05	0.15 \pm 0.05	0.63	0.27 \pm 0.06	0.19 \pm 0.07	0.58	105
	<i>Dendrocopos kizuki</i>	0.50 \pm 0.04	0.20 \pm 0.03	0.71	0.38 \pm 0.05	0.37 \pm 0.16	0.51	22
	<i>Eophona personata</i>	0.28 \pm 0.10	0.15 \pm 0.08	0.64	0.20 \pm 0.04	0.17 \pm 0.07	0.53	73
	<i>Cettia diphone</i>	0.10 \pm 0.04	0.18 \pm 0.18	0.37	0.04 \pm 0.01	0.05 \pm 0.01	0.47	16
	<i>Corvus macrorhynchos</i>				0.06 \pm 0.02	0.04 \pm 0.03	0.59	675
	<i>Streptopelia orientalis</i>				0.01 \pm 0.01	0.06 \pm 0.02	0.18	225
	<i>Garrulax canorus</i>				0.03 \pm 0.03	0.06 \pm 0.01	0.37	unknown