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A local representation formula for quaternionic slice regular functions

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Abstract

After their introduction in 2006, quaternionic slice regular functions have mostly been studied over domains that are symmetric with respect to the real axis. This choice was motivated by some foundational results published in 2009, such as the Representation Formula for axially symmetric domains.

The present work studies slice regular functions over domains that are not axially symmetric, partly correcting the hypotheses of some previously published results. In particular, this work includes a Local Representation Formula valid without the symmetry hypothesis. Moreover, it determines a class of domains, called simple, having the following property: every slice regular function on a simple domain can be uniquely extended to the symmetric completion of its domain.

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1 Introduction

The theory of quaternionic slice regular functions was introduced in [5, 6] as a possible quaternionic analog of the theory of holomorphic complex functions. Let us denote the algebra of quaternions as \mathbb{H} ; the real axis as \mathbb{R} ; the 2-sphere of quaternionic imaginary units as \mathbb{S} ; and the 2-plane spanned by 1 and by any $I \in \mathbb{S}$ as L_I . If $T \subseteq \mathbb{H}$, for each $I \in \mathbb{S}$, let $T_I := T \cap L_I$. As usual, we endow $\mathbb{H} = \mathbb{R}^4$ with the Euclidean topology and call an open connected subset of \mathbb{H} a *domain*.

Definition 1.1. Let $\Omega \subseteq \mathbb{H}$ be a domain and consider a function $f : \Omega \rightarrow \mathbb{H}$. For each $I \in \mathbb{S}$, let $f_I := f|_{\Omega_I}$ be the restriction of f to Ω_I . The restriction f_I is called *holomorphic* if it has continuous partial derivatives and

$$\bar{\partial}_I f(x + yI) := \frac{1}{2} \left(\frac{\partial}{\partial x} + I \frac{\partial}{\partial y} \right) f_I(x + yI) \equiv 0. \quad (1)$$

The function f is called *slice regular* if, for all $I \in \mathbb{S}$, f_I is holomorphic.

While the first works within the theory of slice regular functions focused on the case when Ω is a Euclidean ball centered at the origin, it soon became clear that there were larger classes of quaternionic domains over which the theory was interesting and had useful applications. Indeed, the next definition and theorem were published in [1] and in [9], respectively.

Definition 1.2. Let Ω be a domain in \mathbb{H} that intersects the real axis. Ω is called a *slice domain* if, for all $I \in \mathbb{S}$, the intersection Ω_I with the complex plane L_I is a domain of L_I .

Theorem 1.3 (Identity Principle). *Let f, g be slice regular functions on a slice domain Ω . If, for some $I \in \mathbb{S}$, f and g coincide on a subset of Ω_I having an accumulation point in Ω_I , then $f = g$ in Ω .*

Furthermore, for slice regular functions over slice domains fulfilling the next definition, the work [1] proved a strong property called Representation Formula.

Definition 1.4. A set $T \subseteq \mathbb{H}$ is called (*axially*) *symmetric* if, for all points $x + yI \in T$ with $x, y \in \mathbb{R}$ and $I \in \mathbb{S}$, the set T contains the whole sphere $x + y\mathbb{S}$.

Theorem 1.5 (Representation Formula). *Let f be a slice regular function on a symmetric slice domain Ω and let $x + y\mathbb{S} \subset \Omega$. For all $I, J, K \in \mathbb{S}$ with $J \neq K$*

$$\begin{aligned} f(x + yI) &= (J - K)^{-1} [Jf(x + yJ) - Kf(x + yK)] + \\ &+ I(J - K)^{-1} [f(x + yJ) - f(x + yK)]. \end{aligned} \quad (2)$$

Moreover, the quaternion $b := (J - K)^{-1} [Jf(x + yJ) - Kf(x + yK)]$ and the quaternion $c := (J - K)^{-1} [f(x + yJ) - f(x + yK)]$ do not depend on J, K but only on x, y .

An alternative proof of the same result is included in the proof of [8, Theorem 2.4]. As a consequence of the Representation Formula, every slice regular function on a symmetric slice domain is real analytic, see [7, Proposition 7]. Another result proven in [1] allows to construct slice regular functions on symmetric slice domains, starting from \mathbb{H} -valued holomorphic functions.

Lemma 1.6 (Extension Lemma). *Let Ω be a symmetric slice domain and let $I \in \mathbb{S}$. If $f_I : \Omega_I \rightarrow \mathbb{H}$ is holomorphic then there exists a unique slice regular function $g : \Omega \rightarrow \mathbb{H}$ such that $g_I = f_I$ in Ω_I . The function g is denoted by $\text{ext}(f_I)$ and called the regular extension of f_I .*

After the work [1], the development of the theory led to many interesting results valid over symmetric slice domains. These results are collected in the monograph [4] and in a variety of subsequent works. On the other hand, the study of slice regular functions over slice domains that are not symmetric has not been further developed for several years. A possible reason is that [1, Theorem 4.1] stated that every slice regular function on a slice domain Ω could be extended in a unique fashion to the symmetric completion $\tilde{\Omega}$ of Ω , in accordance with the next definition.

Definition 1.7. The (*axially*) *symmetric completion* of a set $T \subseteq \mathbb{H}$ is the smallest symmetric set \tilde{T} that contains T . In other words,

$$\tilde{T} := \bigcup_{x+yI \in T} (x + y\mathbb{S}). \quad (3)$$

However, the recent work [2] disproved [1, Theorem 4.1] by means of a counterexample. It also pointed out that the proof proposed in [1] implicitly applied the Identity Principle 1.3 over the intersection of two sets, which was not a slice domain. The same work [2] then introduced the notions of *Riemann slice domain* and (*Riemann*) *slice domain of regularity*, both being abstract

topological spaces. The Representation Formula 1.5 has been generalized to (Riemann) slice domains of regularity in [2] and to Riemann slice domains in [3]. The work [3] also explored the algebraic structure of slice regular functions over Riemann slice domains.

In contrast with the approach of [2, 3], the present work furthers the study over slice domains of \mathbb{H} that are not symmetric. Our main result is a local version of Theorem 1.5 valid without the symmetry hypothesis.

Theorem 1.8 (Local Representation Formula). *Let Ω be a slice domain and let $f : \Omega \rightarrow \mathbb{H}$ be a slice regular function. For all $J, K \in \mathbb{S}$ with $J \neq K$ and all $x, y \in \mathbb{R}$ with $y > 0$ such that $x + yJ, x + yK \in \Omega$, let us set*

$$\begin{aligned} b(x + yJ, x + yK) &:= (J - K)^{-1} [Jf(x + yJ) - Kf(x + yK)] , \\ c(x + yJ, x + yK) &:= (J - K)^{-1} [f(x + yJ) - f(x + yK)] . \end{aligned}$$

Additionally, let us set $b(x, x) := f(x)$ and $c(x, x) := 0$ for all $x \in \Omega \cap \mathbb{R}$. For every $p_0 \in \Omega$, there exists a slice domain Λ with $p_0 \in \Lambda \subseteq \Omega$ such that the following properties hold for all $x, y \in \mathbb{R}$ with $y \geq 0$:

- *If $U := (x + y\mathbb{S}) \cap \Lambda$ is not empty, then b, c are constant in $(U \times U) \setminus \{(u, u) : u \in U \setminus \mathbb{R}\}$.*
- *If $I, J, K \in \mathbb{S}$ with $J \neq K$ are such that $x + yI, x + yJ, x + yK \in \Lambda$, then*

$$f(x + yI) = b(x + yJ, x + yK) + Ic(x + yJ, x + yK). \quad (4)$$

Moreover, this work discloses an extension phenomenon for the class of domains described in the next definition. For all $J \in \mathbb{S}$ and all $T \subseteq \mathbb{H}$, let us use the notations

$$L_J^+ := \{x + yJ : x, y \in \mathbb{R}, y > 0\}$$

and $T_J^+ := T \cap L_J^+$.

Definition 1.9. A slice domain Ω is *simple* if, for any choice of $J, K \in \mathbb{S}$, the set

$$\Omega_{J,K}^+ := \{x + yJ \in \Omega_J^+ : x + yK \in \Omega_K^+\}$$

is connected.

Our new result for simple slice domains is the following.

Theorem 1.10 (Extension). *Let f be a slice regular function on a simple slice domain Ω . There exists a unique slice regular function $\tilde{f} : \tilde{\Omega} \rightarrow \mathbb{H}$ that extends f to the symmetric completion of its domain.*

Section 2 states and proves a slightly corrected version of the General Extension Formula [1, Theorem 4.2], needed in the subsequent pages. Section 3 presents a Local Extension Theorem, which, besides its independent interest, is used to prove the aforementioned Local Representation Formula. Section 4 presents a broad class of examples of simple domains, while the domain used in the counterexample of [2] is shown not to be simple. Section 4 also includes a proof of the aforementioned Extension Theorem.

2 The General Extension Formula

The Extension Formula, published in [1, Theorem 4.2], provides a method to construct slice regular functions starting from couples of holomorphic functions. We present here a slightly corrected version of the same result.

Theorem 2.1 (Extension Formula). *Let J, K be distinct imaginary units; let T be a domain in L_J , such that T_J^+ is connected and $T \cap \mathbb{R} \neq \emptyset$; let $U := \{x + yK : x + yJ \in T\}$. Choose holomorphic functions $r : T \rightarrow \mathbb{H}, s : U \rightarrow \mathbb{H}$ such that $r|_{T \cap \mathbb{R}} = s|_{U \cap \mathbb{R}}$. Let Ω be the symmetric slice domain such that $\Omega_J^+ = T_J^+, \Omega \cap \mathbb{R} = T \cap \mathbb{R}$ and set, for all $x + yI \in \Omega$ with $x, y \in \mathbb{R}, y \geq 0$ and $I \in \mathbb{S}$,*

$$\begin{aligned} f(x + yI) &:= (J - K)^{-1} [Jr(x + yJ) - Ks(x + yK)] + \\ &+ I(J - K)^{-1} [r(x + yJ) - s(x + yK)] \end{aligned} \quad (5)$$

The function $f : \Omega \rightarrow \mathbb{H}$ is the (unique) slice regular function on Ω that coincides with r in Ω_J^+ , with s in Ω_K^+ and with both r and s in $\Omega \cap \mathbb{R}$.

Proof. Formula (5) yields

$$\begin{aligned} f(x + yI) &= [(J - K)^{-1}J + I(J - K)^{-1}]r(x + yJ) + \\ &- [(J - K)^{-1}K + I(J - K)^{-1}]s(x + yK). \end{aligned}$$

Since

$$\begin{aligned} (J - K)^{-1}J + J(J - K)^{-1} &= |J - K|^{-2}[(K - J)J + J(K - J)] = \\ &= [(J - K)(K - J)]^{-1}(2 + JK + KJ) = 1 \end{aligned}$$

and

$$\begin{aligned} (J - K)^{-1}K + J(J - K)^{-1} &= |J - K|^{-2}[(K - J)K + J(K - J)] = \\ &= |J - K|^{-2}(-1 - JK + JK + 1) = 0, \end{aligned}$$

the function f coincides with r in Ω_J^+ and in $\Omega \cap \mathbb{R}$. Similarly, f coincides with s in Ω_K^+ and in $\Omega \cap \mathbb{R}$.

We can prove that f is slice regular in $\Omega \setminus \mathbb{R}$ by showing that, for each $I \in \mathbb{S}$, f_I is holomorphic in Ω_I^+ . Indeed, a direct computation shows that

$$\begin{aligned} \bar{\partial}_I f(x + yI) &= [(J - K)^{-1}J + I(J - K)^{-1}]\bar{\partial}_J r(x + yJ) + \\ &- [(J - K)^{-1}K + I(J - K)^{-1}]\bar{\partial}_K s(x + yK) \end{aligned}$$

for $x + yI \in \Omega_I^+$. Since $\bar{\partial}_J r(x + yJ) \equiv 0$ in Ω_J^+ and $\bar{\partial}_K s(x + yK) \equiv 0$ in Ω_K^+ , it follows that $\bar{\partial}_I f(x + yI) \equiv 0$ in Ω_I^+ .

Proving that f is slice regular near every point of $\Omega \cap \mathbb{R}$ requires a bit more work. Each connected component of $\Omega \cap \mathbb{R}$ admits an open neighborhood D in Ω that is a symmetric slice domain and whose slice D_J is included in the domain T of the function r . The domain U of s automatically includes D_K . Let us consider the regular extension $g = \text{ext}(r|_{D_J})$ on D : g coincides with the regular extension $\text{ext}(s|_{D_K})$ by the Identity Principle 1.3, because r and s coincide in $D \cap \mathbb{R}$. In the symmetric slice domain D , we can apply the Representation Formula (2) to g . Taking into account that $g_J = r|_{D_J}$ and $g_K = s|_{D_K}$, we get

$$\begin{aligned} g(x + yI) &= (J - K)^{-1} [Jr(x + yJ) - Ks(x + yK)] + \\ &+ I(J - K)^{-1} [r(x + yJ) - s(x + yK)] \end{aligned}$$

for all $x + yI \in \Omega$. Thus, g coincides with $f|_D$. In particular, f is slice regular in D , as desired.

Our final remark concerns the uniqueness of f . The Identity Principle 1.3 proves that, for any slice regular function $h : \Omega \rightarrow \mathbb{H}$ coinciding with r in $\Omega \cap \mathbb{R}$, h coincides with f . \square

The original statement of the Extension Formula set $\Omega := \widetilde{T}$ and used formula (5) for all $x + yI \in \Omega$, without requiring $y \geq 0$. However, the following example proves that such an f may be ill-defined.

Example 2.2. Let Ω be the slice domain constructed in [2, page 5]: it holds $\Omega \supset \mathbb{R}$; moreover, for every $J \in \mathbb{S}$,

$$\Omega_J^+ := L_J^+ \setminus (h_J \cup a_J)$$

where

$$h_J := (-\infty, -2) + 2J = \{t + 2J : t \in (-\infty, -2)\}$$

is a half line with origin $-2 + 2J$ and a_J is an appropriately defined arc, with endpoints $-2 + 2J$ and $2J$, within the closed disk

$$D_J := \{z \in L_J : |z + 1 - 2J| \leq 1\}.$$

The definition of a_J for $J \in \mathbb{S}$ is the following: an imaginary unit I is fixed; for each $J \in \mathbb{S}$, it is set $T(J) := \min\{|J - I|, 1\}$ and

$$a_J := -1 + 2J + \{(1 - T(J))e^{2\pi Jt} + T(J)e^{-2\pi Jt} : t \in [0, 1/2]\}.$$

In particular, a_I is the upper half of the circle ∂D_I within L_I^+ and, for every imaginary unit J with $|J - I| \geq 1$ (including $J = -I$), a_J is the lower half of the circle ∂D_J within L_J^+ .

Consider the planar domain

$$T := \Omega_I = L_I \setminus (h_I \cup h_{-I} \cup a_I \cup a_{-I})$$

and its conjugate

$$U := \overline{\Omega_I} = L_I \setminus (h_I \cup h_{-I} \cup \overline{a_{-I}} \cup \overline{a_I}).$$

Consider the unique holomorphic functions $r : T \rightarrow L_I$ and $s : U \rightarrow L_I$ such that $r(x + 2I) = \ln(x) = s(x + 2I)$ for all $x \in (0, +\infty)$. The intersection $T \cap U$ has three connected components: namely, the open disks that form the interiors of D_I and D_{-I} in L_I and the set $L_I \setminus (h_I \cup h_{-I} \cup D_I \cup D_{-I})$. In the second and third connected component, the functions r and s coincide; in the first component, they differ by a jump. If we apply Theorem 2.1 to r and s with $J = I$ and $K = -I$, we get a slice regular function

$$\mathbb{H} \setminus (\widetilde{h}_I \cup \widetilde{a}_I) \rightarrow \mathbb{H}.$$

If, instead, we apply Theorem 2.1 to r and s with $J = -I$ and $K = I$, we get a slice regular function

$$\mathbb{H} \setminus (\widetilde{h}_I \cup \widetilde{a}_{-I}) \rightarrow \mathbb{H}.$$

These slice regular functions coincide in the symmetric slice domain $\mathbb{H} \setminus (\widetilde{h}_I \cup \widetilde{D}_I)$ but they differ by a jump in the interior of $\widetilde{D}_I \setminus D_{-I}$.

The function r used in the previous example is the restriction $G_I : \Omega_I \rightarrow \mathbb{H}$ of the slice regular function $G : \Omega \rightarrow \mathbb{H}$ constructed in [2, page 5].

3 Local extension and representation over slice domains

This section is devoted to proving the Local Extension Theorem and the Local Representation Formula announced in the Introduction. We begin with a useful lemma. In the statement, the expression “closed interval” includes the degenerate interval consisting of a single point but excludes the empty set.

Lemma 3.1. *Let Y be an open subset of \mathbb{H} and let $J_0 \in \mathbb{S}$. Let C be a compact and path-connected subset of Y_{J_0} such that $C \cap \mathbb{R}$ is a closed interval and $\emptyset \neq C \setminus \mathbb{R} \subset Y_{J_0}^+$. Let $q_0 \in C$ be such that $\max_{p \in C} |\operatorname{Im}(p)| = |\operatorname{Im}(q_0)|$. Then there exists $\varepsilon > 0$ such that*

$$\Gamma(C, \varepsilon) := \bigcup_{p \in C \setminus \mathbb{R}} B\left(p, \frac{|\operatorname{Im}(p)|}{|\operatorname{Im}(q_0)|} \varepsilon\right) \cup \bigcup_{p \in C \cap \mathbb{R}} B(p, \varepsilon)$$

is a slice domain and $C \subset \Gamma(C, \varepsilon) \subseteq Y$.

Proof. By compactness, there exists an $\varepsilon > 0$ such that, for every $p \in C$, the Euclidean ball $B(p, \varepsilon)$ is included in Y . Up to shrinking ε , it also holds $\varepsilon < |\operatorname{Im}(q_0)|$. Clearly, $\Gamma = \Gamma(C, \varepsilon)$ has the desired property $C \subset \Gamma \subseteq Y$. Let us prove that Γ is a slice domain.

The union of open balls, each centered at one point of C , is a domain: it is obviously open; it is path-connected because any point can be joined to the center of a ball by a line segment, while centers are connected by paths within C .

The domain Γ intersects \mathbb{R} by construction.

Moreover, for each $I \in \mathbb{S}$, the slice Γ_I is a domain in L_I . Indeed, let $\vartheta_0 := \arcsin \frac{\varepsilon}{|\operatorname{Im}(q_0)|}$ and let $\vartheta \in [0, \pi/2]$ be the angle between L_I and L_{J_0} within the 3-space $\mathbb{R} + I\mathbb{R} + J_0\mathbb{R}$. There are two possibilities.

- If $\vartheta \geq \vartheta_0$ then, for any $p \in C \setminus \mathbb{R}$, the distance $|\operatorname{Im}(p)| \sin \vartheta$ between p and L_I is greater than, or equal to, $|\operatorname{Im}(p)| \sin \vartheta_0 = \frac{|\operatorname{Im}(p)|}{|\operatorname{Im}(q_0)|} \varepsilon$. Thus,

$$\Gamma_I = \bigcup_{p \in C \setminus \mathbb{R}} B(p, \varepsilon) \cap L_I$$

is a union of open disks centered at points of the closed interval $C \cap \mathbb{R}$.

- If $\vartheta < \vartheta_0$ then every ball $B\left(p, \frac{|\operatorname{Im}(p)|}{|\operatorname{Im}(q_0)|} \varepsilon\right)$ with $p \in C \setminus \mathbb{R}$ and every ball $B(p, \varepsilon)$ with $p \in C \cap \mathbb{R}$ intersects L_I in an open disk centered at the orthogonal projection p_I of p on L_I . Such centers form a compact and path-connected subset of L_I .

In both cases, Γ_I is a domain in L_I by the argument we already used for Γ . □

We are now in a position to prove the first result we announced.

Theorem 3.2 (Local Extension). *Let f be a slice regular function on a slice domain Ω . For every $p_0 \in \Omega$, there exist a symmetric slice domain N with $N \cap \mathbb{R} \subset \Omega$, a slice domain Λ with $p_0 \in \Lambda \subseteq \Omega \cap N$, and a slice regular function $g : N \rightarrow \mathbb{H}$ such that g coincides with f in $N \cap \mathbb{R}$, whence in Λ .*

Proof. If $p_0 \in \Omega \cap \mathbb{R}$ then the thesis is obvious because Ω contains an open ball centered at p_0 . We can therefore suppose $p_0 = x_0 + y_0 J_0$ with $x_0, y_0 \in \mathbb{R}$, $y_0 > 0$ and $J_0 \in \mathbb{S}$.

Since Ω is a slice domain, there exists a continuous path $\gamma : [0, 1] \rightarrow \Omega_{J_0}$, $\gamma(t) = \alpha(t) + J_0 \beta(t)$, such that $\gamma(0) = p_0$ and $\gamma(1) \in \mathbb{R}$. Up to restricting and reparametrizing γ , we can suppose

the support of γ to only intersect the real axis at $\gamma(1)$. By Lemma 3.1, there exists $\varepsilon > 0$ such $M := \Gamma(\gamma([0, 1]), \varepsilon)$ is a slice domain with the property $\gamma([0, 1]) \subset M \subseteq \Omega$. Let $t_0 \in [0, 1]$ be such that $\max_{[0, 1]} |\operatorname{Im}(\gamma)| = |\operatorname{Im}(\gamma(t_0))|$.

If we choose $K_0 \in \mathbb{S}$ with $0 < |K_0 - J_0| < \frac{\varepsilon}{|\operatorname{Im}(\gamma(t_0))|}$, then the support of the curve $\alpha + K_0\beta$ is included in M_{K_0} . Indeed, the distance between $\alpha(t) + K_0\beta(t)$ and $\gamma(t) = \alpha(t) + J_0\beta(t)$ is $|K_0 - J_0|\beta(t)$, which is less than $\frac{|\operatorname{Im}(\gamma(t))|}{|\operatorname{Im}(\gamma(t_0))|}\varepsilon$ for all $t \in [0, 1)$ and is 0 for $t = 1$.

Let N be the symmetric completion of the connected set M_{K_0} . We point out the following properties of N : it includes the support of $\gamma = \alpha + J_0\beta$; it has $N_{K_0}^+ = M_{K_0}^+$; and it is a slice domain. Moreover, $N_{J_0}^+ \subseteq M_{J_0}^+ \subseteq \Omega_{J_0}$. Indeed, for each $x + yJ_0 \in N_{J_0}^+$ it holds $x + yK_0 \in N_{K_0}^+ = M_{K_0}^+$. By direct computation, for all $t \in [0, 1]$, it holds

$$|x + yK_0 - \gamma(t)|^2 - |x + yJ_0 - \gamma(t)|^2 = 2y\beta(t)(1 - \langle K_0, J_0 \rangle) \geq 0.$$

Thus, the distance between $x + yJ_0$ and $\gamma(t)$ is less than, or equal to, the distance between $x + yK_0$ and $\gamma(t)$. If $B(\gamma(1), \varepsilon)$ includes $x + yK_0$, then it also includes $x + yJ_0$. Similarly, if there exists $t \in [0, 1)$ such that $B\left(\gamma(t), \frac{|\operatorname{Im}(\gamma(t))|}{|\operatorname{Im}(\gamma(t_0))|}\varepsilon\right)$ includes $x + yK_0$, then the same ball includes $x + yJ_0$. In both cases, $x + yJ_0$ belongs to $M_{J_0}^+$.

By the General Extension Formula 2.1 there exists a unique slice regular function $g : N \rightarrow \mathbb{H}$ that coincides with f_{J_0} in $N_{J_0}^+ \subseteq M_{J_0}^+ \subseteq \Omega_{J_0}$, with f_{K_0} in $N_{K_0}^+ = M_{K_0}^+$ and with f in $N \cap \mathbb{R} = M_{K_0} \cap \mathbb{R}$.

Within the open set $N \cap \Omega$, the slice $(N \cap \Omega)_{J_0}$ includes the support of γ . Lemma 3.1 guarantees that there exists $\varepsilon_0 > 0$ such that the slice domain $\Lambda := \Gamma(\gamma([0, 1]), \varepsilon_0)$ has the property $\gamma([0, 1]) \subset \Lambda \subseteq N \cap \Omega$. Now, g and f coincide in $N \cap \Omega \cap \mathbb{R} = N \cap \mathbb{R}$, whence throughout Λ by the Identity Principle 1.3. \square

We can draw several consequences. Since any slice regular function g on a symmetric slice domain is real analytic by [7, Proposition 7] and [8, Theorem 2.4], it follows that:

Corollary 3.3. *Every slice regular function on a slice domain is real analytic.*

The second consequence of Theorem 3.2 is the main result of this work.

Theorem 3.4 (Local Representation Formula). *Let Ω be a slice domain and let $f : \Omega \rightarrow \mathbb{H}$ be a slice regular function. For all $J, K \in \mathbb{S}$ with $J \neq K$ and all $x, y \in \mathbb{R}$ with $y > 0$ such that $x + yJ, x + yK \in \Omega$, let us set*

$$\begin{aligned} b(x + yJ, x + yK) &:= (J - K)^{-1} [Jf(x + yJ) - Kf(x + yK)], \\ c(x + yJ, x + yK) &:= (J - K)^{-1} [f(x + yJ) - f(x + yK)]. \end{aligned}$$

Additionally, let us set $b(x, x) := f(x)$ and $c(x, x) := 0$ for all $x \in \Omega \cap \mathbb{R}$. For every $p_0 \in \Omega$, there exists a slice domain Λ with $p_0 \in \Lambda \subseteq \Omega$ such that the following properties hold for all $x, y \in \mathbb{R}$ with $y \geq 0$:

- *If $U = (x + y\mathbb{S}) \cap \Lambda$ is not empty, then b, c are constant in $(U \times U) \setminus \{(u, u) : u \in U \setminus \mathbb{R}\}$.*
- *If $I, J, K \in \mathbb{S}$ with $J \neq K$ are such that $x + yI, x + yJ, x + yK \in \Lambda$, then*

$$f(x + yI) = b(x + yJ, x + yK) + Ic(x + yJ, x + yK). \quad (6)$$

Proof. By Theorem 3.2, there exist a symmetric slice domain N , a slice domain Λ with $p_0 \in \Lambda \subseteq \Omega \cap N$ and a slice regular function $g : N \rightarrow \mathbb{H}$ such that g coincides with f in Λ . If we apply

Theorem 1.5 to $g : N \rightarrow \mathbb{H}$ at $x + yJ, x + yK \in N$ (with $x, y \in \mathbb{R}$ and $y \geq 0$), we can conclude that the quaternions $(J - K)^{-1} [Jg(x + yJ) - Kg(x + yK)]$ and $(J - K)^{-1} [g(x + yJ) - g(x + yK)]$ do not depend on the choice of $J, K \in \mathbb{S}$ with $J \neq K$. When $x + yJ, x + yK \in \Lambda$, these quaternions coincide with $b(x + yJ, x + yK)$ and $c(x + yJ, x + yK)$, respectively. Thus, the quaternions $b(x + yJ, x + yK), c(x + yJ, x + yK)$ do not depend on the choice of $x + yJ, x + yK$ within $U := (x + y\mathbb{S}) \cap \Lambda$. By construction,

$$f(x + yI) = g(x + yI) = b(x + yJ, x + yK) + Ic(x + yJ, x + yK)$$

when $x + yI, x + yJ, x + yK \in \Lambda$. □

4 The Extension Theorem on simple domains

This section proves the Extension Theorem for simple slice domains announced in the Introduction. We recall that a slice domain Ω is called simple if, for any choice of $J, K \in \mathbb{S}$, the set

$$\Omega_{J,K}^+ := \{x + yJ \in \Omega_J^+ : x + yK \in \Omega_K^+\}$$

is connected.

The next definition, proposition and remark provide many examples of simple domains.

Definition 4.1. A set $T \subseteq \mathbb{H}$ is *slice convex* if, for every $I \in \mathbb{S}$, the slice T_I is a convex subset of L_I .

Proposition 4.2. *Let Ω be a slice domain. If Ω is slice convex, then it is a simple domain.*

Proof. If Ω is slice convex then each half-slice Ω_J^+ is convex because it is the intersection of the convex set Ω_J with the (convex) half-plane L_J^+ . Moreover, for each $J, K \in \mathbb{S}$, the set

$$A := \{x + yJ \in L_J^+ : x + yK \in \Omega_K^+\}$$

is convex because it is a ‘‘copy’’ within the half-plane L_J^+ of the convex set Ω_K^+ . The set $\Omega_{J,K}^+$, which is the intersection of the convex sets Ω_J^+ and A , is convex, whence connected. □

A similar technique proves what follows.

Remark 4.3. *Let Ω be a slice domain. If Ω is starlike with respect to a point $x_0 \in \Omega \cap \mathbb{R}$, then Ω is a simple domain.*

Here is an example of slice domain that is not simple.

Example 4.4. *The slice domain Ω of Example 2.2 is not a simple domain. Indeed, $\Omega_{I,-I}^+$ has two connected components: one is the open disk that forms the interior of D_I in L_I ; the other is $L_I^+ \setminus (h_I \cup D_I)$.*

For simple slice domains, the following result holds.

Theorem 4.5 (Extension). *Let f be a slice regular function on a simple slice domain Ω . There exists a unique slice regular function $\tilde{f} : \tilde{\Omega} \rightarrow \mathbb{H}$ that extends f to the symmetric completion of its domain.*

Proof. For all $J \in \mathbb{S}$, let us adopt the notation $N(J)$ for the unique symmetric set such that $N(J)_J^+ = \Omega_J^+$ and such that $N(J) \cap \mathbb{R} = \Omega \cap \mathbb{R}$. For all $J, K \in \mathbb{S}$, the intersection $N(J, K) := N(J) \cap N(K)$ is a slice domain because Ω is simple. We divide our proof into three steps.

1. Let us prove that, for each $J_0 \in \mathbb{S}$, there exists a slice regular function $g_0 : N(J_0) \rightarrow \mathbb{H}$ that coincides with f in $N(J_0)_{J_0}^+ = \Omega_{J_0}^+$ and in $N(J_0) \cap \mathbb{R} = \Omega \cap \mathbb{R}$.

- (a) For each $K \in \mathbb{S} \setminus \{J_0\}$, let $g_0^K : N(J_0, K) \rightarrow \mathbb{H}$ denote the slice regular function that coincides with f_{J_0} in $N(J_0, K)_{J_0}^+ = \Omega_{J_0, K}^+$, with f_K in $N(K, J_0)_K^+ = \Omega_{K, J_0}^+$ and with f in $N(J_0, K) \cap \mathbb{R} = \Omega \cap \mathbb{R}$. Such a function exists by Theorem 2.1 and it is defined as $g_0^K(x + yI) := b(x + yJ_0, x + yK) + Ic(x + yJ_0, x + yK)$ with

$$b(x + yJ_0, x + yK) := (J_0 - K)^{-1} [J_0 f(x + yJ_0) - K f(x + yK)]$$

and

$$c(x + yJ_0, x + yK) := (J_0 - K)^{-1} [f(x + yJ_0) - f(x + yK)]$$

for all $x, y \in \mathbb{R}$ with $y \geq 0$ such that $x + y\mathbb{S} \subset N(J_0, K)$. For $y = 0$, we get $b(x, x) = f(x)$ and $c(x, x) = 0$.

- (b) Fix $p_0 = x_0 + y_0 J_0$, either in $N(J_0)_{J_0}^+$ or in $N(J_0) \cap \mathbb{R}$. By Theorem 3.4, p_0 has a neighborhood Λ such that, for $\Lambda' := \Lambda \setminus \Lambda_{J_0}^+$, the maps $\Lambda' \ni x + yK \mapsto b(x + yJ_0, x + yK)$ and $\Lambda' \ni x + yK \mapsto c(x + yJ_0, x + yK)$ are constant with respect to K . Let us denote these constants as $b(x + yJ_0)$, $c(x + yJ_0)$, respectively, and let us set

$$g_0(x_0 + y_0 I) := b(x_0 + y_0 J_0) + Ic(x_0 + y_0 J_0)$$

for all $I \in \mathbb{S}$. We have thus constructed a function $g_0 : N(J_0) \rightarrow \mathbb{H}$.

- (c) For each $x_0 + y_0 \mathbb{S} \subset N(J_0)$ (with $x_0, y_0 \in \mathbb{R}, y_0 \geq 0$), there exists a neighborhood Λ of $x_0 + y_0 J_0$ such that the equality

$$g_0(x + yI) = b(x + yJ_0, x + yK) + Ic(x + yJ_0, x + yK) = g_0^K(x + yI)$$

holds for all $x, y \in \mathbb{R}$ with $y \geq 0$ and all $I, K \in \mathbb{S}$ such that $x + yK \in \Lambda'$. For each $\delta > 0$, let us consider the following neighborhood of $x_0 + y_0 \mathbb{S}$:

$$T(x_0 + y_0 \mathbb{S}, \delta) := \{x + yI : |x - x_0|^2 + |y - y_0|^2 < \delta^2, I \in \mathbb{S}\}.$$

There exist $\delta, \varepsilon > 0$ such that Λ contains the set

$$\{x + yK \in T(x_0 + y_0 \mathbb{S}, \delta) : |K - J_0| < \varepsilon\}.$$

If we pick any $K_0 \in \mathbb{S}$ such that $0 < |K_0 - J_0| < \varepsilon$, then g_0 coincides with $g_0^{K_0}$ in $T(x_0 + y_0 \mathbb{S}, \delta)$. It follows at once that g_0 is slice regular in $T(x_0 + y_0 \mathbb{S}, \delta)$ and that $g_0(x_0 + y_0 J_0) = f(x_0 + y_0 J_0)$, as desired.

2. Any two slice regular functions $g_0 : N(J_0) \rightarrow \mathbb{H}$ and $g_1 : N(J_1) \rightarrow \mathbb{H}$ that coincide with f in $N(J_0) \cap \mathbb{R} = \Omega \cap \mathbb{R} = N(J_1) \cap \mathbb{R}$ coincide with each other in the slice domain $N(J_0, J_1)$ by the Identity Principle 1.3.

3. By steps 1. and 2., there exists a slice regular function g on

$$\bigcup_{J \in \mathbb{S}} N(J) = \tilde{\Omega}$$

that coincides with f in $\Omega \cap \mathbb{R}$. By the Identity Principle 1.3, g coincides with f in the slice domain Ω .

□

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