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Enhanced curcumin permeability by SLN formulation: the PAMPA approach. Chiara Righeschi^{a,*}, Maria Camilla Bergonzi^{a,*}, Benedetta Isacchi^a, Carla Bazzicalupi^b, Paola Gratteri^c, Anna Rita Bilia^a ^{*}These authors contributed equally to this work. ^a Department of Chemistry "Ugo Schiff", University of Florence, via Ugo Schiff 6, 50019 Sesto Fiorentino, Florence, Italy ^b Department of Chemistry "Ugo Schiff", University of Florence, via della Lastruccia 3-13, 50019 Sesto Fiorentino, Florence, Italy ^c Department of NEUROFARBA-Pharmaceutical and Nutraceutical Section-Laboratory of Molecular Modeling Cheminformatics & QSAR, University of Florence, Via Ugo Schiff 6, 50019 Sesto Fiorentino, Florence, Italy *Corresponding author: Maria Camilla Bergonzi: E-mail address: mc.bergonzi@unifi.it Phone: +39 055 4573678.

29 Abstract

Curcumin health benefits are strongly limited by its poor aqueous solubility and low oral bioavailability. This work was focused on the development and characterization of solid lipid nanoparticles (SLNs) for the encapsulation of curcumin for oral administration. High shear homogenization and ultrasonication techniques were employed to prepare Compritol SLNs. The physicochemical characterization of round shaped curcumin-loaded SLNs was carried out by monitoring particle size (lower than 300 nm), zeta potential (-33 mV), drug loading capacity (1.60%), drug entrapment efficiency (80%), TEM analysis and *in vitro* drug release. Stability (4°C) was investigated over one month. Parallel Artificial Membrane Permeability Assay (PAMPA) showed a considerable increase of curcumin permeated when formulated as SLNs. A modified release profile suggested that curcumin molecules are solubilized into the solid lipid matrix. The developed SLNs were produced without the use of solvents and all excipients were GRAS ingredients; both technology and composition were suitable for food application.

Keywords: curcumin, solid lipid nanoparticles, Parallel Artificial Permeability Assay, *in vitro*release, stability studies.

58 **1. Introduction**

Curcumin is a yellow-colored phenolic natural constituent derived from the rhizome of the 59 spice herb Curcuma longa L., widely known as turmeric. It has a broad spectrum of biological 60 activities, principally antioxidant (Sharma, Manoharlal, Puri, & Prasad, 2010; Selvam, 61 Subramanian, Gayathri, & Angayarkanni, 1995; Ruby, Kuttan, Babu, Rajasekharan, & Kuttan 62 1995) anti-inflammatory (Menon & Sudheer, 2007; Rao, Basu, & Siddiqui, 1982), 63 antibacterial (Negi, Jayaprakasha, Jagan Mohan Rao, & Sakariah, 1999), antifungal (Sharma, 64 1976). Its chemopreventive (Devasena, Rajasekaran, Gunasekaran, Viswanathan, & 65 Venugopal, 2003; Park, 2010) activity has received considerable attention because curcumin 66 influences multiple signaling pathways, modulating more than 30 different proteins, including 67 thioredoxin reductase, cyclooxygenase-2 (COX-2), protein kinase C (PKC), 5-lipoxygenase, 68 activated AMP-activated protein kinase (AMPK) and tubulin. Other molecular targets 69 modulated by this substance included transcription factors, growth factors and their receptors, 70 cytokines, enzymes, and genes regulating cell proliferation and apoptosis (Aggarwal, Kumar, 71 & Bharti, 2003; Shishodia, Singh, & Chaturvedi, 2007; Aggarwal, Kuiken, Michelle, Laxmi, 72 73 Kuzhuvelil, & Bokyung, 2009).

Curcumin shows a good safety profile, no studies in either animals or humans have 74 75 demonstrated any toxicity associated with its use, even at high doses (Shankar, Shantha, Ramesh, Murthy, & Murthy, 1980; Lao, Ruffin, Normolle, Heath, & Bailey, 2006). This safe 76 profile has been reflected by the continuous increase of preparations based on curcumin 77 78 marketed as food ingredient or constituent of dietary supplements. Despite multiple health 79 benefits, its utility is strongly limited by its poor aqueous solubility and low oral bioavailability. The latter is attributed to poor absorption, extensive intestinal and hepatic 80 metabolism, rapid elimination and clearance from the body (Pan, Huang, & Lin, 1999; Anand, 81 Kunnumakkara, Newman, & Aggarwal, 2007). Formulation of curcumin in effective dosage 82 forms represented a challenge to overcome its poor physicochemical properties. Several 83 strategies such as nanoparticles, liposomes, solid dispersions, microemulsions and 84 complexation with phospholipids and cyclodextrins have been developed to improve the 85 bioavailability of curcumin (Bisht, Feldmann, Soni, Ravi, Karikar, Maitra, & Maitra, 2007; 86 Maiti, Mukherjee, Gantait, Saha, & Mukherjee, 2007; Tiyaboonchai, Tungpradit, & 87 Plianbangchang, 2007; Sanoj Rejinold, Sreerekha, Chennazhi, Nair, & Jayakumar, 2011; 88 Bergonzi, Hamdouch, Mazzacuva, Isacchi, & Bilia, 2014; Chaurasia, Patel, Chaubey, Kumar, 89 Khan, & Mishra, 2015). 90

This study was focused on the preparation and characterization of solid lipid nanoparticles 91 (SLNs) for oral administration of curcumin (Dhillon, Aggarwal, Newman, Wolff, 92 Kunnumakkara, & Abbruzzese, 2008). Lipid-based drug delivery systems are promising 93 formulations, since lipids are known oral drug absorption enhancers (Porter, Wasan, & 94 Constantinides, 2008; Chakraborty, Shukla, Mishra, & Singh, 2009) and they can be 95 developed in small particle sizes ranging from micro to nanometers (Jia, 2005; Pouton, 2006), 96 that can increase the absorption in the hydrophilic environment of the gastrointestinal tract. 97 SLNs combine these features: they are colloidal carriers of submicron size constituted of solid 98 lipids at body and room temperatures. Substances used to prepare these nanoparticles are 99 physiologic and generally recognized as safe compounds (GRAS ingredients); this aspect 100 makes SLNs carriers without toxicity in humans (Müller, Mehnert, Lucks, Schwarz, Zur 101 mühlen, & Meyhers, 1995). Moreover, they retain the advantages of traditional colloidal 102 systems (Müller, Mader, & Gohla, 2000) such as enhanced physical stability, protection of 103 drug molecules from degradation in the body, controlled drug release, organ or tissue specific 104 targeting, biocompatibility, laboratory to industrial-scalability. SLNs, consist of a lipid core 105 and an outer shell of amphiphilic surfactant. If drug is loaded in the outer shell and on the 106 107 particle surface, it is quickly released, displaying a burst effect. On the other hand, if it is incorporated into the particle core, it is released in a prolonged way. This make possible a 108 controlled drug release from these carriers, representing an important tool to obtain a 109 prolonged release of the drug (Müller et al., 2000; Reddy, 2005). 110

A few studies concerning the development of curcumin SLNs have been reported in the 111 literature, but only one paper is dealing SLN for oral administration (Kakkar, Singh, Singla, & 112 Kaur, 2011). This study described the preparation of SLNs using Tween 80, soy lecithin and 113 Compritol with microemulsion technique. In vivo pharmacokinetics performed in rats after 114 oral administration of SLNs revealed significant improvement of curcumin bioavailability, 115 but the percentage of Tween 80 in the SLNs is quite high (more than six times higher than the 116 lipid phase). In other studies, the percentage of surfactant is the same or much higher than that 117 of the lipid (Kakkar, Mishra, Chuttani, & Kaur, 2013; Aditya, Macedo, Doktorovova, Souto, 118 Kim, Chang, & Ko, 2014; Hazzah, Farid, Nasra, EL-Massik, & Abdallah, 2015; Sun, Bi, 119 Chan, Sun, Zhang & Zheng, 2013). 120

121 In the present study, the preparation of curcumin SLNs for a suitable oral dosage form was 122 investigated, by using 50 g/kg fat phase and a low content of surfactant (25 g/kg), very 123 suitable for oral administration.

In addition, the development of SLNs was carried out by homogenization-ultrasonication technique without the use of the solvents, technology that can be applied also to an indusial process.

Another key aspect was the analyses by DSC and X-ray diffractometry to investigate the 127 drug-lipid interactions and the crystallinity of both curcumin and lipids, because this aspect 128 could influence the release. Particle size analysis is a necessary, but not a sufficient step to 129 characterize SLN quality. Special attention must be paid to the characterization of the degree 130 of lipid crystallinity and the modification of the lipid, because these parameters are strongly 131 correlated with drug incorporation and release profiles. DSC uses the fact that different lipid 132 modifications possess different melting points and melting enthalpies. By means of X-ray 133 scattering it is possible to assess the length of the long and short spacings of the lipid lattice. 134

Finally, stability test, release profile and permeability assay were performed to confirm the optimized biopharmaceutical properties of the developed nanovectors. The results confirmed that the developed SLNs offer a promising delivery system for enhancing the oral absorption of curcumin, suitable either for food fortification or as dietary supplements.

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140 **2. Materials and methods**

141 2.1 Materials

Compritol 888 ATO, a mixture of mono-, di- and triglycerides of behenic acid (C22), was a 142 gift of Gattefossè (Milan, Italy). Curcumin, Pluronic F68 and 1,7-octadiene were purchased 143 from Sigma Aldrich Corporation (St. Louis, MO, USA). Lecithin was kindly provided by 144 Galeno (Comeana, Prato, Italy). Lipase from porcine pancreas, pepsin from porcine gastric 145 mucose, bile salts and cholesterol were analytical grade from Sigma Aldrich (Milan, Italy). 146 96-well MultiScreen PAMPA filter plate were purchased from Millipore Corporation, 147 (Tullagreen, Carrigtwohill, County Cork, Ireland). All the solvents used were HPLC grade 148 from Merck (Darmstadt, Germany); 85% formic acid was provided by Carlo Erba (Milan, 149 Italy). Ethanol analytical reagent grade was from Riedel-de Haen Laborchemikalien GmbH & 150 Co. KG, (Seelze, Germany). Water was purified by a Milli-Q_{plus} system from Millipore 151 (Milford, MA). Phosphotungstic acid (PTA) was from Electron Microscopy Sciences 152 (Hatfield, USA). Hydrion Buffer chemvelope pH 7.40±0.02 was purchased from Micro 153 Essential Laboratory (Brooklin, New York, USA). 154

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158 2.2 High shear homogenization-ultrasonication method

Blank and drug loaded SLNs were prepared using hot homogenization process followed by ultrasonication (Castelli et al., 2005). Two formulations were investigated (SLN-1 and SLN-2) loaded with two different concentrations of curcumin: 0.01 g/g and 0.02 g/g respect to the lipid phase, respectively.

Briefly, different amounts of curcumin, (respectively 75 and 150 mg) were accurately 163 weighed and added to melted Compritol (7.5 g, 80°C). Pluronic F68 (3.75 g) was dissolved in 164 distilled water (138 g) and heated at 85°C in a beaker. When a clear homogenous lipid phase 165 was obtained, hot aqueous surfactant solution was added to hot lipid phase and homogenized 166 at 9660 x g, by using a high-speed stirrer (Ultra Turrax T25, IKA-Werke GmbH&Co. KG, 167 Staufen, Germany) for five minutes. The temperature was maintained at 80°C during this step. 168 169 Then, the coarse emulsion was subjected to probe sonication (Sonopuls HD 2200, 200 W power, probe MS 72, Bandelin Electronic GmbH, Berlin, Germany) for different times. Probe 170 sonication process was suspended for 2' intervals during each cycle, to prevent increase of 171 temperature. Temperature was monitored during the process. Sonication was applied 172 maximum for 15 minutes to avoid metal contamination, by considering also previously 173 published experimental conditions (Silva, González-Mira, García, Egea, Fonseca, Silva, 174 Santos, Souto, & Ferreira, 2011; Müller, Rühl, Runge, Schulze-Forster, & Mehnert, 1997; 175 Nassimi, Schleh, Lauenstein, Hussein, Hoymann, & Koch, 2010; Nayak, Tiyaboonchai, 176 Patankar, Madhusudhan, & Souto, 2010; Vitorino, Carvalho, Almeida, Sousa, & Pais, 2011). 177 Working temperature was kept at least 5°C above the lipid melting point (85°C) to prevent 178 recrystallization during homogenization and ultrasonication. After ultrasonication, the 179 obtained emulsion (O/W) was cooled in an ice bath in order to solidify the lipid matrix and to 180

form SLNs. Blank and curcumin loaded SLNs were prepared and characterized as well. SLNs
dispersions were stored at 4°C for further analyses.

183

184 2.3 Particle size analysis and zeta potential measurements

185 Mean diameter of the population and polydispersity index (P.I.) as a measure of the width of 186 particle size distribution together with the measure of zeta potential values were assessed by 187 photon correlation spectroscopy (PCS) using a Zetasizer Nano ZS (Malvern Instruments, 188 UK). Samples were prepared diluting 10 μ l of SLN suspension with 2 ml of deionized water. 189 All measurements were done in triplicate. pH was measured prior to and after dilution, because it value could alter the zeta-potential. pH resulted 6.1 prior to dilution and 6.3 afterdilution.

192 *2.4 Determination of encapsulation efficiency*

The percentage of curcumin entrapped in the lipid matrix was determined as follows: a fixed amount of SLNs dispersion was purified by dialysis method, using a membrane (MW=12.400, Sigma Aldrich, Milan, Italy). Then, an amount of material retained in the bag was freeze-dried at -40°C for 24 h, for following stability studies. The freeze drying process was optimized to obtain a homogenous porous solid and according to TEM and light scattering analyses.

Sample was dissolved in MeOH under stirring at 80°C for 10 min and then cooled to room 199 temperature to preferentially precipitate the lipid (Nayak, et al., 2010; Tiyaboonchai, 200 Tungpradit, & Plianbangchang, 2007; Sanna, Gavini, Cossu, Rassu, & Giunchedi, 2007). The 201 suspension was centrifuged for 30 minutes at 13148 x g and the supernatant was analyzed by 202 HPLC/DAD analysis using curcumin as external standard. Calibration curves were performed 203 204 on six solutions in the concentration range $1.38-138 \mu g/ml$. The squared correlation coefficient was >0.99. Curcumin encapsulation efficiency was expressed as drug recovery and 205 206 calculated from the following equation:

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210 The drug loading content was the ratio of incorporated drug to lipid (w/w):

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$$Drug \ loading \ (\%) = \frac{mass \ of \ active \ in \ nanoparticles}{weight \ of \ lipid} \ x \ 100$$

 $Drug \ encapsulation \ efficiency \ (\%) = \frac{mass \ of \ active \ in \ nanoparticles}{mass \ of \ active \ fed \ to \ the \ system} \ x \ 100$

No lipid interference occurred during UV determination of curcumin (data not shown). 213 Curcumin loaded concentration was assayed by HPLC/DAD analysis performed using a HP 214 1100 Liquid Chromatograph (Agilent Technologies, Palo Alto, CA, USA) equipped with a 215 HP 1040 Diode Array Detector (DAD), an automatic injector, an auto sampler and a column 216 oven and managed by a HP 9000 workstation (Agilent Technologies, Palo Alto, CA, USA). 217 218 The UV-Vis spectra were recorded between 220-500 nm and the chromatographic profiles was registered at 420 nm. Separations were performed on a reversed phase column Luna C18 219 (150 x 4.6 mm, 5 µm, Phenomenex) maintained at 27°C. The eluents were H₂O at pH 3.2 by 220 formic acid (Solvent A) and acetonitrile (Solvent B), using a multi-step linear gradient of 32 221

min at a flow rate of 0.4 ml/min: 0.10 min 72% A and 18% of B; 10.0 min 56% A to 44% B;
27.0 min 52% A to 48% B; 27.0 min 52% A to 48% B; 32.0 min 20% A to 80% B.

224 2.5 Transmission electron microscopy (TEM)

The morphological characterization of the systems was obtained by using transmission electron microscopy technique (TEM, CM12 Philips, Netherlands). Samples were deposited on a *formuvar* film-coated copper grid and then stained with one drop of 20 g/L aqueous solution of phosphotungstic acid (PTA), allowing to dry before TEM observation.

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230 2.6 Differential scanning calorimetry (DSC)

DSC was carried out using a Mettler TA4000 apparatus equipped with a DSC 25 cell. Samples (about 10 mg) were accurately weighed (Mettler M3 Microbalance) directly in pierced aluminum pans and scanned between 30 and 250°C at a heating rate of 10 K min⁻¹ under static air. DSC thermograms of pure curcumin, Compritol, and curcumin-loaded SLNs were compared.

236 2.7 X-ray powder diffractometry

237 X-ray powder diffractograms were obtained with a Bruker D8 advance powder 238 diffractometer, equipped with Cu K α radiation and operating in ϑ -2 ϑ Bragg Brentano 239 geometry at 40 kV and 30 mA. The "SolX" solid-state detector was used. C/Ni Goebel 240 mirrors for the incident beam were used. The samples were analyzed at ambient temperature 241 over the 10–35° 2 ϑ range at a scan rate of 0.02 deg s⁻¹.

242 2.8 In vitro release studies

Dialysis bag method was applied to study the drug release using a mixture of PBS (pH 6.8) 243 and EtOH (150 mL/L ethanol to maintain the sink conditions) or simulated intestinal fluid as 244 dissolution media. Simulated intestinal conditions contained intestinal enzymes (lipase 0.4 245 mg/ml, bile salts 0.7 mg/ml and pancreatin 0.5 mg/ml) and calcium chloride solution 750 mM 246 247 at pH 7.0, (Aditya, et al., 2014). Release was monitored for 12 h. The dialysis bags were hydrated in PBS before use. Two milliliter of SLNs dispersion was introduced into the 248 dialysis bag. The bag was placed in a beaker containing 200 mL of dissolution medium 249 maintained at 37°C under magnetic stirring (50 rpm). Aliquots of the dissolution medium 250

were withdrawn at different time intervals and replaced with the same volume of fresh medium to maintain the sink conditions. The samples were suitably diluted and analyzed for curcumin determination. All the operations were carried out in triplicate.

254 2.9 Stability studies

Stability of curcumin SLNs was studied over 1 month. SLNs were kept at 4±1°C and at fixed
time intervals; they were assayed for their physical stability. Physical stability was checked by
monitoring size, zeta potential and polydispersity of formulation.

258 2.10 Parallel artificial membrane permeability assay (PAMPA)

The PAMPA assay is a method for predicting passive intestinal absorption. The assay is 259 carried out in a 96-well, MultiScreen-IP PAMPA (Millipore corporation) filter plate. The 260 ability of compounds to diffuse from a donor compartment, through a PVDF membrane filter 261 pretreated with a lipid-containing organic solvent, into an acceptor compartment is evaluated. 262 5 µL of lecithin (10g/L) and cholesterol (8g/L) in 1,7-octadiene solution were added to the 263 filter of each well. Immediately after the application of the artificial membrane, 150 µL of 264 drug containing donor solutions (free drug or curcumin-SLNs diluted in 0.05 mL/mL 265 DMSO/PBS) were added to each well of the donor plate. In details, SLNs suspension was 266 diluted to obtain in each donor compartment a final concentration of curcumin of 160 µg. A 267 saturated solution of curcumin (0.3 ug of curcumin solubilized in the donor compartment) 268 was used as control. 300 µL of buffer (0.05 mL/mL DMSO/PBS, pH 7.4) were added to each 269 well of the acceptor plate. The acceptor plate was then placed into the donor plate, ensuring 270 271 that the underside of the membrane was in contact with buffer. The plate was covered and incubated at room temperature under shaking for 24 hours and permeation was evaluated at 1, 272 273 2, 4, 6, 19, 24 hours.

274 **3. Results and Discussion**

275 3.1 Preparation of SLNs

The use of solid lipids instead of liquid oils is a very attractive idea to achieve controlled drug release, because drug mobility in a solid lipid should be considerably lower, compared with a liquid oil. SLNs are non-toxic, high biocompatible and easy to produce in large scale. SLN are drug carriers composed of a solid core suitable to target drugs to specific intestine associated systems. The nanoparticles are in the submicron size range. At room temperature

the particles are in the solid state. Therefore, the mobility of incorporated drugs is reduced,which is a prerequisite for controlled drug release.

Selected SLNs consisting of a core of Compritol were stabilized with Pluronic F68. Compritol was selected because it is a mixture of mono, di and triglycerides. Moreover, Compritol in enhancing the oral bioavailability in comparison to other lipid matrices was previously highlighted (Paliwal, Rai, Vaidya, Khatri, Goyal, Mishra, Mehta, & Vyas, 2009).

Compritol-based nanoparticles were heterogeneous with better drug-loading and release 287 characteristics as compared with the other formulations. Accordingly, SLNs containing lipids 288 with highly crystalline structure can give drug expulsion. On the other hand, the imperfections 289 (lattice defects) of the lipid structure could offer more loading space to accommodate drugs 290 (Westesen, Bunjes, & Koch, 1997; Muller et al., 2000; Silva, González-Mira, García, Egea, 291 Fonseca, & Silva, 2011; Freitas & Müller, 1999; Mehnert & Mäder, 2012) while the use of a 292 mixture of different oils as lipophilic can benefit the encapsulation efficiency. Compritol was 293 also chosen as the lipid component because it gives stable dispersions with smaller particles. 294 Two formulations with different amount of curcumin (0.01 g/g and 0.02 g/g respect to the 295 lipid phase, SLN-1 and SLN-2 respectively) were prepared and fully characterized. 296

Homogenization-ultrasonication method was selected because economical, efficient and
reproducible process to produce SLNs. Effect of different process variables on size, P.I., zeta
potential, encapsulation efficiency was analyzed, and the results were reported on Table 1.
Homogenization time was maintained constant at 10 minutes, time necessary to obtain a good
emulsification of lipid (Das, Ng, Kanaujia, Kim, & Tan, 2011).

Sonication time (5, 10 and 15 minutes) showed huge influence on particle size. Sizes significantly decreased with increasing sonication time and the samples resulted always homogeneous. The best results in terms of P.I. values were found in the formulations SLN-1 and SLN-2, when 15 minutes of sonication were applied.

306 All formulations were negatively charged, the zeta potential varied from -10 to -45 mV indicating a relatively good stability and dispersion of the system. The negative value of zeta 307 potential of SLNs was attributed to the presence of behenic acid into the lipid matrix surface 308 and also to Pluronic. This is a non-ionic surfactant used in the production of relatively stable 309 dispersions. Although non-ionic surfactant could not interact with charging group like ionic 310 ones, but it can influence the particle/water interface and electric double layer. Pluronic can 311 also provide additional steric stabilization of particles (Schwarz & Mehnert, 1999; Lim & 312 Kim, 2002). 313

The quantity of curcumin loaded in the system increased the dimensions of SLNs: with 2%(0.02 g/g) the sizes ranged from 415 to 270, by increasing the sonication time. Particle size slightly increased with higher drug concentration; this is probably due to the presence of curcumin inside of lipidic core.

The data in Table 1 shows that all formulations possessed high entrapment efficiency (E.E. 318 %) ranged from $70.7\pm2\%$ to $80.2\pm2\%$. Encapsulation efficiency was not significantly 319 different among SLN-1 and SLN-2, resulting around of 70%; such values might be related to 320 the structure of the lipid which had a great influence on drug incorporation. Lipids which 321 form highly crystalline particles with a perfect structure lead to drug expulsion (Westesen et 322 al., 1997), while, more complex lipids such as Compritol, being mixtures of mono-, di- and 323 triglycerides, produce less perfect structures with many imperfections offering space to 324 accommodate curcumin (Müller et al., 2000). Another feature of Compritol that favors the 325 encapsulation of lipophilic curcumin was the high hydrophobicity due to the long chain fatty 326 acids attached to the triglycerides. 327

The formulation selected on the basis of the best properties in terms of size, P.I. and zeta potential was SLN-2-15, loaded with 0.02g/g of curcumin and sonicated for 15 minutes: it showed also an encapsulation efficiency of 80% and was selected for further investigations.

331

332 *3.2 TEM analysis*

TEM was conducted to investigate the morphology of solid lipid nanoparticles SLN-2-15. It was evident from TEM images that nanoparticles were almost spherical with smooth morphology, appeared as black dots, well dispersed and separated (Figure 1). This description agrees with a previous observation that the use of chemically heterogeneous lipids in combination with heterogeneous surfactants favors the formation of ideally spherical lipid nanoparticles (Mehnert & Mader, 2001). The mean diameter was in the range of 250-300 nm.

339 3.3 DSC and X-ray diffractometry assay

DSC and X-ray diffraction were performed for the assessment of the drug–lipid interactions and the crystallinity of curcumin and lipid matrices, because this aspect could influence the *in vitro* and *in vivo* release of the compound from the systems (Müller et al., 2000). Lipid crystallization is an important point for the performance of the SLN carriers. In fact, less perfect crystals with many imperfections can offer space to accommodate the drug (Müller et al., 2000) and also it can modulate the mobility of the drug during the release process

346 (Mehnert & Mäder, 2001; Silva et al., 2011; Mehnert & Mäder, 2012). The lack of
347 crystallinity is also highly required to avoid extrusion of drug during storage.

The following samples were analysed: pure curcumin, Compritol, unloaded and loaded SLNs 348 SLN-2-15. Figure 2 depicts the DSC thermograms obtained. As Compritol is not composed of 349 pure triacylglycerols, the observed melting peak at 72.1°C might be due to a mixture of 350 metastable polymorphic β and β' forms. The thermogram showed also a relatively small 351 endothermic shoulder at around 55°C; this small shoulder corresponds to the melting of a very 352 unstable modification of Comprison which is the α modification (Souto et al., 2006), that 353 clearly disappears after the nanoparticles preparation. DSC analysis of curcumin showed the 354 melting point at 173.17°C. In the DSC thermograms of blank SLNs (unloaded) and loaded 355 SLNs, a small endothermic peak was observed at 50°C. This peak indicates the presence of 356 Pluronic either in the form of coating surrounding the nanoparticles or as residue after dialysis 357 358 and lyophilization.

- 359 Curcumin melting peak was not recorded in the SLN formulation attributed to the solubility360 of the drug within the solid lipid matrix.
- X-ray diffraction studies evidenced that diffraction pattern of bulk Compritol (Figure 3) 361 362 presents two main typical signals at 21.5° (2 θ) and 23.5° (2 θ), significantly modified when formulated into nanoparticles. Besides, another signal arises at 19.4° (20) after SLN 363 preparation, corresponding to the most stable polymorphic form of triacylglycerols β (Souto, 364 Mehnert, & Müller, 2006). These results might indicate that the final formulation is composed 365 of the most stable polymorphic state of Compritol: SLNs seem to lose part of their 366 crystallinity by transforming from a mixture of β and β' polymorphs in the most stable β 367 polymorph and allowing curcumin to penetrate in the molecular gaps. 368

369 *3.4 In vitro release studies*

The release of curcumin from SLN-2-15 formulation was tested in vitro in of PBS (pH 6.8) 370 and simulated intestinal medium. Due to the poor aqueous solubility of curcumin, ethanol 371 372 (150 mL/L) was used in the receptor medium to mimic sink condition, as already described in 373 literature (Kakkar et al., 2011; Mulik, Mönkkönenc, Juvonend, Mahadika, & Paradkarb, 2010). Cumulative drug release percentage versus time was plotted to demonstrate the release 374 375 patterns (Figure 4). In both dissolution media a sustained/prolonged release. Curcumin reached about 40% of the amount loaded in the formulation during 12 hours in PBS. This may 376 377 be attributed to the curcumin released slowly from the lipidic solid matrices, through diffusion and dissolution mechanisms (zur Mühlen et al., 1998; Mehnert & Mäder, 2012). Although the 378

379 release rate of SLNs could be influenced by complex factors, it was reported that among the
380 factors, the large surface area and high diffusion coefficient due to small molecular size or
381 low viscosity in the matrix are preponderant (zur Mühlen, Schwarz, & Mehnert, 1998).

The release profile was similar, but more intense during the incubation of SLNs in simulated intestinal medium. After 12 h the percentage reached about 60%. The presence of enzymes and bile salts caused a pronounced release, probably due to degradation of the lipid carrier and the subsequent solubilization of curcumin in colloidal species, like mixed micelles and swollen micelles (Noack, Oidtmann, Kutza, & Mäder, 2012).

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388 *3.5 Stability studies*

Stability of curcumin solid lipid nanoparticles was studied over 1 month. Physical stability was checked by monitoring size, zeta potential and P.I. during time, by DLS measurements. There was no modification neither of the particle size nor of the zeta potential (Figure 5). Furthermore, polydispersity was stable over time, no vesicle size alterations occurred over the tested period.

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395 *3.6 Parallel artificial membrane permeability assay (PAMPA)*

An approach for rapid assessment of absorption potential include the Parallel Artificial 396 Membrane Permeability Assay (PAMPA) (Kansy, Avdeef, & Fischer, 2004). Pampa is based 397 on a 96-well microplate technology and allows reasonable throughput, although it lacks 398 similarity to natural membranes because it does not possess pores or active transport 399 400 mechanism. It enables fast determination of the trends in the ability of the compounds to permeate membrane by passive diffusion and it is thus suited for the screening of large 401 libraries. Due to their small particle size, SLNs may exhibit bioadhesion properties to the 402 403 gastrointestinal tract wall or enter in the intervillar spaces thus increasing their residence time in the gastrointestinal tract and releasing the active drug encapsulated. This increase in 404 adhesion results in enhanced bioavailability. 405

- 406 The experiment was carried out measuring the ability of curcumin to diffuse from our SLNs407 suspension to a donor compartment through a PVDF membrane.
- A showed a strong increase of permeated curcumin was found in the case of SLNs suspension when compared with a saturated solution of curcumin used as control (Figure 6). In the case of SLNs, $4.2 \mu g$, $7.9 \mu g$ and $9.3 \mu g$ of curcumin permeated to the acceptor compartment after 6, 19 and 24h, respectively; within the same timeframe, 0.03 μg , 0.03 μg and 0.04 μg

412 respectively of curcumin permeated from saturated solution. We can observe also that in the 413 case of aqueous saturated solution, the amount of permeated curcumin remains constant 414 during the test while, in the case of SLNs, permeated curcumin increases with time during 24 415 h of test.

416

417 **4.** Conclusions

In this study the poorly-soluble curcumin was incorporated into SLNs by homogenization andultrasound technique without the use of solvents.

420 Compritol demonstrated good solubilization of curcumin and formulation was obtained in 421 terms of drug loading, surfactant percentage and sonication time. Pluronic F68 was selected 422 as surfactant in the amount of 28 g/L of the formulation. SLN-2-15 formulation showed good

423 values of encapsulation efficiency, size, P.I., zeta potential and stability.

These SLNs resulted round shape with homogeneous size distribution, as confirmed by TEM 424 analysis. Size of the particles is a key factor for improve oral performance of poorly soluble 425 drugs and the average particle size of the developed nanoparticles was maintained below 300 426 nm, like other effective formulations, such as microemulsions or submicron emulsions. The 427 increased permeability of curcumin loaded in SLNs was confirmed by PAMPA, a simple 428 technique to evaluate in vitro passive gastrointestinal performance of innovative carriers. A 429 prolonged release profile was observed suggesting that curcumin is solubilized into the solid 430 lipid matrix. An increase in saturation solubility and, consequently, an increase in the release 431 rate of the drug allows it to reach high concentrations in the gastrointestinal tract. It is 432 expected a better in vivo performance of SLN because Pluronic may increase the permeability 433 through the intestinal membrane and they may promote the bioadhesion to the GI wall. Also, 434 435 the incorporation of curcumin into SLNs solid lipid matrix reduces its enzymatic degradation during the process of absorption. 436

SLNs are very versatile carriers, particularly for the oral administration, they can be easily
transformed into powder (by spray-drying or lyophilization) and converted into solid dosage
forms, such as tablets, hard gelatin capsules, pellets or powders. The obtained powders can be
also dispersed in water or juice prior to administration.

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Figure captions

Figure 1: TEM micrographs of curcumin of SLN-2-15 sample (loaded with 0.02g/g of curcumin and obtained with 15 min of sonication) (A) with details of single SLN (B; C).

Figure 2: DSC thermographs of Compritol; pure curcumin; blank and loaded solid lipid nanoparticles (SLN-2-15 sample, loaded with 0.02g/g of curcumin and obtained with 15 min of sonication).

Figure 3: X-ray diffraction pattern of Compritol; pure curcumin; blank and loaded solid lipid nanoparticles SLN-2-15 sample, loaded with 0.02g/g of curcumin and obtained with 15 min of sonication).

Figure 4: *In vitro* release profile of curcumin from SLN-2-15 sample (loaded with 0.02g/g of curcumin and obtained with 15 min of sonication). Each data represent the mean \pm standard deviation of three experiments.

Figure 5: Particle size (A), polydispersity index (P.I., B) and zeta potential (C) evolution of curcumin solid lipid nanoparticles (SLN-2-15 sample, loaded with 0.02g/g of curcumin and obtained with 15 min of sonication) at storage conditions (30 days, 4°C). (Mean±S.D.; n=3).

Figure 6: Permeation profile of free curcumin and curcumin loaded SLNs by Parallel artificial membrane permeability assay (PAMPA). Amount of curcumin is referred to each well. Black: control; grey: SLN-2-15 sample, loaded with 0.02g/g of curcumin and obtained with 15 min of sonication. (Mean±S.D.; n=3).

Table 1. Characterization of developed blank and curcumin loaded SLNs; effect of sonication time and amount of curcumin on size, polydispersity index (P.I.), encapsulation efficiency (EE%) and drug loading (D.L.%). SLN-1, SLN-2 are solid lipid nanoparticles loaded respectively with 0.01 and 0.02g/g of curcumin. 5, 10 and 15 indicate sonication time. Each data represent the mean \pm standard deviation of three experiments.

Formulation	Time of	Curcumin	Size	P.I.	ζ	EE (%)	DL (%)
	(min)	(g/g)	(nm)		(mV)	R	<i>,</i>
Blank SLN	5	-	333±1	0.28 ± 0.02	-36±3		-
	10	-	254±6	0.25 ± 0.01	-24±2		-
	15	-	241±9	0.33±0.03	-10±1		-
SLN-1-5	5	0.01	320±18	0.31±0.03	-45±2	76.4±4.9	0.76
SLN-1-10	10	0.01	238±4	0.31 ± 0.04	-34±4	74.2 ± 16	0.74
SLN-1-15	15	0.01	199±23	0.26±0.02	-39±4	76.4 ± 4.6	0.76
SLN-2-5	5	0.02	415±6	0.31±0.08	-39±3	70.7 ± 2	1.41
SLN-2-10	10	0.02	313±13	0.33±0.01	-29±4	77.8±8	1.56
SLN-2-15	15	0.02	270±15	0.29 ± 0.01	-33±3	80.2±2	1.60

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Figure 1



Figure 2





Figure 4



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С



Figure 5



Highlights:

- 1. Compritol and Pluronic were used to prepare curcumin oral solid lipid nanoparticles.
- 2. Solid lipid nanoparticles resulted stable over 1 month at $4\pm1^{\circ}$ C.
- 3. In vitro studies showed a good release of curcumin from lipid nanoparticles.
- 4. Formulation increases the amount of curcumin permeated by 2 orders of magnitude.