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2

3 Reconstruction of human exposure to heavy metals using synchrotron radiation
4 microbeams in prehistoric and modern humans

5

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28

29 Key words: enamel, synchrotron radiation microbeams, heavy metals, prehistoric,

30 human

31

32

33 Abstract

34 *Objective* Teeth can serve as records of environmental exposure to heavy metals during
35 their formation. We applied a new technology- synchrotron radiation microbeams
36 (SRXRF)- for analysis of heavy metals in human permanent teeth in modern and
37 historical samples.

38 *Methods* Each tooth was cut in half. A longitudinal section 200 μm in thickness was
39 subjected to the determination of the heavy metal content by SRXRF or conventional
40 analytical methods (ICP-MS analysis or reduction-aeration atomic absorption
41 spectrometry). The relative concentrations of Pb, Hg, Cu and Zn measured by SRXRF
42 were translated in concentrations (in g of heavy metal/g of enamel) using calibration
43 curves by the two analytical methods.

44 *Results* Concentrations in teeth in the modern females (n=5) were $1.2\pm 0.5 \mu\text{g/g}$ (n=5)
45 for Pb; $1.7\pm 0.2 \text{ ng/g}$ for Hg; $0.9\pm 1.1 \mu\text{g/g}$ for Cu; $150\pm 24.6 \mu\text{g/g}$ for Zn. The levels of
46 Pb were highest in the teeth samples obtained from the humans of the Edo era
47 (1603-1868 AD) ($0.5\text{-}4.0 \mu\text{g/g}$, n=4). No trend was observed in this study in the Hg
48 content in teeth during 3000 years. The concentrations of Cu were highest in teeth of
49 two medieval craftsmen (57.0 and $220 \mu\text{g/g}$). The levels of Zn were higher in modern
50 subjects ($p<0.05$) than those in the Jomon (~ 1000 BC) to Edo periods [$113.2\pm 27.4 (\mu\text{g/g}$,
51 n=11)]. Reconstruction of developmental exposure history to lead in a famous court
52 painter of the Edo period (18th century) revealed high levels of Pb ($7.1\text{-}22.0 \mu\text{g/g}$) in his
53 childhood.

54 *Conclusions* SRXRF is useful a method for reconstructing human exposures in very
55 long trends.

56

57 **Introduction**

58 Many toxic heavy metals are found in the environment, and certain levels of
59 exposure are inevitable for the inhabiting human populations. The industrial release of
60 some heavy metals, such as lead and chromium, to the environment is significantly
61 larger than the natural sources of these metals, while the levels of other heavy metals,
62 such as cadmium and mercury, from either natural or industrial sources are the same (1).

63

64 Human beings as *Homo sapiens*, have been exposed to various heavy metals from stone
65 age (2). Rapid increase in exposure to levels of the heavy metals in the modern
66 environment, when compared to those in prehistoric periods, may have caused adverse
67 health effects. To investigate such a possibility, reconstruction of the exposure history of
68 humans has recently been explored in a number of studies (3,4,5).

69

70 Teeth can serve as records of environmental exposure to heavy metals that are
71 accumulated in the mineral phase of the dental tissues during tooth formation (6,7). In
72 tooth enamel, this mineral phase is not subject to turnover, since it consists of biological
73 mineral hydroxyapatite, where various ions may be substituted into the crystal lattice
74 only during the development. Thus, the enamel encapsulates a permanent record of the
75 trace element environment during the development of a tooth. Migration of ions may
76 occur, but it is confined to the immediate surface exposed to oral environment and
77 burial soils.

78

79 In the past, several methodologies have been applied to analysis of heavy metals in the
80 teeth (6,7,8,9,10,11,12,13,14,15). Recently, XRF analysis using synchrotron radiation

81 (SR) microbeams (SRXRF) has been applied to the analyses of tooth enamel (10,11,12).
82 This method uses microbeams and enables us to provide high spatial resolution with
83 much higher sensitivity (10,11,12).
84
85 The aim of the present study is to test applicability of SRXRF to the analysis of heavy
86 metals in human teeth. Specifically, this study has three objectives. First, since the
87 accurate quantification of the amounts of heavy metals is difficult due to the lack of
88 suitable reference materials, we tested whether concentration ratios of various heavy
89 metals are proportional to their absolute concentrations determined by separate
90 analytical methods (11,12). In this way, we aimed to replace a semi-quantitative method
91 typically used, which simply compares ratios of elements in teeth, with a quantitative
92 method. Secondly, we also applied this quantitative method to a series of molar teeth
93 samples from a single individual. We tested whether exposure level can be correlated in
94 several molar teeth with different developmental ages. Finally, we applied this method
95 to the historical reconstruction of exposures to various heavy metals of humans who
96 lived in different times, from the prehistoric era (Jomon era, BC 1000) to present times.
97 In the present study, the targeted heavy metals are lead (Pb), mercury (Hg), copper (Cu)
98 and zinc (Zn). Some of the reasons for selection of these metals are the following: 1)
99 human exposure to lead is reported to be increasingly significant due to recent
100 industrialization in western countries, 2) the major source of mercury in the
101 environment is thought to be from natural release due to geological activities (16) and
102 coal-fired power stations (17), 3) copper is one of the essential metals, which is
103 obtained through diets and is also released by several industrial activities (18), 4) the
104 levels of zinc, an essential metal in the human body, are known to be strongly

105 influenced by nutrition (19). The study of the levels of heavy metals may elucidate the
106 source and effects of long-term environmental exposure to these metals as well as
107 elucidate nutritional conditions of the prehistoric and modern humans.

108

109 **Materials and methods**

110 *Cases and samples*

111 Permanent teeth samples from modern humans were collected from the donors after we
112 obtained informed consent. The teeth were donated to our study, following an extraction
113 by the dentists. Donors were selected from candidates who had never used dental
114 amalgams. This study protocol was approved by the ethical review board of Kyoto
115 University Graduate School of Medicine.

116

117 Archaeological permanent teeth samples were collected during excavations (Table 1).
118 Those teeth were free of caries. The ages of the teeth samples were determined by
119 archaeological criteria except for individual K (1776-1846, AD), who was a court
120 painter. The samples of teeth from subject K were dated based on the documented
121 records from a Buddhist temple in a cemetery where he was buried. Other teeth
122 (E1-E4) were collected from the excavated ruins of a town or a local village of the Edo
123 era (17th C-19th C AD). Subjects E1 and E2 were postulated to be farmers, subjects E3
124 was postulated to be a merchant's wife and E4 was assumed to be a merchant or a
125 family member of the merchant.

126

127 The medieval time of Japan includes the Heian Period (8th C-12th C, AD), the Kamakura
128 Period (12th C-14th C, AD) and the Muromachi Period (14th C-16th C, AD). Teeth

129 samples (C1-C3) were excavated from the cemetery, which was continuously used for
130 burials from 10th C to 16th C, AD. People, who lived in a town across from the cemetery,
131 were buried in this place. No information was available for individuals from the 10th C,
132 AD. However, the 14th C, AD subjects, from whom the teeth samples were collected,
133 are considered to have been craftsmen engaged in casting of a Buddhist statue as
134 ancestral business, as determined from many artifacts (china and white porcelains)
135 buried in the tombs.

136

137 The Tumulus period (3rd C - 6th C, AD) is considered to be a period, when the first
138 centralized government was formed. Nomadic people had settled in villages and
139 engaged in agriculture. Human exposure to heavy metals in this period is considered to
140 be mostly attributable to natural sources. Subject (T1) is considered to have been a head
141 of a local clan.

142

143 The Jomon period corresponds to the Stone Age and started from BC 16,000 and ended
144 BC 500. Donors of teeth J1 and J2 were buried in a typical Jomon shell mound. The
145 entire skeleton of the donor J3 was found in a ruin in a cave and showed features of a
146 middle aged woman. Exposure to heavy metals during this period is considered to be
147 solely due to ecological sources, since people were primarily engaged in hunting,
148 fishing and gathering of foods.

149

150 *Sample preparations*

151 Each tooth was cut into half by longitudinal section (Fig. 1). From one piece, a
152 longitudinal section 200 μ m in thickness was cut using a diamond saw-cutter. To

153 prevent contamination, diamond wire was immersed in distilled deionized water in a
154 plastic container and the water was replaced after each sample was cut. Fresh water was
155 used for each tooth when grinding and polishing of the samples and all samples were
156 rinsed well with water prior to analysis.

157

158 *SRXRF Analysis*

159 SRXRF analyses using SR microbeams were performed at the Photon factory, KEK
160 (Tsukuba, Japan) or at SPring-8 (Sayo, Japan) as previously described (Ide-Ektessabi et
161 al., 2004). Briefly, SR from the storage ring (2.5GeV, maximum current 400mA, in the
162 case of KEK) was monochromated using a multilayer film monochromater. The incident
163 X-ray energy was 14.3 keV. Incident X-rays were focused using Kirkpatrick-Baez optics.
164 The incident beam size was about 6 x 5 μm . The incident and transmitted photon flux
165 was monitored with an ion chamber, and the fluorescent X-ray were detected by a
166 solid-state detection (SSD). The measurements were conducted in air. SRXRF imaging
167 was carried out as previously reported (12).

168

169 *Quantification of the elements*

170 Surface enamel portions (~200 μm) were abraded from the piece of the tooth, from
171 which longitudinal tooth sections were cut for the analysis by SRXRF (12), in order to
172 avoid the potential effects of diagenesis from the enveloping soil that would impact the
173 surface of the tooth (20) (Fig. 2). Dentine was also removed from the tooth fragment to
174 be analyzed. Semi-quantification of the concentration of each element was performed
175 by the integration of the peak areas using software developed by Ide-Ektessabi et al.
176 (20). In this program, the background is estimated from the untreated spectra, and the

177 peak is obtained using Gaussian curve fitting and the least square method. Linear
178 scanning with high resolution was performed from the outside of enamel to pulp to
179 obtain X-ray fluorescence for Ca, Pb Hg, Cu and Zn. The measurements were repeated
180 by a 20- μm interval from the surface of enamel to pulp. The counts at individual points
181 were integrated and standardized by the integrated count of Ca. The linear scanning was
182 repeated for 5 times for different lines per tooth. The mean of relative concentrations by
183 5-time scanning was taken as a relative concentration for a given heavy metal for a
184 given tooth. The relative concentrations of Pb, Hg, Cu and Zn were standardized by
185 dividing the value of the peak areas of a given element by the peak area of Ca in the
186 sample because its similarity of behavior to that of heavy metals (13). The coefficients
187 of variations were within 20% for this analysis. From the remaining piece of the tooth
188 two enamel blocks were cut (approximately 500 mg each) and washed thoroughly with
189 doubly deionized distilled water. After cleaning, one piece of the enamel section was
190 digested with hydrochloric acid. Digested samples were diluted to appropriate volumes
191 with deionized water. The determinations of the concentrations of Pb, Cu, and Zn were
192 obtained using ICP-MS (Agilent 7500a, Tokyo, Japan) (21). The lowest detection limits
193 were 0.02 mg/L for Zn, 0.01 mg/L for Cu and 0.004 mg/L for Pb, respectively. The
194 second piece of the enamel was subjected to the determination of the mercury
195 concentration by reduction-aeration atomic absorption spectrometry (AAS). The
196 detection limit was 0.002 μg .

197

198 *Calibrations of SRXRF by ICP-MS and AAS*

199 To determine the concentrations of the heavy metals, we scanned the teeth samples
200 from the oral side to dentin and the pulp through to the enamel (Fig. 1). One dimension

201 and two dimension analyses gave patterns as shown in Fig. 1b and 1c. Concentrations of
202 the elements were means of 20 x 20 pixels. We collected the fragment of the teeth for
203 ICP-MS analyses as shown Fig. 2. From each tooth a sample was collected for the
204 ICP-MS analysis.

205

206 *Statistics*

207 The collected data was analyzed using the SAS statistical package, version 8.2 (SAS
208 Institute Inc., Cary, North Carolina). *P* values for statistical tests were 2-tailed. *P* value
209 <0.05 was considered to be significant.

210

211 **Results and discussion**

212 *Comparison between SRXRF and ICP-MS.*

213 The comparison between the relative concentrations of the elements obtained by
214 SRXRF and ICP-MS were shown in Fig. 3a-d. The concentrations obtained by these
215 two methods agreed significantly ($R^2 > 0.758$, $p < 0.05$). Such significant agreements
216 allowed us to convert the relative concentrations (peak area for a given element divided
217 by the area for Ca) obtained by SRXRF into concentrations (Mass/Mass). In Table 2, the
218 converted values for heavy metal concentrations were presented together with
219 concentrations measured by ICP-MS. The two values agreed well for the concentrations
220 of Pb and Hg. However, for Cu and Zn, the values obtained from the analyses by the
221 two methods were not so in good agreement.

222

223 *Long-term trend and data interpretation*

224 Limited number of tooth samples made it impossible to draw any definitive conclusions

225 on the long-term trend in the concentration of these four heavy metals in human teeth.

226 However, data presented in Table 2 suggests some very interesting exposure profiles.

227

228 Concentrations of Pb are highest in teeth obtained from the skeletons of humans of the

229 Edo era. However, Pb levels are widely scattered among samples, likely reflecting

230 personal life styles or habits. As a matter of fact, it is reported that lead-oxide cosmetic

231 powders were used by females of the Samurai classes or merchants in urban centers in

232 the Edo era (22,23,24,25). Thus, higher exposures to Pb found in teeth samples of two

233 subjects in the merchant class in the Edo era can likely be explained by the use of the

234 lead containing cosmetics by the mother.

235

236 High concentrations of Cu in teeth of the people living in the medieval times seem to be

237 associated with their ancestral occupations. Both subjects C1 and C2 were thought to be

238 craftsmen, engaged in the production of the statue of Buddha from copper in cottage

239 industry. In this period, they inherited their occupations from their fathers and

240 conducted their works at home, leading heavy indoor exposure to copper at home.

241 Therefore, subjects C1 and C2 might be exposed to copper through dust or fumes.

242

243 The levels of Zn seem to be highest in modern subjects. The levels of Zn in human

244 tissue are known to be associated with nutritional conditions (19). When the results for

245 the Zn content in the teeth were pooled from the Jomon to Edo periods, their mean

246 levels ($\mu\text{g/g}$, $n=11$) were 121.6 ± 27.9 as determined by ICP-MS or 113.2 ± 27.4 by

247 SRXRF, significantly lower ($p<0.05$) ($n=5$) than those in modern subjects (156.0 ± 27.0

248 $\mu\text{g/g}$ by ICP-MS or 150.0 ± 24.6 $\mu\text{g/g}$ by SRXRF). Modern increase in the content of Zn

249 in the human teeth probably is associated with increase in the consumption of Zn rich
250 foods such as meats.

251

252 *A case study for K*

253 K was one of the most famous court painters in Edo era. He was born at the end of the
254 18th C and died in the middle of the 19th C. He was very active as a leader of the
255 painting school, which was established by his ancestor. The mineralization of his 1st
256 tooth started around year 2 or 3 of his life. The mineralization of his second molar tooth
257 began at the age of 2 and was completed by the age 7. The mineralization of his last
258 molar tooth started at the age of 7 and ended by the age 16. Based on the data collected
259 in this study (Table 2), he was exposed to high levels of lead at the neonatal and early
260 infantile periods and to moderate levels in his childhood period. It should be also
261 pointed out that he was heavily exposed to Cu. On the other hand, his enamel contained
262 only trace amounts of Zn.

263

264 In the present study, we have established a method using SRXRF to determine heavy
265 metal concentrations in human tooth enamel collected from humans and human
266 skeletons from 3000 years ago to present times. The values of the concentrations of the
267 analyzed metals (Pb, Hg, Zn and Cu) obtained using SRXRF were compared to the
268 values obtained using ICP-MS or AAS. This process enabled us to translate the relative
269 amounts of heavy metals of interest by dividing the values by the Ca concentrations into
270 absolute concentrations. The calibration method using relative concentrations against Ca
271 has been employed traditionally (9,10). In the present study we also confirmed
272 usefulness of this method.

273

274 The dental enamel has been thought to be an ideal material for reconstruction of the
275 exposure histories, because heavy metals incorporated into the enamel are encapsulated
276 as they are chronologically absorbed during the subject's growth (6,7). Therefore, this
277 ability of the enamel can be fully utilized only by *in situ* analysis of the enamel metal
278 content with a high resolution method. For this purpose, a laser abraded method coupled
279 with ICP-MS or SRXRF seems to be promising. SRXRF has some advantages, since
280 this method enables detection of the distribution of heavy metals with high resolution.
281 As an example, in our study this method showed that enamels in K's two teeth,
282 developed in an infantile period, had high levels of Pb presumably due to the levels of
283 Pb contained in the breast milk of his mother, who may have used Pb containing
284 cosmetics.

285

286 Preliminary observations in the current studies warrant further studies. Exposures to Pb
287 are highest in the Edo era in Japan as reported by others (22,23,24,25). No trend was
288 observed in this study in the Hg content in teeth during 3000 years in Japan. The copper
289 exposures are considered to be associated with individual's occupation. It is of
290 particular interest that Zn concentrations are highest in modern humans. Since meat and
291 cereal grains are rich in Zn (19), this observed long term trend may result from
292 nutritional improvements in modern humans.

293

294 This study lacked solid standard reference materials that are matrix matched for
295 calibration purposes. Alternatively, we calibrated using ICP-MS or AAS, which lost
296 information of special distribution for each heavy metal. This is the major limitation of

297 this study. Thus at present, we cannot fully utilize the advantages of SRXRF. This
298 drawback will be recovered in future.

299

300 In conclusion, we have developed a quantitative method using SRXRF with a
301 calibration by ICP-MS and AAS. This method allowed us spatial high sensitivity with
302 high resolution with appropriate external standards. We have applied this method to the
303 reconstruction of the human environmental exposures to the heavy metals as well as
304 determined the nutritional conditions of the humans from the analysis of the heavy
305 metal content of their teeth.

306

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311

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- 379
- 380

Figure legend

381

382 Fig. 1. Anatomy of a tooth and two dimensional distributions of Ca and Zn by SRXRF

383 A. Anatomy of a tooth by horizontal section

384 B. Distribution of Ca by SRXRF

385 C. Distribution of Zn by SRXRF

386 Relative signal intensities were expressed in gradient colors.

387

388 Fig. 2. Surface ablation and removal of dentin

389 The figure shows a half tooth after longitudinal section.

390

391 Fig. 3. Correlations between the concentrations obtained using SRXRF($\times 10^{-6}$) (X) and

392 ICP-MS or AAS (Y)

393 The X axis represents relative concentrations of metals by SRXRF ($\times 10^{-6}$), while Y axis

394 represents actual concentrations per gram of tooth enamel:

395 a: Y axis is μg of Pb/g

396 b: Y axis is ng of Hg/g

397 c: Y axis is μg of Cu/g

398 d: Y axis is μg of Zn/g

399

400

401

Table1

Summary of permanent teeth samples

<u>Period</u>	<u>ID</u>	<u>Tooth type</u>	<u>Gender</u>	<u>Personal Information</u>	<u>Residential area</u>
Modern	M1	Rt Mandibular 3 rd molar	Female	Born in 1983	Kyoto
	M2	Rt Maxillary 3 rd molar	Female	Born in 1975	Kyoto
	M3	Rt Mandibular 3 rd molar	Female	Born in 1969	Kyoto
	M4	Rt Mandibular 3 rd molar	Female	Born in 1958	Kyoto
	M5	Rt Mandibular 3 rd molar	Female	Born in 1934	Kyoto
Edo Era					
18C	K	Rt Maxillary 1 st Molar - 3 rd Molar	Male	Court Painter	Tokyo
17C	E1	Lt Maxillary Canine	Unknown	Farmer	Ibaragi
17C	E2	Lt Maxillary 1 st Molar	Unknown	Farmer	Ibaragi
17C	E3	Rt Maxillary Cutting	Female	Merchant wife	Tochigi
17C	E4	Lt Maxillary 1 st Molar	Unknown	Merchant associated	Tochigi
Medieval					
14C	C1	Maxillary Front	Male	Craftsman	Kyoto

14C	C2	Lt Maxillary 1 st Molar	Male	Craftsman	Kyoto
10C	C3	Rt Mandibular Molar	Unknown	Town people	Kyoto
Tumulus					
6C	T1	Maxillary Front	Unknown	Local head of a clan	Nagano
Jomon					
-5C	J1	Mandibular 1 st Molar	Unknown	Unknown	Chiba
-5C	J2	Rt Maxillary 3 rd Molar	Unknown	Unknown	Chiba
-10C	J3	Lt Maxillary 1 st Molar	Female	Middle aged	Miyagi

Rt: Right

Lt: Light

Table 2

Estimated concentrations of elements in enamels

Time	ID	Pb ($\mu\text{g/g}$)		Hg (ng/g)		Cu ($\mu\text{g/g}$)		Zn ($\mu\text{g/g}$)		Tooth Age at AD 2000
		ICP	SRXRF	AAS	SRXRF	ICP	SRXRF	ICP	SRXRF	
Modem	M1	1.0	0.7	1.8	1.7	0.4	0.1	170.0	130.4	17
	M2	0.3	1.0	1.5	1.5	0.6	2.7	190.0	173.0	25
	M3	0.4	1.3	2.0	1.9	0.7	0.0	140.0	171.5	31
	M4	1.2	1.2	1.8	1.7	0.3	0.8	120.0	118.5	42
	<u>M5</u>	<u>3.7</u>	<u>2.0</u>	<u>1.7</u>	<u>1.6</u>	<u>0.1</u>	<u>1.0</u>	<u>160.0</u>	<u>156.6</u>	<u>66</u>
	Mean	1.3	1.2	1.8	1.7	0.4	0.9	156.0	150.0	
	SD	1.4	0.5	0.2	0.2	0.2	1.1	27.0	24.6	
Edo	E1	0.1	0.5	1.5	1.4	0.5	3.0	170.0	128.0	300
	E2	0.1	0.5	1.2	1.5	0.4	0.4	130.0	98.5	300
	E3	7.3	4.0	1.2	1.3	0.5	0.0	110.0	72.8	300
	<u>E4</u>	<u>3.9</u>	<u>2.8</u>	<u>1.0</u>	<u>1.4</u>	<u>0.3</u>	<u>1.4</u>	<u>130.0</u>	<u>104.3</u>	<u>300</u>

	Mean	2.6	1.7	1.0	1.2	0.4	1.2	113.4	85.6	
	SD	3.1	1.7	0.5	0.5	0.1	1.2	53.0	39.4	
Medieval	C1	1.1	1.3	1.5	1.4	57.0	56.2	110.0	119.7	600
	C2	0.7	1.4	1.3	1.2	220.0	215.6	120.0	170.7	600
	C3	0.2	0.5	2.0	1.5	0.4	2.2	82.0	72.1	1000
	Mean	1.5	1.3	1.3	1.2	55.6	55.3	95.7	97.5	
	SD	1.2	0.5	0.6	0.4	95.1	92.7	27.9	50.0	
Tumulus	T1	0.1	0.4	1.2	1.6	0.2	0.8	170.0	130.3	1400
Jomon	J1	0.4	0.4	1.5	1.4	0.7	2.7	96.0	117.9	2500
	J2	0.2	0.4	1.2	1.3	0.2	1.0	120.0	114.3	2500
	J3	0.4	0.9	2.5	2.2	0.5	0.0	100.0	117.1	3000
	Mean	0.3	0.6	1.7	1.7	0.5	1.2	105.3	116.4	
	SD	0.1	0.3	0.7	0.5	0.3	1.4	12.9	1.9	

Edo	K 1st	26.0	25.8	0.6	0.4	15.0	11.8	0.5	19.3	204
	K 2nd	24.0	22.0	1.9	1.8	20.0	19.2	2.5	24.9	204
	K 3rd	7.1	7.1	0.7	0.6	16.0	11.3	0.9	18.9	204

ICP: Values measured by ICP-MS

AAS: reduction-aeration atomic absorption spectrometry

SRXRF: Relative concentrations were converted to concentrations using correlation equations

Figure 1. Anatomy of a tooth and two dimensional concentrations of Ca and Zn by SRXRF

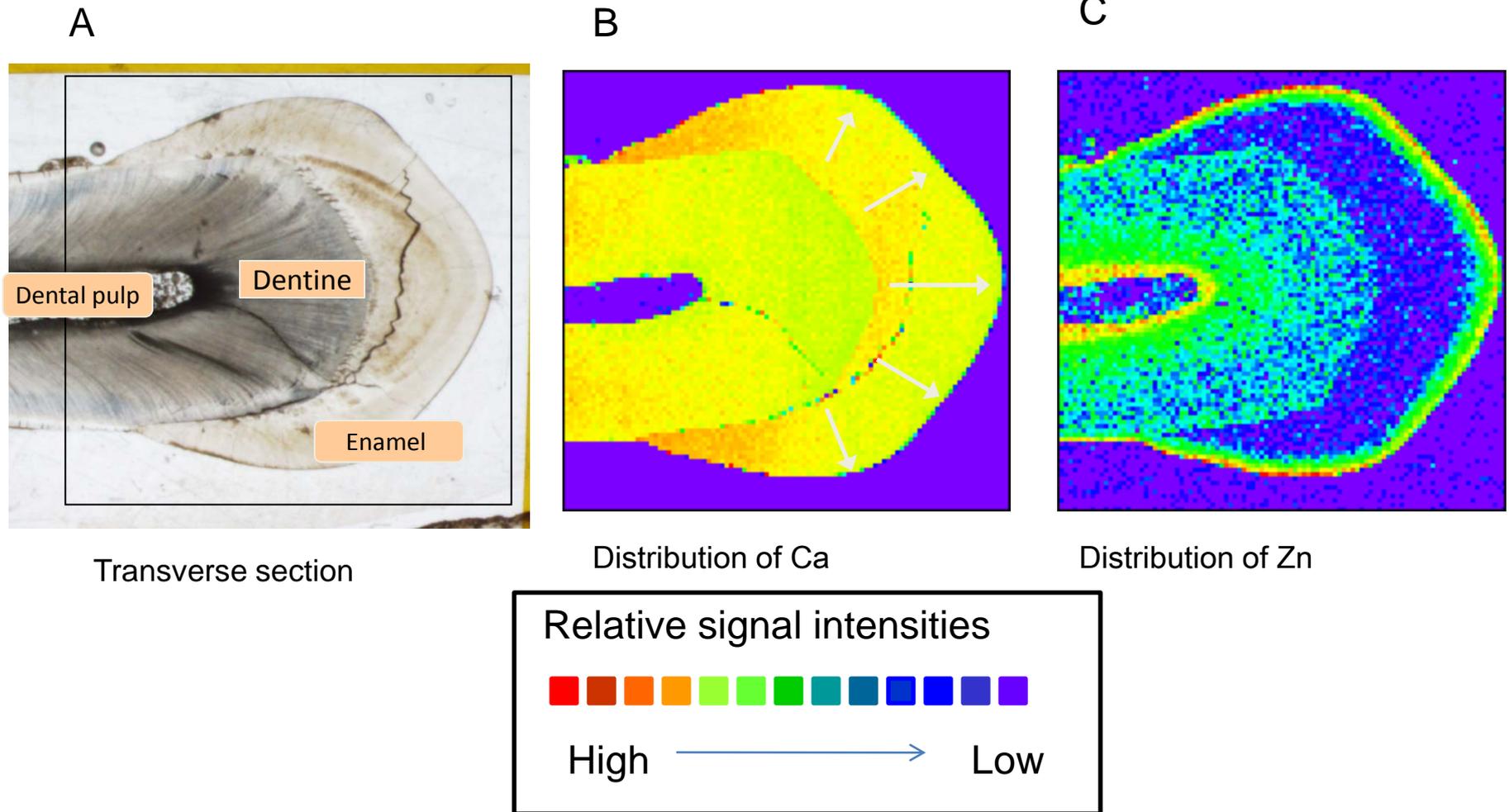
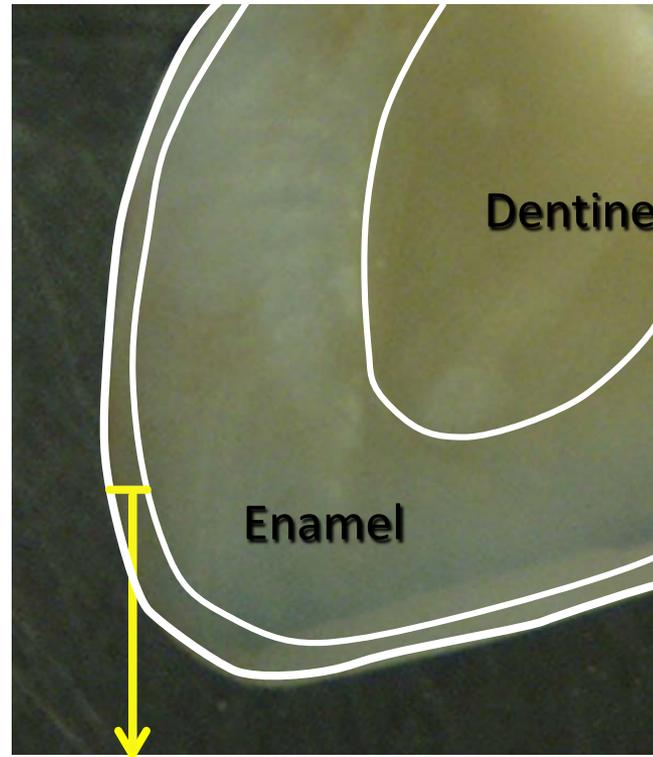


Figure 2.



200 μ m from the surface was abraded.

Fig. 3

