

1 **Causes and consequences of ontogenetic dietary shifts: a**
2 **global synthesis using fish models**

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24

25 ABSTRACT

26 Ontogenetic dietary shifts (ODSs), the changes in diet utilisation occurring over the life
27 span of an individual consumer, are widespread in the animal kingdom. Understanding
28 ODSs provides fundamental insights into the biological and ecological processes that
29 function at the individual, population and community levels, and is critical for the
30 development and testing of hypotheses around key concepts in trophic theory on model
31 organisms. Here, we synthesise historic and contemporary research on ODSs in fishes,
32 and identify where further research is required. Numerous biotic and abiotic factors can
33 directly or indirectly influence ODSs, but the most influential of these may vary
34 spatially, temporally and interspecifically. Within the constraints imposed by prey
35 availability, we identified competition and predation risk as the major drivers of ODSs
36 in fishes. These drivers do not directly affect the trophic ontogeny of fishes, but may
37 have an indirect effect on diet trajectories through ontogenetic changes in habitat use
38 and concomitant changes in prey availability. The synthesis provides compelling
39 evidence that ODSs can have profound ecological consequences for fish by, for
40 example, enhancing individual growth and lifetime reproductive output or reducing the
41 risk of mortality. ODSs may also influence food-web dynamics and facilitate the
42 coexistence of sympatric species through resource partitioning, but we currently lack a
43 holistic understanding of the consequences of ODSs for population, community and
44 ecosystem processes and functioning. Studies attempting to address these knowledge
45 gaps have largely focused on theoretical approaches, but empirical research under
46 natural conditions, including phylogenetic and evolutionary considerations, is required
47 to test the concepts. Research focusing on inter-individual variation in ontogenetic
48 trajectories has also been limited, with the complex relationships between individual

49 behaviour and environmental heterogeneity representing a particularly promising area
50 for future research.

51

52 *Key words:* development-related dietary shifts, ecological dynamics, macroecology,
53 predator–prey interactions, size-dependent mechanisms, trophic ontogeny.

54

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75 **I. INTRODUCTION**

76 Ontogenetic dietary shifts (ODSs), the changes in diet utilisation occurring over the life
77 span of an individual consumer, are widespread in the animal kingdom. ODSs have
78 been most extensively researched in insects, amphibians and fishes. The best-studied
79 examples in insects and amphibians are associated with metamorphosis and the
80 sometimes extreme shifts in habitat use, such as between freshwater and terrestrial
81 environments [Nakazawa (2015) and references therein]. By contrast, fishes typically
82 inhabit the same environments throughout their lives (except for diadromous fishes),
83 allowing an examination of other factors influencing ODSs and whether or not
84 conclusions can be generalised among contrasting aquatic ecosystems (e.g. freshwater,
85 brackish and marine). Fish have been useful model species in both empirical and
86 theoretical studies of trophic ontogeny (e.g. Schellekens, De Roos & Persson, 2010;
87 Nakazawa, 2015; Sánchez-Hernández & Cobo, 2018), with a steep increase in the
88 number of publications over the last decade (Fig. 1). Despite this growing interest, the
89 majority of research has addressed changes in diet composition during development or
90 differences between size classes (e.g. Lukoschek & McCormick, 2001; Davis *et al.*,
91 2011; Sánchez-Hernández & Cobo, 2016). In the early stages of the life cycle, many
92 fish species prey upon phytoplankton, zooplankton or small macroinvertebrates, but
93 may switch to larger macroinvertebrates, fish, plants or detritus later in development
94 (Nunn, Tewson & Cowx, 2012; Huss *et al.*, 2013). Conversely, generalist species, such
95 as most salmonids, often forage on a wide range of aquatic invertebrates when small,
96 but may include terrestrial invertebrates, fish, amphibians or rodents at larger sizes
97 (Eloranta, Kahilainen & Jones, 2010; Jensen, Kiljunen & Amundsen, 2012; Sánchez-
98 Hernández *et al.*, 2013). Pronounced dietary shifts sometimes coincide with specific

99 events in development, such as the transition from ‘finfold’ to ‘finformed’ larvae or
100 from larvae to juveniles (Nunn, Harvey & Cowx, 2007), but few studies have attempted
101 to disentangle the potentially confounding influences of ontogeny (i.e. processes scaling
102 with body size) on ODSs.

103 Although ODSs in fishes are well documented (e.g. Amundsen *et al.*, 2003; Kolasinski
104 *et al.*, 2009; Nunn *et al.*, 2012), the majority of research has focussed on a small number
105 of economically important species, and our comprehension of the exact nature of ODSs,
106 the driving mechanisms and their consequences is incomplete. Nunn *et al.* (2012)
107 described the occurrence of ODSs in a review of the foraging ecology of larval and
108 juvenile fishes, but adults and the causes and consequences of ODSs were not explored.

109 In particular, attempts to separate the drivers and consequences of ODSs have been
110 equivocal. For example, many researchers have concluded that ODSs are related to the
111 specific habitat requirements of prey following ontogenetic changes in habitat use by
112 fish (e.g. Lukoschek & McCormick, 2001; Choi & Suk, 2012), but habitat changes can
113 be a consequence of other drivers, such as changing predation risk or prey availability
114 (e.g. Werner & Hall, 1988; Wu & Culver, 1992). Theory predicts that ODSs are
115 influential in community and food-web stability (Schellekens *et al.*, 2010; Miller &
116 Rudolf, 2011; Rudolf & Lafferty, 2011; de Roos & Persson, 2013; van Leeuwen *et al.*,
117 2013, 2014; Nilsson, McCann & Caskenette, 2018), but we currently lack a holistic
118 understanding based on empirical evidence of their consequences for populations,
119 communities, food-web dynamics and ecosystem processes and functioning. Because
120 morphological, behavioural, physiological and life-history traits play an important role
121 in foraging specialisation and define intra-specific trophic polymorphisms where they
122 exist [Smith & Skúlason (1996) and references therein], identification of the role of
123 traits linked with foraging should help to disentangle the causes and consequences of

124 ODSs. However, little attention has been given to exploring specific events in fish
125 ontogeny during which diets switch and during which rapid change in selection
126 pressures could trigger evolutionary branching (Claessen & Dieckmann, 2002; ten
127 Brink & de Roos, 2017). To overcome the challenges associated with this knowledge
128 deficit and equivocal conclusions, we aim to synthesise: (i) the biological concepts (i.e.
129 the causes and consequences of ODSs), setting them in a broad ecological and
130 evolutionary framework, and (ii) enhance our current understanding of the drivers and
131 consequences of ODSs in fishes, using pertinent examples from marine and freshwater
132 ecosystems. Understanding ODSs provides fundamental insights into the biological and
133 ecological processes that function at the individual, population and community levels,
134 and is critical to the development and testing of hypotheses around key concepts in
135 trophic theory on model organisms.

136

137 **II. THE NATURE OF ODSs**

138 ODSs are often linked to other ontogenetic niche shifts, in particular habitat choice,
139 which influences the availability of different prey types to the consumer (e.g. Werner &
140 Hall, 1988). For organisms with distinct life stages, such as aquatic insects and
141 amphibians, these shifts are typically abrupt and consist of complete switches between
142 separate niches following metamorphosis (Claessen & Dieckmann, 2002; Bassar, Travis
143 & Coulson, 2017). Most organisms, however, exhibit less-abrupt shifts in niche
144 utilisation, but ODSs may nonetheless manifest as relatively distinct changes in prey
145 choice or diet composition associated with shifts in habitat use during ontogeny, as is
146 often seen in fish (Fig. 2; Werner, 1986). Most ODSs are size-related (Werner &
147 Gilliam, 1984) as, for many species, the body size of a consumer significantly affects its
148 feeding ability and the size range of prey that is available for consumption (Werner,

149 1986; Mittelbach & Persson, 1998). Hence, ODSs are commonly observed in organisms
150 that undergo large changes in body size (Werner & Gilliam, 1984; Werner, 1986). With
151 the notable exceptions of birds and mammals, whose juveniles are typically
152 approximately adult-sized when they commence independent foraging, individuals of
153 most animal taxa vary greatly in body size over their lifetime (Werner, 1986).
154 Accordingly, ODSs are a common feature of the life cycles of a diverse range of
155 organisms (Kimirei *et al.*, 2013), including most invertebrates, fishes, amphibians and
156 reptiles (Werner & Gilliam, 1984). The relationship between body size and prey size is
157 particularly strong in fish, which do not have any appendages to manipulate prey. Their
158 ability to handle prey thus generally scales with mouth gape size, which, in turn, scales
159 with body size (e.g. Dunic & Baum, 2017). Hence, unlike amphibians and aquatic
160 insects, body size seems to play a critical role in ODSs in fishes, although there are a
161 few exceptions (e.g. lampreys) in which ODS is linked to metamorphosis.
162 In fishes, the body mass of conspecifics may span several orders of magnitude from
163 first-feeding larvae to the largest adults, and extensive ontogenetic niche shifts are a
164 nearly universal phenomenon within size-structured fish populations (Werner &
165 Gilliam, 1984; Werner, 1986). In many species, the size of consumed prey usually
166 increases with fish size (Scharf, Juanes & Rountree, 2000; Cocheret de la Morinière *et*
167 *al.*, 2003; Sánchez-Hernández & Cobo, 2012*b*), and different size classes typically
168 consume different prey types as a result of, for example, differences in foraging abilities
169 or habitat use (Mittelbach & Persson, 1998; Lukoschek & McCormick, 2001; Nunn *et*
170 *al.*, 2012). The resulting diversity of ontogenetic diet trajectories followed by fish
171 species may range, for example, from rapid dietary changes in the larval period to
172 multiple broad-scale changes over the complete life cycle of the individual. Examples of
173 the former are riverine cyprinids and salmonids, for which dietary shifts may occur in

174 association with improvements in vision and swimming performance, and increases in
175 gape size (e.g. Wanzenböck & Schiemer, 1989; Mittelbach & Persson, 1998; Ojanguren
176 & Braña, 2003). Additionally, brown trout (*Salmo trutta* L.) often switch from aquatic
177 to water-surface prey in their first summer, although not all individuals of this age group
178 may exhibit such a switch [Sánchez-Hernández & Cobo (2018) and references therein].
179 This phenomenon needs to be examined in other stream-dwelling species to be
180 recognised as a general principle.

181 Profound multiple ODSs occurring over the life cycle are frequently seen in piscivorous
182 fish species (e.g. Mittelbach & Persson, 1998; Hjelm, Persson & Christensen, 2000;
183 Amundsen *et al.*, 2003; Hanson, 2011; Artero *et al.*, 2015). Typically, such dietary
184 switches involve distinct shifts in prey sizes from millimetre to centimetre and finally to
185 decimetre orders of magnitude. The prey size increases with predator size following
186 allometric scaling theory (Mittelbach & Persson, 1998; Dunic & Baum, 2017). For
187 example, juvenile largemouth bass [*Micropterus salmoides* (Lacépede, 1802)] and
188 European perch (*Perca fluviatilis* L.) primarily feed upon zooplankton before switching
189 to benthic invertebrates, and later to small and, subsequently, large fish prey (e.g. Hjelm
190 *et al.*, 2000; García-Berthou, 2002; Amundsen *et al.*, 2003). Moreover, studies focused
191 on stage-structured models have concluded that an early ODS from zooplankton to
192 macroinvertebrates is necessary for individuals to reach sizes large enough to enable
193 subsequent exploitation of the ultimate piscivorous niche (Huss *et al.*, 2013). Similar
194 multiple ODSs from pelagic to benthic invertebrates and subsequently to increasingly
195 larger fish prey are also seen in marine piscivorous fish, such as Atlantic cod (*Gadus*
196 *morhua* L.) (Fig. 2; Link & Garrison, 2002), and benthic coastal marine fish, such as
197 Atlantic John Dory (*Zeus faber* L.) (Stergiou & Fourtouni, 1991). Some cyprinids may,
198 by contrast, follow a different dietary trajectory during their ontogeny (e.g. Penttinen &

199 Holopainen, 1992; Sánchez-Hernández & Cobo, 2012a; Dadebo *et al.*, 2014). The first
200 ODS in cyprinids is invariably from plankton to benthic invertebrates (Penttinen &
201 Holopainen, 1992), but the contribution of detritus and plant material increases during
202 ontogeny in some species, whereas others feed largely on insects (Sánchez-Hernández
203 & Cobo, 2012a; Dadebo *et al.*, 2014). A consequence of ODSs is that, whereas the diets
204 of many fish species are frequently similar during the larval period, juveniles and adults
205 often diverge into a broad spectrum of feeding strategies, such as herbivory, detritivory,
206 omnivory and carnivory (see for example Davis *et al.*, 2011).

207 The current literature indicates that ODSs are flexible in nature. Indeed, considerable
208 variation in ODSs can be observed even among conspecifics at the same life stage (e.g.
209 Post, 2003; Sánchez-Hernández & Cobo, 2018). In addition to individual ontogenetic
210 trajectories, many fish species experience gradual ODSs at the population level (e.g.
211 Stergiou & Fourtouni, 1991; Cocheret de la Morinière *et al.*, 2003; Ramos-Jiliberto *et*
212 *al.*, 2011), whereas they occur abruptly in others. Abrupt ODSs are most apparent in
213 diadromous or amphidromous species (e.g. many salmonids, lampreys and galaxiids),
214 which inevitably shift their diets (both in terms of prey size and species composition)
215 when migrating between freshwater and marine environments, leading to marked
216 changes in the origin of utilised carbon and nitrogen sources and concomitant changes
217 in the trophic level at which they feed (Keeley & Grant, 2001; Dixon *et al.*, 2012; Hertz
218 *et al.*, 2016). ODSs are generally more distinct when the switch occurs following
219 migration between marine and freshwater ecosystems than within freshwater
220 ecosystems (e.g. riverine *versus* lacustrine). Many ODSs in freshwater species involve
221 life stages feeding mainly on insects, a prey category that, with the exception of river
222 mouths, is not generally present in marine ecosystems. Based on the reviewed literature,
223 we conclude that the dietary role occupied by insects in fresh water chiefly is filled by

224 crustaceans and/or cephalopods in marine ecosystems (Fig. 2). Ontogenetic diet
225 trajectories thus depend upon the type of ecosystem inhabited (e.g. freshwater *versus*
226 marine), although a switch to piscivory, when fish become top predators, seems to be a
227 common feature of many ecosystems (e.g. Winemiller, 1989; Jensen *et al.*, 2012; Artero
228 *et al.*, 2015). Species with highly specialised diets in the adult period invariably also
229 experience abrupt ODSs. Many lampreys, for example, are filter feeders during the
230 freshwater phase of their life cycle, but haematophagous (blood feeders) during the
231 marine phase (Silva, Barca & Cobo, 2016). Some fish species, such as many
232 Neotropical characids, undergo ODSs from terrestrial insects to fruits and leaves
233 (Drewe *et al.*, 2004), and fish-scale consumption by facultative scale feeders usually
234 increases with fish size (Peterson & Winemiller, 1997; Hahn, Pavanelli & Okada,
235 2000).

236 In recent decades, there has been a strong interest in the period of ontogeny in which
237 fish become piscivorous (Mittelbach & Persson, 1998; Hanson, 2011; Sánchez-
238 Hernández *et al.*, 2017). An early transition to piscivory may increase somatic growth,
239 lead to early maturation or enhance lifetime fitness (Werner, 1986; Olson, 1996;
240 Mittelbach & Persson, 1998; Post, 2003), but the size-related timing of the switch is
241 highly variable among freshwater fishes (see Mittelbach & Persson, 1998). Brown trout
242 is a widely distributed and extensively studied species that provides a good example of
243 ODSs to piscivory (Fig. 2). Although it has been claimed that brown trout become
244 piscivorous at a minimum body length of 200–300 mm, the switch may occur at smaller
245 sizes [Sánchez-Hernández *et al.* (2017) and references therein]. Importantly, the size-
246 related timing of the switch seems to be dependent upon the presence of small-sized
247 prey fish and competition with other species (Sánchez-Hernández *et al.*, 2017).

248 Similarly, fish species typically become piscivorous above a threshold size in the

249 marine environment (Hanson, 2011; Artero *et al.*, 2015). For example, Hanson (2011)
250 observed that white hake [*Urophycis tenuis* (Mitchill, 1814)] and Atlantic cod become
251 piscivorous when they are greater than 350 and 450 mm in length, respectively. By
252 contrast, other marine species can become piscivorous very early in ontogeny (e.g.
253 Reglero *et al.*, 2011; Llopiz, 2013). It is possible that an early switch to piscivory is
254 connected to water temperature, as higher temperatures tend to promote a higher
255 frequency of piscivory (Reglero *et al.*, 2011). This was corroborated by Llopiz (2013),
256 who found that piscivory in the early development of fish was most frequent at lower
257 latitudes, but a mechanistic understanding of how water temperature influences the size-
258 related timing of ontogenetic switches to piscivory is missing. Factors other than
259 temperature, such as prey-encounter rates and size-selective predation, probably also
260 influence piscivory and growth in the larval and early juvenile periods of species
261 displaying ODSs (e.g. Huss, Byström & Persson, 2010). Thus, we conclude that the
262 nature of ODSs can differ among ecosystem types as a consequence of differences in
263 food availabilities and the inherent food preferences of particular species which is most
264 likely linked to phylogenetic relatedness.

265

266 **III. DRIVING MECHANISMS**

267 The potential drivers of ODSs in coral reef fish have been thoroughly reviewed by
268 Kimirei *et al.* (2013). Here, we attempt to identify the general mechanisms that drive
269 ODSs in fish in riverine, lacustrine and marine systems, as well as the most directional
270 drivers involved. It should be kept in mind that there are numerous biotic and abiotic
271 factors, both known and unknown, that have the potential to influence directly or
272 indirectly ontogenetic diet trajectories, and consequently affect the timing and nature of
273 ODSs in fishes (Fig. 3). These include competitive interactions, prey availability,

274 predation risk and internal mechanisms (Werner, 1986; Olson, 1996; Sherwood *et al.*,
275 2002; Galarowicz, Adams & Wahl, 2006; Kimirei *et al.*, 2013). With so many factors
276 that directly or indirectly influence ODSs, separating the most important driving
277 mechanisms is a complex task, especially as many factors seem inter-related (see
278 Sánchez-Hernández & Cobo, 2018).

279 Using the work of Kimirei *et al.* (2013) as a starting point, and based on the reviewed
280 literature, we grouped the drivers of ODSs into nine categories: (1) predation risk, (2)
281 competition, (3) prey availability and suitability, (4) habitat use, (5) morphological
282 constraints, (6) swimming ability, (7) gut length, (8) metabolism and enzymes, and (9)
283 feeding behaviour and foraging modes. These categories covered broad drivers,
284 including biological (1–3), environmental (4), intrinsic (5–8) and behavioural (9)
285 factors. We used the following key word search in *Web of Science* in an attempt to
286 identify the most important drivers of ODSs: TOPIC “fish” AND “ontogenetic shifts”
287 AND “predation risk” OR “competition” OR “prey availability” OR “habitat use” OR
288 “gape” OR “gill raker” OR “swimming ability” OR “gut length” OR “metabolism” OR
289 “enzymes” OR “feeding behaviour” OR “foraging modes”. This allowed us to explore
290 information across the nine categories in relation to ODSs. The original search
291 identified 926 papers from *Web of Science* Core Collection (Fig. 3A). First, these
292 articles (only title and abstract) were reviewed and selected to remove any irrelevant
293 literature. To be included, a study had to focus on the causes of ODSs. A total of 64
294 studies were found to provide high-quality data about the causes of ODSs according to
295 the eligibility criteria. Second, the selected literature was thoroughly reviewed in an
296 attempt to disentangle the role of each driver of ODSs by applying a binary response set
297 (yes/no). That is, each study was screened to provide a simple designation of the effect
298 (yes = evidence supporting and no = evidence refuting) of ODSs for each of the nine

299 categories. Thus, the conclusion of the literature was assigned to one or more of several
300 categories (Table 1). For example, the work by Walters & Juanes (1993) provided
301 evidence supporting predation risk but not for the remaining categories (Table 1). To
302 disentangle the most important drivers of ODSs, we calculated the prevalence
303 (percentage of reviewed articles) of positive effects (evidence supporting) for each of
304 the nine potential drivers of ODSs. This enabled us to estimate the relative importance
305 of the nine potential drivers on ODSs (Fig. 3B).

306

307 **(1) Predation risk**

308 Small fish are more vulnerable than larger fish to predation, and consequently ODSs
309 can in part be driven by a release from predation pressure related to body size. For
310 example, the classic work by Werner & Hall (1988) demonstrated that the ODS from
311 benthic invertebrates (in the littoral zone) to zooplankton (in the pelagic zone) by the
312 bluegill sunfish (*Lepomis macrochirus* Rafinesque, 1819) is chiefly driven by the
313 abundance of its main predator, the largemouth bass, which usually prefers to inhabit
314 the littoral zone. Similarly, Walters & Juanes (1993) suggested that ODSs where fish
315 move into previously risky habitats become more likely as fish size increases. Thus,
316 fishes have the potential to exploit an increasing variety of food resources as predation
317 risk decreases during ontogeny (Reñones, Polunin & Goni, 2002). However, the
318 importance of predation risk as a driver of ODSs may not be stable as, for example,
319 Dahlgren & Eggleston (2000) observed that coral reef fish can adjust the length-related
320 timing linked to habitat shifts in response to changes in perceived predation risk.
321 Kimirei *et al.* (2013) concluded that predation risk, in combination with the opportunity
322 to utilise more energetically profitable habitats, may be the primary mechanism driving
323 ODSs. Predation risk appears to influence ODSs in fishes through changes in habitat

324 use irrespective of ecosystem configurations (i.e. freshwater, brackish and marine
325 ecosystems) (e.g. Werner & Gilliam, 1984; Werner & Hall, 1988; Dahlgren &
326 Eggleston, 2000; Kimirei *et al.*, 2013). Thus, predation risk may not impact directly on
327 the trophic ontogeny of fishes, but it can have an indirect effect on diet trajectories
328 through predation risk-driven changes in habitat use (e.g. previously risky habitats
329 becoming available during ontogeny).

330

331 **(2) Competition**

332 Fish abundance, assumed to be a principal mediator of intra- and interspecific
333 competition, can play a role in driving ODSs in fishes (e.g. Persson & Hansson, 1999;
334 Kimirei *et al.*, 2013; Sánchez-Hernández & Cobo, 2018). Theoretical approaches to the
335 relationship between competition and diet trajectories posit that competition is a key
336 variable that forces individuals to shift their foraging behaviour to alleviate intra- and
337 interspecific competition (see Section IV). However, this mechanism is likely relevant
338 only for consumers with overlapping trophic niche requirements (Persson & Hansson,
339 1999; Huss, Byström & Persson, 2008).

340 ODSs can be influenced by competition (e.g. Werner & Hall, 1988; Choi & Suk, 2012;
341 Kimirei *et al.*, 2013). In an illustrative example, Persson & Greenberg (1990) observed
342 that the body length-related timing of an ODS from zooplankton to macroinvertebrate
343 feeding in juvenile European perch changed (that is switched to earlier) in response to a
344 competitor [roach *Rutilus rutilus* (L.)] with a superior efficiency when foraging on
345 zooplankton. Similarly, Persson & Hansson (1999) showed that common bream
346 [*Abramis brama* (L.)] shifted to benthic organisms earlier in ontogeny following a
347 reduction in fish abundance, although it was not clear whether the change was
348 associated with a reduction in intra- or interspecific competition. Huss *et al.* (2008)

349 provided experimental evidence that in the initial stages of fish ontogeny (juveniles),
350 size-related morphological constraints prevented European perch from making an early
351 shift from zooplankton to macroinvertebrates at high levels of intraspecific competition.
352 Based on our literature review, we conclude that competition is a major driver of ODSs
353 in fishes (Fig. 3B).

354

355 **(3) Prey availability and suitability**

356 There is considerable evidence that prey availability and suitability are important
357 mechanisms driving ODSs in fishes (e.g. Hjelm *et al.*, 2000; Choi & Suk, 2012; Kimirei
358 *et al.*, 2013; Sánchez-Hernández & Cobo, 2018). For example, the switch in summer by
359 many juvenile cyprinids to aufwuchs (the periphyton and associated microfauna that
360 grow on underwater surfaces), considered a poor food resource because of its low
361 digestibility and nutritive value (e.g. Lemke & Bowen, 1998), is probably linked to a
362 lack of suitable animal prey; the evidence for this is that the switch may not occur if
363 sufficient invertebrates are available [Nunn *et al.* (2007) and references therein].

364 Similarly, Wu & Culver (1992) observed that juvenile yellow perch [*Perca flavescens*
365 (Mitchill, 1814)] shift from zooplankton to benthic prey in response to a decline in the
366 abundance of zooplankton in summer. In addition to species composition, García-
367 Berthou (2002) observed that the ODS to piscivory by largemouth bass can be
368 influenced by the size structure of the prey fish assemblage. Specifically, a dominance
369 of centrarchids within the body length range 75–150 mm with anti-predator mechanisms
370 (e.g. spiny rays in the dorsal and anal fins) can have a strong negative influence on the
371 ontogenetic shift to piscivory, preventing the switch occurring (García-Berthou, 2002).
372 Takimoto (2003) concluded that an early shift to the next ontogenetic niche can occur
373 when the abundance of prey in the first niche is low. Thus, the evidence suggests that

374 prey availability and suitability impose important limitations on the timing and extent of
375 ODSs (Fig. 3B).

376

377 **(4) Habitat use**

378 Ontogenetic changes in habitat use is a clear example of where a process may be driving
379 an ODS or where the ODS may be a consequence of other drivers, such as changing
380 predation risk or prey availability (see Sections III.1 and III.3), and thus the ontogenetic
381 habitat change may be a simple consequence of an ODS driven by other factors. Thus,
382 in both marine and freshwater systems, many prey taxa frequently have specific habitat
383 requirements (Chapman, 1999; Tachet *et al.*, 2010) and, consequently, ontogenetic
384 changes in habitat use by a predator may lead to unavoidable changes in diet. This is
385 particularly evident in diadromous species (that migrate between freshwater and marine
386 ecosystems; Dixon *et al.*, 2012; Hertz *et al.*, 2016) and lacustrine migrants (moving
387 between littoral and pelagic or profundal habitats; Werner & Hall, 1988; Knudsen *et al.*,
388 2006).

389 The habitat preferences of fishes commonly change during development (e.g. from
390 nursery to adult habitats), and may provide new foraging opportunities (McCormick,
391 1998; Dahlgren & Eggleston, 2000; Choi & Suk, 2012). For example, Werner & Hall
392 (1988) demonstrated that a switch of bluegill sunfish from littoral prey to zooplankton
393 coincided with a shift from the littoral to the pelagic zone during ontogeny. Cocheret de
394 la Morinière *et al.* (2003) postulated that ODSs may crucially influence changes in
395 habitat use and promote nursery-to-coral-reef migrations. Notwithstanding, for some
396 fish species, such as the striped mullet (*Mugil cephalus* Linnaeus, 1758), changes in
397 habitat use during ontogeny do not necessarily lead to changes in diets (Eggold &
398 Motta, 1992). This may underline the difficulty in identifying the role of habitat use as a

399 driving mechanism of ODSs. It is possible that ontogenetic changes in habitat use are
400 drivers of ODSs in some species, but a consequence of ODSs in others. The relatively
401 sparse literature on this topic suggests that this would be a fruitful area for future
402 research.

403 In addition to horizontal habitat shifts (e.g. between the littoral and pelagial of lentic
404 systems), which are common in both marine and freshwater fish species (Werner &
405 Hall, 1988; Polte *et al.*, 2017), changes in diet composition can occur in response to
406 vertical habitat shifts (i.e. through the water column). Although such patterns do not
407 apply to all species, there are some common themes from both marine and freshwater
408 systems that are informative. It seems that vertical and resource-driven ontogenetic
409 habitat shifts are frequently driven by differential predation risk in differing water
410 depths regardless of ecosystem type. For example, Choi & Suk (2012) concluded that
411 ontogenetic shifts from the upper to the lower water column often occur in marine
412 species, with the common pattern being that large individuals feed closest to the benthic
413 zone. In lacustrine ecosystems, this type of vertical habitat shift during ontogeny has
414 been identified in smelt [*Osmerus eperlanus* (L.)], with this species undergoing a
415 habitat shift towards deeper water as individuals grow (Hammar *et al.*, 2018). However,
416 the common ontogenetic theme of shifting through the water column may change across
417 ecosystem type and fish species. Regarding differences among fish species inhabiting
418 the same ecosystem, Hammar *et al.* (2018) observed that Arctic charr [*Salvelinus*
419 *alpinus* (Linnaeus, 1758)] have the opposite vertical ontogenetic habitat shift than that
420 of its prey (smelt). Similarly, the pattern in marine ecosystems is not always replicated
421 in freshwater as small Arctic charr frequently make ontogenetic habitat shifts to the
422 profundal zone in the ice-free season (Knudsen *et al.*, 2006; Hammar *et al.*, 2018),
423 contrasting with the behaviour observed in the serpentine goby [*Pterogobius elapoides*

424 (Gunther, 1872)] (Choi & Suk, 2012). Rather than these habitat shifts being driven by
425 differences in predation risk *per se*, it is likely that differences in water-column use
426 between marine and freshwater fishes and among fish species might be explained by a
427 trade-off between predation risk and prey availability. Our reasoning is that predation
428 risk is usually lower near the bottom or in the profundal zone than at the surface in
429 freshwater systems (Knudsen *et al.*, 2006; Sánchez-Hernández & Cobo, 2018), whereas
430 the water column, a potentially risky habitat in marine systems, seems to be optimal for
431 small marine individuals to catch abundant small pelagic organisms (Choi & Suk,
432 2012). It is possible that predation risk is highest in the water column in marine
433 ecosystems but near the water surface in fresh waters. However, species undergoing
434 vertical habitat shifts during ontogeny with zooplankton as the first prey type, such as
435 for example in smelt (Hammar *et al.*, 2018), are forced simply to contend with this
436 higher predation risk. Thus, a decision by small fish to utilise the water column as a
437 habitat may be driven by prey availability regardless of, or in combination with,
438 predation risk. This corroborates our earlier conclusion that prey availability and
439 predation risk are key drivers of ODSs.

440 Dahlgren & Eggleston (2000) provided another example of ontogenetic habitat
441 segregation where a foraging–predation trade-off is evident. These authors observed
442 ontogenetic habitat shifts from the interstices of macroalgal clumps (a safe habitat) to
443 outside of the algal habitat in the Nassau grouper [*Epinephelus striatus* (Bloch, 1792)],
444 with small fish showing higher foraging rates (number of prey items ingested per 72 h)
445 than larger fish in the macroalgal habitat. Additionally, Lukoschek & McCormick
446 (2001) observed that large individuals of a marine benthic carnivorous fish preferred to
447 forage at the reef edge and base, whereas small individuals tended to feed on the reef
448 flat and slope. It is worth noting that habitat variation among species and individuals

449 provides an indication to understand the causes of variations in ODSs in fishes, but the
450 true role of habitat as a driver of ODSs is not yet clearly resolved.

451 Despite the fact that pronounced dietary shifts sometimes coincide with changes in
452 habitat use, the theory behind switches in niche use needs to be set in a broad ecological
453 and evolutionary framework (see for example ten Brink & de Roos, 2017). Knowledge
454 of what is, and what is not, an evolutionary adaptation has in this respect become
455 pivotal to understanding colonisation of new habitats by fishes. This is particularly
456 relevant where sympatric trophic polymorphisms manifest (i.e. ‘morphs’ specialising on
457 different food resources) and where ecologically distinct sub-populations evolve due to
458 habitat specialisation (Gross, 1987; Knudsen *et al.*, 2006, 2010). In such cases, ODSs
459 may give rise to evolutionary branching resulting in resource polymorphism and
460 potentially speciation (see Claessen & Dieckmann, 2002 and Section IV). Based on a
461 review of the literature, we conclude that ODSs can be influenced by trade-offs between
462 the habitat-driven requirements to forage and to avoid predation (greater amongst
463 smaller individuals), causing variation in ODSs within and among species. Thus, we
464 believe that habitat use represents an unlikely direct driver of ODSs and ontogenetic
465 shifts in habitat use are more likely to result as a consequence of other drivers (Fig. 3C).

466

467 **(5) Morphological constraints**

468 Body size determines a suite of morphological traits that can affect the transition among
469 prey types across the lifetime of fish. Indeed, changes in body morphology, such as
470 mouth gape and gill raker size or density, during ontogeny can be a determinant of
471 ODSs in fishes. Mouth gape certainly imposes limitations on ODSs in fishes through its
472 effect on prey-handling ability. Thus, gape is closely correlated with body size-related
473 changes in diet during ontogeny (Magalhães, 1993; Scharf *et al.*, 2000; Linde *et al.*,

2004; Sánchez-Hernández *et al.*, 2013). In fish species that consume whole prey, increasing mouth dimensions are generally closely and positively related to mean and maximum prey size (Scharf *et al.*, 2000; Sánchez-Hernández *et al.*, 2013). This effect is most easily observed in the switch to piscivory, with fish species with larger mouth gapes typically becoming piscivorous at smaller body sizes (Mittelbach & Persson, 1998). This pattern is repeated within species as ontogenetic changes in mouth dimensions account for diet shifts such as, for example, the switch to cephalopods or fish prey at larger individual size (Scharf *et al.*, 2000; Linde *et al.*, 2004; Belinda, Ward-Campbell & Beamish, 2005). Additionally, changes in mouth dimensions with body size may drive changes from generalist to more specialised feeding in some species (Linde *et al.*, 2004). Thus, prey-handling characteristics impose important limitations on the timing and extent of ODSs.

In many filter-feeding fish species, gill raker length and inter-raker spacing increase with body size, and prey particle size increases concomitantly (Eggold & Motta, 1992; Gerking, 1994). The number of gill rakers can also increase with fish size (Hjelm *et al.*, 2000). Therefore, any variation in the size and structure of the gill rakers during ontogeny can have direct consequences for ontogenetic dietary trajectories and, thereby, on the timing of ODSs (Eggold & Motta, 1992; Hjelm *et al.*, 2000). It has been widely accepted that individuals with a large number of gill rakers are better adapted to zooplankton feeding because dense gill raker spacing is assumed to be most efficient for retaining small prey in the mouth cavity [Kahilainen *et al.* (2011) and references therein]. Ontogenetically, one consequence of having a large number of gill rakers is an increase in the size at which a shift from zooplankton to other prey may occur, presumably because of the relatively higher foraging efficiency on zooplankton of individuals with a higher density of gill rakers (Hjelm *et al.*, 2000). This conclusion was

499 based on a freshwater model organism, the European perch, and may not apply to all
500 fish species. In addition, some marine species seem to change feeding strategies with
501 increasing fish size, which may be related to gill raker length and inter-raker spacing
502 (Gerking, 1994; Hirota, Uehara & Honda, 2004). It is possible that small individuals are
503 often more selective in their feeding strategy (showing selective browsing) than larger
504 conspecifics, which frequently rely more on grazing feeding strategies (Eggold &
505 Motta, 1992).

506 In territorial species, body size can modify foraging behaviours through size-structured
507 dominance hierarchies, where dominant and often large individuals gain access to the
508 best patches for feeding and, as a consequence, grow faster than subordinates (e.g.
509 Nakano, Fausch & Kitano, 1999). Thus, individual differences in feeding behaviour in
510 species exhibiting dominance hierarchies linked to fish length can influence ODSs in
511 fishes. Indeed, individual variation in feeding behaviour has recently been demonstrated
512 as more important than prey availability, habitat characteristics and competition in the
513 switch from autochthonous (aquatic) to allochthonous (surface) prey during ontogeny in
514 stream-dwelling salmonids (Sánchez-Hernández & Cobo, 2018). Thus, it is reasonable
515 to posit that the behavioural dominance status of an individual, which may be linked to
516 body size, could have a strong influence on ODSs, and may be a promising avenue for
517 future research. In this regard, we support the view of Belinda *et al.* (2005), that
518 ontogenetic changes in body morphology are of secondary importance to ODSs in fish.
519 Our reasoning is that, according to allometric theory, changes in morphological traits
520 (e.g. mouth gape and gill rakers) and dominance status have the potential to affect
521 ODSs, but body size *per se* may not be a primary driver of ODSs (Fig. 3C). In
522 particular, body size is unlikely to have a direct effect on ODSs in species with no gape
523 limitations from early ontogeny. Additionally, any effects of body size on ODSs could

524 be masked by the influence of site-specific prey community composition (see Section
525 III.3), as well as other drivers, such as predation risk and competition (Fig. 3C).

526

527 **(6) Swimming ability**

528 Improvements in swimming ability during ontogeny have the potential to lead ODSs,
529 thereby poor swimming ability may be a constraint on ODSs in some cases. Although
530 more pronounced during early ontogeny, the swimming ability of fishes tends to
531 increase with fish length through the development of fins, body shape and muscle
532 anatomy (e.g. Ojanguren & Braña, 2003; Koumoundouros *et al.*, 2009; Butler *et al.*,
533 2012). Based on the principle that prey species have specific habitat requirements and
534 behaviours (Chapman, 1999; Tachet *et al.*, 2010), increased swimming ability enables
535 access to additional habitat types and/or new foraging opportunities (Hasegawa *et al.*,
536 2012; Sánchez-Hernández & Cobo, 2018). For example, many salmonid species are
537 able to exploit higher velocity and deeper water as they develop and grow (e.g.
538 Hasegawa *et al.*, 2012). Additionally, improvements in swimming ability during
539 ontogeny can lead to ODSs because (i) the capture success of mobile prey may increase
540 (e.g. Juanes & Conover, 1994a), and (ii) improved escape swimming performance may
541 release individuals from former constraints of predation (Gibb *et al.*, 2006). Thus,
542 swimming performance usually improves during ontogeny, which, in turn, indirectly
543 impacts on the diets of fishes.

544

545 **(7) Gut length**

546 Generally, gut length in fishes increases as a consequence of increasing body size
547 during ontogeny, although there is some evidence that ontogenetic changes in relative
548 gut length (i.e. gut length independent of body size) generally differ between

549 herbivorous and carnivorous species (German & Horn, 2006; Davis *et al.*, 2013). There
550 is considerable evidence that gut length changes in response to exposure to different
551 prey (Belinda *et al.*, 2005; German & Horn, 2006; Davis *et al.*, 2013; German,
552 Gawlicka & Horn, 2014), but little support for the hypothesis that gut length may drive
553 ODSs. Belinda *et al.* (2005), for example, could find no evidence for gut length being a
554 driver of ODSs in snakehead [*Channa limbata* (Cuvier, 1831)], but showed that mouth
555 dimensions were influential.

556

557 **(8) Metabolism and enzymes**

558 Some studies have supported the idea that ODSs could be driven by internal
559 physiological mechanisms such as metabolic rate, digestive enzymes and muscle
560 enzymatic activity (e.g. Sherwood *et al.*, 2002; Drewe *et al.*, 2004; Jackson *et al.*, 2004).
561 A recent laboratory-based study demonstrated that the main digestive enzymes (except
562 pepsin) are present before the onset of exogenous feeding in butter catfish [*Ompok*
563 *bimaculatus* (Bloch, 1794)] (Pradhan *et al.*, 2013). Thus, it is theoretically possible for
564 enzymes to drive ODSs such as during the transition from endogenous to exogenous
565 feeding. However, it is reasonable to posit that, at least for some species, changes in
566 digestive enzyme activity are a consequence of a changing diet (e.g. German, Horn &
567 Gawlicka, 2004; German *et al.*, 2014). A typical example is that of Neotropical characid
568 fish species, which switch from feeding upon terrestrial insects to fruits and leaves
569 during their life history. With this switch comes a concomitant increase in α -amylase
570 activity but a decrease in pepsin and trypsin activity (Drewe *et al.*, 2004). The limited
571 literature generally supports the conclusion that digestive enzyme activity is a
572 consequence, not a driver, of ODSs (Fig. 3B). However, given the potential complexity
573 of physiological interactions and the paucity of the literature on the subject, this is likely

574 to be a fruitful area for future research. In particular, future studies might consider the
575 ontogenetic development of digestive enzymes from the pancreas, stomach and intestine
576 of fishes (e.g. German *et al.*, 2004; Pradhan *et al.*, 2013).
577 Size-scaling metabolic theory predicts allometric relationships between metabolic rate
578 and body mass in fishes [Yagi & Oikawa (2014) and references therein], and such
579 ontogenetic changes in metabolic rate may improve swimming ability and lead to
580 ODSs. Indeed, Jackson *et al.* (2004) concluded that changes in metabolic rate may
581 determine the size at which diet shifts occur, playing a key role, alongside handling
582 time, in determining prey choice. Other factors, such as muscle enzymatic activity, also
583 appear to change during ontogeny. For instance, it has been observed that wild fish
584 show changes in muscle enzymatic activity, such as lactate dehydrogenase activity, with
585 diet switches to planktivory, benthivory, and piscivory (Sherwood *et al.*, 2002). This
586 enzyme has an important role in glycolysis, and concentrations seem to be higher in
587 fishes exhibiting dietary shifts (Sherwood *et al.*, 2002). Enzymes that enhance
588 glycolysis in the white muscle during exercise can have a positive impact on swimming
589 ability, and thus theoretically may affect prey capture ability (see Section III.6).
590 Notwithstanding, it is doubtful that either metabolic rate or enzyme activity (either
591 digestive or muscle physiology) are direct drivers of ODSs.

592

593 **(9) Feeding behaviour and foraging modes**

594 The feeding behaviour strategies (e.g. planktivory, benthivory and piscivory) and
595 foraging modes, i.e. the type of prey-search behaviour [‘ambush’ (sit-and-wait) or
596 ‘cruise’ (active) *sensu lato*], of fishes can change during ontogeny (e.g. Werner & Hall,
597 1988; Browman & O’Brien, 1992; Sánchez-Hernández & Cobo, 2018). A number of
598 laboratory and field studies have identified size-dependent effects on the foraging

599 modes of fishes and, ultimately, on ODSs (e.g. Nakano *et al.*, 1999; Persson &
600 Brönmark, 2002*a,b*; Gustafsson, Bergman & Greenberg, 2010; Sánchez-Hernández &
601 Cobo, 2018). Gustafsson *et al.* (2010) noted that large brown trout used the upper water
602 column to forage on surface-drifting prey (drift foraging) more often than did smaller
603 individuals, which remained closer to the bottom and fed on aquatic prey. In another
604 example, Sánchez-Hernández & Cobo (2018) demonstrated size-related changes in
605 foraging modes, namely an increasing probability of switching to drift foraging with
606 increasing fish size. Although it is possible that these foraging shifts (i.e. from the
607 benthos to the water surface) may be triggered by intrinsic features linked to body size,
608 they seem to be influenced by a number of inter-related factors in addition to intrinsic
609 features, such as environmental variation (mainly benthic invertebrate density and water
610 current velocity) and competition (Sánchez-Hernández & Cobo, 2018). Similarly, there
611 are several examples from lacustrine and marine ecosystems supporting the view that
612 feeding behaviour and foraging modes change during ontogeny through ontogenetic
613 habitat shifts (see Section III.4). A common ontogenetic pattern amongst lacustrine fish
614 is a switch in foraging along the littoral–pelagic axis (i.e. from littoral to pelagic
615 foraging or *vice-versa*) (e.g. Werner & Hall, 1988; Wu & Culver, 1992). From marine
616 ecosystems, it has been observed that the foraging behaviour of many species changes
617 from planktivory to benthivory (Choi & Suk, 2012) or browsing to grazing (Eggold &
618 Motta, 1992). In addition, Linde *et al.* (2004) observed ontogenetic changes from a
619 passive (preying on sedentary taxa) to an active (preying on nekton) behaviour in the
620 foraging strategy of the dusky grouper [*Epinephelus marginatus* (Lowe, 1834)].
621 Because foraging specialisation and fish ontogeny are closely linked, we tentatively
622 conclude that changes in foraging strategy related to ontogenetic shifts in specialisation

623 can be a mechanism driving ODSs, but that such changes are likely ultimately driven by
624 predation risk, competition and/or prey availability.

625 To summarise, the *Web of Science* core collection indicated that habitat use emerged as
626 the most recurrent topic in explaining ODSs (Fig. 3A), but that competition, prey
627 availability, feeding behaviour, foraging modes and predation risk also seem to be
628 influential. It is doubtful that some putative drivers (gut length, metabolism and
629 enzymes) are direct drivers of ODSs (Fig. 3B), but their true roles are not yet clearly
630 resolved and represent fruitful areas of future research. Based on the reviewed literature,
631 we posit that habitat use, feeding behaviour and foraging mode are a consequence of
632 other drivers, such as changes in predation risk, competition and prey availability (Fig.
633 3C). Although prey-handling constraints can play a significant role in the timing of
634 ODSs (see Section III.5), we conclude that any impacts may be masked by inter- or
635 intraspecific competition through density-dependent effects on developmental processes
636 and, in particular, the body size of fishes. Similarly, we suggest that morphological
637 constraints, swimming ability, gut length, metabolism and enzymes are consequences of
638 body size and not drivers of ODSs *per se* (Fig. 3C). Prey availability, predation risk and
639 competition emerged as the most important drivers of ODSs in fishes, with prey
640 availability providing the potential for other factors to influence ODSs. Thus, it is
641 reasonable to posit that the transition among prey types across the lifetime of fishes is
642 closely related to their availability, but that other drivers may be responsible for the
643 size-related timing and/or magnitude (i.e. some or all individuals of a population) of the
644 ontogenetic switches. Consistent with this view, predation risk and competition do not
645 impact directly on the trophic ontogeny of fishes, but can have indirect effects on diet
646 trajectories through ontogenetic changes in habitat use and concomitant changes in prey
647 availability (Fig. 3C). Notwithstanding this, we still lack a clear understanding of the

648 true drivers of ODSs and require new and integrative approaches to identify possible
649 false-positive drivers.

650

651 **IV. CONSEQUENCES**

652 **(1) Individuals, populations and communities**

653 ODSs in fishes often coincide with increases in individual growth rates (Fig. 3C), and
654 many studies have suggested that the relationship is causal (e.g. Olson, 1996;
655 McCormick, 1998; Mittelbach & Persson, 1998; Jensen *et al.*, 2012). A key challenge,
656 however, is to disentangle the true relationship between ODSs and fish growth, as ODSs
657 can be a consequence of, as well as a contributor to, growth (Fig. 3C). Most studies
658 indicate that increases in growth rates can be caused by switches to more profitable food
659 resources. For example, growth rates can increase substantially after switching from
660 invertebrates to fish in many marine (e.g. Juanes & Conover, 1994*b*; Bromley, Watson
661 & Hislop, 1997; Tanaka *et al.*, 2014) and freshwater (e.g. Olson, 1996; Mittelbach &
662 Persson, 1998; Pazzia *et al.*, 2002; Persson & Brönmark, 2002*b*) fish species. Indeed,
663 the growth rates of individuals that become piscivorous early in development can be
664 almost double those of conspecifics that switch later (Post, 2003; Tanaka *et al.*, 2014).
665 Other ODSs, such as from zooplankton to macroinvertebrates, may also have
666 consequences, as growth is often faster in zoobenthivorous than zooplanktivorous
667 individuals (Persson & Brönmark, 2002*a*; Svanbäck & Eklöv, 2002). ODSs can have a
668 positive influence on growth, when prey-handling efficiency conforms with allometric
669 scaling theories, otherwise ODSs can be a consequence of growth (e.g. when prey is
670 outside of the optimal predator–prey size ratio) as we outlined in Section III.5.
671 Alternatively, ODSs may be overridden by lifestyle in species whose feeding-behaviour
672 strategies does not change much but which show growth. This is exemplified by many

673 species undergoing discrete ODSs; with no ontogenetic shifts in prey-type consumption
674 but shifts in maximum prey-width consumption (e.g. Egan *et al.*, 2017). In addition,
675 herbivorous species; for example, grass carp [*Ctenopharyngodon idella* (Valenciennes,
676 1844)] can absorb plant-derived nutrients and undergo rapid growth during ontogeny
677 (Wang *et al.*, 2015). Using the behavioural traits and life histories of fish to examine the
678 consequences (and causes) of ODSs (see Hin *et al.*, 2011) is a promising area for future
679 research.

680 The survival and recruitment of many fish species is positively associated with growth
681 and successful dietary shifts in the first year of life (Myers, 1995; Houde, 1997; Nunn *et*
682 *al.*, 2010). ODSs therefore have the potential to influence the lifetime fitness of
683 individual fish and population dynamics, and other size-dependent processes, *via* their
684 impacts on growth (Olson, 1996; Post, 2003; Huss *et al.*, 2013; Tanaka *et al.*, 2014).
685 Depending upon resource availability, individuals that undertake ODSs can accrue an
686 advantage over competitors that do not (Pazzia *et al.*, 2002; Post, 2003; Schellekens *et*
687 *al.*, 2010). Alternatively, and on the basis of resource partitioning theory (Schoener,
688 1974), ODSs may allow individuals to avoid potential recruitment bottlenecks caused
689 by competition for food resources (e.g. Polis, 1984; Olson, 1996; Cowan, Rose &
690 DeVries, 2000; King, 2005) and facilitate the coexistence of consumers (e.g. Amundsen
691 *et al.*, 2003; Sánchez-Hernández & Cobo, 2012*b*; Wollrab, de Roos & Diehl, 2013;
692 Pereira *et al.*, 2015). Reductions in the intensity of competition could lead to increases
693 in growth rates and, consequently, in survival and recruitment (Post, 2003). ODSs,
694 especially early transitions to profitable food sources (e.g. fish), could also have
695 implications for the lifetime fecundity of individual fish (Post, 2003), because several
696 important maternal traits (e.g. egg quality and quantity) frequently increase with body
697 size (Mittelbach & Persson, 1998; Venturelli *et al.*, 2010). Size differences among

698 individuals produced by ontogenetic variation in the transition to piscivory are
699 commonly maintained at later ages (Pazzia *et al.*, 2002; Post, 2003), so fish that grow
700 large relative to their conspecifics may have a disproportionately strong influence on
701 population dynamics through enhanced recruitment success.

702 As demonstrated by previous studies, ODSs are a key factor in determining how
703 ecological communities are structured (e.g. de Roos & Persson, 2013; van Leeuwen *et*
704 *al.*, 2013, 2014). These theoretical studies focussed on stage-structured models and did
705 not address evolutionary dynamics, but nonetheless provided the basis for empirical
706 work to increase ecological realism and identified promising evolutionary research
707 directions to explore the consequences of ODSs in population and community ecology.

708 Indeed, ten Brink & de Roos (2017) recently demonstrated that ODSs are evolutionary
709 advantageous when switches to alternative food sources involve higher intake rates for
710 consumers. Thus, a strategy to understand ODSs better in an evolutionary framework
711 would be to take foraging specialisation and trophic polymorphisms into account (Fig.
712 3C). Our reasoning is that previous studies have assumed that switching niches during
713 ontogeny can lead to trophic polymorphisms (e.g. Adams & Huntingford, 2002;
714 Knudsen *et al.*, 2006, 2010) and/or evolutionary branching (see Claessen & Dieckmann,
715 2002) in population ecology. Based on the premise that niche shifts and trophic
716 polymorphisms are genetically determined (Adams & Huntingford, 2002; Claessen &
717 Dieckmann, 2002), ODSs may constitute an early phase in the evolution of trophic
718 polymorphisms leading to ecologically distinct sub-populations due to foraging
719 specialisation. Indeed, several studies have highlighted the evolutionary implications of
720 the combination of ODSs and the environment (Claessen & Dieckmann, 2002;
721 Whiteley, 2007; ten Brink & de Roos, 2017). Especially relevant are the theoretical
722 considerations of Claessen & Dieckmann (2002) that foraging differences determine the

723 type of feeding trajectory (i.e. monomorphic, ontogenetic generalist or polymorphism)
724 adopted in fish populations. Whiteley (2007) observed that eco-evolutionary traits
725 responsible for stage-specific developmental switches in feeding in the mountain
726 whitefish [*Prosopium williamsoni* (Girard, 1856)] can occur late in ontogeny. This was
727 supported by ten Brink & de Roos (2017), who highlighted that individuals usually
728 display a dietary shift late in ontogeny to maximise food intake. Thus, it is reasonable to
729 assume that ODSs are a strong candidate for a mechanism of divergence within fish
730 populations, but the trade-off between early and late foraging success can impede the
731 evolution of an ODS (ten Brink & de Roos, 2017). We suggest that the eco-evolutionary
732 consequences of ODSs on fish populations are a promising area for further investigation
733 and should not be neglected.

734

735 **(2) Food webs and ecosystem processes**

736 It has long been recognised that fishes can have a major influence on the abundance and
737 species and size composition of prey assemblages through top-down mechanisms (e.g.
738 Mehner & Thiel, 1999; Rosenfeld, 2000; Baum & Worm, 2009; van Leeuwen *et al.*,
739 2013). Knowledge of ODSs is therefore vital to understand how they influence food
740 webs and ecosystem processes (e.g. respiration and primary productivity). Network-
741 based approaches have demonstrated that the functional role of fish is developmental-
742 stage specific (Ramos-Jiliberto *et al.*, 2011; Sánchez-Hernández, 2016). ODSs,
743 therefore, have the potential to have important effects on energy pathways and food-
744 web structure and dynamics (Woodward *et al.*, 2005; Miller & Rudolf, 2011;
745 Nakazawa, 2015).
746 ODSs usually result in individuals feeding higher up food chains, which increases food-
747 web complexity (e.g. the number of feeding linkages) as different functional groups

748 occupy alternative positions (i.e. alternative stable states) in the food web (Amundsen *et*
749 *al.*, 2003; Takimoto, 2003; Nakazawa, 2011*a*, 2015; van Leeuwen *et al.*, 2014;
750 Sánchez-Hernández, 2016). However, it may not be possible to identify alternative
751 positions in food webs clearly when predators undergo multiple ODSs (i.e. feeding on
752 additional resources before switching to piscivory) (van Leeuwen *et al.*, 2013). Thus,
753 there may be interspecific differences in the influence of ODSs, with generalist species
754 expected to increase food-web complexity in comparison to specialist species. Indeed,
755 niche breadth and diet modularity (the subgroup of predators and prey interacting in a
756 network) can decrease following ODSs in some fishes [e.g. Spanish toothcarp
757 (*Aphanius iberus* Valenciennes, 1846)] (Ramos-Jiliberto *et al.*, 2011), especially in
758 species that switch from animal resources to plants or detritus, such as grass carp,
759 fathead minnow (*Pimephales promelas* Rafinesque, 1820) and thin-lipped grey mullet
760 [*Liza ramada* (Risso, 1810)]. As ODSs can involve littoral, pelagic and profundal
761 resources in lentic ecosystems (e.g. Knudsen *et al.*, 2006; Kolasinski *et al.*, 2009;
762 Eloranta *et al.*, 2010), there can be direct and indirect consequences for energy
763 pathways and the dynamics of food webs and ecosystem processes through cascading
764 (both top-down and bottom-up) effects (Nakazawa, 2011*b*, 2015).

765 Understanding stability in stage-structured food webs is an emerging field in ecology,
766 and much attention is being paid to identify and disentangle the contributing factors (de
767 Roos & Persson, 2013; Caskenette & McCann, 2017; Nilsson *et al.*, 2018). Theory
768 predicts that ODSs and stage-structured populations are key determinants of food-web
769 stability (de Roos & Persson, 2013; Nilsson *et al.*, 2018). Indeed, in accordance with
770 biomass reallocation theory (see de Roos & Persson, 2013), Caskenette & McCann
771 (2017) recently demonstrated that stage-structured predators increase the stability of
772 food webs. Size-structured predator–prey models have demonstrated that predatory size

773 effects are species specific and that food webs can be dynamically stable (Emmerson &
774 Raffaelli, 2004). Importantly, there are stabilising and destabilising aspects of stage
775 structure that need to be taken into consideration (see Nilsson *et al.*, 2018). For
776 example, predators feeding on the same food resource can strongly destabilise a system,
777 whereas size- or stage-specific feeding can have a stabilising effect when predators feed
778 selectively on one consumer stage or at high interaction strength (Nilsson *et al.*, 2018).
779 However, exactly how ODSs affect food-web stability in nature is still unclear and
780 under debate. It seems reasonable to posit that ODSs can have a stabilising or
781 destabilising effect depending upon what is studied (population, community or food
782 web). More precisely, whereas ODSs generally seem to stabilise consumer–resource
783 dynamics and, through resource partitioning, can increase population and community
784 stability by reducing inter- or intraspecific competition (Amundsen *et al.*, 2003;
785 Schellekens *et al.*, 2010; Sánchez-Hernández & Cobo, 2012*b*), the effect at the food-
786 web level is variable. For example, ODSs commonly reduce the stability of complex
787 trophic networks (Miller & Rudolf, 2011; Rudolf & Lafferty, 2011), but can increase
788 food-web stability when the resources used by adults are less abundant than those used
789 by juveniles (Schellekens *et al.*, 2010). The influence (positive or negative) of ODSs
790 can be complex and reversible, however, as fish that appear to be generalists at the
791 species level can sometimes function as sequential specialists (see Rudolf & Lafferty,
792 2011). Models applied to developmental-stage-structured communities have
793 demonstrated that ODSs may also affect community resilience and disturbance
794 responses (Nakazawa, 2015), but this has yet to be tested in natural ecosystems.

795

796 **V. CONCLUSIONS**

797 (1) Although ODSs in fishes are well documented, our comprehension of their exact
798 nature and driving mechanisms is incomplete because the knowledge is biased towards
799 economically important species, and we currently lack a holistic understanding of their
800 consequences for population, community, consumer–resource and food-web dynamics,
801 and ecosystem processes and functioning. Studies attempting to address these
802 knowledge gaps (e.g. Takimoto, 2003; Schellekens *et al.*, 2010; Nakazawa, 2011*b*;
803 Wollrab *et al.*, 2013; Nilsson *et al.*, 2018) have largely focused on theoretical
804 approaches. Although some empirical attempts have been made to explore the
805 implications of ODSs on consumer–resource and food-web dynamics (e.g. Persson &
806 Greenberg, 1990; Persson & Hansson, 1999; Persson & Brönmark, 2002*a*), it is
807 recommended that empirical research under natural conditions is instigated to
808 corroborate the theory-based concepts behind the consequences of ODSs on the
809 dynamics, processes and functioning at the population, community and ecosystem
810 levels. It is also recommended that large-scale patterns in ODSs and common drivers in
811 the animal kingdom are examined, so that novel ecological theories can be formulated
812 and tested.

813 (2) Because body size tends to dominate the transition of ODSs, it is important to model
814 the likelihood of size-related variations in ODSs. This can easily be accomplished
815 through logistic regression models based on presence/absence information (e.g.
816 Kahilainen & Lehtonen, 2003; Sánchez-Hernández *et al.*, 2017), but such studies have
817 usually only explored the probability of ontogenetic shifts to piscivory as a function of
818 body size. More attention needs to be paid in the future to understanding whether the
819 variation in ODSs is more likely to be among populations, seasons, cohorts or
820 evolutionary time.

821 (3) Numerous biotic and abiotic factors can directly or indirectly influence ODSs, but
822 the most influential likely vary spatially, temporally and interspecifically. We confirm
823 that the major drivers of ODSs in fishes are prey availability, predation risk and
824 competition. This review provides novel insights into trophic ontogeny theory,
825 highlighting that some of the most influential drivers (predation risk and competition)
826 do not impact directly on the trophic ontogeny of fishes, but can have an indirect effect
827 on diet trajectories through ontogenetic changes in habitat use and concomitant changes
828 in prey availability.

829 (4) Phylogenetic and evolutionary considerations on ontogenetic trajectories represent
830 novel research lines and emerging frameworks (Claessen & Dieckmann, 2002; German
831 & Horn, 2006; German *et al.*, 2014; ten Brink & de Roos, 2017) that should receive
832 further attention. Predation and competition are likely to promote the evolution of
833 ontogenetic trajectories (Claessen & Dieckmann, 2002; ten Brink & de Roos, 2017), but
834 we are not able to specify the importance (i.e. relative likelihood) of these factors as a
835 mechanistic understanding of evolution in ODSs. Thus, the identification and
836 quantification of these drivers represents an excellent opportunity to explore the
837 evolutionary ontogenetic diet trajectories of fishes.

838 (5) ODSs can have profound ecological consequences for fishes, in particular by
839 enhancing individual growth and lifetime reproductive output or reducing the risk of
840 mortality (Fig. 3C). ODSs also have the potential to promote ecological release,
841 facilitating the coexistence of sympatric species. It should be kept in mind that this
842 conclusion may be context dependent as environmental conditions can change
843 temporally or spatially. For example, factors impacting on prey-encounter rate, such as
844 vegetation and turbidity, can influence ontogenetic trajectories (see Vejříková *et al.*,
845 2017) and consequently ecological release.

846 (6) Research focusing on inter-individual variation in ontogenetic diet trajectories
847 (Olson, 1996; Post, 2003; Svanbäck *et al.*, 2015; Sánchez-Hernández & Cobo, 2018)
848 has been limited, and it is recommended that the complex relationships between
849 individual behaviour and environmental heterogeneity, including the relative
850 importance of environmental factors and heritable traits (see Shedd *et al.*, 2015), should
851 be prioritised in future research. Such research may benefit from the use of a
852 combination of methodical approaches, such as traditional diet, stable isotope, DNA
853 metabarcoding, RNA–DNA ratio and tissue stoichiometry analyses (e.g. Boros, Saly &
854 Vanni, 2015; Nielsen *et al.*, 2018).

855 (7) Further studies that include the concept of ODSs within a broader ecological and
856 evolutionary framework are required, possibly with dietary shifts analysed in relation to
857 the phylogenetic relatedness of species, rather than their exploration using single model
858 species, to identify the basis of global patterns in ODSs. The exploration of temperature
859 and latitudinal gradients in ODSs could be a promising avenue for future research. This
860 was highlighted by Llopiz (2013), who found that the likelihood of ODSs in marine fish
861 larvae decreases with decreasing latitude, but these findings need be extended to the
862 whole life cycle and ecosystem (freshwater and marine species) dimension to be
863 accepted as a general theory. Future studies will likely reveal whether ODSs vary
864 geographically along latitudinal or broad climatic domains (e.g. tropical, temperate and
865 polar), and produce novel insights into the implications of ODSs for populations,
866 communities and ecosystem processes and functioning.

867

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873

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- 1294

1295 Table 1. The potential drivers of ontogenetic dietary shifts (ODSs) in fishes.

Driving mechanism	Evidence supporting	Evidence refuting	Mechanism underlying
(1) Predation risk	Werner & Gilliam (1984); Werner & Hall (1988); Walters & Juanes (1993); Dahlgren & Eggleston (2000); Reñones <i>et al.</i> (2002); Kimirei <i>et al.</i> (2013)	–	To minimise predation risk and consequently mortality, fish change habitat use which, in turn, leads to changes in feeding because of changes in prey availability
(2) Competition	Werner & Hall (1988); Persson & Greenberg (1990); Persson & Hansson (1999); Huss <i>et al.</i> (2008); Choi & Suk (2012); Kimirei <i>et al.</i> (2013); Sánchez-Hernández & Cobo (2018)	–	Competitive interactions (both intra- and interspecific) promote ODSs, enabling coexistence in fish populations/communities
(3) Prey availability and suitability	Wu & Culver (1992); Hjelm <i>et al.</i> (2000); García-Berthou (2002); Takimoto (2003); Nunn <i>et al.</i> (2007); Choi & Suk (2012); Kimirei <i>et al.</i> (2013); Sánchez-Hernández & Cobo (2018)	–	Prey characteristics (availability, abundance and structure) impose the limitation of switching to an alternative food source (i.e. it requires that the new food resource becomes available)
(4) Habitat use	Werner & Hall (1988); McCormick (1998); Dahlgren & Eggleston (2000); Lukoschek & McCormick (2001); Knudsen <i>et al.</i> (2006); Choi & Suk (2012); Dixon <i>et al.</i> (2012); Hertz <i>et al.</i> (2016); Polte <i>et al.</i> (2017); Hammar <i>et al.</i> (2018)	Eggold & Motta (1992); Cocheret de la Morinière <i>et al.</i> (2003)	Many studies have corroborated ontogenetic changes in habitat use, but these shifts are linked to changes in diet as consequence of changes in prey availability
(5) Morphological constraints	Eggold & Motta (1992); Magalhães (1993); Mittelbach & Persson (1998); Hjelm <i>et al.</i> (2000); Scharf <i>et al.</i> (2000); Linde <i>et al.</i> (2004); Belinda <i>et al.</i> (2005); Sánchez-Hernández <i>et al.</i> (2013)	–	Allometric changes in morphological traits (mouth gape and gill rakers) make new food resources available and consequently ODSs
(6) Swimming ability	Juanes & Conover (1994a); Hasegawa <i>et al.</i> (2012); Sánchez-Hernández & Cobo (2018)	–	Ontogenetic improvements in swimming ability as a result of development enable improve attack success and reduce activity costs of preying on mobile prey
(7) Gut length	Davis <i>et al.</i> (2013)	Belinda <i>et al.</i> (2005); German & Horn (2006); German <i>et al.</i> (2014)	Ontogenetic changes in gut morphology and physiology can favour the switch to animal diets based on a biological principle (gut length and diet's animal proportion are negatively related)
(8) Metabolism and enzymes	Sherwood <i>et al.</i> (2002); Drewe <i>et al.</i> (2004); Jackson <i>et al.</i> (2004)	German <i>et al.</i> (2004); Pradhan <i>et al.</i> (2013); German <i>et al.</i> (2014)	Genetically programmed ontogenetic changes in metabolism and enzymes can canalise the size at which ODSs occur
(9) Feeding behaviour and	Werner & Hall (1988); Browman & O'Brien (1992); Eggold & Motta	–	Behavioural changes across ontogeny can drive ODSs, but this seems to depend on prey availability and predation risk

foraging modes (1992); Wu & Culver (1992); Persson & Brönmark (2002*a,b*); Linde *et al.* (2004); Gustafsson *et al.* (2010); Choi & Suk (2012); Sánchez-Hernández & Cobo (2018)

1296

1297 **Figure legends**

1298 **Fig. 1.** Number of studies examining ontogenetic dietary shifts (black bars) or
1299 ontogenetic shifts (white bars) in fish species over the last three decades (1989–2018),
1300 as indicated by an *Web of Science* search. The search was performed using the key
1301 words: (i) “fish”, “diet” and “ontogenetic shifts” (black bars), and (ii) “fish” and
1302 “ontogenetic shifts” (white bars). Note, although representative, this search might
1303 underestimate the real number of published studies to date.

1304

1305 **Fig. 2.** Conceptual view of the ontogenetic dietary shift in a freshwater species (brown
1306 trout *Salmo trutta* L.) and a marine species (Atlantic cod *Gadus morhua* L.).

1307

1308 **Fig. 3.** Drivers and consequences of ontogenetic dietary shifts (ODSs) of fishes. (A)
1309 Number of papers in the *Web Science* core collection ($N = 926$) supporting the potential
1310 influence of the identified drivers on ODSs. (B) Relative importance of factors based on
1311 the probability (%) of positive effect on ODSs obtained with the R package *qgraph*
1312 (Epskamp *et al.*, 2012), with the length and colour of the arrows indicating the relative
1313 importance of the variables. (C) Conceptual view of the complexity of mechanisms
1314 influencing ODSs and its consequences at the individual, population, community and
1315 ecosystem levels. Dashed lines represent an unlikely direct effect of the driver on ODSs.
1316 Arrows indicate the direction of the effect.