

1 **Derivation of vertical wavelengths of gravity waves in the MLT-region from**
2 **multispectral airglow observations**

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25 **Abstract**

26 We present a new method for the derivation of gravity wave vertical wavelengths from OH
27 airglow observations of different vibrational transitions. It utilizes small phase shifts regularly
28 observed between the OH(3-1) and OH(4-2) intensities in the spectra of the GRIPS (GRound-
29 based Infrared P-branch Spectrometer) instruments, which record the OH airglow emissions
30 in the wavelength range from 1.5 μ m to 1.6 μ m simultaneously. These phase shifts are
31 interpreted as being due to gravity waves passing through the OH airglow layer and affecting
32 individual vibrational transitions at slightly different times due to small differences in their
33 emission heights.

34 The results are compared with co-located observations of the OH(6-2) and O₂b(0-1)
35 transitions by means of spectrometer observations (TANGOO instrument, Tilting-filter
36 spectrometer for Atmospheric Nocturnal Ground-based Oxygen & hydrOxyl emission
37 measurements) performed from 2013 until 2016 at Oberpfaffenhofen (48.08° N, 11.27° E),
38 Germany, and with Na-Lidar measurements acquired between 2010 and 2014 at the Arctic
39 Lidar Observatory for Middle Atmosphere Research (ALOMAR, 69.28° N, 16.01° E),
40 Norway. The latter comparison shows best agreement if the mean height difference of the
41 OH(3-1) and OH(4-2) emission is assumed to be 540 m (1 σ =160 m), confirming the result of
42 von Savigny et al. (2012), who derived a height difference of approximately 500 m between
43 each vibrational level. For approximately 40 % of all wave events observed with GRIPS, a
44 quantitative estimate of the phase relationship between the OH(3-1) and OH(4-2) intensities
45 can be retrieved from the spectra allowing derivation of vertical wavelengths. The retrieval
46 performs best for wave periods below two hours (80 % success rate) and worse for periods
47 above ten hours (successful in less than 10 % of the cases). The average wavelength
48 determined from 102 events amounts to 22.9 km (1 σ : 9.0 km).

49 **Keywords:** airglow; MLT region; atmospheric gravity waves; vertical wavelengths; NDMC

50 1 Introduction

51 Airglow spectroscopy has proven to be a powerful technique for studying dynamical features
52 of the upper mesosphere / lower thermosphere (MLT region). It is a well-established
53 observation technique, providing a high temporal resolution as well as a high degree of
54 reliability and stability, making it suited for instance for long-term studies (see, e.g., Bittner et
55 al. 2000, 2002; Beig et al., 2003; French and Klekociuk, 2011; Perminov et al., 2014). On
56 shorter time scales, especially atmospheric gravity waves and solar tides can perturb the
57 emissions of the various airglow emissions (among others: Hines and Tarasick, 1987;
58 Swenson and Gardner et al., 1998; López-González et al., 2005; Wachter et al., 2015;
59 Hannawald et al., 2016; Sedlak et al., 2016; Wüst et al., 2016; Silber et al., 2017; Wüst et al.,
60 2017a).

61 The different vibrational bands of the hydroxyl (OH) molecule represent the most intensively
62 studied airglow emission of the MLT region. Currently, 85 % of the spectrometers or
63 photometers listed in the database of the Network for the Detection of Mesospheric Change
64 (NDMC, <http://wdc.dlr.de/ndmc>) observe at least one of the various OH emissions.

65 While Baker and Stair (1988) are usually cited for attributing the peak height of the emitting
66 layer to 87 km, recent studies by von Savigny et al. (2012) and von Savigny and Lednyts'kyy
67 (2013) have shown that OH emissions from different vibrational transitions originate from
68 slightly different altitudes. As was pointed out by von Savigny et al. (2012), these differences
69 had already been discussed in older publications such as López-Moreno et al. (1987) and even
70 Baker and Stair (1988). In addition to their large set of ENVISAT/SCIAMACHY
71 (ENVironmental SATellite, Scanning Imaging Absorption spectroMeter for Atmospheric
72 CHartographY) observations comprising OH(8-3), OH(6-2) and OH(3-1) vertical volume
73 emission rate profiles, von Savigny et al. (2012) explain the observations via sophisticated
74 model simulations. According to their study, peak emission altitudes of adjacent upper

75 vibrational levels of OH are on average separated by approximately 500 m, with higher
76 vibrational transitions originating at higher altitude, which is mainly attributable to the
77 altitude dependent atomic oxygen quenching rate.

78 This implies that atmospheric waves travelling through the OH emission layer from above or
79 below influence the individual emissions at different points in time. Since the peak altitude
80 differences are rather small compared to the emission layer width of approximately 8 km to
81 10 km, the expected signal will be small as well. However, if such a signal can be identified,
82 it will involve information on the wave propagation direction as well as its vertical
83 wavelength. In combination with the horizontal wavelength and the background wind, the
84 vertical wavelength is essential in estimating the vertical energy and momentum flux (see,
85 e.g., Swenson and Liu, 1998). Whereas estimating horizontal wavelengths from airglow
86 imagers can be considered a straight-forward approach, deriving vertical wavelengths is a
87 more difficult task. Complementary observations by lidars or radars do provide values for
88 vertical wavelengths. But these instruments are rather expensive and technically complex.
89 Therefore, such measurements are available for a few sites, only.

90 In the past, several approaches have been developed to derive vertical wavelengths from
91 airglow observations. On the one hand, it is more or less self-evident to utilize different
92 airglow emissions, such as OH, which is supposed to be representative for 86–88 km, and O₂
93 or OI, which represent altitudes of 94–96 km and 95–97 km. On the other hand, more
94 sophisticated methods have been developed by Hines and Tarasick (1987), Tarasick and
95 Hines (1990), Swenson and Gardner (1998), which retrieve information about the vertical
96 wavelength of a gravity wave from just one emission. The latter methods take advantage of
97 the fact that rotational temperatures derived from the vibrational transition lines are
98 representative for a slightly different altitude than the emissions themselves and thus
99 variations of airglow intensities and related temperatures often show a distinct phase shift in

100 the presence of propagating waves. Both methods have advantages and disadvantages, but are
101 widely used throughout the airglow community for estimating vertical wavelengths (among
102 others: Reisin and Scheer, 1996; Reisin and Scheer, 2001; López-González et al., 2005; Taori
103 et al., 2005; Guharay et al., 2008; Takahashi et al., 2011).

104 In the present study we exploit the possibility to deduce vertical wavelengths from
105 simultaneous observations of different OH vibrational transitions, namely the OH(3-1) and
106 OH(4-2) emission. The results are compared with a) co-located temperature profiles acquired
107 with the Na-lidar at the Arctic Lidar Observatory for Middle Atmosphere Research
108 (ALOMAR, 69.28° N, 16.01° E), Norway and b) co-located observations of OH(6-2) and
109 O₂b(0-1) airglow emissions at Oberpfaffenhofen (48.08° N, 11.27° E), Germany, derived
110 from ground-based observations of the TANGOO-instrument (Tilting-filter spectrometer for
111 Atmospheric Nocturnal Ground-based Oxygen & hydrOxyl emission measurements).

112 The paper is structured as follows. Section 2 describes important features of the
113 instrumentation and of the data retrieved. The results of the GRIPS/lidar and
114 GRIPS/TANGOO intercomparison are presented and discussed in section 3. We conclude
115 with a short summary and potential applications in future studies in section 4.

116

117

118 **2 Instrumentation**

119 **2.1 Airglow spectrometers**

120 The Ground-based Infrared P-branch Spectrometers (GRIPS) primarily observe the P-branch
121 of the OH(3-1)-rotational vibrational transition. The resolving power ($\lambda/\Delta\lambda$) of ~ 500 only
122 allows derivation of the rotational temperatures from their P₁ lines. On the other hand, the
123 instruments cover the spectral range from approximately 1.5 μm to 1.6 μm . Therefore, both
124 Q-branches of the OH(3-1) and OH(4-2) bands are included in the data and integrated branch

125 intensities are available for these emissions. Figure 1 displays a typical spectrum acquired
126 with an exposure time of 15 seconds by the GRIPS 9 instrument. The shaded areas denote
127 those parts of the spectrum which are taken as best estimates for the Q-branch intensities. The
128 high temporal resolution and the fact that both emissions are imaged onto the sensor area at
129 the same time make the GRIPS instruments well-suited for the intended analysis. The
130 instrument GRIPS 6 has been in operation at the NDMC site Oberpfaffenhofen, Germany,
131 since January 2009. Technical details as well as the processing scheme of the data are
132 described by Schmidt et al. (2013). GRIPS 9 was operated at the Arctic Lidar Observatory for
133 Middle Atmosphere Research (ALOMAR), Norway, from November 2010 until May 2014.
134 Both technically identical instruments point to the zenith with an effective field of view
135 (FOV) that corresponds to ca. 24 km x 24 km at the altitude of 87 km.

136 The TANGO instrument measures the OH(6-2) and O₂b(0-1) emissions, covering the
137 spectral range between 839 nm and 867 nm. Its interference filter (manufactured by Andover
138 Corporation) has a central wavelength of 867.1 nm with a full width at half maximum
139 (FWHM) of 0.97 nm and a free aperture of 110 mm. The wavelength is continuously scanned
140 by tilting the filter mounted in a thermally isolated chamber and the signal itself is recorded
141 with a Hamamatsu Photonics R943-02 photomultiplier tube operated in photon counting
142 mode. Thus, there is a time difference between the registration of the OH(6-2) and O₂b(0-1)
143 emissions of approximately one minute. TANGO is based on the successful experience with
144 the Argentine Airglow Spectrometer presented by Scheer (1987), which has been acquiring
145 data for many years so far.

146 With 0.4° x 2.0°, the TANGO FOV is almost two orders of magnitude smaller than that of
147 GRIPS 6, providing a considerably higher sensitivity to small scale structures. Despite this
148 large difference in the FOV size, usually no significant differences are observed on the time
149 scales relevant for this study (>0.5 h). For the GRIPS, a detailed discussion of observational

150 selection concerning vertical and horizontal wavelengths was recently given by Wüst et al.
151 (2016). TANGO was developed at the German Aerospace Center (DLR) in close
152 cooperation with IAFE/CONICET (Instituto de Astronomía y Física del Espacio, Consejo
153 Nacional de Investigaciones Científicas y Técnicas) and started routine operations at
154 Oberpfaffenhofen, Germany, in March 2013. Since TANGO was temporarily deployed at
155 another NDMC site, only 25 months with 161 cloudless nights of parallel observations
156 between the two airglow spectrometers are available for this study.

157

158 **2.2 ALOMAR Weber Na Lidar**

159 The sodium lidar at ALOMAR is capable of measuring sodium density, temperatures and
160 wind in the height region from 70 km to 110 km - the height range of the upper mesospheric
161 sodium layer (peak height: ~92 km). It was deployed in August 2000 and during the time
162 interval of interest for this study it was operated in the configuration described by Dunker et
163 al. (2013). With an aperture of only 0.6 mrad its FOV in the MLT region is on the order of
164 <100 m. Unlike the airglow spectrometers used in this study, the two beams of the lidar
165 usually do not point into zenith direction. In order to retrieve wind velocity one beam usually
166 points 20° to the north and the other 20° to the east. Thus, the FOV of the Na lidar and GRIPS
167 are close to each other but do not overlap. Figure 2 illustrates the temporal evolution of the
168 temperature profiles of the two beams during the night of January 21/22, 2012. As to be
169 expected only small differences are visible. These can be attributed to the separation of their
170 FOV, which amounts to about 65 km at the peak height of the Na layer (ca. 92 km).

171

172 **3 Results and discussion**

173 **3.1 GRIPS 9 versus the ALOMAR Na lidar**

174 As mentioned above, the GRIPS 9 instrument was operated at ALOMAR during four winter
175 seasons from November 2010 until May 2014. During the long winter nights at these high
176 latitudes (69° N) it is evident that the individual OH branches show small differences in
177 reaction to dynamical disturbances. Figure 3a) depicts the relative intensity perturbations of
178 the OH(3-1) Q-branch (black) and the OH(4-2) Q-branch (grey) observed during the night of
179 January 21/ 22, 2012 (corresponding to the lidar measurements shown in Figure 2). The scale
180 refers to the change with respect to the nightly mean, 0.1 representing 10 % deviation. During
181 phases of decreasing intensity the OH(3-1) intensity appears to lie systematically above the
182 OH(4-2) intensity, e.g., between 18 UT and 20 UT or 0:30 UT and 2 UT; the opposite is the
183 case for phases of increasing intensities, e.g., between 4 UT and 6 UT. Since these differences
184 are not symmetric about the times of maximum (minimum) intensities, they are not simply
185 due to different amplitudes in the two emissions but reflect a small phase shift in the temporal
186 evolution of the oscillations. This interpretation is supported by the result of the harmonic
187 analysis (HA) also displayed in the Figure. The HA performs a least squares fit, but is used
188 here in the implementation described by Bittner et al. (1994), which is able to identify a single
189 period, minimizing the residuals in two independent time series. This allows investigating
190 potential changes in amplitude and phase between the two data sets. Subsets 3b) and 3c)
191 illustrate the results for the second and third oscillation identified in the data.

192 The phase differences for the 3.0 h and 6.9 h oscillations are well defined, while for the 15.9 h
193 oscillation it is negligible. The intensity ratio of the two emissions is of interest, because it
194 highlights systematic differences between the two emissions more clearly. Apparently, the
195 intensity of the OH(4-2)-emission is on average 30 % larger than the OH(3-1)-emission
196 intensity but their ratio also exhibits a significant variation with time (Figure 3d). Again
197 dominant periods of 6.9 h and 3.2 h are identified with the HA also in the intensity ratios.
198 Obviously, they agree well with those periods identified before, which exhibit a finite phase

199 difference (Figures 3a and 3c). Thus, the intensity ratios can be used to confirm the results of
200 the HA concerning potential phase differences of individual emissions.

201 As stated by Fagundes et al. (1995) in their comparison of the OI(557.7nm) and OH(9-4)
202 emission, a phase difference $\Delta\phi$ is related to the vertical wavelength λ_z via the expression:

$$203 \quad \lambda_z = \Delta h \frac{2\pi}{\Delta\phi}. \quad (3.1)$$

204 In case of OH bands corresponding to adjacent upper vibrational levels, a first estimate of the
205 height difference Δh between the two layers can be adopted from von Savigny et al. (2012),
206 who derived an average of $\Delta h \approx 500$ m. With phase differences of 0.16 rad and 0.34 rad for the
207 3 h and 7 h period oscillations, the vertical wavelengths then amount to 19.4 km and 9.2 km.

208 These values agree well with the rough estimates derived by looking at the lidar profiles
209 shown in Figure 2. While in the lidar data the dominant wavelengths appear to change over
210 the night, the GRIPS analysis is based on the entire night. So in order to retrieve reliable
211 estimates for the dominant wavelengths in the lidar data, the HA is now applied to each lidar
212 profile individually. All data points with an uncertainty of more than ± 10 K are omitted,
213 which limits the height range under investigation to approximately 80–105 km (without
214 setting fixed boundaries). Due to the limited height range and the typical temperature profile
215 with high temperatures at both the top (105 km) and the bottom (80 km), all wavelengths
216 greater than 40 km are excluded.

217 The retrieved dominant vertical wavelengths (black/grey) of two different nights are displayed
218 as a function of time in Figure 4 for both beams (solid/dashed). Subset 4a) again shows the
219 results for January 21/22, 2012. While the shorter wavelength (grey) exhibits little variation
220 throughout the night, the larger one (black) is not so constant. Until 22:00 UTC the results are
221 fairly stable yielding values between 25 km and 30 km; starting at 22:00 UTC the values

222 slowly decrease to smaller values around 20 km and increase again after 02:00 UTC,
223 exhibiting a larger spread between 20 km and 40 km.

224 While the wavelengths retrieved for both beams agree fairly well for any given point in time,
225 some uncertainty remains concerning how many different waves are actually present
226 throughout the night. The following analysis is based on the minimum number of waves
227 explaining the observations reasonable well (usually two) and attributing the remaining
228 variability of the data to the uncertainty of the observations.

229 Thus, the mean dominant vertical wavelengths retrieved from the lidar profiles shown in
230 Figures 2 and 4a) amount to $23.2 \text{ km} \pm 3.4 \text{ km}$ and $11.5 \text{ km} \pm 1.2 \text{ km}$, with the individual
231 values of beam 1 and its one-sigma interval being $23.1 \text{ km} \pm 5.3 \text{ km}$ and $11.6 \text{ km} \pm 1.8 \text{ km}$
232 ($23.3 \text{ km} \pm 4.2 \text{ km}$ and $11.4 \text{ km} \pm 1.6 \text{ km}$ for beam 2). Depending on the signal-to-noise ratio
233 and the actual atmospheric conditions the retrieved values exhibit a higher or lower
234 variability. Figure 4b) shows an example with higher variability during the first half of the
235 night (due to a smaller signal-to-noise ratio) and fairly constant values during the second half
236 of the night.

237 Although GRIPS is only operated during night time (winter) and only nights with excellent
238 observing conditions are incorporated in the analysis, we have still succeeded in identifying
239 24 wave events in 22 nights. At least two waves are identified in any of the lidar observations
240 analyzed here, but only one vertical wavelength can usually be retrieved from the GRIPS
241 data.

242 The calculation of the uncertainty of the wavelengths measured by lidar has already been
243 described above. In the case of GRIPS, the uncertainties of Δh and $\Delta\phi$ in eq. (3.1) contribute
244 to the overall uncertainty. Dealing with $\Delta(\Delta\phi)$, the uncertainty of $\Delta\phi$, is not as trivial as one
245 might think, as standard methods appear to overestimate it: despite the ratio $\text{OH}(3-1)/\text{OH}(4-2)$

246 often undoubtedly indicating non-zero values of the phase shift $\Delta\phi$, calculations may still
247 show $\Delta\phi\approx 0$ within numerical precision. Similar difficulties arise in case of the height
248 separation uncertainty $\Delta(\Delta h)$, because the value of $\Delta h=500$ m adopted from von Savigny et al.
249 (2012) is only a statistical mean and individual observations may differ substantially from this
250 value.

251 Therefore, several selection criteria are applied to the results of the spectral analysis, which
252 are: (1) similar periods need to be identified in both the OH(3-1), OH(4-2) intensities as well
253 as in their ratio, (2) the relative amplitude of the oscillation of the intensity ratios must at least
254 amount to one percent, (3) a minimum phase shift $\Delta\phi$ of 0.1 rad between the OH(3-1) and
255 OH(4-2) intensities is required. This ensures that $\Delta(\Delta\phi)$ is small and the overall uncertainty
256 can be attributed to the unknown value of $\Delta(\Delta h)$. The calculation of λ_z is now repeated for
257 different values of the height separation Δh in order to exactly match the results retrieved
258 from the lidar observations for the entire data set.

259 The results indicate that Δh has to vary from 260 m to 860 m for a perfect agreement between
260 the two systems, with a mean $\Delta h=540$ m, $1\sigma=160$ m, but 95 % of the values already lying
261 within $540 \text{ m} \pm 240 \text{ m}$. $\Delta h=540 \text{ m} \pm 1\sigma$ is adopted for all values stated in this study (unless
262 stated otherwise). It should be noted, that this is only an upper limit for the uncertainty of Δh ,
263 since it includes contributions from $\Delta(\Delta\phi)$, which were neglected here and for simplicity we
264 assume that the vertical wavelength from the lidar has no systematic or statistical error.
265 However, this approach yields a reasonable estimate of the overall uncertainty, so that λ_z can
266 be determined with a precision of ± 30 %. Figure 5 shows a scatter plot of the wavelengths
267 retrieved from GRIPS versus the Na lidar wavelengths showing that the wavelengths of 18
268 out of the 24 wave events agree within their confidence intervals.

269

270 **3.2 GRIPS 6 versus TANGOO**

271 For 25 months between March 2013 and July 2016, the TANGOO airglow spectrometer
272 observed the OH(6-2) and O₂b(0-1) bands co-located with GRIPS 6 at Oberpfaffenhofen.
273 Vertical wavelengths retrieved from the phase shift between oscillations in the OH and O₂
274 intensities provide independent evidence, which can serve for validation of the
275 OH(3-1)/OH(4-2) approach. During the night of July 27/28, 2013 the TANGOO data show a
276 distinct phase shift of nearly $\pi/2$ between the O₂ and the OH(6-2) intensity variations (Fig.
277 6a). The observed amplitudes agree well in spite of the differences between the two
278 instruments, especially the horizontal extents of their FOV (Fig. 6b). A phase shift between
279 the OH emissions is not obvious, but the OH(3-1)/OH(4-2) ratio again exhibits a similar
280 variation as the intensities themselves (Fig. 6c). The maxima of the ratios (dashed vertical
281 lines) coincide with decreasing intensities; minima correspond to increasing intensities but not
282 as clearly. This indicates that the variation is not simply caused by clouds, which would make
283 the intensities and their ratios vary in phase.

284 Vertical wavelengths can be determined according to eq. (3.1) for both data sets. While
285 $\Delta h=540 \text{ m} \pm 160 \text{ m}$ for GRIPS 6 can be adopted from section 3.1, many studies dealing with
286 simultaneous observations of OH and O₂ emissions work with typical centroid heights of
287 87 km and 95 km. However, as pointed out by Liu and Swenson (2003) and by Vargas et al.
288 (2007), this separation of approximately 8 km may be significantly reduced to between
289 5.1 km and 5.6 km because of the gravity-wave-induced perturbation of the emission profiles.
290 Therefore, we adopt a mean separation of 5.5 km between OH(6-2) and O₂. This is
291 approximately the upper value proposed by the above-mentioned authors but still significantly
292 smaller than the common 8 km. For the prominent period of 1.1 h shown in Figure 6,
293 wavelengths of $18.5 \text{ km} \pm 5.5 \text{ km}$ (GRIPS) and 13.2 km (TANGOO) are obtained in this way
294 (19.2 km instead of 13.2 km if 8 km separation are adopted for the TANGOO observations).

295 Such a comparison is done for the entire available data set of 161 nights that pass the data
296 quality criteria. With the HA, 233 waves were identified and wavelength derivation according
297 to the criteria outlined above was initially possible for 136 waves. The other events had to be
298 excluded, mostly due to insignificant phase differences or small amplitudes. Another 34
299 events were excluded due to large uncertainties of the retrieved values caused by the 2π
300 ambiguity in eq. (3.1): the phase shift between the OH and O₂ intensities may be interpreted
301 as $\Delta\phi$ or $\Delta\phi \pm 2\pi, \pm 4\pi$ etc. (especially ambiguous, when $|\Delta\phi|$ approaches π). It should be noted
302 that in all these events waves are clearly present, but their parameters cannot be precisely
303 determined. This $\pm 2\pi$ ambiguity is irrelevant when only the small $\Delta\phi$ between different OH
304 emissions are considered, but in case of OH and O₂, $\Delta\phi$ can become considerably larger.
305 Excluding ambiguous values from the analysis effectively limits the vertical wavelengths
306 under investigation to approximately 5 km – 40 km. This is only a minor limitation, since
307 shorter wavelengths are not supposed to be observable at all due to the finite widths of the
308 emission layers and the rather small $\Delta\phi$ of larger wavelengths are neither resolved by the
309 temporal resolution nor can they be distinguished from the case of ducting or evanescence.

310 According to the criteria discussed above, the determination of λ_z is accepted for periods
311 below 4 h in two-thirds of the cases, but for periods above 6 h results are only accepted for a
312 quarter of the cases. Figure 7a) illustrates this dependence on wave period for all 233 waves
313 observed, discriminating between accepted (black) and rejected (grey) λ_z . This may be one of
314 the reasons why Wrasse et al. (2004) did not find any significant phase shift between the
315 OH(6-2) and OH(8-3) emission in their 19 nights of observation.

316 The mean wavelength of the 102 cases retrieved from the OH(3-1)/OH(4-2) comparison is
317 22.9 km (1- σ : 9.0 km) with the median being 22.7 km. The respective values based on the
318 OH(6-2)/O₂ comparison are 19.1 km mean wavelength (1- σ : 8.9 km) and 18.4 km for the
319 median. The mean ratio of the wavelengths determined from the two instruments amounts to

320 0.99, the median is 0.83 (Figure 7 b)). This indicates that the apparent wavelengths of the
321 OH(6-2) vs. O₂ analysis are on average shorter by roughly 20 % compared to the OH(3-1) vs.
322 OH(4-2) analysis. The mean difference and the wide distribution can be explained by the
323 assumptions made for Δh . Both a reduction to 450 m for the height separation between the
324 individual OH vibrational transitions and an increase to 6.5 km concerning the separation
325 between OH(6-2) and O₂ lead to a more consistent median. The latter value of 6.5 km
326 however is in better agreement with the nominal height separation of 7 km to 8 km. It is
327 important to note that the height separation between all the emissions used here is actually a
328 statistical relationship, and individual values may indeed vary a lot across a larger data set of
329 many nights. The recent study by Teiser and von Savigny (2017) gives a comprehensive
330 overview of the variability of the OH(3-1) and OH(6-2) emission altitudes based on
331 SCIAMACHY data for a fixed local time.

332 In order to better understand the larger scatter of the wavelengths obtained by the two airglow
333 spectrometers (Figure 7b)) in contrast to the rather good agreement achieved in the OH / Na-
334 lidar comparison (Figure 5), another five nights with different types of oscillations are shown
335 in Figure 8. In each case, periods and phases were obtained by the HA as shown in Figure 3
336 but have been omitted in the plot. Figure 8a) shows one of the clear cases, in which a 3.3 h
337 period is clearly visible in all four emissions and well reproduced by the HA with a distinct
338 phase shift, confirmed also by the OH(3-1)/OH(4-2) ratio. The corresponding wavelengths of
339 18.7 km (GRIPS) and 22.3 km (TANGOO) agree fairly well in this case. The second example
340 (8b) shows the only case in the entire data set, for which an apparent upward propagating
341 phase was determined for a long period oscillation of approximately 7 h. This case meets all
342 the criteria outlined above and both observations yield wavelengths of -14.5 km (GRIPS) and
343 -19.0 km (TANGOO), the negative sign indicating upward phase propagation. An alternative
344 explanation may be a horizontal wind larger than and opposite to the horizontal phase speed
345 of the wave. Although upward propagating waves (with downward phase progression) are

346 expected to dominate the spectrum at ca. 90 km height (see review by Fritts and Alexander,
347 2003). Only one wave in our data set shows downward propagation, which is consistent with
348 this view, but smaller than the notable percentage reported by Reisin and Scheer (2001). This
349 may not be a serious discrepancy, given a possible selectivity of our present approach and the
350 complicated processes involved in wave reflection (e.g., Wüst and Bittner, 2008). At the
351 beginning of the night shown in Figure 8c) a small shift between OH and O₂ is apparent
352 (20:30 UT until 21:00 UT), but during the times of maximum amplitudes at 23:00 UT it again
353 increases to about π . Clearly, more than one wave is present during this night and it is difficult
354 to retrieve reliable estimates for the phases of the individual oscillations (compare also the
355 OH(3-1)/OH(4-2) ratio, showing different behavior than the emissions themselves). Thus,
356 only a short period (1.6 h) oscillation matches the analysis criteria with vertical wavelengths
357 of 24.7 km (GRIPS) and 18.3 km (TANGOO). Figure 8d) shows an example in which the OH
358 and O₂ emissions behave differently after 01:30 UT (an uncommon case). Despite some
359 oscillations clearly visible in the OH(3-1)/OH(4-2) ratio, the phase shift could not be derived
360 reliably. The same applies to an example of the most common type of nights excluded from
361 analysis (Figure 8e)). Despite the phase shift clearly visible, especially after 20:00 UT and in
362 the OH(3-1)/OH(4-2) ratio, the overlying long-period oscillation (or slope) prevents
363 calculating a reliable quantitative estimate for $\Delta\phi$. About half of the nights had to be excluded
364 either because of small phase shifts in the order of the measurement uncertainty or because of
365 an overlying long-period oscillation / slope of this kind.

366 In principle the values of λ_z can be entered into the dispersion relation for gravity waves to
367 retrieve the corresponding horizontal wavelengths λ_h . Then, if reasonable assumptions are
368 made for the Brunt-Väisälä frequency (0.02 rad/s⁻¹) and for the range of the horizontal winds
369 (up to ± 80 m/s), estimates for the energy density of the waves can be calculated (e.g., Wüst et
370 al., 2016; Wüst et al., 2017b). But with the given uncertainty of the estimates for the winds
371 and λ_z the final results (not shown) also have a considerable uncertainty. The calculations

372 indicate that the waves have horizontal wavelengths between approximately 100 km and
373 1000 km and fall mostly into the mesoscale range. It follows that the waves under
374 consideration in this study belong to a type which is rather common in the canonical gravity
375 wave spectrum, because both the horizontal wave number k as well as the angular frequency
376 ω are small (see, e.g., Fritts and Hoppe, 1995).

377

378 **4 Summary and Conclusions**

379 Integrated intensities of the OH(3-1) and OH(4-2) Q-branch were extracted from GRIPS
380 airglow spectrometers acquiring data in the spectral range between 1.5 μm and 1.6 μm . Their
381 time series often exhibit small phase shifts, which can be attributed to the presence of
382 atmospheric waves. These waves influence the individual vibrational transitions – originating
383 from different altitudes – at different times. The estimated phase shifts were successfully used
384 to derive wave parameters.

385 The validity of the retrieval technique was tested by comparing GRIPS 9 airglow observations
386 with the co-located sodium lidar at ALOMAR (69.28° N, 16.01° E). Best agreement between
387 the two data sets is achieved if a mean height difference of 540 m ($1\sigma = 160$ m) is assumed
388 for the emission heights between the centroid heights of the OH bands from neighboring
389 upper vibrational levels. This is in reasonable agreement with the estimate of 500 m obtained
390 by von Savigny et al. (2012) from satellite observations and modelling.

391 A large data set of 161 nights acquired with the GRIPS 6 instrument at Oberpfaffenhofen
392 (48.08° N, 11.27° E) was compared to the co-located TANGO spectrometer observing the
393 OH(6-2) and O₂b(0-1) emissions. The 102 wavelengths successfully derived from both data
394 sets have a larger scatter compared to the GRIPS-lidar intercomparison. This can be attributed
395 to the assumptions made for the height separation between the individual emissions. They

396 tend to agree best, if the same centroid height separation as from the ALOMAR comparison
397 is assumed in case of OH(3-1) vs. OH(4-2) and 6.5 km in case of OH(6-2) vs. O₂b(0-1). Since
398 these values are statistical means, individual cases may differ substantially, explaining the
399 variance of the results. The mean wavelength determined from the OH(3-1) vs. OH(4-2)
400 relationship is 22.9 km (1- σ : 9.0 km).

401 Despite the large difference in the FOV of GRIPS 6 and TANGOO, no significant differences
402 between the two data sets are observed on the time scales relevant for this study (>0.5 h),
403 which can be deduced from the identical OH amplitudes recorded by the two instruments.
404 Therefore, we conclude that observational selection is most likely caused by the finite OH
405 layer width (ca. 8–10 km) rather than the FOV.

406 The retrieval based on the comparison of OH(3-1) and OH(4-2) is more successful for periods
407 below 4 hours, which may be due to the larger relative phase differences in case of shorter
408 periods and/or due to a higher fraction of tidal waves with different physical properties in the
409 longer period range. More than 16 instruments, largely identical to our GRIPS, are currently
410 operated by different investigators throughout the NDMC. Thus, this approach appears to be
411 feasible for estimating latitudinal and longitudinal differences in the vertical wavelength
412 spectra.

413 Currently, we are performing first observations with a new instrument, observing not only the
414 OH(3-1) and OH(4-2) transitions but also OH(7-4) and OH(8-5). The combination of several
415 transitions is intended to further improve the precision of the retrieved vertical wavelengths.

416

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418

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424

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585

586

587

588 **Figure captions**

589

590 Figure 1: Typical GRIPS 9 airglow spectrum. The shaded areas are taken as estimates for the
591 integrated intensities of the Q-branches (given in arbitrary units). They are acquired
592 simultaneously since the spectrum is imaged onto a 512-element InGaAs photodiode array.

593

594 Figure 2: ALOMAR Na lidar observations of January 21/22, 2012. The solid (dashed) lines
595 highlight the location of relative maxima (minima) in temperature. Similar structures with
596 vertical wavelengths of 10 km–15 km (thick lines, especially during the second half of the
597 night) or ca. 20 km (first half of the night: 10 km spacing between maximum and minimum
598 temperature) are observed in both beams.

599

600 Figure 3: a) relative intensity perturbation and successive harmonic fits (b) and c)), OH(3-1)
601 Q-branch intensity (grey), OH(4-2) Q-branch (black), same date as Figure 2. Small
602 differences between the two emissions exist and are highlighted by calculating their ratios
603 shown in subsets d) and e). Periods identified in the ratios match those periods found in the
604 emissions, which exhibit a distinct phase change.

605

606 Figure 4: The two dominant wavelengths retrieved from each lidar profile independently
607 throughout two different nights (solid and dashed: beam 1 and 2). The upper panel refers to
608 the night also shown in Figure 2. Nightly means (dots with error bars) and wavelengths
609 derived from the airglow observations (rectangles) are also shown.

610

611 Figure 5: Vertical wavelengths retrieved from the airglow observations versus those retrieved
612 from the lidar profiles. Only in two cases (grey triangles) more than one wavelength was
613 identified in the airglow data. The dashed grey line serves to guide the eye.

614

615 Figure 6: Airglow intensity variations displayed as relative deviation from the nightly mean
616 observed with a) the TANGOO instrument (green: O₂, red: OH(6-2)) and b) the GRIPS 6
617 (black: OH(3-1), blue: OH(4-2)). Also the ratio of the OH(3-1) and OH(4-2) intensities shown
618 in subset c) clearly shows systematic variability. The maxima of the ratios correspond to times
619 of declining intensities indicated by the dashed vertical lines.

620

621 Figure 7: The analysis yields reliable wavelength estimates predominantly for short period
622 waves (left panel). Comparison of the wavelengths independently retrieved from the OH(6-2)
623 and O₂ intensities with those retrieved from the OH(3-1) and OH(4-2) intensities (right panel).

624

625 Figure 8: Five nights (a-e) with different variability of the individual emissions. Upper
626 subpanels show OH(3-1) (black), OH(4-2) (blue), OH(6-2) (red) and O₂b(0-1) (green); lower
627 subpanels show the corresponding OH(3-1)/OH(4-2) ratio (black) with the dominant
628 oscillations retrieved by the harmonic analysis (red).

GRIPS 9, 2012-01-21T23:59:59.000Z, 15 second exposure

intensity / a.u.

OH(3-1) Q-branch

OH(4-2) Q-branch

600

400

200

0

1500

1520

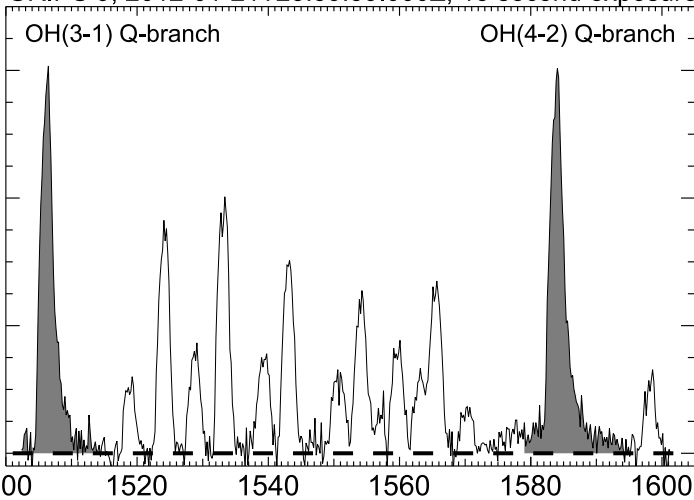
1540

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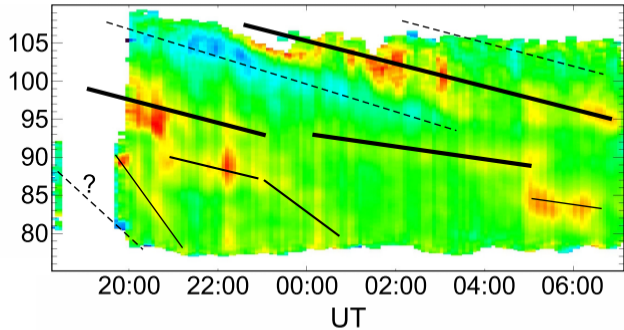
1580

1600

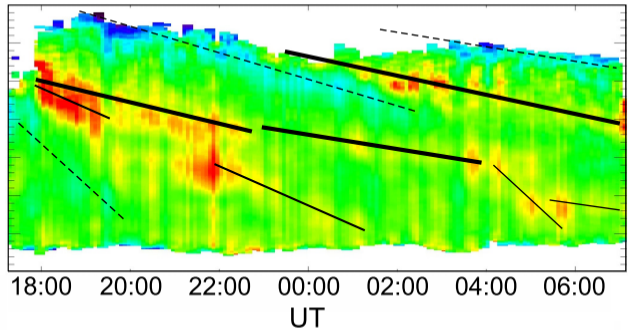
wavelength / nm



Temperature - 21 January 2012
ALOMAR Na Lidar - running mean 2.2min, 1.5km
Zenith angle 20 degrees, azimuth 270 degrees



Temperature - 21 January 2012
ALOMAR Na Lidar - running mean 2.2min, 1.5km
Zenith angle 20 degrees, azimuth 90 degrees



140

175

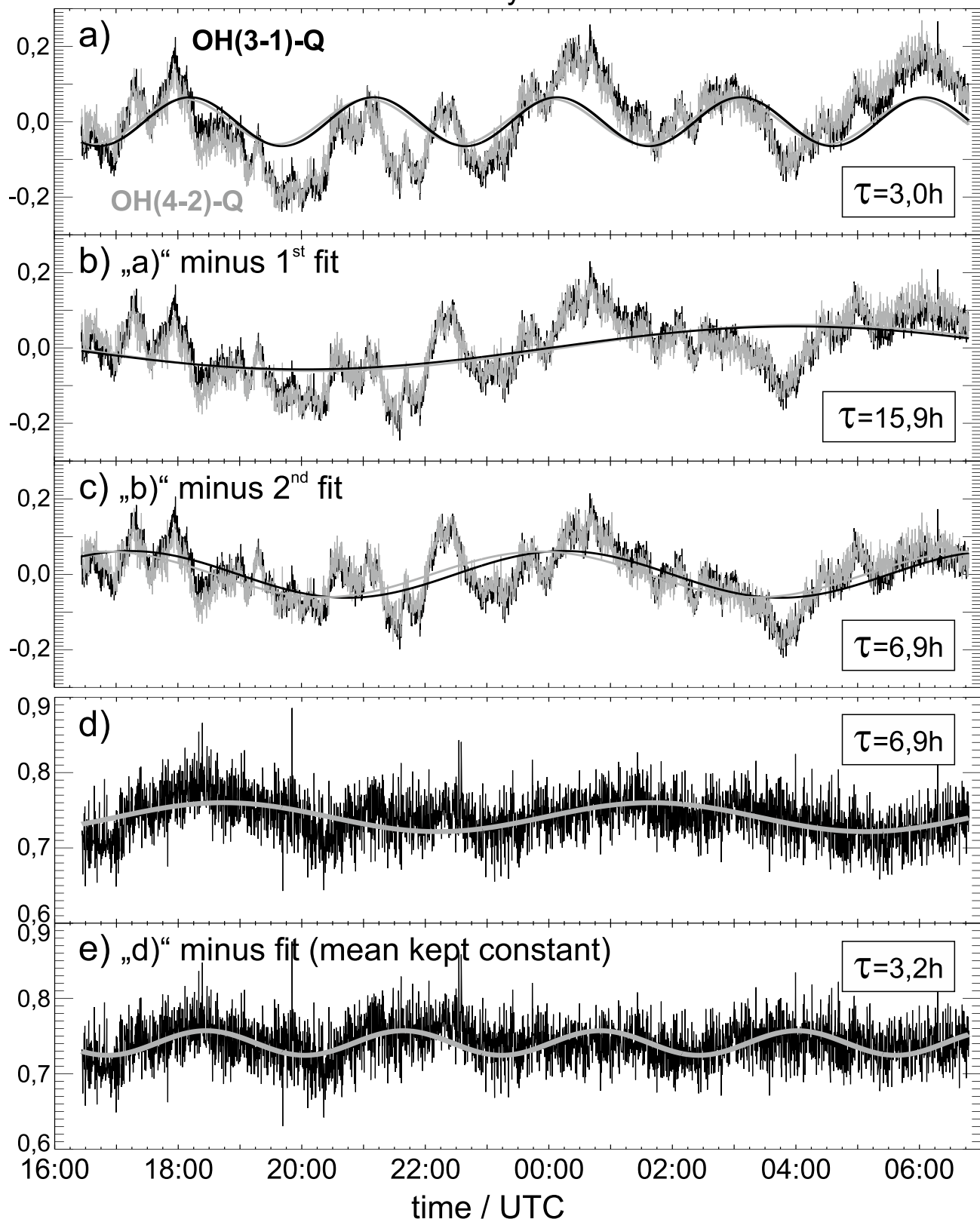
210

245

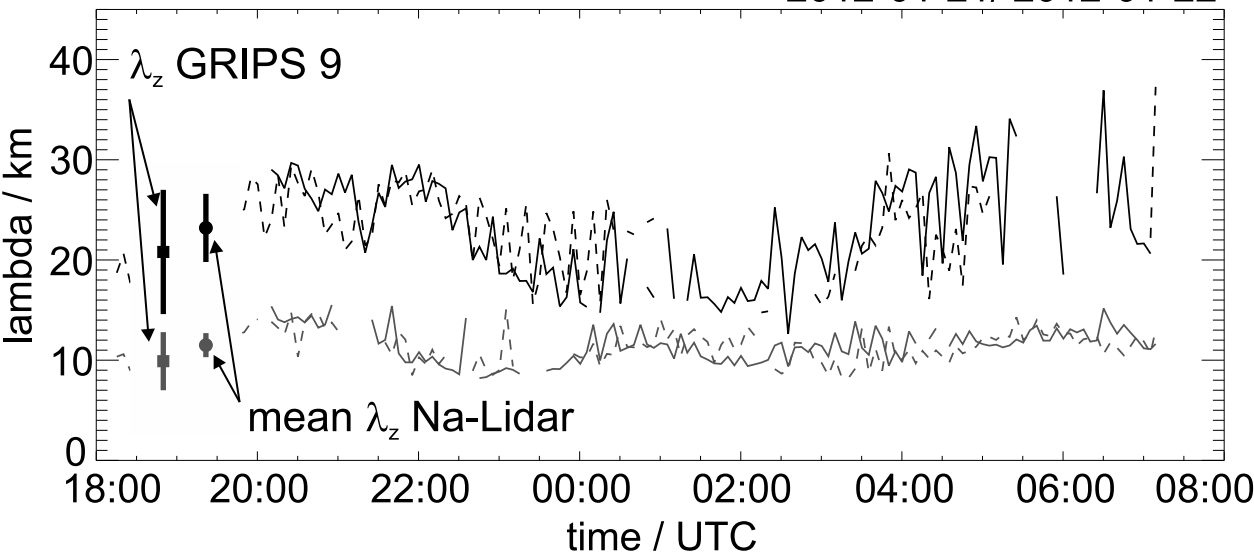
280

Temperature / K

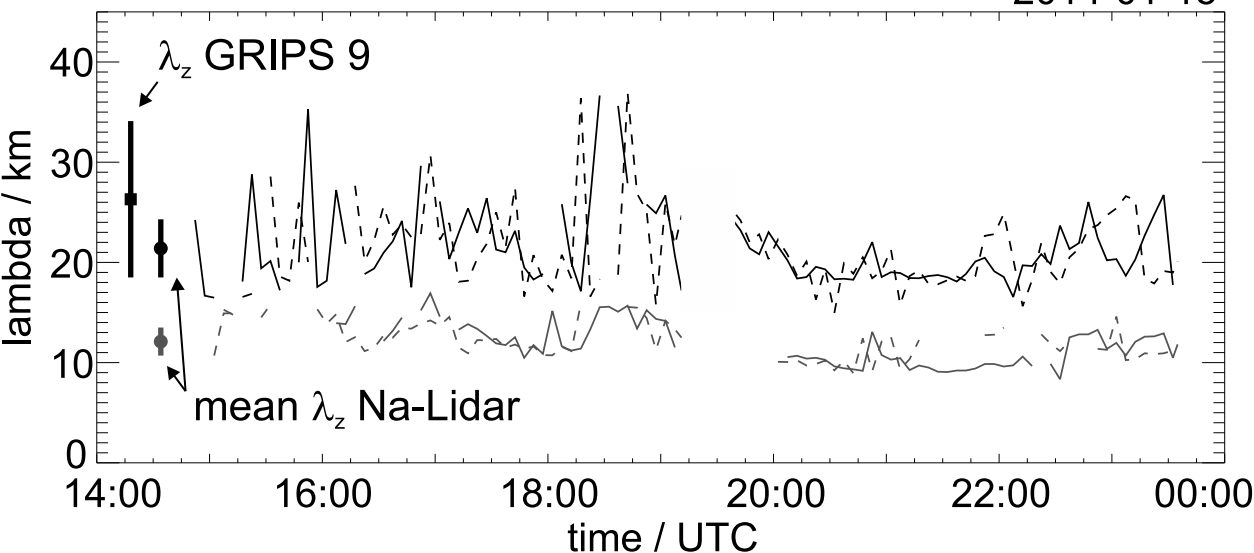
relative intensity perturbation

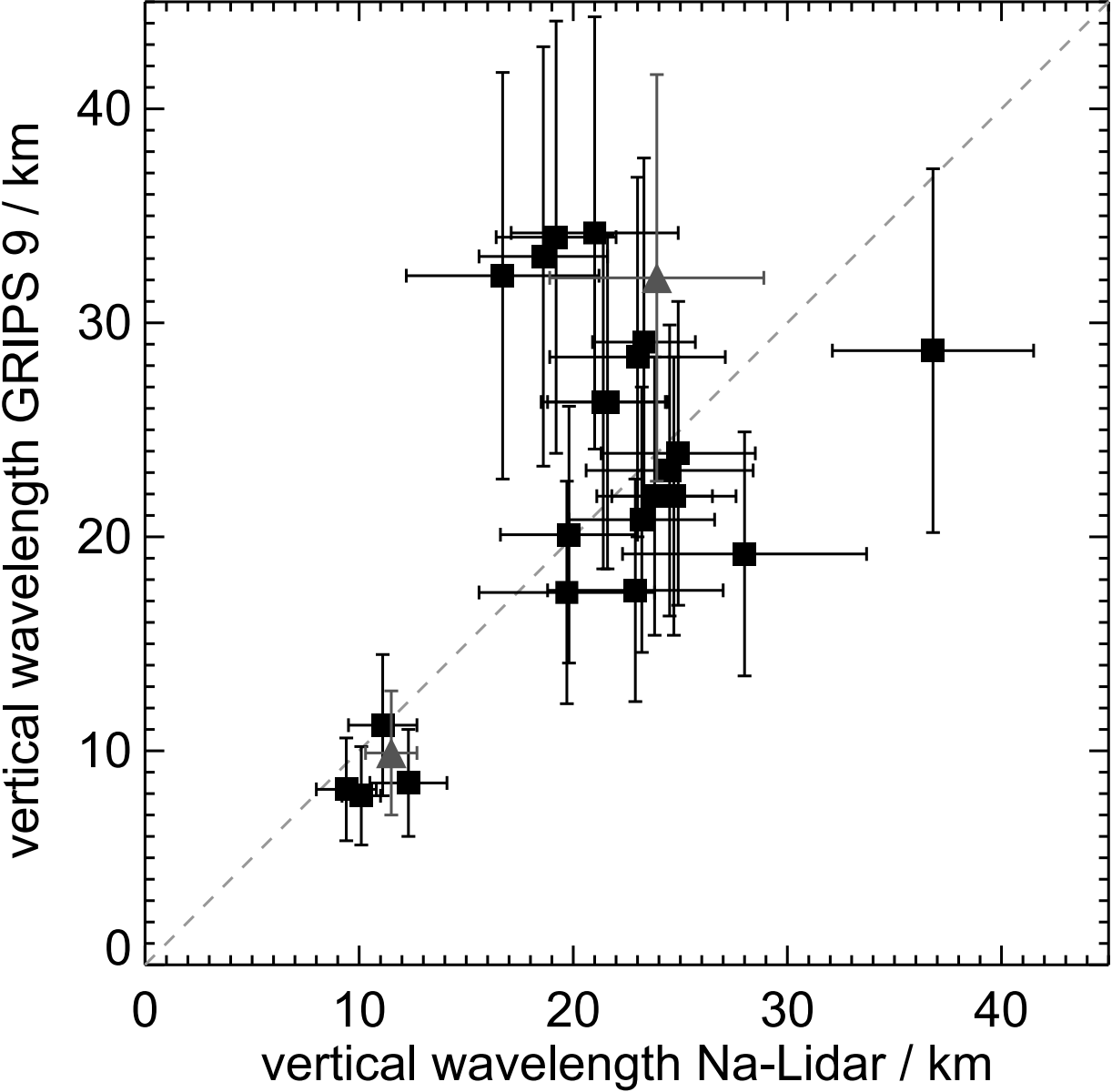


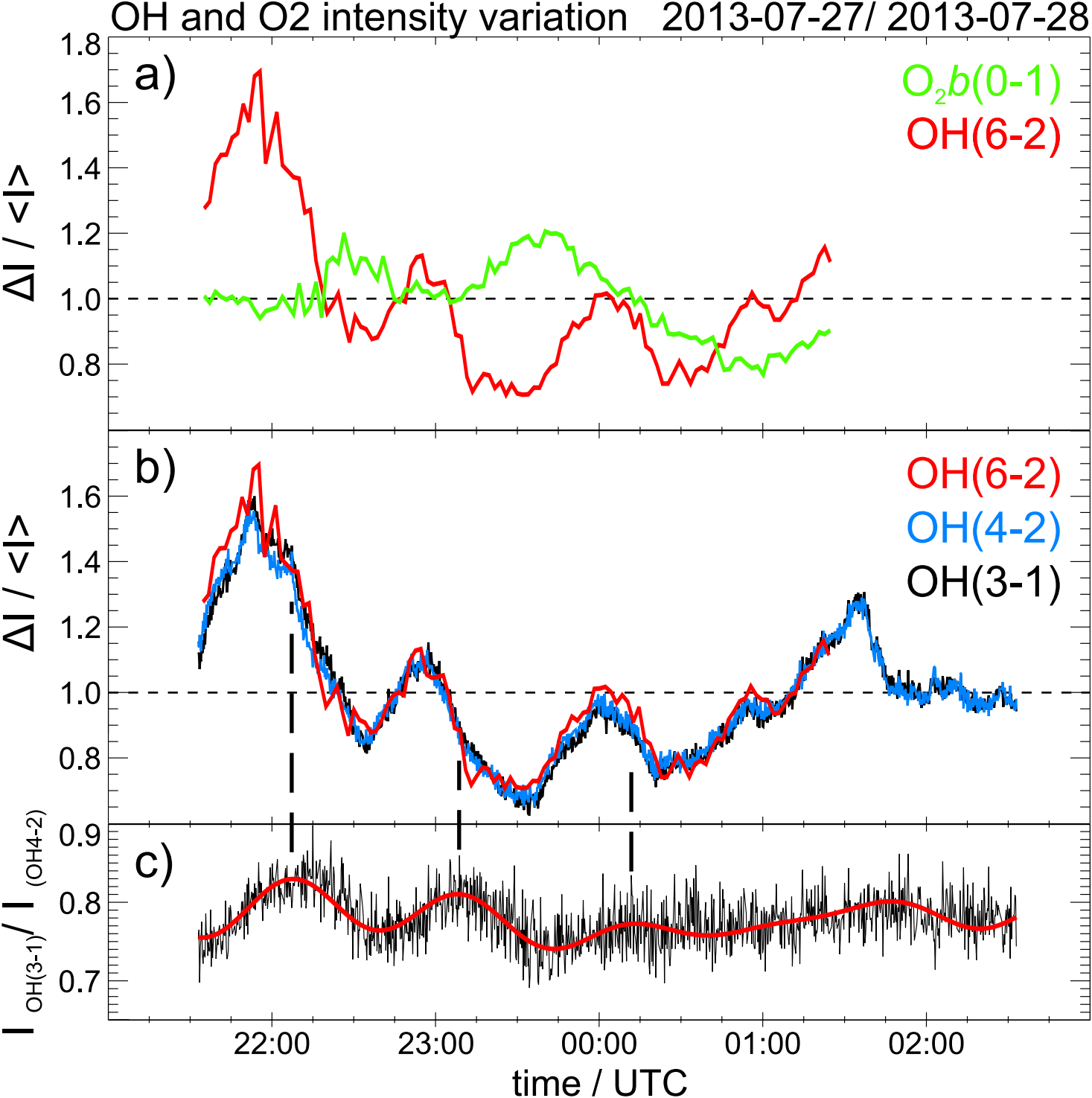
2012-01-21/ 2012-01-22



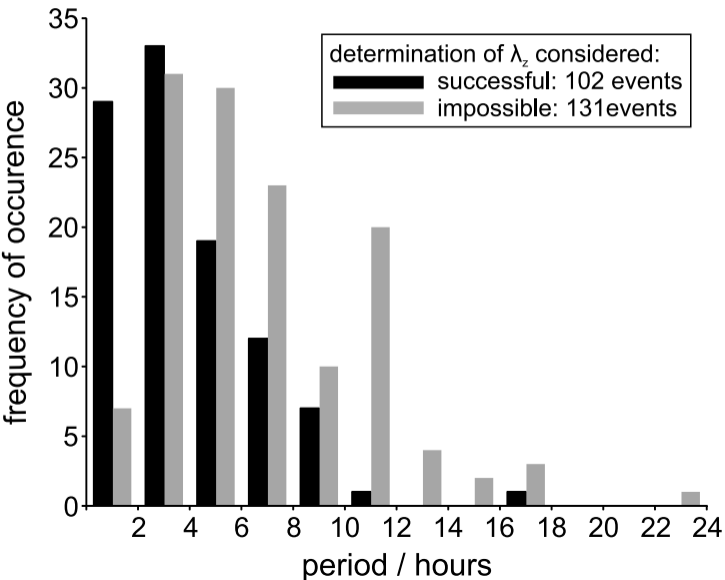
2014-01-15







a) λ_z retrievable from OH31/OH42



b) $\lambda_{\text{OH62/O2}}$ versus $\lambda_{\text{OH31/OH42}}$

