

1 **Long-term effect of erythritol on dental caries development during childhood: a post-**
2 **treatment survival analysis**

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27 **Disclosure statement**

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32

33 **Abstract**

34 **Objective:** Assess the effect of daily consumption of erythritol, xylitol, and sorbitol candies
35 on caries development in mixed dentition during a three-year intervention and three years
36 after the intervention. **Methods:** 485 Estonian first and second grade primary school children
37 participated. Children were randomly allocated to an erythritol, xylitol, or sorbitol (control)
38 group. Polyol-containing candies were administered on school days with a daily polyol
39 consumption of 3x2.5 g. Yearly, caries development was assessed by calibrated dentists using
40 the ICDAS criteria. Six years after initiation of the study and three years after cessation of
41 daily polyol consumption, 420 participants were re-examined to identify potential long-term
42 effects of polyol consumption. Survival curves were generated at the end of the intervention
43 period and three years post intervention. The model included age of the subjects, schools,
44 tooth surface ages and years of surface exposure to intervention. ICDAS scoring system based
45 events included enamel/dentine caries development, dentine caries development, increase in
46 caries score, and dentist intervention. **Results:** At the end of the intervention, time to
47 enamel/dentine caries development, dentine caries development, increase in caries score, and
48 dentist intervention was significantly longer in the erythritol group as compared to the sorbitol
49 group. Except for increase in caries score, all effects persisted three years after cessation of
50 daily polyol consumption. **Conclusions:** A caries preventive effect of three-year erythritol
51 consumption as compared to sorbitol was established in children with mixed dentition. The
52 effect persisted up to three years after the end of the intervention. Trial registration:
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54

55 **Introduction**

56 The caries-preventive effect of xylitol-containing chewing gum in comparison with no
57 gum or sugar-based gum has been demonstrated and confirmed throughout multiple clinical
58 trials [Deshpande and Jadad, 2008]. Among the benefits of chewing xylitol-sweetened gums
59 are the stimulation of salivary flow leading to enhanced clearing of cariogenic substrates and
60 increased buffering capacity and remineralization, the reduction of acid production due to the
61 hypo-acidogenic nature of the sugar alcohol, and the xylitol-associated inhibition of
62 *Streptococcus mutans* growth [Van Loveren, 2004; Mäkinen, 2010, 2011]. However, chewing
63 gum has some undesirable properties such as waste problems, is socially unaccepted in some
64 societies, and presents difficulties for individuals with poor dentition [Alanen et al., 2000]. To
65 overcome these hurdles, there is a growing interest in exploring effectiveness of alternative
66 polyol delivery vehicles, including candies and lozenges.

67 To disentangle pure xylitol-associated caries preventive effects from those inherent to
68 the chewing process itself (including mechanistic plaque removal and increased saliva
69 production and buffering capacity), several field studies using chewing-independent polyol
70 delivery modes have been set-up [Alanen et al., 2000; Honkala et al., 2006; Stecksén-Blicks
71 et al., 2008]. A systematic review assessing the caries preventive effect of consuming xylitol-
72 based candies and lozenges concluded that a reduction in caries increment could be observed
73 in two out of three intervention groups [Gonçalves Antonio et al., 2011]. However, some
74 recent intervention trials did not confirm a significant effect on caries development associated
75 to xylitol lozenge/candy consumption in children [Lenkkeri et al., 2012; Lee et al., 2015] or
76 adults [Fontana and Gonzalez-Cabezas, 2013]. In recent years, erythritol, a polyol of the
77 tetritol type, has been shown to have similar effect on caries risk factors as previously
78 reported for xylitol [Kawanabe et al., 1992; Mäkinen et al., 2005].

79 We evaluated efficacy of long-term, daily intake of polyol-containing candies on the
80 development of enamel and dentine caries lesions in a cohort of 485 Estonian primary school
81 children through a double blind, randomized, controlled prospective intervention trial
82 [Honkala et al., 2014]. During three years, participating children consumed each four
83 erythritol, xylitol, or sorbitol (control) candies three times per school day, resulting in a total
84 daily polyol intake of 7.5 g. Dental health and caries development were clinically assessed
85 using the International Caries Detection and Assessment System (ICDAS, [Ismail et al.,
86 2007]) at baseline and months 12, 24, and 36 of the intervention. At month 36, erythritol
87 consumption resulted in lower numbers of dentine caries surfaces as compared to xylitol and
88 sorbitol consumption. Moreover, time to enamel/dentine caries development, dentine caries

89 development, or increase in ICDAS caries score were estimated significantly longer in
90 erythritol-consuming children than in those receiving sorbitol or xylitol candies. An ancillary
91 study [Runnel et al., 2013], aiming to provide a mechanistic insight in the potential caries-
92 preventive effect observed, revealed that daily consumption of erythritol-containing candies
93 resulted in a significant reduction of dental plaque weight, while no such changes could be
94 detected in the xylitol or sorbitol groups. Moreover, at the end of the three-year intervention
95 period, plaque concentrations of acetate and propionate were shown to be lower in erythritol-
96 consuming children as compared to the xylitol and control groups, associated with a
97 significantly reduced abundance of both salivary and plaque *S. mutans*.

98 Given the changes in oral microbiota and biofilm growth observed, we hypothesized
99 that the effects of long-term erythritol consumption on dental health would stretch beyond the
100 intervention period, as previously reported for xylitol chewing gum [Isokangas et al., 1989,
101 1993; Hujoel et al., 1999]. Here, three years after completion of the intervention trial and
102 cessation of treatment, we assess the prolonged effects of three-year daily consumption of
103 polyol-containing candies on caries development in a cohort of Estonian school children.

104

105 **Materials and Methods**

106 **Study design and clinical procedures**

107 For an extensive overview of study design and procedures, we refer to an earlier
108 publication [Honkala et al., 2014]. Briefly, the study was set up in 2008 as a double blind,
109 randomized, controlled prospective intervention trial. Baseline study population consisted of
110 485 first and second grade primary school children enrolled from ten schools in the region
111 around Tartu, southeastern Estonia. At enrollment, participating school classes were randomly
112 divided into an erythritol, xylitol, and sorbitol (control) intervention groups. Randomization
113 was done using computer-generated numbers on the list of classes from participating schools.
114 To reduce a potential school bias, first-grade pupils were allocated in different intervention
115 groups than second-graders of the same school. Children joining participating schools in 2009
116 and 2010 were invited to take part in the study (Table 1). None of the participants switched
117 intervention group during the three-year trial. CONSORT flow diagram shows the allocation
118 of participants to the intervention groups (fig. 1)

119 Throughout the intervention trial (2008-2011), pupils consumed erythritol-, xylitol-,
120 and control-containing candies during school days (approximately 200 days per year). Each
121 participant consumed four candies three times per school day. Total daily intake of polyol was
122 about 7.5 g. Candies were distributed by teachers before the start of the classes (8 a.m.), after

123 school lunch (10:30 a.m.), and at the end of the school day (1:30 or 2:15 p.m.). Consumption
124 of candies was supervised by school teachers who had received training before the start of the
125 intervention trial. Double blind clinical examinations of all participating children were
126 completed four times (baseline and after 12, 24, and 36 months of intervention) by four
127 trained and calibrated investigators using the ICDAS II scoring methodology [Ismail et al.,
128 2007].

129 The study was conducted according to the ethical principles of the Declaration of
130 Helsinki. The Research Ethics Committee of the University of Tartu approved the study
131 (166/T-7). Approval of the School Management Authority and school principals was received.
132 Only pupils whose parents/caretakers returned a signed consent form were included in the
133 trial. The study was registered to the register of clinical trials (www.clinicaltrials.gov;
134 Identifier NCT01062633).

135 **Follow-up clinical examination**

136 In 2014, six years after the start of the study and three years after the end of the
137 intervention, participants were re-contacted by the research team. Of the 420 children that
138 participated in the 2011 examination, 364 (87%) consented to participating to a follow-up
139 clinical evaluation performed by the calibrated examiners involved in the clinical intervention
140 following the procedures described above (Table 1). Data were analyzed using SPSS (version
141 19.0) and SAS (9.2 or higher).

142 **Decayed, missing, and filled teeth and surfaces**

143 Permanent dentition was analyzed as described previously [Honkala et al., 2014].
144 ICDAS caries scores 1-3 were combined to enamel caries teeth ($D_{1-3}T$) and surfaces ($D_{1-3}S$).
145 Scores 4-6 were combined to dentin caries teeth ($D_{4-6}T$) and surfaces ($D_{4-6}S$). Caries
146 experience indices ($D_{4-6}MFT$ and $D_{4-6}MFS$) were calculated. Analyses were limited to pupils
147 that joined the study in 2008 and remained until 2014. Numbers of enamel and dentin caries
148 teeth and surfaces, teeth and surfaces with fillings, and caries experienced teeth and surfaces
149 were compared between the intervention groups using negative binomial regression. Models
150 were adjusted for gender, age (categorized), and school. The natural log of the number of
151 teeth or surfaces present was included as an offset when analyzing the number of
152 enamel/dentin caries and filled teeth or surfaces. Pearson χ^2 goodness-of-fit statistics were
153 used to assess the fit of the models.

154 **Survival analysis**

155 For the purpose of survival analyses, the following events were defined:

- 156 (1) enamel/dentine caries development: observed transition of ICDAS caries score 0 to 1-
157 6,
158 (2) dentine caries development: observed transition of ICDAS caries score 0-3 to 4-6,
159 (3) increase in caries score: observed transition of ICDAS caries score x to $(x+1)$ -6,
160 (4) dentist intervention: observed of ICDAS restoration score 0 to 3-8.

161 Surfaces with partial or full sealants (ICDAS restoration scores 1-2) and surfaces subject to
162 dentist intervention (restoration/extraction) in between study clinical examinations and prior
163 to observed transition of ICDAS score were excluded from survival analysis. As clinical
164 assessment of caries development took place every twelve months, the exact time-points on
165 which the events defined took place occurred could not be determined. Hence, time of events
166 was characterized by lower and upper bounds. The lower bound for time to caries
167 development or dentist intervention (months) was calculated as twelve times the number of
168 examinations where the surface was sound. The upper bound was defined as the lower bound
169 plus twelve.

170 Besides intervention groups, age of the subjects, and schools, also surface ages (time
171 of eruption) and years of intervention were identified as variables potentially affecting caries
172 development and taken into account in survival modelling efforts. For time of eruption,
173 surfaces were categorized as surface of primary tooth present at start of study, permanent
174 present at start of study, and erupted during a determined period between clinical
175 examinations (2008-2009, 2009-2010, 2010-2011, and 2011-2014). Years of intervention
176 reflects the time a surface was effectively exposed to the intervention. It was estimated taking
177 into account both the moment the subject started participation to the study and time of
178 eruption of the tooth under study. For surfaces erupting in between two clinical examinations,
179 six months of exposure were added to years of intervention. For surfaces that appeared after
180 the 2011 clinical examination, years of intervention was set at zero.

181 The expected duration of time until occurrence of one of the events defined was
182 statistically analyzed. Accelerated failure time modeling of the interval-censored data was
183 performed using SAS Proc LIFEREG. The distribution of the data was specified as log-
184 logistic, as this allowed the rate of decay to increase or decrease over time [Hannigan et al.,
185 2001]. The model was fitted using the maximum likelihood method and included terms for
186 intervention group, age of the subject, school, time of eruption, and years of intervention.
187 Given the restrictions on distribution of intervention groups over schools imposed during the
188 randomization process, school class was not included as an independent confounder in the

189 survival model. Survival curves were generated for each intervention group. It was not
190 possible to estimate the median time, as the proportions of events were small.

191

192 **Results**

193 **Decayed, missing, and filled teeth and surfaces**

194 For participants that joined the study in 2008, caries indices in the permanent dentition
195 were calculated for each intervention group at baseline, during the intervention period, and
196 three years after cessation of intervention (Table 2). At the baseline, the number of dentin
197 caries surfaces (D₄₋₆S) in the permanent dentition was significantly higher in the sorbitol
198 group than in the erythritol group (relative risk [RR] = 3.10, 95% confidence interval [CI]
199 1.23-7.80). There were no significant differences between the groups at the 12 months follow-
200 up. At the 24 months examination, the xylitol group had higher number of dentin caries teeth
201 (D₄₋₆T; RR = 2.88, 95% CI 1.11-7.43) and surfaces (D₄₋₆S; RR = 3.61, 95% CI 1.22-10.75)
202 than the erythritol group. At 36 months, the xylitol group had higher number of dentin caries
203 teeth (D₄₋₆T; RR = 2.3, 95% CI 1.19-4.46) and surfaces (D₄₋₆T; RR = 2.60, 95% CI 1.31-5.18)
204 than the sorbitol group. Three years after cessation of daily consumption of polyol candies, no
205 significant differences in decayed, missing, and filled teeth and surfaces could be observed
206 between intervention groups.

207 **ICDAS-based definition of caries event transitions**

208 Implementation of the ICDAS score in dental research allows examiners to classify the
209 carious status of each tooth surface using a seven-point ordinal scale ranging from sound to
210 extensive cavitation [Ismail et al., 2007]. As this scale allows discrete stratification of the
211 extensiveness of tooth decay, it enables defining singular transitions or events that allow
212 efficacy analysis in caries-preventive intervention trials. Here, we apply ICDAS-based
213 survival analyses to assess the long-term impact of erythritol, xylitol, and sorbitol candy
214 consumption on enamel and dentine lesion developments, progression of decay, and necessity
215 of dentist intervention. For each event defined, the percentage of transitions observed during
216 the initial intervention trial as well as throughout intervention and follow-up period are listed
217 in Table 3. During the intervention period, percentages of surfaces experiencing a transition
218 was significantly lower for all events defined in children receiving erythritol-containing
219 candies as compared to the participants consuming xylitol or soribitol candies. Three years
220 after cessation of intervention, percentages of surfaces developing enamel/dental caries,
221 dental caries, or subject to dentist intervention was still reduced in erythritol group, while the

222 latter event was also significantly less frequently observed in the xylitol cohort group using
223 the control group as reference.

224 **Survival analysis**

225 Survival curves, graphic representations of the probabilities of surfaces of not
226 experiencing transition events over time, were generated for each intervention group. The log-
227 logistic model applied included terms for intervention group, age of the subject, school, time
228 of eruption, and years of intervention. Parameter estimate, standard error, p-value, and
229 acceleration factor for intervention groups are presented in Table 4. Both enamel/dentine
230 caries development and increase in caries score were significantly slowed down in the
231 erythritol study group during the trial (acceleration factor >1). Remarkably, time to
232 enamel/dentine caries development and increase in caries score was shorter in the xylitol
233 intervention group when compared to children consuming sorbitol candies. Three years after
234 completion of the polyol intervention, increase in caries score was still significantly faster in
235 pupils that received xylitol-containing candies.

236 Survival curves were generated for each intervention group using a model taking into
237 account age of the subjects, schools, time of eruptions, and years of surface exposure to
238 intervention (fig. 2). For all events identified, time to transition was significantly prolonged in
239 children consuming erythritol-containing candies as compared to the control group at the end
240 of the intervention period. Three years after completion of the trial, enamel/dentine caries
241 development, dentine caries development, and dentist interventions were still significantly
242 delayed in the erythritol intervention group. No significant benefits were observed for the
243 xylitol cohort. No adverse effects were observed in any of the intervention groups.

244

245 **Discussion**

246 Dealing with exfoliating and erupting teeth is probably one of the major challenges
247 when analyzing results of caries intervention studies in children with mixed dentition. Using a
248 classic analytical design, robust analyses should probably be limited to those teeth and
249 surfaces present during the entire study period [Larmas, 2015]. However, this limitation
250 weights significantly on the statistical power of the analyses, especially in studies like ours
251 that aim to study caries development over a longer period of time. Here, we use the ICDAS
252 scoring system to define a set of events that allows application of survival analysis on caries
253 development. One of the advantages of applying this analytical technique on mixed dentition
254 is the fact that also data on teeth that exfoliated or erupted during the study can be included in
255 modeling efforts. Moreover, introduction of a term describing age of teeth in the survival

256 models allows integration of all available information on both primary and permanent
257 dentition, a critical issue in caries intervention studies in mixed dentition [Riley et al., 2015].

258 Only a few clinical trials have assessed caries-preventive effect of polyol consumption
259 beyond the duration of intervention. A long-term effect of daily consumption of xylitol
260 chewing gum was first reported by Isokangas *et al.* [Isokangas et al., 1989; 1993] in several
261 follow-up studies of the Ylivieska (Finland) trial [Isokangas et al., 1988]. During a two-year
262 intervention, 172 11- to 12-year-old children were asked to chew xylitol gums three times
263 each day, resulting in a daily xylitol consumption of 10.5 g. No gums were provided to the
264 control group (n=152). Based on total caries experience recorded at the beginning of the trial,
265 66 children (30 xylitol versus 36 control subjects) were classified as high-risk subjects and
266 enrolled in a third year of intervention. Both over the two- and three-year intervention
267 periods, daily chewing of xylitol gums significantly reduced increment in DMFS scores
268 [Isokangas et al., 1988]. Respectively five [Isokangas et al., 1989] and seven [Isokangas et al.,
269 1993] years after the start of the study – two/three and five years after discontinuation of daily
270 xylitol chewing – 269 and 258 (the latter excluding high-risk individuals) subjects that
271 participated in the Ylivieska trial were re-examined to investigate a potential induction of a
272 long-term effect. The caries-preventive effect associated with xylitol gum-chewing was
273 reported to persist and even increase over time.

274 A second follow-up study with similar results was carried out five years after
275 termination of the Dangriga (Belize) clinical trial [Mäkinen et al., 1996; Hujoel et al., 1999].
276 During the initial two-year intervention, 510 children averaging six years of age were
277 requested to chew xylitol (10.4 or 10.7 g/day), sorbitol (10.4 or 10.7 g/day) or mixed
278 (xylitol+sorbitol, 7.1+2.7 or 9.7+2.7 g/day) gums five times per day [Mäkinen et al., 1996].
279 Compared to the no-gum group, all interventions resulted in a decreased caries onset risk for
280 primary surfaces. The largest reduction of caries development risk was observed in the 10.7
281 g/day xylitol group. Five years after the end of the two-year intervention, 288 children were
282 re-examined to assess a potential long-term effect of habitual polyol gum-chewing [Hujoel et
283 al., 1999]. While no long-term caries preventive effect could be observed in the sorbitol
284 group, both xylitol and mixed gum-chewing reduced caries onset risks significantly.

285 A third study assessed the impact on caries development of daily consumption of
286 4.7/4.6 g xylitol/maltitol or 4.5/4.2 g erythritol/maltitol lozenges on caries development over a
287 four-year period (1/2 years of intervention for each treatment) in 496 children from the region
288 of Kotka (Finland), an area with low caries prevalence [Lenkkeri et al., 2012]. Compared to a

289 passive (no intervention) control group, no additional caries-preventive effect in terms of
290 reduction of DMFS increment associated to lozenge consumption could be observed.

291 In the present study, using survival analyses, a significant though moderate long-term
292 effect of daily consumption of 7.5 g erythritol under the form of candies was observed. In
293 terms of DMFS score evolution, no differences could be observed between the erythritol
294 intervention and control groups three years after discontinuation of polyol candy
295 consumption. However, analysis of survival curves per intervention group revealed that
296 subjects that had been consuming erythritol candies were characterized by delayed
297 enamel/dentine caries development, delayed dentine caries development, and delayed dentist
298 interventions. These observations confirm the previously reported results of the actual
299 intervention study. The survival models applied include terms addressing variation in age of
300 participants, clustering effects due to school/class-based randomization, and effects of tooth
301 exfoliation and duration of treatment that could affect differences in caries development
302 between intervention groups.

303 Differences in long-term impact of polyol intervention between the present study and
304 the Ylivieska and Dangriga long-term analyses – reporting up to 64% reduction in caries
305 increment [Isokangas et al., 1989] – are inherent to the set-up of the intervention trial. Not
306 only did we opt for an alternative delivery mode (candies versus gums, reducing the effect of
307 mechanical plaque removal and minimizing the impact of salivary flow stimulation by
308 chewing a gum), we also provided dental health education, toothbrushes, and fluoride
309 toothpaste to participants and included an active control group (administration of sorbitol
310 candies rather than a passive, no intervention group) in the study design. Moreover, compared
311 to the Dangriga trial [Mäkinen et al., 1996], baseline caries risk in the Tartu population was
312 only moderate. Concerning the effect on DMFS increment, results of the present study do
313 align with the findings of the Kotka intervention [Lenkkeri et al., 2012]. They also reveal the
314 need for the implementation of statistically more powerful efficacy analyses when studying
315 caries prevention in low prevalence populations.

316 The long-term caries-preventive effect of polyol consumption has been explained by
317 Loesche's hypothesis stating that the characteristics of the dental microbiota established at
318 time of eruption determine the life-long caries risk [Loesche, 1985]. Polyol intervention
319 during eruption of permanent teeth – as in the present study - would not only create optimal
320 physicochemical circumstances for optimal tooth maturation, bacterial colonization of teeth
321 by a commensal microbiota would also result in the development of a stable tooth-associated
322 microbial ecosystem hampering posterior infection with *S. mutans* [Isokangas et al., 1989;

323 Hujoel et al., 1999]. Indeed, it has been demonstrated that erythritol consumption does not
324 only affect plaque weight and acid concentrations, but also reduces salivary and plaque *S.*
325 *mutans* abundances [Runnel et al., 2013]. The latter has been linked with the inhibiting effect
326 of erythritol on *S. mutans* adherence and its suppression of glucosyl- and fructosyltransferases
327 [Park et al., 2014].

328 Remarkably, no effect of xylitol intervention in comparison to the sorbitol control
329 could be noted on the events defined when including terms for age of the subject, school, time
330 of eruption, and years of intervention in the survival model. This observation probably reflects
331 the complications inherently associated to the assessment of additional caries-preventive
332 effects in populations with access to adequate dental healthcare. However, it might also
333 indicate potential microbiota adaptation to regular xylitol consumption [Badet et al., 2004;
334 Van Loveren, 2004].

335 In conclusion, the present study demonstrates that the differences observed in terms of
336 decreased increment of decayed, missing, and filled teeth and surfaces in children with mixed
337 dentition after three-year regular consumption of erythritol-containing candies compared with
338 xylitol and control candies could no longer be observed three years after ending the
339 consumption. However, three years after completion of the intervention trial, survival analysis
340 allowed to detect delayed development of both enamel/dentine and dentine caries and dentist
341 interventions in the erythritol group when compared to control intervention.

342

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350

351 **Author Contributions**

352 E.H., K.M., and M.S. designed the study; R.N. and M.S. organized the practicalities of the
353 study; S.H., R.R., J.O., and E.H. performed the clinical examinations; P.L.M. and K.M.
354 performed the biometric measurements; S.R. implemented the oral health education sessions;
355 E.H., K.M., M.S., R.R., S.H., and P.L.M. conducted the school visits; T.V., G.F., E.H. and
356 S.H. analyzed the data; G.F. and S.H. wrote the paper.

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426 **Legends for the figures**

427

428 **Fig. 1.** CONSORT flow diagram of the Tartu caries study (166/T-7), Clinical Trials.gov
429 Identifier NCT0106233.

430

431 **Fig. 2.** Survival curves for erythritol (E), xylitol (X), and sorbitol (S; control) intervention
432 groups. **(A) Time to enamel/dentine caries development** (2008-2011 log-rank test p-
433 value \leq 0.0001, pairwise to control: xylitol p-value=0.6464, erythritol p-value=0.0004; 2008-
434 2014 log-rank test p-value=0.0084, pairwise to control: xylitol p-value=0.5489, erythritol p-
435 value=0.0197) **(B) Time to dentine caries development** (2008-2011 log-rank test p-
436 value \leq 0.0001, pairwise to control: xylitol p-value=0.4753, erythritol p-value=0.0002; 2008-
437 2014 log-rank test p-value \leq 0.0001, pairwise to control: xylitol p-value=0.4893, erythritol p-
438 value=0.0003); **(C) Time to increase in caries score** (2008-2011 log-rank test p-
439 value \leq 0.0001, pairwise to control: xylitol p-value=0.1394, erythritol p-value=0.0032; 2008-
440 2014 log-rank test p-value=0.0012, pairwise to control: xylitol p-value=0.0749, erythritol p-
441 value=0.0591); **(D) Time to dentist intervention** (2008-2011 log-rank test p-value= $<$ 0.0001,
442 pairwise to control: xylitol p-value=0.0788, erythritol p-value= $<$ 0.0001; 2008-2014 log-rank
443 test p-value=0.0006, pairwise to control: xylitol=p-value 0.0541, erythritol p-value=0.0001).

444

445 **Table 1.** Evolution of intervention groups' sizes throughout intervention and follow-up period

	Erythritol				Xylitol				Sorbitol			
	Joined				Joined				Joined			
	2008	2009	2010	Total	2008	2009	2010	Total	2008	2009	2010	Total
2008	165			165	156			156	164			164
2009	142	14		156	145	21		162	149	14		163
2010	132	13	3	148	132	16	5	153	137	14	5	156
2011	122	10	2	134	126	13	5	144	126	13	3	142
2014	117	10	2	129	100	11	1	112	111	11	1	123

446

447 **Table 2.** Total number of teeth and surfaces, number (%) of decayed and filled teeth and
 448 surfaces, and mean (SEM) of decayed, missing, and filled teeth and surface indices in the
 449 permanent dentition at baseline (2008), year one (2009), two (2010), and three (2011) of
 450 intervention, and three years post intervention (2014)

	Erythritol					Xylitol					Sorbitol				
	2008	2009	2010	2011	2014	2008	2009	2010	2011	2014	2008	2009	2010	2011	2014
n	165	142	132	122	117	156	145	132	126	100	164	149	137	126	111
T	2119	2280	2599	2787	3247	1864	2143	2327	2717	2767	1895	2200	2368	2623	3053
S	9298	10161	11632	12568	14832	8197	9510	10350	12229	12639	8319	9748	10523	11761	13934
D₁₋₃T	306 (14.4)	254 (11.1)	312 (12.0)	354 (12.7)	645 (19.9)	293 (15.7)	273 (12.7)	327 (14.1)	360 (13.2)	486 (17.6)	304 (16.0)	281 (12.8)	316 (13.3)	302 (11.5)	496 (16.2)
D₁₋₃S	427 (4.6)	351 (3.5)	406 (3.5)	449 (3.6)	749 (5.0)	419 (5.1)	375 (3.9)	438 (4.2)	463 (3.8)	572 (4.5)	420 (5.0)	375 (3.8)	418 (4.0)	386 (3.3)	597 (4.3)
D₄₋₆T	21 (1.0)	27 (1.2)	14 (0.5) ¹	23 (0.8)	50 (1.5)	34 (1.8)	30 (1.4)	36 (1.5) ¹	38 (1.4) ²	42 (1.5)	40 (2.1)	33 (1.5)	29 (1.2)	19 (0.7) ²	36 (1.2)
D₄₋₆S	24 (0.3) ³	31 (0.3)	15 (0.1) ⁴	25 (0.2)	54 (0.4)	47 (0.6)	40 (0.4)	47 (0.5) ⁴	47 (0.4) ⁵	54 (0.4)	65 (0.8) ³	44 (0.5)	41 (0.4)	20 (0.2) ⁵	41 (0.3)
FT	151 (7.1)	151 (6.6)	188 (7.2)	230 (8.3)	397 (12.2)	97 (5.2)	123 (5.7)	156 (6.7)	200 (7.4)	309 (11.2)	90 (4.7)	147 (6.7)	189 (8.0)	202 (7.7)	320 (10.5)
FS	186 (2.0)	195 (1.9)	252 (2.2)	323 (2.6)	538 (3.6)	123 (1.5)	160 (1.7)	204 (2.0)	259 (2.1)	384 (3.0)	126 (1.5)	200 (2.1)	265 (2.5)	297 (2.5)	429 (3.1)
D₄₋₆MFT	1.10 (0.13)	1.23 (0.13)	1.50 (0.15)	2.01 (0.20)	3.67 (0.29)	0.88 (0.12)	1.07 (0.13)	1.44 (0.15)	1.86 (0.15)	3.41 (0.29)	0.92 (0.12)	1.18 (0.12)	1.56 (0.16)	1.74 (0.18)	3.11 (0.28)
D₄₋₆MFS	1.62 (0.24)	1.68 (0.20)	2.01 (0.22)	2.87 (0.31)	5.09 (0.51)	1.42 (0.25)	1.44 (0.19)	1.97 (0.24)	2.52 (0.24)	4.29 (0.43)	1.82 (0.37)	1.66 (0.21)	2.29 (0.27)	2.69 (0.34)	4.24 (0.46)

451 T, number of teeth; S, number of surfaces; D₁₋₃T/S, number of teeth/surfaces with enamel caries; D₄₋₆T/S, number of teeth/surfaces with
 452 dentin caries; FT/S, number of teeth/surfaces with fillings; D₄₋₆MFT/S, sum of decayed (enamel caries), missing, and filled teeth/surfaces.
 453 ¹xylitol vs erythritol, p=0.029 for difference between groups, negative binomial regression adjusted for gender, age and school; ²sorbitol vs
 454 xylitol, p=0.013; ³sorbitol vs erythritol, p=0.016; ⁴xylitol vs erythritol, p=0.021; ⁵sorbitol vs xylitol, p=0.006

455

456 **Table 3.** Percentages of transition events observed for each intervention group during the
 457 intervention and follow-up period

Transition event	Erythritol (%)	Xylitol (%)	Sorbitol (%)	Erythritol vs Sorbitol*	Xylitol vs Sorbitol*
Three-year intervention period					
Enamel/dentine caries development	4.6	5.6	5.5	0.0001	0.7299
Dentine caries development	1.3	1.9	1.8	<0.0001	0.5627
Increase in caries score	5.4	6.5	6.2	0.0012	0.1837
Dentist intervention	1.6	2.2	2.4	<0.0001	0.0910
Three year post-intervention/follow-up					
Enamel/dentine caries development	6.6	7.2	7.2	0.0365	0.8380
Dentine caries development	1.5	2.0	2.0	0.0003	0.6847
Increase in caries score	7.5	8.2	8.0	0.0853	0.3959
Dentist intervention	2.8	3.0	3.5	0.0001	0.0178

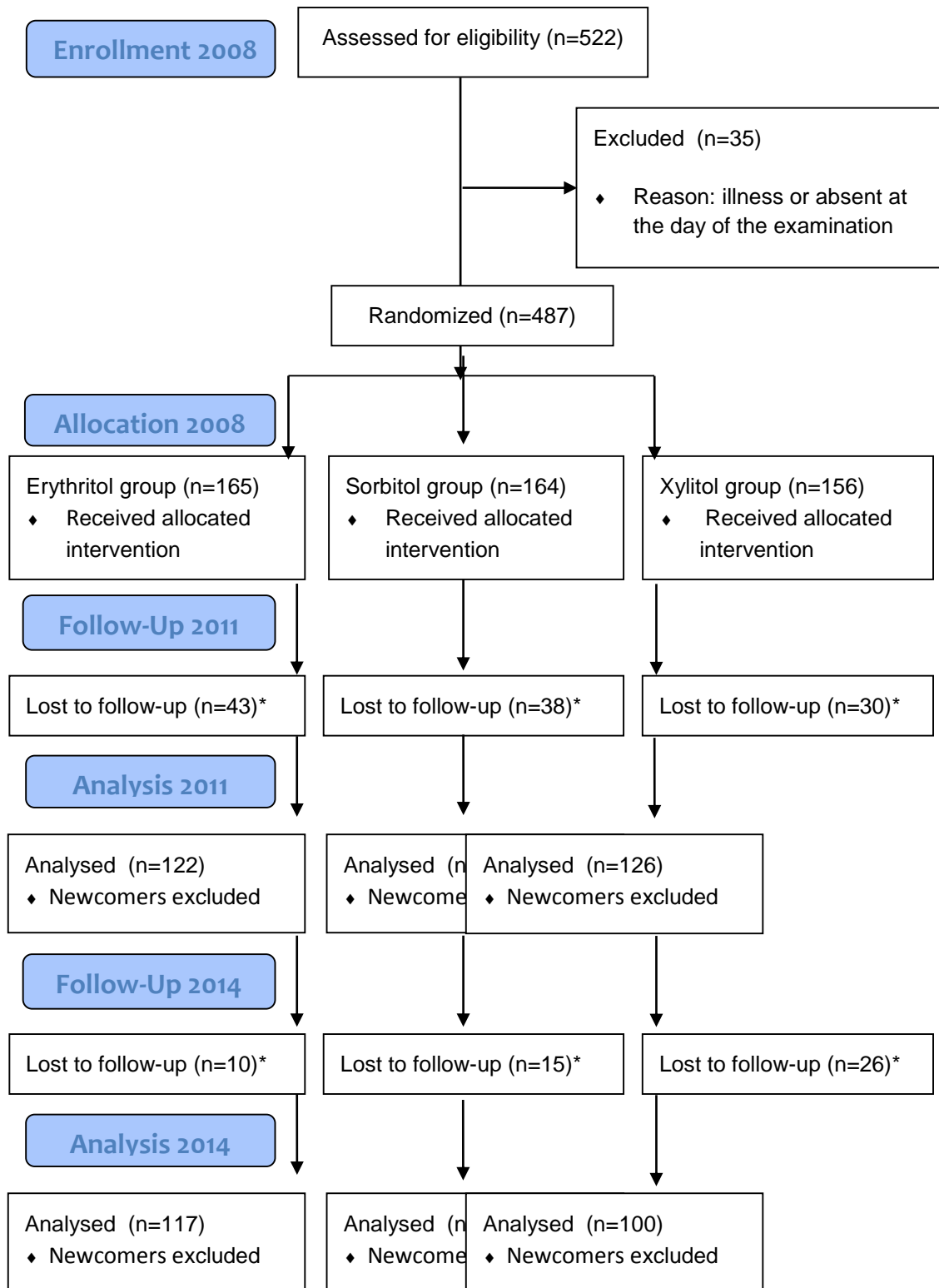
458 * Fisher's exact (two-tail) p-value
 459

460 **Table 4.** Interval-censored survival analysis using the control group as a reference.

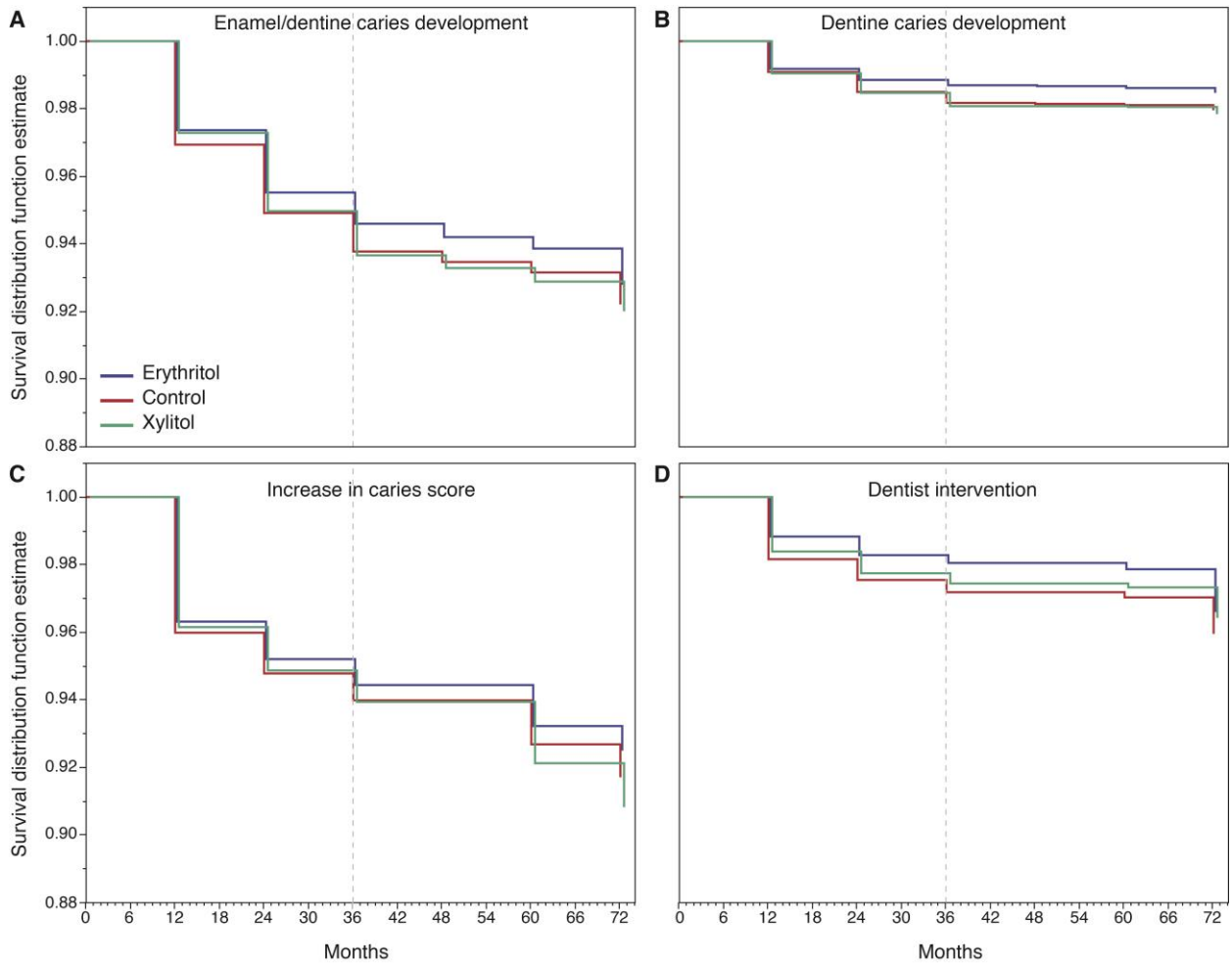
Transition event	Treatment	Estimate	Standard error	p-value	Acceleration factor
Three-year intervention period					
Enamel/dentine caries development	Erythritol	0.1110	0.0431	0.0100	1.1174
	Xylitol	-0.1009	0.0394	0.0105	0.9040
Dentine caries development	Erythritol	0.1784	0.0948	0.0599	1.1953
	Xylitol	-0.1099	0.0722	0.1278	0.8959
Increase in caries score	Erythritol	0.0900	0.0415	0.0300	1.0942
	Xylitol	-0.1143	0.0382	0.0028	0.8920
Dentist intervention	Erythritol	0.1121	0.0859	0.1922	1.1186
	Xylitol	-0.0111	0.0749	0.8819	0.9889
Three year post-intervention/follow-up					
Enamel/dentine caries development	Erythritol	0.0333	0.061	0.5867	1.0339
	Xylitol	-0.1006	0.0574	0.0797	0.9043
Dentine caries development	Erythritol	-0.0264	0.1343	0.8444	0.9740
	Xylitol	-0.2017	0.1085	0.0630	0.8173
Increase in caries score	Erythritol	0.0038	0.0334	0.9103	1.0038
	Xylitol	-0.0784	0.0313	0.0121	0.9246
Dentist intervention	Erythritol	0.0938	0.0645	0.1460	1.0984
	Xylitol	0.0940	0.0649	0.1475	1.0986

461

CONSORT Flow chart



463



464