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The Crystal Structure Analysis by the Subsidiary Maxma of the Electron Diffraction Pattern*

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A new method for determining the crystal structure is presented, which is entirely based on the measurement of linear components of the electron diffraction pattern instead of the intensity of the diffraction as ordinary procedure used so far for structure analysis in X-ray and electron diffraction methods.

The linear components of the diffraction pattern used here were those of the subsidiary maxima of diffraction spots. According to the dynamical theory of the electron diffraction, the displacement of the subsidinary maximum from the main diffraction spot gives the Fourier potential V_{hkl} of the periodic field of the crystal lattice, which in turn gives some information about the structure factor of the crystal itself. A practical method for analysis of subsidiary diffraction spots to calculate the Fourier potential was developed. A result of the application of this method to determine the unknown parameter of cadmiun bromide was also presented as an example of one parameter case.

I. INTRODUCTION

In X-ray crystallography, almost all of the works so far made for determining the parameter, the position of each atom in the unit cell, depended on the analysis of the intensity of the diffracted X-ray beam by the crystal. When the electron diffraction method was used, the situation was the same. Though such procedure depending on the intesity measurement are the most regular and orthodox ones, the intensity measurement itself demands may techniques of high grades and serious precausion. The principle of the method reported here, for determining the crystal parameter, depends only on the measurement of geometrical quantities such as the linear distance and angular relationship of reflections described on the electron diffraction pattern and has a great advantage because the precise measurement of such linear components is much easier than the intesity measurement.

The linear components of the diffraction pattern used here are those of the fine structure of diffraction spots accompanied by subsidiary maxima. The theoretical principle of this method is mainly basaed on the dynamical theory of electron diffraction which was developed by Bethe¹⁾, MacGillavry²⁾, and Kato and Uyeda³⁾.

On the other hand, recent advance in the electron optics made it possible to

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increase the resolving power of the electron diffraction pattern by the use of electron lenses in a diffraction unit and to describe such fine structures as subsidiary maxima which appear in the elongated intensity regions of reciprocal lattice points for thin lamellar crystals, split diffraction spots caused by the refraction effect of micro-crystals of various shapes, and so on. Recently, Cowely, Goodman and Rees⁴⁾ also reported a similar method to draw a section of the pontential map of the micro-crystal of magnesium oxide using the Fourier potentials calculated from the linear component of the split spot groups of the electron difftaction pattern.

The small intervals of the subsidiary maxima also give some information about the Fourier potential V_{hkl} of the periodic lattice structure of the crystal, and, moreover, the V_{hkl} is closely related to the structure factor which give us the final information about the lattice parameter itself.

The works relating to the analyis of subsidiary diffraction spots were made by Hashimoto⁵⁾ with a molybdenum oxide crystal and by Uyeda et al.⁶⁾ with the crystal of molybdenum sulfide, both for the verification of the dynamical theory itself, and the results proved the good coincidence between the theoretically and experimentally obtained values of the Fourier potential for the crystal of a known lattice structure. A similar work was also reported by the author⁷⁾ on an application to calculate the the thickness of the specially prepared lamellar crystals of colloidal gold.

A report is hereby made on the results of the application of this method to determine the position of the halogen atoms in the hexagonally close-packed layer lattice with the lamellar micro-crystal of cadmium bromide, as an example of the one parameter case.

II. THEORETICAL

According to the dynamical theory of electron diffraction, the interference function along the normal direction to a principal habit surface of the crystal is given, in place of the kinematical one, by a slightly modified form as,

$$\sin^2 \pi M h_L / (\pi h_L)^2. \tag{1}$$

where M is the number of atomic lattice normal to the direction along which Eq. 1. is defined. The dynamical modification is made on the quantity h_L in the form as

$$h_L = \sqrt{h^2 + (2d_N q)^2} \tag{2}$$

where h is the parameter in the reciplocal lattice along the direction nomal to the principal habit surface, and d_N is the lattice spacing of the net plane normal to the same direction. Furthermore, q is given by

$$2d_N V_{hkl}/\lambda E \sqrt{\cos\theta_1 \cdot \cos\theta_2}, \tag{3}$$

where V_{hkl} , ascribed to the spot of (hkl) reflection, is the Fourier potential of the periodic field in the crystal, and θ_1 and θ_2 respectively correspond to the angles contained between the normal to the crystal habit surface and the direction of incident and diffracted eletron beam, whose wave length is equal to λ under the

accelerating voltage E. In such a modified form, the periodic function expressed in Eq. 1, has its maxima at the point where the parameter h_n is given by

$$h_n^2 = \left(\frac{2n+1}{2M}\right)^2 - \left(\frac{d_N V_{nkl}}{\lambda E_V \cos \theta_1 \cos \theta_2}\right)^2 \tag{4}$$

where n is ordinal number for the subsidiary maxima and takes positive integer.

When the crystal takes layered structure, whose lattice spacing d_N , and has a lamellar crystal habit, whose flat surface is parallel to the layer, thiskness D of the crystal is given by

$$D = M \cdot d_N \tag{5}$$

When the crystal is thin and M is small, the interval as well as the height of the subsidiary maxima become larger than those of the thicker crystal, as revealed by the Eq. 1; and this fact explains the elongation of the intensity region of the reciprocal lattice point in the direction normal to the habit surface. It is the advantage of the electron diffraction method, that, owing to the great interfering ability of the electron beam with the atoms, the sufficient intensity can be obtained for the diffracted beam even when the M, which is the number of the diffraction lattices, is small. This results in the complete seperation of the subsidiary maxima in the final diffraction pattern, coupled with the increase in the resolving power of the electron diffraction apparatus. These subsidiary maxima can be realized on the diffraction pattern by artificial rotation or fortuitous bending of the lamellar crystal as shown in Fig. 1, where the latter case is illustrated.

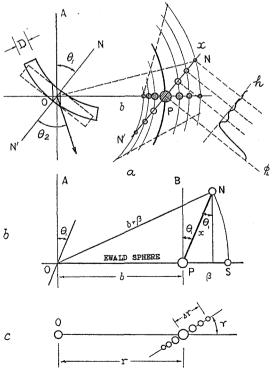


Fig. 1. Schematic illustration of the analysis of subsidiary maxima of elongateted diffraction spots.

For the interpretation and the analysis of the election diffraction pattern, it is convenient to consider the relationship between the reciprocal lattice and the Ewald sphere, as the intersection of the two reveals the diffraction pattern itself. In Fig. 1 (a), O and P are respectively the origin and the reciprocal lattice point, whose index is (hkl) and to which the principal maximum of Eq. 1 is ascribed. The direction NN' is parallel to the normal of the flaky habit surface of the lamellar crystal, which is superimposed as a section at the origin. On the line NN', the small blank circles, ranking symmetrically on both sides of the principal maximum correspond to the position of subsidiary maxima of the interference function, which is shown schematically by the curve in the space.

When the crystal is rotated or bent around an axis perpendicular to the plane containing the three points, O, P and the dispersion point A, the resultant rotation of the whole reciprocal lattice around the same axis at the origin causes the subsidiary diffraction points to describe concentric circles, as shown by the arcs in the figure. When the Ewald sphere cuts throught the point (hkl), the section of these circular loci appears as the subsidiary maxima of the diffraction spots of the "elongation". When the simplest case is dealt with, where the normal NN' is also contained in the OPA plane, the relationship between the intervals of the subsidiary diffraction spots and those of reciprocal lattice can be revealed. Let $\Delta\theta_n$ be the angular displacement contained between the principal spot and each n-th subsidiary one, which appears on the outer side on the main spot in the diffraction pattern, then the relationship between $\Delta\theta_n$ and h_n , the displacement of the n-th subsidiary maxima from the main one in the NN' direction. is given by

$$h_n = d_N \left\{ \sqrt{\frac{\sin^2 \theta_1}{d^2} + \frac{2\Delta \theta_n}{\lambda d} + \frac{(\Delta \theta_n)^2}{\lambda^2} - \frac{\sin \theta_1}{d}} \right\}, \tag{6}$$

where d is the interplanar spacing of the (hkl) plane, θ_1 is the angle between the normal of the crystal and the incident electron beam. The procedure of deriving this equation is obvious from Fig. 1 (b), because the following relation can easily be brought about; $(b+\beta)^2 = x^2\cos^2\theta_1 + (b+x\sin\theta_1)^2$ where b, β and x represent such quantities as 1/d, $\Delta\theta_n/\lambda$ and h_n/d_N respectively, which are characteristic of the reciprocal lattice. In the above case, the series of subsididiary diffraction spots rank on a line in the radius direction. But, in general, they often deviate from the same direction, because the rotation axis is not always perpendicular to the Δ OP plane when fortuitous warping of the crystal is expected instead of the artificial rotation. In such a case, a generalized form of Eq. 6 must be used, which can be represented as:

$$h_n = d_{N_{\perp}} \left\{ \frac{\sin^2 \theta_1 \cos^2 (\gamma + \sigma)}{d^2} + \frac{2d\theta_n \cos \gamma}{\lambda d} + \frac{d\theta_n^2}{\lambda^2} \right\} - \frac{\sin \theta_1 \cos (\gamma + \sigma)}{d} \right\}. \tag{7}$$

Where γ and σ are the angles between the radius direction passing through the main point and ranking direction of the subsidiary diffraction spots and also the projection of the normal through the main point is taken on the Ewald sphere respectively. (See Fig. 6 in the Appendix.) When the rotation axis is contained in the Ewald sphere which is approximated by a plane in an ordinary manner, σ becomes zero, and Eq. 7 can be reduced to the next form:

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$$h_n = d_N \left[\left\{ \frac{\sin^2 \theta_1 \cdot \cos^2 \gamma}{d^2} + \frac{24\theta_n \cos \gamma}{\lambda d} + \frac{4\theta_n^2}{\lambda^2} \right\}^{\frac{1}{2}} - \frac{\sin \theta_1 \cos \gamma}{d} \right] . \tag{8}$$

The same result can be obtained by replacing d in Eq. 6 by $d/\cos \gamma$. In practice, following equation is most useful which can be given by the replacement of $\Delta \theta_n/\lambda$ with $\Delta r_n/(rd)$ where Δr_n is the lineae displacement of n-th subsidiary diffraction spot from the main one whose radious is given by r:

$$h_n = \frac{d_N}{d} \left\{ \sin^2 \theta_1 \cos^2 (\gamma + \sigma) + 2 \frac{dr_n}{r} \cos \gamma + \left(\frac{dr_n}{r} \right)^2 \right\}^{\frac{1}{2}} - \sin \theta_1 \cos(\gamma + \sigma)$$
 (9)

Furthermone, when subsidiary diffraction spots rank on the radius direction through the main spot, Eq. 8 completely accords with Eq. 6, as in such a case γ vanishes. The procedure to derive Eq. 7 is shown in the Appendix.

On the other hand, V_{hkl} is related to the structure factor through the following equation:

$$V_{hkl} = \frac{ed^2}{\pi V} \cdot F_{hkl} = \frac{ed^2}{\pi V} \sum (Z - f) e^{-2\pi l \cdot \text{tr.g.}}$$
(10)

where V is the volume of the unit cell. The structure factor F_{hkl} of the crystal corresponds to the term under the summation which must be carried out all over the unit cell. When the space group of the crystal is determined from the diffraction data by taking the index of each reflection into consideration, then the structure factor can be derived though it contains the unknown parameters, which in turn can be solved by several V_{hkl} values through Eqs. 8-10.

As an example of the one parameter case, the hexagonal crystal of RX_2 type whose space group is $R\overline{3}m$ was dealt with in the present work. The crystal

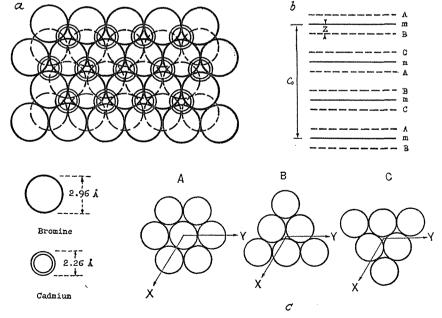


Fig. 2. The lattice structure and the stacking manner of atoms in cadmium bromide crystal.

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of this type has a layered structure composed of hexagonally close-packed double sheets of halogen ions with the small metallic cations in the interstices of this packing as shown in Fig. (2). The lateral stacking manner of neighbouring sheets becomes (AmB) (CmA) (BmC) (AmB)...., where A, B and C are the three possible positions of a hexagonally close-packed sheet in the lateral direction, as shown in Fig. 2 (b) and (c), and is well known as the rhombohedral stacking⁸⁾.

For cadmiun bromide, which has the above crystal structure, the unit cell constants are given to be $a_{\circ}=3.954$ Å, $c_{\circ}=18.672$ Å, and V=252.8 ų in the hexagonal description in place of the rhombohedral one for convenience of calculation. The atomic positions are given as follows³¹:

where u is the unkown parameter and requires the precise determination in the present work.

Taking extinction law given for this stacking, h + k - l = 3n, into account, the structure factor F_{nkl} can be easily simplified with the data of atomic positions as follows:

$$F_{nkl} = 3(Z_R - f_R) + 6(Z_X - f_X) \cdot \cos 2\pi u l,$$
 (11)

where Z and f are atomic number and the atomic scattering factor for X-ray respectively and the suffixes R and X correspond to cadmium and bromine. Thus V_{hkl} is finally given as follow:

$$V_{hkl} = 0.054 \ d^{2}_{hkl} \left[(Z - f)^{2}_{cd} + 2(Z - f)_{Br} \cos 2\pi u l \right], \tag{12}$$

In precise, the u value can be determined by the use of the V_{hkl} curves for various hkl planes previously drawn against the parameter u and by taking the experimentally obtained V_{hkl} values on the curves.

III. EXPERIMENTAL

i) Apparatus

The electron diffraction unit used here is the three-stage electron microscope, SM-C3 which has the specimen holder for electron diffraction of high resolution work at the position between the intermediate and projector lenses. The image of the cross-over point of the electron source is reduced in size by objective lens and the intermediate lens projects it on the screen. Thus, the high resolution type electron diffraction pattern can easily be obtaind. The projector lens, when operated in coordination with the intermediate one, can project the magnified shadow image of the specimen on the screen in place of the diffraction pattern of the same part. This can be used to detect the aspect of the crystal from which the diffraction pattern is originated. The intermediate diaphragm, whose aperture size can be continuously changed, was used to limit the area of the specimen as small as possible to avoid the confusion by the stray diffraction spots coming from unaimed crystals and any other disturbance of the subsidiary maxima from unexpected causes such as the simultaneous reflections within the

crystal itself. Close attention was also paid to avoid the specimen change under the electron irradiation, as the sublimating point of cadmium bromide is rather low. The exposure was therefore limited within a range from 30 seconds to 2 minutes. By such precautions, no change can be observed either on the diffraction pattern or in the electron image of the specimen at all.

ii) Specimen

The micro-crystal of cadmium bromide were prepared and mounted on the specimen trager by the sublimation method in dried carbon dioxide to avoid the effect of water and oxidation in recrystallization. The electron diffraction pattern for each crystal proved that the micro-crystals are cadmium bromide of rhombohedral stacking. In this case, chemically prepared pure micro-crystals of gold¹⁰ were used as the reference for the precise analysis of the spacings.

Some examples of the electron shadow images of the crystal are shown in Fig. 3 together with the electron diffraction pattern corresponding to each crystal, by which the identification was made. The azimuthal orientation of the two pattern was corrected for each pair. The size of the crystal is distributed over a range from about 2 to 10 microns in the width of the hexagonal flat surface, and the thickness is about a few hundred angstroms. The shape of the crystal is nearly hexagonal with round apecies. Sometimes it rolled itself to a tube as in Fig. 3 (e'). In such a case, the diffraction pattern becomes very complex accompanied by the double diffraction and the simultaneous reflection. In another case, not so extreme as in (e'), the crystals have more or less fortuitous warping which can be proved, as Heidenreich¹¹ pointed out, by the parallel extinction fringes appearing on the habit surface, which were caused by the reflection of

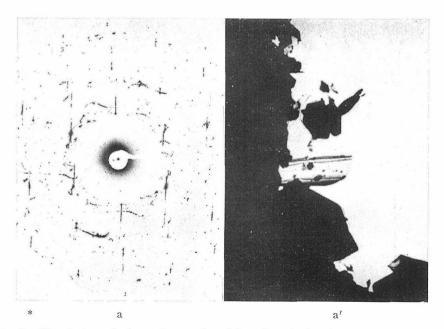
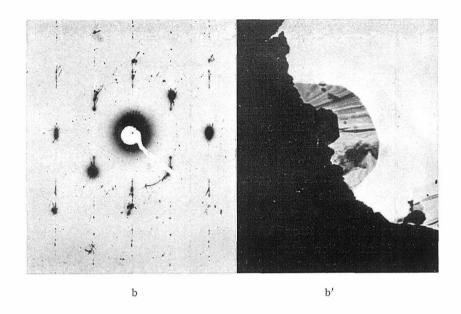


Fig. 3. The electron shadow micrographs of lamellar single micro-craystal of cadmium bromide and their electron diffraction patterns.

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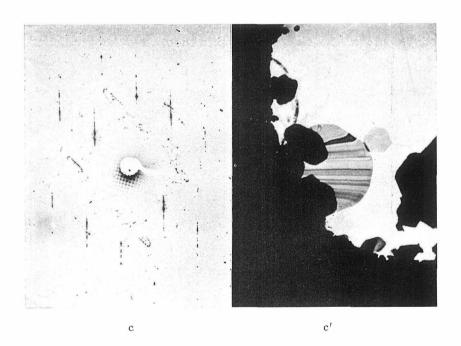


Fig. 3. Continued.

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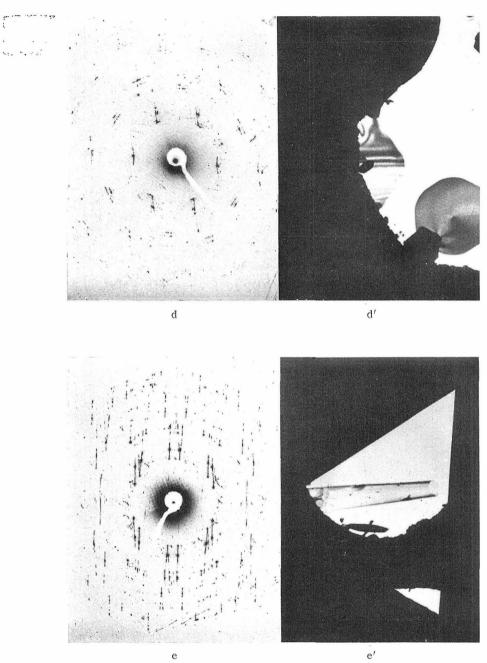


Fig. 3. Continued.

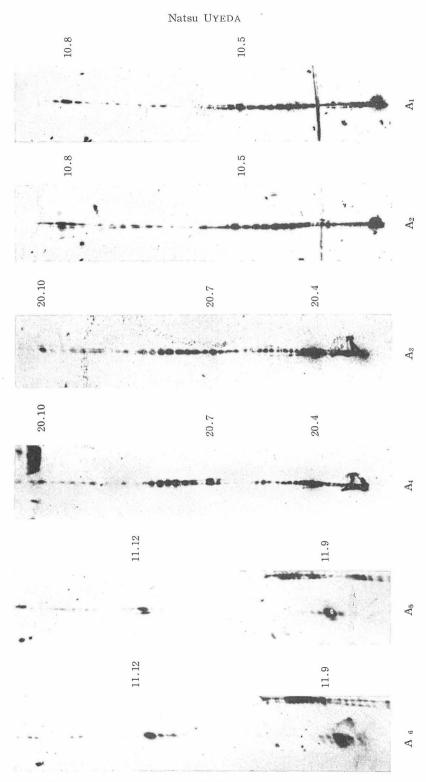


Fig. 4. Magnified photographs of elongated diffraction spots containing the subsidiary maxima.

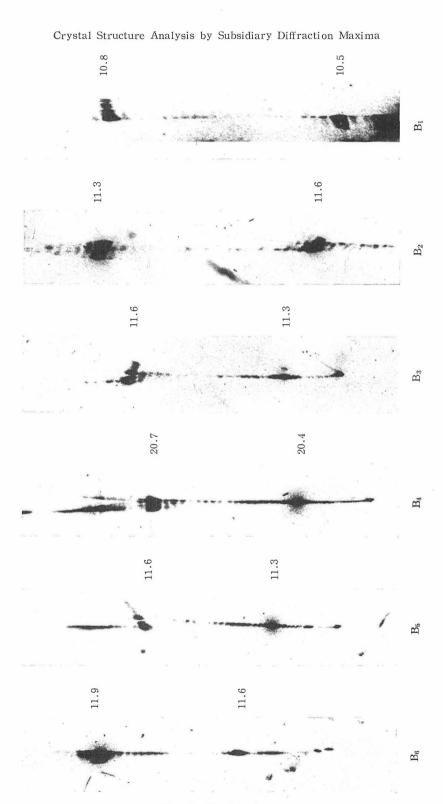
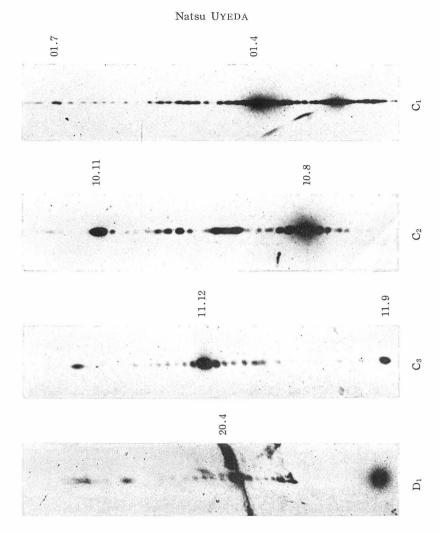


Fig. 4. Continued.



electron at the part of the crystal where the inclination makes for suitable lattice planes to fulfil the Bragg condition.

IV. RESULTS AND DISCUSSION

The electron diffraction pattern shown in Fig. 3 has the parallel elongations of diffracion spots in the direction normal to the extinction fringes of the corresponding electron image of the crystal. The subsidiary maxima ranking in those elongations are distinctly detectable in the magnified photographs collected in Fig. 4. Effective camera length was also extended to about 8 to 10 meters. The precise final magnification of the photographs was determined from the distance between the main diffraction spots. With those photographs, the displacement Δr_n of each subsidiary diffraction spots from the principal one was measured by the distance between the centers of each spots and the angular displacement $\Delta \theta_n$ was calculated. The set of the h_n values are calculated using the $\Delta \theta_n$ values thus obtained through Eq. 6. The value of θ_1 was obtained by taking the orientation of the crystal to incident beam into account. The wave length λ can be

precisely determined with diffraction pattern itself using the accurate camera length of the apparatus.

On the other hand, as a linear relationship exists in Eq. 4 between h_n^2 and $(n+1/2)^2$, the value of M can be estimated from the inclination of the line. M is generally an integer for a crystal, then the nearest integer value must be assigned to it, as the initial M obtained from the inclination is not always an integer. With the value of M thus modified the h_n value can also be corrected through the same linear relationship. Using those h_n value, V_{hkl} was calcultaed as shown in

Table 1. Geometrical quantities of electron diffraction pattern, Fourier potential V_{hkl} and parameter u for CdBr₂.

hkl	d(Å)	n=1	2	3	4	μ	θ_1	θ2	γ	λ(Å)	E '(kV) (V_{hkl} (eV)	u
A 10.5	2.524		0.7088 0.1032			47°28′	44°	45°17′	3°20′	0.0587	```		0.247
10.8	1.928		0.7953 0.1001			34°25′	57°	58°42′	2°	"	//	6.9	0.244
11.9	1.431		0.7919 0.1037			46°43′	47°30′	49°40′	30°	"	"	2.5	0.248
11,12	1.222		0.8550 0.1028			38°11′	49°	51°12′	24°30′	"	//	4.2	0.246
B 10.5	2.524		0.8462 0.1183			47°28′	44°	45°43′	22°20′	0.0592	41.15	3.6	0.248
10.8	1.928		0.0015 0.1141			34°25′	57°	58°42′	22°20′	"	"	6.9	0.245
11.3	1.884		0.4213 0.1189			72°25′	20°	21°32′	30°	"	"	2,6	0.249
20.4	1,608		0.4139 0.1179			69°53′	20°	21°59′	0°	"	"	5.9	0.245
20.7	1.441		0.6285 0.1186			57°19′	34°20′	36°28′	0°	//	"	3.1	0.249
11.9	1.431		0.8400 0.1187			46°43′	47°30′	49°42′	21°	//	//	2.4	0.248
C 01.4	2.761		1.0556 0.1403			53°29′	58°	59°12′	44°	0.0540	49.10	9.8	0.242
01.7	2.104		0.7695 0.1025			38° 0′	70°	71°17′	31°30′	"	"	2.4	0.248
10.8	1.928		0.7259 0.0979			47°28′	57°30′	59° 4′	6°30′	//	//	7.0	0.246
10.11	1,521		0.7479 0.1038			60°54′	64°30′	66°33′	5°	"	"	1.6	0.247
11.9	1.431	0.3699 0.0612	0.6492 0.1036	0.9021 0.1455	1.2985 0.1873	46°43′	48°	50° 4′	16°	//	//	2.6	0.247
11.12	1.222		1.0738 0.1446			38°11′	51°	59°28′	14°	"	"	4.2	0.245
D 20.4	1,608		0.8592 0.2493			70°	20°	24°44′	19°	0.0546	48.20	5.9	0.241

Table 2. Calculated V_{hkl} values for varying parmeter, expressed in unit of eV.

hkl	d(Å)	u 0.23	0.24	0.52	0.26	0.27
01.4	2.761	9.1	9.7	9.9	9,7	9.1
10.5	2.524	6.6	5.0	3.3	1,6	0.0
01.7	2.104	-0.79	0.9	2.9	4.9	6.6
10.8	1.928	5.0	6.6	7.1	6.6	5.0
11.3	1.884	1.1	1.9	2.7	3,5	4.3
20.4	1.608	5.5	5.8	6.0	5,8	5.5
10.11	1.521	-1.2	-0.1	2.1	4.3	5.4
20.7	1.441	-0.83	1.2	3.6	6.1	8.1
11.9	1.431	5.0	3.8	2.0	0,3	-0.9
11,12	1.222	1.9	3.6	4.4	3,6	1.9

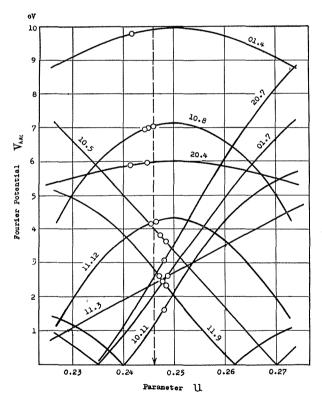


Fig. 5. Fourier potenial versus parameter curves of CdBr2.

Table 1, again through Eq. 4, where E was determined using the wave length λ and θ_1 is the same with the one used in Eq. 6. The value of θ_2 was estimated by taking the Bragg angle θ_B of the (hkl) reflection and the angle μ between the normals of the habit surface and the (hkl) plane, through Eq. 12:

$$\cos\theta_2 = \cos 2\theta_B \cdot \cos \theta_1 - \sin 2\theta_B \cdot \cos\mu, \tag{12}$$

The values for h_n , θ_1 and θ_2 are also given in the same table.

On the other hand V_{hkl} value was calculated for various (hkl) indicies, in the range of u from 0.23 to 0.27, as shown in Table 2. In Fig. 5, V_{hkl} versus u curves are collected for the same range. The curve has its maxima at u = 0.25 and becomes symmetrical on both sides of this point for the reflection whose l is even, while for the reflection whose l is odd, the curve becomes asymmetrical. As in the above method no information can be obtained about the sign of the Fourier potential from the experimental value, the curve is always constructed by the absolute V_{hkl} value, i. e. $|V_{hkl}|$. On these curves, experimentally obtained V_{hkl} values were taken from the axis of ordinates as shown in the figure. The u value obtained from rflection is also shown in Table 1 and falls near a value about 0.25 though some deviations exist. Ideally, these values should become constant and points lie on a line vertical to the axis of abscissas. The averaged value of u in the present case becomee 0.246 ± 0.002 , and is shown by the vertical broken line in the figure. For the parameter curve, whose index has even l and the maxima at u = 0.25, two u values can be obtained for one V_{hkl} value. In such a case, the more suitable value of the two must be selected, taking into account the u value which can be determined with the curve whose index has odd I. The value thus obtained for cadmium bromide is a reasonable one when compared with those for metallic halide crystals which have the layered structure of the same space group such as $CdCl_2$ (u = 0.25), $CoCl_2$ (u = 0.25), $NiBr_2$ (u = 0.255), NiI_2 (u = 0.25).

The accuracy of this method mainly depends on the measurement of Δr_n , the displacement of the subsidiary diffraction maxima. For precise measurement, it is effective to take successively two or tree photographs of the diffraction pattern under the same condition but varying the exposure time, as is often carried out in the case of the intensity measurement. The position of the subsidiary maxima nearer the main one can be precisely determined with the less exposed plate and that of more distant ones with the more exposed plate. The value of V_{hkl} would be obtained within an error of about 5% as suggested from the results so far obtained by many workers with the crystal of known structure. The accuracy to determine the parameter graphically from the V_{hkl} value as in the present work varies with the shape of the parameter curve, which depends on the index of the reflection. For such suitable reflection as (20.7), (01.7), etc., even an error of 10% in the V_{hkl} value causes only 1-2% in u value. Therefore, if the V_{hkl} value is precisely determined, a comparativey accurate value of parameter would be obtained.

For more precise determination of the parameter, the effect of thermal vibration of each atom in the lattice plane must be taken into consideration for the construction of the parameter curve. In the case of cadmium bromide, the anisotropic vibration of the atoms, caused by the layered structure, makes the matter more complex. As this is the first report on the new method of determining the crystal parameter, based eatirely on the geometrical quantity only, the more precise determination of the parameter will be left for further investi-

gations.

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APPENDIX

In the treatment described hereunder, the Ewald sphere is approximated by a plane passing through the origin as in the ordinary electron diffraction. The rotation axis of the reciprocal lattice caused by the bending of the crystal, in

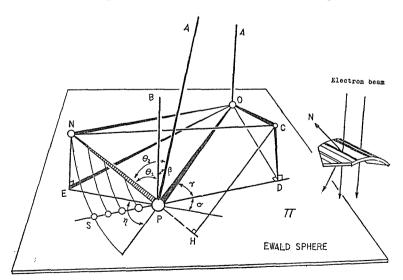


Fig. 6. Schematic illustration of the rotation of the reciprocal lattice for the arbitrarily warping crystal.

general case, is not alway contained in the Ewald sphere and takes an arbitrary angle to the incident electron beam as shown in Fig. 6, where OC represents the axis. AO is the direction of incident beam and stands normally to the Ewald sphere II at the origin O. P and N represent the main diffraction maximum (hkl) and position of the n-th subsidiary maximum on the normal direction along which Eq. 1 is defined in the relation to the parameter h. OP is equal to 1/d (=b). The point A represents the dispersion point of the reciprocal lattice (Ausbreitungspunkt), then AP, which is equal to AO in length and has a quantity of $1/\lambda$, coincides with the direction of the diffracted beam by (hkl) plane when P is contained in the plane II. Let BP is another normal to the same plane at P then \angle APB = $\beta = 2\theta_B$, where θ_D is the Bragg angle for hkl reflection, and \angle BPN and \angle APN are equal to θ_D and θ_B respectively. E is the foot of the normal NE which is put down to the plane II, and PE becomes the projection of PN.

On the other hand, as the rotation of the reciprocal lattice is limited to the case caused by the cylindrical warping of the original crystal, the axis of rotation or warping is always contained in the habit surface of the crystal and perpendicular to the normal which is parallel to PN in the reciprocal lattice. This is also true even when the crystal takes a conical warping instead of the cyindrical one, so far as only the neighbourhood of the narrow domain of the crystal, where the (hkl) reflection in question actually takes place, is taken into account, as the type of the warping can be approximated by the cylindrical one for such a narrow domain. Thus, one and only one plane Ω can be defined which contains the nomal PN and cuts the rotation axis at right angle. The intersection is represented by C in the figure. In such a case, the locus of N is contained in the plane Ω at the rotation. The intersection of the two planes, Π and Ω , becomes a line on which the subsidiary maxima of diffraction spots rank. S is the n-th subsidiary diffraction maximum made by the rotation of N. Let OD be perpendicular to SP, then CD also becomes normal to PD by the theorem of three perpendiculars. Let $\angle OPD = \gamma$, then $PD = b \cdot \cos \gamma$. Furthermore, as $OC \perp NP$, OHbecomes normal to NP, where H is the foot of the perpendicular put down from C. Then $PH = b \cdot \cos \mu$, where μ represents $\angle OPH$.

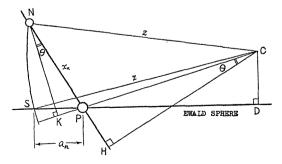


Fig. 7. Schematic illustration of the analysis of subsidiary maxima in elongated diffraction spots for an arbitrary rotation axis.

Now the geometrical relationship, which can be realized within the plane Ω ,

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can be elucidated in the following simple relation, which can easily be found among the geometrical quantities as shown in Fig. 7:

$$CN^2 = NK^2 + (CP + PK)^2 = CS^2 = (SP + PD)^2 + CD^2 = (SP + PD)^2 + (CP^2 - PD^2)$$

These equation can be rewritten in the following form where b' = PD:

$$z^2 = x_n^2 \cos^2\theta + (x_n \sin\theta + y)^2 = (a_n + b')^2 + h_n^2 = (a_n + b')^2 + (y^2 - b')^2$$

By simplificatiom, an equation relating to the unknown quantity x_n can be obtained as follows:

$$x_n^2 + 2x_n v \cdot \sin \theta - (a_n^2 + 2a_n \cdot b') = 0.$$
 (11)

By solving the equation with respect to x_n ,

$$x_n = (y^2 \sin^2\theta + 2a_n y + a_n^2) - y \cdot \sin\theta, \tag{14}$$

which can again be rewritten by the use of the essential value which is defined in relation to the reciprocal lattice, such as

$$x_n = h_n/d_n$$
, y . $\sin\theta = \cos\mu/d$, $a_n = \Delta\theta_n/\lambda$, $b' = \cos\gamma/d$; (15)

When the angle η is put equal to $(\gamma + \sigma)$, where σ represents \angle EPS, the following relationship exists as:

$$NP \cdot \sin \theta_1 \cdot \cos \eta = EP \cdot \cos \eta = NP \cdot \cos \mu$$
,

and this proves the relationship as:

$$\sin \theta_1. \cos (\gamma + \sigma) = \cos \mu. \tag{16}$$

Therefore, Eq. 15 can be modified to the following form as:

$$h_n = d_N \left(\left\{ \frac{\sin^2 \theta_1 \cos^2(\gamma + \sigma)}{d^2} + \frac{2\Delta\theta n \cos\gamma}{\lambda d} + \frac{\Delta\theta n^2}{\lambda^2} \right\}^{\frac{1}{2}} - \frac{\sin\theta_1 \cos(\gamma + \sigma)}{d} \right)$$
(17)

Furthermore, by replacing $\Delta\theta_n/\lambda$ with $\Delta r_n/(rd)$, the following equation can be derived from Eq. 15 as:

$$h_n = \frac{d_N}{d} \left\{ \left\{ \cos^2 \mu + 2 \, \frac{\Delta r_n}{r} \cos \gamma + \left(\frac{\Delta r_n}{r} \right)^2 \right\}^{\frac{1}{2}} - \cos \mu \right\} \tag{18}$$

Where the third term in the parenthesis may often be neglected as it becomes a very small quantity. And in the case, where the rotation axis is containd in the Ewald sphere, σ vanishes, and Eq. 18 results:

$$h_n = \frac{d_N}{d} \left\{ \sin^2 \theta_1 \cos^2 \gamma + 2 \frac{\Delta r_n}{r} \cos \gamma + \left(\frac{\Delta r_n}{r} \right)^2 \right\}^{\frac{1}{2}} - \sin \theta_1 \cos \gamma$$
 (19)

This is the most convenient equation for practical calculation of h_n value from the diffraction data.