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**STUDIES ON THE STRUCTURE AND  
PROPERTIES OF OXYNITRIDE GLASSES**

**HIDERO UNUMA**

**1992**



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## GENERAL INTRODUCTION

This thesis presents a series of studies on the structure and properties of oxynitride glasses. Oxynitride glasses contain nitrogen as well as oxygen as anions in glass structure. In other words, oxynitride glasses are formed when a part of oxygen atoms in oxide glasses are substituted by nitrogen atoms.

In oxide glasses, most properties such as chemical durability, electrical conductivity, crystallization behavior and mechanical properties vary with a change of the cationic composition. So far, the properties of oxide glasses have been designed by the proper selection of cationic species.

The substitution of oxygen atoms by another anion is an alternative method to change the properties of oxide glasses. The substitution of fluorine for a part of oxygen promotes the phase separation[1] and reduces the refractive index of the oxide glass. The substitution of chalcogens for all the oxygen may induce the electronic conductivity. Both the elements, however, weaken the glass networks. It is interesting to see that, in contrast to halogens and chalcogens, nitrogen strengthens the glass when it is incorporated in the glass network.

Elmer and Nordberg[2] and Mulfinger et al.[3, 4] attempted the nitridation of oxide glasses for the first time. Elmer and Nordberg heat-treated a porous silica glass in ammonia, producing nitrated silica glasses. The resultant glasses showed an increased stability to devitrification. Mulfinger et al. melted  $\text{Na}_2\text{O}-\text{CaO}-\text{SiO}_2$  glasses in ammonia, producing nitrogen-containing soda-lime-silica glasses. For melting a batch of the composition  $2\text{La}_2\text{O}_3 \cdot \text{SiO}_2 \cdot \text{Si}_3\text{N}_4$ , Makishima et al.[5] used high nitrogen pressure to prevent the thermal decomposition of  $\text{Si}_3\text{N}_4$ . The resultant La-Si-O-N oxynitride glass containing 18.2 at%



nitrogen showed an excellent chemical durability and transmittance. Kamiya et al.[6] heat-treated silica gel fibers derived from methyltriethoxysilane,  $\text{CH}_3\text{Si}(\text{OC}_2\text{H}_5)_3$ , under a flow of ammonia and obtained Si-O-N glass fibers containing 6 wt% nitrogen, which exhibited an improved chemical durability to alkaline solution.

The glass transition temperature, density, elastic modulus and refractive index increase and the thermal expansion coefficient decreases on incorporation of nitrogen in oxide glasses[7]. Therefore, oxynitride glasses are attracting much attention as prospective materials for industrial use, such as fibers for reinforcing plastics, protective coatings on metals, materials for hermetic sealing of electronic circuits and glasses for solidification of nuclear waste.

The nitrogen atoms incorporated in the glass network form Si-N bonds[5, 8, 9]. It is generally believed that nitrogen atoms may be bonded to three network forming cations. The change of properties, such as the increased density and hardness on nitridation, has been interpreted by the formation of the nitrogen atoms bonded to three network forming cations. Murakami and Sakka[10] have shown on the basis of the ab initio molecular orbital calculation that the Si-N bonds have more covalent nature than Si-O bonds and the bending force constant for the  $\text{N}[\text{Si}(\text{-O-})_3]_3$  is much higher than that of Si-O-Si bonds, to which an increased elastic modulus of oxynitride glasses has been attributed.

In order to apply oxynitride glasses as industrial materials, it is essential to investigate the physical and chemical properties of oxynitride glasses which still remain unclear. In addition, it is essential to understand the effect of nitrogen on the properties of glass from the structure of

glass and the nature of the bonds between nitrogen and other elements.

In this thesis, results of investigations on the structure and properties of oxynitride glasses are described. The structure of oxynitride glasses is discussed in relation to the composition. Effects of addition of nitrogen on the electrical and chemical properties, liquid-liquid phase separation and crystallization are described. Also, the interaction of an oxynitride glass with metals is discussed to find the applicability of oxynitride glasses to preparation of glass-metal composites.

In Chapter 1, molecular dynamics studies on the structure of Na-Si-O-N oxynitride glasses are described. A special attention is focused on the local structure around the nitrogen atoms in relation to the composition. The dependences of the density, bulk modulus and bulk thermal expansion coefficient on the composition are also studied and compared with those observed in the real glasses.

In Chapter 2,  $^{29}\text{Si}$  nuclear magnetic resonance (NMR) investigations on the structure of Na-Si-O-N oxynitride glasses are described. The results are compared with those described in Chapter 1.

In Chapter 3, the electrical conductivity of Na-Si-O-N and Li-Si-O-N oxynitride glasses are described. The effect of nitrogen on the conductivity and activation energy for conduction is discussed from the viewpoint of the change of the covalency of the bonds in the glass network on nitridation.

In Chapter 4, the chemical durability of Na-Si-O-N and Li-Si-O-N oxynitride glasses is described. The effect of nitrogen on the chemical durability is discussed in relation to the change of the covalency of the bonds in the glass network and the diffusivity of ions in the glasses on nitridation.

In Chapter 5, the liquid-liquid phase separation in Li-Si-O-N oxynitride glasses are described. The effect of nitrogen on the phase separation is discussed in relation to the change of the covalency of the bonds in the glass network.

In Chapter 6, the crystallization of Li-Si-O-N and Li-Al-Si-O-N oxynitride glasses is described. The effect of nitrogen on the crystallization temperature, precipitated crystalline phases and nucleation mechanism is discussed. The properties of the resultant Li-Al-Si-O-N glass-ceramics including the density, hardness and thermal expansion coefficient are also described.

In Chapter 7, the interaction of a Na-Si-O-N oxynitride glass with nickel, iron and chromium metals is described. The reactivity of the oxynitride glass with the metals is discussed on the basis of the thermodynamics of the reactions between silicon nitride and the metals.

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# CHAPTER 1 Molecular Dynamics Study on the Structure of Na-Si-O-N Oxynitride Glasses

## INTRODUCTION

Substitution of a part of oxygen atoms in oxide glasses by nitrogen atoms changes the physical and chemical properties of the glasses to a considerable extent[1-3]. The density, hardness, elastic modulus, viscosity and glass transition temperature increase with increasing nitrogen content, and the thermal expansion coefficient decreases. The chemical durability to acidic and alkaline solutions is drastically improved by the incorporation of nitrogen[4]. These changes of the properties suggest that the network of glass is strengthened and tightened by the incorporation of nitrogen.

The properties of oxynitride glasses have been interpreted by many authors[1-8] using a structural model proposed by Mulfinger[9], in which each nitrogen atom is bonded to three network forming (NWF) cations as shown in (1);



In silicate oxynitride glasses, for example, this structural model means that a nitrogen atom makes three silicate tetrahedra,  $[\text{SiO}_{4-m}\text{N}_m]$ , connected with each other at the corners. When such a structural group is formed, the glass network would become compact and the bonds between Si and the anions would be strengthened on the average.

The structural group (1) has been proved to exist in oxynitride glasses by means of IR[10, 11],  $^{29}\text{Si}$  NMR[12, 13] and  $\text{N}_{1s}$  XPS[11, 14] spectroscopies and the analyses of the structure

from the data of density[6] and Young's modulus[7].

On the other hand, recent studies on the coordination state of nitrogen atoms by  $^{15}\text{N}$  MAS NMR[15] and XPS[11, 14, 16, 17] spectroscopies and the neutron diffraction technique[18] have shown that, in addition to structural group (1), the following structural groups (2) and (3) may also exist in oxynitride glasses, in which a nitrogen atom is bonded to two or one NWF cation(s), respectively.



The studies cited above were carried out on the glasses of various cationic compositions. The structure of oxynitride glasses may vary depending on the composition. In order to understand better the properties of oxynitride glasses, it is essential to investigate the structure in relation to the composition.

The molecular dynamics (MD) calculations have been employed to study the structure of inorganic glasses[19, 20], melts[21, 22] and crystals[23, 24], which give numerous informations on the structure such as bond angle distribution and pair correlation function. The advantage of the MD calculations is that the dynamic properties such as elastic behavior, viscosity and diffusion phenomena can also be simulated[25, 26].

In the present chapter, the local structure around nitrogen atoms in Na-Si-O-N oxynitride glasses studied by the MD calculations has been described with respect to its dependence on the composition. The dependences of the properties such as the density, bulk thermal expansion coefficient and bulk modulus on the nitrogen content have been compared with those observed in

the real system to examine the validity of the calculations.

## MOLECULAR DYNAMICS CALCULATION

Two series of glasses were studied. The compositions were  $30\text{Na}_2\text{O} \cdot (70-3x)\text{SiO}_2 \cdot x\text{Si}_3\text{N}_4$  ( $x=0, 2, 5$  and  $10$ ) for one series and  $y\text{Na}_2\text{O} \cdot (85-y)\text{SiO}_2 \cdot 5\text{Si}_3\text{N}_4$  ( $y=30, 20$  and  $15$ ) for the other series. These two series of compositions were selected to examine the effects of the nitrogen and sodium contents, respectively.

The pair potential used was the Busing approximation of Born-Mayer-Huggins form (4)[19, 21, 22, 25].

$$\Phi_{ij} = f_0(b_i+b_j)\exp\{(a_i+a_j-r)/(b_i+b_j)\} + Z_i Z_j e^2 / 4\pi \epsilon_0 r \quad (4)$$

where  $r$  is the distance between atoms,  $\epsilon_0$  is the dielectric constant,  $e$  is the electron charge (unit:C),  $Z_i$  is the valence of  $i$ th atom, and  $f_0 = 6.9472 \times 10^{-11}$  N.  $a_i$  and  $b_i$  are the potential parameters which are related to the size and softness of  $i$ th atom, respectively. The potential parameters of each atom were empirically determined to reproduce two or more crystal structures comprising each atom such as quartz, cristobalite,  $\text{Na}_2\text{Si}_2\text{O}_5$ ,  $\text{Na}_2\text{SiO}_3$ ,  $\text{Si}_2\text{N}_2\text{O}$  and  $\text{Si}_3\text{N}_4$ . The values of the parameters are shown in Table 1. Three dimensional periodic boundary conditions were applied to the basic cells containing 1260 to 1350 atoms. The computations were initiated from a random distribution of the atoms in the basic cells. The equation of motion was integrated at the time intervals of 1.5 to 2.5 fs. A constant pressure of 0.0001 GPa and constant temperatures of 4000, 3000, 2500, 2000, 1500 and 1000 K were achieved and the systems were kept at each temperature for 20 to 100 ps. The structural data were accumulated at 1000 K.

Table 1 The parameter set used  
in the present calculations.

	Z	a (Å)	b (Å)
Si	4	1.012	0.080
Na	1	1.260	0.080
O	-2	1.626	0.085
N	-3	1.713	0.080



The bulk thermal expansion coefficient between 1000 and 2000 K,  $\beta$ , was calculated from the change of the cell volume using equation (5).

$$\beta = (dV/V_0 dT)_{T,P} \quad (5)$$

The bulk modulus, K, was calculated from the change of the cell volume between 0.0001 and 1.0 GPa using equation (6).

$$K = 1/(-dV/V_0 dP)_{T,P} \quad (6)$$

## RESULTS

### (1) Local structure around nitrogen atoms

Table 2 shows the distribution of the number of silicon atoms bonded to a nitrogen atom together with the mean value ( $M_{Si}$ ). Nitrogen atoms bonded to n silicon atoms will be denoted as  $N_{(n)}$ .  $N_{(3)}$ ,  $N_{(2)}$  and  $N_{(1)}$  correspond to structural groups (1), (2) and (3), respectively. As can be seen from Table 2,  $N_{(2)}$  is the predominant species in all the glasses, and  $N_{(3)}$  being the second major species.  $N_{(1)}$  is the minor species. The ratio of  $N_{(2)}$  atoms to the total nitrogen atoms ranges from 59 to 78%.  $M_{Si}$  ranges from 2.4 to 2.1, decreasing with increasing  $Na_2O$  content.

Fig. 1 shows the distribution of the bond angles of  $\langle Si-O-Si \rangle$  and  $\langle Si-N-Si \rangle$  in  $30Na_2O \cdot 40SiO_2 \cdot 10Si_3N_4$  glass. The  $\langle Si-O-Si \rangle$  bond angle distributes from 130 to 180 degree (solid line). On the other hand, the  $\langle Si-N-Si \rangle$  bond angle exhibits a bimodal distribution around 105-135 degree and 140-170 degree (broken line), roughly corresponding to  $N_{(3)}$  and  $N_{(2)}$ , respectively.

Fig. 2 shows the pair correlation functions of the pairs of constituting atoms of  $30Na_2O \cdot 70SiO_2$  and  $30Na_2O \cdot 40SiO_2 \cdot 10Si_3N_4$

Table 2      Distribution of the nitrogen atoms bonded to one, two and three silicon atoms ( $N_{(1)}$ ,  $N_{(2)}$  and  $N_{(3)}$ , respectively) and the mean of the number of silicon atoms bonded to a nitrogen atom ( $M_{Si}$ ).

glass	$N_{(1)}$ (%)	$N_{(2)}$ (%)	$N_{(3)}$ (%)	$M_{Si}$
<u><math>30Na_2O \cdot (70-3x)SiO_2 \cdot xSi_3N_4</math> series</u>				
x=2	2.8	77.8	19.4	2.17
x=5	13.5	61.8	24.7	2.11
x=10	6.2	64.7	29.1	2.23
<u><math>yNa_2O \cdot (85-y)SiO_2 \cdot 5Si_3N_4</math> series</u>				
y=30	13.5	61.8	24.7	2.11
y=20	0.0	74.0	26.0	2.26
y=15	0.0	58.7	40.3	2.41

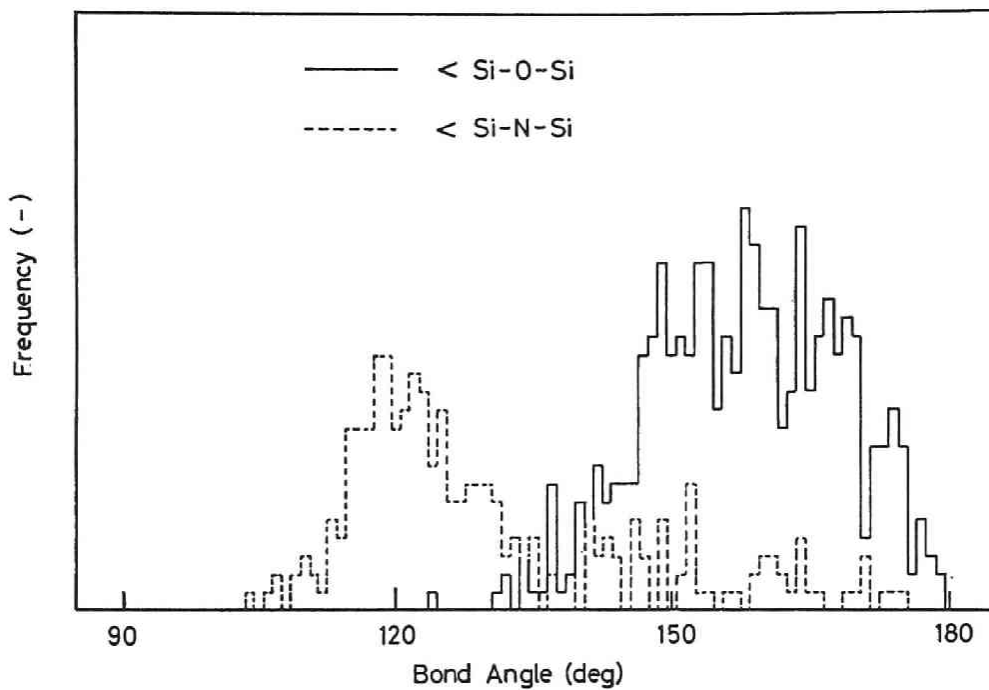


Fig. 1 The bond angle distribution of  $\langle \text{Si-O-Si} \rangle$  (solid line) and  $\langle \text{Si-N-Si} \rangle$  (broken line) in  $30\text{Na}_2\text{O} \cdot 40\text{SiO}_2 \cdot 10\text{Si}_3\text{N}_4$  glass.

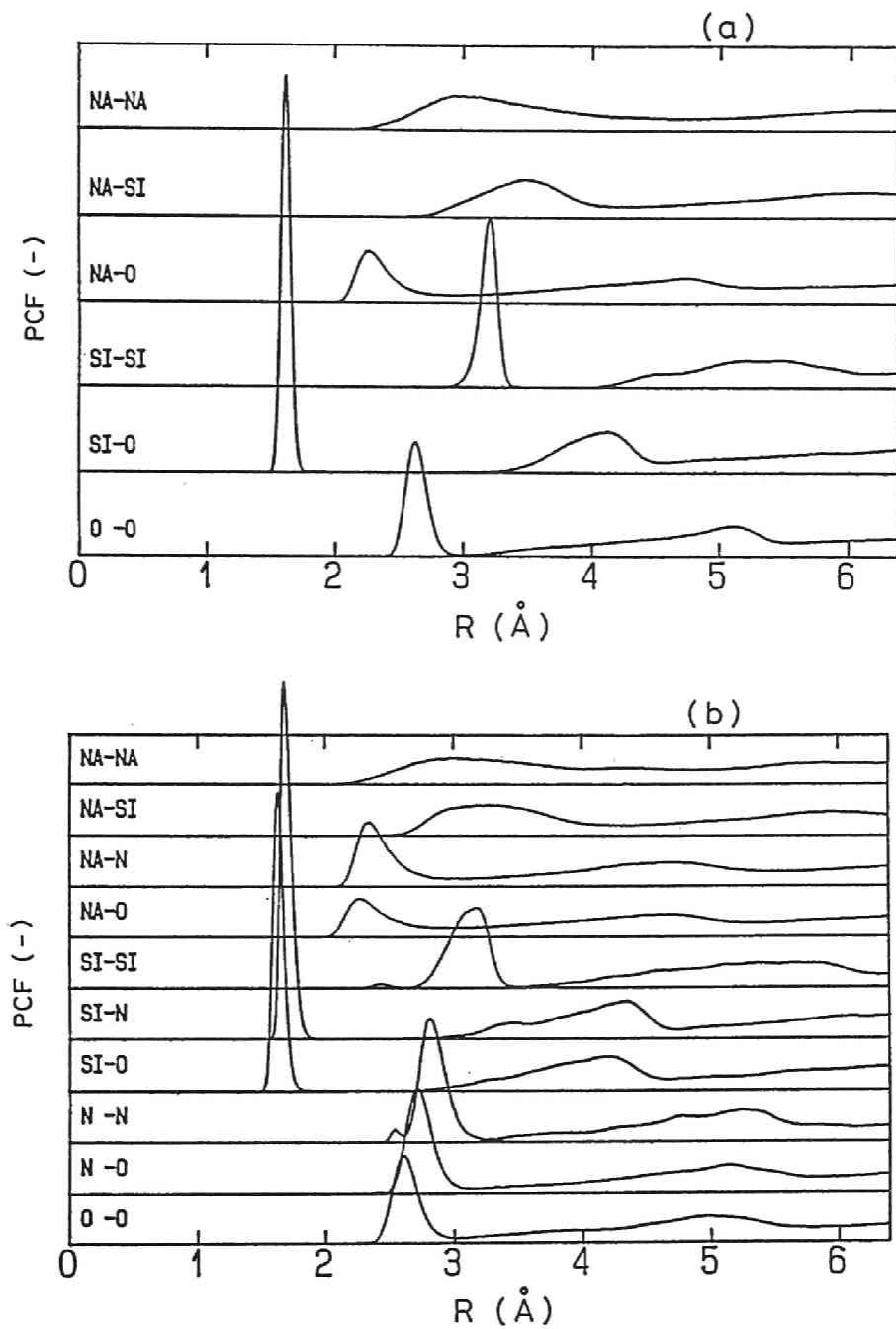


Fig. 2 The pair correlation functions for (a)  $30\text{Na}_2\text{O}\cdot 70\text{SiO}_2$  and (b)  $30\text{Na}_2\text{O}\cdot 40\text{SiO}_2\cdot 10\text{Si}_3\text{N}_4$  glasses.

glasses. The bond lengths of Si-O and Si-N are about 1.63 and 1.70 Å, respectively. The Si-Si distance in the latter glass is shortened compared with that in the former glass.

## (2) Density

Fig. 3 shows the change of the calculated density of  $30\text{Na}_2\text{O} \cdot (70-3x)\text{SiO}_2 \cdot x\text{Si}_3\text{N}_4$  glasses as a function of temperature. At any given temperature, the density increases with increasing nitrogen content.

The density slowly decreases with increasing temperature in the temperature region lower than about 2000 K, and then it decreases a little more rapidly with increasing temperature in the temperature region higher than about 2000 K.

## (3) Bulk thermal expansion coefficient

Fig. 4 shows the variation of the bulk thermal expansion coefficient,  $\beta$ , of  $30\text{Na}_2 \cdot (70-3x)\text{SiO}_2 \cdot x\text{Si}_3\text{N}_4$  glasses with nitrogen content. The values of  $\beta$  measured at room temperature in the real glasses are also plotted in the figure. The calculated  $\beta$  at first decreases, showing a minimum at about 7 at%, and then increases with increasing nitrogen content. The decrease in the calculated  $\beta$  with increasing nitrogen content for the glasses of low nitrogen contents is consistent with that measured in the real glasses.

## (4) Bulk modulus

Fig. 5 shows the change of the bulk modulus,  $K$ , as a function of the nitrogen content.  $K$  increases almost linearly with increasing nitrogen content.

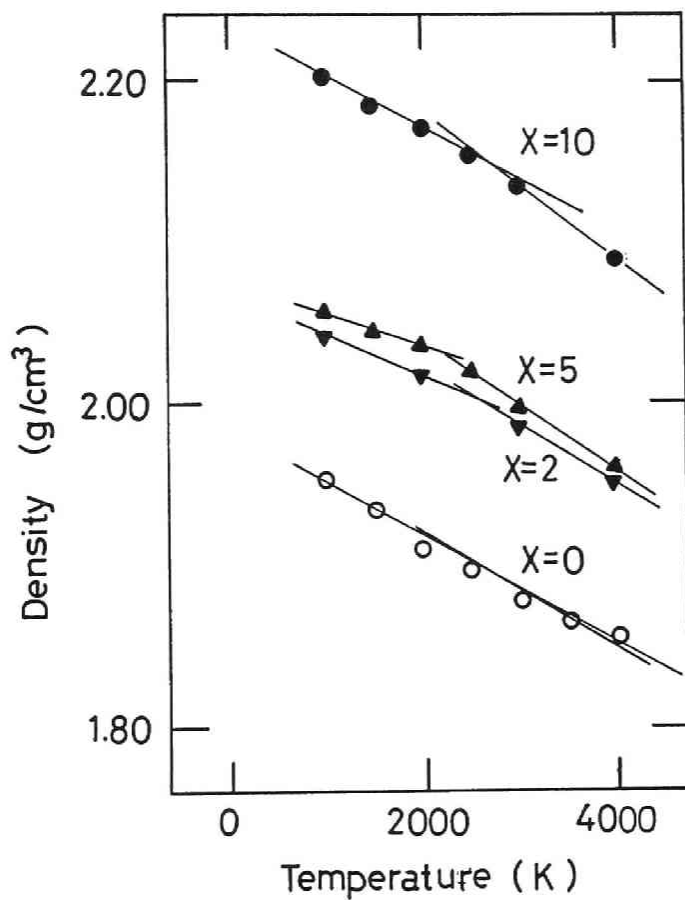


Fig. 3 Change of the calculated density of  $30\text{Na}_2\text{O} \cdot (70-3x)\text{SiO}_2 \cdot x\text{Si}_3\text{N}_4$  glasses as a function of temperature.

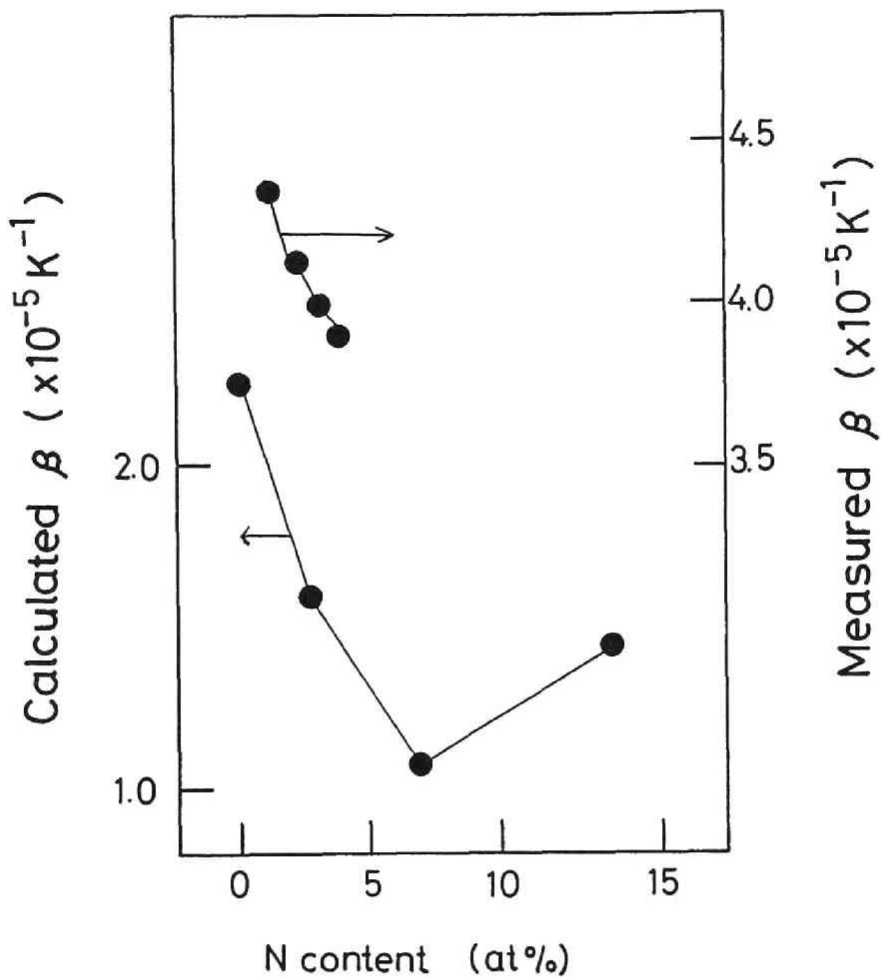


Fig. 4 Variation of the calculated bulk thermal expansion coefficient,  $\beta$ , of  $30\text{Na}_2\text{O} \cdot (70-3x)\text{SiO}_2 \cdot x\text{Si}_3\text{N}_4$  glasses with the nitrogen content. Measured values are also plotted in the figure.

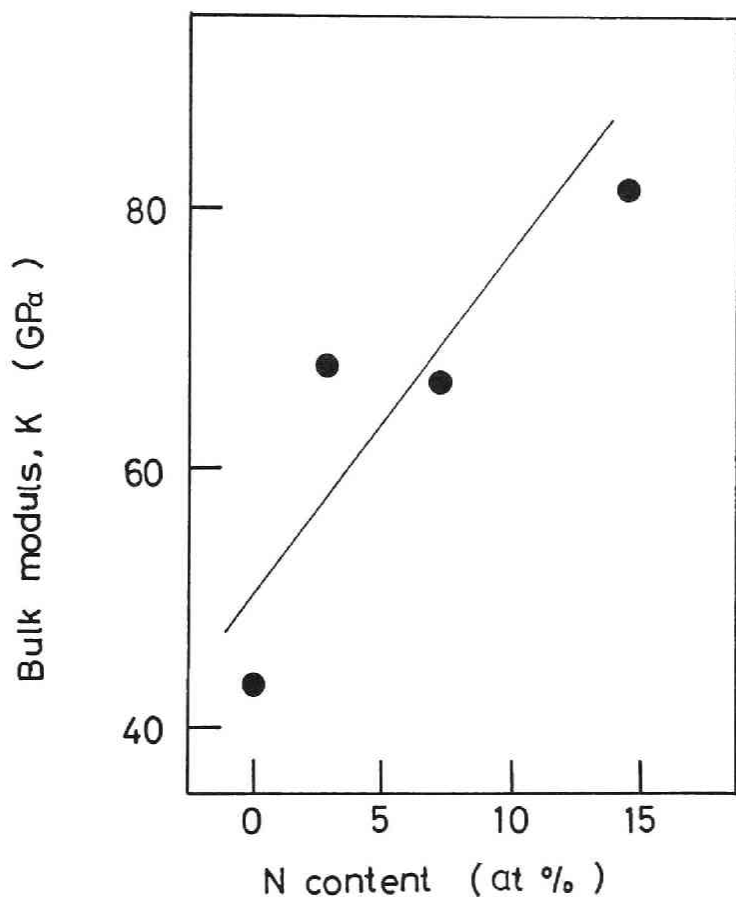


Fig. 5 Change of the calculated bulk modulus, K, of  $30\text{Na}_2\text{O} \cdot (70-3x)\text{SiO}_2 \cdot x\text{Si}_3\text{N}_4$  glasses as a function of the nitrogen content.



## DISCUSSION

### (1) Local Structure around nitrogen atoms

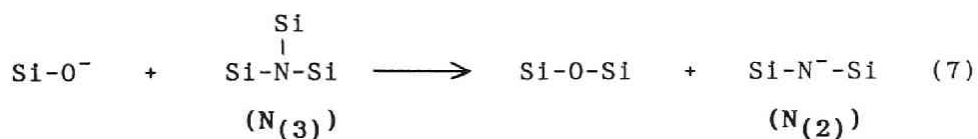
It is well known that the bond angle of  $\langle \text{Si-O-Si} \rangle$  distributes in a wide range between 120 and 180 degree and the length of Si-O bond is about 1.62 Å in  $\text{SiO}_2$  glass[27]. The MD calculation using the present pair potential can reproduce the pair distribution function and the interference function for the neutron scattering for  $\text{Na}_2\text{O} \cdot 2\text{SiO}_2$  glass[25]. Hence, the present results on the bond length of Si-O and the angle distribution of  $\langle \text{Si-O-Si} \rangle$  appears to be reasonable.

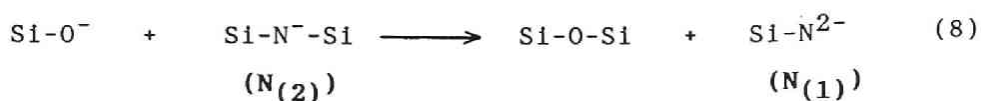
The local structure around nitrogen atoms may be compared with the result of the ab initio molecular orbital (MO) calculations on model molecules quarried from the framework structure of silicon oxynitride glass conducted by Murakami and Sakka[28]. They used the molecules  $\text{N}[\text{Si}(\text{OH})_3]_3$  and  $(\text{OH})_3\text{SiNHSi}(\text{OH})_3$  to represent the nitrogen atoms bonded to three and two silicon atoms, respectively. The nitrogen atoms in these molecules can be compared with  $\text{N}_{(3)}$  and  $\text{N}_{(2)}$  in the present study, respectively. The MO calculations showed that the bond lengths of Si- $\text{N}_{(3)}$  and Si- $\text{N}_{(2)}$  are 1.69 and 1.72 Å, and that the bond angles of  $\langle \text{Si-N}_{(3)}-\text{Si} \rangle$  and  $\langle \text{Si-N}_{(2)}-\text{Si} \rangle$  are 120 and 131 degree, respectively. The bond length of Si-N and the bond angle distribution of  $\langle \text{Si-N}_{(3)}-\text{Si} \rangle$  calculated in the present study are consistent with those of the MO calculations. It is noteworthy that the directionality in the angle of  $\langle \text{Si-N-Si} \rangle$  bonds, which would arise from the covalent nature in the real system, could be well reproduced in the present calculations. Taking into account that the Si- $\text{N}_{(2)}$ -Si bonds would be more flexible for bending than Si- $\text{N}_{(3)}$ -Si bonds, the calculated angle distribution of  $\langle \text{Si-N}_{(2)}-\text{Si} \rangle$  would be also reasonable.

As can be seen in Fig. 2, the distance between Si-Si in nitrated glasses is shortened compared with that in non-nitrated glasses. The shortened Si-Si distance would correspond to that in Si-N<sub>(3)</sub>-Si group, in which the bond angle around N<sub>(3)</sub> is smaller than that of Si-O-Si.

There are a number of studies on the coordination state of nitrogen atoms in Na-Si-O-N oxynitride glasses. In an early <sup>29</sup>Si MAS NMR study[12], it was reported that all the nitrogen atoms are bonded to three silicon atoms in Na-Si-O-N oxynitride glasses in which the ratio of Na:Si is about 6:7. On the other hand, N<sub>1s</sub> XPS measurements on Na-Si-O-N and Na-Ca-Si-O-N oxynitride glasses[11, 14] have shown that there are at least two kinds of sites for nitrogen atoms which may be related to those bonded to three and two silicon atoms. Jin et al.[18] estimated on the basis of the neutron diffraction analysis on a 20Na<sub>2</sub>O·68SiO<sub>2</sub>·4Si<sub>3</sub>N<sub>4</sub> oxynitride glass that about 66 % of the total nitrogen atoms are of structural group (2) and the rest are those of (1), and the mean of the number of silicon atoms bonded to a nitrogen atom is 2.3. The present results, showing that M<sub>Si</sub> for 20Na<sub>2</sub>O·65SiO<sub>2</sub>·5Si<sub>3</sub>N<sub>4</sub> glass is 2.26, coincide well with the result of the neutron diffraction. Therefore, it is assumed that not all the nitrogen atoms in Na-Si-O-N oxynitride glasses are bonded to three silicon atoms but a part of nitrogen atoms may be bonded to two or less silicon atoms.

The formation of the nitrogen atoms bonded to two or less silicon atoms may be explained on the assumption that nitrogen atoms may substitute for non-bridging oxygen atoms as shown in equations (7) and (8).





These equations imply that the number of silicon atoms bonded to a nitrogen atom decreases with increasing number of non-bridging oxygen atoms. That is consistent with the present calculations that  $M_{\text{Si}}$  decreases with increasing  $\text{Na}_2\text{O}$  content since the number of non-bridging oxygen atoms increases with increasing  $\text{Na}_2\text{O}$  content.

The present idea of the formation of structural groups (2) and (3) is analogous to the formation of non-bridging oxygen atoms on addition of alkali oxides to silicate glasses, and  $\text{N}_{(2)}$  and  $\text{N}_{(1)}$  atoms would accompany one and two charge compensating cations ( $\text{Na}^+$ ), respectively. It is noteworthy that a part of nitrogen atoms are bonded only to two silicon atoms in  $\text{LaSi}_3\text{N}_5$  crystal[29] while all the nitrogen atoms are bonded to three silicon atoms in  $\text{Si}_3\text{N}_4$  crystal. Further, each silicon atom in  $\text{Na}_2\text{Si}_2\text{O}_5$  crystal is of  $\text{Q}_3$  type whereas the dissociation of  $\text{Q}_3$  to  $\text{Q}_4$  and  $\text{Q}_2$  occurs in the glass of the same composition[30], which may suggest that the disproportionation of silicate anions would occur and the positively charged alkali ions would be accompanied by different types of silicate anions in glass. Therefore, it may be plausible to assume that the reactions (7) and (8) would actually proceed in oxynitride glasses containing non-bridging oxygen atoms.

## (2) Density, bulk thermal expansion coefficient and bulk modulus

The dependence of the calculated density on the nitrogen content is consistent with that observed in the real Na-Si-O-N oxynitride glasses[4]. Even when three oxygen atoms are substituted by two nitrogen atoms, the "average atomic weight", as expressed by the sum of the atomic weight divided by the

number of the atoms in a composition formula, does not increase. The increase in the density with increasing nitrogen content, therefore, should be attributed to the change of the glass structure. The increase in the density would be mainly caused by the formation of structural group (1) since one nitrogen atom makes three silicate tetrahedra connected with each other at the corners[5]. This idea is consistent with the shortened Si-Si distance as shown in Fig. 2.

In general, the density of glass decreases with increasing temperature due to thermal expansion, and it decreases more rapidly above the glass transition temperature. The present calculation exhibits a similar dependence of density on temperature as shown in Fig. 3; the decrease in the density becomes more rapidly above ca. 2000 K. This temperature range around 2000 K may correspond to the glass transition region of the present MD system.

The dependence of  $\beta$  on the nitrogen content was reproduced by the present calculations as shown in Fig. 4 for the glasses with low nitrogen contents, although the increase in  $\beta$  on further increase in the nitrogen content can not be compared with the real system.

It has been reported that Young's modulus of Na-Ca-Si-O-N[7], Ca-Si-O-N[16] and Ca-Al-Si-O-N[6] oxynitride glasses increases with increasing nitrogen content. Hence, it would not be misleading to assume that the bulk modulus of real Na-Si-O-N oxynitride glasses would increase with increasing nitrogen content, being consistent with the present calculations.

The calculated dependences of  $\beta$  and K on the nitrogen content would arise from the increased steepness of Si-N potential curve. This may imply that the changes of these properties of the real glasses on addition of nitrogen would

arise from the formation of Si-N bonds which are stronger than Si-O bonds.

## SUMMARY OF CHAPTER 1

The molecular dynamics calculations based on a pair potential have shown that the nitrogen atoms bonded to one, two and three silicon atoms coexist in the structure of Na-Si-O-N oxynitride glasses. It has been assumed that the nitrogen atoms bonded to two or less silicon atoms would be formed when nitrogen atoms substitute for non-bridging oxygen atoms. The mean of the number of silicon atoms bonded to a nitrogen atom ranges from 2.4 to 2.1, decreasing with increasing Na<sub>2</sub>O content. The bond angle of <Si-N-Si exhibits a bimodal distribution around 105-135 degree and 140-170 degree, roughly corresponding to the nitrogen atoms bonded three and two silicon atoms. The dependences of the density, bulk thermal expansion coefficient and bulk modulus on the nitrogen content are consistent with those observed in the real system. It has been assumed that the increase in the density on nitridation would be caused mainly by the formation of the nitrogen atoms bonded to three silicon atoms which shortens the Si-Si distance, and that the dependences of the bulk thermal expansion coefficient and bulk modulus on the nitrogen content would arise from the formation of Si-N bonds which are stronger than Si-O bonds.

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## CHAPTER 2 $^{29}\text{Si}$ MAS NMR Study on the Structure of Na-Si-O-N Oxynitride Glasses

### INTRODUCTION

In the previous chapter, the structure of Na-Si-O-N oxynitride glasses studied by the molecular dynamics (MD) calculations has been described. The MD calculations showed that the nitrogen atoms bonded to one or two silicon atoms as well as those bonded to three silicon atoms coexist in the structure of glasses. The dependence of properties of glasses on the composition has been successfully reproduced. It would be necessary, however, to reconfirm experimentally the structure deduced by the MD calculations.

The structure of oxynitride glasses has been studied by IR and  $\text{N}_{1s}$  XPS spectroscopies[1-4], but these measurements do not give a clear picture of the structural groups present in oxynitride glasses. IR spectroscopy shows only the presence of Si-N bonds in glass structure. In XPS, the intensity of  $\text{N}_{1s}$  photoelectron is not always high enough to give the information on the structure because the nitrogen content of glass is not high in general, and so the S/N ratio of the spectra is low.  $^{29}\text{Si}$  NMR spectroscopy would give better information on the structural groups in glass than the above methods because it gives information on the connectivity of silicate tetrahedra in glass.

Hater et al.[5] measured  $^{29}\text{Si}$  MAS NMR spectra of Na-Si-O-N oxynitride glasses, deducing that all the nitrogen atoms are bonded to three silicon atoms. However, the analysis of the NMR spectra involved a considerable uncertainty due to an extensive



overlap of the resonances from different types of silicate tetrahedral units present in the base oxide glass  $30\text{Na}_2\text{O}\cdot 70\text{SiO}_2$  and the low nitrogen contents.

In the present chapter, the structure of Na-Si-O-N oxynitride glasses determined by  $^{29}\text{Si}$  MAS NMR measurement has been described. Compositions of oxynitride glasses have been selected so as to make the analysis of NMR spectra more quantitative than the previous study[5]. The results of NMR has been compared with those of the MD calculations.

## **EXPERIMENTAL**

### **(1) Preparation and analysis of glasses**

Two series of oxynitride glasses of the compositions  $30\text{Na}_2\text{O}\cdot (70-3x)\text{SiO}_2\cdot x\text{Si}_3\text{N}_4$  and  $20\text{Na}_2\text{O}\cdot (80-3x)\text{SiO}_2\cdot x\text{Si}_3\text{N}_4$  ( $x=0, 2$  and  $4$ ) have been prepared. These series will be referred to as 37- and 28-series, respectively. The compositions of the former series correspond to those studied by Hater et al.[5], but the nitrogen content is higher in the present glasses. The compositions glass of the latter series are assumed to be adequate for the NMR measurement. The compositions of both series are comparable with those studied by the MD calculations.

The oxide parts of the compositions were melted in Pt crucibles in air atmosphere to prepare base glasses. The base glasses were ground to powders. The mixture of the base glass powder and a calculated amount of  $\beta\text{-Si}_3\text{N}_4$  was melted in a molybdenum crucible at 1400 to 1500  $^{\circ}\text{C}$  for 1 to 3 hours under flowing dry nitrogen gas to prepare oxynitride glass.

Spin-lattice relaxation time ( $T_1$ ) for Si nucleus in silicate glasses is generally very long in the durations from a few

minutes to an hour. Therefore, 0.05 mol% of  $Gd_2O_3$  was added to all the glasses in order to accelerate the relaxation by the spin diffusion from the paramagnetic centers. It has been confirmed that the addition of this small amount of  $Gd_2O_3$  does not cause any significant change of NMR spectra.

The oxynitride glasses prepared were analyzed for the nitrogen and sodium contents by the Kjeldahl method and the flame emission technique, respectively. In order to determine the nitrogen content, a pulverized specimen of about 100 mg was dissolved in 6 ml of  $HF-H_2SO_4$  mixed acid to produce  $NH_4^+$  ions from nitrogen. The ammonia evolving from the solution upon addition of NaOH was introduced into a diluted  $H_2SO_4$  solution and back titrated with a standard NaOH solution. The schematic arrangement of the apparatus is shown in Fig. 1. In order to determine the sodium content, the glass was decomposed in the same manner as above and the mixed acids was subsequently evaporated to obtain dry sodium sulfate. The sodium sulfate was dissolved into an appropriate amount of ion-exchanged water and was analyzed with a flame emission spectrometer.

## (2) $^{29}Si$ MAS NMR measurement

The  $^{29}Si$  NMR spectra were recorded at room temperature on a Bruker MSL-200 spectrometer operating at 4.7 T (39.76 MHz for  $^{29}Si$ ). Glass specimens were ground to powders and were placed in alumina or zirconia sample holders. The specimens were spun at the magic angle (54.74 degree) with respect to the external magnetic field. The spinning rate was 3500 Hz. A pulse width of 3  $\mu s$  and a dead time of 20  $\mu s$  were used. Typical repetition interval was 2.5 sec and spectrum was accumulated 1000 times. Tetramethylsilane (TMS) was used as a shift reference for  $^{29}Si$ . An external chemical shift standard, Q8M8, was used as a secondary standard whose chemical shift (M site) was 11.51 ppm

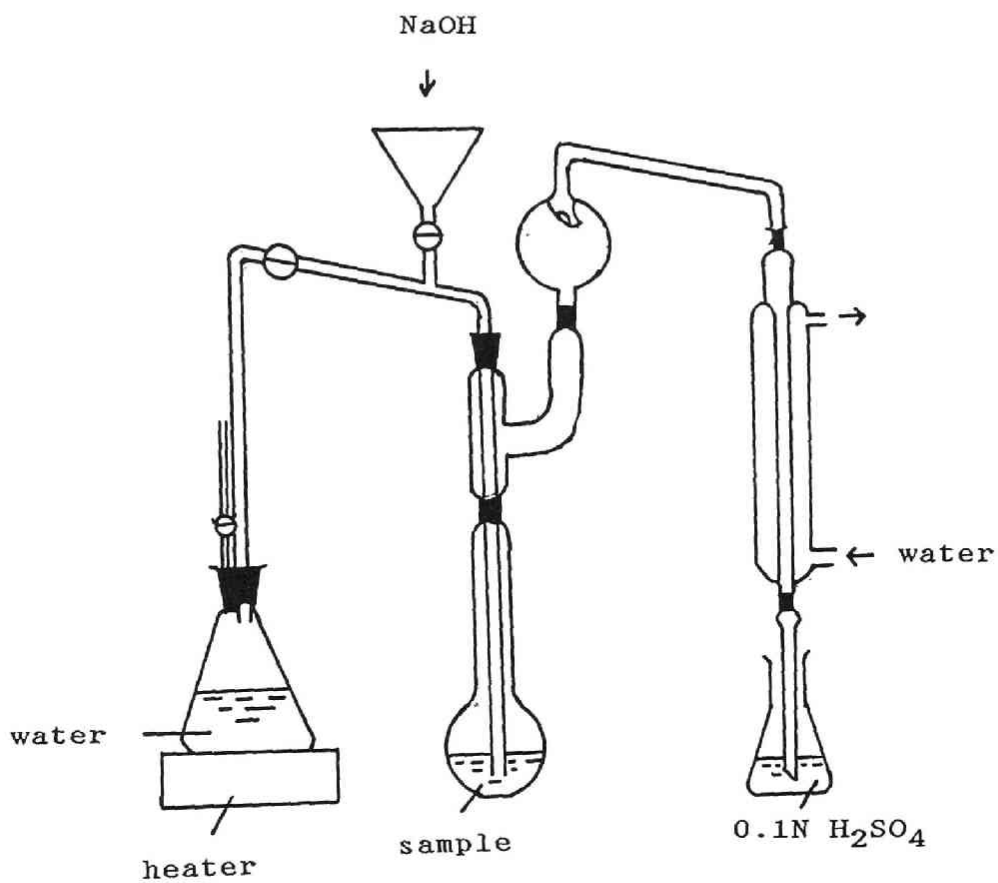


Fig. 1 A schematic arrangement of the apparatus for the determination of the nitrogen content.

from TMS.

## RESULTS

### (1) Analysis of glasses

The glasses obtained were free from crystalline phases as examined by powder X-ray diffraction analysis. The analyzed compositions are shown in Table 1. The retention of nitrogen ranged from 80 to 90 % of the calculated content of the batch. Sodium were slightly lost (1.2 mol% at most) during the melting under flowing nitrogen. The deviation of the sodium content from the batch was taken into account on analyzing NMR spectra as described in DISCUSSION.

### (2) NMR spectra

Fig. 2 shows the  $^{29}\text{Si}$  MAS NMR spectra of the glasses. Each spectrum was analyzed by separating to an appropriate number of Gaussian curves. The best fit was searched for by varying the peak position, linewidth and amplitude of the Gaussians. As indicated in Fig. 2 (a) and (b), each resonance peak expressed by a Gaussian curve was assigned to  $Q_n$  (or  $Q_n'$ ) depending on the peak position.  $Q_n$  represents  $[\text{SiO}_4]$  silicate tetrahedral unit containing  $n$  bridging oxygens.  $Q_n'$  is used for nitrated glasses to distinguish their peaks from those of non-nitrated glasses. The percentage of the  $Q_n$  and  $Q_n'$  species present in the glasses,  $W[Q_n]$  and  $W[Q_n']$ , is estimated from the relative intensity obtained as the area of each Gaussian curve.

Table 2 summarizes the result of the analysis of NMR spectra. The peak separation could be made more quantitatively for 28-series than for 37-series. The overlap of the peaks of

Table 1 Analyzed compositions of glasses

Sample	Na <sub>2</sub> O (mol%)	SiO <sub>2</sub> (mol%)	SiN <sub>4/3</sub> (mol%)	N (at%)	N (wt%)
37-0	30.0	70.0	0	0	0
37-2	29.9	65.7	4.4	2.0	1.4
37-4	28.9	62.6	8.5	3.9	2.7
28-0	20.0	80.0	0	0	0
28-2	18.8	76.5	5.2	2.3	1.6
28-4	18.9	71.7	9.4	4.3	3.0

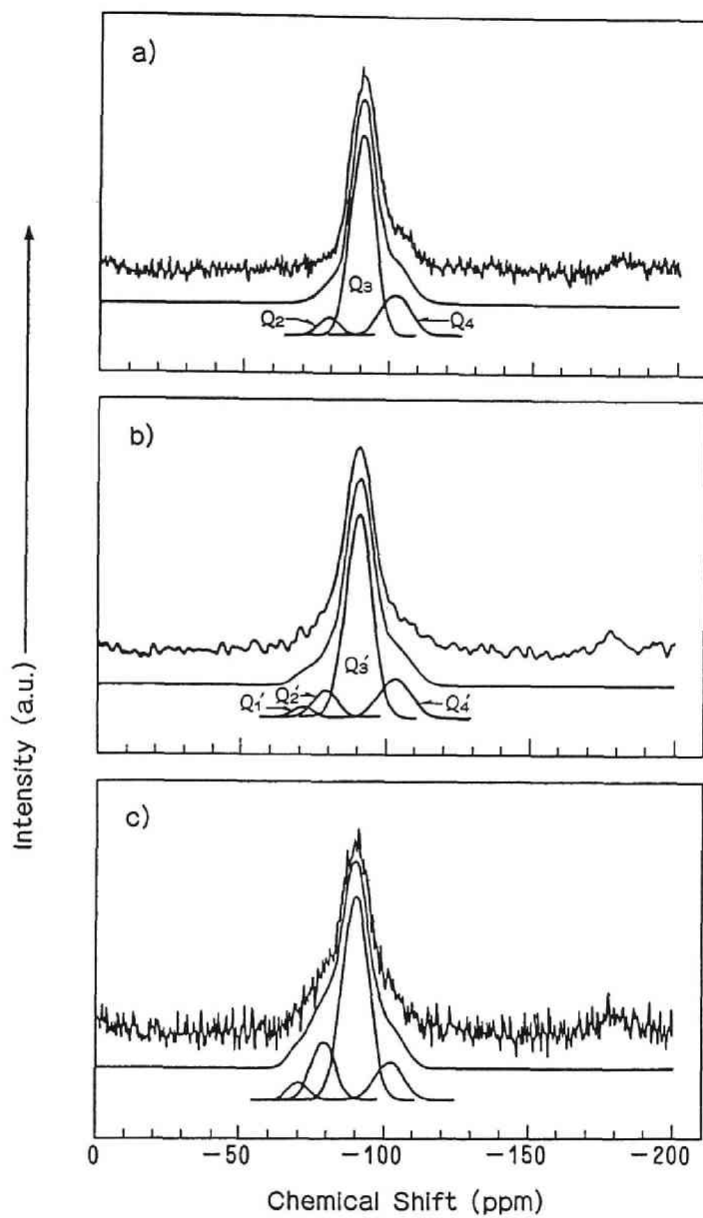


Fig. 2  $^{29}\text{Si}$  MAS NMR spectra of glasses; (a)37-0, (b)37-2 and (c)37-4. See Table 1 for the notations of the samples.

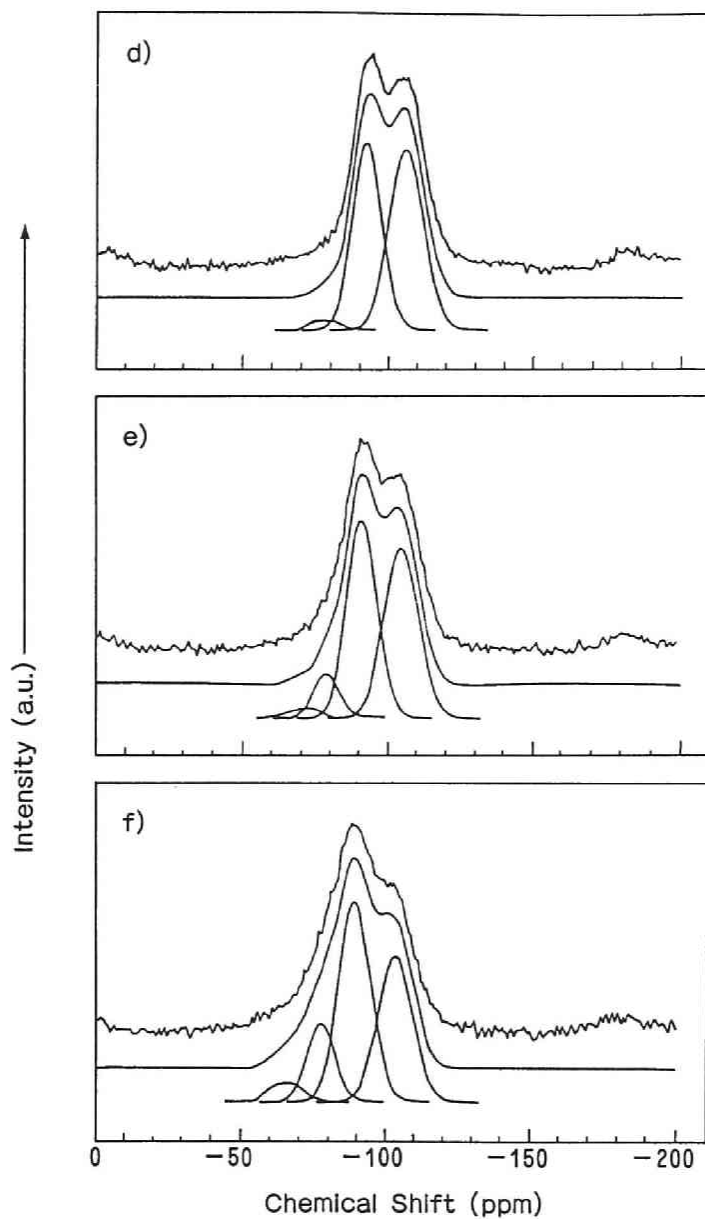


Fig. 2  $^{29}\text{Si}$  MAS NMR spectra of glasses; (d)28-0, (e)28-2 and (f)28-4. See Table 1 for the notations of the samples.

Table 2 Observed chemical shifts ( $\sigma$  (ppm)), line width (half width at half maximum: hwhm (ppm)) and  $Q_n$  distributions (W(%)) in oxynitride glasses

Sample	$Q_4$			$Q_3$			$Q_2$			$Q_1$		
	$-\sigma$	(hwhm)	W	$-\sigma$	(hwhm)	W	$-\sigma$	(hwhm)	W	$-\sigma$	(hwhm)	W
37-0	101.5	(6.2)	19.4	90.1	(4.8)	75.2	78.9	(4.0)	5.4	-	-	-
37-2	103.0	(6.9)	16.8	89.9	(5.4)	71.8	79.0	(4.9)	8.6	71.0	(4.0)	2.7
37-4	101.2	(6.0)	13.8	89.5	(5.4)	65.0	78.5	(4.9)	16.8	70.0	(4.0)	4.4
28-0	105.6	(6.5)	50.0	92.2	(5.6)	48.0	78.0	(4.0)	3.0	-	-	-
28-2	105.4	(7.1)	44.2	91.0	(6.3)	45.9	79.5	(6.0)	8.7	67.0	(6.0)	1.2
28-4	104.7	(7.1)	38.8	89.8	(6.6)	44.7	78.5	(5.6)	12.7	67.5	(6.0)	3.9



$Q_4$  ( $Q_4'$ ) and  $Q_2$  ( $Q_2'$ ) with the main peak of  $Q_3$  ( $Q_3'$ ) is more extensive in the latter series, which leads to a certain ambiguity in peak separation. The results of the present analysis on non-nitrided glasses (37-0 and 28-0) are consistent with those of the previous study[6].

It is clearly recognized from Fig. 2 and Table 2 that the intensities of the peaks corresponding to less shielded silicon nuclei, which are those of less negative chemical shifts, increase with increasing nitrogen content. That is,  $W[Q_2']$  and  $W[Q_1']$  increase and  $W[Q_4']$  decreases. The line width of the peaks slightly increases with increasing nitrogen content.

## DISCUSSION

The increases in  $W[Q_2']$  and  $W[Q_1']$  and the decrease in  $W[Q_4']$  on nitridation may be caused by the substitution of oxygen by nitrogen in silicate tetrahedral units. In the present discussion, a semi-quantitative interpretation of the change of  $W[Q_n']$  on nitridation is attempted, in order to estimate the mean of the number of silicon atoms bonded to a nitrogen atom, referred to as  $M_{Si}$ .

Since the nitrogen concentration is relatively low, it is expected that the predominant silicate tetrahedral unit containing nitrogen in the oxynitride glasses might be singly nitrided one,  $[SiNO_3]$ . The only available NMR data of silicate tetrahedral units containing nitrogen, however, are those of  $[SiN_4]$  and  $[SiN_3O]$  determined from crystalline  $\alpha$ - and  $\beta$ - $Si_3N_4$  and  $Si_2N_2O$ , respectively[7, 8]. Therefore, the following assumptions are introduced to analyze the present NMR spectra.

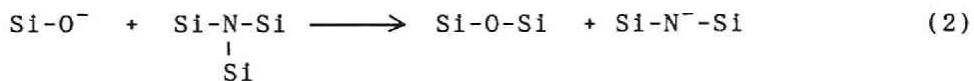
(a) The substitution of an oxygen in a  $[SiO_4]$  tetrahedron by a nitrogen atom causes a change of the chemical shift of the

resonance by about + 15ppm. This assumption was drawn from the comparison of the chemical shifts of Si nuclei in  $\beta$ -Si<sub>3</sub>N<sub>4</sub> (-48 ppm, [SiN<sub>4</sub>] unit), Si<sub>2</sub>N<sub>2</sub>O (-63 ppm, [SiN<sub>3</sub>O] unit)[7, 8] and quartz (-107 ppm, [SiO<sub>4</sub>] unit). This assumption is supported by the fact that the NMR spectra of nitrated glasses could be successfully separated to the Gaussian curves located at about -105, -90, -77 and -67 ppm, with only a little increase in the line width. Since the change of the chemical shift by + 15ppm is very close to that caused by the substitution of a bridging oxygen by non-bridging oxygen, the resonance of singly nitrated Q<sub>n</sub> (denoted as Q<sub>n</sub>(1N)) would appear in the similar position to that of non-nitrated Q<sub>n-1</sub>. Accordingly, each observed NMR peak of nitrated glasses, Q<sub>n</sub>', is considered to be a sum of Q<sub>n</sub>(ON) and Q<sub>n+1</sub>(1N), that is, W[Q<sub>4</sub>'] = W[Q<sub>4</sub>(ON)], W[Q<sub>3</sub>'] = W[Q<sub>3</sub>(ON)] + W[Q<sub>4</sub>(1N)], W[Q<sub>2</sub>'] = W[Q<sub>2</sub>(ON)] + W[Q<sub>3</sub>(1N)], and so on.

(b) A nitrogen atom bonded to two silicon atoms, N<sub>(2)</sub>, if any, accompanies a Na<sup>+</sup> ion as charge compensator as shown below;



This assumption was drawn from the analogy of the charge compensation of non-bridging oxygen in oxide glasses. The nitrogen atoms bonded to two silicon atoms are formed when non-bridging oxygen atoms are substituted by nitrogen atoms (equation (2)).



(c) The extent of the effect of shielding of nitrogen on neighboring silicon nuclei does not vary regardless of whether the nitrogen atom is bonded to two silicon atoms or three.

On the basis of the above assumptions, the distribution of  $W[Q_n']$  in nitrated glasses was calculated employing  $M_{Si}$  as variable as described below. In this connection, however, multiply-nitrated silicate tetrahedral units ( $[SiN_mO_{4-m}]$ ,  $m \geq 2$ ) were not taken into account because the probability of the formation of such units would be very small due to the low atomic ratio of nitrogen to oxygen.

When a part of oxygen atoms are substituted by nitrogen atoms in a  $Na_2O-SiO_2$  glass having a known distribution of  $W[Q_n]$  so that the number of Si-N bonds in a unit formula might be  $N_{Si-N}$ , the percentage of singly-nitrated  $Q_n$  would be  $N_{Si-N} \cdot W[Q_n]$ .  $N_{Si-N}$  is equivalent to  $(4/3) \cdot X_{SiN_{4/3}} \cdot M_{Si}$ , where  $X_{SiN_{4/3}}$  represents the mol% of  $SiN_{4/3}$ . Then, the percentage of singly-nitrated and non-nitrated  $Q_n$  species ( $W[Q_n(1N)]$  and  $W[Q_n(ON)]$ , respectively) would be expressed as follows;

$$W[Q_n(1N)] = W[Q_n,ref] \cdot (4/3) \cdot X_{SiN_{4/3}} \cdot M_{Si} \quad (3)$$

$$W[Q_n(ON)] = W[Q_n,ref] - W[Q_n(1N)] \quad (4)$$

where  $W[Q_n,ref]$  represents the percentage of  $Q_n$  in a glass of the composition  $(X_{Na2O} - (3 - M_{Si}) \cdot (4/3) \cdot X_{SiN_{4/3}})Na_2O \cdot (X_{SiO_2} + X_{SiN_{4/3}})SiO_2$  which will be referred to as "reference glass". The sodium content of the reference glass is lower than that of the corresponding non-nitrated composition of the nitrated glass by the content of the nitrogen atoms coordinated by two silicon atoms, because such nitrogen atoms accompany  $Na^+$  ions as charge compensator, according to assumption (b).  $W[Q_n,ref]$  can be calculated using the equilibrium constant  $k_3$  (0.02) for the following reaction[6];



The distribution of  $W[Q_n']$  corresponding to an assumed value of  $M_{Si}$  can be calculated unequivocally from the analyzed nitrogen

and sodium contents.

The result of the calculation of  $W[Q_n']$  for 28-series is shown in Fig. 3. Solid circles in the figure represent the values obtained from the NMR spectra. Fig. 3 indicates that the values of  $W[Q_n']$  obtained from the NMR spectra agree well with those calculated by assuming that  $M_{Si}$  is 2.5.

The result of the calculation of the ratio  $W[Q_2']/W[Q_4']$  for 37-series is shown in Fig. 4. Solid circles represent the values obtained from the NMR spectra. The data of Hater et al.[5] are also plotted in the figure as open circles, which were measured for Na-Si-O-N oxynitride glasses having about 30 mol% of  $Na_2O$ .  $M_{Si}$  is close to 2 for 37-2 while that is close to 3 for the data of Hater et al. This discrepancy may be attributed to the lower accuracy of peak separation in 37-series than that of 28-series especially when the nitrogen content is low. For the more highly nitrated glass (37-4), the value of  $W[Q_2']/W[Q_4']$  obtained from the NMR spectra agree well with that calculated by assuming that  $M_{Si}$  is 2.5.

Fig. 5 shows the change of the weighed mean for  $W[Q_n(')]$ , mean  $n$ , which is the mean of the number of bridging oxygen atoms in a silicate tetrahedron, for 28- and 37-series as a function of the nitrogen content. Solid circles in the figure represent the values obtained from the NMR spectra. The values of mean  $n$  obtained from the NMR spectra agree well with those calculated by assuming that  $M_{Si}$  is 2.5.

The above results suggesting that the nitrogen atoms are bonded to 2.5 silicon atoms on the average indicate that about a half of the total nitrogen atoms are bonded to three silicon atoms and the rest are bonded to two silicon atoms. Equation (2) suggests that the value of  $M_{Si}$  might increase with decreasing  $Na_2O$  content, that is,  $M_{Si}$  of 28-series might be larger than that of 37-series. In the present analysis, however, the dependence

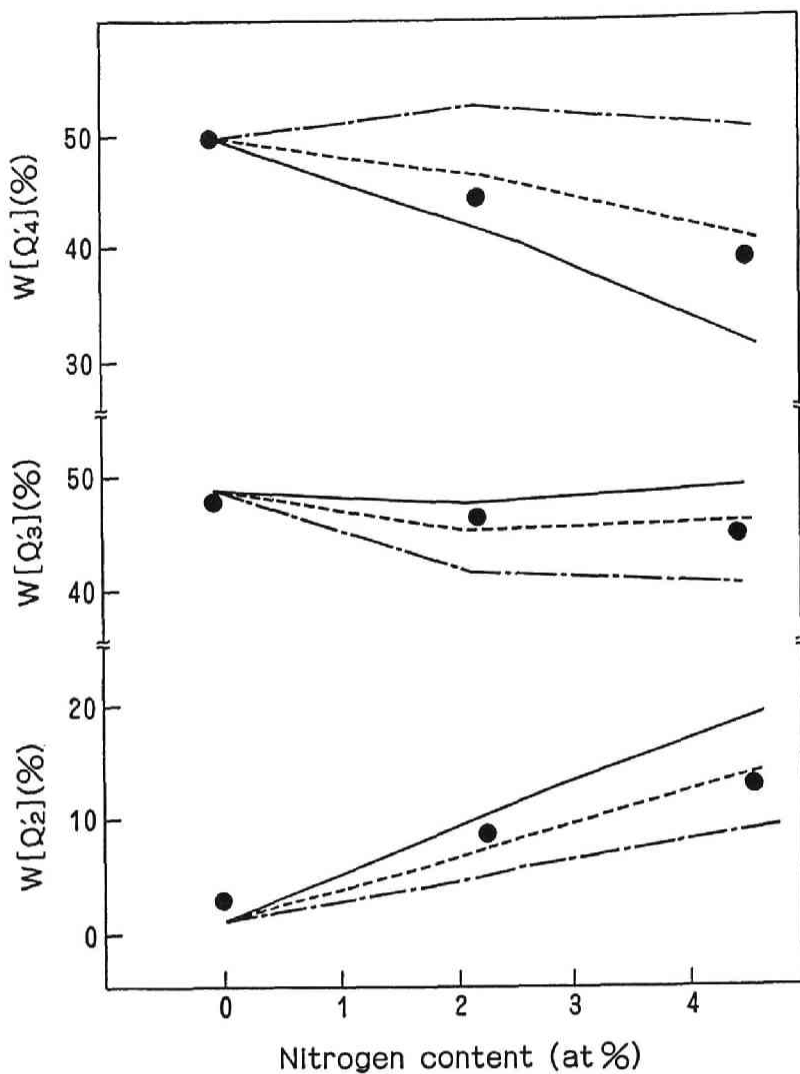


Fig. 3. Calculation of the change of  $W[Q_n']$  in the glasses of 28-series as a function of nitrogen content. The mean of the number of silicon atoms bonded to a nitrogen atom is 3(—), 2.5(- - -) and 2(- · -). Solid circles represent the values obtained from the NMR spectra.

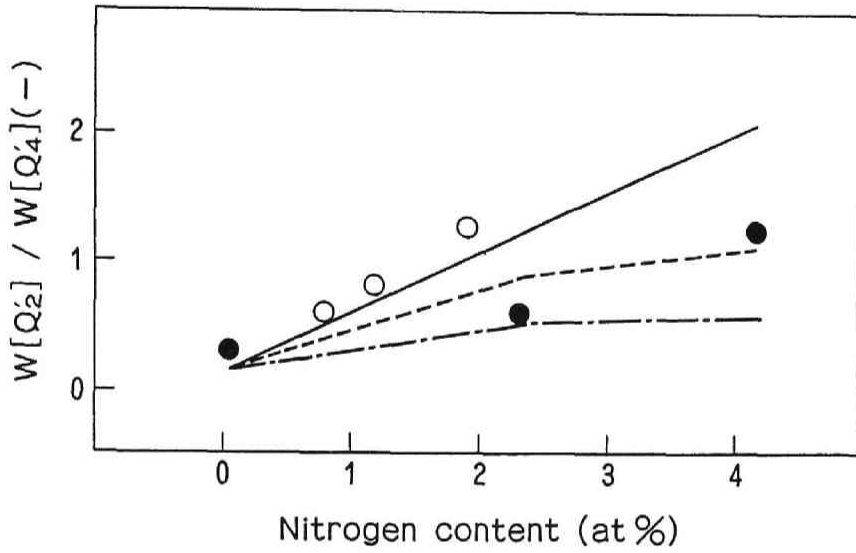


Fig. 4. Calculation of the change of  $W[Q_2'] / W[Q_4']$  in the glasses of 37-series as a function of nitrogen content. The mean of the number of silicon atoms bonded to a nitrogen atom is 3(—), 2.5(- - - - -) and 2(- · - · -). Solid circles represent the values obtained from the NMR spectra. Open circles represent the data of Haller et al[5].

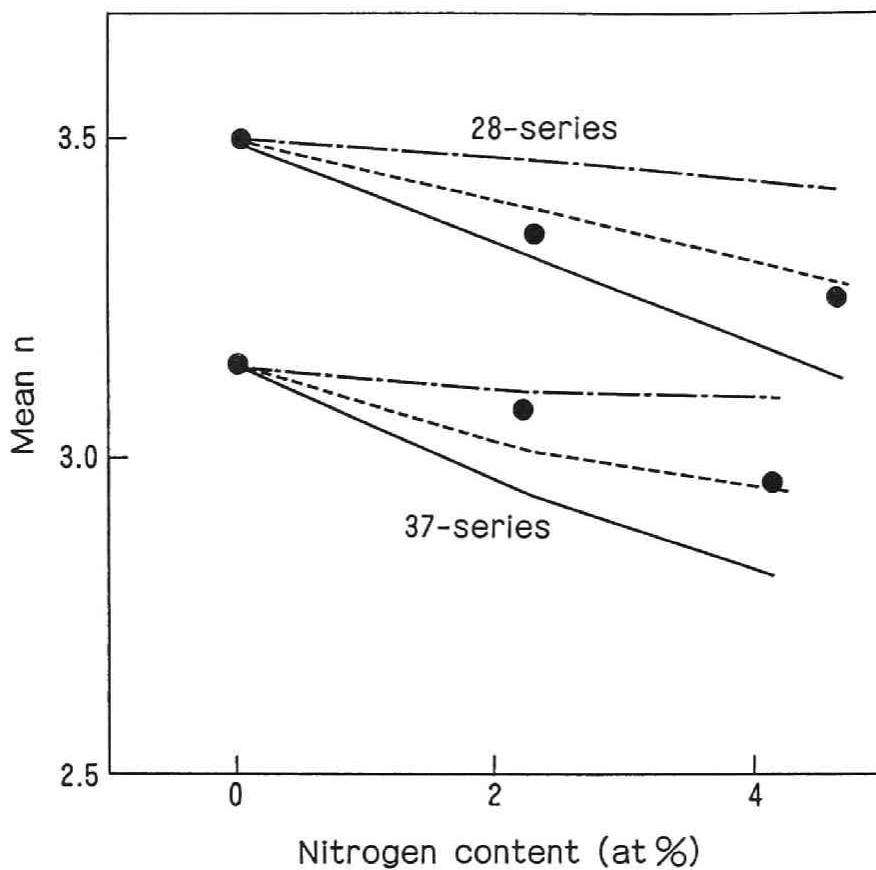


Fig. 5. Calculation of the change of the weighed mean of  $W[Q_n']$  (Mean  $n$ ) of the glasses. The mean of the number of silicon atoms bonded to a nitrogen atom is 3(—), 2.5(-----) and 2(-·-·-). Solid circles represent the values obtained from the NMR spectra.

of  $M_{Si}$  on the  $Na_2O$  content were not clearly detected.

The MD calculations in CHAPTER 1 have shown that the mean values of the number of silicon atoms bonded to a nitrogen atom are 2.1 and 2.4 for 37- and 28-series, respectively. The results of the MD calculations and the present NMR coincide with each other on the point that not all the nitrogen atoms are bonded to three silicon atoms.

$N_{1s}$  XPS measurements on Na-Si-O-N[3] and Na-Ca-Si-O-N[4] oxynitride glasses indicated that there are at least two kinds of nitrogen atoms in different structural groups. These nitrogen atoms would be those bonded to three and two silicon atoms.

## SUMMARY OF CHAPTER 2

The local structure around silicon and nitrogen atoms in Na-Si-O-N oxynitride glasses has been investigated by  $^{29}Si$  MAS NMR spectroscopy. The change of NMR spectra on nitridation has been well explained by assuming that the nitrogen atoms are bonded to about 2.5 silicon atoms on the average, indicating that about a half of the total nitrogen atoms are bonded to two silicon atoms. It has been assumed that the nitrogen atoms bonded to two silicon atoms may be formed when non-bridging oxygen atoms are substituted by nitrogen atoms as shown below.



The present results are consistent with those of the molecular dynamics calculations described in CHAPTER 1.

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## CHAPTER 3    Electrical Conductivity of Alkali-Silicate Oxynitride Glasses

### INTRODUCTION

Mechanical, thermal and chemical properties of oxynitride glasses have been extensively studied by many workers. The microhardness, fracture toughness and stability to devitrification increase with increasing nitrogen content[1]. Electrical properties of oxynitride glasses, however, have been studied by only a few workers[2-4]. Elmer and Nordberg[2] reported that the incorporation of nitrogen into silica glasses increases the electrical resistivity. Leedecke and Loehman[3] investigated the electrical properties of Y-Al-Si-O-N oxynitride glasses and found that the dc conductivity increases when nitrogen atoms are incorporated into an oxide glass. Thorp and Kenmuir[4] studied dielectric properties of Mg-Al-Si-O-N and Ca-Al-Si-O-N oxynitride glasses and showed that the dielectric constant and ac conductivity increase with increasing nitrogen content. In the above studies, however, the charge carriers in the glasses are not clear.

It has been well established that the charge carriers are alkali ions in alkali-containing glasses. The transport of alkali ions in glasses are very important when the glass is employed as insulator in electronic circuit. Further, it is assumed that the transport of alkali ions may control the chemical durability of the glass. There is no systematic studies of the effect of nitrogen on the ionic conduction in oxynitride glasses.

In this chapter, the effect of incorporation of nitrogen on the electrical conductivity as a probe of ionic transport is

described for Li-Si-O-N and Na-Si-O-N oxynitride glasses.

## EXPERIMENTAL

### (1) Preparation and analysis of glasses

Oxynitride glasses of the compositions  $30R_2O \cdot (70-3x) \cdot SiO_2 \cdot xSi_3N_4$  (R=Li and Na, x=0 to 3 and 4 for Li- and Na-glasses, respectively), in which the ratio of alkali to silicon is kept constant at 6 to 7, have been prepared. The glasses were prepared in the same manner as that described in CHAPTER 2. Two kinds of non-nitrided glasses containing different amounts of water were prepared to check the effect of water on the electrical conductivity. These non-nitrided glasses were prepared by melting the batch in air or under flowing nitrogen.

Oxynitride glasses thus prepared were analyzed for the nitrogen and alkali contents by the Kjeldahl method and the flame emission technique, respectively. The detailed procedure for the analyses are described in CHAPTER 2.

The water content of glasses was determined with polished plate specimens from the infrared absorption band around  $3600 \text{ cm}^{-1}$  on the basis of Beer-Lambert law. The values of 70 and 63  $\text{liter} \cdot \text{mol}^{-1} \cdot \text{cm}^{-1}$  were used as the molar extinction coefficient for Na- and Li-glasses, respectively[6, 7]. The density was measured by the Archimedes method using liquid paraffin as substituting liquid. The glass transition temperature was determined with a Shimadzu TM-30 dilatometer at a heating rate of  $10 \text{ }^\circ\text{C}/\text{min}$ . The fractured surface of the glasses was observed with a scanning electron microscope (SEM).

## (2) Electrical measurement

Glasses were cut into discs and were polished with #2000 grade alumina abrasive powder to the thickness of about 1 mm. Gold electrodes and a guard ring were sputter-deposited. The ac conductivity and dielectric constant were measured in the frequency range from 30 Hz to 1 MHz at temperatures from room temperature to about 100 °C with an Ando Electric Company TRS-B capacitance bridge. The cell for the electrical measurement is schematically shown in Fig. 1. The dc conductivity was determined as the reciprocal value of the intercept of the abscissa of the complex-impedance plot as shown in Fig. 2. The reproducibility of the measurement was within the error range of 5 %.

## RESULTS

### (1) Chemical analysis and properties

Analyzed compositions and properties of the glasses are listed in Table 1. The nitrated glasses (L1 to L3 and N1 to N4) were translucent with gray in color and visually homogeneous. All the specimens were free from crystalline phases, according to X-ray diffraction.

Table 1 shows that the nitrogen content of the glasses ranges from 70 to 95 % of the content calculated from the batch composition. The nitrogen retention is larger in the Li-glasses than in the Na-glasses. Alkali components are not seriously lost during preparation of oxynitride glasses. The water content of nitrated glasses is less than  $1 \times 10^{-4}$  wt%. The glass transition temperature drastically increases and the density slightly increases with increasing nitrogen content. Inclusions are not observed except for N3 and N4 which contain a small

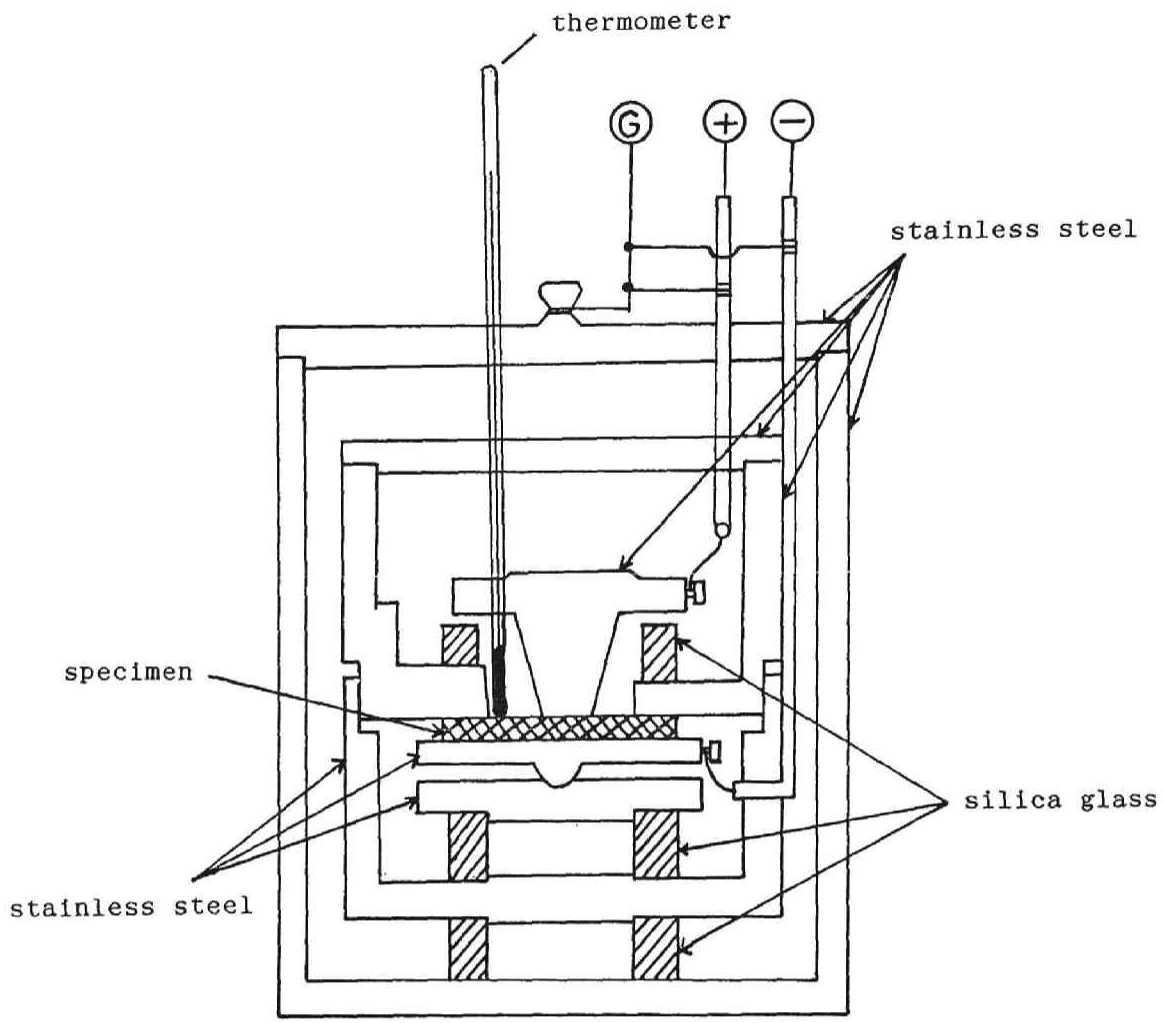


Fig. 1. Schematic arrangement of the cell for the electrical measurement.

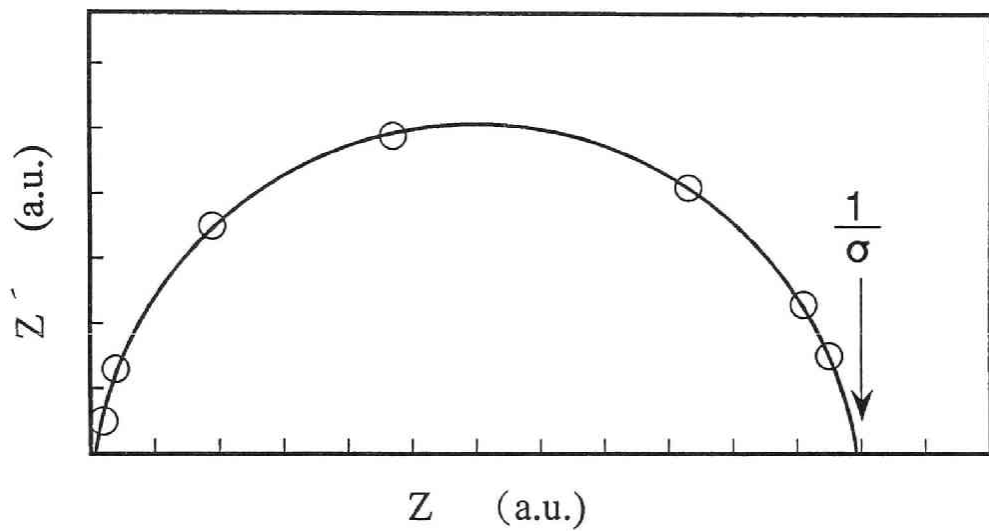


Fig. 2. An example of the complex-impedance plot.

Table 1 Analytical compositions and properties of oxynitride glasses

Sample No. (x value)	N calc. (wt%)	N anal. (wt%)	Alkali (wt%)	Density (gcm <sup>-3</sup> )	T <sub>g</sub> (°C)	Water cont. (wt%)	ε (1MHz, 27 °C)
<u>30Na<sub>2</sub>O·(70-3x)SiO<sub>2</sub>·xSi<sub>3</sub>N<sub>4</sub> glasses</u>							
N1	0.93	0.89	23.0	2.474	502	<1x10 <sup>-4</sup>	12.0
N2	1.87	1.69	21.8	2.474	524	<1x10 <sup>-4</sup>	12.2
N3	2.83	2.24	22.6	2.494	540	<1x10 <sup>-4</sup>	13.2
N4	3.80	2.71	22.4	2.499	550	<1x10 <sup>-4</sup>	13.7
NON*	0	0	23.0	2.470	470	3x10 <sup>-4</sup>	11.1
NOA**	0	0	22.9	2.470	468	5x10 <sup>-3</sup>	11.1
<u>30Li<sub>2</sub>O·(70-3x)SiO<sub>2</sub>·xSi<sub>3</sub>N<sub>4</sub> glasses</u>							
L1	1.11	1.06	8.23	2.321	475	<1x10 <sup>-4</sup>	12.1
L2	2.23	1.97	8.17	2.337	504	<1x10 <sup>-4</sup>	12.5
L3	3.37	3.13	8.24	2.371	522	<1x10 <sup>-4</sup>	12.7
LON*	0	0	8.25	2.289	463	1x10 <sup>-3</sup>	10.7
LOA**	0	0	8.16	2.300	460	9x10 <sup>-3</sup>	10.7

\* Melted in N<sub>2</sub> atmosphere at 1400 °C for 1h.

\*\*Melted in air at 1500 °C for 2h.

amount of inclusions at less than 0.01% in volume, as seen from the SEM pictures, shown in Fig. 3.

## **(2) Electrical conductivity**

The electrical conductivities versus the reciprocal temperature are shown in Figs. 4 and 5 for Li-glasses and Na-glasses, respectively. It is seen that the conductivity behavior is of the Arrhenius type in both Li- and Na-glasses. Fig. 6 shows the dc conductivity at 40 °C as a function of the nitrogen content. The data of glasses containing 10 to 20 % more alkali ions than nitrified glasses are also plotted in these figures for comparison. For both Li- and Na-glasses, the conductivity increases with increasing nitrogen content. Oxynitride glasses with relatively high nitrogen content (L2, L3, N3 and N4) can compete with the 10 to 20 % increase in alkali ions in terms of conductivity.

The activation energy for conduction are plotted in Fig. 7. The values of the activation energy of the non-nitrified glasses are in close accordance with those previously reported[8, 9]. It is seen that the activation energy for conduction decreases progressively as the nitrogen content increases. The extent of the changes in the conductivity and the activation energy for conduction appears more pronounced for the Li-glasses than for the Na-glasses.

## **DISCUSSION**

### **(1) Effect of densification and water content on electrical conductivity**

It is known that the incorporation of nitrogen results in a



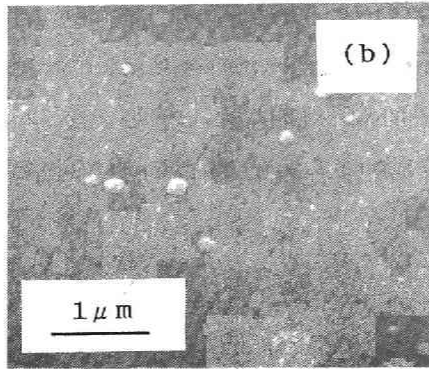
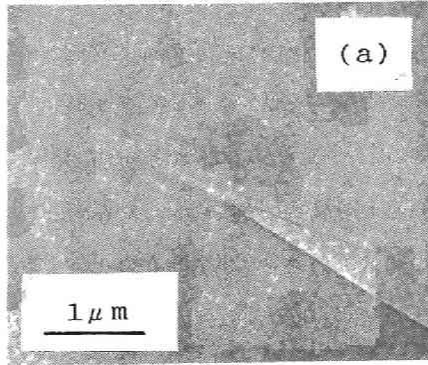


Fig. 3. Scanning electron micrographs of fractured surfaces of oxynitride glasses. (a)N1 No inclusions are seen. A crack is included in the picture to show the validity of focusing. (b)N3 Fine particles are seen.

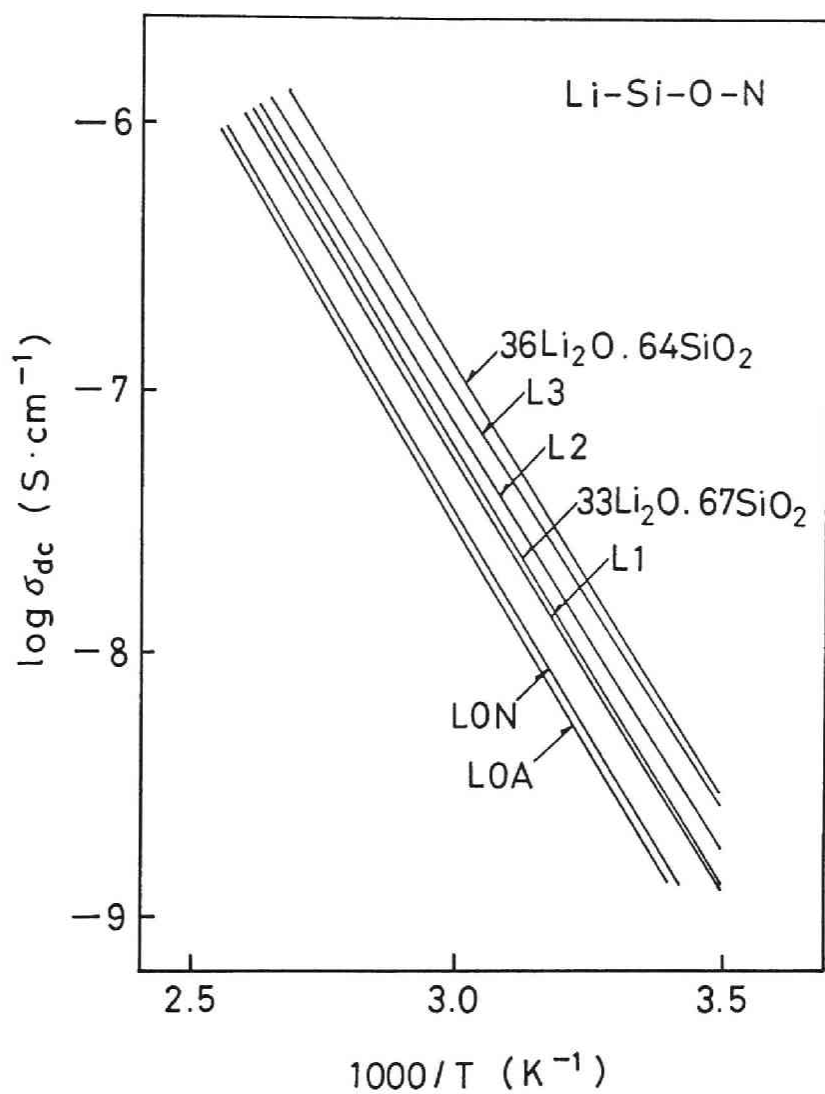


Fig. 4. Temperature dependence of the dc conductivity of the Li-Si-O-N glasses. See Table 1 for the symbols attached to the lines.

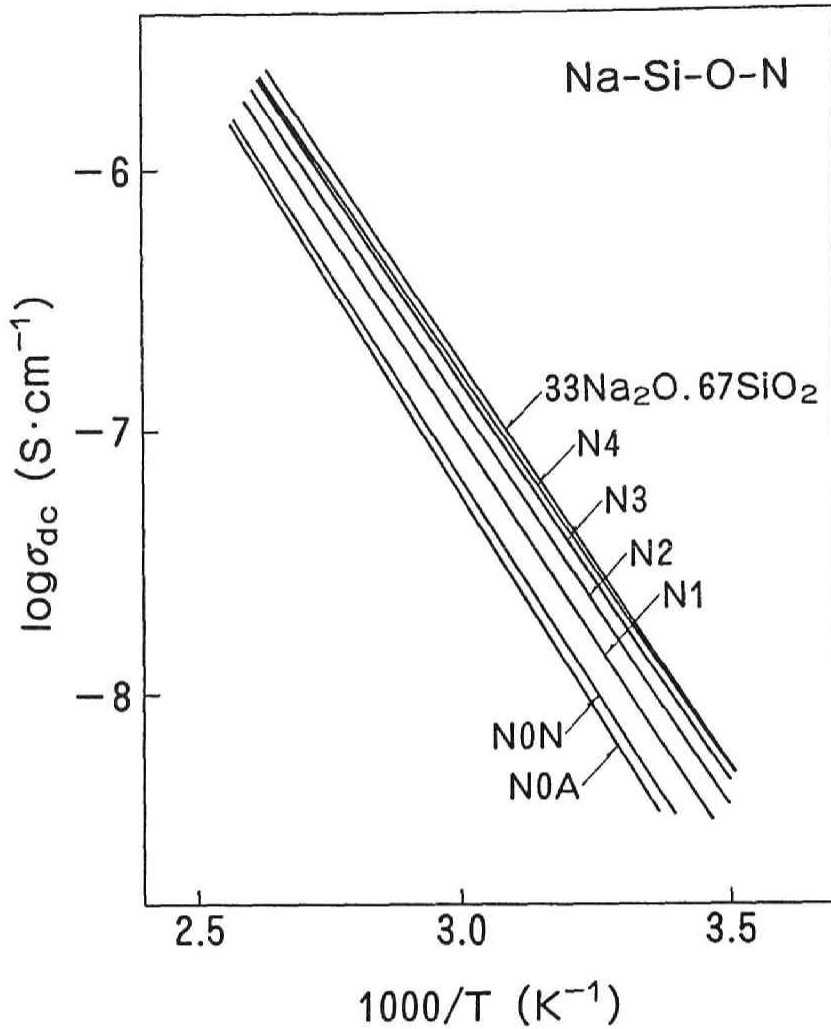


Fig. 5. Temperature dependence of the dc conductivity of the Na-Si-O-N glasses. See Table 1 for the symbols attached to the lines.

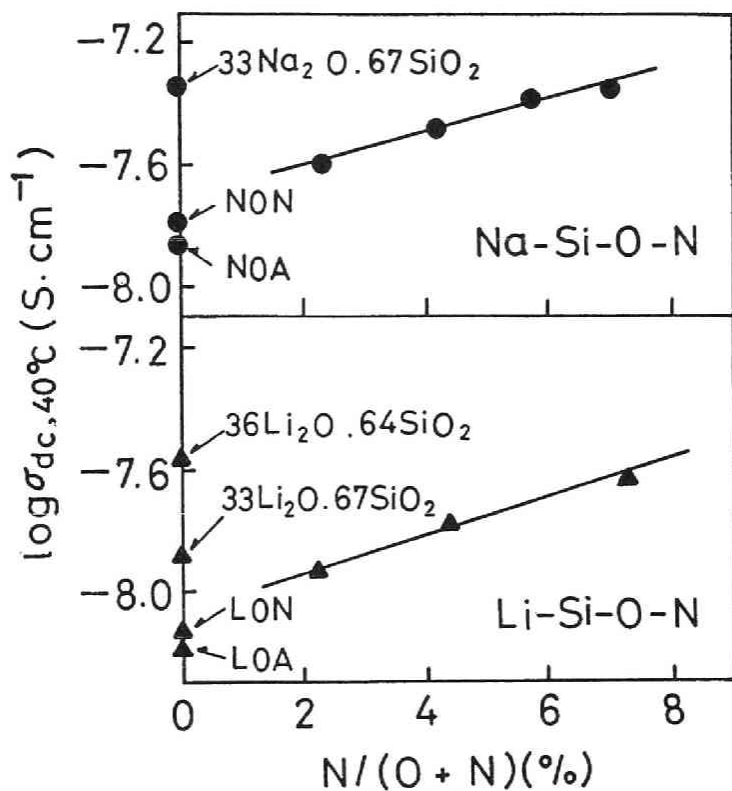


Fig. 6. Change of the dc conductivity of the Na-Si-O-N and the Li-Si-O-N glasses at 40 °C as a function of the nitrogen content.

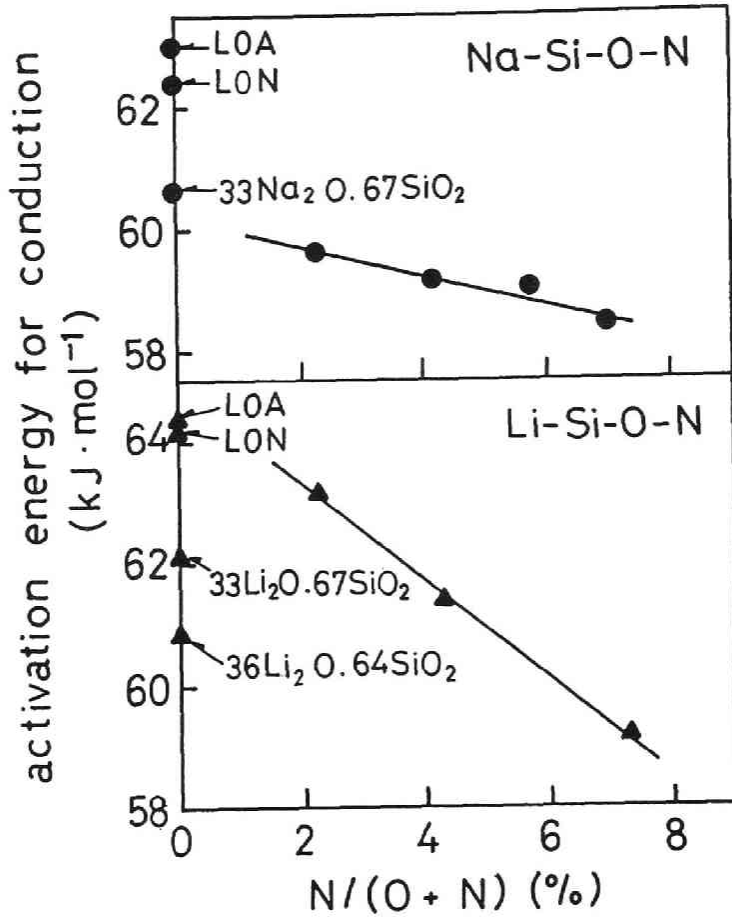


Fig. 7. Change of the activation energies for conduction of the Na-Si-O-N and the Li-Si-O-N glasses as a function of the nitrogen content.

decrease in the water content, an increase in the density and the formation of Si-N bonds in the glass network. Before discussing the effect of the presence of nitrogen in the glass structure as Si-N bonds, the indirect effects of the increase in the density and the decrease in the water content will be checked.

In the range of relatively small water content, a decrease in the water content enhances the ionic conductivity[10]. The effect of the water content was checked by comparing the electrical conductivity between two kinds of non-nitrided glasses with different water contents. As shown in Fig. 6, LON and NON which were melted under flowing nitrogen show only slight increases in conductivity compared with LOA and NOA, respectively, which contain about ten times more water than the formers. The increase in the conductivity on nitridation is far larger than the difference of the conductivity between LOA and LON or NOA and NON. This indicates that the slight increase in the conductivity due to the decrease in the water content may be neglected for the discussion of the effect of nitrogen incorporation.

Increase in the density of glass on nitridation may increase the apparent alkali concentration in terms of the number of alkali ions per unit volume. To check the effect of densification, the conductivities of the glasses of the compositions  $33\text{Na}_2\text{O}\cdot 67\text{SiO}_2$ ,  $33\text{Li}_2\text{O}\cdot 67\text{SiO}_2$  and  $36\text{Li}_2\text{O}\cdot 64\text{SiO}_2$  have been measured and are plotted in Fig. 6. It is found that nitrided glasses N3, N4, L2 or L3 can compete with the 10 to 20 % increase in alkali concentration in terms of conductivity. The real increase in the alkali concentration per unit volume of glass due to densification of these glasses is 4 % at most. This indicates that the change of the electrical conductivity caused by nitridation of glass can be attributed to the effect of

nitrogen present in the glass structure.

**(2) Effect of nitrogen present in the glass structure on electrical conductivity**

The interpretation of the effects of nitrogen on the electrical conductivity is made on the basis of the equation proposed by Anderson and Stuart[8]:

$$\Delta E = (2.1-r)ZZ_0e^2/3.5\gamma(r+r_0) + 4\pi Gr_D(r-r_D)^2 \quad (1)$$

where  $\Delta E$  is the activation energy for conduction,  $\gamma$  is the covalency parameter which accounts for deformability of the electron cloud of the network anion,  $G$  is the shear modulus,  $r$  is the ionic radius of the transporting ion,  $r_0$  is the radius of oxide anion ( $O^{2-}$ ) and  $r_D$  is the doorway radius ( $0.6 \text{ \AA}$ ).  $\gamma$  is equivalent to the dielectric constant and square of the refractive index. This equation indicates that the activation energy is the sum of the electrostatic energy, the first term, and the network strain energy, the second term. The activation energy for conduction would decrease when the covalency of the glass network increases and the shear modulus decreases.

When Si-N bonds are formed in nitrated glasses, the negatively charged electron cloud of nitrogen may be more highly susceptible to deformation than that of oxygen because nitrogen is less electronegative than oxygen. This consideration may be supported by the molecular orbital calculations conducted by Murakami and Sakka[11] on model molecules quarried out from the framework structure of silicon oxynitride glass, which indicates that the atomic charge on silicon atoms bonded to oxygen and nitrogen are 1.38 and 1.30, respectively. In other words, nitrogen has more covalent nature than oxygen. The covalency parameter,  $\gamma$ , would therefore increase on nitridation of glass. The increase in  $\gamma$  is experimentally supported by the facts that

the refractive index increases when nitrogen atoms are incorporated in oxide glasses[12] and that the dielectric constant increases in this study as shown in Table 1. An increase in  $\gamma$  means a decrease in the negative electric field exerted on the alkali ions on the average, which contributes to a decrease in the activation energy for conduction.

On the other hand, the shear modulus is also supposed to increase by nitridation, which may cause an increase in network strain energy, giving an increase in the activation energy. In other words, this is a competitive effect of nitridation on the activation energy for ionic conduction. It will be plausible to assume that the decreasing effect of the electrostatic energy may be larger than the increasing effect of the network strain energy in the present Li- and Na-glasses.

Equation (1) implies that the contribution of the electrostatic energy on the activation energy is larger than that of network strain energy when the size of the transporting ion is close to  $r_D$ , 0.6 Å. Since the size of  $\text{Li}^+$  ion (0.68 Å) is close to that of  $r_D$ , the decreasing effect of electrostatic energy may be more pronounced than increasing effect of the network strain energy, resulting in the total decrease in the activation energy for conduction on nitridation. The size of  $\text{Na}^+$  ion (0.97 Å) is larger than that of  $\text{Li}^+$  ion. Accordingly, the contribution of the increasing effect of network strain energy is larger in Na-glasses than in Li-glasses and the activation energy for conduction of Na-glasses decreases on nitridation to a lesser extent than in Li-glasses.

### SUMMARY OF CHAPTER 3

The effects of the incorporation of nitrogen on the electrical conductivity in Li-Si-O-N and Na-Si-O-N oxynitride



glasses have been systematically investigated. For both glasses, the electrical conductivity increases and the activation energy for conduction decreases with increasing nitrogen content. The experimental results have been interpreted based on the equation proposed by Anderson and Stuart that the activation energy for conduction can be expressed as the sum of the contributions of the electrostatic energy and the network strain energy. It is assumed that the incorporation of nitrogen into alkali-silicate glass network decreases the electrostatic energy and increases the network strain energy. For small ions such as  $\text{Li}^+$  or  $\text{Na}^+$ , the contribution of the former is predominant compared with that of the latter. The experimental results are consistent with the implication of the equation of Anderson and Stuart because the conductivity increases and the activation energy for conduction decreases on nitridation to a larger extent for Li-Si-O-N oxynitride glasses than for Na-Si-O-N oxynitride glasses.

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## CHAPTER 4 Chemical Durability of Alkali-Silicate Oxynitride Glasses

### INTRODUCTION

Oxide glasses of high chemical durability are needed for producing reinforcing fibers for concrete, glass filters, protective coating films on metals and glasses for nuclear waste solidification. It is well known that the addition of zirconium oxide improves the chemical durability of glass in alkaline conditions[1]. Also, glasses such as BaO-TiO<sub>2</sub>-SiO<sub>2</sub>[2], Na<sub>2</sub>O-CaO-FeO-MnO-SiO<sub>2</sub>[3], Y<sub>2</sub>O<sub>3</sub>-La<sub>2</sub>O<sub>3</sub>-TiO<sub>2</sub>-Al<sub>2</sub>O<sub>3</sub>-SiO<sub>2</sub>[4,5] exhibit good chemical durability to alkaline solutions. In such glasses, a protective layer of ZrO<sub>2</sub> or TiO<sub>2</sub> is formed on the surface of the glass after a small amount of other components is leached out.

The attempts to improve the chemical durability have been made by selecting appropriate cationic compositions. Alternatively, substitution of anions, that is, substitution of a part of oxygen by nitrogen has been found to improve the chemical durability of glasses in acidic and alkaline environments[6-11]. At the same time, mechanical strength, elastic modulus and refractoriness are also improved[10] by incorporation of nitrogen. This indicates that oxynitride glasses are promising materials as reinforcing fiber for concrete or glasses for nuclear waste solidification, for example. The mechanism of the improvement of chemical durability by incorporation of nitrogen into glasses, however, has not been studied.

In the present chapter, the study on the chemical durability of R-Si-O-N oxynitride glasses (R=Li or Na) in acidic and alkaline solutions is described. The effects of nitrogen on the

chemical durability of these oxynitride glasses are discussed with respect to the change of the rate of ionic transport and the change of the covalency of the glass network.

## EXPERIMENTAL

### (1) Preparation and analysis of glasses

Oxynitride glasses of the compositions  $30R_2O \cdot (70-3x)SiO_2 \cdot xSi_3N_4$  (where  $R=Li$  or  $Na$ ,  $x=0$  to 3 and 4, respectively) were prepared. These glasses were chosen because the mechanism of chemical corrosion of the corresponding oxide glasses has been well understood. Two kinds of non-nitrided glasses, containing different amounts of water, were prepared to examine the effect of the water content on the chemical durability. The detailed procedures for the preparation and analysis of glasses are described in CHAPTER 2. The glasses used were identical to those used in the experiments in CHAPTER 3. The analyzed compositions are shown in Table 1 of CHAPTER 3.

### (2) Measurement of chemical durability

The glasses were cut into plates of about  $5 \times 5 \times 2$  mm<sup>3</sup> in size. The surfaces of the glass plates were polished with #800 grade silicon carbide abrasive powder. The apparent surface area of the glass plates were calculated from the lengths of the edges of the plates. The apparatus for the measurement of chemical durability is schematically shown in Fig. 1. The test solutions were 0.1 N-NaOH and 0.1 N-HCl aqueous solutions. The quantity of the solution was changed so that the amount of the solution might be 100 ml for 1 cm<sup>2</sup> apparent surface area of the glass plate. The glass plate was immersed in the test solution for a

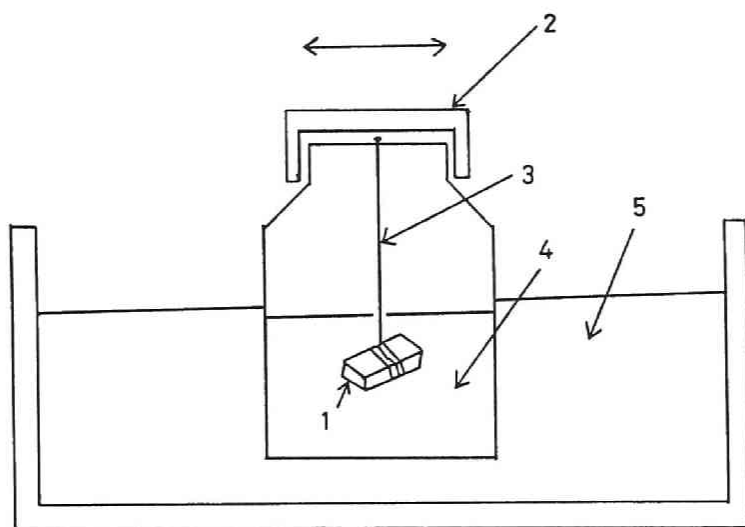


Fig. 1 A schematic arrangement of the apparatus for the measurement of the chemical durability of glasses. 1: sample, 2: polyethylene container, 3: platinum wire, 4: test solution, 5: water bath.

desired period ranging from 1 day to 22 days depending on the chemical durability of the glass. The temperature of the test solution was fixed at 40 °C with a temperature controller and the solutions were shaken at a rate of 120 strokes per minute. The chemical durability was determined as the weight loss of the glass plate per unit apparent surface area. Silicon and alkali ions which leached out into the test solution were quantitatively analyzed by means of the inductively coupled argon plasma analysis (ICAP) and the flame emission technique, respectively.

## RESULTS

### (1) Chemical durability to acidic solution

Fig. 2 shows the weight loss of Na-Si-O-N oxynitride glasses due to the immersion in 0.1 N-HCl test solution for 1 day. The weight loss decreases with increasing nitrogen content. That is, the chemical durability of Na-Si-O-N oxynitride glasses to acidic solution is improved progressively with increasing nitrogen content. Fig. 3 shows the concentration of ions leached out from Na-Si-O-N oxynitride glasses into the acidic test solution for 1 day. It is seen that sodium ions are predominantly leached into the test solution.

Fig. 4 shows the concentration of lithium ions leached out from Li-Si-O-N oxynitride glasses due to the immersion in the acidic test solution for 5 days. The chemical durability of Li-Si-O-N oxynitride glasses is also improved progressively with increasing nitrogen content.

In Figs. 2 to 4, circles with asterisk (\*) represent the non-nitrided glasses containing larger amounts of water. It is known that the chemical durability of  $\text{Na}_2\text{O-SiO}_2$  glasses to water decreases with increasing water content in the glasses[12]. It

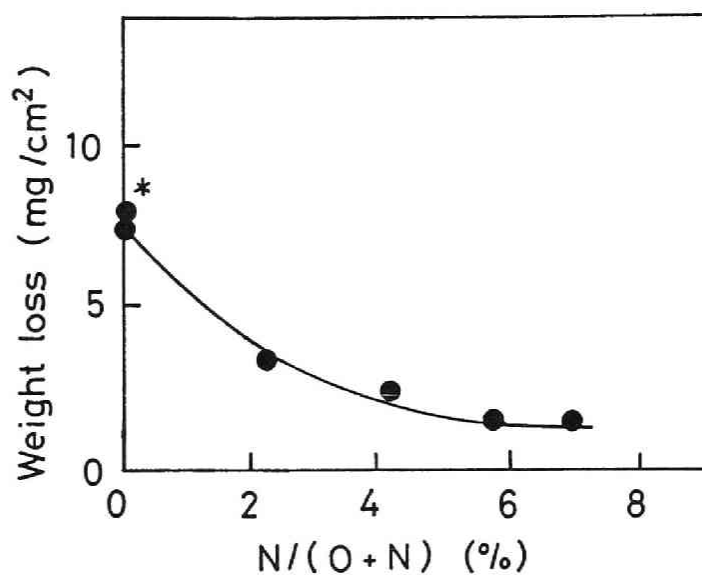


Fig. 2 Change of the weight loss of Na-Si-O-N oxynitride glasses due to the immersion in 0.1 N-HCl solution for 1 day as a function of the nitrogen content.

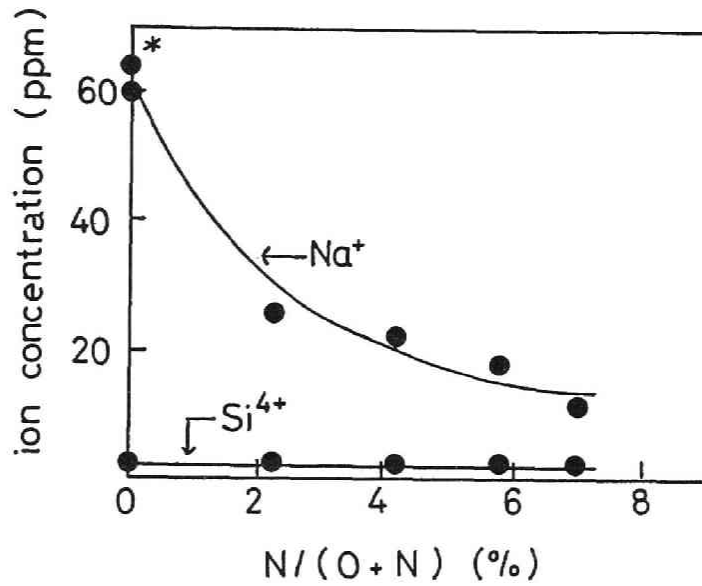


Fig. 3 Change of the concentrations of ions leached out from Na-Si-O-N oxynitride glasses due to the immersion in 0.1 N-HCl solution for 1 day as a function of the nitrogen content.



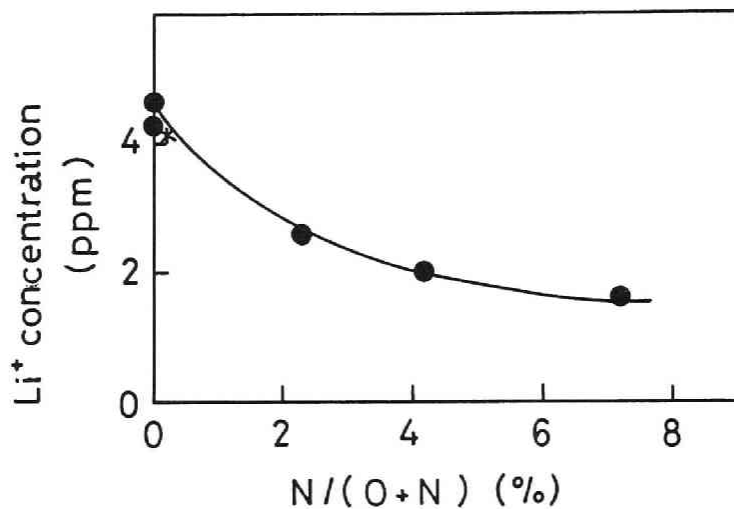


Fig. 4 Change of the concentration of lithium ion leached out from Li-Si-O-N oxynitride glasses due to the immersion in 0.1 N-HCl solution for 5 days as a function of the nitrogen content.

is clearly seen from these figures, however, that the effect of the nitrogen content on the chemical durability of these glasses to acidic water is far larger than that of the water content.

## (2) Chemical durability to alkaline solution

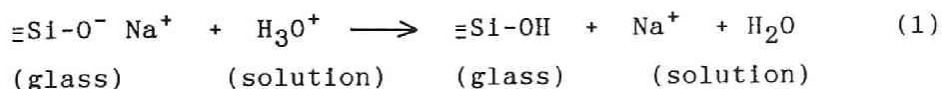
Fig. 5 shows the weight loss of Na-Si-O-N oxynitride glasses due to the immersion in 0.1 N-NaOH test solution for 3 days. The chemical durability of these glasses to alkaline solution is improved progressively with increasing nitrogen content. Fig. 6 shows the concentration of silicon ion leached out into the test solution. In contrast to the case of acidic solution, silicon ions are leached out of the glasses to a considerable extent.

Fig. 7 shows the weight loss of Li-Si-O-N oxynitride glasses due to immersion in the alkaline test solution for 22 days. The chemical durability of Li-Si-O-N oxynitride glasses to alkaline solution is also progressively improved with increasing nitrogen content. Fig. 8 shows the concentration of silicon ion leached out into the alkaline test solution. It is seen that silicon ions are leached out of the glasses.

## DISCUSSION

### (1) Chemical durability to acidic solution

It is known that the chemical dissolution of  $\text{Na}_2\text{O-SiO}_2$  glasses in water or acidic solutions proceeds by the ion exchange between alkali ions in the glasses and hydroxonium ion ( $\text{H}_3\text{O}^+$ ) as expressed by equation (1)[13].



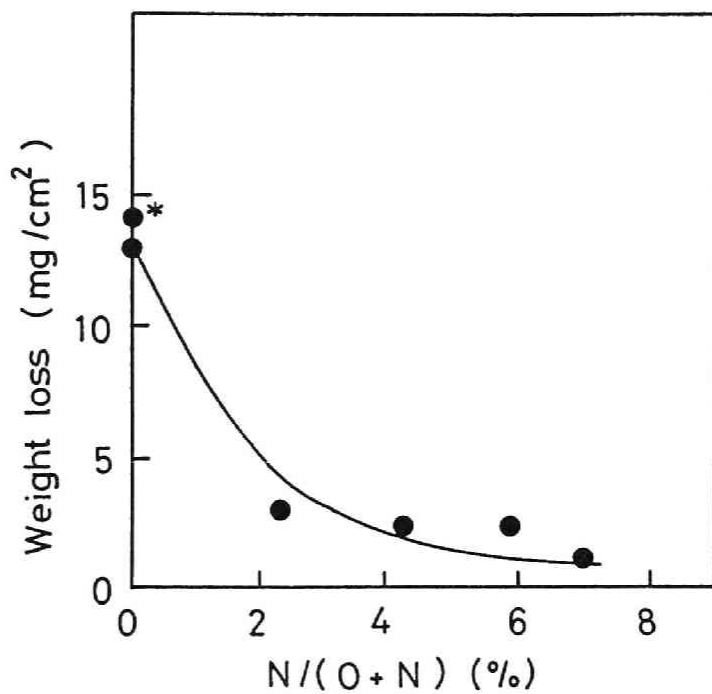


Fig. 5 Change of the weight loss of Na-Si-O-N oxynitride glasses due to the immersion in 0.1 N-NaOH solution for 3 days as a function of the nitrogen content.

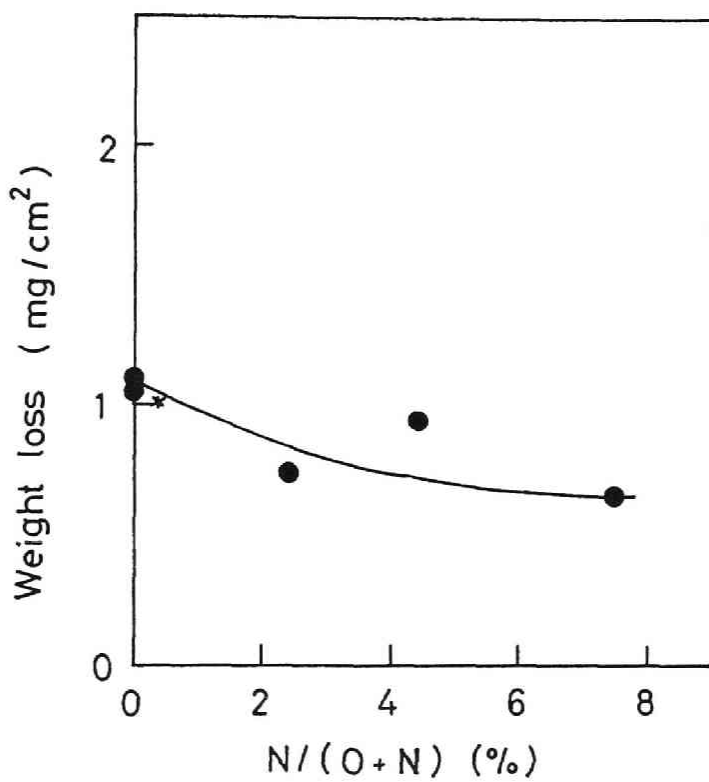


Fig. 6 Change of the weight loss of Li-Si-O-N oxynitride glasses due to the immersion in 0.1 N-NaOH solution for 22 days as a function of the nitrogen content.

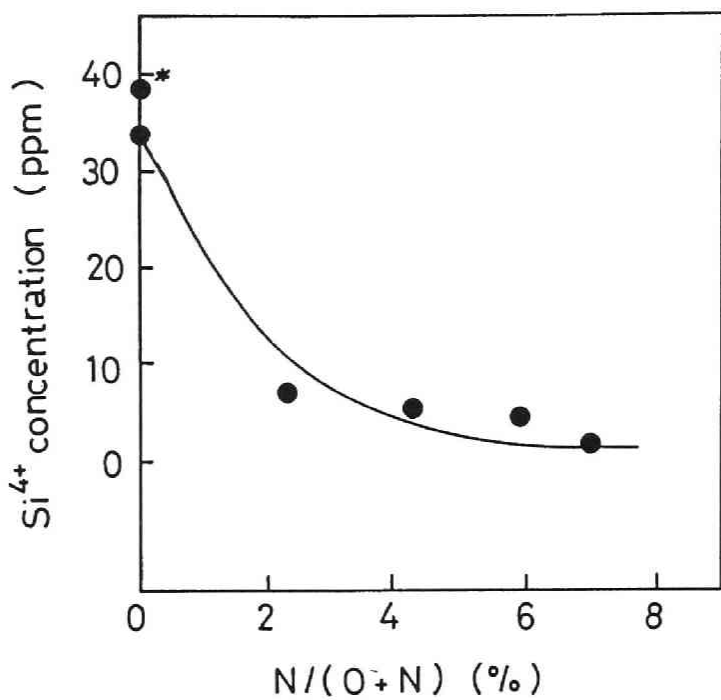


Fig. 7 Change of the concentration of silicon ion leached out from Na-Si-O-N oxynitride glasses due to the immersion in 0.1 N-NaOH solution for 3 days as a function of the nitrogen content.

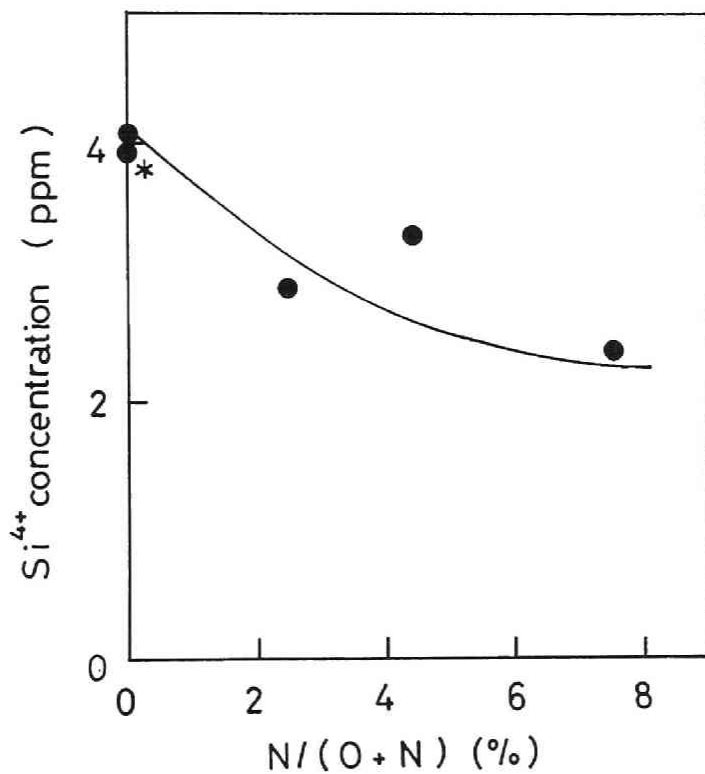


Fig. 8. Change of the concentration of silicon ion leached out from Li-Si-O-N oxynitride glasses due to the immersion in 0.1 N-NaOH solution for 22 days as a function of the nitrogen content.

Sakka et al.[11] calculated the weight change of Na-Si-O-N oxynitride glasses from the amount of Na<sup>+</sup> ions leached out into 0.1 N-HCl solution based on equation (1), and found that the calculated weight change quantitatively coincide with the measurement. This indicates that the chemical dissolution of the present oxynitride glasses to acidic solution also proceeds by the ion exchange process.

The inter-diffusion coefficient which describes the kinetics of the ion exchange process, D, is expressed as equation (2)[14].

$$D = D_H D_R / (D_H N_H + D_R N_R) \quad (2)$$

where the subscripts H and R represent hydroxonium ion and alkali ion, respectively, N represents the molar fraction of the ion, and  $N_H + N_R = 1$  at any point in the glass. Since the term  $D_R N_R$  is far larger than  $D_H N_H$ , the above equation is approximated by the following equation:

$$D = D_H / N_R \quad (3)$$

This indicates that the diffusion of the hydroxonium ion largely determines the rate of corrosion of the glass.

In CHAPTER 3, the effect of nitrogen on the ionic transport has been discussed based on the equation proposed by Anderson and Stuart[15]. That is, the incorporation of nitrogen in glass causes an increase in the elastic modulus of glass and a decrease in the electrostatic attractive force exerted on the transporting ions. As the result, the diffusivity of small ions such as Li<sup>+</sup> (ionic radius: 0.68 Å) or Na<sup>+</sup> (0.97 Å) increases because the latter effect is more pronounced than the former one. However, for large ions such as hydroxonium ion (1.4 Å), the former effect would become predominant, causing a decrease in the diffusivity.

Therefore, it would be plausible to assume that the chemical durability of these oxynitride glasses to acidic solution is

improved as a result of the suppression of the diffusion of hydroxonium ion as a result of nitridation.

## (2) Chemical durability to alkaline solution

The corrosion of alkali-silicate glasses in alkaline solution is described by the nucleophilic attack of hydroxyl anion ( $\text{OH}^-$ ) as shown below:



Assuming that the positive charge on silicon atoms is reduced by the deformation and displacement of electron cloud of nitrogen toward the silicon atoms, the frequency of the attack of hydroxyl anion would be reduced or the activation energy for the attack would be enlarged. Since nitrogen is less electronegative than oxygen, the negatively charged electron cloud on nitrogen atoms may be more highly susceptible to deformation toward silicon atoms than that of oxygen atoms. The positive charge on silicon atoms directly bonded to nitrogen atoms, therefore, would be reduced. This assumption may be supported by the molecular orbital calculation conducted by Murakami and Sakka[16]. They showed that the overlap population of electron in Si-N bond is higher than that in Si-O bond and the atomic charge on silicon is less positive when it is bonded to nitrogen than to oxygen.

This indicates that the chemical durability to alkaline solution may be attributed to the increased covalency of Si-N bond compared with that of Si-O bond.

## SUMMARY OF CHAPTER 4

The effect of incorporation of nitrogen on the chemical



durability of Na-Si-O-N and Li-Si-O-N oxynitride glasses has been studied. An increase in the nitrogen content improves the chemical durability of these glasses to both acidic and alkaline aqueous solutions. The improved chemical durability to acidic solution is attributed to a decreased diffusivity of hydroxonium ion in the glasses on nitridation, which is due to an increase in the elastic modulus of glass as a result of nitridation. The improved chemical durability to alkaline solution is attributed to the increased covalency of Si-N bonds, which reduces the possibility of the attack of hydroxyl anions to silicon atoms.

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## CHAPTER 5 Liquid-Liquid Phase Separation in Li-Si-O-N Oxynitride Glasses

### INTRODUCTION

Liquid-liquid phase separation, abbreviated to phase separation, is often observed in oxide glasses and has been studied for many years in glass science and technology. Porous and dense high silica glasses are manufactured by utilization of phase separation of  $\text{Na}_2\text{O-SiO}_2\text{-B}_2\text{O}_3$  glasses into  $\text{SiO}_2$  rich phase and  $\text{Na}_2\text{O-B}_2\text{O}_3$  phase.

It is also known that, phase separation plays an important role in producing glass-ceramics by accelerating nucleation of crystals. It is generally observed that bulk nucleation occurs on crystallizing oxynitride glasses[1-3]. Hayashi and Tien[1] suggested that nitridation might enhance the tendency of glasses to phase separation, and in turn, the phase separation may enhance the bulk nucleation. However, the effect of nitrogen on the phase separation itself has not been fully understood.

In the present chapter, the phase separation in Li-Si-O-N oxynitride glasses is examined to clarify and discuss the effect of nitrogen on the miscibility of  $\text{Li}_2\text{O-SiO}_2$  system.

### EXPERIMENTAL

#### (1) Preparation and analysis of glasses

Two series of oxynitride glasses of the batch compositions  $25\text{Li}_2\text{O} \cdot (75-3x)\text{SiO}_2 \cdot x\text{Si}_3\text{N}_4$  and  $30\text{Li}_2\text{O} \cdot (70-3x)\text{SiO}_2 \cdot x\text{Si}_3\text{N}_4$  ( $x=0, 1, 2$  and  $3$ ) were prepared. These compositions have been chosen because the miscibility region and the phase separation behavior

has been extensively studied for the base oxide system  $\text{Li}_2\text{O}-\text{SiO}_2$ [4, 5]. The glasses were prepared and analyzed for the nitrogen and lithium contents in the same manner as that described in the previous chapters. The guaranteed purity of the  $\beta$ -silicon nitride powder used is higher than 98 wt% in the content and the major impurities are oxygen (0.9 wt%), carbon (0.25 wt%) and free Si (less than 0.2 wt%). Contents of Al, Fe and Ca impurities are approximately 0.6 wt% in total. The amount of Al, Fe and Ca in the resultant oxynitride glasses is estimated to be less than 0.05 wt%.

Glass transition temperature ( $T_g$ ) was measured by differential thermal analysis with a MAC Science Company model TG-DTA 2000 instrument at a heating rate of 10  $^{\circ}\text{C}/\text{min}$  under a flow of argon.

## **(2) Determination of the miscibility temperature**

Glass specimens were heat-treated to determine the miscibility temperature. The schematic arrangement of the furnace is shown in Fig. 1. Specimens of about  $3 \times 3 \times 3 \text{ mm}^3$  in size attached to the tip of a sheathed Pt-Pt(Rh) thermocouple were inserted into an electric furnace, which was heated at desired temperatures beforehand, for the heat-treatment. Every specimen was heated up to the desired temperature within 90 seconds. The heat-treatment was conducted under a flow of nitrogen to avoid the oxidation of the specimens. Each specimen was heat-treated at temperatures near its miscibility temperature for 1 to 40 minutes depending on the composition and the heat-treatment temperature. After the heat-treatment, the specimen was quenched below the glass transition temperature within 15 seconds by withdrawing the specimen to the cold zone of the furnace.

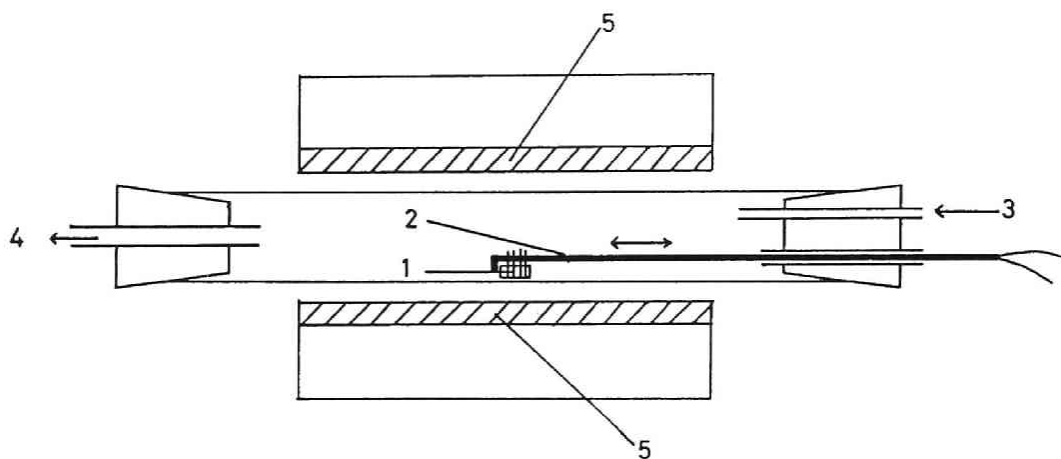


Fig. 1. A schematic arrangement of the furnace for the heat-treatment of specimens. 1: specimen, 2: thermocouple, 3: N<sub>2</sub> gas inlet, 4: gas outlet, 5: heater.

The fractured surface of heat-treated specimens was etched in 5 % hydrofluoric acid for 5 minutes, rinsed carefully in distilled water and examined on the occurrence of the phase separation with a scanning electron microscope (JEOL, T330A) under the magnification from x15,000 to x50,000. A gold film was sputter-deposited onto the surface of the specimen to avoid the charging.

Randomly distributed spherical particles, 0.1 to 0.3  $\mu\text{m}$  in diameter, were seen in the phase-separated specimens. The examples are shown in Fig. 2. The diameter of the particles increased with increasing heat-treatment time. As the heat-treatment temperature became higher, the distribution of the particle became sparser and eventually the particles disappeared. The miscibility temperature ( $T_m$ ) was defined as the lowest temperature at which no phase separation was observed. The error range and the reproducibility of the data were estimated to be within  $\pm 10$   $^{\circ}\text{C}$ .

## RESULTS

### (1) Chemical analysis of glasses

Table 1 shows the analyzed compositions and the glass transition temperatures of the oxynitride glasses. Nitrogen is incorporated up to about 10 eq% ( $3[\text{N}]/(2[\text{O}]+3[\text{N}])$ ) in both series. Lithium was not seriously lost during the preparation of oxynitride glasses.

### (2) Miscibility temperature

The miscibility temperature of the oxynitride glasses is listed in Table 1 and depicted in Fig. 3 as a function of the  $\text{Li}_2\text{O}$  content. The solid lines indicate the immiscibility

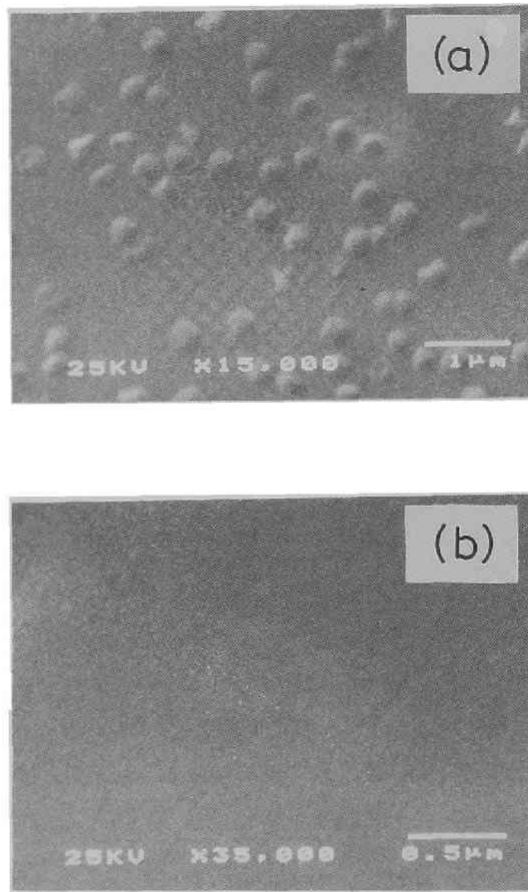


Fig. 2. Examples of the etched surface of  $25\text{Li}_2\text{O}\cdot 69\text{SiO}_2\cdot 2\text{Si}_3\text{N}_4$  glass showing (a) phase separation and (b) homogeneous glass. The glass specimens were heat-treated at (a)  $34^\circ\text{C}$  below  $T_m$  and (b)  $23^\circ\text{C}$  above  $T_m$ .

Table 1 Analyzed compositions, glass transition temperatures ( $T_g$ ) and the miscibility temperatures ( $T_m$ ) of oxynitride glasses.

Sample No. (x value)	Li <sub>2</sub> O (mol%)	SiO <sub>2</sub> (mol%)	SiN <sub>4/3</sub> (mol%)	N (eq%)	T <sub>g</sub> (°C)	T <sub>m</sub> (°C)
<u>25Li<sub>2</sub>O · (75-3x)SiO<sub>2</sub> · xSi<sub>3</sub>N<sub>4</sub> series</u>						
0	24.37	75.63	0	0	465	823
1	24.24	72.96	2.80	3.21	489	810
2	24.79	69.65	5.55	6.31	513	767
3	24.39	67.29	8.31	9.47	535	738
<u>30Li<sub>2</sub>O · (70-3x)SiO<sub>2</sub> · xSi<sub>3</sub>N<sub>4</sub> series</u>						
0	29.44	70.56	0	0	461	673
1	29.26	67.97	2.79	3.27	483	650
2	29.63	64.84	5.52	6.48	503	618
3	29.45	62.29	8.25	9.68	520	582



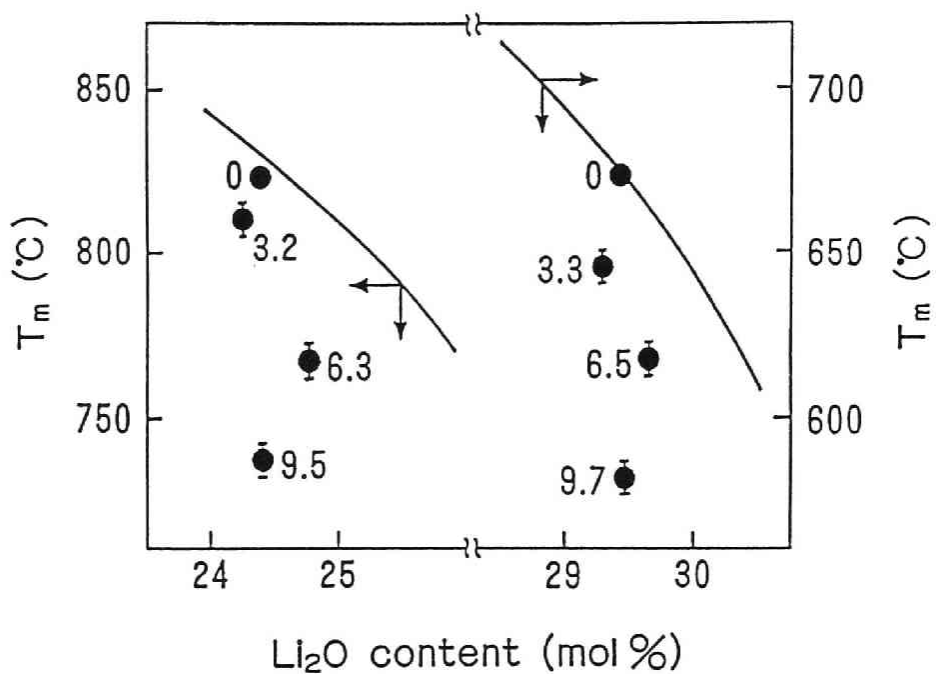


Fig. 3. Miscibility temperature ( $T_m$ ) of the oxynitride glasses as a function of the  $\text{Li}_2\text{O}$  content. The numbers represent the nitrogen content (eq%,  $3[\text{N}]/(2[\text{O}]+3[\text{N}])$ ). The solid lines indicate the immiscibility boundary of  $\text{Li}_2\text{O}-\text{SiO}_2$  system based on the data of Haller et al[5].

boundary of  $\text{Li}_2\text{O-SiO}_2$  glasses reported by Haller et al[5]. The numbers beside the solid circles represent the nitrogen contents (eq%) of glasses. Non-nitrided glasses show miscibility temperatures consistent with the immiscibility boundary reported by Haller et al[5]. It is seen from Fig. 3 that the miscibility temperature of both series becomes lower with increasing nitrogen content. In other words, the phase separation of Li-Si-O-N oxynitride glasses is more suppressed with increasing nitrogen content.

Figs. 4 and 5 show the deviations of the miscibility temperature from the temperature of the immiscibility boundary line of the  $\text{Li}_2\text{O-SiO}_2$  composition of the corresponding  $\text{Li}_2\text{O}$  content ( $\Delta T_m$ ) as a function of the nitrogen content. The deviation follows a linear dependence on the nitrogen content for both series of glasses. The incorporation of 10 eq% of nitrogen lowers the miscibility temperatures by about 90 °C for both series of glasses.

## DISCUSSION

Levin[6] pointed out that the immiscibility of silicate and borate systems can be related to the difference between the electrostatic attractive force of the network-forming cation with oxygen and that of network-modifying cation with oxygen. In most silicate and borate systems, the tendency of a melt to immiscibility is strong when the ionic field strength of the network-modifying cation, defined as  $Z/r$ , is high, where  $Z$  is the ionic charge and  $r$  is the ionic radius of the network-modifying cation. Moriya[4, 7, 8] studied the effects of additives on the miscibility of binary alkali-silicate glasses, showing that the

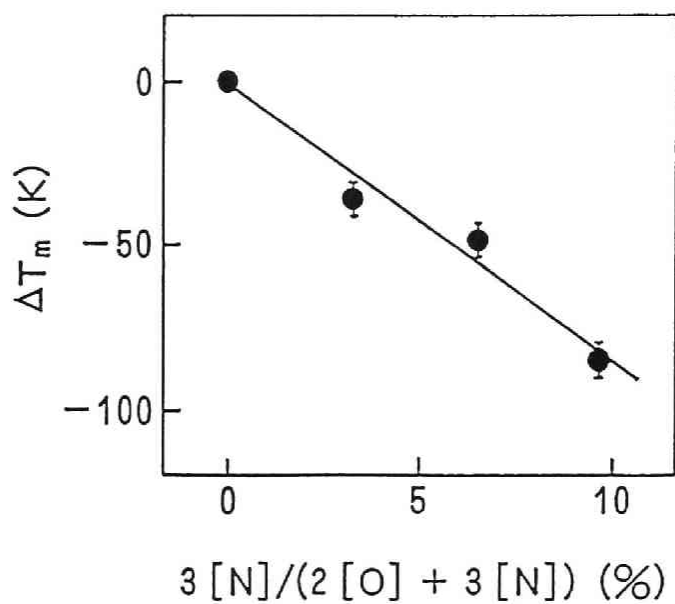


Fig. 4. Deviation of the miscibility temperature of  $25\text{Li}_2\text{O} \cdot (75-3x)\text{SiO}_2 \cdot x\text{Si}_3\text{N}_4$  glasses from the immiscibility boundary line ( $\Delta T_m$ ) for the corresponding  $\text{Li}_2\text{O}-\text{SiO}_2$  system as a function of the nitrogen content.

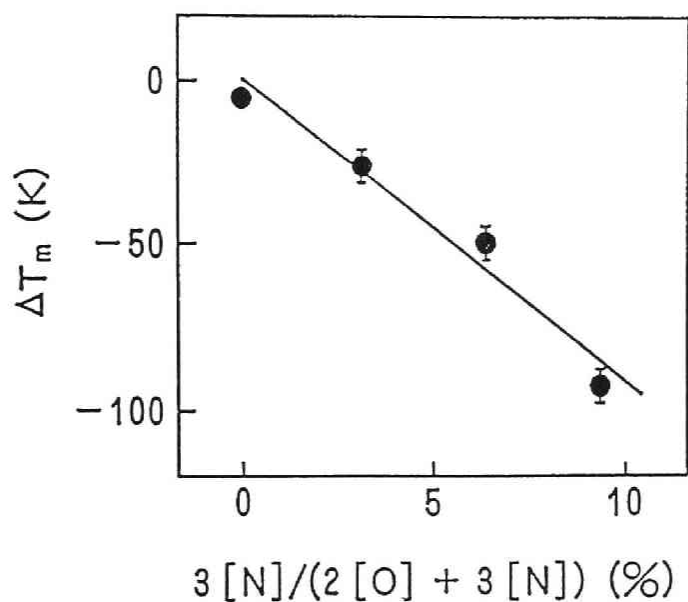


Fig. 5. Deviation of the miscibility temperature of  $30\text{Li}_2\text{O} \cdot (70-3x)\text{SiO}_2 \cdot x\text{Si}_3\text{N}_4$  glasses from the immiscibility boundary line ( $\Delta T_m$ ) for the corresponding  $\text{Li}_2\text{O}-\text{SiO}_2$  system as a function of the nitrogen content.

network-modifying additives with high ionic field strength promote the phase separation while those with low ionic field strength suppress it, in accordance with the idea of Levin[6]. These may imply that the phase separation is promoted when the electrostatic interaction between the network-modifying cations and the network anion is strong.

In the present system, the substitution of a part of oxygen atoms by nitrogen atoms lowers the miscibility temperature. The effect of minor impurities of Al, Fe and Ca can be neglected because the contents are too low. The observed change of the miscibility temperature may be attributed to the change of the electrostatic interaction between lithium ion and the anions.

It has been shown in CHAPTER 3 that the activation energy for transport of lithium ion in Li-Si-O-N oxynitride glasses decreases with increasing nitrogen content, which may be attributed to the decrease in the electrostatic attractive force exerted on lithium ions on nitridation. The change of the electrostatic interaction on nitridation arises from the lower electronegativity of nitrogen than that of oxygen. It is known that the incorporation of fluorine, which is more highly electronegative than oxygen, enhances phase separation[9].

Consequently, the lowering of the miscibility temperature on nitridation may be attributed to a decrease in the electrostatic interaction between the lithium ions and the network anions. Shaw et al.[10] observed the phase separation in the Mg-Si-O-N system, indicating that the glasses separated into MgO-rich phase and SiO<sub>2</sub>-rich phase, nitrogen atoms were present in both separated phases, and the immiscibility gap in the MgO-SiO<sub>2</sub> system became narrower as a result of incorporation of nitrogen. The narrowing of the immiscibility gap of Mg-Si-O-N system may be interpreted by the same discussion as in the present study.

## SUMMARY OF CHAPTER 5

The miscibility temperature of Li-Si-O-N oxynitride glasses becomes lower with increasing nitrogen content, indicating that the phase separation is suppressed by the incorporation of nitrogen. The incorporation of 10 eq% of nitrogen lowers the miscibility temperature by about 90 °C. The lowering of the miscibility temperature has been attributed to the decrease in the electrostatic interaction between the lithium ions and the network anions due to the incorporation of nitrogen.

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## CHAPTER 6 Crystallization of Oxynitride Glasses

It is expected that novel glass-ceramics may be prepared by crystallizing oxynitride glasses because crystals which have useful properties may be precipitated in oxynitride glasses. It has been found that oxynitride glasses can be converted to fine-grained glass-ceramics without addition of a special nucleating reagent[1, 2]. Also, Wusirika and Chyung reported that X-phase crystals precipitated in the bulk of oxynitride glasses reinforce the matrices of high-quartz, cordierite and  $\beta$ -spodumene phases[1].

The crystallization of oxynitride glasses is also important for sintered silicon nitride ceramics. Certain oxides such as  $Y_2O_3$  and  $Al_2O_3$  added to promote the sintering of silicon nitride may form oxynitride glass inclusions in the grain boundaries of the nitride ceramics, deteriorating their creep resistance at high temperatures[3]. In order to improve the high temperature mechanical strength of the nitride ceramics, crystallization of the glassy phases is necessary[4].

The effect of the incorporation of nitrogen on the crystallization behavior, however, is not fully understood.

In the present chapter, the effect of nitrogen on the crystallization of oxynitride glasses is described. In Section 6. 1, crystallization of Li-Si-O-N oxynitride glasses is described. In Section 6. 2, crystallization of Li-Al-Si-O-N oxynitride glasses and properties of the resultant glass-ceramics are described.

## Section 6.1 Crystallization of Li-Si-O-N Oxynitride Glasses

### INTRODUCTION

Generally, incorporation of nitrogen into oxide glasses increases the viscosity[5-7], raising the glass transition[6-11] and crystallization temperatures[2, 6, 8, 12, 13], while in some glasses the crystallization temperature does not vary so much[14, 15]. On crystallization, nitrogen precipitates as a constituent of  $\text{Si}_2\text{N}_2\text{O}$ [3, 6, 16], X-phase[1], unidentified phase[2, 14] or solid solution of compounds[4, 6], or it remains in the glassy phase[17].

In the present section, the crystallization behavior of Li-Si-O-N oxynitride glasses has been described in comparison with that of a  $\text{Li}_2\text{O-SiO}_2$  glass. Effect of nitrogen on the crystallization temperature, nucleation mechanism and precipitated crystalline phases has been extensively discussed.

### EXPERIMENTAL

#### (1) Preparation and analysis of oxynitride glasses

Oxynitride glasses of the composition  $30\text{Li}_2\text{O} \cdot (70-3x)\text{SiO}_2 \cdot x\text{Si}_3\text{N}_4$  ( $x=0, 1, 2$  and  $3$ ), in which the ratio of lithium to silicon is kept at 6 to 7, have been prepared. Two kinds of non-nitrided glasses were prepared to examine the effects of water content on crystallization: one glass was melted in air and the other was melted in a dry nitrogen atmosphere. The glasses were analyzed for the nitrogen, lithium and water



contents in the same manner as described in the previous chapters. The analyzed compositions are shown in Table 1. The maximum nitrogen content is 3.1 wt%. Lithium is not seriously lost during the preparation of oxynitride glasses. The water content of nitrated glasses is very small. The nitrated glasses are gray in color.

Decomposition of silicon nitride resulting in the deposition of metallic silicon may occur during the preparation of nitrated glasses, to which the color of the oxynitride glasses may be attributed[18]. If the amount of the deposition is large, it would result in a change in the composition. The amount of the deposition of metallic silicon was examined by X-ray photoelectron spectroscopy (XPS). A 10 kV AlK $\alpha$  X-ray source was used. A spectrum of Si<sub>2p</sub> photoelectron of an oxynitride glass, No. 3 of Table 1, was measured. The calibration of the line shift was made by referring to the C<sub>1s</sub> line (284.6 eV[19]) of the hydrocarbon contaminant. Fig. 1 shows the spectrum. The position of the main peak (102.8 eV) agrees well with the result of Kaneko[20] for a 30Li<sub>2</sub>O·70SiO<sub>2</sub> glass (103.0 eV). Deposition of metallic silicon, which would cause a marked change in the composition, was not detected even in the nitrated glass of maximum nitrogen content, that is, a peak around 98 eV attributable to metallic silicon was completely absent.

## (2) Differential thermal analysis

Crystallization behavior was investigated by the differential thermal analysis (DTA). The analysis was conducted on both the bulk specimens of approximately 3x3x1 mm<sup>3</sup> in size and the powder specimens with sizes of 37 to 63  $\mu$ m. The heating rate was 10 °C/min. Nitrogen gas of 99.9995 % purity was passed in the equipment to avoid any possible oxidation of the specimens.

Table 1 Analytical compositions of  $30\text{Li}_2\text{O} \cdot (70-3x)\text{SiO}_2 \cdot x\text{Si}_3\text{N}_4$   
oxynitride glasses

Sample (x value)	$N_{\text{calc}}$ (wt%)	$N_{\text{anal}}$ (wt%)	Li* (wt%)	Water (wt%)
OA**	0	0	8.16	$9 \times 10^{-3}$
ON+	0	0	8.25	$1 \times 10^{-3}$
1	1.11	1.06	8.23	$<1 \times 10^{-4}$
2	2.23	1.97	8.17	$<1 \times 10^{-4}$
3	3.37	3.13	8.24	$<1 \times 10^{-4}$

\*Theoretical lithium content in a  $30\text{Li}_2\text{O} \cdot 70\text{SiO}_2$  glass is 8.16 wt%.

\*\*Melted in air at 1500 °C for 2h.

+Melted in a nitrogen atmosphere at 1400 °C for 1h.

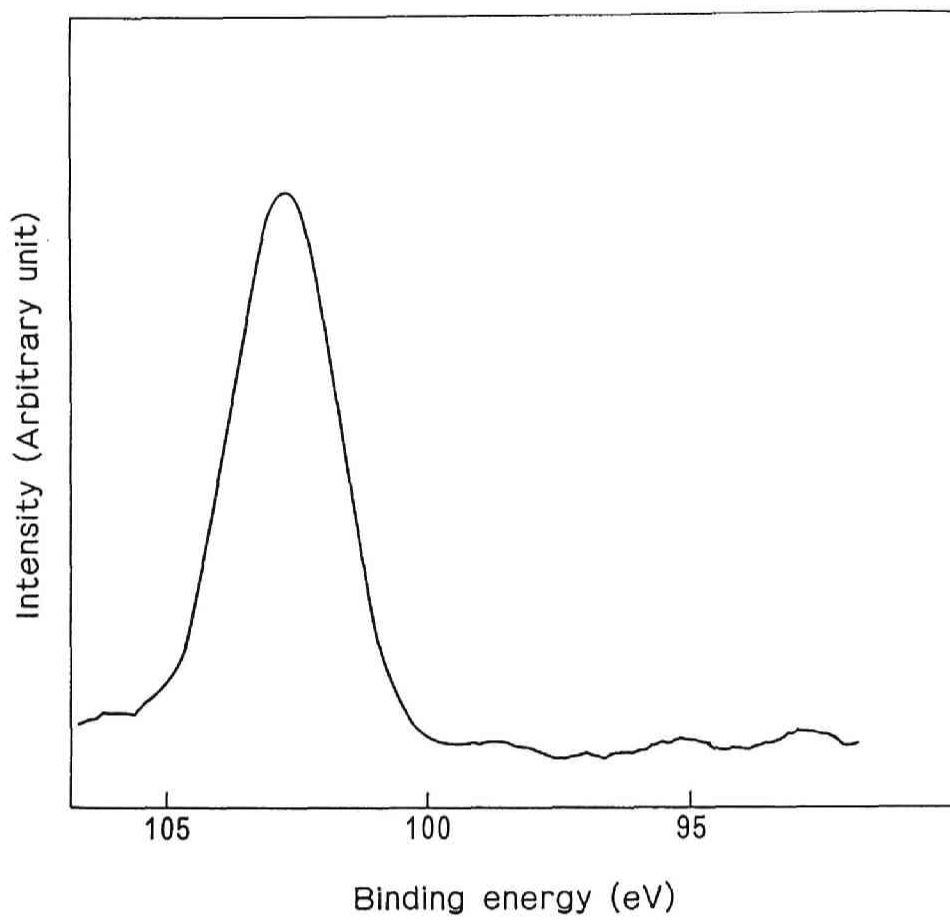


Fig. 1. An Si<sub>2p</sub> photoelectron spectrum of oxynitride glass 3.

### **(3) Crystallization of glasses and identification of precipitated crystalline phases**

Glass specimens of about 1 mm in thickness were heat treated for crystallization at 670 to 925 °C for 1 or 24 hours under a flow of nitrogen gas. Glass specimens were wrapped with tantalum foils and heated at a rate of 10 °C/min to the desired temperatures. After the heat-treatment, specimens were quickly withdrawn to the cold zone of the furnace.

The precipitated crystalline phases were identified by X-ray diffraction (XRD). The X-ray d-spacings of (110), (130), (040), (111) and (002) planes of lithium disilicate ( $\text{Li}_2\text{Si}_2\text{O}_5$ ) were determined using  $\text{CuK}\alpha$  radiation. The diffraction from a silicon (111) plane ( $d=0.3138$  nm) was used as a reference.

### **(4) Microscopic observation**

In order to investigate the nucleation mechanism, the fractured surfaces of the heat-treated glasses were observed with a scanning electron microscope (SEM). The specimens were heated in the DTA equipment at a rate of 10 °C/min under a flow of nitrogen gas and the power of the furnace was turned off at the desired temperatures.

## **RESULTS**

### **(1) Glass transition and crystallization temperatures**

Fig. 2 shows the DTA profiles of the bulk and powder specimens. The glass transition temperature is indicated by the endotherm. The incipient temperature of the exothermic reaction is taken as the crystallization temperature. The glass

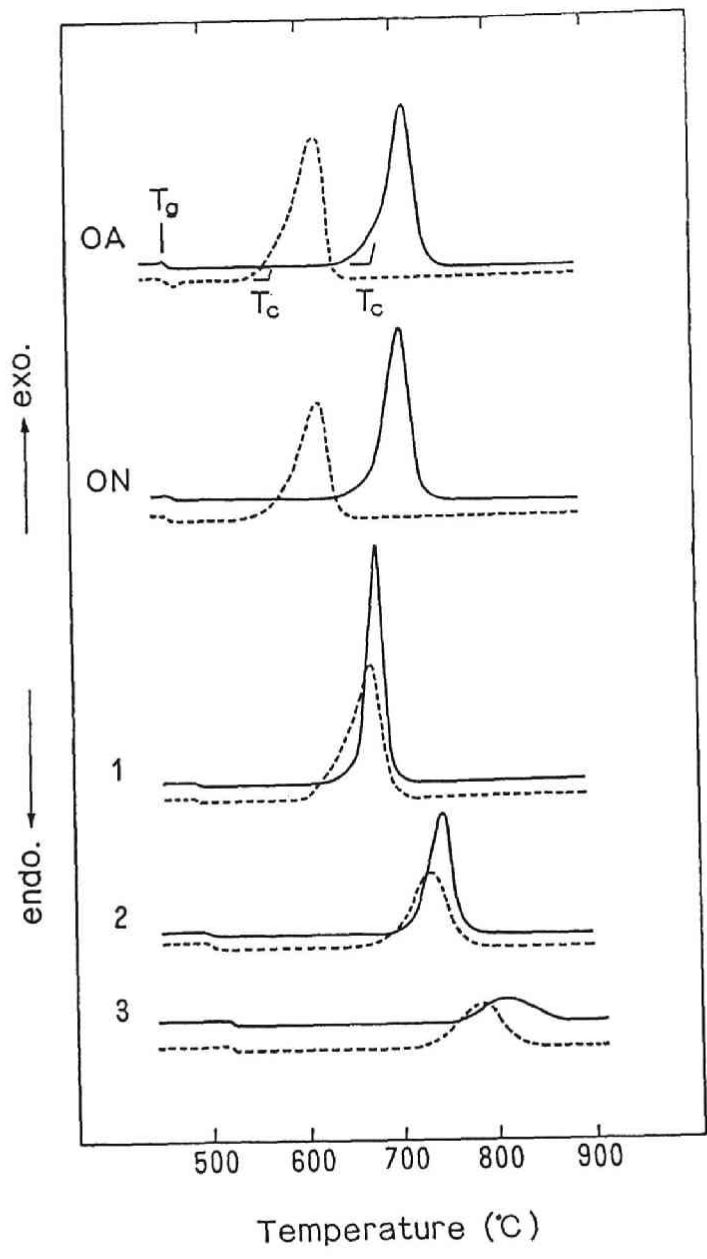


Fig. 2. DTA curves of oxynitride glasses. (—)Bulk and (---)powder specimens.

transition and crystallization temperatures are shown in Table 2.

The glass transition temperature of the bulk specimen agrees with that obtained for the powder specimen within 4 °C. The glass transition temperature rises with increasing nitrogen content. The incorporation of 3.1 wt% nitrogen raises the glass transition temperature by about 60 °C.

As seen from Fig. 2, an exothermic peak due to crystallization is seen in each specimen in the temperature range from 550 to 850 °C. For the non-nitrided glasses, the crystallization temperature of the powder specimen (broken line) is lower than that of the bulk specimen (solid line) by about 100 °C. On the other hand, for the nitrided glasses, the crystallization temperatures of the powder and bulk specimens coincide with each other within 30 to 40 °C. In nitrided glasses, the crystallization temperature rises, the peak width broadens and the peak height is lowered as the nitrogen content increases.

The DTA profiles of two non-nitrided glasses, 0A and 0N, are almost identical. Although a decrease in the water content of glasses raises the glass transition temperature[21, 22] and lowers the temperature of the initiation of crystallization[21], the effect of the water content is very small compared with that of the nitrogen content.

## (2) Crystalline phases

The XRD profiles of the specimens after the heat-treatments are shown in Figs. 3 to 6. The results for glass 0N are identical to those for glass 0A shown in Fig. 3. For non-nitrided glasses, 0A and 0N,  $\text{Li}_2\text{Si}_2\text{O}_5$  first precipitates, followed by the precipitation of cristobalite at 820 °C.

From the nitrided glasses, however, lithium metasilicate ( $\text{Li}_2\text{SiO}_3$ ) as well as  $\text{Li}_2\text{Si}_2\text{O}_5$  are precipitated at relatively low

Table 2 The glass transition temperature ( $T_g$ ) and the crystallization temperature ( $T_c$ ) of oxynitride glasses

Sample		$T_g(^{\circ}\text{C})$	$T_c(^{\circ}\text{C})$
0A	bulk	463	682
	powder	461	576
0N	bulk	467	676
	powder	463	576
1	bulk	491	656
	powder	488	623
2	bulk	501	718
	powder	500	688
3	bulk	520	761
	powder	520	722

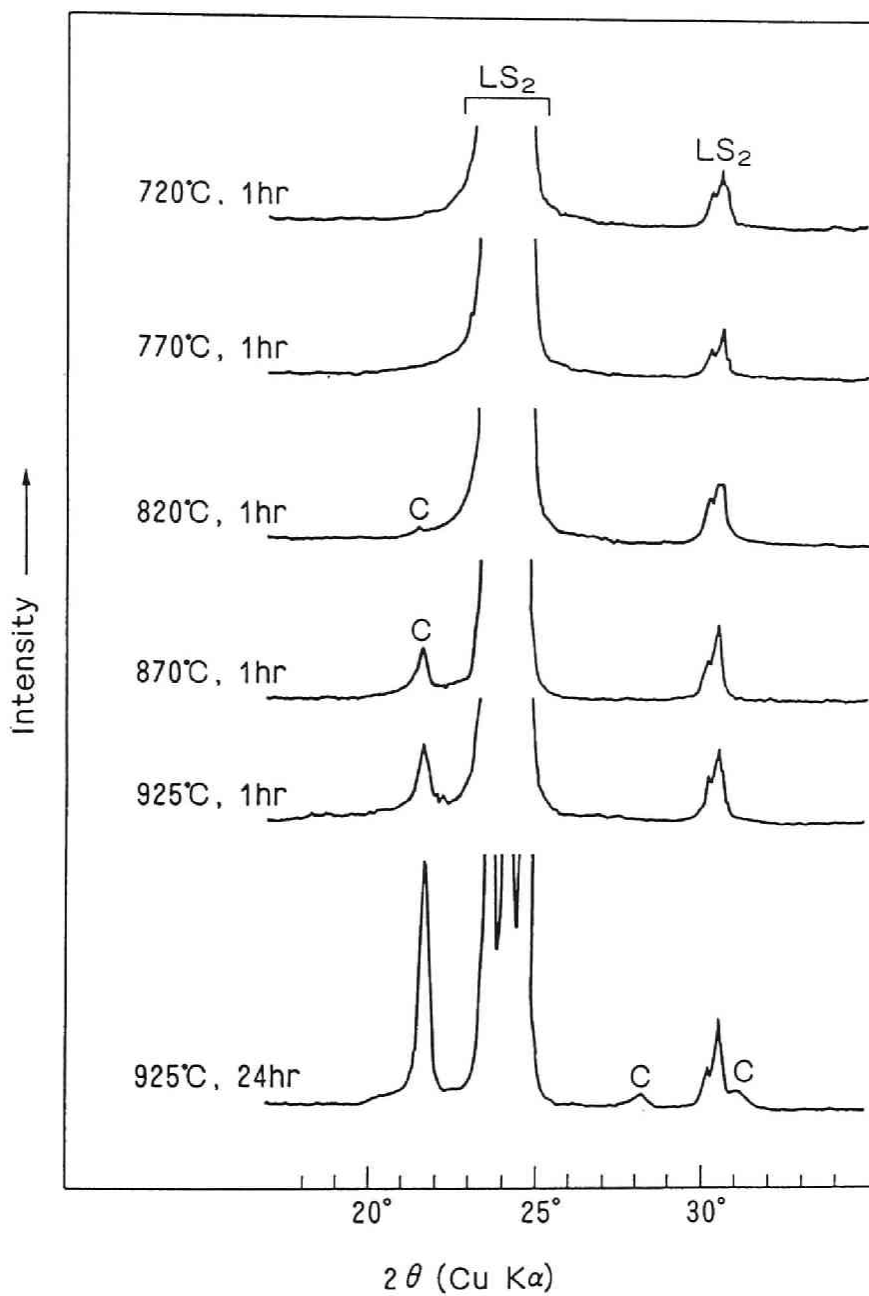


Fig. 3. XRD patterns of glass OA heat-treated under various conditions.  $LS_2$  and C represent  $Li_2Si_2O_5$  and cristobalite, respectively.



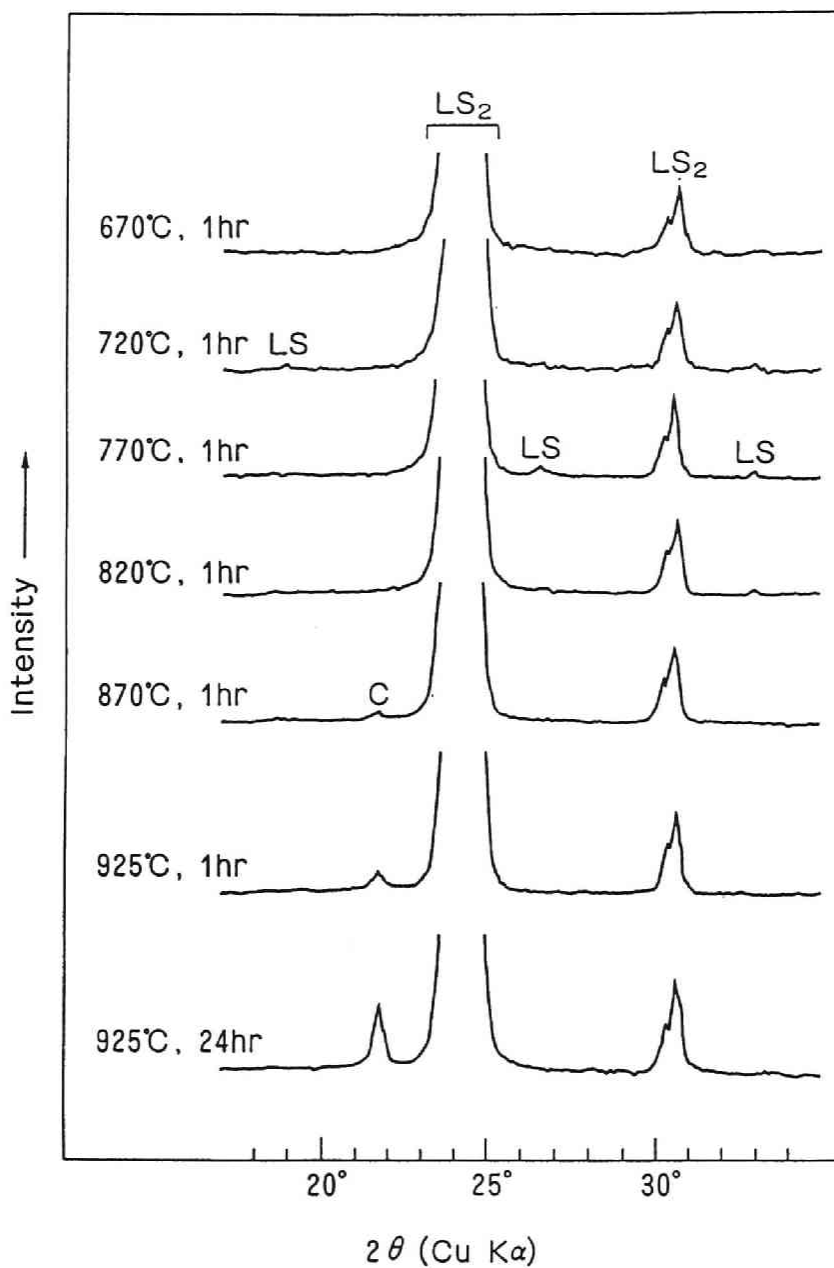


Fig. 4. XRD patterns of glass 1 heat-treated under various conditions. Abbreviations are the same as those in Fig. 3 and LS represents  $\text{Li}_2\text{SiO}_3$ .

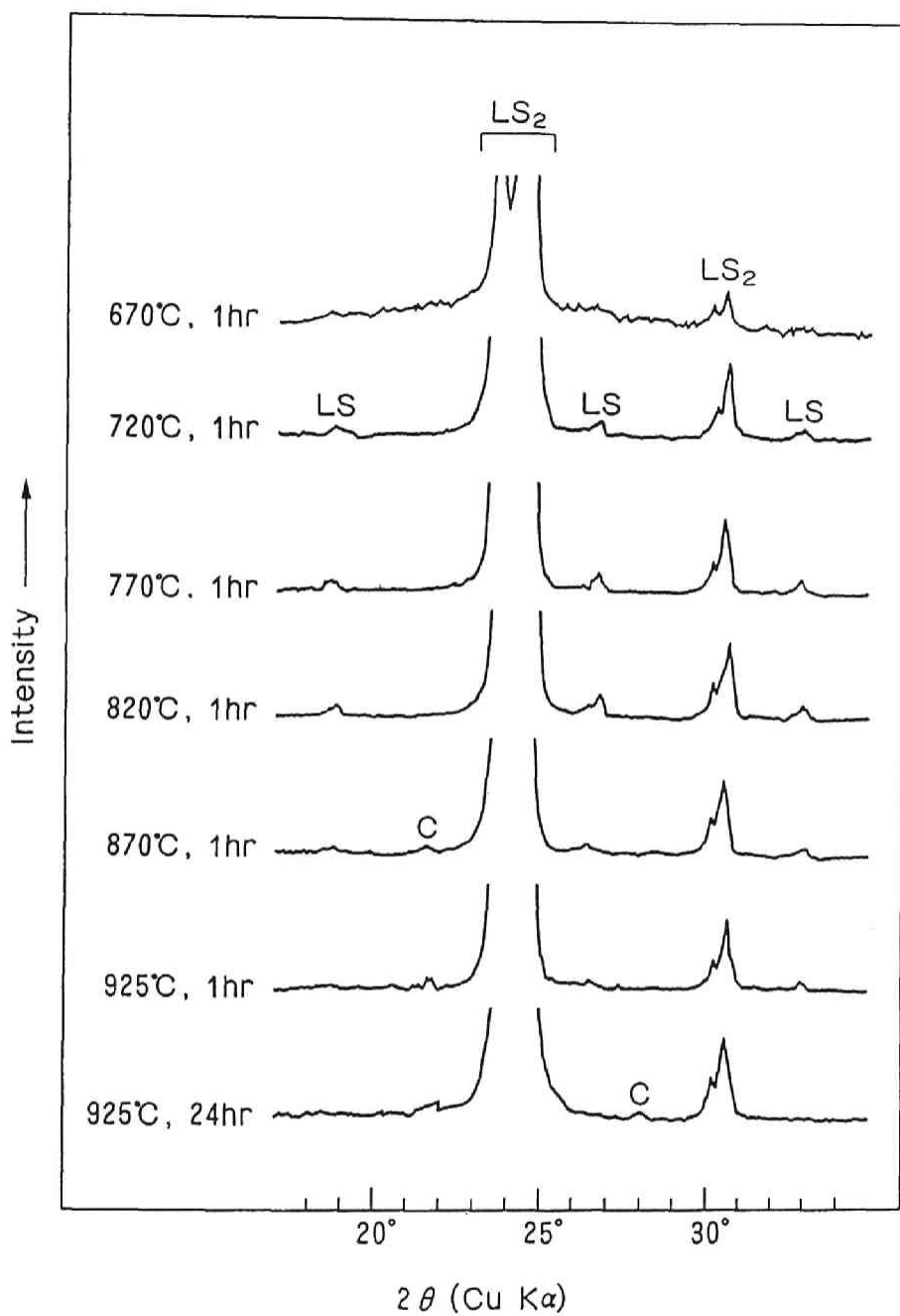


Fig. 5. XRD patterns of glass 2 heat-treated under various conditions. Abbreviations are the same as in Figs. 3 and 4.

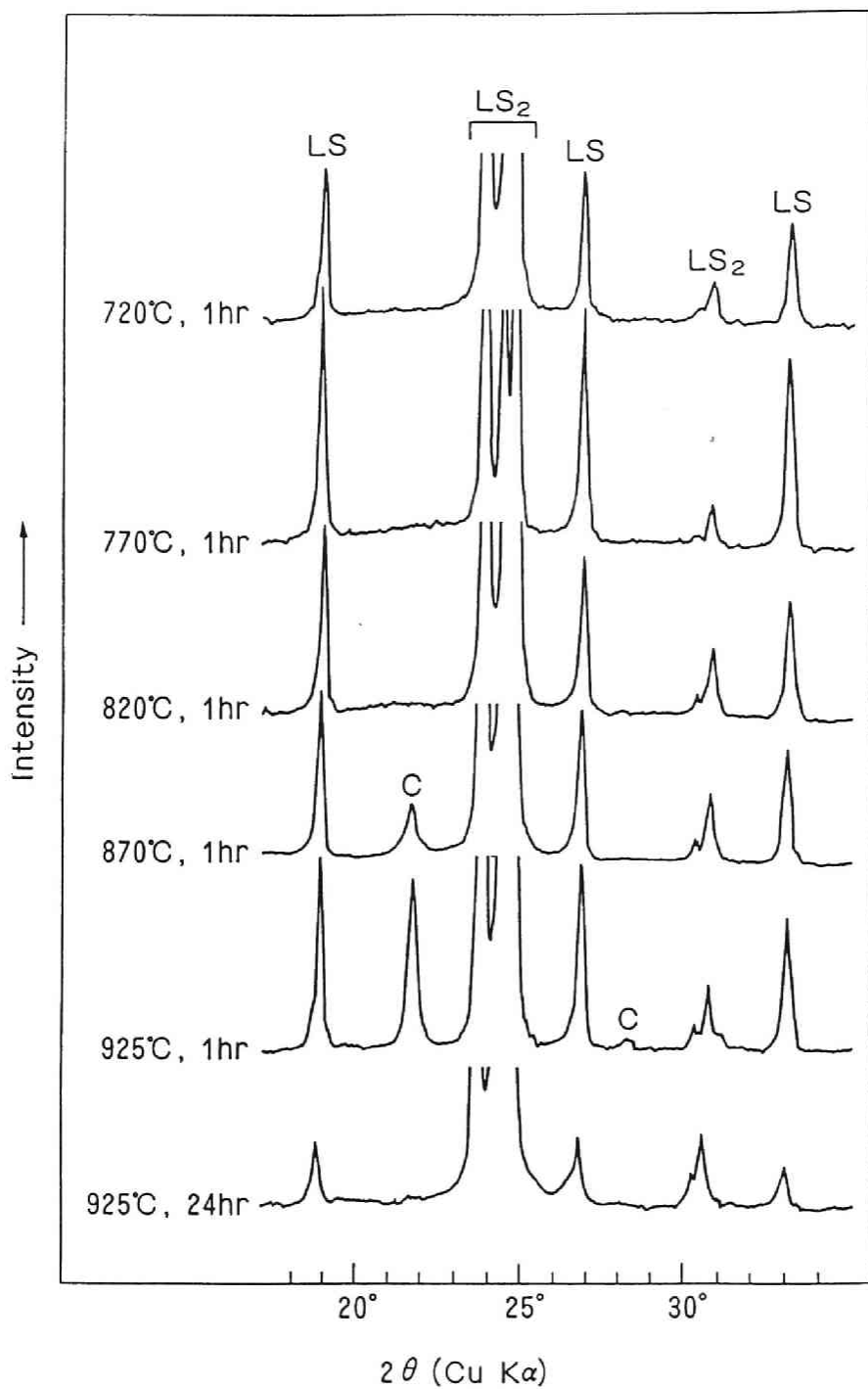


Fig. 6. XRD patterns of glass 3 heat-treated under various conditions. Abbreviations are the same as in Figs. 3 and 4.

temperatures. The tendency to the precipitation of  $\text{Li}_2\text{SiO}_3$  becomes more pronounced as the nitrogen content increases. After a heat-treatment at  $870^\circ\text{C}$ , cristobalite is also seen in the nitrated glasses. As the result,  $\text{Li}_2\text{Si}_2\text{O}_5$ ,  $\text{Li}_2\text{SiO}_3$  and cristobalite are found to coexist. Since  $\text{Li}_2\text{SiO}_3$  and cristobalite react with each other to produce  $\text{Li}_2\text{Si}_2\text{O}_5$ ,  $\text{Li}_2\text{SiO}_3$  disappears from glasses 1 and 2 and cristobalite disappears from glass 3 after a heat-treatment at  $925^\circ\text{C}$  for 24 hours. Any crystalline phases containing nitrogen were not precipitated after the heat-treatments.

Table 3 shows the X-ray d-spacings of  $\text{Li}_2\text{Si}_2\text{O}_5$  precipitated after the heat-treatment at  $925^\circ\text{C}$  for 24 hours together with the values from a reference[23]. No progressive change in the X-ray d-spacings is seen with the change of the nitrogen content. Therefore, it is assumed that nitrogen is not dissolved in precipitated  $\text{Li}_2\text{Si}_2\text{O}_5$  crystal. Table 4 shows the intensities of the scattering at  $26^\circ$  ( $2\theta$ ) from the specimens heat-treated at  $925^\circ\text{C}$  for 24 hours, which correspond to the amount of residual glassy phase[24]. The scattering from the glassy phase increases with increasing nitrogen content. The results shown in Tables 3 and 4 may indicate that nitrogen remains in the glassy phase even after a heat-treatment at  $925^\circ\text{C}$  for 24 hours.

### (3) Nucleation

Fig. 7 shows the fractured surface of heat-treated glass specimens. It is obvious from the figure that both surface crystallization and bulk crystallization occur in the non-nitrated glass, whereas nitrated glasses show only bulk crystallization. Furthermore, numerous crystalline particles are precipitated in nitrated glasses.

The difference in the nucleation between non-nitrated and

Table 3 X-ray d-spacings of  $\text{Li}_2\text{Si}_2\text{O}_5$  precipitated from oxynitride glasses after crystallization at 925 °C for 24 hours

Sample	d(nm)				
	(110)	(130)	(040)	(111)	(002)
OA	0.5411	0.3738	0.3657	0.3583	0.2389
ON	0.5423	0.3733	0.3659	0.3585	0.2390
1	0.5411	0.3732	0.3645	0.3584	0.2393
2	0.5413	0.3734	0.3650	0.3585	0.2394
3	0.5417	0.3736	0.3652	0.3588	0.2395
ref[23]	0.543	0.375	0.367	0.359	0.2395

Table 4 Intensities of the scattering at 26 degree  
from oxynitride glasses heat treated at  
925 °C for 24h

Sample	Intensity (counts/sec)
OA	44
ON	42
1	60
2	70
3	90

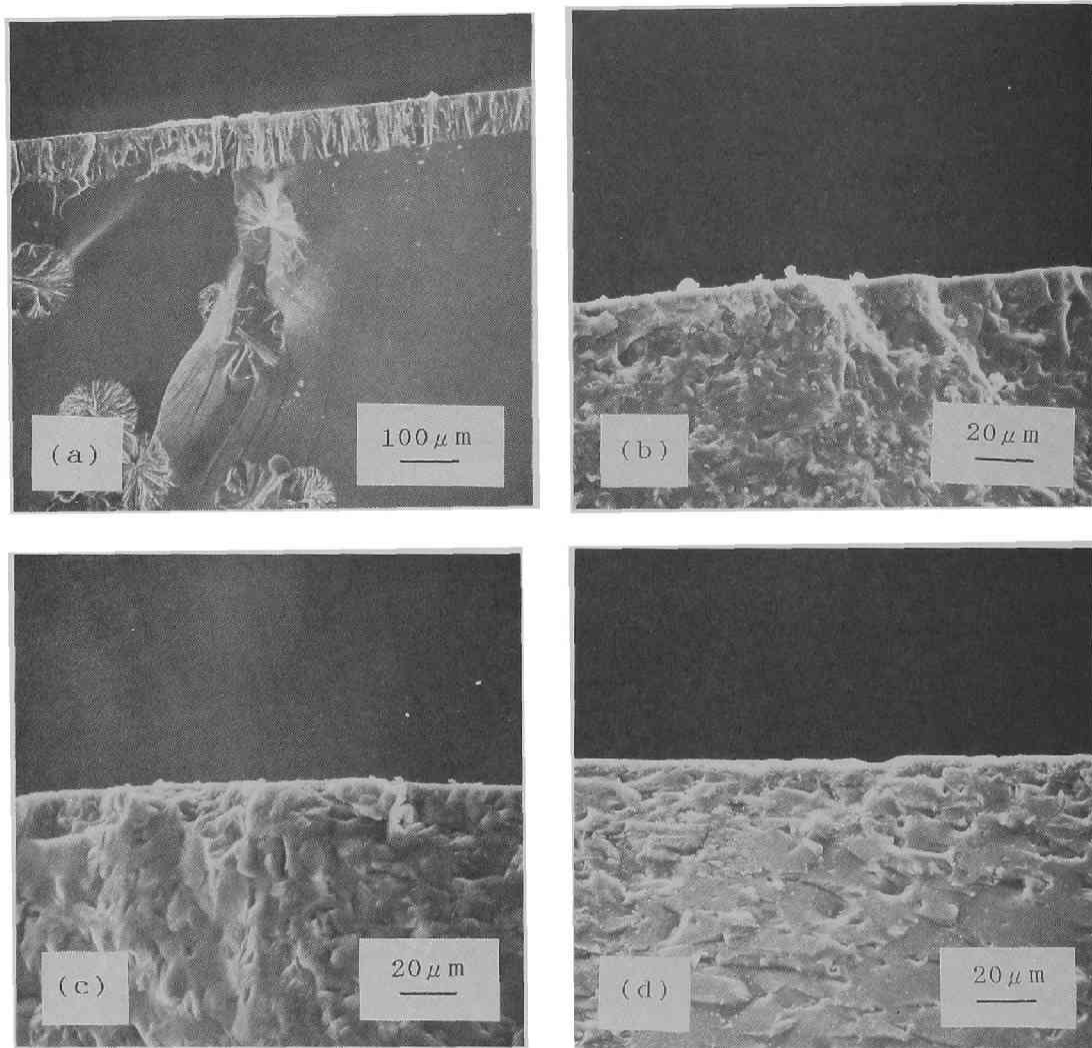


Fig. 7. Electron micrograph of fractured surfaces of oxynitride glasses during crystallization. (a) 0A, (b) 1, (c) 2 and (d) 3.

nitrided glasses is also observed in the DTA profiles. For non-nitrided glasses, the crystallization temperature of the powder specimens is lower by about 100 °C than that of the bulk specimens, as seen from Fig. 2 and Table 2, suggesting that the crystallization occurs from the surface. In fact, it is known that the glasses of the compositions around  $\text{Li}_2\text{O} \cdot 2\text{SiO}_2$  composition crystallize both from the surface and in the bulk[25]. For nitrided glasses, however, the differences in the crystallization temperature between the powder and the bulk specimens is smaller, supporting that the crystallization occurs only in the bulk.

## DISCUSSION

### (1) Effect of nitrogen on the glass transition and crystallization temperatures

The incorporation of nitrogen raises the glass transition and crystallization temperatures as shown in Table 2. These changes can be attributed to the effect of nitrogen. The lithium content is constant for all the glasses and the effect of the water content is very small to explain these changes.

A rise in the glass transition temperature corresponds to an increase in the viscosity at a certain temperature. In general, the viscous flow of glass takes place via the dissociation of the bonds constituting the glass network. Therefore, the increase in the viscosity on nitridation may be attributed to an increase in the average dissociation energy of the bonds between silicon and anions; the dissociation energy of Si-N bonds per unit volume is higher than that of Si-O bonds[7].

The crystallization temperature rises with increasing nitrogen content. The temperature of the initiation of the



exothermic reaction of crystallization is the temperature at which the nuclei of a critical size start to grow[21]. For the growth of the crystals, the pertinent atomic species should diffuse in the glass, and the rate of the diffusion would be suppressed by an increase in the viscosity of the glass. Therefore, the rise in the crystallization temperature with increasing nitrogen content may be attributed to the formation of Si-N bonds which increase the viscosity of the glass.

The changes of the DTA profiles with increasing nitrogen content (Fig. 2), that is, the broadening of the exothermic peak and the lowering of the peak height with increasing nitrogen content, indicate that the diffusion of the atomic species necessary for the crystal growth is suppressed by the incorporation of nitrogen.

## (2) Crystalline phases

Crystalline phases vary with the nitrogen content.  $\text{Li}_2\text{SiO}_3$  is precipitated from nitrated glasses after the heat-treatments at low temperatures and the tendency becomes more pronounced with increasing nitrogen content. The crystalline phases and their relative amounts precipitated after the heat-treatment at  $925^\circ\text{C}$  for 24 hours also change with the nitrogen content.

It is shown that the nitrogen atoms do not dissolve in the  $\text{Li}_2\text{Si}_2\text{O}_5$  crystal but are condensed in the residual glassy phase. This implies that the silicon atoms bonded to nitrogen atoms can not participate in crystallization.

In the glasses before heat-treatment, the following structural groups would be present in the glass structure as described in CHAPTERS 1 and 2.





In nitrated glasses, the ratio of lithium ions to silicon atoms which can participate in crystallization would become larger than 1:1, because silicon atoms bonded to nitrogen atoms can not participate in crystallization. This would make it possible to precipitate  $Li_2SiO_3$  which has a lithium to silicon ratio of 2. The results shown in Figs. 3 to 6 indicate that the tendency to precipitation of  $Li_2SiO_3$  becomes more pronounced with increasing nitrogen content.

As the heat-treatment temperature is raised, the amount of  $Li_2Si_2O_5$  increases and cristobalite is precipitated. This would be explained on the assumption that silica component would be released from structural groups (1) and (2), condensing nitrogen atoms in the residual glassy phase. The crystalline phases precipitated after a heat-treatment at 925 °C for 24 hours may be well explained on the assumption that the composition of the residual glassy phase is close to  $Si_2N_2O$  as described below. The composition of the glasses can be written as  $30Li_2O \cdot (70-4x)SiO_2 \cdot 2xSi_2N_2O$ . Then, the ratio of  $SiO_2$  to  $Li_2O$  for glasses 1, 2 and 3 is 2.20, 2.09 and 1.96, respectively. When the ratio is larger than 2 (glasses Nos. 1 and 2), the crystalline phases would be  $Li_2Si_2O_5$  and cristobalite, and when the ratio is smaller than 2 (glass No. 3), those would be  $Li_2Si_2O_5$  and  $Li_2SiO_3$ .

### (3) Nucleation mechanism

Nitrated glasses crystallize in the bulk and numerous crystalline particles are precipitated upon crystallization.

There are two mechanisms for the bulk nucleation: homogeneous and inhomogeneous nucleation.

First, the possibility of homogeneous nucleation will be examined. The texture of the heat-treated nitrated glasses shown in Fig. 7 may indicate the rate of crystal growth is low compared with that of nucleation. It is known that crystallization of glasses takes place through the nucleation and growth. The rate of nucleus formation in a glass,  $I$ , is expressed[21, 24, 26] as

$$I = C \cdot \exp(-W^*/RT) \cdot \exp(-\Delta E/RT) \quad (3)$$

where  $W^*$  is the thermodynamic barrier for nucleation,  $\Delta E$  is the kinetic barrier and  $C$  is a constant. Since  $\exp(-\Delta E/RT)$  is proportional to  $1/\eta$ , where  $\eta$  is the viscosity of the melt, equation (3) can be rewritten as

$$I = (C''/\eta) \cdot \exp(-W^*/RT) \quad (4)$$

where  $C''$  is a constant. Equation (4) implies that an increase in the viscosity lowers  $I$  whereas an decrease in  $W^*$  enlarges  $I$ .

In a lower temperature region,  $\text{Li}_2\text{SiO}_3$  is precipitated from the nitrated glasses, which is not precipitated from the non-nitrated glasses. The composition of the nuclei formed in nitrated glasses, therefore, might be close to  $\text{Li}_2\text{SiO}_3$ . If so, the values of  $W^*$  for nitrated and non-nitrated glasses may be different from each other because the composition of the nuclei may be different. If  $W^*$  for nitrated glasses is smaller than that for non-nitrated glasses, the decrease in the thermodynamic barrier for nucleation may compensate for the effect of the increase in the viscosity. The rate of crystal growth may be lowered by an increase in the viscosity. Then, the rate of nucleation would become higher compared with that of crystal growth, which would eventually increase the number of nuclei.

On the other hand, the possibility of inhomogeneous nucleation can not be ruled out. The present nitrated glasses

are gray in color. It has been considered that the color of oxynitride glasses may be caused by the precipitation of a small amount of metallic silicon arising from the decomposition of silicon nitride[18] even though the amount is so little that the metallic silicon can not be detected by XPS or XRD. Therefore, there may be a possibility that the metallic silicon may act as substrates of inhomogeneous nucleation.

If homogeneous nucleation really occurs in nitrated glasses, the number of the nuclei increases and the texture of the crystallized glasses becomes finer with increasing nitrogen content. However, such effects of nitrogen content can not be observed in Fig. 7. Therefore, the inhomogeneous nucleation may be more likely to occur than the homogeneous nucleation in the present nitrated glasses.

## CONCLUSION

The glass transition and crystallization temperature rise with increasing nitrogen content, which may be attributed to the formation of Si-N bonds in the glass structure causing an increase in the viscosity. Precipitation of  $\text{Li}_2\text{SiO}_3$ , which is not precipitated from non-nitrated glasses, is promoted with increasing nitrogen content. The change of the crystalline phases has been explained by considering that the silicon atoms bonded to nitrogen atoms can not participate in crystallization. Bulk crystallization is dominant in nitrated glasses and numerous crystalline particles are precipitated in the bulk of nitrated glasses upon crystallization. A possibility has been proposed that a small amount of fine metallic silicon may be responsible for the inhomogeneous nucleation although the possibility of homogeneous nucleation can not be eliminated.

## Section 6. 2 Crystallization of Li-Al-Si-O-N Oxynitride Glasses and Properties of the Resultant Glass-Ceramics

### INTRODUCTION

Incorporation of nitrogen atoms in oxide glasses increases the chemical durability, refractoriness, microhardness and elastic modulus, and decreases the thermal expansion coefficient[21]. It is also expected that novel glass-ceramics may be prepared by crystallizing oxynitride glasses. There are many studies on the crystallization of oxynitride glasses[1, 2, 4, 14, 16, 17, 27]. In the previous section, the effect of nitrogen on the crystallization of Li-Si-O-N oxynitride glasses has been described. However, there are only a few studies on the properties of the glass-ceramics derived from oxynitride glasses[27].

There are many practically important glass-ceramics. Glass-ceramics based on the  $\text{Li}_2\text{-Al}_2\text{O}_3\text{-SiO}_2$  system are practically important because of the extremely low thermal expansion coefficient. They are applied to precision optics materials[28] or matrices of fiber-reinforced glass-ceramics[29, 30].

In the present section, the crystallization of Li-Al-Si-O-N oxynitride glasses and the properties of the resultant glass-ceramics have been described in view of the practical importance of  $\text{Li}_2\text{O-Al}_2\text{O}_3\text{-SiO}_2$  glass-ceramics. The effect of nitrogen on the crystallization of Li-Al-Si-O-N oxynitride glasses has been compared with that of Li-Si-O-N oxynitride glasses described in Section 6. 1.

## EXPERIMENTAL

### (1) Preparation and analysis of glasses

The nominal compositions of the oxynitride glasses studied are shown in Table 1. The ratio of Li:Al:Si was 1:1:2, which is based on  $\beta$ -spodumene composition, and the nitrogen content was varied by a progressive substitution of AlN for  $AlO_{3/2}$ . The glasses were prepared according to the procedure described in the previous chapters. For comparison, two kinds of non-nitrided glasses, with or without nucleating reagents, were prepared, which will be referred to as ON and OA, respectively. The nucleating reagents added were 2 wt% of  $TiO_2$  and  $ZrO_2$ . The glasses were analyzed for the nitrogen and lithium contents by the Kjeldahl method and the flame emission technique, respectively.

### (2) Differential thermal analysis

The glasses, cut into blocks of about  $3 \times 3 \times 3 \text{ mm}^3$ , were analyzed by differential thermal analysis (DTA) with a MAC Science Co. model TG-DTA 2000. DTA measurements were conducted up to  $1300 \text{ }^\circ\text{C}$  at a heating rate of  $10 \text{ }^\circ\text{C}/\text{min}$  under a flow of argon.

### (3) Crystallization

The glasses were cut into rods of about  $3 \times 3 \times 10 \text{ mm}^3$  in size. The rods were heat-treated for crystallization at 800, 1000 and  $1200 \text{ }^\circ\text{C}$  for 4 hours under a flow of nitrogen. The heating rate was  $10 \text{ }^\circ\text{C}/\text{min}$ . For glass ON, a heat-treatment for nucleation at  $760 \text{ }^\circ\text{C}$  for 4 hours was conducted prior to crystallization to obtain crack-free specimens. However, the heat-treatment for nucleation was not necessary to crystallize nitrided glasses.

Table 1 Nominal compositions of Li-Al-Si-O-N oxynitride glasses (molar ratio).

Sample	$\text{LiO}_{1/2}$	$\text{AlO}_{3/2}$	$\text{SiO}_2$	AlN	others
OA	1	1	2	0	0
ON	1	1	2	0	excess 2wt% $\text{TiO}_2$ excess 2wt% $\text{ZrO}_2$
A	1	0.8	2	0.2	0
B	1	0.7	2	0.3	0
C	1	0.6	2	0.4	0

The ground glass powders were also heat-treated for crystallization in air, by which nitrogen atoms in the glasses are replaced by oxygen atoms, to compare the kinds of crystalline phases with those precipitated in nitrogen atmosphere.

#### (4) Characterization of glass-ceramics

The precipitated crystalline phases were identified by powder X-ray diffraction analysis (XRD) with a Rigaku Co. Geigerflex equipment using  $\text{CuK}\alpha$  radiation. The tube voltage and current were 35kV and 30mA, respectively. The scanning speed was 2 degree/min in  $2\theta$ . For the precise determination of the lattice parameters of the precipitated high-quartz solid solution (ss), a scanning speed of 0.2 degree/min was employed. The lattice parameters,  $a_0$  and  $c_0$ , were calculated from the  $\text{CuK}\alpha_1$  diffraction of the (200), (112), (212) and (203) planes. High purity silicon powder was used as internal standard.

The Knoop hardness was measured under a load of 1.96 N for 20 seconds with an Akashi Co. MVK-G1 hardness tester. The density was determined by the Archimedes method using carbontetrachloride ( $\text{CCl}_4$ ) as substituting liquid. The thermal expansion coefficient was measured with a MAC Science Co. model TD-5010 differential push-rod dilatometer using silica glass as reference. A heating rate of 10  $^{\circ}\text{C}/\text{min}$  was used. The average linear thermal expansion coefficient from 50 to 500  $^{\circ}\text{C}$  was estimated.

## RESULTS

### (1) Analysis of glasses

Table 2 shows the nitrogen and lithium contents of glasses. 93 to 99 % of nitrogen calculated from nominal batch compositions are retained in oxynitride glasses. There is no significant



Table 2 Nitrogen and lithium contents of Li-Al-Si-O-N oxynitride glasses.

Sample	N <sub>calc</sub> (wt%)	N <sub>anal</sub> (wt%)	Li <sub>calc</sub> (wt%)	Li <sub>anal</sub> (wt%)	Tg (°C)	Tc (°C)
OA	0	0	3.73	3.88	699	795
ON	0	0	3.59	3.70	688	827
A	1.52	1.47	3.77	3.83	734	998
B	2.20	2.18	3.79	3.88	748	1023
C	3.08	2.85	3.81	3.89	760	1060

change in the lithium content between calculated and analyzed values. The nitrated glasses were translucent, gray in color, and had no crystalline phase.

## (2) Differential thermal analysis

Fig. 1 shows the DTA profiles of the glasses. A large exothermic peak due to crystallization is observed at temperatures from 800 to 1100 °C. The exothermic peak is associated with the precipitation of high-quartz ss. A small exothermic peak found in ON around 1080 °C is associated with the precipitation of m- and t-ZrO<sub>2</sub>. The small peaks found in glasses B and C around 1170 °C, indicated by a downward arrow mark in the figure, may be associated with the precipitation of Si<sub>2</sub>N<sub>2</sub>O. The glass transition temperature, T<sub>g</sub>, and the onset of crystallization, T<sub>c</sub>, become higher with increasing nitrogen content. T<sub>g</sub> and T<sub>c</sub> are listed in Table 2.

## (3) Crystalline phases

Table 3 shows the crystalline phases precipitated from the glasses under a flow of nitrogen and in air. From the non-nitrated glasses, metastable high-quartz ss[31] is precipitated after the crystallization at 800 °C in both atmospheres, and the diffraction pattern is shown in Fig. 2(a). High-quartz ss, Li<sub>2</sub>O·Al<sub>2</sub>O<sub>3</sub>·nSiO<sub>2</sub>O, has a wide solid-solution range of 2 ≤ n ≤ 8. Assuming from the compositions of the present glasses, the precipitated high-quartz ss may have a composition of n=4, which corresponds to stoichiometric β-spodumene[31, 32]. The high-quartz ss transforms to β-spodumene around 1000 °C, as shown in Fig. 2(b). A small amount of t- and m-ZrO<sub>2</sub>, the nucleating reagent, is observed in glass ON crystallized at 1000 °C and 1200 °C. Crystalline TiO<sub>2</sub> was not detected.

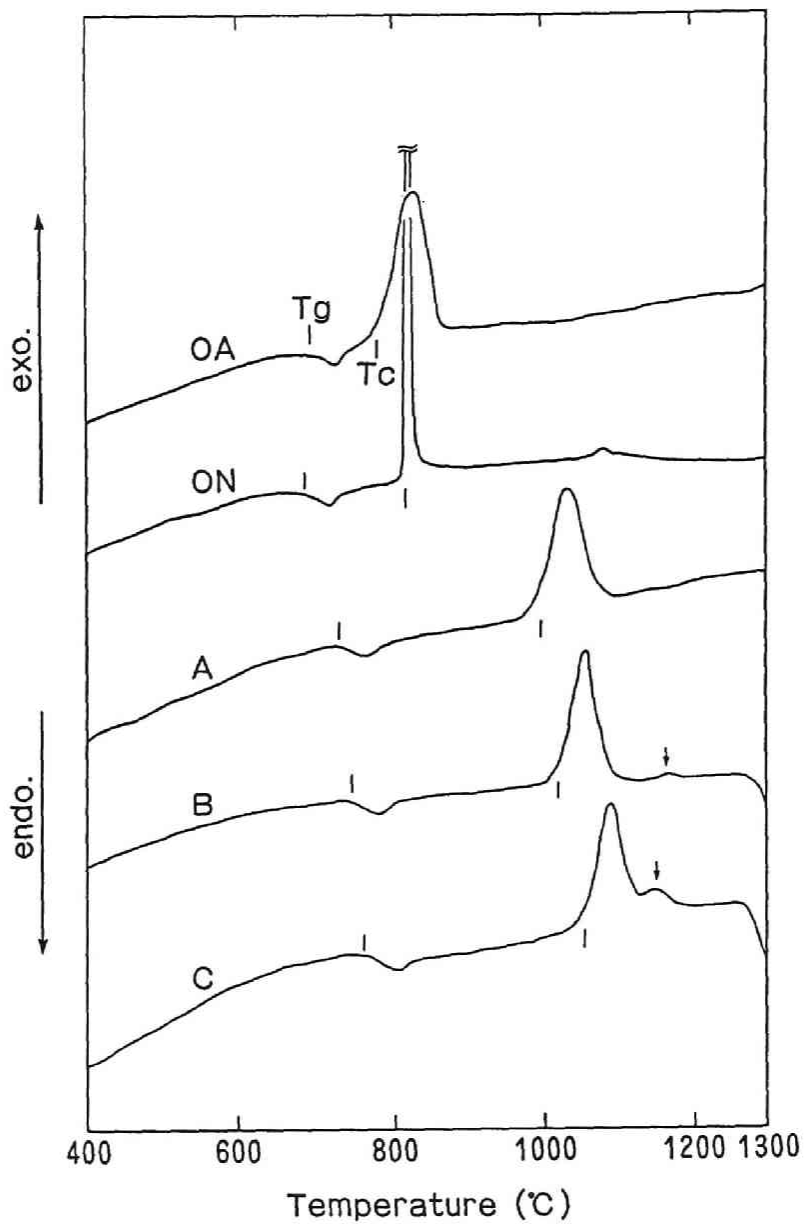


Fig. 1. DTA curves of Li-Al-Si-O-N oxynitride glasses

Table 3 Crystalline phases precipitated from the glasses after the heat-treatments in nitrogen and in air

Sample	Nitrogen			Air		
	800 °C	1000 °C	1200 °C	800 °C	1000 °C	1200 °C
OA	hq	sp	sp	hq	sp	sp
ON	hq	sp, ZrO <sub>2</sub>	sp, ZrO <sub>2</sub>	hq	sp, ZrO <sub>2</sub>	sp, ZrO <sub>2</sub>
A	g	hq	hq, sp	hq	sp	sp
B	g	hq >> g	hq	hq	sp	sp
C	g	hq, g	hg >> Si <sub>2</sub> N <sub>2</sub> O	hq	sp	sp

g: glass, hq: high-quartz solid solution, sp:  $\beta$ -spondumene.  
Heat treatment time is 4h.

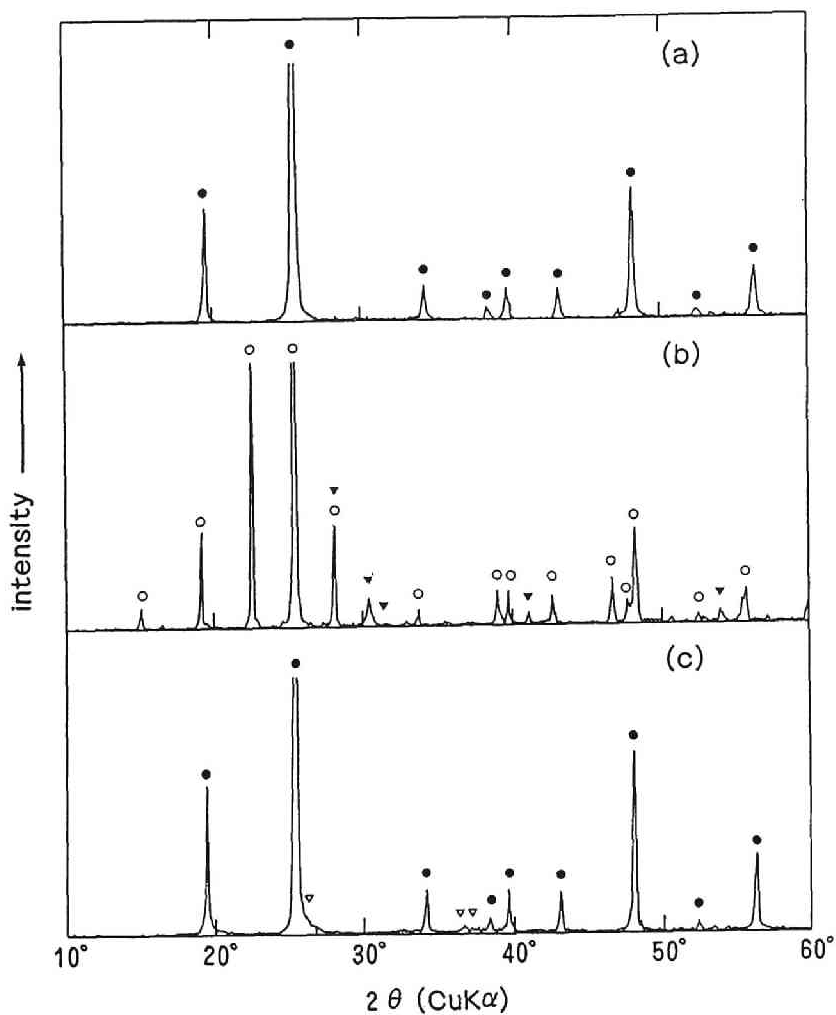


Fig. 2. X-ray diffraction patterns of glass-ceramics crystallized in nitrogen atmosphere: (a) ON crystallized at 800 °C, (b) ON crystallized at 1000 °C and (c) C crystallized at 1200 °C; (●) high-quartz ss, (○)  $\beta$ -spodumene, (▼) t- and m- $ZrO_2$  and (▽)  $Si_2N_2O$

In crystallization of the nitrated glasses under a flow of nitrogen, the temperatures of the precipitation of high-quartz ss and transformation from the high-quartz ss to  $\beta$ -spodumene become higher with increasing nitrogen content.  $\beta$ -spodumene is precipitated in glass A on crystallizing at 1200 °C for 4 hours, while it is not found in glasses B and C crystallized at 1200 °C. A small amount of  $\text{Si}_2\text{N}_2\text{O}$  is observed in glass C crystallized at 1200 °C, as shown in Fig. 2(c). X-ray scattering from the residual glassy phase, if any, is too small to be seen in all the glasses crystallized at 1200 °C.

When the nitrated glass powders are heat-treated in air, the crystalline phases are identical to those precipitated from the non-nitrated glasses; that is, high-quartz ss appears after crystallizing at 800 °C, and it transforms to  $\beta$ -spodumene around 1000 °C, as shown in Table 3.

Fig. 3 shows the change of the lattice parameters of high-quartz ss precipitated under a flow of nitrogen as a function of the nitrogen content. The lattice parameters  $a_0$  and  $c_0$  of crystallized non-nitrated glass OA are 5.217 and 5.459 Å, respectively. Ray and Muchow[32] reported that those of crystallized  $\text{Li}_2\text{O}\cdot\text{Al}_2\text{O}_3\cdot 4\text{SiO}_2$  glass (high-quartz ss) are 5.215 and 5.452 Å, respectively, being consistent with the present result. As the nitrogen content increases, the  $c_0$  contracts and the  $a_0$  elongates.

#### (4) Density, Knoop hardness and thermal expansion coefficient

Fig. 4 shows the change of the density with a change of the crystallization temperature. The densities of high-quartz ss and  $\beta$ -spodumene[33] are indicated in the figure as broken lines. The density of the high-quartz ss was calculated from the  $a_0$  and  $c_0$  of crystallized OA (Fig. 3). On crystallization, the density of ON increases up to 800 °C and then decreases with increasing

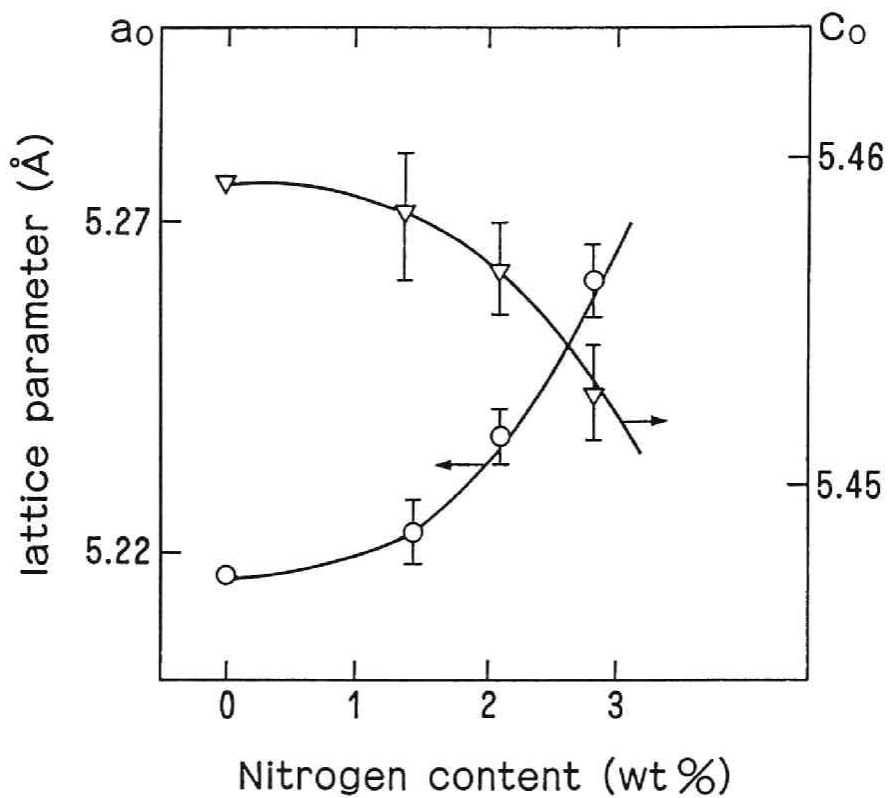


Fig. 3. Change of the lattice parameters of the precipitated high-quartz ss as a function of the nitrogen content

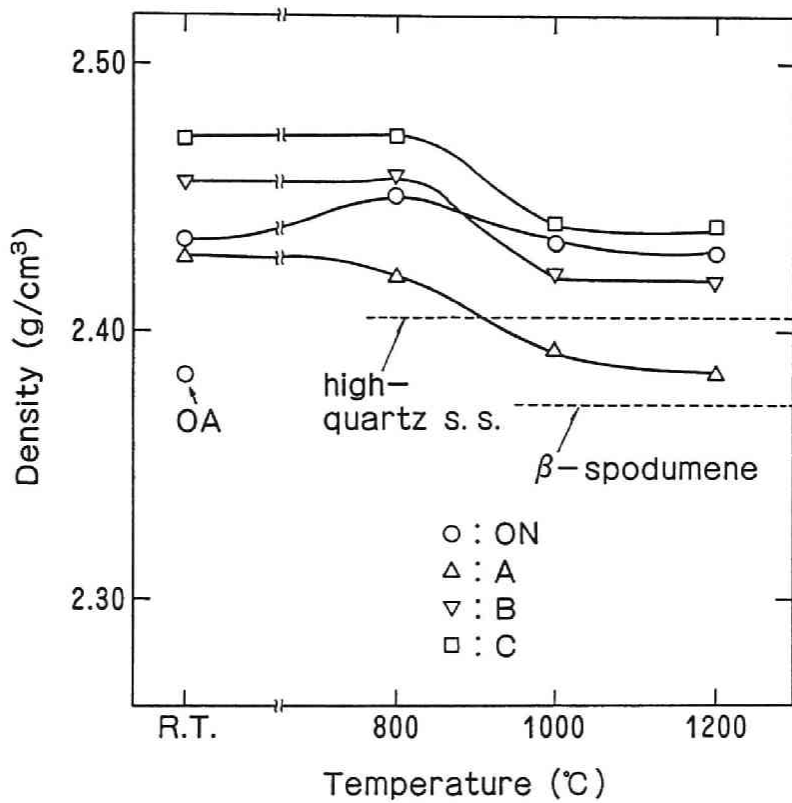


Fig. 4. Change of the density as a function of the crystallization temperature



crystallization temperature. The density of crystallized ON is higher than that shown on these lines, because ON contains  $\text{TiO}_2$  and  $\text{ZrO}_2$ . The density of nitrated glasses decrease monotonously with increasing crystallization temperature. There are no pores in the microstructure of resultant glass-ceramics. The density increases in the order  $A < B < C$  after the crystallization at any given temperature. It should be noted that the density of specimen A crystallized at  $1000^\circ\text{C}$ , consisting of high-quartz ss, is lower than that of non-nitrated high-quartz ss.

Fig. 5 shows the change of the Knoop hardness with a change of the crystallization temperature. The Knoop hardness increases on crystallization. At any given temperature, Knoop hardness of glasses and glass-ceramics increases with increasing nitrogen content.

Fig. 6 shows the change of the thermal expansion coefficient with a change of the crystallization temperature. The thermal expansion coefficient of ON crystallized at  $800^\circ\text{C}$  is  $-11 \times 10^{-7}/\text{K}$ . It increases up to  $7 \times 10^{-7}/\text{K}$  after crystallizing at  $1000$  and  $1200^\circ\text{C}$ . The thermal expansion coefficient of nitrated glasses decreases on crystallization, and it does not increase with an increase in the crystallization temperature. Nitrated glass-ceramics exhibit negative thermal expansion even after they are crystallized at  $1200^\circ\text{C}$ .

#### 4. Discussion

##### (1) Effect of nitrogen on crystallization

It was shown in Section 6. 1 that the incorporation of nitrogen into a  $\text{Li}_2\text{O-SiO}_2$  glass changes the precipitated crystalline phases. In Li-Si-O-N glasses,  $\text{Li}_2\text{Si}_2\text{O}_5$  and

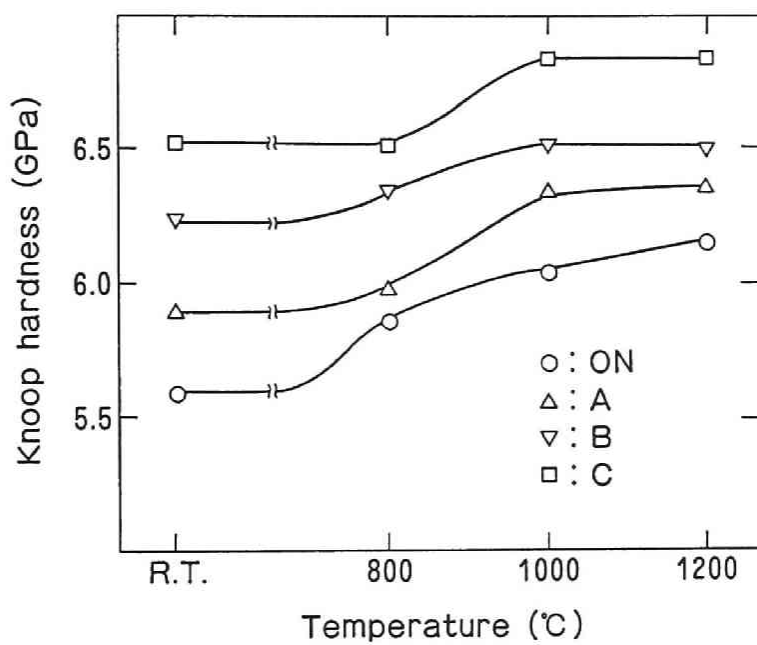


Fig. 5. Change of the Knoop hardness as a function of the crystallization temperature

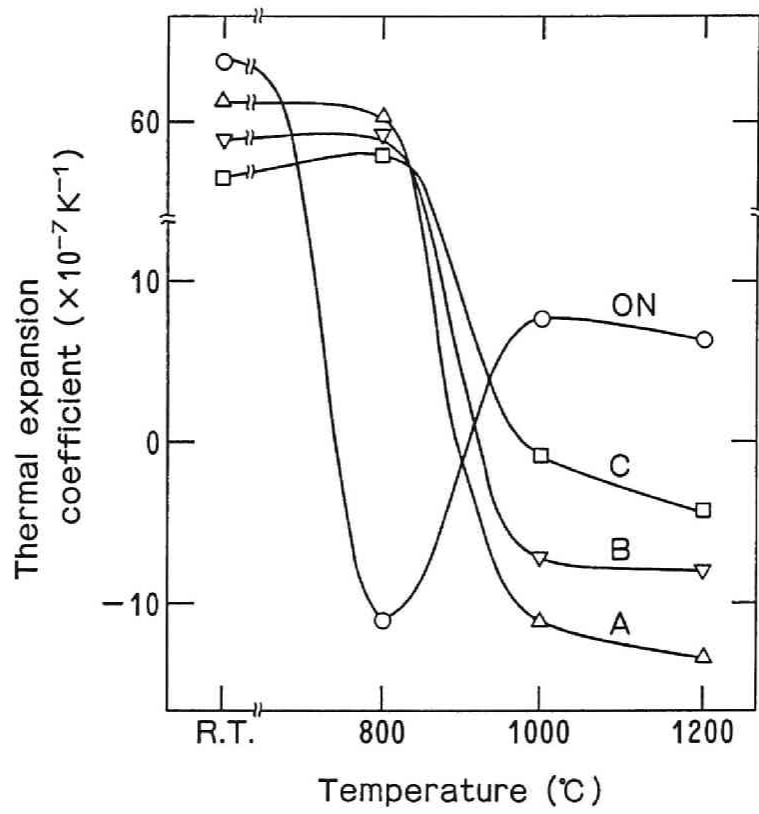


Fig. 6. Change of the thermal expansion coefficient as a function of the crystallization temperature

cristobalite were precipitated from non-nitrided glass whereas  $\text{Li}_2\text{SiO}_3$  was precipitated from nitrided glasses. The change of the crystalline phases arising from the incorporation of nitrogen was explained by considering that the silicon atoms bonded to nitrogen atoms can not participate in crystallization, and that the nitrogen atoms are not incorporated in the structure of the precipitated  $\text{Li}_2\text{Si}_2\text{O}_5$  crystal but are condensed in the residual glassy phase. On the other hand, Fischer et al.[27] showed that the nitrogen atoms can be incorporated into  $\beta$ -eucryptite crystal with high-quartz structure.

In the present Li-Al-Si-O-N system, it is assumed that a part of the nitrogen atoms are incorporated in the crystal structure of the high-quartz ss based on the following experimental observations. Firstly, as shown in Fig. 3, the lattice parameters of precipitated high-quartz ss change with the nitrogen content. The elongation of a axis and the contraction of c axis with increasing nitrogen content is consistent with the case of nitrogen-containing  $\beta$ -eucryptite glass-ceramics as reported by Fischer et al[27]. Secondly, the temperature of the transformation of the high-quartz ss to  $\beta$ -spodumene becomes higher with increasing nitrogen content as shown in Table 3. This result should be attributed to the effect of nitrogen atoms incorporated in the crystal structure, because the temperature of the transformation does not become higher when the nitrided glass powders are crystallized in air, by which nitrogen atoms are replaced by oxygen atoms. When nitrogen atoms are incorporated in high-quartz ss structure, more thermal energy may be required to rearrange atoms into  $\beta$ -spodumene structure than when no nitrogen atoms are incorporated. The dissociation energy per unit volume of  $\text{SiN}_{4/3}$  ( $92.0 \text{ kJ/cm}^3$ ) is higher than that of  $\text{SiO}_2$  ( $64.5 \text{ kJ/cm}^3$ )[7].

A part of the nitrogen atoms in highly nitrided glass-

ceramics, B and C, may exist as  $\text{Si}_2\text{N}_2\text{O}$  or, as residual glassy phase.  $\text{Si}_2\text{N}_2\text{O}$  is detected by XRD in specimen C crystallized at  $1200^\circ\text{C}$ , as seen from Fig. 2(c). The exothermic DTA peak around  $1170^\circ\text{C}$  which appears in B and C may correspond to the precipitation of  $\text{Si}_2\text{N}_2\text{O}$ , although  $\text{Si}_2\text{N}_2\text{O}$  is not clearly detected in glass-ceramics B by XRD.

## (2) Effect of nitrogen on properties of glass-ceramics

As described in CHAPTER 1, the nitrogen atoms bonded to three network forming (NWF) cations make the glass structure tightened and are responsible for an increase in the density. Accordingly, the increase in the density of the present glasses before crystallization with increasing nitrogen content is attributed to the presence of nitrogen atoms bonded to three NWF cations. The strengthening of the bonds arising from the formation of Si-N and Al-N bonds may also be responsible for the increase in the Knoop hardness and the decrease in the thermal expansion of the glasses before crystallization.

The change of the properties of the glass-ceramics with increasing nitrogen content, however, may be discussed by considering (a) the effect of nitrogen incorporated in the crystal structure of the precipitated high-quartz ss and (b) the effect of the minor phase of  $\text{Si}_2\text{N}_2\text{O}$  and residual glassy phase. The Knoop hardness may be affected by both effects. The density, however, is more affected by the latter than the former. If all the nitrogen atoms are incorporated in the crystal structure, the density of the glass-ceramics consisting of the same crystalline phases should decrease with increasing nitrogen content, because the unit cell size increases while the average atomic weight of constituting elements remains almost unchanged. In fact, the measured density of glass-ceramics A crystallized at

1000 °C is lower than that of non-nitrided high-quartz ss. The density of glass-ceramics B and C crystallized at 1000 °C and 1200 °C, however, is higher than that of non-nitrided high-quartz ss. The increase in the density of glass-ceramics with increasing nitrogen content must be attributed to the contribution of the minor phase. The density of  $\text{Si}_2\text{N}_2\text{O}$  is 2.84 g/cm<sup>3</sup>[34] and even a small amount of  $\text{Si}_2\text{N}_2\text{O}$  would substantially increase the density of the glass-ceramics.

Thermal expansion coefficient of glass-ceramics is mainly affected by the suppression of the transformation from high-quartz ss to  $\beta$ -spodumene. As the result, the negative thermal expansion is retained after the crystallization of nitrided glasses at 1000 °C or higher. The thermal expansion coefficient of the nitrided glass-ceramics crystallized at 1000 °C and 1200 °C increases with increasing nitrogen content. Fischer et al.[27] found on the basis of high-temperature XRD that the thermal expansion coefficient of nitrogen-containing  $\beta$ -eucryptite glass-ceramics is larger than that of non-nitrided one. If it is also the case for the present high-quartz ss containing nitrogen, the thermal expansion coefficient of high-quartz ss would increase with increasing nitrogen content. In addition, the presence of the minor phase such as  $\text{Si}_2\text{N}_2\text{O}$  would also increase the thermal expansion coefficient of the glass-ceramics.

## CONCLUSION

The temperature of the onset of crystallization of Li-Al-Si-O-N oxynitride glasses becomes higher with increasing nitrogen content. High-quartz solid solution (ss) first precipitates from the glasses. The lattice parameters of the

precipitated high-quartz ss change depending on the nitrogen content and the temperature of the transformation of the high-quartz ss to  $\beta$ -spodumene also becomes higher with increasing nitrogen content. It has been assumed from these results that a part of nitrogen atoms are incorporated in the crystal structure of the precipitated high-quartz ss. The rest of the nitrogen atoms are condensed in a small amount of  $\text{Si}_2\text{N}_2\text{O}$  and residual glassy phase. In consistent to the delayed transformation of high-quartz ss to  $\beta$ -spodumene, the glass-ceramics derived by the crystallization of the nitrated glasses at 1200 °C show a negative thermal expansion whereas that derived from a non-nitrated glass shows a positive thermal expansion. The Knoop hardness and density of the glass-ceramics increase with an increase in the nitrogen content.

#### SUMMARY OF CHAPTER 6

In oxynitride glasses, the glass transition and crystallization temperatures rise with increasing nitrogen content, which may arise from the formation of Si-N bonds in the glass structure, causing an increase in the viscosity. On crystallization, the crystalline phases precipitated from Li-Si-O-N oxynitride glasses changed on nitridation, that is, the tendency toward precipitation of  $\text{Li}_2\text{SiO}_3$  becomes pronounced with increasing nitrogen content. The change of the crystalline phases has been explained by considering that the nitrogen atoms in Li-Si-O-N oxynitride glasses are not incorporated into the precipitated  $\text{Li}_2\text{Si}_2\text{O}_5$  but are condensed in the residual glassy phase. On the other hand, the nitrogen atoms in Li-Al-Si-O-N oxynitride glasses are incorporated in the crystal structure of

precipitated high-quartz solid solution (ss). The temperature of the phase transformation of the high-quartz ss containing nitrogen becomes higher compared with that of non-nitrided high-quartz ss. These results imply that nitrogen atoms may be incorporated into crystal structures depending on the crystalline species.

Li-Si-O-N oxynitride glasses crystallize only in the bulk although non-nitrided glasses crystallize both from the surface and in the bulk. A plausible explanation is given that a small amount of metallic silicon formed by the thermal decomposition of  $\text{Si}_3\text{N}_4$  may act as the substrates for inhomogeneous nucleation.

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## CHAPTER 7 Interaction of Oxynitride Glass With Metals

### INTRODUCTION

Composite materials consisting of glasses and other metals are often used in electronic devices and utensils. Glazes or solder glasses are the examples of the glasses used in composites. For glasses as component of composite materials, high mechanical strength and high chemical durability are required. Therefore, oxynitride glass appears quite suitable as a component of a composite. In composite materials, a component should adhere firmly to the other component, that is, chemical bonds must be formed at the interface. However, there have been only a few works on the interaction of oxynitride glasses with other materials[1]. Since oxynitride glasses contain nitrogen atoms besides oxygen atoms, the behavior of oxynitride glasses in bonding with other materials may be different from that of oxide glasses.

In the present chapter, the interaction of a Na-Si-O-N oxynitride glass with Ni, Fe and Cr metals has been examined. The effect of nitrogen on the interfacial reaction between the oxynitride glass and the metals has been discussed on the basis of the thermodynamics of the reactions of silicon nitride with pertinent chemical species.

### EXPERIMENTAL

#### (1) Preparation of glasses and metals

A non-nitrided glass of the composition  $30\text{Na}_2\text{O}\cdot 70\text{SiO}_2$  and a

nitrided glass of the composition  $30\text{Na}_2\text{O}\cdot 61\text{SiO}_2\cdot 3\text{Si}_3\text{N}_4$  were prepared. The glasses were analyzed for the nitrogen and water contents by the Kjeldahl method and infrared spectroscopy, respectively. The procedures of the preparation and the analysis were the same as those described in the previous chapters. The analyzed nitrogen and water contents are shown in Table 1. The nitrogen content of the nitrided glass was 2.25 wt%. The glasses were cut into plates about  $10\times 10\times 3$  mm<sup>3</sup> in size, and the surfaces were optically polished with diamond paste for the use in the heat-treatment for interfacial reaction.

The metals used were Ni, Fe and Cr plates of 99.7, 99.9 and 99.9 % purity, respectively (Nilaco Co.). These metals were chosen because they were major components of stainless steels. The metal plates were cut into about  $10\times 10\times 1$  mm<sup>3</sup> in size, and the surfaces were optically polished with diamond paste.

The heat-treatment for interfacial reaction, described below, was made with the metals with or without pre-oxidation treatment. For pre-oxidation, metals were heat-treated at 1000 °C for 1 hour in static air. An oxidized layer about 0.2 mm in thickness was formed. The thickness of the oxidized layers was estimated by observation of the cross-section with a scanning electron microscope (SEM). The pre-oxidized metals will be referred to as Ni(NiO), Fe(Fe<sub>3</sub>O<sub>4</sub>) and Cr(Cr<sub>2</sub>O<sub>3</sub>), respectively.

## (2) Heat-treatment for interfacial reaction

Heat-treatment for interfacial reaction was conducted for 12 pairs (2 glasses and 6 metals). The schematic arrangement of the apparatus is shown in Fig. 1. A metal and a glass were attached with each other on the polished surfaces. The pairs of the metals and glasses were wrapped with molybdenum foils, inserted into graphite vessels, and heated up to a given

Table 1 Analyzed nitrogen and water contents of glasses

Sample	Nitrogen (wt%)	Water (wt%)
nitrided glass	2.25	$<1 \times 10^{-4}$
non-nitrided glass	0.0	$5 \times 10^{-3}$

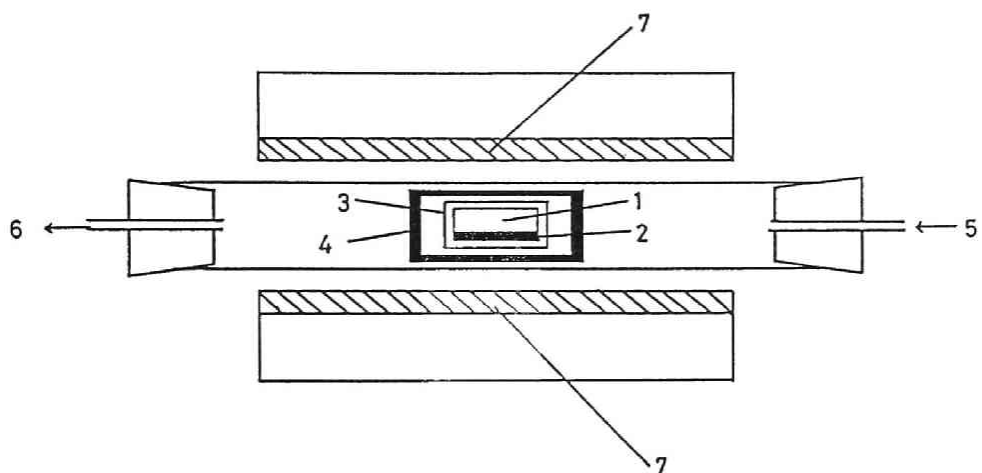


Fig. 1 A schematic arrangement of the apparatus for the heat-treatment for interfacial reaction. 1:glass, 2:metal, 3:Mo foil, 4:graphite vessel, 5:Ar gas inlet, 6:Ar gas outlet, 7:heaters

temperature at a rate of 10 °C/min. Dry argon was passed through the furnace to avoid oxidation of the samples. The furnace was evacuated prior to the introduction of argon. The heat-treatment was made at 1000 °C for 1 hour for non-oxidized metals and at 900 °C for 30 minutes for pre-oxidized metals.

### **(3) Characterization**

The interaction between the glasses and the metals was characterized by visual examination of the adherence of the pairs and SEM observation, and the determination of the distribution of metal elements near the interface with an electron probe microanalyzer (EPMA). The extent of adherence was evaluated according to the following four rankings; (A) adherence is good and the pair can not be detached by hand, (B) adherence is good but there are pores in the joint interface, (C) adherence is poor and the pair can be detached easily by hand, (D) no adherence between metal and glass.

### **(4) Identification of interfacial reaction products**

The direct identification of the possible reaction products formed at the joint interface by X-ray diffraction (XRD) is difficult because the amounts of the reaction products are very small. Therefore, the indirect method was employed. The pellet of a powder mixture of metal and glass was heat-treated, ground to powder, and examined by XRD using  $\text{CuK}\alpha$  radiation.

## **RESULTS**

### **(1) Interaction of non-nitrided glass with metals**

Adherence of the nitrided and non-nitrided glasses to metals is shown in Table 2. It is seen that the non-nitrided glass

Table 2. Evaluation of adherence

	Ni	Fe	Cr	Ni(NiO)	Fe(Fe <sub>3</sub> O <sub>4</sub> )	Cr(Cr <sub>2</sub> O <sub>3</sub> )
nitrided glass	D	C	A	D	D	B
non-nitrided glass	A	A	A	A	A	A

A: good, B: good but pores are found in the interface,

C: weak adherence (detached easily),

D: no adherence



adheres to all the metals, regardless of the presence of pre-oxidized layers on the surfaces.

Fig. 2 shows the SEM pictures and the distribution of elements near the interface. It is seen that in all the pairs the element of the base metal diffuses into non-nitrided glass. The diffusion is more extensive when the surface of the metal is pre-oxidized. It was found by visual observation that the interfaces of the non-nitrided glass with Ni, Fe and Cr are colored in brown, blue and gray, respectively, corresponding to the color of the oxide of the base metal.

## (2) Interaction of nitrided glass with metals

Table 2 shows that a good adherence is attained only in the pair of nitrided glass with Cr metal without pre-oxidation treatment. The nitrided glass shows almost no adherence to Ni, Ni(NiO) and Fe(Fe<sub>3</sub>O<sub>4</sub>). The adherence to Fe was so weak that the pair could be detached easily. The adherence to Cr(Cr<sub>2</sub>O<sub>3</sub>) was fairly well, but many pores were observed in the interface.

Fig. 3 shows the SEM pictures and the distribution of elements for the pairs of nitrided glass with Cr, Fe and Cr(Cr<sub>2</sub>O<sub>3</sub>). In the interfaces of the pairs of nitrided glass with Cr and Cr(Cr<sub>2</sub>O<sub>3</sub>), particles of reaction products are observed (Fig. 3. (a) and (b)). The particles are identified to be Cr<sub>3</sub>Si and β-Cr<sub>2</sub>N as examined by XRD. In the case of nitrided glass with Fe, diffusion of Si into Fe is observed, which is not observed in the combination of non-nitrided glass with Fe. Any other crystalline phase than Fe, however, is not identified by XRD.

## DISCUSSION

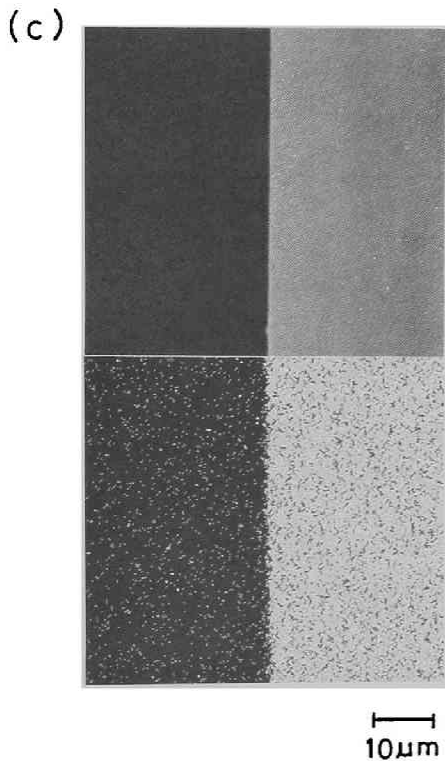
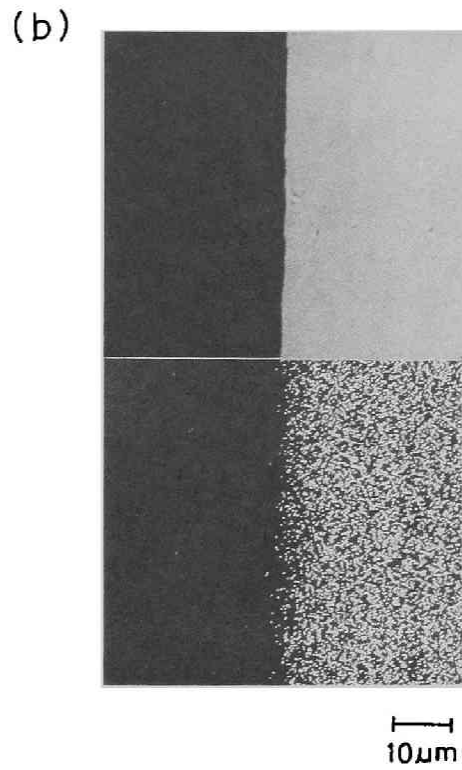
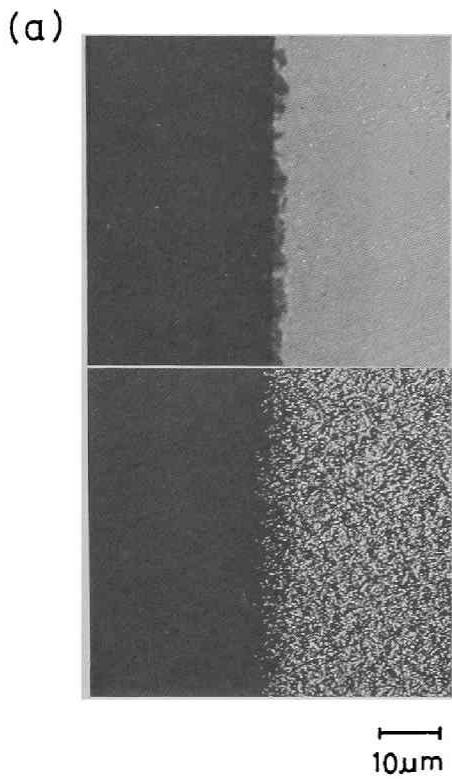


Fig. 2

SEM pictures and the distribution of metal elements near the joint interface of the pairs of non-nitrided glass with metals.

(a)Ni, (b)Fe and (c)Cr.

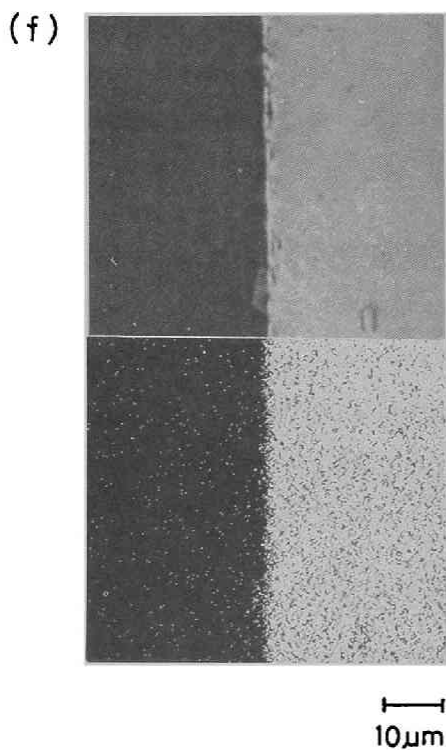
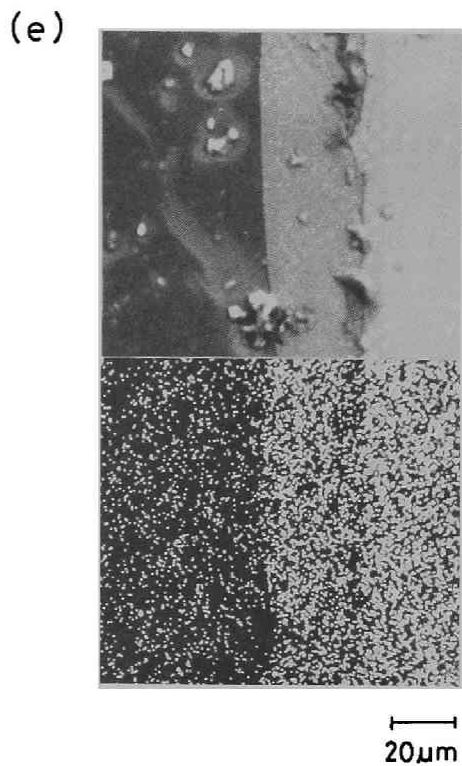
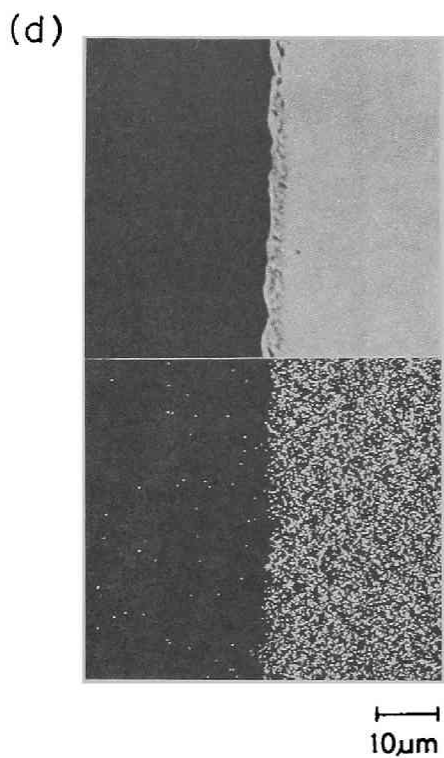


Fig. 2

SEM pictures and the distribution of metal elements near the joint interface of the pairs of non-nitrided glass with metals. (d)Ni(NiO), (e)Fe(Fe<sub>3</sub>O<sub>4</sub>) and (f)Cr(Cr<sub>2</sub>O<sub>3</sub>).

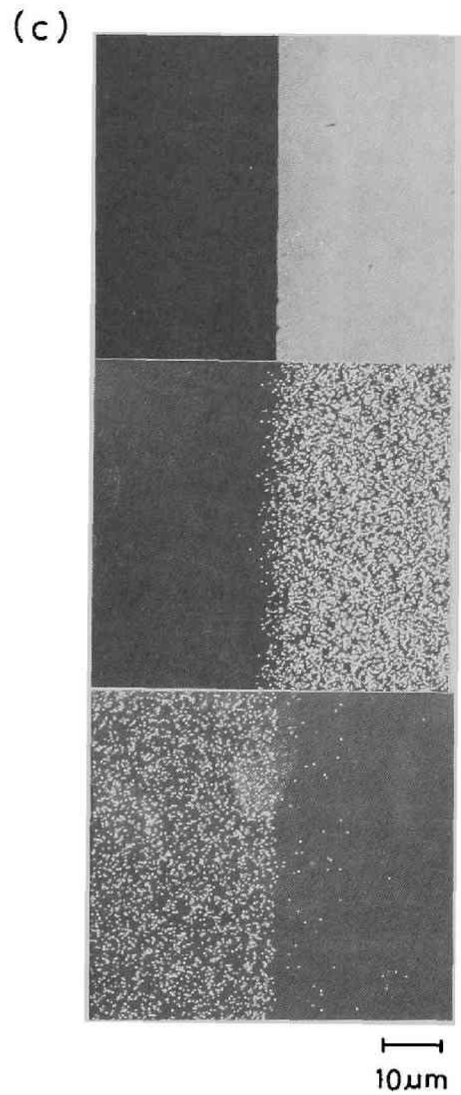
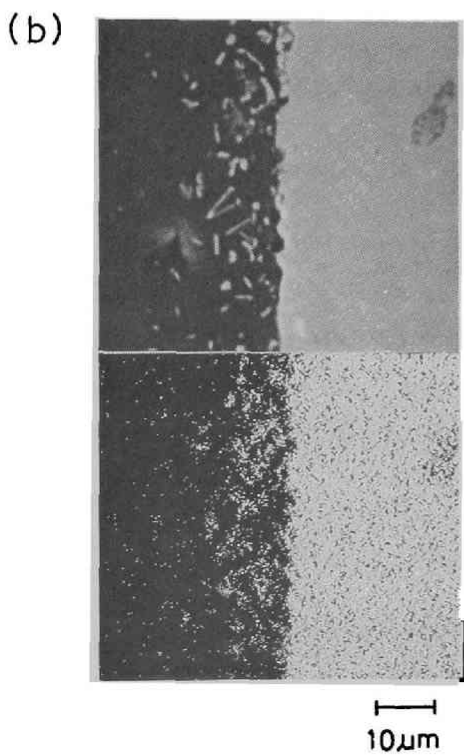
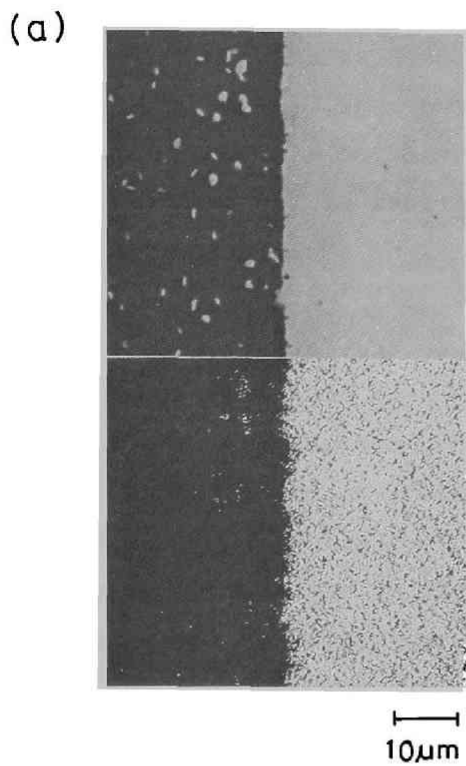


Fig. 3

SEM pictures and the distribution of metal elements near the joint interface of the pairs of nitrated glass with metals. (a)Cr, (b)Cr(Cr<sub>2</sub>O<sub>3</sub>) and (c)Fe. Distribution of Si is shown in the bottom of (c).

### (1) Interaction of non-nitrided glass with metals

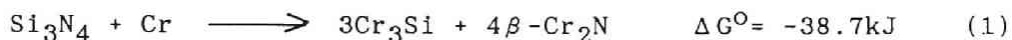
Mechanism of the adherence of oxide glasses to metals has been proposed by Pask[2]. He suggested that M-O-Si bonds (M = metals) formed as a result of the interaction are responsible for the adherence. This means that the oxides of the base metals play an important role in the occurrence of adherence of oxide glasses to metals.

The present experiments showed that the interface between the non-nitrided glass and metal is colored by the oxide of the metal. The EPMA analysis confirmed the diffusion of the ions of the base metal into the non-nitrided glass as shown in Fig. 2. These indicate that the surface of the non-oxidized metals has been oxidized during the heat-treatment for interfacial reaction. The oxidation of the metals may have been caused by the oxygen adsorbed on the metals and the water contained in the non-nitrided glass. A schematic representation of the interaction causing the adherence of the non-nitrided glass to metals is shown in Fig. 4.

It is assumed that the surface of the pre-oxidized metals would supply the ions of the base metals and make possible the adhesion under a milder condition.

### (2) Interaction of nitrided glass with non-oxidized metals

The present experiments showed that only Cr adheres well to nitrided glass, and  $\beta$ -Cr<sub>2</sub>N and Cr<sub>3</sub>Si particles are formed in the interface. The Gibbs free energy change ( $\Delta G^0$ ) for the reaction of Si<sub>3</sub>N<sub>4</sub> and Cr leading to the formation of Cr<sub>3</sub>Si and  $\beta$ -Cr<sub>2</sub>N (equation (1)) is negative at 1000 °C.

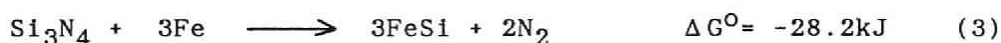
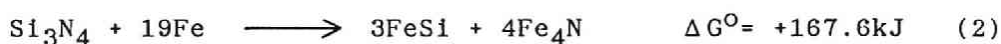


In the calculation, thermodynamic parameters (enthalpy for



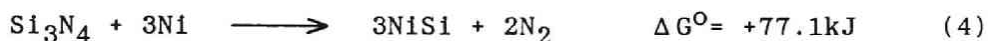
formation H and entropy S) of each substance have been taken from reference[3]. The negative Gibbs free energy in the reaction expressed in equation (1) indicates that the formation of Si-Cr and N-Cr bonds in the interface may be responsible for the occurrence of adherence. A schematic representation of the interaction causing adherence is shown in Fig. 5.

In the combination of Fe with nitrated glass, a weak adhesion has resulted and silicon diffuses into Fe. The Gibbs free energy changes of pertinent reactions are shown below.



Since  $\Delta G^\circ$  of reaction (2) is highly positive and that of reaction (3) is negative, it would be plausible to assume that reaction (3) may proceed. Hence, the formation of Fe-Si bonds in the interface may be responsible for the occurrence of the adherence. The formation of Fe-Si bonds, however, is not so extensive because the concentration of nitrogen necessary for equation (3) is not high in the nitrated glass. This may account for the fact that the adherence is not so strong and crystalline FeSi is not detected by XRD.

In the case of Ni, no adherence resulted and no reaction product was observed. Since the Gibbs free energy change of reaction (4) is positive at 1000 °C, there may be no interaction between nitrated glass and Ni.



The adsorbed water may not contribute to the occurrence of adherence, because the adsorbed water, if any, may be consumed for the oxidation of Si-N bonds.

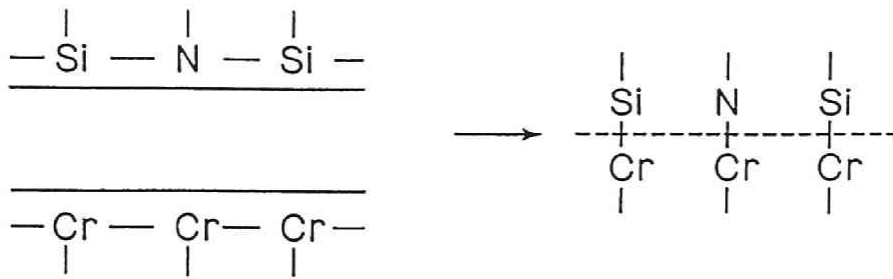


Fig. 5 A schematic representation of the mechanism of adherence between nitrided glass and Cr.



### (3) Interaction of nitrated glass with pre-oxidized metals

It was found that the presence of the pre-oxidized layers on the base metals inhibits the occurrence of adherence. This could be explained from the stability of the metal oxides in oxynitride glass melts. If the free energy change of formation of a metal oxide ( $\Delta G_F^O$ ) is less negative than that of oxidation of  $Si_3N_4$  ( $\Delta G_O^O$ ) at temperatures of heat-treatments, the oxide would not be stable in oxynitride glass melts[4, 5] and oxidation of Si-N bonds accompanied by liberation of nitrogen gas would occur according to equation (5).



$\Delta G_F^O$  values shown in Table 3 indicate that NiO,  $Fe_3O_4$  and  $Cr_2O_3$  oxidize Si-N bonds at 900 °C. As long as reaction (5) proceeds, the formation of the bonds responsible for the occurrence of adherence is not possible since the liberated nitrogen gas may detach the nitrated glass from pre-oxidized metals.

It was shown that  $Cr(Cr_2O_3)$  adhered to nitrated glass and  $Cr_3Si$  and  $\beta-Cr_2N$  were precipitated in the interface. This may be explained by considering that reaction (5) was completed and reaction (1) proceeded during the heat-treatment. The small pores found in the interface may be attributed to liberation of a trace of nitrogen gas.

### SUMMARY OF CHAPTER 7

The interaction of a Na-Si-O-N oxynitride glass with Ni, Fe and Cr has been studied. The oxynitride glass adheres well only to Cr. It is assumed from the precipitation of  $Cr_3Si$  and  $\beta-Cr_2N$  particles near the joint interface that the formation of Cr-Si and Cr-N bonds in the interface is responsible for the

Table 3 The free energy change of the formation of metal oxides ( $\Delta G_F^\circ$ ) and that of the oxidation of silicon nitride ( $\Delta G_O^\circ$ ) at 1173 K.

Reaction	$\Delta G_F^\circ, \Delta G_O^\circ$ (kJ/mol)
$\text{Ni} + 1/2\text{O}_2 \longrightarrow \text{NiO}$	-130
$\text{Fe} + 2/3\text{O}_2 \longrightarrow 1/3\text{Fe}_3\text{O}_4$	-239
$\text{Cr} + 3/4\text{O}_2 \longrightarrow 1/2\text{Cr}_2\text{O}_3$	-404
$1/3\text{Si}_3\text{N}_4 + \text{O}_2 \longrightarrow \text{SiO}_2 + 2/3\text{N}_2$	-575

occurrence of adherence. The oxynitride glass adheres weakly to Fe, which is attributed to the formation of Fe-Si bonds to a small extent. The oxynitride glass does not adhere to Ni because the bonds or species responsible for the adherence are absent.

The pre-oxidized layer on the surface of the base metals inhibits the occurrence of adherence of nitrated glass to metals. The oxides of the base metals may oxidize the Si-N bondings in nitrated glass and liberate nitrogen gas at temperatures for the interfacial reaction, detaching the oxynitride glass from metals.

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## GENERAL SUMMARY

Studies have been made on the structure and properties of oxynitride glasses. The local structure around nitrogen atoms in oxynitride glasses is investigated in relation to the composition. The electrical and chemical properties, liquid-liquid phase separation and crystallization of oxynitride glasses have been investigated and the effect of nitrogen on the properties has been discussed.

In Chapter 1, the results of the molecular dynamics calculations on the structure and properties of Na-Si-O-N oxynitride glasses have been presented. It has been shown that the nitrogen atoms bonded to one, two and three silicon atoms coexist in the glass structure. The mean of the number of silicon atoms bonded to a nitrogen atom decreases with increasing sodium content. It has been assumed that the nitrogen atoms bonded to two or less silicon atoms may be formed when nitrogen atoms substitute for non-bridging oxygen atoms. The dependences of the density, bulk modulus and bulk thermal expansion coefficient on the nitrogen content are consistent with those observed in the real glasses. The increase in the density may arise from the formation of the nitrogen atoms bonded to three silicon atoms in the glass structure.

In Chapter 2, the structure of Na-Si-O-N oxynitride glasses deduced from  $^{29}\text{Si}$  MAS NMR has been presented. It has been estimated that about a half of the total nitrogen atoms are bonded to three silicon atoms and the rest are bonded to two silicon atoms. It is consistent with the result described in Chapter 1 that not all the nitrogen atoms are bonded to three silicon atoms.

In Chapter 3, the effect of nitrogen on the electrical conductivity in Na-Si-O-N and Li-Si-O-N oxynitride glasses has

been described. The conductivity increases and the activation energy for conduction decreases with increasing nitrogen content. It has been assumed that the electrostatic attractive force exerted on the transporting ions may decrease on nitridation because the electronegativity of nitrogen is lower than that of oxygen, by which the conductivity may increase and the activation energy for conduction may decrease.

In Chapter 4, the chemical durability of Na-Si-O-N and Li-Si-O-N oxynitride glasses to acidic and alkaline aqueous solutions has been described. The incorporation of nitrogen improves the chemical durability of the glasses to both solutions. The improvement of the chemical durability to acidic solution on nitridation has been attributed to a decreased diffusivity of  $H_3O^+$  ions, because the elastic modulus of the glasses may increase on nitridation. The improved chemical durability to alkaline solution on nitridation has been attributed to more covalent nature of Si-N bonds than that of Si-O bonds. In other words, the positive charge on the silicon atoms of Si-N bonds is reduced, by which the probability of the nucleophilic attack of  $OH^-$  may be reduced.

In Chapter 5, the liquid-liquid phase separation of Li-Si-O-N oxynitride glasses has been described. The incorporation of nitrogen lowers the miscibility temperature and suppresses the phase separation. A linear correlation between the miscibility temperature and the nitrogen content is found, 10 eq% nitrogen lowers the miscibility temperature by about 90 °C. The lowering of the miscibility temperature is attributed to a decrease in the electrostatic attractive force between lithium ions and the network anions on nitridation as discussed in Chapter 3.

In Chapter 6, crystallization of Li-Si-O-N and Li-Al-Si-O-N

oxynitride glasses has been described. The effect of nitrogen on the glass transition and crystallization temperatures, precipitated crystalline phases and nucleation mechanism is discussed. Glass transition and crystallization temperatures rise with increasing nitrogen content. Bulk crystallization is dominant in nitrated glasses. In Li-Si-O-N oxynitride glasses,  $\text{Li}_2\text{SiO}_3$  phase, which is not precipitated in a  $30\text{Li}_2\text{O}\cdot 70\text{SiO}_2$  glass, is precipitated. Nitrogen atoms in nitrated glasses are not incorporated in the structure of the precipitated  $\text{Li}_2\text{Si}_2\text{O}_5$  crystal but are condensed in the residual glassy phase. For Li-Al-Si-O-N oxynitride glasses, nitrogen atoms are incorporated in the crystal structure of the precipitated high-quartz solid solution (ss) phase. As the result, the temperature of the phase transformation of the high-quartz ss to  $\beta$ -spodumene becomes higher on nitridation. The density and the hardness of the resultant glass-ceramics increase with increasing nitrogen content.

In Chapter 7, the interaction of a Na-Si-O-N oxynitride glass with nickel, iron and chromium metals has been described. The reactivity of the oxynitride glass with the metals has been discussed from a viewpoint of the thermodynamics of the reactions between silicon nitride and the metals. The oxynitride glass adheres well only to chromium, which is explained by a negative Gibbs free energy change for the reaction between silicon nitride and chromium producing chromium nitride and chromium silicide. It is assumed that the adherence of the oxynitride glass to chromium may arise from the formation of Si-Cr and N-Cr bonds in the interface. The oxynitride glass does not adhere firmly to the oxides of nickel, iron or chromium, since Si-N bonds in the glass may be oxidized to liberate nitrogen gas, detaching the glass from the metal oxides.

In summarizing, the incorporation of nitrogen in oxide

glasses affects the structure and properties of the glasses. The formation of the nitrogen atoms bonded to three network forming cations increases the density. Not all the nitrogen atoms are bonded to three network forming cations. The lower electronegativity of nitrogen than that of oxygen causes an increase in the diffusivity of alkali ions and suppresses the liquid-liquid phase separation. The covalent nature of the Si-N bonds improves the chemical durability of oxynitride glasses. The crystallization behavior and the properties of the resultant glass-ceramics are affected by the incorporation of nitrogen to a considerable extent. The chemical reactivity of oxynitride glasses with metals is mainly affected by the presence of Si-N bonds. The changes of these properties of glasses on nitridation are shown to be interpreted by the nature of Si-N bonds formed in the glass structure.

## LIST OF PUBLICATIONS

### Chapter 1

H. Unuma, K. Kawamura, N. Sawaguchi, H. Maekawa and T. Yokokawa, "A Molecular Dynamics Study on the Structure and Properties of Na-Si-O-N Oxynitride Glasses and Melts", in "Science and Technology of New Glasses", ed. by S. Sakka and N. Soga, Ceramic Society of Japan, Tokyo, 1991, pp. 341-346.

H. Unuma, K. Kawamura, N. Sawaguchi, H. Maekawa and T. Yokokawa, "A Molecular Dynamics Study on Na-Si-O-N Oxynitride Glasses", submitted to J. Am. Ceram. Soc.

### Chapter 2

H. Unuma, H. Maekawa, H. Kiyono, K. Kawamura, T. Maekawa and T. Yokokawa, "<sup>29</sup>Si MAS NMR of Na-Si-O-N Oxynitride Glasses", J. Ceram. Soc. Jpn., in press.

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### Chapter 3

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