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# Fighting Livelock in the i-Protocol with the Concurrency Factory\*

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## Abstract

We report on our efforts to detect, locate, and correct a non-trivial livelock error in the i-protocol, a bidirectional sliding-window protocol employed in the publicly available GNU UUCP file transfer utility. The Concurrency Factory's local model checker for the modal mu-calculus was used to verify the presence of the livelock in an instance of the protocol having a window size of 1, exploring about  $1.7 \times 10^5$  states out of a total estimated global state space of  $2.749 \times 10^{14}$ . A simple inductive argument shows that the same error is present in the protocol for all larger window sizes.

Key to the Factory's success was the use of an abstraction to reduce the message sequence number space from 32 — the constant defined in the protocol's C-code — to  $2W$ , where  $W$  is the window size. This abstraction is shown to preserve the truthhood of all modal mu-calculus formulæ.

A performance comparison with the SPIN and SMV model checkers has also been carried out. Indeed, the i-protocol appears well-suited as a benchmark for verification tools aimed at real-life concurrent systems software.

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# 1 Introduction

Concurrent systems, in general, and communication protocols, in particular, are notoriously difficult to program, debug, and prove correct. Much of this difficulty stems from the large number of interleavings that a set of concurrently executing processes generate, resulting in the well-known *state space explosion* problem.

Model checking [CE81, CES86] has proved to be a particularly effective method for detecting bugs in the design of concurrent systems, and, to better cope with state explosion, a number of enhancements to the basic technique have been proposed; e.g., modular model checking [KV95], symbolic model checking [BC+90], local model checking [La92], partial model checking [HP94, Va93, GW91], compositional model checking [CLM89], the use of abstraction mappings [Wo86, DGG93], and the exploitation of symmetries [ES93].

In this abstract, we report on our experience in using the Concurrency Factory's model checking facility to detect and correct a non-trivial livelock in a communication protocol that forms part of a widely used set of communication tools. The Concurrency Factory [CG+94] is a joint project between the State University of New York at Stony Brook and North Carolina State University to develop an integrated toolset for the specification, verification, and implementation of concurrent and distributed systems. Like the Concurrency Workbench [CPS93], the Factory employs bisimulation, preorder, and model checking as its main avenues of analysis.

The protocol that we investigate, the *i*-protocol, is part of the GNU UUCP package, available from the Free Software Foundation, and is used for file transfers over serial lines, such as telephone lines. The *i*-protocol is part of a protocol stack; its purpose is to ensure ordered reliable duplex communication between two sites. At its lower interface, the *i*-protocol assumes unreliable (lossy) packet-based FIFO connectivity. To its upper interface, it provides *reliable* packet-based FIFO service. The GNU UUCP package also contains the *g*- and *j*-protocols, which are variants of the *i*-protocol.

A problem with the *i*-protocol was first noticed by Stark, while trying to transfer large files from a remote computer to his home PC over a modem line. In particular, under certain message loss conditions, the protocol would enter a "confused" state and drop the connection. In order to diagnose this problem, we extracted an abstract version of the *i*-protocol from its source code,<sup>1</sup> consisting of approximately 1500 lines of C-code, formalizing it in a Value Passing Language (VPL). VPL is the textual specification language of the Concurrency Factory and is derived from Milner's  $\mathcal{M}_0$  [Mi89].

The VPL source of the *i*-protocol was then subjected to a series of model checking experiments using the Concurrency Factory's local model checker for the modal  $\mu$ -calculus. This led us to the source of the problem: a livelock that occurs when a particular series of message losses drives the protocol into a state where the communicating parties enter into a cycle of fruitless message exchanges without any packets being delivered to the upper layer entities. Seeing no progress, the two sides close the connection, which must then be reestablished. If

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<sup>1</sup>The source code is freely available from GNU software repositories; see, for instance, [prep.ai.mit.edu:/pub/gnu](http://prep.ai.mit.edu:/pub/gnu).

the communication line (or virtual circuit) is sufficiently noisy, or if one of the sides is slow in emptying communication buffers, say due to disk waits, leading to buffer overflows, the chances of this scenario recurring are high.

Using the diagnostic facility that accompanies the Factory's model checker, we were able to pinpoint and subsequently "patch" the bug in the VPL code. The fix to the protocol consists of a fairly simple change in the way negative acknowledgements are handled by the protocol.

The verification was particularly challenging because of the large theoretical state space of the protocol. Indeed, most exhaustive verifiers, or global model checkers, would be inadequate for this verification, since the state space of the protocol, even for a window size of 1, is approximately  $2.8 \times 10^{14}$ . The Concurrency Factory's model checker was, however, able to detect and diagnose the livelock, since it is a *local* model checker. In effect, it exploits the structure of the formula expressing the property of interest to guide and limit its search to only the "relevant" portions of the global state space.

In addition, some judicious abstractions were used to reduce the size of the state space, retaining in our model only as much detail as appeared necessary for finding the bug. While many of these abstractions have been verified only in an informal sense, we verified formally the soundness of an abstraction that reduced the message sequence number space from 32 — the constant defined in the protocol's C-code — to  $2W$ , where  $W$  is the window size. This abstraction preserved the truth of all modal mu-calculus formulæ, and reduced the state space of the protocol by several orders of magnitude.

We also compare the performance of the Concurrency Factory on the i-protocol with the SPIN [Ho93] and SMV [Mc92] model checkers. Indeed, the i-protocol appears well-suited as a benchmark for verification tools aimed at real-life concurrent systems software.

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