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# Some Results on the CR property of non-E-overlapping and depth-preserving TRS's

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# Abstract

A term rewriting system (TRS) is said to be depth-preserving if for any rewrite rule and any variable appering in the both sides, the maximal depth of the variable occurrences in left-hand-side is greater than or equal to that of the variable occurrences in the right-hand-side, and to be strongly depth-preserving if it is depth-preserving and for any rewrite rule and any variable appering in the left-hand-side, all the depths of the variable occurrences in the left-hand-side are the same. This paper shows that there exists non-E-overlapping and depth-preserving TRS's which do not satisfy the Church-Rosser property, but all the non-E-overlapping and strongly depth-preserving TRS's satisfy the Church-Rosser property.

# 1 Introduction

A term-rewriting system (TRS) is a set of directed equations (called rewrite rules). A TRS is Church-Rosser (CR) if any two interconvertible terms reduce to some common term by applications of the rewrite rules. Church-Rosser is an important property in various applications of TRS's and has received much attention so far [1-5,8-15]. Although the CR property is undecidable for general TRS's, many sufficient conditions for ensuring this property have been obtained [1,3,5,8-15]. For example, for noetherian (i.e. terminating) TRS's, the CR property is decidable and reduces to joinability of the critical pairs [5], and for nonterminating and linear TRS's, some sufficient conditions (e.g., nonoverlapping) have been given [3, 11].

On the other hand, for nonlinear and nonterminating TRS's, only a few results on the CR property have been obtained. Our previous paper [9,10,13] may be pioneer ones which have first given nontrivial conditions for the CR property. In [10], it was shown that if TRS's are non-E-overlapping (stronger than nonoverlapping) and right-ground, then they are CR. Here, a TRS is right-ground if no variables occur in the right-hand-side of a rewrite rule. This result is compared with an example given by G.Huet [3], i.e., a nonoverlapping, right-ground and non-CR TRS with the three rules:  $f(x,x) \to a, f(x,g(x)) \to b, c \to g(c)$ . Here, f,g,a,b,c are function symbols and x is a variable. The above result was extended in [9,13,14,15] and it was shown that if TRS's are non-E-overlapping and simple-right-linear, then they are CR. Here, a TRS is simple-right-linear if for any rewrite rule, the right-hand-side is linear (i.e., any variable occurs at most once in the term) and no variables occuring more than once in the left-hand-side occur in the right-hand-side. Moreover, it was shown that even if simple-right-linear TRS's are E-overlapping, some additional conditions ensure that they are CR [9,13,15].

However, these results were restricted to those on the CR property of subclasses of right-linear TRS's. On the other hand, if we omit the right-linearity condition, then it has been shown that

only the non-E-overlapping condition is insufficient for ensuring the CR property of TRS's. For example, the following non-E-overlapping TRS  $R_1$  is not CR:  $R_1 = \{f(x,x) \to a, g(x) \to f(x,g(x)), c \to g(c)\}$  given by Barendregt and Klop. Here, f,g,a,c are function symbols and x is a variable.

In this paper, we consider the CR property of nonlinear, nonterminating and depth-preserving TRS's. Here, a TRS is depth-preserving if for each rule  $\alpha \to \beta$  and any variable x appearing in both  $\alpha$  and  $\beta$ , the maximal depth of the x occurrences in  $\alpha$  is greater than or equal to that of the x occurrences in  $\beta([6])$ . For example, TRS  $R_2 = \{f(x, g(x)) \to h(k(x), x)\}$ , where x is a variable, is depth-preserving, since the maximal depths of the x occurrences of the left-hand-side and of the right-hand-side are 2 and 2, respectively.

We first show that only the non-E-overlapping and depth-preserving properties are insufficient for ensuring the CR property. That is, the following TRS  $R_3$  is not CR:  $R_3 = \{f(x,x) \to a, c \to h(c,g(c)), h(x,g(x)) \to f(x,h(x,g(c)))\}$  where x is a variable. Note that  $R_3$  is non-E-overlapping and depth-preserving, but  $R_3$  is not CR, since  $c \to h(c,g(c)) \to^* a$  and  $c \to^* h(a,g(a))$ , but a and h(a,g(a)) are not joinable. Note that  $R_3$  is also non-duplicating, since for each rule the number of x occurrences of the left-hand side  $\geq$  that of the right-hand side. Thus, non-E-overlapping, non-duplicating and depth-preserving conditions do not necessarily ensure CR.

Next, we introduce the notion of strongly depth-preserving property (stronger than the depth-preserving one). A TRS R is strongly depth-preserving if R is depth-preserving and for each  $\alpha \to \beta$  and for any variable x appearing in  $\alpha$ , all the depths of the x occurrences in  $\alpha$  are the same. For example, TRS  $R_4 = \{h(g(x), g(x)) \to f(x, h(x, g(c)))\}$  is strongly depth-preserving, since  $R_4$  is depth-preserving and all the depths of x occurrences of the left-hand side are 2.

In this paper, we prove that non-E-overlapping and strongly depth-preserving TRS's are CR. For example, the following three TRS's  $R'_1$ ,  $R'_3$  and  $R_5$  are ensured to be CR:

$$R'_{1} = \{f(x,x) \to a, c \to g(c), g(x) \to f(x,x)\}$$

$$R'_{3} = \{f(x,x) \to a, c \to h(c,g(c)), h(g(x),g(x)) \to f(x,h(x,g(c)))\}$$

$$R_{5} = \{f(x,x) \to h(x,x,x)\}$$

This paper is organized as follows. Section 2 is devoted to definitions. In Section 3, we explain how to prove the above main theorem. In Section 4, we make concluding remarks about the strongly depth-preserving property.

# 2 Definitions

The following definitions and notations are similar to those in [3, 10]. Let X be a set of variables, F be a finite set of operation symbols and T be the set of terms constructed from X and F.

Definitions of 
$$< O(M), M/u, M[u \leftarrow N], V(M), O_x(M) >$$

For a term M, we use O(M) to denote the set of occurrences (positions) of M, and M/u to denote the subterm of M at occurrence u, and  $M[u \leftarrow N]$  to denote the term obtained form M by replacing the subterm M/u by term N, V(M) to denote the set of variables in M,  $O_x(M)$  to denote the set of occurrences of variable  $x \in V(M)$ .

Definitions of 
$$<\bar{O}(M)>$$

$$\bar{O}(M)$$
 is the set of non-variable occurences, i.e.,  $\bar{O}(M) = O(M) - \bigcup_{x \in V(M)} O_x(M)$ 

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Definition of < h(M) — height of M >
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For a term M,  $h(M) = Max\{|u| \mid u \in O(M)\}$ . h(M) is called "height of M". Example.

$$h(f(g(x))) = 2, h(a) = 0, h(g(x)) = 1.$$

# Definition of < TRS >

A term-rewriting system (TRS) is a set of directed equations (called rewrite rules).

# Definition of < depth-preserving TRS R>

TRS R is depth-preserving

if 
$$\forall \alpha \to \beta \in R \ \forall x \in V(\alpha)$$
  $Max\{|v| \mid v \in O_x(\beta)\} \leq Max\{|u| \mid u \in O_x(\alpha)\}$ 

#### Note

TRS R is depth-preserving if and only if R is locally increasing, i.e.,  $\exists l \geq 0$  such that  $\forall \alpha \rightarrow \beta \in R$   $\forall \sigma : X \rightarrow T$ , if  $h(\sigma(\alpha)) < h(\sigma(\beta))$  then  $h(\sigma(\alpha)) \leq l$ 

# Definition of < strongly depth-preserving TRS R >

TRS R is strongly depth-preserving

if R is depth-preserving and satisfies that  $\forall \alpha \to \beta \in R \ \forall x \in V(\alpha) \ \forall u, v \in O_x(\alpha) \ |u| = |v| \ \text{hold.}$ 

# Definition of $\langle$ parallel-one-step $\longleftrightarrow \rangle$

$$M \longleftrightarrow N$$
 iff  $\exists U \subseteq O(M)$  s.t.  
 $\forall u, v \in U \quad u \neq v \Rightarrow u | v \text{ (disjoint)}$   
 $\forall u \in U \quad M/u \Leftrightarrow N/u$   
 $N = M[u \leftarrow N/u, u \in U]$ 

where  $M/u \Leftrightarrow N/u$  is one step reduction between  $\{M/u, N/u\} = \{\sigma(\alpha), \sigma(\beta)\}$  for some  $\alpha \to \beta \in R$  and  $\sigma: X \to T$ .

In this case, let  $R(M \longleftrightarrow N) = U$ .

(Note. 
$$U = \phi$$
 is allowed.)

Example.

Let 
$$R = \{a \to c\}$$
, then  $f(c, g(a)) \longleftrightarrow f(a, g(c))$ .

We assume that  $\gamma: M_0 \longleftrightarrow M_1 \longleftrightarrow \cdots \longleftrightarrow M_n$  in the following definitions.

Definition of  $< R(\gamma)$ ,  $MR(\gamma)$ , u-invariant >

$$R(\gamma) = \{u_i \mid u_i \in R(M_i \longleftrightarrow M_{i+1}) (0 \le i \le n)\}$$

 $MR(\gamma)$  is the set of minimal occurrences in  $R(\gamma)$ .

For  $u \in O(M_0)$ , if there exists no  $v \in R(\gamma)$  such that  $v \le u$ , then  $\gamma$  is said to be u-invariant.

# Definition of < composition, cut of reduction sequence >

Let 
$$\delta: N_0 \longleftrightarrow N_1 \longleftrightarrow \cdots \longleftrightarrow N_k$$
. If  $M_n = N_0$ , then the composition of  $\gamma$  and  $\delta$ , i.e.,  $M_0 \longleftrightarrow M_1 \longleftrightarrow \cdots \longleftrightarrow M_n (= N_0) \longleftrightarrow N_1 \longleftrightarrow \cdots \longleftrightarrow N_k$  is denoted by  $(\gamma; \delta)$ . Let  $\gamma$  be  $u$ -invariant, then the cut sequence of  $\gamma$  at  $u$  is

$$\gamma/u = (M_0/u \longleftrightarrow M_1/u \longleftrightarrow \cdots \longleftrightarrow M_n/u).$$

Definition of  $\langle H(\gamma)$  — the height of reduction sequence  $\rangle$ 

$$H(\gamma) = Max\{h(M_i) \mid 0 \le i \le n\}$$

Example.

Let 
$$\gamma: f(c) \longleftrightarrow f(g(c)) \longleftrightarrow a$$
, then  $H(\gamma) = h(f(g(c))) = 2$ .

Definition of  $< |\gamma|_p$  — the number of parallel reduction steps of  $\gamma >$ 

$$|\gamma|_p = n$$

Note.

If  $\delta: M \longleftrightarrow M$ , then  $|\delta|_p = 1$ .

Example.

Let 
$$\gamma: f(c) \longleftrightarrow f(g(c)) \longleftrightarrow a$$
, then  $|\gamma|_p = 2$ .

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# Definition of $\langle net(\gamma) \rangle$

 $net(\gamma)$  is the sequence obtained from  $\gamma$  by removing all  $M_i \longleftrightarrow M_{i+1}$  satisfying  $M_i = M_{i+1}$ , 0 < i < n.

Example.

Let 
$$\gamma: f(c) \longleftrightarrow f(g(c)) \longleftrightarrow a \longleftrightarrow a$$
, then  $net(\gamma): f(c) \longleftrightarrow f(g(c)) \longleftrightarrow a$ .

# Definition of $< |\gamma|_{np} >$

$$|\gamma|_{np} = |net(\gamma)|_p$$

**Definitions of**  $\langle left(\gamma, h), right(\gamma, h), width(\gamma, h), ldis(\gamma, h), rdis(\gamma, h) \rangle$ 

$$left(\gamma,h) = Min\{i \mid h(M_i) = h\}$$
 if  $\exists i \ (0 \le i \le n) \text{ s.t.}$  
$$h(M_i) = h \text{ and } \forall j (0 \le j < i) \ h(M_j) < h$$
 otherwise 
$$right(\gamma,h) = Max\{i \mid h(M_i) = h\}$$
 if  $\exists i \ (0 \le i \le n) \text{ s.t.}$  
$$h(M_i) = h \text{ and } \forall j \ (i < j \le n) \ h(M_j) < h$$
 otherwise 
$$left(\gamma,h) \downarrow \qquad \stackrel{def}{=} \qquad left(\gamma,h) \neq \bot$$
 
$$right(\gamma,h) \downarrow \qquad \stackrel{def}{=} \qquad right(\gamma,h) \neq \bot$$
 
$$left(\gamma,h) \uparrow \qquad \stackrel{def}{=} \qquad left(\gamma,h) = \bot$$
 
$$right(\gamma,h) \uparrow \qquad \stackrel{def}{=} \qquad right(\gamma,h) - left(\gamma,h)$$
 if  $left(\gamma,h) \downarrow \land right(\gamma,h) \downarrow$  
$$\qquad right(\gamma,h) - left(\gamma,h) \qquad \text{if } left(\gamma,h) \uparrow \land right(\gamma,h) \downarrow$$
 
$$\qquad \qquad h' = Min\{h' \mid h' > h \land right(\gamma,h') \downarrow\}$$
 if  $left(\gamma,h) \downarrow \land right(\gamma,h) \uparrow$  
$$\qquad \qquad h' = Min\{h' \mid h' > h \land right(\gamma,h') \downarrow\}$$
 otherwise

In fig.1, we illustrate width, ldis and rdis with examples.

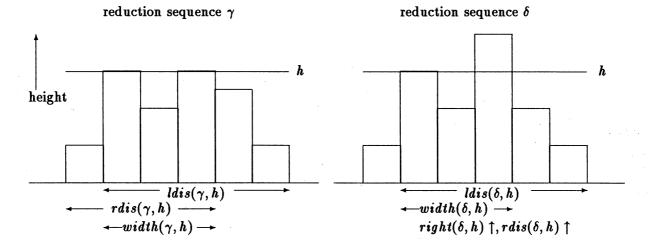


Fig.1 Definitions of ldis, rdis, width.

# Example.

Let 
$$\gamma: f(c) \longleftrightarrow f(g(g(c))) \longleftrightarrow f(g(c)) \longleftrightarrow f(g(g(c)))) \longleftrightarrow f(f(c)) \longleftrightarrow g(c)$$
. Then  $left(\gamma, 1) = 0$ ,  $left(\gamma, 2) \uparrow$ ,  $ldis(\gamma, 1) = 5$ ,  $ldis(\gamma, 2) \uparrow$ ,  $right(\gamma, 1) = 5$ ,  $right(\gamma, 3) \uparrow$ ,  $right(\gamma, 0) \uparrow$ ,  $rdis(\gamma, 1) = 5$ ,  $rdis(\gamma, 3) \uparrow$ ,  $width(\gamma, 1) = right(\gamma, 1) - left(\gamma, 1) = 5$ ,  $width(\gamma, 2) = 3$ ,  $width(\gamma, 3) = 2$ ,  $width(\gamma, 4) = 0$ 

**Definition of**  $< K(\gamma), W(\gamma) >$ 

$$K(\gamma) = \{(h, ldis(\gamma, h)) \mid ldis(\gamma, h) \downarrow\}$$

$$W(\gamma) = \{(h, width(\gamma, h)) \mid width(\gamma, h) \downarrow\}$$

# Notation

We denote by  $\gamma[\delta'/\delta]$  the sequence obtained from reduction sequence  $\gamma$  by replacing the subsequence or cut sequence  $\delta$  of  $\gamma$  by sequence  $\delta'$ .

# 3 Assertions

In this section, we explain how to prove that non-E-overlapping and strongly depth-preserving TRS R is CR. For this purpose, we need the following five assertions S(k), S'(k), P(k), Q(k), Q'(k) for  $k \ge 0$ .

# Assertion S(k)

Let  $\gamma: M_0 \longleftrightarrow M_1 \longleftrightarrow \cdots \longleftrightarrow M_k$  where  $|\gamma|_p = k, M_0 = \sigma(\beta), M_1 = \sigma(\alpha), M_{k-1} = \sigma'(\alpha), M_k = \sigma'(\beta)$  for some rule  $\alpha \to \beta \in R$  and mappings  $\sigma, \sigma'$  and  $\bar{\gamma}: M_1 \longleftrightarrow {}^*M_{k-1}$  is  $\varepsilon$ -invariant.

Then  $\exists \delta : \sigma(\beta) \longleftrightarrow \sigma'(\beta)$  such that

- (i)  $|\delta|_p \leq k-2$
- (ii) If  $\beta$  is a variable, then  $H(\delta) < H(\gamma)$ . Otherwise,  $\delta$  is  $\varepsilon$ -invariant and  $H(\delta) \le H(\gamma)$ .
- (iii)  $\forall h \geq 0 \text{ if } ldis(\delta, h) \downarrow$ , then  $\exists h' \geq h \text{ such that } ldis(\gamma, h') \downarrow \text{ and } ldis(\delta, h) < ldis(\gamma, h').$

# Assertion S'(k)

Let  $\gamma: M_0 \longleftrightarrow M_1 \longleftrightarrow \cdots \longleftrightarrow M_k$ where  $|\gamma|_p = k$ ,  $M_0 = \sigma(\beta)$ ,  $M_1 = \sigma(\alpha)$ ,  $M_{k-1} = \sigma'(\alpha)$ ,  $M_k = \sigma'(\beta)$  for some rule  $\alpha \to \beta \in R$ and mappings  $\sigma, \sigma'$  and  $\bar{\gamma}: M_1(=\sigma(\alpha)) \longleftrightarrow {}^*M_{k-1}(=\sigma'(\alpha))$  is  $\varepsilon$ -invariant.

Then  $\exists \delta : \sigma(\beta) \longleftrightarrow \sigma'(\beta)$  such that

- (i)  $|\delta|_p = |\gamma|_p, |\delta|_{np} \le |\gamma|_{np} 2$
- (ii) If  $\beta$  is a variable, then  $H(\delta) < H(\gamma)$ . Otherwise,  $\delta$  is  $\varepsilon$ -invariant and  $H(\delta) \le H(\gamma)$ .
- (iii)  $\forall h \geq 0$  if  $left(\delta, h) \downarrow$ , then  $\exists h' \geq h$  such that  $left(\gamma, h') \downarrow$  and  $left(\gamma, h') \leq left(\delta, h)$ . If  $right(\delta, h) \downarrow$ , then  $\exists h' \geq h$  such that  $right(\gamma, h') \downarrow$  and  $right(\delta, h) \leq right(\gamma, h')$ .

# Assertion P(k)

Let  $\gamma : \sigma(\beta) \leftarrow \sigma(\alpha) \longleftrightarrow^* M$  for some rule  $\alpha \to \beta \in R$  and mapping  $\sigma$  where  $H(\gamma) = k$  and  $\bar{\gamma} : \sigma(\alpha) \longleftrightarrow^* M$  is  $\varepsilon$ -invariant.

Then, if  $\beta$  is not a variable, then  $\exists \delta: \sigma(\beta) \longleftrightarrow^* N \longleftrightarrow^* M$  for some N such that  $H(\delta) \leq k, M \to^* N$  and  $\delta': \sigma(\beta) \longleftrightarrow^* N$  is  $\varepsilon$ -invariant. If  $\beta$  is a variable, then  $\exists \delta: \sigma(\beta) \longleftrightarrow^* N \longleftrightarrow^* M$  for some N such that  $H(\delta) \leq k, M \to^* N$  and  $H(\delta') < k$  for  $\delta': \sigma(\beta) \longleftrightarrow^* N$ 

## Assertion Q(k)

Let  $\gamma: M \longleftrightarrow^* N$  where  $H(\gamma) \leq k$ . Then,  $\exists \delta: M \longleftrightarrow^* L \longleftrightarrow^* N$  such that  $H(\delta) \leq k$ ,  $M \to^* L$  and  $N \to^* L$ .

# Assertion Q'(k)

Let 
$$\gamma_i: M \longleftrightarrow^* M_i$$
, where  $H(\gamma_i) \leq k$ ,  $1 \leq i \leq n$ .  
Then,  $\exists \delta: M \longleftrightarrow^* N$  such that  $H(\delta) \leq k$  and  $\forall i \ (1 \leq i \leq n) \ M_i \to^* N$ .

The assertions S(k) and S'(k) are similar to the Elimination lemma in [7]. For any reduction sequence  $\gamma: \sigma(\beta) \leftarrow \sigma(\alpha) \longleftrightarrow^* \sigma'(\alpha) \rightarrow \sigma'(\beta)$  for some rule  $\alpha \rightarrow \beta$  and mappings  $\sigma, \sigma'$  where  $\bar{\gamma}: \sigma(\alpha) \longleftrightarrow^* \sigma'(\alpha)$  is  $\varepsilon$ -invariant, S(k) ensures that there exists  $\delta: \sigma(\beta) \longleftrightarrow^* \sigma'(\beta)$  such that  $|\delta|_p \leq |\gamma|_p - 2$ ,  $H(\delta) \leq H(\gamma)$  (where  $\delta$  is  $\varepsilon$ -invariant or  $H(\delta) < H(\gamma)$ ) and  $K(\delta) \ll K(\gamma)$ . Here,  $\ll$  is the multiset ordering of a lexicographic ordering <. And S'(k) ensures that there exists  $\delta': \sigma(\beta) \longleftrightarrow^* \sigma'(\beta)$  such that  $|\delta|_p = |\gamma|_p$ ,  $|\delta|_{np} \leq |\gamma|_{np} - 2$ ,  $H(\delta) \leq H(\gamma)$  (where  $\delta$  is  $\varepsilon$ -invariant or  $H(\delta) < H(\gamma)$ ) and  $W(\delta) \stackrel{\text{def}}{=} W(\gamma)$ . Here,  $\stackrel{\text{def}}{=}$  is  $\ll$  or =.

To prove these assertions, we use the following properties for left, right, width.

# Property 1

Let 
$$\gamma: M_0 \longleftrightarrow M_1 \longleftrightarrow \cdots \longleftrightarrow M_k$$
,  $\delta: N_0 \longleftrightarrow N_1 \longleftrightarrow \cdots \longleftrightarrow N_k$ .

- 1. Assume that for h > 0,  $left(\delta, h) \downarrow$  and there exists j such that  $j \leq left(\delta, h)$  and  $h(M_j) \geq h$ .

  Then, there exists  $h' \geq h$  such that  $left(\gamma, h') \downarrow$  and  $left(\gamma, h') \leq left(\delta, h)$ .
- 2. Assume that for h > 0,  $right(\delta, h) \downarrow$  and there exists j such that  $right(\delta, h) \leq j$  and  $h(M_j) \geq h$ .

  Then, there exists  $h' \geq h$  such that  $right(\gamma, h') \downarrow$  and  $right(\gamma, h') \geq right(\delta, h)$ .

### Property 2

If  $H(\gamma) > H(\delta)$ , then  $K(\gamma) \gg K(\delta)$  and  $W(\gamma) \gg W(\delta)$ . Here,  $\gg$  is the multiset ordering of a lexicographic ordering >.

These proofs are obvious by the definitions of left, right and width, etc.

We first prove S(k) and S'(k) by induction on  $k \geq 0$ , where k is the number of parallel reduction steps of  $\gamma$ . In the case of k > 2, we prove S(k) and S'(k) by induction on  $weight(\gamma)$  which is defined as follows:

$$\begin{split} weight(\gamma) &= \sum_{\gamma_i \in \Gamma} |\gamma_i|_{np} \\ \text{where } \Gamma &= \{\gamma_i \mid \gamma_i = \bar{\gamma}/u_i \text{ for some } u_i \in MR(\bar{\gamma}) \cap \bar{O}(\alpha)\}, \\ \bar{\gamma} : \sigma(\alpha) &\longleftrightarrow^* \sigma'(\alpha). \end{split}$$

- 1. Basis, i.e., the case of  $weight(\gamma) = 0$ The proof is straightforward.
- 2. Induction step, i.e., the case of  $weight(\gamma) > 0$ Let  $\gamma_1 = \bar{\gamma}/u_1 : L_1 \longleftrightarrow L_2 \cdots \longleftrightarrow L_{k-1}$  where  $\gamma_1 \in \Gamma$  and  $L_i = M_i/u_1$ ,  $1 \le i \le k-1$ . Then, there exist i, j such that  $1 \le i < j < k-1$  and  $\delta_1 : L_i \longleftrightarrow L_{i+1} \cdots \longleftrightarrow L_j \longleftrightarrow L_{j+1}$  where  $L_i = \theta(\beta')$ ,  $L_{i+1} = \theta(\alpha')$ ,  $L_j = \theta'(\alpha')$ ,  $L_{j+1} = \theta'(\beta')$  for some rule  $\alpha' \to \beta'$  and mappings  $\theta, \theta'$ .

By the induction hypothesis S(k'), where  $k' = |\delta_1|_p$ , there exists  $\eta_1 : L_i \longleftrightarrow {}^*L_{j+1}$  satisfying the conditions (i), (ii) and (iii). Let  $\eta'_1 = ((L_i \longleftrightarrow L_i \cdots \longleftrightarrow L_i); \eta_1)$  where  $|\eta'_1|_p = |\delta_1|_p$ .

Let  $\gamma' = \gamma[\eta'_1/\delta_1]$ . Then, obviously  $weight(\gamma) > weight(\gamma')$  holds. Hence, by the induction hypothesis that S(k) holds for  $\gamma'$ , it follows that S(k) holds for  $\gamma$ .

The proof of S'(k) is similar to that of S(k).

We then prove that  $Q(k) \Rightarrow Q'(k)$  for all  $k \geq 0$ . Using these results, we can prove  $P(k) \wedge Q(k)$  by induction on  $k \geq 0$ .

Outline of the proof of  $P(k) \wedge Q(k)$ .

We first prove P(k). Basis: k = 0. The proof is obvious.

Induction step: Let  $\gamma: M_0 \longleftrightarrow M_1 \longleftrightarrow M_2 \cdots \longleftrightarrow M_n$  where  $H(\gamma) = k$ ,  $M_0 = \sigma(\beta)$ ,  $M_1 = \sigma(\alpha)$  and  $M_n = M$ . Let  $\bar{\gamma}: M_1 \longleftrightarrow M_2 \cdots \longleftrightarrow M_n$ . We prove P(k) by induction on the following  $weight(\gamma)$ .

$$weight(\gamma) = \bigsqcup_{\gamma_i \in \Gamma} K(net(\gamma_i^R))$$

where  $\Gamma = \{ \gamma_i \mid \gamma_i = \bar{\gamma}/u_i \text{ for some } u_i \in MR(\bar{\gamma}) \cap \bar{O}(\alpha) \}$ . Here,  $\gamma_i^R$  is the reverse sequence of  $\gamma_i$ . Note that if  $\Gamma = \phi$ , then  $weight(\gamma) = \phi$ .

1. Basis: the case of  $weight(\gamma) = \phi$ , i.e., all the reductions of  $\gamma$  occur in the variable parts of  $\sigma(\alpha)$ .

We can prove P(k) by using the induction hypothesis Q(k-1) and the strongly depth-preserving property.

2. Induction step: the case of  $weight(\gamma) \gg \phi$  i.e., some reduction occurs in the non variable part.

By the definition of  $\gamma_1^R$ , then there exists an  $\varepsilon$ -reduction. Let  $\delta = net(\gamma_1^R) : (L_0 \longleftrightarrow L_1 \cdots \longleftrightarrow L_m)$  where  $m \le n, L_0 = M_n/u_1, L_m = M_1/u_1$ . There are two cases depending on whether there exists  $\xi : L_i(=\sigma'(\beta')) \longleftrightarrow^{\varepsilon} L_{i+1}(=\sigma''(\alpha')) \longleftrightarrow^{\varepsilon} L_{j+1}(=\sigma''(\beta'))$ 

for some  $i, j \ (1 \le i < j < m)$ , where  $L_{i+1} \longleftrightarrow^* L_j$  is  $\varepsilon$ -invariant.

- (a) The case in which  $\delta$  includes such  $\xi$ . By  $S(|\xi|_p)$ , there exists  $\xi': L_i \longleftrightarrow^* L_{j+1}$  satisfying the conditions (i), (ii), (iii). Let  $\delta' = \delta[\xi'/\xi]$  and  $\gamma' = \gamma[\gamma_1'/\gamma_1]$  where  $net(\gamma_1'^R) = \delta'$  and  $net(\gamma_1^R) = \delta$ . By  $weight(\gamma) \gg weight(\gamma')$ , the induction hypothesis for  $\gamma'$  ensures that P(k) holds for  $\gamma$ .
- (b) The case in which δ does not include such ξ.
  In this case, δ includes ε-reductions, but the direction of the ε-reductions is left-to-right by the non-E-overlapping property.
  Using a finite number of the induction hypothesis P(k'), k' < k, we can prove that there exists η: L<sub>0</sub> ←→\*N ←→\*L<sub>i</sub> for some term N and i (0 < i ≤ m) such that H(η) ≤ H(δ), L<sub>0</sub> →\* N and either i = m and η': N ←→\*L<sub>i</sub> is ε-invariant or H(η') < H(δ<sub>i</sub>) holds where η': N ←→\*L<sub>i</sub> and δ<sub>i</sub>: L<sub>0</sub> ←→L<sub>1</sub> ··· ←→\*L<sub>i</sub>.

Let  $\bar{\delta} = \delta[\eta'/\delta_i]$ . Then,  $\bar{\delta}$  is  $\varepsilon$ -invariant or  $K(\delta) \gg K(\bar{\delta})$  holds. Let  $\gamma' = \gamma[\gamma_1'/\gamma_1]$  where  $\bar{\delta} = net(\gamma_1'^R)$  and  $\delta = net(\gamma_1^R)$ . Then,  $weight(\gamma) \gg weight(\gamma')$  holds, so that the induction hypothesis P(k) for  $\gamma'$  ensures that P(k) holds for  $\gamma$ .

Next, we prove Q(k) by induction on  $(H(\gamma), W(\gamma), \varepsilon(\gamma))$ , where  $\varepsilon(\gamma)$  is the number of  $\varepsilon$ -reductions in  $\gamma$  and  $W(\gamma) = \{(h, width(\gamma, h)) \mid width(\gamma, h) \downarrow \}$ .

If  $H(\gamma) \leq k-1$  or  $\gamma$  has no  $\varepsilon$ -reductions, then the proof can be reduced to that of Q(k-1). So, let  $H(\gamma) = k$  and  $\gamma$  has  $\varepsilon$ -reductions.

There are two cases depending on whether there exists a subsequence  $\gamma_1: N_1 \leftarrow^{\epsilon} N_2 \leftarrow \stackrel{}{\longmapsto} {}^*N_3 \rightarrow^{\epsilon} N_4$  of  $\gamma$  for some  $N_i, 1 \leq i \leq 4$ , where  $N_2 \leftarrow \stackrel{}{\longmapsto} {}^*N_3$  is  $\epsilon$ -invariant.

1. The case in which  $\gamma$  includes such  $\gamma_1$ .

In this case, we apply  $S(|\gamma_1|_p)$  or  $S'(|\gamma_1|_p)$  and obtain  $\delta_1: N_1 \longleftrightarrow {}^*N_4$  satisfying the conditions (i),(ii) and (iii).

Let  $\gamma' = \gamma[\delta_1/\gamma_1]$ . Then, either  $W(\gamma) \gg W(\gamma')$  or  $W(\gamma) = W(\gamma')$  and  $\delta_1$  is  $\varepsilon$ -invariant. In either case, the induction hypothesis for  $\gamma'$  ensures that Q(k) holds for  $\gamma$ .

2. The case in which  $\gamma$  does not include such  $\gamma_1$ . We can prove this case by using P(k) and Q(k-1). But, the details are omitted.

Since Q(k), k > 0, ensures that TRS R is CR, we have the following our main theorem.

# Main Theorem

A TRS R is CR if R is non-E-overlapping and strongly depth-preserving.

Matsuura et al.[6] showed that if a TRS R is non- $\omega$ -overlapping and depth-preserving, then R is non-E-overlapping, so that we have the following corollary.

### Corollary

A TRS R is CR if R is non- $\omega$ -overlapping and strongly depth-preserving. Note

Whether R is non- $\omega$ -overlapping or not can be checked efficiently.

# 4 Concluding Remarks

In this paper, we have shown that there exists a non-E-overlapping and depth-preserving TRS which is not CR, but all the non-E-overlapping and strongly depth-preserving TRS's satisfy the CR property.

Finally, we make a comment on the strongly depth-preserving property. This property is defined by the depth-preserving property and the condition that for each rule  $\alpha \to \beta$  and for any  $x \in V(\alpha)$ , all the depths of the x occurrences in  $\alpha$  are the same. By replacing the restriction on  $\alpha$  by that on  $\beta$ , we can define an analogous property. That is, this new property is defined by the depth-preserving property and the condition that for each rule  $\alpha \to \beta$  and for any  $x \in V(\beta)$ , all the depths of the x occurrences in  $\beta$  are the same. However, this new property and non-E-overlapping do not necessarily ensure CR. For example, TRS  $R_6 = \{f(g(x), x) \to a, c \to h(c, g(c)), h(x, g(x)) \to f(g(x), h(x, g(c)))\}$  is non-E-overlapping and satisfies this new condition, but  $R_6$  is not CR.

It will be a next step following the work of this paper to study the CR property of E-overlapping and strongly depth-preserving TRS, that is, to find restriction conditions that E-critical pairs must satisfy for ensuring the CR property of strongly depth-preserving TRS's.

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