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On Predimensions of Finite Structures

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Abstract

We give some families of finite structures with a predimension function, in which we can construct various structures with desired predimensions.

1 Introduction

N. Peatfield and B. Zilber investigated a generic structure of certain class of finite structures considered by Hrushovski and they showed that with some modification of the structure, they can put a topology called an analytic Zariski structure on it. One of their main tools is the following.

For the class \mathbf{K} they considered, a structure M is elemetarily equivalent to a generic structure of \mathbf{K} if and only if M satisfies Axioms 1 and 2:

Axiom 1. Any finite substructure A of M belongs to K.

Axiom 2. For any $A, B \in \mathbf{K}$ such that $A \leq B$, if $f : A \to M$ is an \mathcal{L} -embedding then it can be extended to an \mathcal{L} -embedding $f' : B \to M$.

Axiom 2 represents a strong form of the amalgamation property. For any class **K** of finite structures, these axioms may have some meaning. This will be investigated in a joint work with K. Ikeda and A. Tsuboi [2]. This paper will be a part of this work.

2 Preliminaries

Throughout this paper, \mathcal{L} is a finite relational language. If M is an \mathcal{L} -structure and R is a relation with n arguments then $R(M) = \{x \in M^n : M \models R(x)\}.$

Definition 2.1 Suppose $\mathcal{L} = \{R_1, R_2, \dots, R_l\}$, and $\alpha = (\alpha_1, \alpha_2, \dots, \alpha_l)$ where α_i are positive real numbers at most 1.

For a finite \mathcal{L} -structure A, let

$$\delta(A) = |A| - |R_1(A)| - |R_2(A)| - \dots - |R_l(A)|.$$

 δ is called a predimension function.

Let B be an \mathcal{L} -structure and $A \subseteq B$. We write $A \leq B$ if for any subset X of B, whenever $A \subseteq X \subseteq B$ then $\delta(A) \leq \delta(X)$.

Let $\mathbf{K}_{\alpha} = \{A : \delta(X) \ge 0 \text{ for any } X \subseteq A\}.$

Definition 2.2 (Asymmetric amalgam) Let B and C be \mathcal{L} -structures, and let $A = B \cap C$ as a set. $B \oplus_A C$ is a structure with universe $B \cup C$ and for each relation R in \mathcal{L} , $R(B \oplus_A C) = R(B) \cup R(C) - (R(C) \cap A^n)$ where n is the number of arguments of R.

Note that $\delta(B \oplus_A C) = \delta(B) + \delta(C) - \delta(C|_A)$ where $C|_A$ is a substructure of C with the universe A.

Furthermore, if $B \cap C = \{a\}$ (a singleton), then we write $B \oplus_a C$ for $B \oplus_{\{a\}} C$, and if $B \cap C = \emptyset$, then we write $B \oplus C$ for $B \oplus_{\emptyset} C$.

Definition 2.3 Let A be an \mathcal{L} -structure, a, b elements in A, and suppose that for any relation $R \in \mathcal{L}$, there is no tuple x of elements in A such that $A \models R(x)$ and x contains a and b.

A/(a=b) is an \mathcal{L} -structure obtained from A by identifying a and b. More precisely, it is defined as follows: The universe, also denoted A/(a=b), is $A-\{b\}$. Let $f:A\to A/(a=b)$ be a projection map defined by f(x)=x for $x\neq b$, and f(b)=a. For any relation $R\in\mathcal{L}$, $A/(a=b)\models R(c_1,\ldots,c_n)$ for $c_1,\ldots,c_n\in A/(a=b)$ if and only if $A\models R(c'_1,\ldots,c'_n)$ for some $c'_1,\ldots,c'_n\in A$ such that $f(c'_i)=c_i$ for $i=1,\ldots,n$.

3 Arithmetic of Structures

In this section, we work in \mathbf{K}_{α} for some tuple α of positive real numbers at most 1. We show that there are a plenty of structures in \mathbf{K}_{α} to get structures in \mathbf{K}_{α} of desired δ -ranks.

Definition 3.1 Let s be a real number such that $0 \le s \le 2$. A triple (E, a, b) is an s-component if E is an \mathcal{L} -structure, $a, b \in E$, $a \ne b$, $\delta(\{a\}) = \delta(\{b\}) = 1$, and the following hold:

For any non-empty substructure X of E,

- (1) $s \leq \delta(X)$ if $a, b \in X$,
- (2) $1 \le \delta(X)$ if $a \notin X$ or $b \notin X$, and
- (3) $\delta(E) = s$.

We also say that E is an s-component with joints a and b, or just E is an s-component.

Lemma 3.2 Let s, t, u be real numbers such that $0 \le s, t, u \le 1$.

- (1) Suppose (A, a, b) is an (1+s)-component, (B, b, c) a (1+t)-component, $s+t \le 1$, and $C = A \oplus_b B$. Then (C, a, c) is an (1+s+t)-component.
- (2) Suppose (A, a, b) is an (1+s)-component, (B, b, c) a (1+t)-component, (C, c, d) a (1+u)-component, $s+t \leq 1$, $D=A \oplus_b B \oplus_c C$, and $\overline{D}=D/(a=d)$. Then (\overline{D}, c, a) is an (s+t+u)-component.
- (3) Suppose $k \geq 3$, r_1 , r_2 , ..., r_{k-1} , r_k are non-negative real numbers such that $r_1 + r_2 + \cdots + r_{k-1} \leq 1$, and an r_i -component exists for each i. Then there is an $(r_1 + r_2 + \cdots + r_{k-1} + r_k)$ -component.

Proof. (1) Suppose $X \subseteq C = A \oplus_b B$ and $X \neq \emptyset$.

We check the condition (1) of the definition of an (1 + s + t)-component first. Assume that $a, c \in X$. Consider the case $b \in X$. Then $a, b \in X \cap A$, $b, c \in X \cap B$, and $X = (X \cap A) \oplus_b (X \cap B)$. Hence,

$$\delta(X) = \delta(X \cap A) + \delta(X \cap B) \ge (1+s) + (1+t) - 1 = 1 + (s+t).$$

Now, consider the case $b \notin X$. Then $b \notin X \cap A$, $b \notin X \cap B$, and $X = (X \cap A) \oplus (X \cap B)$. Hence,

$$\delta(X) = \delta(X \cap A) + \delta(X \cap B) \ge 1 + 1 \ge 1 + (s+t).$$

Therefore, $a, c \in X$ implies $\delta(X) \ge 1 + s + t$.

Now, we check the condition (2) of the definition of an (1+s+t)-component. Suppose X is a non-empty subset of C. Then $\delta(X) \geq \delta(X \cap A) + \delta(X \cap B) - 1 \geq 1 + 1 - 1 = 1$.

(2) Since there is no relation on a tuple containing a and d, going from D to \overline{D} , the number of relations does not change. Therefore, for any $X \subseteq D$, $\delta(X/(a=d)) = \delta(X) - 1$ if $a, d \in X$, and $\delta(X/(a=d)) = \delta(X)$ if $a, d \notin X$.

Suppose $c, a \in X \subset \overline{D}$. Let $Y \subset D$ be such that X = Y/(a = d). We can assume that $a, d \in Y$.

Consider the case $b \in Y$. Since $a, b, c, d \in Y$, we have $\delta(Y \cap A) \geq 1 + s$, $\delta(Y \cap B) \geq 1 + t$, $\delta(Y \cap C) \geq 1 + u$, and $Y = (Y \cap A) \oplus_b (Y \cap B) \oplus_c (Y \cap C)$. Hence, $\delta(Y) \geq 1 + (s + t + u)$. Therefore, $\delta(X) = \delta(Y/(a = d)) = \delta(Y) - 1 \geq s + t + u$.

Now, consider the case $b \notin Y$. Then, $\delta(Y \cap A) \geq 1$, $\delta(Y \cap B) \geq 1$, $\delta(Y \cap C) \geq 1 + u$, and $Y = (Y \cap A) \oplus (Y \cap B) \oplus_c (Y \cap C)$. We have $\delta(Y) \geq 1 + (1 + u) \geq 1 + (s + t + u)$ by the assumption that $s + t \leq 1$. Therefore, $\delta(X) = \delta(Y/(a = d)) = \delta(Y) - 1 \geq s + t + u$. We have checked the condition (1) of the definition of (s + t + u)-component.

We turn to check the condition (2) of the definition of (s+t+u)-component. Suppose $a \notin X \subset \overline{D}$ and $X \neq \emptyset$. Then $X \subset D$ and $a, d \notin X$. Let $X_1 = X \cap (A \cup B)$ and $X_2 = X \cap C$. Since $s+t \leq 1$, $A \cup B = A \oplus_b B$ is a (1+s+t)-component by (1), and thus $\delta(X_1) \geq 1$ by $a \notin X$. $\delta(X_2) \geq 1$ since $d \notin X$. Therefore, $\delta(X) \geq \delta(X_1) + \delta(X_2) - 1 \geq 1$.

Suppose $c \notin X$ and $a \in X$. Let $Y \subseteq D$ be such that X = Y/(a = d) and $a, d \in Y$. Since $c \notin Y$, $\delta(Y) = \delta(Y \cap (A \cup B)) + \delta(Y \cap C) \ge 2$. Therefore, $\delta(X) = \delta(Y) - 1 \ge 1$.

Lemma 3.3 Suppose $0 \le s < 1/2$ and a (1+s)-component exists. If s is rational then a 1-component exists. If s is irrational, then for any $\varepsilon > 0$ there exists ε' such that $\varepsilon > \varepsilon' > 0$ and a $(1 + \varepsilon')$ -component exists. With Lemma 3.2, we can choose a 1-component or a $(1 + \varepsilon')$ -component arbitrarily large.

Proof. Suppose $0 \le s < 1/2$ and a (1+s)-component exists. Consider the following non-negative decreasing sequence $\{s_i\}_{i<\omega}$: Let $s_0=s$. If $s_i=0$ then let $s_{i+1}=0$. If $s_i > 0$ then choose the least positive integer m_i such that $m_i s_i \geq 1$ and let $s_{i+1} =$ $m_i s_i - 1$.

By Lemma 3.2 (2), there is a $(1 + s_i)$ -component for each $i < \omega$.

Note that if $s_i > 0$ then $s_i > s_{i+1} \ge 0$. If $s_0 = s$ is rational then $s_i = 0$ eventually. Hence, 1-component exists.

If $s_0 = s$ is irrational, s_i converges to 0. This should be well-known, but we give a proof for convenience. Since $\{s_i\}_{i<\omega}$ is a decreasing sequence, $\{m_i\}_{i<\omega}$ is an increasing sequence. If $\{m_i\}_{i<\omega}$ is unbounded, then s_i converges to 0 because $s_i < 1/(m_i-1)$. If $\{m_i\}_{i<\omega}$ is bounded, $m_i = m$ for some positive integer m eventually. So, we can assume that $s_{i+1} = ms_i - 1$ for all i. Since s_i converges to some $\beta > 0$, $\beta = m\beta - 1$. Therefore, $\beta = 1/(m-1)$. But $s_i > \beta = 1/(m-1)$ contradicts $(m-1)s_i = (m_i-1)s_i < 1.$

Lemma 3.4 Let (V, E) be a finite graph where V is a set of vertices and E a set of edges, and let $\delta(V,E) = |V| - \beta |E|$ with $0 < \beta \le 1$. Then a graph which is a (1+s)-component exists for some real number s such that $0 \le s < 1/2$ with respect to this δ .

Proof. We remark first that any two different points in each (1+s)-component below will be its joints.

Suppose $\alpha_i = 1/2$. A graph with 4 points and 6 edges (K_4) is a 1-component.

Suppose $1/2 < \alpha_i \le 1$. Let $s = 1 - \alpha_i$. Then a graph with 2 points and 1 edge is a (1+s)-component. These 2 points will be joints.

Suppose $0 < \alpha_i < 1/2$. Choose least natural number k such that $k - \alpha \binom{k}{2} < 1$. Note that $k \le 4$ implies $k - \alpha \binom{k}{2} > 1$. Therefore, $k \ge 5$. Consider K_k , a complete graph with k points. Then for any non-empty proper subset X of K_k , $\delta(X) \geq 1$. Starting from K_k , remove 1 edge at a time. Then the δ -value of the graph incleases by α_i at a time. Repeat this process until the δ -value of the graph exceeds 1. Let E be the graph with k points obtained by this process. We eventually get E because kpoints with no edge has the δ -value $k \geq 5$. If $\delta(E) = 1$, then E is a 1-component. If not, $1 < \delta(E) < 1 + \alpha_i < 1 + 1/2$ since if we put one more edge to E, the δ -value will be less than 1.

If we have an s-component as a sufficiently large graph, then we can make an s-component as a structure with an n-ary relation for any n.

Lemma 3.5 Let β be a real number such that $0 < \beta \le 1$. Suppose (A, E) is a sufficiently large binary graph. Then there is $S \subseteq A^n$ such that $|A| - \beta |E| = |A| - \beta |S|$ and $|X| - \beta |E_X| \le |X| - \beta |S_X|$ for any $X \subseteq A$. Here, E_X is the set of edges in E connecting vertices in X, and $S_X = X^n \cap S$. In particular, if (A, E) is an s-component then so is (A, S).

Proof. Let $f:[A]^2 \to A^n$ be a one-to-one map such that a,b are members of $f(\{a,b\})$ for any distinct points in A ($[A]^2$ is the set of two point subsets of A). We can choose such f if |A| > 2n. Let S = f(E) (Consider each edge as the set of two end points). Then $|A| - \beta |E| = |A| - \beta |S|$. Also, for any $X \subseteq A$, if $f(e) \in X$ then $e \in E_X$. Hence, $|S_X| \le |E_X|$. Therefore, $|X| - \beta |E_X| \le |X| - \beta |S_X|$.

As a corollary, we get the following proposition.

Proposition 3.6 If a rational number is a member of α then a 1-component exists in \mathbf{K}_{α} .

If an irrational number is a member of α , then for any $\varepsilon > 0$, there are ε' and ε'' such that $\varepsilon > \varepsilon' > \varepsilon'' > 0$ and a $(1 + \varepsilon')$ -component and a $(1 - \varepsilon'')$ -component exist in \mathbf{K}_{α} .

Lemma 3.7 Suppose that $r_1 + r_2 + \cdots + r_k - n = r$ where the r_i 's are positive real numbers at most $1, -1 \le r \le 1$, and n a natural number. Assume further that 1-component exists and so does $(1 + r_i)$ -component for each $i = 1, \ldots, k$. Then (1+r)-component exists.

Proof. We prove the lemma by induction on n. The lemma holds for n=0 by Lemma 3.2 (1). Suppose $n \geq 1$. Let i be the maximum suffix such that $r_1 + \cdots + r_i < 1$. Since a 1-component exists, we can assume that $i \geq 2$.

If i = k then n must be 1. Therefore, there is a (1 + r)-component by Lemma 3.2 (3), and we are done.

Suppose i < k. Then $r_1 + \cdots + r_i + r_{i+1} \ge 1$. Let $q = r_1 + \cdots + r_i + r_{i+1} - 1 \ge 0$. By Lemma 3.2 (3), there is a (1+q)-component. We have $q + r_{i+2} + \cdots + r_k - (n-1) = r$. Therefore, r-component exists by the induction hypothesis.

Proposition 3.8 Suppose that a rational number is a member of α .

- (1) A 1-component exists in \mathbf{K}_{α} .
- (2) If a reduced fraction k/m is a member of α then a (1+1/m)-component exists in \mathbf{K}_{α} .
- (3) If a (1+1/m)-component and a (1+1/m')-component exist in \mathbf{K}_{α} then a $(1+1/\ln(m,m'))$ -component exists in \mathbf{K}_{α} . Here, $\operatorname{lcm}(m,m')$ is the least common multiple of m and m'.

(4) If a (1+1/m)-component exists in \mathbf{K}_{α} then a (1-1/m)-component exists in

Proof. (1) is in Proposition 3.6.

(2) Suppose a reduced fraction $k/m = \alpha_i$ for some i. Then a graph with 2 points and 1 edge is a (1+(m-k)/m)-component for δ_{α_i} defined by $\delta_{\alpha_i}(A,E) = |A| - \alpha_i |E|$. Since there is a graph which is a 1-component for δ_{α_i} , we can make a (1+(m-k)/m)component arbitrarily large. By Lemma 3.5, (1 + (m-k)/m)-component exists in

Let k' = m - k. k' and m are prime each other. Therefore, there are positive integers u, v such that uk' - vm = 1. Hence, u(k'/m) - v = 1/m. There is a (1+1/m)-component in \mathbf{K}_{α} by Lemma 3.7.

(3) Let d be the greatest common divisor of m and m'. Then there are positive integers u < m' and v < m such that um - vm' = d. Then u(1/m') - v(1/m) = dd/(mm') and thus u(1/m') + (m-v)(1/m) - 1 = d/(mm'). Therefore, there is a (1+d/(mm'))-component in \mathbf{K}_{α} by Lemma 3.7.

(4) Also, by Lemma 3.7.

A Property of K_{α} 4

In this section, we show a special property of \mathbf{K}_{α} with which we can show that the elementary theory of the generic structure of \mathbf{K}_{α} is axiomatized by Axioms 1 and 2 in the introduction. This will be investigated in [2].

Lemma 4.1 Suppose $0 \le s, t \le 1$, $C = B \oplus_a E$, and (E, a, b) is a (1+t)-component. If $\delta(A) + s \leq \delta(X)$ for any X such that $A \subseteq X \subseteq B$ and $a \in B - A$, then $\delta(A) + s \leq A$ $\delta(X)$ for any X such that $A \subsetneq X \subseteq C$.

Proof. Suppose $A \subseteq X \subseteq C$. If $a \notin X$, then $A \subseteq (X \cap B)$, $a \notin (X \cap E)$, and $X = (X \cap B) \oplus (X \cap E)$. If $(X \cap E) = \emptyset$, then $A \subseteq X \subseteq B$, and thus $\delta(X) \ge \delta(A) + s$. If $(X \cap E) \neq \emptyset$, then $\delta(X \cap E) \geq 1$ and thus $\delta(X) = \delta(X \cap B) + \delta(X \cap E) \geq \delta(A) + 1 \geq 0$ $\delta(A) + s$.

If $a \in X$, then $X = (X \cap B) \oplus_a (X \cap E)$, $A \subseteq X \cap B$, and $X \cap E \neq \emptyset$. Hence,

$$\delta(X) = \delta(X \cap B) + \delta(X \cap E) - 1 \ge (\delta(A) + s) + (1+t) - 1 \ge \delta(A) + s.$$

Lemma 4.2 Suppose $0 \le t \le s \le 1$, $C = B \oplus_a E$, and (E,a,b) is a (1-t)component. If $\delta(A) + s \leq \delta(X)$ for any X such that $A \subsetneq X \subseteq B$ and $a \in B - A$, then $\delta(A) + (s - t) \leq \delta(X)$ for any X such that $A \subsetneq X \subseteq C$.

Proof. Suppose $A \subseteq X \subseteq C$. If $a \notin X$, then $A \subseteq (X \cap B)$, $a \notin (X \cap E)$, and $X = (X \cap B) \oplus (X \cap E)$. If $(X \cap E) = \emptyset$, then $A \subseteq X \subseteq B$, and thus $\delta(X) \ge \delta(A) + s \ge \delta(A) + s - t$. If $(X \cap E) \ne \emptyset$, then $\delta(X \cap E) \ge 1$ and thus $\delta(X) = \delta(X \cap B) + \delta(X \cap E) \ge \delta(A) + 1 \ge \delta(A) + s - t$.

If $a \in X$, then $X = (X \cap B) \oplus_a (X \cap E)$, $A \subsetneq X \cap B$, and $X \cap E \neq \emptyset$. Hence,

$$\delta(X) = \delta(X \cap B) + \delta(X \cap E) - 1 \ge (\delta(A) + s) + (1 - t) - 1 \ge \delta(A) + s - t.$$

Theorem 4.3 Consider \mathbf{K}_{α} where α consists of rational numbers only. Suppose A, B are \mathcal{L} -structures in \mathbf{K}_{α} and $A \leq B$. Then for any positive integer n, there is an \mathcal{L} -structure C in \mathbf{K}_{α} such that $B \subset C$, $B \leq_n C$, $A \leq C$ and $\delta(C) = \delta(A)$.

Proof. We prove the theorem by the induction on |B - A|.

Suppose |B - A| = 0. In this case, A = B. Let C = B = A. Then the statement holds.

Suppose |B - A| > 0. Let B_0 be a substructure of B such that $A \subseteq B_0 \subseteq B$ and $\delta(B_0) \leq \delta(X)$ for any set X such that $A \subseteq X \subseteq B$.

Note that we have $A \leq B_0$ and $B_0 \leq B$.

Suppose $\delta(A) = \delta(B_0)$. We have $|B - B_0| < |B - A|$. By the induction hypothesis, for any integer n > 0, there is a structure $C \supset B$ such that $B \leq_n C$, $B_0 \leq C$ and $\delta(C) = \delta(B_0)$. Since $A \leq B_0$ and $\delta(A) = \delta(B_0)$, we have the statement.

Suppose $\delta(A) < \delta(B_0)$. If a is a point in B - A then $\delta(\{a\}/A) \le 1$. Therefore, $\delta(B_0/A) \le 1$ by the choice of B_0 . Let $s = \delta(B_0) - \delta(A) \le 1$. Then $\delta(A) + s \le \delta(X)$ for any substructure X of B_0 such that $A \subseteq X$.

Let $\alpha = (n_1/m_1, n_2/m_2, \ldots, n_l/m_l)$ where each n_i/m_i is a reduced fraction. Let m be the least common multiple of m_1, m_2, \ldots, m_l . Then $s = \delta(B_0) - \delta(A)$ is a multiple of 1/m. Let s = k/m where k is a positive integer.

For any positive integer n, let C' be an \mathcal{L} -structure such that

$$C' = B \oplus_{a_0} E_0 \oplus_{a_1} E_1 \cdots \oplus_{a_n} E_n \oplus_{a_{n+1}} F_1 \oplus_{a_{n+2}} F_2 \cdots \oplus_{a_{n+k}} F_k,$$

where the a_i are pairwise distinct, $a_0 \in B_0 - A$, (E_i, a_i, a_{i+1}) is a 1-component for each i = 0, 1, ..., n, and $(F_j, a_{n+j}, a_{n+j+1})$ is a (1 - 1/m)-component for j = 1, 2, ..., k. Let C_0 be a substructure of C' such that

$$C_0 = B_0 \oplus_{a_0} E_0 \oplus_{a_1} E_1 \cdots \oplus_{a_n} E_n \oplus_{a_{n+1}} F_1 \oplus_{a_{n+2}} F_2 \cdots \oplus_{a_{n+k}} F_k.$$

By Lemmas 4.1 and 4.2, we have $A \leq C_0$ and $\delta(A) = \delta(C_0)$. Therefore, $C_0 \in \mathbf{K}_{\alpha}$. We show that $B_0 \leq_n C_0$. Suppose $X \subset C_0 - B_0$ and $|X| \leq n$. Then $X = \{a_0, a_1, \ldots, a_{n-1}\}$ or $a_i \notin X$ for some i < n. In either case, $X \subset (B_0 \oplus_{a_0} E_0 \oplus_{a_1} E_1 \cdots \oplus_{a_j} E_j) \oplus D$ for some $j \leq n$ and $D \in \mathbf{K}_{\alpha}$. Since $B_0 \leq B_0 \oplus_{a_0} E_0 \oplus_{a_1} E_1 \cdots \oplus_{a_j} E_j$ by Lemma 4.1, $\delta(B_0) \leq \delta(X)$.

Now we have $C'=B\oplus_{B_0}C_0$, $B_0\leq C$, and $B_0\leq_n C_0$. Hence, $C_0\leq C'$ and $B\leq_n C'$. Since $|C'-C_0|=|B-B_0|<|B-A|$, we have $C\in \mathbf{K}_\alpha$ such that $C\supset C'$, $C'\leq_n C$, $C_0\leq C$ and $\delta(C)=\delta(C_0)$. Therefore, $B\leq_n C$, $A\leq C$, and $\delta(C)=\delta(A)$. \square

Theorem 4.4 Suppose A, B are \mathcal{L} -structures in \mathbf{K}_{α} and $A \leq B$. Then for any real number $\varepsilon > 0$ and for any positive integer n, there is an \mathcal{L} -structure C in \mathbf{K}_{α} such that $B \subset C$, $B \leq_n C$, $A \leq C$ and $\delta(C) < \delta(A) + \varepsilon$.

Proof. If α consists of ratinal numbers only, then the statement holds by Theorem 4.3.

Assume that α contains an irrational number. The proof for this case is similar to that of Theorem 4.3. So, we give only a sketch. Choose B_0 as in the proof of Theorem 4.3. Assume that $s = \delta(B_0) - \delta(A) > 0$. Let $\varepsilon > 0$ be an arbitrary (small) real number. Let

$$C' = B \oplus_{a_0} E_0 \oplus_{a_1} E_1 \cdots \oplus_{a_n} E_n \oplus_{a_{n+1}} F_1 \oplus_{a_{n+2}} F_2 \cdots \oplus_{a_{n+k}} F_k$$

as in the proof of Theorem 4.3, except that (E_i, a_i, a_{i+1}) is a (1+t)-component with t sufficiently small for each $i = 0, 1, \ldots, n$ so that

$$\delta(B_0 \oplus_{a_0} E_0 \oplus_{a_1} E_1 \cdots \oplus_{a_n} E_n) < \delta(B_0) + \varepsilon/4,$$

and $(F_j, a_{n+j}, a_{n+j+1})$ is a (1-t')-component with $0 < t' < \varepsilon/4$ for j = 1, 2, ..., k, where k is the largest integer such that kt' < s. Then $A \le C_0$, and $\delta(C_0) < \delta(A) + \varepsilon/2$ for C_0 as in the proof of Theorem 4.3. Then we have $C_0 \le C'$ and $B \le_n C'$.

By the induction hypothesis, we can choose $C \in \mathbf{K}_{\alpha}$ such that $C' \leq_n C$, $C_0 \leq C$, and $\delta(C) < \delta(C_0) + \varepsilon/2$. Therefore, we have the theorem.

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