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New multicomponent forms of the antiretroviral Nevirapine with improved dissolution performance

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16 KEYWORDS: Nevirapine, Cocrystals, Eutectics, Dissolution improvement
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20 ABSTRACT: In the pharmaceutical area, some drugs exhibit physicochemical properties that
21 adversely affect the formulation processes to bioavailability and its effectiveness. Nevirapine
22 (NVP) is an antiretroviral drug that presents low aqueous solubility, which impacts directly in its
23 bioavailability. Among all possible modifications, multicomponent crystals, such as cocrystals
24 and eutectic compositions, have been successfully used to improve the solubility of drugs. In this
25 work, the propensity of the formation of multicomponent systems of NVP with seven possible
26 co-formers were predicted and tested: salicylic acid (SA), 3-hydroxybenzoic acid (3HBZC), 4-
27 hydroxybenzoic acid (4HBZC), saccharin (SAC), theophylline (THEO), caffeine (CAF), and
28 urea (URE). Results indicate that NVP-SA, NVP-SAC, NVP-3HBZC, and NVP-4HBZC are
29 cocrystals, whereas NVP-THEO and NVP-CAF are eutectic materials, and NVP-URE is a solid
30 physical mixture. A temperature-dependent disorder behavior was identified for NVP-SA
31 cocrystal. Dissolution studies for the eutectic materials are reported, evidencing that these
32 materials exhibit a significant increase in NVP dissolution kinetics.
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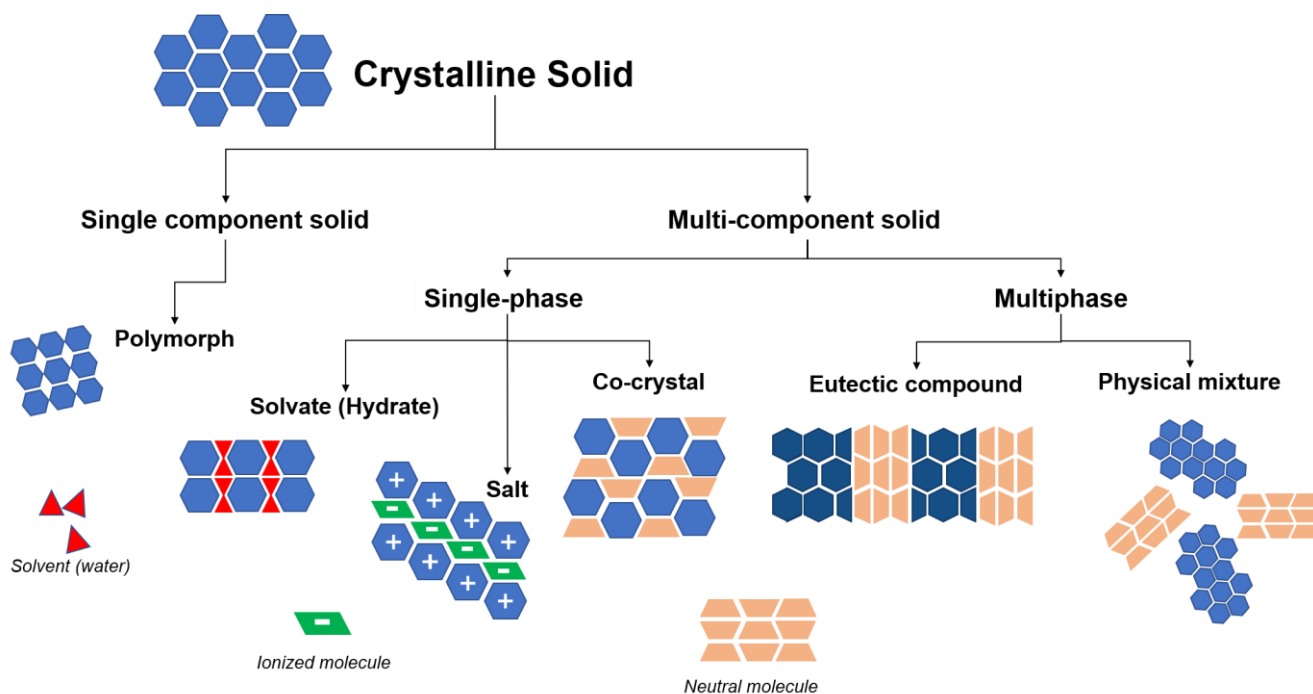
53 INTRODUCTION:
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3 Nevirapine (NVP) (11-Cyclopropyl-5,11-dihydro-4-methyl-6H-dipyrido[3,2-b:2',3'-
4 e][1,4]diazepin-6-one)^{1,2} is an antiretroviral drug used for treatment of AIDS/HIV-1 infection.
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6 According to the Biopharmaceutics Classification System (BCS), NVP is classified as a Class II
7 drug, i.e., it presents low water-solubility and high permeability in the gastrointestinal tract.³ The
8 low water-solubility is a challenge during the formulation of the drug directly affecting its
9 bioavailability. High doses are often necessary to guarantee its effectiveness increasing possible
10 adverse side effects.
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19 Crystallization methods can be used to obtain different crystal forms of NVP in order to
20 improve its dissolution and, consequently, its bioavailability. Cocrystals and eutectics are among
21 possible crystalline modifications that can be used in order to improve the physicochemical and
22 pharmaceutical properties of drugs.⁴⁻¹⁰ Cocrystals have been successfully used in the
23 pharmaceutical area in order to produce solid forms of a drug with improved properties.¹¹⁻¹⁶
24 Cairra and co-workers reported the crystal structure of several NVP cocrystals with improving
25 dissolution rates compared to pure NVP crystals.¹⁷ So far, eutectic systems with NVP have not
26 been described in the literature. However, for other drugs, pharmaceutical eutectic systems have
27 shown an accomplishment in the production of solid forms with improved properties, including
28 dissolution.^{8,18-20}
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42 Pharmaceutical cocrystals are formed with neutral molecules of an active pharmaceutical
43 ingredient (API) and any other neutral molecule in a well-established stoichiometry.^{21,22}
44 Cocrystals are single-phase compounds, exhibiting a new crystalline structure, which is different
45 from that of parent components. Further, this new phase exhibits its own physicochemical
46 properties, also different from the properties exhibited by parent components (Figure 1). In turn,
47 physical mixtures and eutectic systems can be described as multiphase compounds. They exhibit
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3 a mixture of two or more phases that do not interact to form a new structure, such as in cocrystals
4 (Figure 1). Physical mixtures exhibit the physicochemical properties of both parent compounds.
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6 (Figure 1). Physical mixtures exhibit the physicochemical properties of both parent compounds.
7
8 However, at certain ratios, the eutectic mixture exhibits a lower melting point than the parent
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10 compounds and may also show differences in other physicochemical properties.¹⁰ Eutectic
11
12 system formation occurs when the components are miscible in a liquid state and immiscible in
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14 solid-state.²³ When two components, A and B, in the liquid phase are cooled, occurs the
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16 solidification of both components and formation of a mixture of solid phases, α and β . It can be
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18 concluded that an eutectic material has been obtained when it presents a single melting point
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20 which is dependent on the composition of the eutectic.
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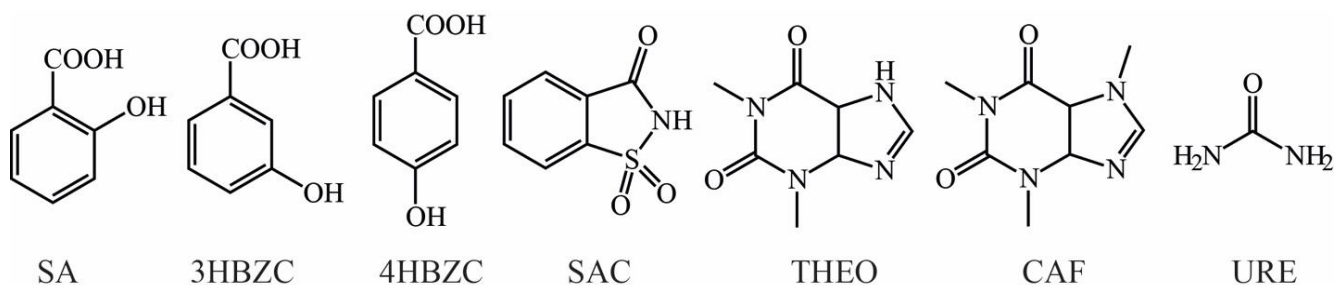


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47 Figure 1. Representation of the structural organization in multicomponent solid forms of an API.

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49 In order to produce multicomponent materials of NVP presenting better properties, a screening
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51 study was herein performed. The co-former molecules were selected based on the presence of
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53 carboxyl and amide groups that could disrupt the amide-amide dimer motif observed in the pure
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55 NVP structure, and that had delocalized planar structures that could stack with the pyridine
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3 fragments in NVP molecules. Thus, seven co-formers, salicylic acid (SA) and its two isomers –
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5 3-hydroxybenzoic acid (3HBZC), 4-hydroxybenzoic acid (4HBZC) – saccharin (SAC), caffeine
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7 (CAF), theophylline (THEO), and urea (URE) (Scheme 1), were selected and were used in this
8
9 screening of multi-component forms of NVP. These materials were prepared through the liquid-
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11 assisted grinding (LAG) method, which is well-accepted for pharmaceutical cocrystal
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13 screening.²⁴ Solid-state characterization was performed through single-crystal and powder X-ray
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15 diffraction with conventional and synchrotron radiation at different temperatures, differential
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17 scanning calorimetry, and solid-state nuclear magnetic resonance.

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22 Crystal structure of NVP-Salicylic acid and NVP-Saccharin cocrystals have been previously
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24 described by Cairra and co-workers¹⁷ and they were selected for reproducibility purpose and to
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26 compare with the 3HBZC and 4HBZC isomers behavior. Besides, a full characterization for both
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28 multi-components, NVP-SA and NVP-SAC, has been done. NVP-SA crystals showed to present
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30 a temperature-dependent disorder. NVP-Urea and NVP-4-Hydroxybenzoic acid have been
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32 described by Nalte and co-workers.²⁵ However, they have tested the cocrystal formation of these
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34 multi-component forms only through melting point determination using an open capillary tube
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36 method. NVP-(3HBZC, 4HBZC, THEO, CAF, URE) multicomponent crystals structures were
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38 not found at the CSD database.



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Scheme 1. Bidimensional representation for the seven co-formers used in the cocrystal screening.

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3 EXPERIMENTAL SECTION:
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5 **Cocrystallization prediction:** In order to predict the propensity formation of NVP cocrystals,
6 two CCDC (Cambridge Crystallographic Data Centre) tools were used: the screening by
7 molecular complementarity (MC)²⁶ and the hydrogen-bond propensity (HBP).²⁷ Both tools are
8 available at CSD Mercury software version 4.0.0.
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10 The MC is a tool developed and validated by L. Fábíán which output is a simple pass or fail
11 answer to the formation of the cocrystals. This is based on the premise that molecules tend to
12 crystallize together only if they have similar molecular properties. Therefore, a few shape and
13 polarity descriptors are calculated for the API and the co-former, and to pass the MC test, they
14 have to differ by less than a threshold value that Fábíán established from a statistical analysis
15 performed at the CSD.
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17 The HBP tool was originally developed as a knowledge-based method to assess the risk of
18 polymorphism for a given compound, though it can also be used to evaluate cocrystal formation.
19 Based on an automated statistical analysis of hydrogen bonding patterns, the HBP method
20 determines interaction likelihoods for all the possible hydrogen-bonding interactions that can be
21 formed with the set of functional groups present in the specific chemical environment analyzed.
22 We have built three different mol2 files to analyze the seven cocrystal systems, one for each
23 component (NVP and co-former) and another file containing both together. In this way, we can
24 judge how likely a cocrystal is to form (Multicomponent Score) as the difference of the most
25 likely interaction in pure NVP or in pure co-former and, the most likely cocrystal interaction.
26 The HBP fitting data was generated using the truncate data generation mode.
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28 **Sample preparation:** The liquid-assisted grinding method was used to prepare the
29 multicomponent materials containing NVP. Seven different co-formers were tested: salicylic
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3 acid (SA), 3-hydroxybenzoic acid (3HBZC), 4-hydroxybenzoic acid (4HBZC), saccharin (SAC),
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5 caffeine (CAF), theophylline (THEO), and urea (URE). Experimental details are available at SI.
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7 Powder samples were characterized by solid-state analytical techniques.
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10 **Powder X-ray diffraction (PXRD):** Pure NVP and seven pure co-formers were characterized
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12 by PXRD, which were carried out by using conventional (PXRD) and synchrotron (SPXRD)
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14 sources.
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17 PXRD analyses were carried out in Rigaku automatic X-ray diffractometer for powder
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19 diffraction (Ultima IV) by using Cu-K α radiation source ($\lambda = 1.5418 \text{ \AA}$). The K β radiation was
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21 filtered. Data were recorded at tube voltage 40 kV and the current 30 mA. Samples were placed
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23 on Bragg-Brentano (flat plate) geometry.^{28,29} The D/Tex Ultra detector operated at $2\theta/\theta$ mode in
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25 continuous scanning at scanning rate $20^\circ/\text{minute}$. Experiments were performed at room
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27 temperature, at step-size 0.01° in the angular range 5 to $35^\circ 2\theta$.
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31 SPXRD experiments were performed at the XRD1 beamline at the Brazilian Synchrotron Light
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33 Laboratory (LNLS, Campinas, Brazil).³⁰ This beamline is dedicated to X-ray powder diffraction
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35 analysis. It is composed of the 3-circle heavy-duty diffractometer (Newport[®]) and the MYTHEN
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37 24K detector system (Dectris[®]). Experiments were conducted in Debye-Scherrer geometry.
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39 Samples were placed in borosilicate capillaries (0.7 mm diameter). Experiments were conducted
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41 at 8 keV radiation and data were collected at the range 300 K to 108 K. Samples were cooled
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43 down using CryojetHT from OXFORD Instruments, at a cooling rate of $2 \text{ K}\cdot\text{min}^{-1}$. Equipment
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45 configuration allowed collecting one diffraction pattern every 1.2 K. Radiation wavelength was
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47 set based on Silicon standard (NIST SRM640D) data, which were collected at the end of each
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49 experiment.
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3 **Single-crystal X-Ray diffraction (SXRD):** SXRD experiments for NVP-SA were performed
4 in a Bruker D8 Venture diffractometer (Photon 100 CMOS detector and MoK α radiation from
5 Incoatec micro source) and for NVP-4HBZC in a Bruker D8 Venture diffractometer equipped
6 with a CMOS Photon 100 detector using CuK α radiation. The diffraction images were analyzed
7 (indexed, integrated and scaled) in the Apex3 software.³¹ Crystal structures were solved through
8 the direct methods and refined by Full-matrix-block least-squares in the SHELX-15 software.³²
9 All non-hydrogen atoms were anisotropically refined, and all hydrogen atoms were placed in
10 idealized geometries according to the riding model. Connectivity restraints and rigid body were
11 used to describe salicylic acid molecule disorder at room temperature.
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24 **Differential Scanning Calorimetry (DSC):** DSC curves were obtained in DSC 204 F1
25 Phoenix[®] NETZSCH calorimeter. In order to characterize the multicomponent materials, 5 mg of
26 each sample was placed in a hermetically sealed aluminum crucible and scanned at a temperature
27 range of 50 °C to 300 °C, using a heating rate of 10 °C.min⁻¹. For the construction of phase-
28 diagrams, different compositions of eutectic systems were scanned at a temperature range of 50
29 °C to 300 °C, using a heating rate of 3 °C.min⁻¹. All samples were scanned in a nitrogen air
30 atmosphere (70 mL.min⁻¹) and an empty and sealed aluminum crucible was used as a reference.
31 The equipment was calibrated by using indium (m.p. 156.6 °C and Hm 28,54 J.g⁻¹) and zinc
32 (m.p. 419.6 °C). Data were processed in the NETZSCH Proteus[®] software.
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45 **Solid-state NMR:** ¹³C CP/MAS ssNMR studies were performed using ramp CP/MAS pulse
46 sequence^{33,34} with proton decoupling during acquisition at room temperature. All experiments
47 were carried out in a Bruker Avance II spectrometer operating at a resonance frequency of
48 300.13 MHz for protons and 75.46 MHz for carbons. The spectrometer was equipped with a 4
49 mm MAS probe. The spinning rate was set at 10 kHz, the recycling delay was 350 s, and the
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3 contact time during CP was 2 ms. To obtain an adequate signal-to-noise ratio, 64 to 192
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5 transients were collected. The SPINAL-64³⁵ pulse sequence was used for heteronuclear
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7 decoupling during acquisition (40.96 ms) satisfying proton field $H1H \omega1H/2\pi = \gamma H H1H/2\pi =$
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9 65.8 kHz. Glycine was used as an external reference ($\delta\text{COOH}=176.46$ ppm) and to set the
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11 Hartman-Hahn condition in CP/MAS experiments. Quaternary carbon edition spectra of all
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13 samples were recorded through nonquaternary suppression (NQS) sequence; the ^1H and ^{13}C
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15 radiofrequency (rf) fields are removed during 40 μs after CP and before the acquisition. Such
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17 delay allows carbon magnetization to decay because of $^1\text{H}-^{13}\text{C}$ dipolar coupling, which results in
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19 spectra wherein CH and CH_2 are substantially removed.³⁶
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24 **Dissolution profile:** Dissolution profiles were determined for NVP-THEO, NVP-CAF, and
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26 NVP raw material. The dissolution profiles were obtained in a Distek dissolution system
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28 Evolution 6100. It was used a USP apparatus II under stirring at 50 rpm. Experiments were
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30 carried in two different dissolution media: water and HCl 0.1 N. A volume of 900 ml of the
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32 medium was placed in a vessel and maintained at 37 ± 0.5 °C during all experiments. For each
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34 sample, 200 mg of sample was dispersed in the medium. Experiments were performed in
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36 triplicate. An Opt-Diss 405 system (Distek), a multi-channel, fiber optic-based UV spectrometer
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38 system, was attached to the dissolution system. It enabled us to collect the absorbance values and
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40 automatically calculated the percentage of dissolved material in each vessel. The system was set
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42 up to collect data every 30 seconds in the first 15 minutes, every 60 seconds in the following 45
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44 minutes and every 10 minutes in the second hour.
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49 **Intrinsic dissolution rate:** Intrinsic dissolution rate was calculated for NVP-THEO, NVP-
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51 CAF, and NVP raw material. A mass of 100 mg of each sample was placed into the 0.8 cm
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53 diameter cavity in the apparatus. The powder was compressed under a pressure of 1600 psi for
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3 60 seconds. The apparatus containing the compressed pellet was placed in 900 ml of medium.
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5 Two different media were used: water and HCl 0.1 N. Previous experiments were performed to
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7 confirm that no phase transition occurred under pressure nor in the different media.
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10 RESULTS AND DISCUSSION:

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12 A liquid-assisted grinding method with NVP and seven different co-formers (salicylic acid
13 (SA), 3-hydroxybenzoic acid (3HBZC), 4-hydroxybenzoic acid (4HBZC), saccharin (SAC),
14 caffeine (CAF), theophylline (THEO), and urea (URE)) were tested to obtain multicomponent
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16 materials. Four cocrystals confirmed by powder X-ray diffraction were obtained, NVP-SA,
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18 NVP-3HBZC, NVP-4HBZC, and NVP-SAC. Two eutectic materials, NVP-CAF and NVP-
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20 THEO, were confirmed and characterized through solid-state analytical techniques. The
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22 remaining one, NVP-URE, resulted to be a solid physical mixture. The dissolution properties of
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24 the eutectic materials were investigated.
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31 **Cocrystallization prediction** was performed using CCDC tools.

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33 The molecular complementarity screening (MC) for the NVP API and the seven co-formers
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35 has been calculated (Table 1 and Table S1). Detailed information for all calculated descriptor
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37 values is in Table S2. The results indicate that most of the selected co-formers are likely to form
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39 NVP cocrystals. Urea is not being expected to crystallize with NVP molecules. This is due to the
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41 fact that the urea molecule has a fraction of N and O atoms overall non-hydrogen-atoms present
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43 in the molecule, three times higher than NVP. While if SA is selected as co-former the
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45 propensity to crystallize with NVP depends on the SA conformer used from the CSD.
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47 Furthermore, the small (S) axis of the imaginary calculated rectangular box that enclosed the
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49 URE molecule (MC method uses this box to define the shape and size descriptors) is much
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51 shorter than that calculated for NVP. None of these two descriptors pass the permitted MC
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threshold (Table S2). For SA molecule the difference in S axis with NVP is close to the threshold and a slight rotation of the hydrogens in the molecule, modify the length in the S axis leading to pass or fail the MC test.

Table 1. Molecular complementarity, MC, results for Nevirapine cocrystal screening

Co-former	Overall PASS/FAIL
Salicylic acid, SA	PASS/FAIL
3-Hydroxybenzoic acid, 3HBZC	PASS
4-Hydroxybenzoic acid, 4HBZC	PASS
Saccharin, SAC	PASS
Caffeine, CAF	PASS
Theophylline, THE	PASS
Urea, URE	FAIL

The HBP analysis is available for polymorph assessment for one single molecule. Here, we have calculated HBP to assess the propensity of H-bonding in a multicomponent formation, AB, where A is the NVP and B is the co-former. All hydrogen-bond donor and acceptor atoms of both molecules are considered. The propensity is calculated for all donor...acceptor interactions between A-A, B-B, A-B, and B-A. It was considered the maximum propensity in each case (Table 2). A multi-component score was calculated through the difference of the maximum propensity for hetero-interactions, A-B or B-A, which are probabilities (from 0 to 1) of each possible H-bonds, and the maximum propensity for H-bond homo-interactions, A-A or B-B. Thus, a positive and higher multi-component score means a greater propensity to form hetero-interactions, and consequently, a higher probability to obtain multi-component structures. Considering the nature of cocrystal and eutectic structures, hetero-interactions are observed in cocrystals and in the inter-domain surface in eutectics; therefore, high positive multi-component scores must be related to cocrystal prediction. We should note that organic eutectics are

conglomerates of lattice structures of the components where only in the inter-phase between domains appears hetero-interactions.

Table 2. HBP results for multicomponent analysis. Component A refers to the NVP molecule.

Component B	Max interaction	Max A:B or B:A propensity	Max A:A propensity	Max B:B propensity	Multicomponent score
Salicylic acid, SA	B:A	0.69	0.52	0.33	0.17
3-Hydroxybenzoic acid, 3HBZC	B:A	0.69	0.52	0.34	0.17
4-Hydroxybenzoic acid, 4HBZC	B:A	0.70	0.53	0.34	0.17
Theophylline, THE	B:A	0.63	0.44	0.56	0.07
Urea, URE	B:B	0.94	0.45	0.95	-0.01
Saccharin, SAC	B:B	0.50	0.42	0.57	-0.07
Caffeine, CAF	A:A	0.25	0.41	--	-0.16

The multi-component scores obtained for the NVP cocrystals with the seven co-formers are shown in Table 2 using a traffic light analogy. SA, 3HBZC, and 4HBZC, in green, are the most likely molecules to form NVP multicomponent solids. It agrees with our experimental results. On the other side, CAF exhibits the highest negative score. This molecule does not have any H-bond donors, which affects the propensity results. Finally, SAC, THEO, and URE, in yellow, exhibit intermediate multi-component score values. Only one-phase multi-component materials should be predicted by the HBP tool for SAC, THEO, and URE because only in these solids H-bond interactions between the components are expected.

Powder X-ray Diffraction (PXRD) analysis was carried out in order to identify the crystalline phases obtained after sample preparation. Diffraction patterns of all prepared samples were compared to diffraction patterns of its parental compounds. PXRD results indicate that NVP-SA, NVP-3HBZC, NVP-4HBZC, and NVP-SAC samples correspond to new phases, whereas NVP-THEO, NVP-CAF, and NVP-URE are a mixture of NVP and co-former phases (Figure S1). Furthermore, NVP-SA and NVP-SAC PXRD data were also compared to structures

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3 reported at the CSD. Comparing NVP-SAC with the reported structure (CSD refcode:
4 LATQOO)¹⁷, one can conclude that we have obtained the same crystallographic phase recorded
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6 by Caira et al. (Figure S2). However, a comparison of NVP-SA and the reported cocrystal (CSD
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8 refcode: LATQUU)¹⁷ evidence some differences. It is important to mention that PXRD data were
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10 collected at room temperature (RT) and Caira's reported structure was determined at low
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12 temperature (100 K).¹⁷ Significant differences were observed at approximately 12.5° and in the
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14 region between 15° and 20° which could be evidence of structural changes with the temperature
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16 (Figure S3). Further characterization was performed in order to understand these differences in
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18 the diffraction patterns and the results will be presented later.
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24 **Solid-state NMR** analysis was carried out in NVP-SA, NVP-SAC, NVP-THEO, NVP-CAF,
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26 and NVP-URE samples. The ¹³C CP/MAS spectra of NVP and SA are shown in Figure 2. The
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28 carbon assignments and chemical shift values in all spectra are given in Table S3. The
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30 assignments were done considering the NQS spectra. The ¹³C CP/MAS spectrum did not show
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32 multiplicity in the resonance lines in both cases, thus indicating only one molecule per
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34 asymmetric unit. The ¹³C CP/MAS spectrum of NVP-SA is also shown in Figure 2. Clear
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36 changes in the chemical shifts of signals can be found by comparing NVP-SA with the co-
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38 formers. Thus, it is possible to assure the existence of an interaction between NVP and SA.
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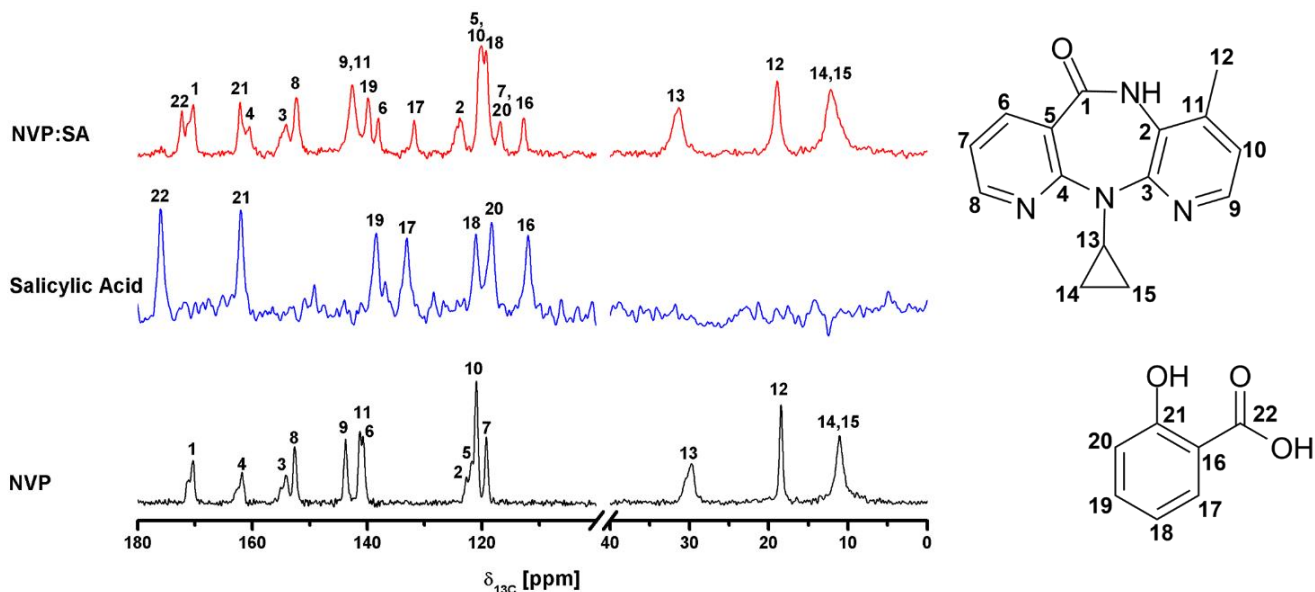


Figure 2. ^{13}C CP/MAS Spectra of NVP-SA, SA, and NVP. Carbon numbering adopted throughout the study is highlighted.

The ^{13}C CP/MAS spectra of the NVP-SAC sample exhibit changes in the chemical shift of the signals regarding the spectra of the precursors. This is an indication of modifications in the environments of both molecules and the presence of interactions between them (Figure S4). On the other hand, the ssNMR ^{13}C spectra for NVP-THEO, NVP-CAF, and NVP-URE are the addition of the spectra of NVP and the respective co-former (Figure S4). This result evidence that there is no interaction between the pure compounds, i.e. the result of the cocrystallization process is the physical mixture of the precursors. These results agree with that obtained by PXRD.

Differential Scanning Calorimetry (DSC) analysis was carried out in all multi-component samples. As expected, NVP-SA, NVP-3HBZC, NVP-4HBZC, and NVP-SAC cocrystals were also confirmed through DSC. Although Nalte and co-workers²⁵ have described NVP-URE as a cocrystal, with a different melting point, the DSC results indicate that this sample consists of a

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3 physical mixture. In the cases of NVP-THEO and NVP-CAF, interesting thermal behavior was
4 noticed and are commented on in the sequence. All curves are available at SI (Figure S5).
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8 According to PXRD and ssNMR analysis, one can conclude that the NVP-THEO and NVP-
9 CAF are simply physical mixtures of NVP and co-former. However, DSC results showed that
10 NVP-THEO and NVP-CAF behavior is not that expected for physical mixtures. In the case of
11 the NVP-THEO, the multi-component system presented two thermal events at 223.6 °C and
12 234.1 °C; however, NVP melts at 247.8 °C, whereas THEO melts at 274.7 °C. Since the mixture
13 melts in a temperature below the melting point of the pure compounds, the data exhibited for
14 NVP-THEO would allow thinking that this could be a eutectic system. NVP-CAF presented a
15 similar behavior. The DSC analysis for the NVP-CAF sample showed thermal events at 163.1
16 °C, 204.4 °C, and 212.8 °C. The pure CAF presented two main events at 155.8 °C and 238.6 °C.
17 The first point was consistent with data in the literature, which indicates a phase transition for
18 caffeine at 153 °C,³⁷ whereas the second event corresponds to the melting point of CAF. Thus,
19 the event occurring at 163.1 °C is corresponding to the phase transition of CAF; however, the
20 following two events are not related to pure CAF or to pure NVP. Adding this evidence to PXRD
21 and ssNMR results, one can conclude that NVP-CAF could also be a eutectic system.
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40 In order to investigate the eutectic systems, different compositions of NVP-THEO and NVP-
41 CAF were analyzed through DSC. Eleven curves were obtained for each system (Figure S6),
42 corresponding to pure NVP, pure co-former (THEO or CAF), and samples at ratios of 1:9, 2:8,
43 3:7, 4:6, 5:5, 6:4, 7:3, 8:2, and 9:1 (m/m). Samples were prepared by a simple mixture of
44 components in the absence of solvent. Both systems, NVP-THEO and NVP-CAF, present similar
45 behaviors. For each set of a mixture, there is a curve where only one event appears and it
46 corresponds to the eutectic composition of the system. In all the other curves, there is an event
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3 corresponding to the melt of the eutectic, followed by a second event. This second event
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5 corresponds to the excess of NVP or co-former, which has a variable melting point according to
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7 the composition. In the case of NVP-THEO, the eutectic composition occurs in a ratio of 7:3
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9 (m/m), presenting an eutectic temperature of 224.1 °C approximately. In the ratios of 8:2 and 9:1,
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11 the second event corresponds to the melting of NVP, whereas in the ratios of 1:9, 2:8, 3:7, 4:6,
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13 5:5, and 6:4, it corresponds to the melting point of THEO (Figure S6a). For the NVP-CAF
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15 system, the eutectic composition occurs in a ratio of 3:7 (m/m), with a eutectic temperature of
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17 203.4 °C approximately. In ratios of 1:9 and 2:8, the second event corresponds to the melting
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19 point of CAF, whereas in the ratios of 4:6, 5:5, 6:4, 7:3, 8:2, and 9:1 it corresponds to NVP
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21 (Figure S6b).
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26 Based on the thermal curves obtained at different compositions, phase diagrams for both
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28 systems were obtained (Figure 3). In the case of NVP-THEO, one can clearly distinguish the
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30 liquidus and solidus lines. The solidus line corresponding to the constant curve at approximately
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32 222 °C. This line marks the temperature where the eutectic mixture starts to melt, that is, the
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34 eutectic temperature. In the case of NVP-CAF, an eutectic temperature around ~202 °C is present
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36 in all compositions, marking the solidus line for this diagram, and consequently, the eutectic
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38 temperature. In addition, a line around 153 °C can be seen and is corresponding to the phase
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40 transition of CAF. The intersection of the liquidus lines and the solidus line in each diagram
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42 allows obtaining the eutectic composition in each system. Using the linear fitting, it was possible
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44 to determine the eutectic composition of 70:30 (% m/m) for NVP-THEO, and 36:64 (% m/m) for
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46 NVP-CAF.
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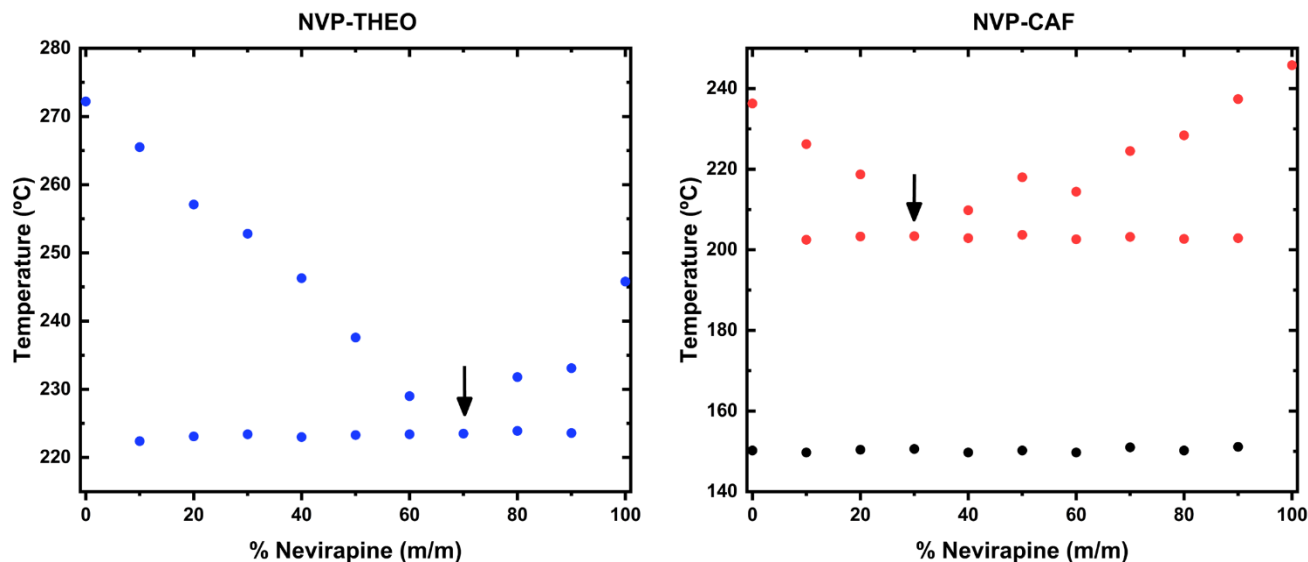
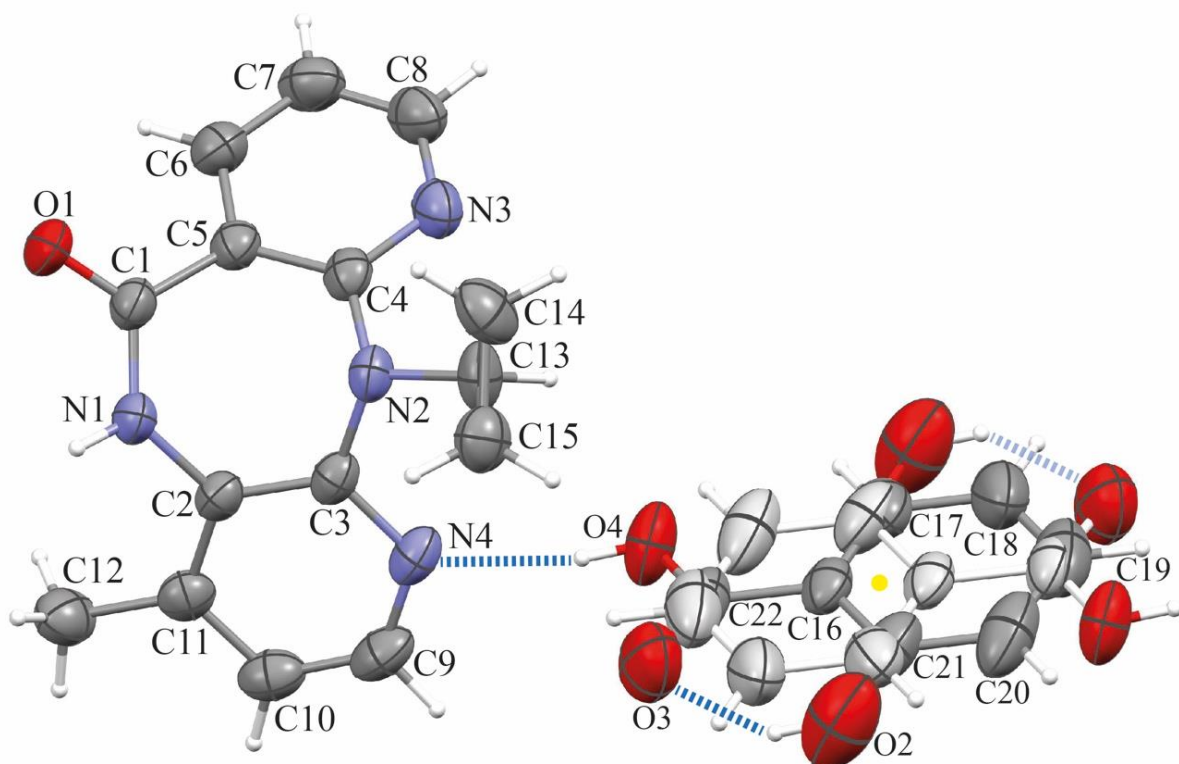


Figure 3. Phase diagrams for NVP-THEO and NVP-CAF systems. The arrows indicate the eutectic points in each system. In the NVP-CAF phase diagram, besides the liquidus and solidus lines, it is also possible to see the caffeine phase transition (black dots).

Single Crystal X-ray Diffraction: In order to determine the crystalline structure of the four cocrystals obtained, slow evaporation experiments were carried out for all of them attempting to obtain good single-crystals for SCXRD experiments. Although single crystals were obtained for NVP-SA and NVP-4HBZC, so far it has not been possible to grow crystals of suitable quality to carry out SCXRD experiments for NVP-SAC and NVP-3HBZC. Crystallographic parameters are summarized in Table S4. Structures of the NVP-SA multicomponent at room temperature (RT) and 100 K (LT) present the same Space Group, P-1; however, the second has twice volume than the first; and while the NVP-SA at RT has z' equal to 1, the LT structure has z' equal to 2. Both present a stoichiometry NVP co-former 2:1. Salicylic acid molecules at RT are positioned in a center of symmetry that confer disorder to them (Figure 4). The precession images (Figure S7) verify the confidence of the assigned unit cell in both cases, since the unit cell at room temperature could not explain all the observed reflections in the experiment at low temperature,

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3 and corroborate the loss of the inversion center of symmetry at the NVP dimer and over the
4 Salicylic acid molecules (Figure 5 and Figure 6) which doubles the asymmetric unit and,
5 therefore the unit cell volume (Table S5). NVP-4HBZC crystallizes in the $C2/c$ monoclinic space
6 group and it presents one nevirapine molecule and one 4-hydroxybenzoic acid molecule in the
7 asymmetric unit (Figure 7). Nevirapine molecules in the title structures do not present significant
8 differences in bond distances and angles. They display a “butterfly” conformation with angles
9 between the pyridine rings at the range ($119.9^\circ - 126.4^\circ$) in agreement with pure NVP structure
10 (CSD refcode: PABHIJ01)³⁸ 121.9° .
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50 Figure 4. Representation of NVP-SA cocrystal asymmetric unit at room temperature (inversion
51 center indicated by a yellow dot and hydrogen bond interactions as dashed blue lines). Thermal
52 ellipsoids drawn at 50% probability level.
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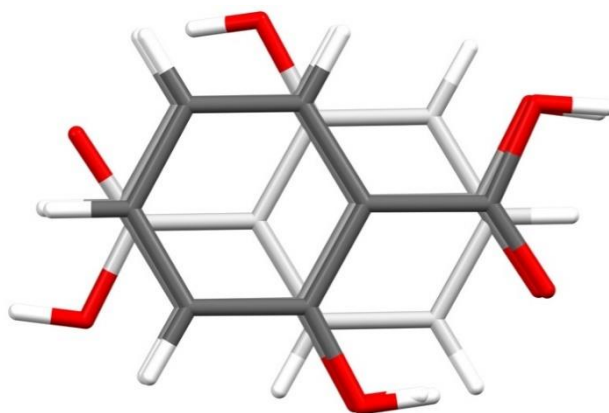


Figure 5. Comparison of the SA molecule position in the NVP-SA structures at room temperature (white) and at 100 K (grey).

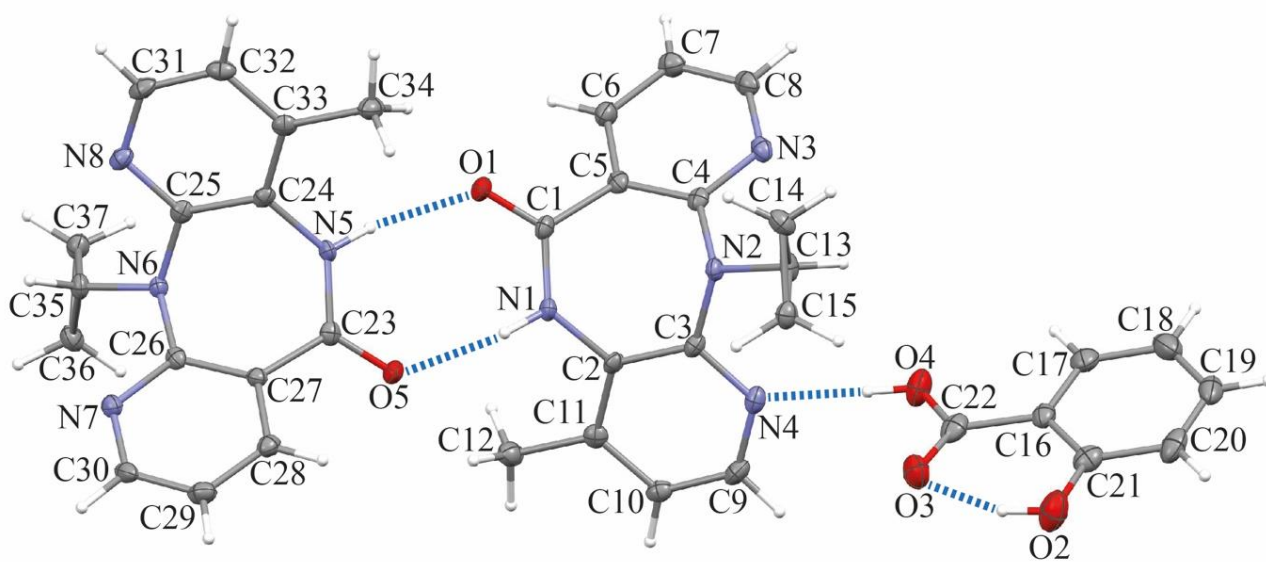


Figure 6. Representation of the NVP-SA cocrystal asymmetric unit at 100 K (hydrogen bond interactions depicted as dashed blue lines). Thermal ellipsoids drawn at 50% probability level.

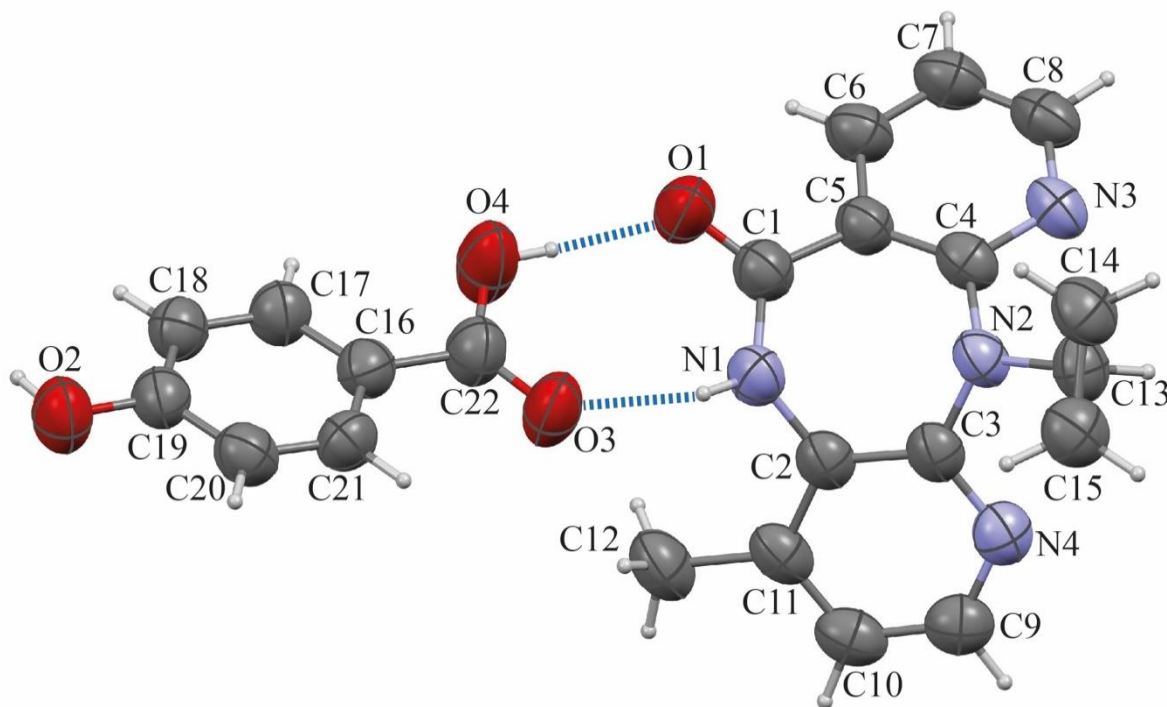


Figure 7. Representation of the NVP-4HBZC cocrystal asymmetric unit (hydrogen bond interactions depicted as dashed blue lines). Thermal ellipsoids drawn at 50% probability level.

Nevirapine molecules in NVP-SA structure form homodimers through amide-amide interactions that display a motif with graph set R2,2(8). In NVP-4HBZC a hydrogen motif with the same graph set R2,2(8) is also observed; but it corresponds to a hydrogen bond motif formed between the carboxylic acid in 4HBZC and the amide group in NVP, displaying heterodimers.

If we compare the crystal packing that displays pure nevirapine in PAHBIIJ01³⁸ with the nevirapine molecules packing in the NVP-SA and NVP-4HBZC cocrystal structures, the observed infinite pyridine stacking ($\pi \cdots \pi$ interactions) of nevirapine molecules observed in PABHIIJ01 is also conserved in NVP-SA and NVP-4HBZC cocrystals (Figure 8). Furthermore, if we consider in the strong amide-amide hydrogen bonds, these chains grow into mimic layers for PABHIIJ01 and NVP-SA (Figure 8d). NVP-4HBZC does not present homodimers and therefore do not form these layers. However, the cocrystal reported by Caira et al. of NVP and

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3 SAC (LATQOO)¹⁷ does also present mimic 1D infinite chains and 2D layers in its crystal
4 structure. The way in which these layers are packed in the crystal is different; while in
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8 PABHIJ01 structure there are no holes, in NVP-SA and NVP-SAC (LATQOO) cocrystals the
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10 layers pack forming parallel pipes that allow the SA and SAC molecules to be located forming
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12 tapes (Figure 9 and Figure S8).

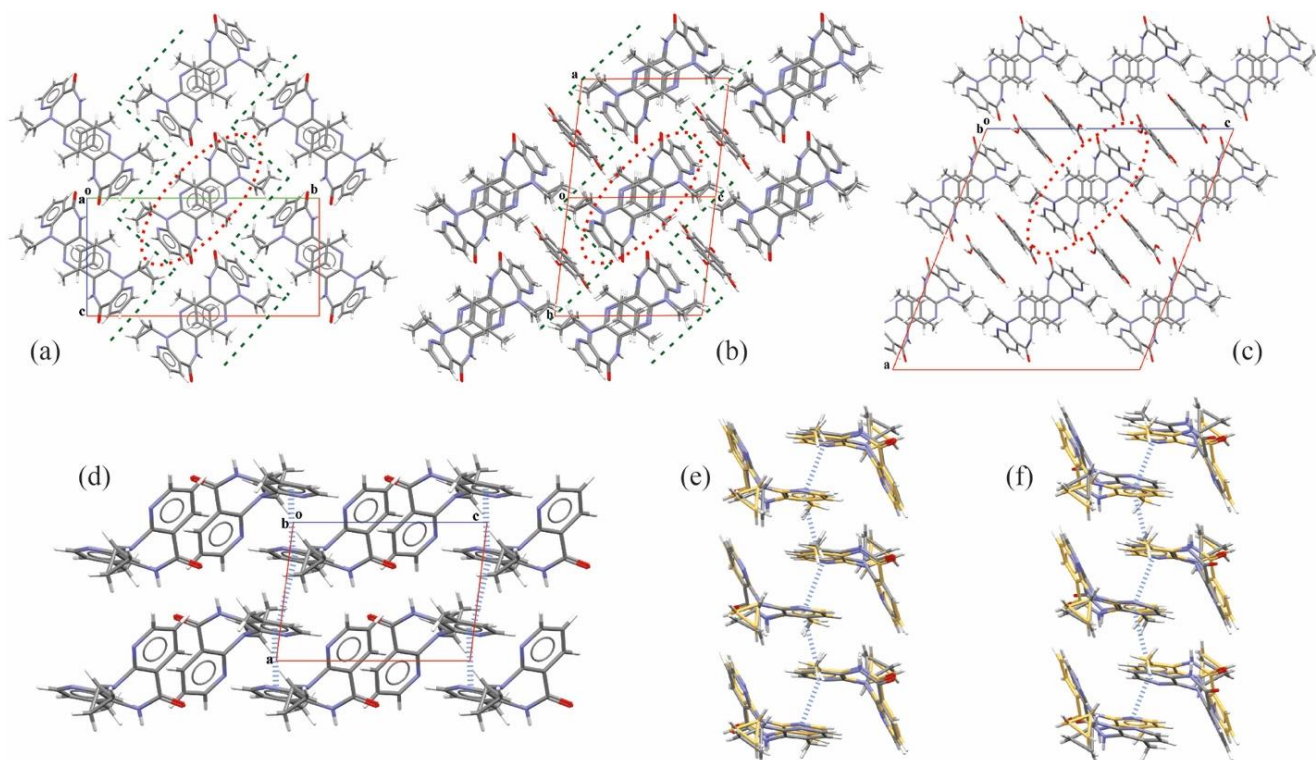
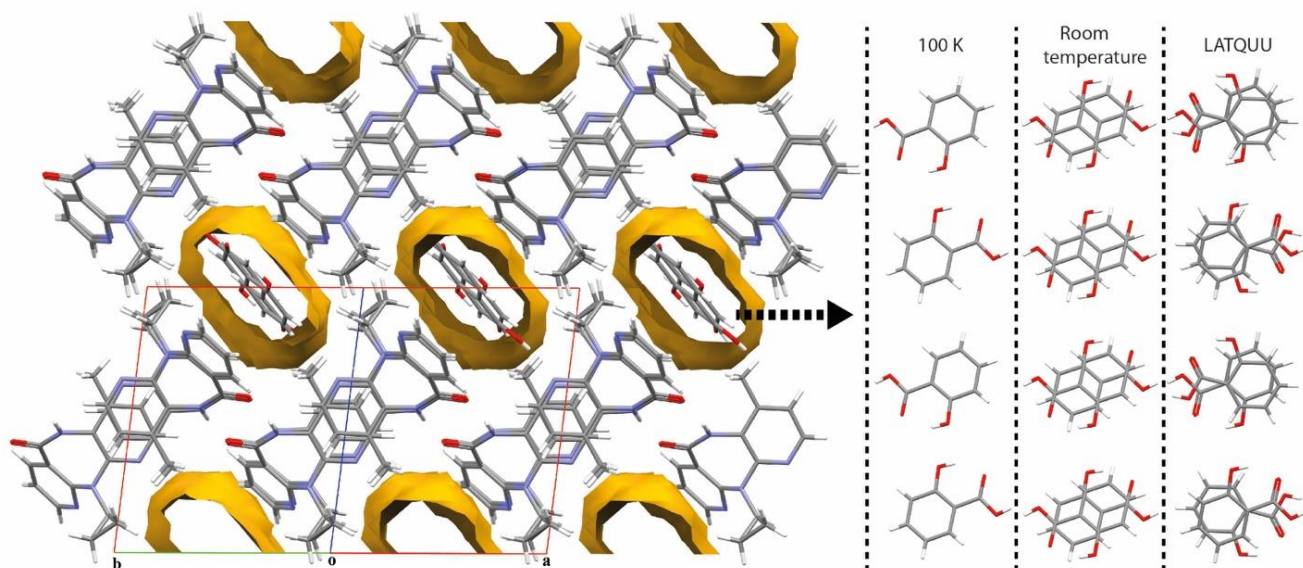


Figure 8. Crystal structure of (a) pure nevirapine, PABHIJ01, (b) NVP-SA at 100K and (c) NVP-4HBZC. Red dotted ellipses round infinite NVP chains and zigzag dashed green lines frame the nevirapine layers that are common into PABHIJ01 and NVP-SA packing. (d) Perpendicular projection of a nevirapine layer for the PABHIJ01 compound. Superposition of nevirapine chains for the structures: (e) PABHIJ01 (yellow) and NVP-SA (grey) (f) PABHIJ01 (yellow) and 4HBZC (grey).

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3 Justified by the fact that SA molecules are situated in pipe-shaped channels along with the
4 NVP cocrystal structure, we propose a dynamic disorder at the RT structure. Salicylic acid
5 molecules rotate 180° synchronously along with the crystal probably due to the low energy
6 barrier between both conformations; while, SA molecules are not able to present dynamic effects
7 in the crystal at 100 K. This disorder can also explain the Caira et al. structure recorded at the
8 CSD with LATQUU refcode,¹⁷ which presents the same unit cell and space group as our
9 structure at low temperature. Both data were collected at 100 K; however, the experiments were
10 carried out in a different manner. We kept the crystal mounted at the goniometer head during the
11 cooling ramp, while in LATQUU, the crystal was frozen instantly. This can obviously make a
12 difference; a ramped temperature allows a conformational selection while fast freezing does not.
13 In addition, rapid freezing produces a disorder in the salicylic acid molecule, showing two
14 orientations: the major, presenting a final refined site occupancy factor of 0.74, coinciding with
15 the observed in our LT structure; and the minor, with occupancy of 0.26, which is suggested as a
16 possible “intermediate state” (Figure 9).
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3 Figure 9. Structure of NVP-SA showing the pipe-shaped voids in yellow and on the right, the
4 extended projection of the observed SA tapes that fit in those channels for the three discussed
5 NVP-SA cocrystals.
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10 **Powder dissolution profile and intrinsic dissolution rate (IDR)**

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12 As the cocrystals, eutectic systems can present advantages over the pure drug. In order to
13 evaluate the impact of eutectic systems in the dissolution properties, the powder dissolution
14 profiles and the intrinsic dissolution rates of pure NVP, NVP-THEO and NVP-CAF in water and
15 HCl 0.1N mediums were determined (Figure 10).
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22 In the HCl 0.1 N medium, whilst pure NVP takes more than 80 minutes to dissolve 80 % of its
23 initial amount, NVP-THEO takes less than 20 minutes and NVP-CAF takes less than 5 minutes
24 to dissolve the same amount. These results clearly show that the eutectics exhibit an advantage
25 respect to the pure NVP relative to the dissolution kinetics. In water, this advantage is even
26 greater. While less than 5% of pure NVP dissolves over 2 hours, eutectic materials reach 40% of
27 dissolved material in less than 20 minutes for NVP-THEO and in less than 5 minutes for NVP-
28 CAF.
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37 Although IDR was considered a parameter relevant for the biopharmaceutical classification
38 system in the past,^{39,40} the literature indicates that nowadays it is been more relevant as a solid-
39 state characterization technique.^{41,42} As previously described, all the samples show different
40 crystal structures and, so, the intrinsic dissolution behavior was expected to be different too. All
41 the samples presented R^2 values higher than 0.9, showing that no transition occurred during the
42 test. Moreover, just dissolution values of less than 10% were considered to plot the results. Both
43 modifications, with THEO and CAF, showed IDR higher than that of the pure raw material.
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So, both dissolution tests here proposed can be used to discriminate between the raw material and the prepared samples and also between these two modifications made. It can be concluded that the modifications were successful in the generation of higher dissolution rate structures.

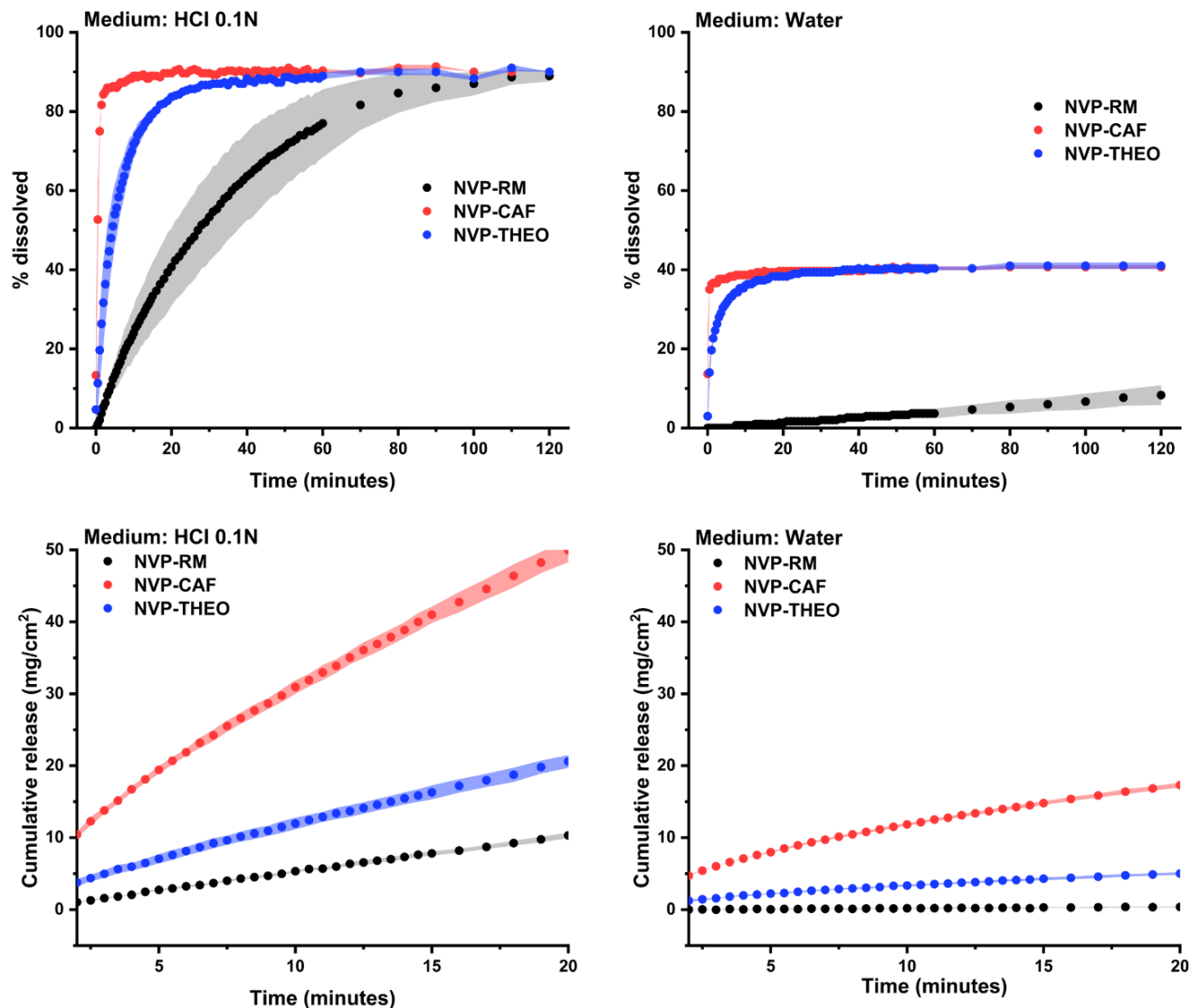


Figure 10. The dissolution profile of NVP in eutectic systems in comparison to pure NVP at HCl 0.1 N and water. Intrinsic dissolution rates of NVP in the same samples were also determined for the same dissolution mediums.

CONCLUSIONS

In the attempt to improve the physicochemical properties of the antiretroviral nevirapine we have chosen seven compounds to search for NVP multi-components. They have been chosen because of their possible ability to alter the interactions observed in the packing arrangement of pure NVP due to the fact that these molecules contain carboxylic acid, amide and planar electron-delocalized fragments in their formula. The multicomponent samples were characterized through solid-state techniques and the results indicate that our initial criterium was quite right and 4 cocrystals (NVP-SA, NVP-SAC, NVP-3HBZC, NVP-4HBZC), 2 eutectics (NVP-THEO, NVP-CAF) and 1 (NVP-URE) physical mixture were identified. Moreover, we have used the multicomponent prediction tools available in the CSD to confirm if they can be helpful in this type of study. Results have shown they are adequate. Molecular complementarity (MC) tool establishes all co-formers as suitable to form cocrystals or multi-components with NVP except for urea. With the hydrogen bond propensity (HBP) tool, a high probability of obtaining cocrystals for SA, 3HBZC, and 4HBZC is predicted, for SAC, THEO and URE the probabilities are almost nil and, in the case of CAF, the results do show incompatibility to form cocrystals with NVP.

Despite the methodology used to achieve the multicomponent structures is different from those used by Cairra (reference), the same crystalline phase was obtained for NVP-SA and NVP-SAC as the one reported in the literature. The NVP-SA structures obtained in this work and their comparison with LATQUU allowed moreover to identify a temperature-dependent dynamic disorder behavior of the salicylic acid molecules along the channels displayed in the NVP host crystal. Further studies are required and they will be presented in a future manuscript.

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3 NVP-THEO and NVP-CAF results comply with the formation of eutectics since two different
4 phases and a single melting point were determined. In order to start understanding the behavior
5 of these two systems, phase-diagrams were obtained. Also, the composition and melting point of
6 these eutectics were determined. Moreover, dissolution studies have demonstrated an
7 improvement in the dissolution kinetics behavior of these materials compared to pure NVP,
8 especially in the aqueous medium.
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12 Finally, once again multicomponent materials are presented as a good strategy to improve the
13 properties of pharmaceutical drugs and knowledge-based methods are useful tools for selecting
14 molecules with the highest probability of crystallizing with an API.
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17 DEDICATION TO JOEL BERNSTEIN

18
19 This paper is dedicated to Joel Bernstein, and the reason is the following. Joel was a great
20 inspiration everywhere and, mainly, in Latin America. This paper is a collaboration between
21 students and researchers of both Latin American countries, Brazil and Argentina. Joel was
22 visiting and exchanging ideas and knowledge with us in Brazil and Argentina for three months
23 during 2013. We invited him for different events and lab visits in Brazil (Workshop of
24 Polymorphism and Nanotechnology of Pharmaceutical Drugs and International School of
25 Crystallography and Crystallization – II ECRISLA). After that, he went to Argentina and
26 participated in the First Latin American Meeting of Crystallography in Córdoba. The Latin
27 American Crystallography Association (LACA) was created in that opportunity.
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31 For all of that, we will be eternally grateful to Joel. Finally, with great pleasure, I share with
32 you Joel's dedication to a wonderful book in which he expressed his feelings about his first visit
33 to Latin America (Figure 11).
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October 2013

Silvia -

With heart felt thanks for
bringing me from the old world to
the new world to share our science
and collegiality.

Joel Bernstein

Figure 11. Note written by Joel Bernstein due to his first visit to Latin America, Brazil and Argentina.

ASSOCIATED CONTENT

Supporting information: Supporting Information is available.

Experimental details, prediction detailed results (Tables S1-S2), PXRD data (Figures S1-S3, S9), solid-state NMR data (Table S3 and Figure S4), DSC curves (Figures S5-S6), a summary of the crystallographic data (Tables S4-S5 and Figures S7-S8), and additional discussion are presented.

Accession Codes

CCDC 1941056–1941057, and 1964383 contain the supplementary crystallographic data for this paper. These data can be obtained free of charge via

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3 http://www.ccdc.cam.ac.uk/data_request/cif , or by emailing data_request@ccdc.cam.ac.uk, or
4
5 by contacting The Cambridge Crystallographic Data Centre, 12 Union Road, Cambridge CB2
6
7 1EZ, UK; fax: +44 1223 336033.
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27 **Notes**

28
29 The authors declare no competing financial interest.
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42
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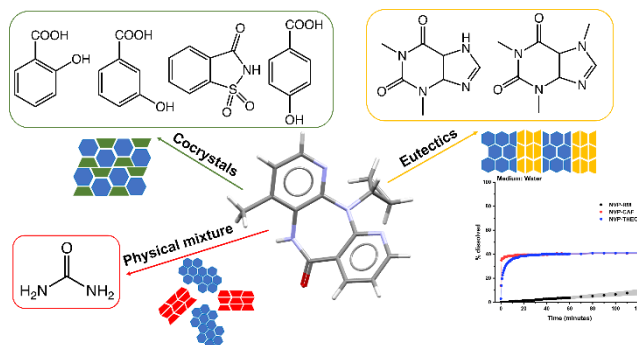
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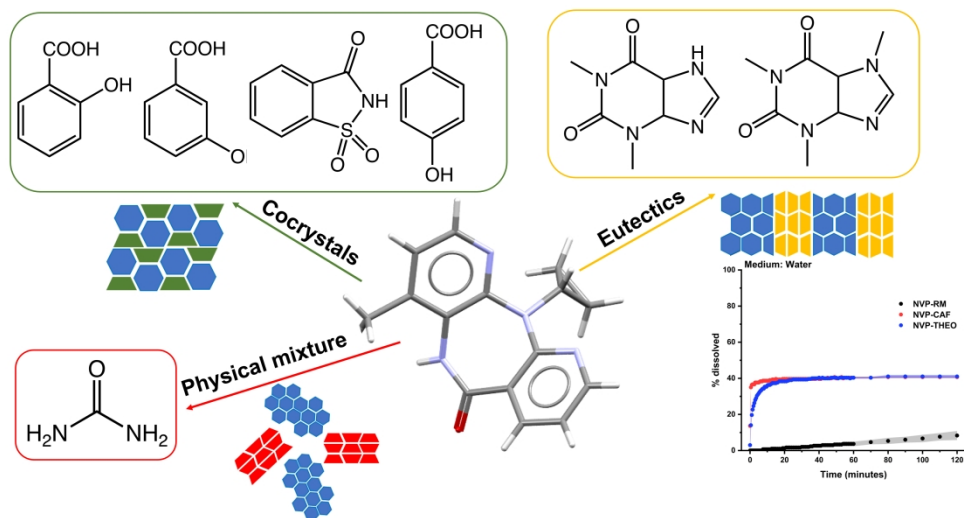
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Synopsis: Formation propensity and experimental studies of NVP with seven co-formers revealed the formation of four cocrystals, two eutectics, and one physical mixture. Eutectic increases significantly the solubility of pure NVP. The amide homodimer is kept in three of the cocrystals and is disrupted in the case of NVP-4HBZC cocrystal. Moreover, it is observed a temperature-dependent disorder in the NVP-SA cocrystal.



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