

Self-Assembled Nanostructures of Peptide Amphiphiles: Charge Regulation by Size Regulation

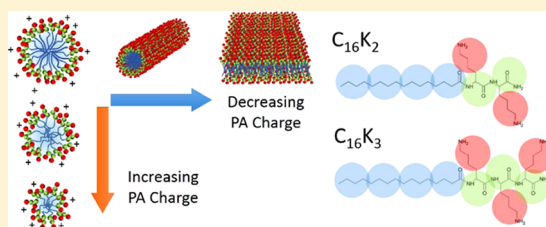
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S Supporting Information

ABSTRACT: Self-assembled nanostructures of peptide amphiphiles (PAs) with molecular structures $C_{16}K_2$ and $C_{16}K_3$ (where C indicates the number of carbon atoms in the alkyl chain and K is the lysine in the head group) were studied by a combination of theoretical modeling, transmission electron and atomic force microscopes, and acid–base titration experiments. The supramolecular morphology of the PAs (micelles, fibers, or lamellas) was dependent on the pH and ionic strength of the solution. Theoretical modeling was performed using a molecular theory that allows determining the equilibrium morphology, the size, and the charge of the soft nanoassemblies as a function of the molecular structure of the PA, and the pH and salt concentration of the solution. Theoretical predictions showed good agreement with experimental data for the pH-dependent morphology and size of the nanoassemblies and their apparent pK_a s. Two interesting effects associated with charge regulation mechanisms were found: first, ionic strength plays a dual role in the modulation of the electrostatic interactions in the system, which leads to complex dependencies of the aggregation numbers with salt concentration; second, the aggregation number of the nanostructures decreases upon increasing the charge per PA. The second mechanism, charge regulation by size regulation, tunes the net charge of the assemblies to decrease the electrostatic repulsions. A remarkable consequence of this behavior is that adding an extra lysine residue to the charged region of the PAs can lead to an unexpected decrease in the total charge of the micelles.



INTRODUCTION

Colloidal nanostructures formed by the self-assembly of soft building blocks differ from typical inorganic colloids in their ability to self-regulate their size, shape, and charge.^{1–6} A paradigmatic example of such a type of soft nanotechnology is given by the supramolecular assemblies formed by peptide amphiphiles (PAs), which are molecules that combine one or more alkyl chain tails and a terminal peptidic head group.^{1,7} The amphiphilic character of PAs allows them to self-organize into a rich variety of nanostructures being the most commonly reported spherical micelles,^{8–13} cylindrical fibers of different lengths,^{8,9,11,12,14,15} and planar nanoribbons with the lamellar organization.^{10,15,16} Other supramolecular structures have also been observed; e.g., planar nanoribbons can fold over themselves to form nanotubes,¹⁷ twist to produce helices,¹⁸ or stack one over the other.¹⁹ As a rule, the alkyl tail forms the core of the nanoassembly, which is surrounded by a peptidic corona that is exposed to the solvent. In most examples of PAs in the literature, the peptidic head group contains chargeable amino acids to increase the solubility of the molecule in water. The morphology, size, and charge of PA nanostructures are of paramount importance to their many proposed biomedical applications. As an example, long PA nanofibers on a surface

promoted cellular adhesion, but short fibers of the same PA interfered with adhesion and ultimately led to cellular death.²⁰ In another example, PA nanofibers were found to target injured blood vessels more effectively than PA micelles.²¹ The antimicrobial activity of PAs also critically depends on the morphology of their self-assembled nanostructures.^{22–24} For example, the antimicrobial activity of micelles has been observed to be superior to that of fibers and ribbons.²² Antimicrobial properties are also highly dependent on the charge of the PAs: a recent study has shown that cationic PAs can inhibit the formation of bacterial films, while anionic ones show no antimicrobial activity at all.²⁵ In a related biomedical application, the performance of vaccines prepared from PA nanostructures was found to be strongly dependent on their morphology, size, and charge.²⁶ The importance of nanostructure morphology and charge transcends the biological uses of PAs and spans nanotechnology applications as well. For example, Stupp's group has developed a biomineralization strategy for PA nanofibers that requires a negative surface

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70 charge to preconcentrate and nucleate cationic mineral
71 precursors.²⁷

72 The molecular structure and solution conditions can tune
73 the morphology, size, and charge of PA nanoassemblies, but
74 not independently. For example, the pH of the solution can be
75 used to control the state of protonation of the chargeable
76 acid–base groups in the peptidic head group, but it can also
77 trigger morphological transitions. In a recent work, Gao et al.
78 prepared a PA containing a C₁₆ alkyl tail and a dilysine head
79 group, C₁₆K₂²⁸ (which lacked the β -sheet-forming region that
80 is usually included in the peptidic region^{1,7}), and demonstrated
81 a transition from spherical micelles to elongated fibers to
82 planar lamella when increasing the pH of the solution. That
83 work showed a shift of more than 2 pK_a units from the
84 apparent pK_a of the lysine groups in the PA with respect to the
85 pK_a of free lysines in solution. This result indicates that the
86 incorporation of the PA into the nanostructure affects its acid–
87 base properties; therefore, the state of charge of the
88 nanoassembly depends on its size and morphology. The pK_a
89 shift observed by Gao et al. is due to the strong electrostatic
90 repulsions among positive ammonium groups, which shift the
91 pK_a of the lysines to decrease the local concentration of
92 positive groups. This effect, where the charge is regulated by
93 shifting the acid–base equilibrium,^{29,30} has been very well
94 characterized in diverse non-self-assembled systems such as
95 inorganic nanostructures,^{31,32} planar surfaces,³³ biomole-
96 cules,^{34–36} and polyelectrolytes.³⁷ In this work, we demon-
97 strate an additional charge regulation mechanism that is
98 exclusive to soft self-assembled nanostructures, where the
99 charge of the assembly is regulated by changing its size or
100 aggregation density.

101 To understand the self-assembly process of PAs, we have
102 developed a molecular theory and performed experiments to
103 validate it. Our theory is based on the previous work,^{38–40}
104 which is extended for the first time in this work to model
105 charged pH-responsive surfactants. PA self-assembly has been
106 studied in the past using atomistic^{41,42} and coarse-grained^{43–46}
107 molecular dynamics (MD) simulations. The main advantages
108 of our methodology for the study of PA assemblies are as
109 follows: (i) our theory explicitly models the acid–base
110 chemical equilibria; thus, it allows to capture charge regulation
111 effects by shifting of the acid–base equilibria that cannot be
112 studied by constant-charge MD simulations (constant-pH MD
113 can, however, capture these effects^{46,47}). (ii) Our theory
114 predicts the free energy of the system without the need for
115 expensive thermodynamic integration schemes; thus, it allows
116 to determine the equilibrium morphology and size of the
117 aggregates.

118 To validate our theory, we modeled the literature results for
119 the PA of molecular formula C₁₆K₂²⁸ and prepared and
120 characterized the PA C₁₆K₃ to provide further support. We
121 found that our theoretical predictions for the effect of solution
122 pH and molecular structure on the stability of the different
123 supramolecular morphologies, the effect of pH on the micellar
124 size, and the apparent pK_a's for the lysines in the nano-
125 aggregates are in good agreement with the experimental data.

126 Our theoretical and experimental results show that the
127 apparent pK_a shifts toward the bulk pK_a upon increasing the
128 salt concentration of the solution. We show that salt
129 concentration plays a dual role in screening ionic charges
130 and shifting the acid–base equilibrium. This dual role leads to
131 interesting nonmonotonic effects on the relative stability of
132 morphologies and micellar size. In addition to the well-known

charge regulation mechanism by shifting of the acid–base
equilibrium,^{29,31,32,35} our work reveals a mechanism of charge
regulation by size regulation. In this mechanism, an increase in
the charge of the PA by lowering the pH or introducing an
additional lysine in the head group results in a decrease in the
size or aggregation density of the PA nanoassemblies. This
behavior, unique to self-assembled nanostructures, can lead to
unexpected results: our theory suggests that, under appropriate
conditions, increasing the number of lysines in the head group
can result in a decrease in the net charge of PA assemblies.

■ METHODS

Theoretical Methods. Our theory is based on our
previous work focused on the self-assembly of neutral
amphiphiles⁴⁰ and the molecular theory originally developed
by Szeleifer and collaborators.^{48,49} The theory explicitly
incorporates the molecular details of the PA molecules
(molecular structure, conformations, volume, charge distribu-
tion, and inter- and intramolecular interactions) and the
properties of the solution (pH and ionic strength). The
derivation of the theory is described in detail in the [Supporting
Information](#) and is only briefly discussed here.

We consider an assembly of N_{PA} peptide amphiphiles (PAs)
in volume V at temperature T . The PAs are described with a
coarse grain model (see [Figure S3](#) in the Supporting
Information (SI)), where a single bead represents approx-
imately four heavy atoms. Our model comprises three different
types of beads: alkyl chain beads, amino acid backbone beads,
and chargeable side-chain beads. The mobile ions in the
system (salt cations and anions, H⁺ and OH⁻) have a constant
chemical potential that is fixed by the composition of the bulk
solution (pH and ionic strength). The thermodynamic
potential that describes this system is a semigrand canonical
potential $\Omega^*(T, V, N_{PA}, \{\mu_i\})$, where $\{\mu_i\}$ (for $i =$ anions, cations,
H⁺ and OH⁻) represents the chemical potentials of the mobile
species, and the * symbol is used to indicate that the aggregate
lacks translational and rotational degrees of freedom (in
analogy to the chemical potential described in early works by
Israelachvili⁵⁰). We propose an expression for $\Omega^*(T, V, N_{PA},$
 $\{\mu_i\})$ as a functional of functions that describe the structure of
the system and are a priori unknown, namely: $\rho_i(r)$, which is
the position-dependent number density of the solvent
molecules ($i =$ sol), PA molecules ($i =$ PA), and ions ($i =$
anion, cation, H⁺ and OH⁻); $P(\alpha, r)$, which is the position-
dependent probability distribution function for the PA
conformations; $\psi(r)$, which is the position-dependent electro-
static potential; and $f(r)$, the position-dependent fraction of
ionization for the acid–base groups in the PA molecule.

The expression of Ω^* used in the present work is

$$\begin{aligned}
\beta\Omega^* = & \sum_{i=\text{PA,anion,cation,H}^+,\text{OH}^-\text{,sol}} \int dr G(r)\rho_i(r)[\ln(\rho_i(r)v_{\text{sol}}) - 1] \\
& + \int \rho_{\text{PA}}(r) \sum P(\alpha, r) \ln(P(\alpha, r))G(r) dr - \frac{1}{2} \\
& \sum_i \sum_j \int \int G(r)G(r')\langle n_i(r)\rangle\langle n_j(r')\rangle\beta\epsilon_{ij}g_{ij}(r, r') dr dr' + \beta \\
& \int \left[\rho_Q(r)\psi(r) - \frac{\epsilon(r)}{2}[\nabla_r\psi(r)^2] \right] G(r) dr \\
& \sum_{i=\text{type of titrable segment}} \int dr G(r)\langle n_i(r)\rangle \\
& [f_i(r) \ln f_i(r) + (1 - f_i(r)) \ln(1 - f_i(r))] + \\
& \sum_{i=\text{H}^+,\text{OH}^-} \int G(r)\rho_i(r)\beta\mu_i^0 dr + \sum_{i=\text{type of titrable segment}} \int G(r)\langle n_i(r)\rangle \\
& [f_i(r)\beta\mu_{i(\text{charged})}^0 + (1 - f_i(r))\beta\mu_{i(\text{uncharged})}^0] dr - \beta\mu_{\text{cation}} \\
& \int G(r)\rho_{\text{cation}}(r) dr - \beta\mu_{\text{anion}} \int G(r)\rho_{\text{anion}}(r) dr - \beta\mu_{\text{H}^+} \\
& \int G(r) \left[\rho_{\text{H}^+}(r) + \sum_{i=\text{type of acid segment}} (1 - f_i(r))\langle n_i(r)\rangle \right] dr - \beta\mu_{\text{OH}^-} \\
& \int G(r) \left[\rho_{\text{OH}^-}(r) + \sum_{i=\text{type of basic segment}} (1 - f_i(r))\langle n_i(r)\rangle \right] dr
\end{aligned} \quad (1)$$

181

182 Each term in eq 1 represents a different contribution to the
 183 semigrand free energy, Ω^* . The first term corresponds to the
 184 translational (mixing) entropy of the mobile species in the
 185 system (PA chains, anions, cations, protons, hydroxyl ions, and
 186 solvent molecules). The second term is the conformational
 187 entropy of the PA molecules. The third term represents the
 188 effective short-range attractions between beads. The fourth
 189 term is the electrostatic contribution to the free energy, and
 190 the fifth, sixth, and seventh terms are the free energy
 191 contributions associated with the acid–base equilibrium of
 192 chargeable groups in the PA molecules. The last four terms
 193 correspond to the $-N_i\mu_i$ terms (for $i = \text{anions, cations, H}^+$ and
 194 OH^-) that should be included in Ω^* because this potential is
 195 grand canonical for these species. For a detailed description of
 196 each of these contributions to Ω^* , the reader is referred to the
 197 [Supporting Information](#).

198 We evaluated the relative stability of aggregates presenting
 199 three possible morphologies: spherical micelles, long cylin-
 200 drical fibers, and planar lamella. Considering these three ideal
 201 morphologies allows us to describe the system using only one
 202 spatial coordinate, r , which is the radial distance in micelles
 203 and fibers or the distance to the central plane for lamella.⁴⁰
 204 The morphology of the system determines the function $G(r)$ in
 205 eq 1, which is the volume element (Jacobian) at a distance r
 206 from the center of the spherical micelle, the axis of the
 207 cylindrical fiber, or the central plane of a planar lamella.

208 The equilibrium structure and thermodynamical properties
 209 of the system are obtained by finding the functional extrema of
 210 Ω^* with respect to $\rho_i(r)$, $P(\alpha, r)$, $\psi(r)$, and $f(r)$. This functional
 211 extremization (subjected to the constraints that are discussed
 212 in the [SI](#)) results in explicit expressions for these unknown
 213 functions. The resulting set of coupled integro-differential
 214 equations is then discretized and numerically solved.

215 To compare the relative stability of the three possible
 216 morphologies considered by our theory (micelles, fibers, and
 217 lamella), it is necessary to use the proper thermodynamic
 218 potential. In the [SI](#), we show that that potential is the excess
 219 semigrand canonical potential per chain defined as

$$\begin{aligned}
\omega^{*\text{,ex}}(T, N_{\text{PA}}, \{\mu_i\}) \\
= \frac{\Omega^*(T, V, N_{\text{PA}}, \{\mu_i\}) - \Omega^*(T, V, N_{\text{PA}} = 0, \{\mu_i\})}{N_{\text{PA}}}
\end{aligned} \quad (2) \quad 220$$

221 where $\Omega^*(T, V, N_c = 0, \{\mu_i\})$ is the semigrand canonical
 222 potential for a system of volume V without amphiphiles (i.e.,
 223 bulk solution). In practice, for any given condition (pH, ionic
 224 strength, and molecular structure of the PA), we solve the
 225 theory and determine $\omega^{*\text{,ex}}$ for the three possible aggregates
 226 with different aggregation numbers (PAs per micelle) or
 227 densities (PAs per unit length of fiber or per unit area of
 228 lamella). The lowest value of $\omega^{*\text{,ex}}$ determines the equilibrium
 229 morphology of the system and its aggregation number or
 230 density.

231 The input of the theory includes a representative set of PA
 232 conformations, the properties of the solution (pH and salt
 233 concentration), the molecular structure of the PA, the strength
 234 of the short-range attractions between the different types of
 235 coarse-grained beads, the charges and molecular volumes of all
 236 species, and the $\text{p}K_a$ of the acid-based groups in bulk solution.
 237 The representative set of conformations is generated using a
 238 Monte-Carlo procedure. The effective short-range interactions
 239 among the beads are estimated using a mapping of the coarse-
 240 grained force-field Martini to our molecular theory,^{51,52} see [SI](#).
 241 The theory requires as an input the $\text{p}K_a$ of the amino groups of
 242 lysine in bulk, $\text{p}K_a^{\text{bulk}} = 10.54$.⁵³ Note that the molecular
 243 theory predicts the shift of the $\text{p}K_a$ due to the local chemical
 244 environment, which results in an apparent $\text{p}K_a$ ($\text{p}K_a^{\text{app}}$) that
 245 differs from $\text{p}K_a^{\text{bulk}}$. The output of the theory consists of
 246 thermodynamic information (e.g., $\omega^{*\text{,ex}}$) and structural details,
 247 such as $\rho_i(r)$, $P(\alpha, r)$, $\psi(r)$, $f(r)$, the equilibrium morphology,
 248 and the equilibrium aggregation number or density of PAs in
 249 the nanostructures.

250 ■ EXPERIMENTAL METHODS

251 **Synthesis of C_{16}K_n .** We synthesized C_{16}K_2 and C_{16}K_3 using
 252 standard Fmoc solid-phase peptide synthesis protocols (amino
 253 acids were supplied by AAPPTec and solvents by Fisher). PA
 254 molecules were purified by reverse-phase high-performance
 255 liquid chromatography (HPLC) using an Agilent prep star
 256 HPLC system and a Kinetex 5 μm C-18 150 \times 21.2 mm
 257 column. The mobile phase used in the purification consisted of
 258 a water/acetonitrile [0.1% trifluoroacetic acid (TFA)] gradient
 259 with a 15 mL/min flow rate. The presence of the product was
 260 confirmed by matrix-assisted laser desorption/ionization
 261 (MALDI), and the HPLC fractions containing the desired
 262 product were combined. Then, we removed volatile organics
 263 by rotary evaporation and water via lyophilization. To assess
 264 the purity of the lyophilized powder, we performed analytical
 265 HPLC (Kinetex, Phenomenex column) using a similar water/
 266 acetonitrile (0.1% TFA) mobile phase with a flow rate of 1
 267 mL/min. MALDI measurements were performed to confirm
 268 the identity of the desired product.

269 **Transmission Electron Microscopy (TEM).** We prepared
 270 4 mM solutions of PAs in Milli-Q water or NaCl solution,
 271 adjusted the solution pH, and aged the samples for 20 min
 272 before observing them through the standard TEM microscopy
 273 (FEI Tecnai G2 Spirit). We prepared samples by placing 10 μL
 274 of the PA solution on a copper grid and drying it for 5 min.
 275 After draining the excess of PA solution, we applied a negative
 276 stain (NanoVan) for 2 min and drained again.

277 **Atomic Force Microscopy (AFM).** PA samples were
 278 deposited on mica surfaces, incubated for 2 min, washed with
 279 Milli-Q water, and dried. Imaging was performed with a
 280 multimode nanoscope IV system (Bruker Instruments, Santa
 281 Barbara, CA) in tapping mode at ambient conditions. Images
 282 were recorded with a scanning rate of ~ 1.5 Hz using RTESPA-
 283 300 silicon probe (Bruker Nano Inc., CA) with a resonance
 284 frequency of ~ 300 kHz and a spring constant of ~ 40 N/m and
 285 processed using the femtoscan online software package
 286 (Advanced Technologies Center, Moscow, Russia).

287 **Experimental Determination of Apparent pK_a s from**
 288 **Titration Curves.** We performed titrations of 4 mM solutions
 289 of PAs in Milli-Q water and a 1 M NaCl solution. We titrated
 290 2.5 mL of the PA solution with 100 mM NaOH and measured
 291 the solution pH using a Fisher Scientific Accumet AB150 pH
 292 electrode, calibrated with buffer solutions at pH values 4.01,
 293 7.00, and 10.01 to a slope of 95%. Titration curves are shown
 294 in the [Supporting Information](#).

295 ■ RESULTS AND DISCUSSION

296 **Nanoassembly Morphology as a Function of pH and**
 297 **Ionic Strength.** [Figure 1a](#) shows the morphology diagram for

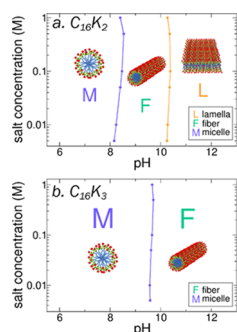


Figure 1. Theoretically predicted morphology diagrams for $C_{16}K_2$ (a) and $C_{16}K_3$ (b) as a function of salt concentration and pH. Capital letters indicate the most stable morphology predicted by the molecular theory (M for micelle, F for fiber, and L for lamella).

298 $C_{16}K_2$ as a function of pH and concentration of added salt
 299 predicted by the molecular theory (see [Methods](#) and
 300 [Supporting Information](#)). The capital letters indicate the
 301 regions of thermodynamic stability of each type of morphol-
 302 ogy: M for spherical micelles, F for cylindrical fibers, and L for
 303 planar lamella. The theory predicts an $M \rightarrow F \rightarrow L$ transition
 304 as the pH increases for all salt concentrations. This transition is
 305 in agreement with small angle X-ray scattering (SAXS) and
 306 cryo-TEM experiments by Gao et al.,²⁸ who showed that
 307 increasing the pH leads to a transition from micelles (pH = 5.3
 308 and 7.2) to fibers (pH = 7.9) to a coexistence of fibers and
 309 planar nanoribbons with lamellar structure (pH = 8.3 and 9).
 310 The morphology diagram in [Figure 1a](#) successfully predicts the
 311 order of the transition and the approximate zones of stability,
 312 although the $M \rightarrow F$ transition occurs at pH values slightly
 313 higher than in the experiment and our theory cannot predict
 314 the coexistence of structures that are observed above pH 8.3.
 315 The $M \rightarrow F \rightarrow L$ pH-triggered transition can be rationalized in
 316 terms of electrostatic interactions. At high pH ($pH \gg pK_a$),
 317 the lysines are almost fully deprotonated, the average charge
 318 per PA is close to zero, and the stable structure is lamella. As
 319 the pH decreases, the average fraction of charge of the lysines
 320 increases and the corresponding increase in electrostatic

repulsions between charges favors an increase in curvature,³²¹
 which leads to a transition to fibers and then to micelles. In
 322 Israelachvili's surfactant packing theory,^{50,54} an $M \rightarrow F \rightarrow L$
 323 transition is predicted upon decreasing the size of the head
 324 group. Therefore, decreasing the average charge of the head
 325 group has a similar effect as decreasing its effective size. The M
 326 $\rightarrow F \rightarrow L$ transition upon increasing the charge by a change of
 327 pH has been experimentally observed for other PAs in the past,
 328 including PAs that have β -sheet-forming amino acids between
 329 the alkyl chain and the charged amino acids.^{9–12} Ghosh et al.
 330 reported PAs bearing carboxylic groups, which reversibly
 331 switched from micelles to fibers when the solution pH was
 332 decreased from 7.4 to 6.6. Dehsorkh et al. studied the PA
 333 $C_{16}KTTKS$ and observed a transition from micelles to flat
 334 tapes (i.e., a direct $M \rightarrow L$ transition) as the pH was
 335 increased.¹⁰

We predict that the boundaries between self-assembled
 337 morphologies in [Figure 1a](#) are almost insensitive to the salt
 338 concentration of the solution. Since Gao et al. reported only
 339 experiments at low ionic strength (~ 10 mM), we synthesized
 340 $C_{16}K_2$ and experimentally studied the effect of increasing the
 341 NaCl concentration. In agreement with our theoretical
 342 predictions, we did not observe any morphological transition
 343 triggered by changing the solution ionic strength. The salt
 344 insensitiveness of the system is partly explained by the dual
 345 role of salt, which screens the charges of the PA head group
 346 and, at the same time, shifts the acid–base equilibrium of the
 347 amino groups, increasing their charge, as detailed in the next
 348 section.³⁴⁹

To study the effect of the chemical structure of the PA on
 350 self-assembly, we synthesized, characterized, and theoretically
 351 modeled a PA with three lysine groups, $C_{16}K_3$. The
 352 theoretically predicted morphology diagram for $C_{16}K_3$ ([Figure](#)
 353 [1b](#)) shows an $M \rightarrow F$ transition at pH = 9.6–9.7, which is
 354 almost independent of the ionic strength of the solution. This
 355 observation is supported by TEM and AFM experiments
 356 ([Figure 2](#)) that confirm the presence of micelles at pH = 7.9
 357 and fibers at pH = 10. The $M \rightarrow F$ transition occurs at a higher
 358 pH for $C_{16}K_3$ than for $C_{16}K_2$. This result can be rationalized by
 359 the fact that $C_{16}K_3$ has a larger polar head group and more
 360 chargeable amino groups than $C_{16}K_2$. Therefore, steric and
 361 electrostatic repulsions between the polar head groups are
 362 stronger for $C_{16}K_3$ than for $C_{16}K_2$. As we explained above,
 363

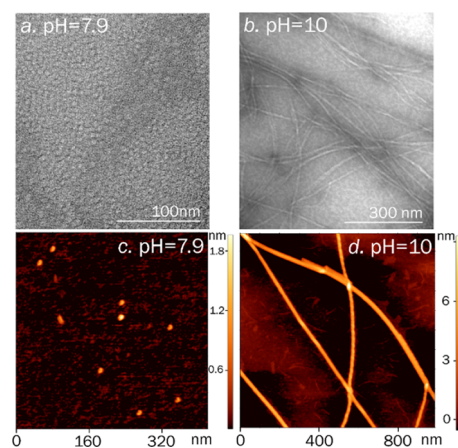


Figure 2. TEM (a, b) and AFM (c, d) images of $C_{16}K_3$ self-assembled nanostructures at pH values 7.9 (a, c) and 10 (b, d) without added salt.

364 repulsions between the head groups increase the stability of the
365 micelle morphology; therefore, the range of pH stability of the
366 micelle morphology is broader for $C_{16}K_3$ than for $C_{16}K_2$.

367 Interestingly, lamellas are absent in the predicted morphol-
368 ogy diagram of $C_{16}K_3$. TEM images of PAs assembled at pH 11
369 showed only 5–10% of broad structures that can be assigned
370 to planar lamellar ribbons, although they may also correspond
371 to bundles of cylindrical fibers (see Figure S5). It is tempting
372 to ascribe the absence of lamella in the $C_{16}K_3$ diagram to the
373 increase in electrostatic repulsions between head groups. Note,
374 however, that lamellas form in basic pH solution, where the
375 overall charge of the PAs is small for both $C_{16}K_2$ and $C_{16}K_3$.
376 Therefore, we ascribe the absence of lamella in the morphology
377 diagram of $C_{16}K_3$ to the size of the hydrophilic head group
378 rather than to its charge: $C_{16}K_3$ has a larger polar head than
379 $C_{16}K_2$, which favors fibers over lamella even when the amines
380 are mostly deprotonated and, thus, the molecule is almost
381 neutral.

382 **State of Charge of the PAs.** Figure 3a shows the
383 predicted average degree of protonation of the lysines, $\langle f \rangle$

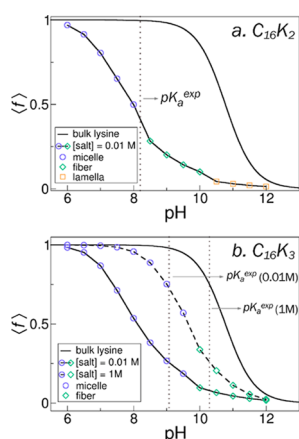


Figure 3. Lines with symbols: the predicted average degree of protonation of the amino groups in the self-assembled aggregates, $\langle f \rangle$, as a function of pH for $C_{16}K_2$ (a) and $C_{16}K_3$ (b). The symbols show the stable morphology at each pH. Salt concentration was 10 mM [solid lines in (a) and (b)] or 1 M [dashed line in (b)]. The solid lines without symbols show the ideal f vs pH curve for the amino group of an isolated lysine in bulk solution. Dotted vertical lines indicate experimental pK_a values.

384 (defined as the number of charged amino groups in the system
385 divided by the total number of amino groups), for $C_{16}K_2$ at a
386 salt concentration of 10 mM (dashed line with symbols, note
387 that the morphology changes with pH; thus, we used different
388 symbols to indicate different morphologies). The solid black
389 line shows the degree of protonation of free lysines in aqueous
390 solution, which have $pK_a^{bulk} = 10.54$.⁵³ The vertical dotted line
391 in Figure 3a indicates the experimental pK_a of the system
392 measured by Gao et al.²⁸ ($pK_a^{exp} = 8.2$). The apparent pK_a
393 predicted by our theory, defined as the pH at which $\langle f \rangle = 0.5$,
394 is $pK_a^{app} = 8.0$. The prediction of our theory is therefore in
395 good agreement with the pK_a^{exp} and it is shifted 2.54 pK_a units
396 from the pK_a^{bulk} . The difference between the pK_a^{app} and the
397 pK_a^{bulk} arises because positive neighbor charges inhibit the
398 protonation of amino groups in the side chain. Thus, the pH
399 required to protonate the amino sites within the aggregate
400 (pK_a^{app}) is lower than that required to protonate an isolated
401 lysine in bulk solution (pK_a^{bulk}).^{29,55,56} This effect of charge

regulation by shifting of the acid–base chemical equilibrium is
also responsible for the fact that the acid–base transition
predicted for the PA in the aggregate is broader than the ideal
curve of a lysine group in solution.⁵⁶

Figure 3b shows $\langle f \rangle$ versus pH for $C_{16}K_3$. The pK_a^{app}
predicted for a salt concentration of 10 mM is 8.11. While
this value shows some discrepancy with the experimental value
 $pK_a^{exp} = 9.07$ for $C_{16}K_3$ (measured in 10 mM NaCl, see the
dashed line in Figure 3a), both values are still strongly shifted
from $pK_a^{bulk} = 10.54$. More interestingly, both the pK_a^{app} and
 pK_a^{exp} for $C_{16}K_3$ (8.11 and 9.2) are higher than the
corresponding values for $C_{16}K_2$ (8.0 and 8.2, see discussion
above). This result is surprising since, in principle, $C_{16}K_3$ is
expected to have a smaller pK_a^{app} than $C_{16}K_2$ because $C_{16}K_3$
has three chargeable amino groups, while $C_{16}K_2$ has two;
therefore, charge regulation by shifting of the acid–base
equilibrium is expected to be stronger for $C_{16}K_3$ than for
 $C_{16}K_2$. However, such a comparison neglects the fact that the
molecular organization of the nanoassemblies can adjust in
response to the presence of the additional lysine in the PA
structure. For pH = 8.0 (where micelles are the stable
morphology for both $C_{16}K_2$ and $C_{16}K_3$), we predict
aggregation numbers for $C_{16}K_2$ and $C_{16}K_3$ of 68 PAs/micelles
and 47 PAs/micelles, respectively (these numbers are of the
same order of magnitude to the value of 92 PAs/micelles
experimentally estimated for $C_{16}KTTKS$).⁵⁷ Therefore, while
the number of chargeable amino groups per molecule increases
from $C_{16}K_2$ to $C_{16}K_3$, the number of molecules per aggregate
decreases from $C_{16}K_2$ to $C_{16}K_3$. We refer to this regulation
mechanism, which is available only to soft, self-assembled
nanostructures, as charge regulation by size regulation.

Dual Role of Added Salt on Electrostatic Interactions.
Before discussing the effect of charge regulation by size
regulation in detail, we will address the role of added salt in
modulating electrostatic interactions. Figure 3b shows the $\langle f \rangle$
versus pH curve predicted for a salt concentration of 1 M. This
curve has a higher pK_a^{app} (9.69) than that obtained for a salt
concentration of 10 mM (8.11). Comparison of the predicted
 pK_a^{app} for a 1 M salt concentration ($pK_a^{app} = 9.69$) with the
experimental value in 1 M NaCl, $pK_a^{exp} = 10.29$, shows that our
theory successfully captures the effect of salt concentration on
the apparent pK_a . The shift of the pK_a^{app} toward pK_a^{bulk} upon
increasing the salt concentration is explained by the fact that
salt screens the electrostatic repulsions between ammonium
groups; therefore, increasing salt concentration weakens charge
regulation by shifting of the acid–base equilibrium.^{30,32,33,37}

It is interesting to point out the dual role that added salt has
on the stability of the aggregates. On one side, increasing the
ionic strength screens repulsions between charges. On the
other, increasing ionic strength results in a shift of the pK_a^{app}
toward the pK_a^{bulk} , which increases the average charge per
amino group and consequently boosts electrostatic repulsions.
The dual role of added salt is apparent in the M \rightarrow F and F \rightarrow
L boundaries shown in Figure 1a, which are not perfectly
vertical lines, but rather show a small curvature. In these
boundaries, the ionic strength shows a small but noticeable
nonmonotonic effect on the stability of the morphologies.

Charge Regulation by Size Regulation. We now focus
on the regulation of the charge of the aggregates due to
changes in their aggregation number or density. This
mechanism of charge regulation by size regulation operates
in parallel to the well-known mechanism of charge regulation
by shifting of the acid–base equilibrium. Figure 4 shows that

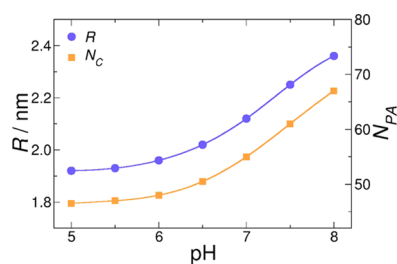


Figure 4. Predicted radius of the micellar aggregate (R) and number of PAs per micelle (N_{PA}), for $C_{16}K_2$ as a function of pH for a salt concentration of 10 mM.

465 the theoretically predicted radius and aggregation number
 466 (PAs per micelle) for $C_{16}K_2$ micelles increase with increasing
 467 pH. This prediction agrees with the SAXS measurements of
 468 Gao et al.²⁸ that show an increase in micellar size for $C_{16}K_2$
 469 from 2.4 ± 0.1 nm at pH 5.3 to 2.7 ± 0.2 nm at pH 7.2. The
 470 differences between the absolute values of the theoretical
 471 (Figure 4) and the experimental micellar radii can be
 472 attributed to the arbitrariness in the definition of the former
 473 (see SI). However, the facts that both the theoretical and
 474 experimental radii show the same tendency with pH and that
 475 they have the same order of magnitude make us confident in
 476 the ability of our theory of predicting the size and aggregation
 477 numbers of the nanostructures.

478 The effect of pH on micellar size can be explained in terms
 479 of electrostatic repulsions among the lysine side chains. As the
 480 pH is increased, the electrostatic repulsions between head
 481 groups decrease, and the micelle grows in size. The pH plays
 482 the same role in the $C_{16}K_3$ micelles, as can be seen in Figure
 483 5a. This figure also shows predictions for the effect of the ionic

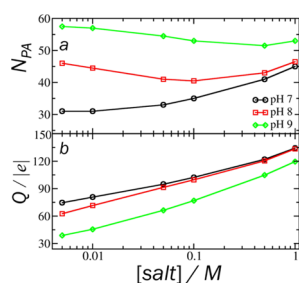


Figure 5. Number of PAs per micelle (a) and charges per micelle (b) for $C_{16}K_3$ as a function of salt concentration and pH.

484 strength of the system. At pH 7, the charge of the micelle is
 485 high; therefore, the main role of increasing ionic strength is to
 486 screen charges, and thus added salt decreases electrostatic
 487 repulsions and increases the size of the micelles. At pH 9, the
 488 charge of the micelle is relatively small and the effect of charge
 489 regulation by shifting the acid–base equilibrium becomes vital
 490 due to the proximity to the pK_a^{app} . In these conditions, the
 491 effect of salt on the pK_a^{app} discussed above is the dominating
 492 effect; thus, the size of the micelles decreases as the average
 493 charge per PA increases with increasing ionic strength. At pH
 494 8, an intermediate scenario occurs where the added salt plays a
 495 dual role in regulating the electrostatic interactions, and the
 496 aggregation number is a nonmonotonic function of the
 497 concentration of added salt. Figure 5b shows the net charge
 498 per micelle, Q , which results from multiplying the aggregation
 499 number, the number of lysines per $C_{16}K_n$ molecule, and the
 500 average protonation degree of the lysines, i.e., $Q = N_{PA} \cdot n \cdot \langle f \rangle$.

Noteworthy, while the effect of ionic strength on the 501
 aggregation number is complex, Q always increases with 502
 increasing salt concentration. 503

Figure 6a compares the predicted charge per micelle for 504
 $C_{16}K_2$ and $C_{16}K_3$ micelles at pH 7 and under different ionic 505

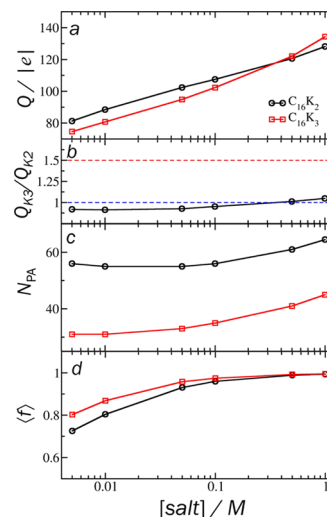


Figure 6. Aggregation number (a), charges per micelle (c), and average degree of protonation of amino groups (d) for micelles of $C_{16}K_2$ (black lines with circles) and $C_{16}K_3$ (red lines with squares) at pH 7 as a function of salt concentration. (b) Ratio of the number of charges per $C_{16}K_3$ micelle to the number of charges per $C_{16}K_2$ micelle. The red dashed line indicates a value of 1.5, which is the value expected from stoichiometric considerations only.

strengths. As in Figure 5, increasing the ionic strength results in 506
 a monotonic increase in the charges per micelle. A more 507
 interesting fact is that in Figure 6a,b, the net charge of $C_{16}K_3$ 508
 micelles is smaller than that of $C_{16}K_2$ micelles in some 509
 conditions. In principle, an increase in the net micellar charge 510
 of 50% could be expected when switching from $C_{16}K_2$ to $C_{16}K_3$ 511
 because of the stoichiometries of these molecules. The smaller-
 than-expected net charge for $C_{16}K_3$ micelles is traced back to 512
 the aggregation number, which is significantly larger for $C_{16}K_2$ 513
 micelles than for $C_{16}K_3$ micelles, see Figure 6c (the average 514
 degree of protonation, on the other hand, is similar for both 515
 cases, see Figure 6d). Therefore, the predicted decrease in 517
 micellar charge upon adding an extra lysine group is due to 518
 charge regulation by size regulation, rather than due to charge 519
 regulation by shifting the acid–base equilibrium. The strong 520
 charge regulation effect observed in the present example is 521
 ascribed to the fact that the additional bulky lysine group not 522
 only increases the electrostatic repulsions between head groups 523
 but also increases the steric repulsions. 524

The effect of molecular architecture on charge density 525
 discussed above for micelles seems to be general, and, e.g., it is 526
 also present for fibers at pH 10, see Figure S6 in the SI. In that 527
 case, the linear charge density (charges per unit length of fiber) 528
 for $C_{16}K_3$ is larger than that of $C_{16}K_2$, but only by a factor of 529
 10–20% instead of the value of 50% expected from 530
 stoichiometry. 531

In summary, while adding an ionizable amino acid to the PA 532
 structure would seem a reasonable design principle to increase 533
 the number of charges per aggregate, our work suggests a 534
 major weak point in this intuitive approach: adding charged 535
 amino acids to the molecular structure can result in a decrease 536

537 in the number or density of PAs per aggregate. This drop in
538 the aggregation number can result in a smaller-than-expected
539 increase or even in a net decrease of the charge of the
540 nanoassemblies.

541 **Internal Molecular Organization of Self-Assembled**
542 **Aggregates.** Up to this point, we have shown that the
543 molecular theory captured the morphologic and acid–base
544 properties of the self-assembled nanostructures. The molecular
545 theory can also be used to gain molecular insight into the
546 internal organization of the aggregates. Figure 7 reports the

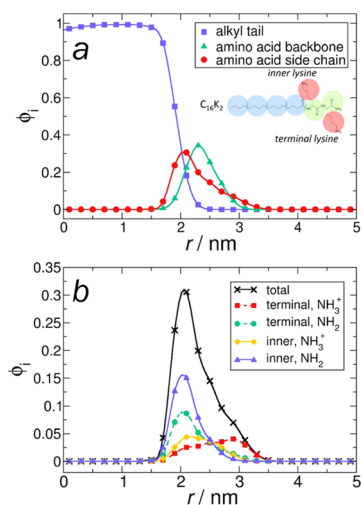


Figure 7. (a) Average volume fraction of the different components of the C_{16}K_2 PA in micelle self-assembled aggregates at pH 8 and a salt concentration of 5 mM. (b) Total volume fraction of amino side chains and its components. The inset in (a) shows the structure of the PA C_{16}K_2 . The colored circles overlapping the structure indicate the different components of the molecule (which are modeled by different types of coarse-grained beads in the theory; see Methods section): alkyl chain (blue), amino acid backbone (green), and side chain (red).

547 molecular organization for micellar aggregates of C_{16}K_2 at an
548 ionic strength of 5 mM and pH = 8 (i.e., pH \approx pK_a^{app}). Figure
549 7a shows the fraction of the total volume (i.e., the volume
550 fraction, $\langle\phi_i\rangle$) that is occupied by the different parts of the PA
551 as a function of the distance to the center of the micelle, r . As
552 expected, the alkyl chains form a dense core. The volume
553 fraction due to the backbone of the amino acids peaks at the
554 core–corona interphase. The peak from the side chains is
555 located in the same region as that of the backbone segments,
556 but it shows a shoulder that indicates the presence of more
557 than one population. In Figure 7b, we explicitly analyzed the
558 different contributions to the volume fraction of the side chain.
559 More specifically, we show the contributions of the inner and
560 terminal lysine groups, both in their protonated (charged) and
561 deprotonated (neutral) states. We observe that protonated
562 amino groups in terminal lysines (i.e., the lysine at one end of
563 the molecule) are located in the outermost part of the
564 aggregate and are, therefore, exposed to the solvent. On the
565 other hand, the amino groups of the internal lysines (for both
566 states of protonation) and the deprotonated amino groups of
567 the terminal lysines are all located at the core–corona
568 interphase.

569 Based on the fact that the amino groups of the two lysines in
570 C_{16}K_2 are predicted to have different spatial distributions, we
571 can ask the question of whether their acid–base properties will

differ. The pK_a^{app} discussed so far were determined, 572
573 considering all amino groups in PA molecules; however, the
574 amino groups located at different positions within the molecule
575 are not equivalent. Therefore, we calculated the individual
576 pK_a^{app} 's of the two different amino groups within the C_{16}K_2
577 molecule, e.g., the pK_a^{app} of the terminal inner group is the pH
578 for which half of the amino groups in the terminal lysine are
579 charged. For the conditions of Figure 7 (salt concentration 5
580 mM), we found that the pK_a^{app} (for all amino groups) is 7.73,
581 the $\text{pK}_a^{\text{app, terminal}}$ is 8.03, and the $\text{pK}_a^{\text{app, inner}}$ is 7.45. Although
582 not negligible, the difference between apparent pK_a 's of inner
583 and terminal amino groups (~ 0.5 pK_a units) will be impossible
584 to detect using a standard titration experiment; therefore, the
585 acid–base properties of both types of amino groups cannot be
586 separately studied, and the average pK_a^{app} correctly describes
587 the acid–base properties of the system.

CONCLUSIONS

588 We presented a theoretical model for the self-assembly of PAs
589 formed by a C_{16} alkyl tail linked to a chain of two or three
590 lysines (C_{16}K_2 or C_{16}K_3). The predictions of the model for the
591 morphological behavior, size, and the state of protonation of
592 the aggregates were found to be in reasonable agreement with
593 experiments. It is worthwhile to note that this degree of
594 agreement between theory and experiment was achieved
595 without the use of free adjustable parameters. Moreover,
596 most previous theoretical work on PA self-assembly made
597 predictions either of the morphology, size, and internal
598 structure of the aggregates^{41–44} or of their acid–base
599 properties,²⁸ while our theoretical method simultaneously
600 makes predictions for both types of properties. Some
601 limitations of the present theory may explain the cases where
602 the agreement between our predictions and experimental data
603 is only qualitative; for instance, our approach can only predict
604 pure, monodisperse, and ideal morphologies (perfect spherical
605 micelles and infinitely long and straight cylindrical fibers and
606 planar lamella). Future developments will address these
607 limitations. An important approximation of the theory is that
608 most interactions are treated at a mean-field level (with the
609 exceptions of steric intramolecular interactions that are treated
610 exactly). The mean-field approach is a good approximation for
611 dense systems, such as the aggregates studied in this work;
612 therefore, we believe that its use in the present case is
613 appropriate. Furthermore, previous predictions from molecular
614 theories for the self-assembly of neutral amphiphiles showed
615 very good quantitative agreement with experimental results^{38,58}
616 and molecular dynamic simulations,³⁸ which also supports our
617 approach of treating interactions at the mean-field level in the
618 present system.

619 Our results revealed the mechanisms that the system
620 employs to decrease electrostatic repulsions between charged
621 lysines. We showed that the ionic strength plays a dual role
622 whose balance brings on nonmonotonic dependencies of
623 boundaries between morphologies and micellar sizes with salt
624 concentration. We also showed that the size of the aggregates
625 can change to minimize electrostatic repulsions. We referred to
626 this mechanism as charge regulation by size regulation (in
627 analogy to the well-known mechanism of charge regulation by
628 shifting of the acid–base equilibrium). We expect that this
629 regulation mechanism will be available to other types of
630 charged, self-assembled systems. Moreover, we showed that in
631 PA nanostructures, the proposed regulation mechanism can
632 lead to a counterintuitive result: increasing the number of 633

634 lysines in the PA can decrease the average charge of the
635 aggregates due to a drastic decrease in the aggregation number.
636 This result underlines the potential experimental relevance of
637 the proposed regulation mechanism and the important role of
638 theory to analyze the complex behavior of self-assembled
639 nanomaterials.

640 ■ ASSOCIATED CONTENT

641 ● Supporting Information

642 The Supporting Information is available free of charge on the
643 ACS Publications website at DOI: 10.1021/acs.jpcc.9b04280.

644 Detailed description of the theoretical methods; TEM
645 image for C₁₆K₃ at pH 11; theoretical calculation of the
646 radius of micelles; description of charge regulation by
647 size regulation in C₁₆K_n nanofibers; and titration curves
648 and determination of pK_a^{exp} (PDF)

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656 The manuscript was written through contributions of all
657 authors.

658 Notes

659 The authors declare no competing financial interest.

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