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# A Two-Part Strategy using Genomic Selection in Hybrid Crop Breeding Programs

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1	A Two-Part Strategy using Genomic Selection in Hybrid Crop
2	Breeding Programs
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9	
10	Key message
11	Hybrid crop breeding programs using a two-part strategy produced the most genetic gain by
12	using outbred parents to complete multiple generations per year. However, a maximum
13	avoidance of inbreeding crossing scheme was required to manage genetic variance and
14	increase long-term genetic gain.

# 15 Abstract

16 Hybrid crop breeding programs using a two-part strategy produced the most genetic gain, 17 but a maximum avoidance of inbreeding crossing scheme was required to increase long-term 18 genetic gain. The two-part strategy uses outbred parents to complete multiple generations per 19 year to reduce the generation interval of hybrid crop breeding programs. The maximum 20 avoidance of inbreeding crossing scheme manages genetic variance by maintaining uniform 21 contributions and inbreeding coefficients across all crosses. This study performed stochastic 22 simulations to quantify the potential of a two-part strategy in combination with two crossing 23 schemes to increase the rate of genetic gain in hybrid crop breeding programs. The two crossing 24 schemes were: (i) a circular crossing scheme, and (ii) a maximum avoidance of inbreeding 25 crossing scheme. The results from this study show that the implementation of genomic 26 selection increased the rate of genetic gain, and that the two-part hybrid crop breeding program 27 generated the highest genetic gain. This study also shows that the maximum avoidance of 28 inbreeding crossing scheme increased long-term genetic gain in two-part hybrid crop breeding 29 programs completing multiple selection cycles per year, as a result of maintaining higher levels 30 of genetic variance over time. The flexibility of the two-part strategy offers further 31 opportunities to integrate new technologies to further increase genetic gain in hybrid crop 32 breeding programs, such as the use of outbred training populations. However, the practical 33 implementation of the two-part strategy will require the development of bespoke transition 34 strategies to fundamentally change the data, logistics, and infrastructure that underpin hybrid 35 crop breeding programs.

36

# 38 Introduction

39 The two-part strategy produced the most genetic gain in hybrid crop breeding programs, 40 but the maximum avoidance of inbreeding crossing scheme was required for it to increase long-41 term genetic gain. The two-part strategy uses outbred parents to complete multiple generations 42 per year in hybrid crop breeding programs. In contrast, conventional plus genomic selection 43 strategies are limited in this regard by the time they take to develop inbred lines. The maximum 44 avoidance of inbreeding crossing scheme manages genetic variance by maintaining uniform 45 contributions and inbreeding coefficients across all crosses. This study performed stochastic 46 simulations to quantify the potential of a two-part strategy to increase the rate of genetic gain 47 in hybrid crop breeding programs. A large increase in food production is required to meet the 48 demand for a global population of 9 billion people in 2050. Increasing the rate of genetic gain 49 of breeding programs is one route to achieve sustainable, permanent and cumulative increases 50 in food production. Hybrid crops, and their genetic improvement, have made major 51 contributions to historical increases in food production. For example, genetic merit for maize 52 yield has approximately doubled from 1930 to 2001 (Duvick et al. 2010; Fig. 4.1). However, 53 the current rates of genetic gain in hybrid crop breeding programs are insufficient to meet the 54 estimated 70% increase in overall food production (Alexandratos and Bruinsma 2012) required 55 within the next 30 years.

Genomic selection could increase the rate of genetic gain in hybrid crop breeding programs by directly addressing three of the parameters of the breeder's equation. The breeder's equation provides a framework to understand how the rates of genetic gain in breeding programs can be increased (Lush 1943). The breeder's equation shows that genetic gain is a function of (i) the accuracy of ranking selection candidates based on genetic merit, (ii) the intensity of selection, (iii) the genetic variance in the population, and (iv) the generation interval. Genomic selection could increase the rate of genetic gain in hybrid crop breeding programs by reducing the generation interval, increasing the selection intensity and increasingthe selection accuracy.

65 Hybrid crop breeding program designs involve multiple stages with selection 66 candidates evaluated against an increasing number of testers in an increasing number of 67 environments. As the accuracy of evaluation increases through the stages of evaluation, 68 individuals are recycled by reciprocal recurrent testcross selection (Bernardo, 2014; Hull, 69 1945) and crossed to create the next set of selection candidates. Reciprocal recurrent testcross 70 selection aims to improve the general combining ability of selection candidates. However, the 71 development of inbred individuals in reciprocal recurrent testcross selection requires time 72 which results in longer breeding cycle times and slows the rate of population improvement. 73 For example, hybrid crop breeding program designs typically have a cycle time of 3 to 4 years 74 and are not radically different from a typical breeding program design for inbred crops.

Genomic selection can increase the rate of population improvement in plant breeding programs. Recently, Gaynor et al. (2017) proposed a two-part breeding strategy for inbred crops that explicitly separates a conventional plant breeding program into two distinct components. These components are:

(i) a population improvement component to develop improved germplasm via
recurrent genomic selection, and;

81 (ii) a product development component to identify new inbred varieties within82 conventional plant breeding program designs.

Gaynor et al. (2017) used simulation to compare conventional and two-part breeding program
designs in the context of inbred crops. Compared to the conventional design, the two-part
strategy generated 2.4 times more genetic gain per unit cost and unit time.

86 Conceptually the two-part strategy is equally suited to hybrid crop breeding programs.
87 In a hybrid crop breeding program, the two-part strategy could enable large increases in genetic

gain by shortening the generation interval considerably. However, in the context of hybrid crops, population improvement would need to be driven by reciprocal recurrent genomic selection rather than recurrent genomic selection. Reciprocal recurrent selection aims to improve the general combining ability of individuals in different heterotic pools. Reciprocal recurrent genomic selection (Kinghorn et al. 2010) uses the phenotypes and parental genotypes of hybrids to more accurately estimate the general combining ability of individuals (Rembe et al. 2019).

95 However, the previous implementations of the two-part strategy in inbred crops showed 96 that large reductions of the generation interval came at the expense of genetic variation. Gaynor 97 et al. (2017) showed that two-part breeding programs that used rapid cycling to reduce the 98 generation interval below 0.5 years reduced long-term genetic gain. Using simulation, Gorjanc 99 et al. (2018) showed that long-term genetic gain can be optimised with crossing schemes that 100 balance increases in genetic gain with reductions in genetic variance. Maximum avoidance of 101 inbreeding is a crossing scheme that maintains uniform contributions across generations and 102 uniform inbreeding coefficients across all crosses (Wright 1921; Kimura and Crow 1963). Due 103 to the large number of generations per year that can be completed with the two-part strategy, 104 the use of maximum avoidance of inbreeding could increase long-term genetic gain in hybrid 105 crop breeding programs.

The objective of this study was to develop and test the two-part strategy in the context of hybrid crops. Stochastic simulations were used, with a maize breeding program as a model, to compare conventional, conventional plus genomic selection and two-part hybrid crop breeding programs under an assumption of approximately equal operating costs and time. To manage long-term genetic variance, both a circular crossing scheme and a maximum avoidance of inbreeding crossing scheme were used in conjunction with genomic selection. The results show that: (i) the implementation of genomic selection in hybrid crop breeding programs

- 113 increases the rate of genetic gain, (ii) the two-part strategy was the most cost-effective strategy
- 114 for implementing genomic selection in hybrid crop breeding programs, and (iii) two-part
- 115 hybrid crop breeding programs completing multiple selection cycles per year should use
- 116 methods to manage genetic variance.

# 118 Methods

	119	Stochastic simulations of entire hybrid crop breeding programs were used to compare:
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- a conventional breeding program not using genomic selection;
- three conventional plus genomic selection breeding programs, and;
- two breeding programs implementing the two-part strategy.

These breeding programs were compared on an equal time across 40 years of breeding. Each breeding program was constrained to have approximately equal operating costs so that direct comparisons between the different breeding programs would represent their relative effectiveness. The six different breeding programs were compared using 10 independent replicates of a stochastic simulation for three levels of genotype-by-year interaction variance. Each replicate consisted of:

- (i) a burn-in phase shared by all strategies so that each strategy had an identical,
  realistic starting point, and;
- (ii) a future breeding phase that simulated 20 years of future breeding with each ofthe different breeding strategies.
- 133

# 134 Burn-In Phase

135

Specifically, the burn-in phase was subdivided into three stages. The first stage simulated the species' genome sequence. The second stage simulated trait architecture and founder genotypes for the initial parents. The third stage simulated 20 years of breeding using the conventional breeding strategy without genomic selection.

140

#### 141 Generation of whole genome sequence data

142

143 For each replicate, a genome consisting of 10 chromosome pairs was simulated to resemble the maize genome. These chromosomes were assigned a genetic length of 2.0 144 Morgans and a physical length of  $2x10^8$  base pairs. Sequences for each chromosome were 145 generated using the Markovian Coalescent Simulator (Chen et al. 2009) within AlphaSimR 146 (Gaynor et al. 2019). Recombination and mutation rates were respectively set to  $1.25 \times 10^{-8}$  per 147 base pair and  $1 \times 10^{-8}$  per base pair. Historical effective population size was simulated, 148 149 beginning with a single population, as follows; 100,000 at 6,000 generations ago, 10,000 at 150 2,000 generations ago, 5,000 at 1,000 generations ago, 1,000 at 100 generations ago. To mimic 151 the genetic separation of two heterotic groups the population was split 200 generations ago. 152 The final effective population size at the end of the coalescent simulation was set to 100 for 153 each heterotic group. These values were chosen to roughly follow the evolution of effective 154 population size in North American hybrid maize.

155

#### 156 Founder Genotypes

157

The founders served as the initial parents in the burn-in breeding phase. This was accomplished by randomly sampling gametes from the simulated genome to assign as sequences for the founders. 80 founders were created for each of the two heterotic groups. Sites segregating in the founders' sequences were randomly selected to serve as 2000 single nucleotide polymorphism (SNP) markers per chromosome (20000 total) and 300 quantitative trait nucleotides (QTL) per chromosome (3000 total). The randomly selected sites for SNP

164 markers and QTL were not allowed to overlap. The founders were converted to inbred lines by165 simulating the formation of doubled haploids (DH).

166

167 Trait Architecture

168

Three types of biological effects were modelled at each QTL to simulate genetic values: additive effects, dominance effects and genotype-by-year effects. Under the AlphaSimR framework, this is referred to as an ADG trait. We will give only a brief summary of the modelling procedure, while a detailed description can be found in the vignette of the AlphaSimR package (Gaynor et al. 2019).

A single trait representing grain yield, controlled by 3,000 QTL, was simulated for all 174 individuals. Each QTL was assigned an additive genetic effect, composed of additive and 175 176 genotype-by-year effects, and a dominance effect resulting from the interaction between alleles 177 at a heterozygous locus. Epistatic gene action was not considered. Three levels of genotypeby-year variance were examined: 0, 2 and 4 times the genetic variance. Dominance effects 178 179 were calculated by multiplying the absolute value of each QTL allele effect by a locus-specific 180 dominance deviation ( $\delta$ ). Dominance deviations were sampled from a normal distribution with 181 mean dominance deviation of 0.92 and variance of 0.2, to approximate historical levels of 182 heterosis displayed in commercial maize (Troyer and Wellin 2009). The genetic value of each 183 QTL was then defined as the sum of additive QTL effects and the dominance effect of 184 interacting alleles. Finally, the genetic value of each individual was obtained as the sum of all 185 of the QTL genetic values, accounting for the individuals' genotype at these QTL.

186 The genetic value of each individual was used to produce phenotypic values by adding 187 random error. The random error was sampled from a normal distribution with mean zero. The 188 variance of the random error varied according to the stage of evaluation in the breeding

189	program. Thi	s was done to account for increasing accuracy in the evaluation as the number of						
190	replications p	er entry increased. The values for these error variances were set to achieve target						
191	levels of heritability. The levels of heritability represented heritability on an entry-mean basis							
192	for the 80 founder genotypes when genotype-by-year variance was absent. The levels of							
193	heritability tl	nat were used are presented in the description of the conventional breeding						
194	program belo	w.						
195								
196	Conventional	Breeding Program						
197								
198	Burn-	in breeding for yield was simulated using 20 years of breeding in a conventional						
199	program with	out genomic selection. The design of the burn-in program approximated existing						
200	maize breedin	ng programs taken from Bernardo (2014; Table 8.3). The key features of the two						
201	heterotic grou	aps in this breeding program were:						
202	(i)	a crossing block consisting of 80 DH lines used to develop 80 biparental						
203		populations each year;						
204	(ii)	the development of 25 new DH lines from each biparental cross;						
205	(iii)	a 3-year cycle time from crossing to selection of new parents; and						
206	(iv)	a 6-year production interval from crossing to release of a new commercial						
207		hybrid.						
•••	A 71							

All selection in the burn-in program was performed using phenotypes. These phenotypes represented direct selection on yield using a yield trial. The levels of heritability at a particular selection stage were adapted from the number of DH lines and locations reported in Bernardo (2014; Table 8.3). A schematic for the overall design of the burn-in program is given in Fig. 1 and a detailed description follows below. Each of the stages, described below,

213	were conducted independently in the two heterotic groups. The progression of germplasm
214	through the breeding program was simulated using AlphaSimR (Gaynor et al. 2019).
215	
216	Year 1
217	
218	80 bi-parental populations were created with intra-heterotic group crosses. It was
219	ensured that each of the 80 parental DH lines was used as a male or female only once. Each
220	cross produced 25 $F_1$ derived doubled haploid lines (Geiger and Gordillo 2009). The 2,000 DH
221	lines were planted in separate plots. No selection was performed at this stage. Each DH line
222	was crossed to a single inbred tester.
223	
224	Year 2
225	
226	The 2,000 DH testcrosses (1 tester x 2,000 DH lines) were evaluated in the testcross 1
227	(TC1) stage. The TC1 stage represented yield measured in unreplicated, two-row plots across
228	6 locations. Selection in the TC1 stage was modelled as the selection on a yield phenotype with
229	a heritability of 0.54. The best performing 400 DH lines were advanced to the next trial based
230	on general combining ability. Each of the 400 DH lines was crossed to 3 inbred testers.
231	
232	Year 3
233	
234	The 1,200 DH testcrosses (3 testers x 400 DH lines) were evaluated in the testcross 2
235	(TC2) stage. The TC2 stage represented yield measured in unreplicated, two-row plots across
236	12 locations. Selection in the TC2 stage was modelled as the selection on a yield phenotype
237	with a heritability of 0.71. The best performing 40 DH lines were advanced to the next trial

238 based on general combining ability. These 40 DH lines were then crossed to 5 'elite' DH lines 239 from the other heterotic group. This produced 200 single cross experimental hybrids. 240 Year 4 241 242 243 The 200 experimental hybrids were evaluated in the elite yield trial (EYT) stage. The 244 EYT stage represented yield measured in unreplicated, two-row plots across 24 locations. 245 Selection in the EYT stage was modelled as the selection on a yield phenotype with a heritability of 0.82. The best performing 20 experimental hybrids were advanced to the next 246 247 trial. 248 249 Year 5 250 251 The 20 experimental hybrids were evaluated in the hybrid yield trial 1 (HYT1) stage. 252 The HYT1 stage represented yield measured in unreplicated, two-row plots across 48 locations. 253 Selection in the HYT1 stage was modelled as the selection on a yield phenotype with a 254 heritability of 0.98. The best performing 4 experimental hybrids were advanced to the next 255 trial. 256 257 Year 6 258 259 The 4 pre-commercial hybrids were evaluated in the hybrid yield trial 2 (HYT2) stage. 260 The HYT2 stage represented yield measured in on-farm strip tests of pre-commercial hybrids 261 across 600 locations. Selection in the HYT2 stage was modelled as the selection on a yield

262	phenotype with a heritability of 0.99. Commercial hybrids were selected for release from this
263	set of pre-commercial hybrids.
264	
265	Future Breeding
266	
267	The future breeding phase of the simulation modelled alternative breeding programs
268	and compared them against the conventional breeding program. Each breeding program was
269	simulated for an additional 20 years following a common burn-in breeding phase so that each
270	strategy could be evaluated with an equivalent starting point. Two crossing schemes were used
271	in breeding programs with a generation interval of 1 year or less. All breeding programs were
272	constrained to equivalent operating costs (Table 1).

<sup>Table 1 Summary of hybrid crop breeding program sizes (number of individuals across both heterotic
pools) and costs. DH, doubled haploid; EYT, elite yield trial; HYT I, hybrid yield trial 1; HYT II, hybrid
yield trial 2</sup> 

Breeding Program	<u>Parents</u>	<u>DH</u>	<u>TC1</u>	<u>TC2</u>	<u>EYT</u>	<u>HYT1</u>	<u>HYT2</u>	<u>Cost (\$)</u>
Conventional	80	4000	4000	2400	400	40	8	1,276,800
Conventional Plus Genomic Selection Strategies	80	3840	3840	2196	400	40	8	1,273,680
Two-Part Strategies	80	3200	3200	1728	400	40	8	1,271,040

276

# 277 Cost Equalizing Strategy

To ensure approximately equal operating costs across different breeding programs, the number of DH lines tested across the product development component was reduced in breeding programs using genomic selection. Table 1 details the exact numbers in each of the breeding programs using genomic selection. Since the two-part breeding programs used multiple cycles per year, they resulted in up to three times as many crosses per year. Therefore, the number of candidate DH lines was reduced further compared to the conventional plus genomic selection
breeding programs. To equalize genotyping costs within the population improvement
component of the two-part strategy, the number of genotyped offspring per cross was reduced
with each additional crossing cycle per year: (i) 1 cycle/year – 80 seeds genotyped per cross;
and (ii) 3 cycles/year – 26 seeds genotyped per cross. The remaining components of the
breeding programs were kept constant.

289 Estimated costs for genotyping, phenotyping and producing DH lines were used in the 290 equalisation of operating costs. The cost for producing doubled haploid lines was estimated at 291 \$45 based publicly the lowest advertised price on 292 (http://www.plantbreeding.iastate.edu/DHF/DHF.htm). Genotype costs and phenotypic 293 evaluation of a yield trial plot, were assumed to be equivalent at a cost of \$15 294 (http://techservicespro.com/test-locations/). The cost for crossing, which in any case would be 295 small, was not considered even though it varied between breeding programs.

296

# 297 Genomic Selection Training Population & Method

298

299 Genomic selection in each hybrid crop breeding program used initial training 300 populations comprising the last 3 years of testcross I and II yield trial data from the recent 301 breeding burn-in phase. Separate training populations were developed for each of the two 302 heterotic pools. Thus, the initial training populations comprised phenotypic records on 7,200 303 testcross genotypes. The training populations were updated in subsequent years via a 3-year 304 sliding window approach, in which the oldest year of data was replaced with the data from the 305 newest year. As a consequence of the cost equalisation process the training population sizes 306 were reduced to phenotypic records on 6,858 and 5,664 testcross genotypes for conventional 307 genomic selection and two-part breeding strategies, respectively.

308	The genotypes and testcross phenotypic means of the DH selection candidates were
309	fitted using the genomic selection model used by Bernardo and Yu (2007), and the genetic
310	background of the tester was accounted for by fitting a tester-by-stage fixed effect. A separate
311	genomic selection model was fitted for each heterotic pool. Genomic predictions were
312	calculated using the AlphaSimR function "RRBLUP". This function fits a ridge regression best
313	linear unbiased prediction model (Whittaker et al. 2000). It models the heterogeneous error
314	variance due to different levels of error in each yield trial by weighting for the effective number
315	of field measurements.

316

# 317 Conventional plus Genomic Selection Breeding Programs

318

Three conventional plus genomic selection breeding programs were used to quantify the increase in genetic gain due to the implementation of genomic selection within the traditional structure of a conventional breeding program. The design of these programs used the conventional program as a template. Minimal modifications were made to this template to produce the designs for each strategy.

324

# 325 Conventional Genomic Selection Breeding Program

326

The conventional plus genomic selection (ConvGS) breeding program used genomic selection to advance candidate DH lines in the testcross 1 and testcross 2 stages and to select parental lines for the subsequent breeding cycle. The parental lines were selected by choosing the 80 DH lines with the highest genomic estimated breeding values (GEBVs) from a set of candidates that comprised all DH lines from the testcross 2 stage and later yield trials. The

- minimum cycle time from bi-parental cross to the selection of new parental lines was 3 years,the same as in the conventional breeding program.
- 334

# 335 Genomic Selection Testcross 1 Breeding Program

336

337	The genomic selection testcross 1 (GS-TC1) breeding program used genomic selection
338	to advance candidate DH lines in the testcross 1 and testcross 2 stages and to select parental
339	lines for the subsequent breeding cycle. The parental lines were selected by choosing the 80
340	DH lines with the highest GEBVs from a set of candidates that comprised all DH lines from
341	the testcross 1 stage and later yield trials. This reduced the minimum cycle time from bi-
342	parental cross to the selection of new parental lines from 3 years in the conventional program
343	to 2 years.
344	
345	Genomic Selection Doubled Haploids Breeding Program
346	
347	The genomic selection doubled haploids (GS-DH) breeding program used genomic
348	selection to advance candidate DH lines in the testcross 1 and testcross 2 stages and to select
349	parental lines for the subsequent breeding cycle. The parental lines were selected by choosing
350	the 80 DH lines with the highest GEBVs from a set of candidates that comprised all DH lines

351 from the DH stage. This reduced the minimum cycle time from bi-parental cross to the selection

352 of new parental lines from 3 years in the conventional program to 1 year.

353

354 **Two-Part Breeding Programs** 

Crossing and selection of new parents in the two-part breeding programs was handled in the population improvement component (Fig. 3), which consisted of one or three crossing cycles per year (TP GS (1 cycle/year) and TP GS (3 cycles/year)). Parents were then grown in greenhouses and at the appropriate stage, crossings were undertaken following a circular scheme or a maximum avoidance of inbreeding scheme as described in the next section.

361 The product development component of the two-part program screened the germplasm to identify new commercial hybrids (Fig. 3). This process began with the production of the new 362 363 DH lines. The DH lines were screened for testcross and single cross hybrid performance in the 364 same manner as in the conventional plus genomic selection strategies. In two-part breeding 365 programs none of the DH lines were selected for the crossing block, but their genomic and 366 testcross phenotypic data were added to the genomic selection training population. This 367 allowed the genomic selection model used in the population improvement component to be 368 updated over time as new material was evaluated in the field.

369

#### 370 Crossing of Parents

371

372 Two crossing schemes were used in hybrid crop breeding programs:

373 (i) a circular crossing scheme; and

374 (ii) a maximum avoidance of inbreeding crossing scheme.

The circular crossing scheme used both between-family and within-family selection to select the 80 selection candidates with the highest genomic estimated breeding values (GEBVs). In each generation 80 crosses were made, ensuring that each parent was used only once as a male and a female. Consequently, this circular crossing scheme is different from the 'circular design' described by Kimura & Crow (1963), which only conducts within-family selection. 380 Hybrid crop breeding programs using genomic selection, with a generation interval of 381 1 year or less, also used the maximum avoidance of inbreeding crossing scheme. Maximum 382 avoidance of inbreeding is a crossing scheme that maintains uniform contributions and 383 inbreeding coefficients across all crosses (Wright 1921; Kimura and Crow 1963). The 384 maximum avoidance of inbreeding crossing scheme mates the least related crosses in the first 385 generation and maintains this crossing structure over generations. In the present study, the 386 maximum avoidance of inbreeding scheme used within-family genomic selection to select the 387 2 selection candidates with the highest GEBVs per cross as new parents, giving 160 parents in 388 total. In each generation 80 crosses were made, ensuring that each parent was used only once 389 as a male or a female.

390

- **391 Comparison of Breeding Programs**
- 392

The performance of each breeding program was measured by comparing genetic gain and genetic variance of hybrids from the EYT stage. These hybrids were the crosses between all DH lines at the EYT stage from the two heterotic pools. The EYT stage was examined because it is the earliest stage in which all breeding programs evaluate DH lines for single cross hybrid performance. Genetic gain and genetic variance in the breeding programs were assessed by plotting mean and variance of true genetic values for hybrids at the EYT stage over time.

Accuracy of genomic prediction, defined in the next section, was assessed at the DH stage. The DH stage was examined because it allowed an assessment of the ability to rank all possible DH lines as parents of hybrids in the two heterotic pools. Accuracy of genomic predictions was also assessed in the population improvement components to estimate the accuracy of parent selection in the two-part breeding programs.

404	To aid in visualization, the mean values were centred at the mean value for lines in Year
405	0 for each replicate. Year 0 was defined as the last year of the burn-in phase. Direct comparisons
406	between breeding programs for genetic gain, genetic variance and accuracy of genomic
407	predictions were reported as ratios with 95% confidence intervals (95% CI). These ratios and
408	95% CI were calculated by performing paired Welch's t-tests on log-transformed values from
409	the 10 simulation replicates. The log-transformed differences and 95% CI from the t-test were
410	then back-transformed to obtain ratios (Ramsey and Schafer 2002). All calculations were
411	performed using R (R Development Core Team 2014).

412

413 Measurement of genomic selection accuracy

414

415 Accuracy of genomic prediction was defined as the correlation between the general 416 combining ability (GCA) of DH lines and their GEBV. The GCA of an individual was 417 calculated as the sum of all the average effects at the QTL weighted by the individuals genotype 418 at these QTL. The average effects of alleles were calculated using allele frequencies from the 419 corresponding population of DH lines in the other heterotic pool and the true simulated additive 420 and dominance effects for each QTL. Therefore, the GCA of a DH line reflected its average 421 performance as a parent in single cross hybrids when crossed to all DH lines from the other 422 heterotic group.

## 424 **Results**

425 Genomic selection increased the rate of genetic gain compared to phenotypic selection 426 in hybrid crop breeding programs, mainly by reducing the generation interval. The two-part 427 hybrid crop breeding program, with a generation interval of 0.33 years, produced the most 428 genetic gain regardless of genotype-by-year variance. Genomic selection increased the 429 selection accuracy compared to phenotypic selection in the early stages of hybrid crop breeding 430 programs. There was a perfect rank correlation between the reduction in the generation interval 431 and the reduction of genetic variance in hybrid crop breeding programs. Genomic selection 432 reduced the efficiency of conversion of genetic variance into genetic gain compared to 433 phenotypic selection. However, the use of the maximum avoidance of inbreeding crossing 434 scheme slowed the reduction of genetic variance and increased the efficiency of conversion of 435 genetic variance to genetic gain compared to the circular crossing scheme.

436

#### 437 Genetic Gain

438

439 Genomic selection increased the rate of genetic gain compared to phenotypic selection 440 in hybrid crop breeding programs, mainly by reducing the generation interval. This is shown in Fig. 4, which presents the mean genetic value of hybrids at the elite yield trial stage. The 441 442 first graph shows the trends for the mean for each of the breeding programs evaluated in the 443 future breeding component when genotype-by-year variance is 0. The second graph shows the 444 same trends for genotype-by-year variance of 4. Both graphs show that the two-part breeding 445 program, with the shortest generation interval of 0.33 years, produced the most genetic gain. When genotype-by-year was 0, the TP GS (3 cycles/year) breeding program, which had the 446 447 shortest generation interval of 0.33 years, generated 2.01 times the genetic gain of the Conv 448 breeding program, which had the longest generation interval of 3 years.

Fig. 4 also shows that the ranking of hybrid crop breeding programs for genetic gain was consistent across different genotype-by-year variances. This is shown by the average genetic values of hybrids in the final year (Year 20) of each graph. There was a perfect rank correlation between the generation interval and genetic gain of the breeding programs. Both graphs show the ranking from highest to lowest average genetic value was: TP GS (3 cycles/year), GS-DH, TP GS (1 cycle/year), ConvGS, Conv.

However, the relative differences between hybrid crop breeding programs using genomic selection and those using phenotypic selection were smaller when genotype-by-year variance was 4. At this level of genotype-by-year variance, the best performing two-part hybrid crop breeding program, TP GS (3 cycles/year), generated 1.96 times the genetic gain of the conventional breeding program. When genotype-by-year variance was 0, this value was 2.01. The relative differences in genetic gain between hybrid crop breeding programs using genomic selection remained constant across different levels of genotype-by-year variance.

Fig. 4 also shows that adding genomic selection to the conventional program without reducing the generation interval did not show a significant increase in genetic gain. This is shown by comparing genetic gain in the Conv program with genetic gain in the ConvGS program. The ConvGS program produced 1.08 (95% CI [1.00, 1.16]) and 1.08 (95% CI [0.98, 1.18]) times the genetic gain of the Conv program, when genotype-by-year variance was 0 and 4, respectively.

All breeding programs using genomic selection displayed a similar genetic gain prior to Year 5 (Fig. 4). Year 5 was the first year that hybrids at the elite yield trial stage were derived from parents selected by genomic selection. Therefore, the differences in genetic gain between Year 1 and Year 5 reflect the difference between using genomic selection or phenotypic selection on existing germplasm from the burn-in.

The TP GS (1 cycle/year) breeding program did not generate genetic gain in Year 5 and Year 6. This is because no selection was undertaken in the first two generations of future breeding of two-part hybrid crop breeding programs. These first two generations were required to convert the doubled haploid inbred parents from burn-in breeding into outbred parents. The TP GS (3 cycles/year) breeding program did not show this lag as it was able to complete this process and one cycle of selection within the first year of future breeding.

479

#### 480 Selection Accuracy

481

482 Genomic selection increased the selection accuracy compared to phenotypic selection 483 in the early stages of hybrid crop breeding programs. This is show in Fig. 5, which plots the 484 correlations between the simulated, true general combining abilities (GCA) for DH lines at the 485 DH stage and their GEBV. The first graph shows the mean selection accuracy for all breeding 486 programs when genotype-by-year variance was 0. The second graph shows the same trends for 487 genotype-by-year variance of 4. The selection accuracies in the hybrid crop breeding programs 488 using genomic selection were higher than those using phenotypic selection. In Year 1 when 489 genotype-by-year variance was 0, all hybrid crop breeding programs using genomic selection 490 had a selection accuracy of 0.73 while the Conv breeding program had a selection accuracy of 491 0.24.

Fig. 5 also shows that selection accuracies in all hybrid crop breeding programs decreased over the years of the simulation, and this decrease had a perfect rank correlation with the generation interval of hybrid crop breeding programs. This is shown by the average selection accuracy in the final year (Year 20) of each figure. Both figures show the ranking from highest to lowest average selection accuracy was: ConvGS, TP GS (1 cycle/year), GS- 497 DH, TP GS (3 cycles/year). The ranking of hybrid crop breeding programs for selection
498 accuracy was consistent across different genotype-by-year variances.

499 Selection accuracy in the two-part hybrid crop breeding programs was also measured 500 in the population improvement stage. This is shown in Fig. 6, which plots the correlation 501 between the simulated, true GCA for parental candidates and their GEBV for each cycle of 502 crossing. There were one and three cycles of crossing per year. Therefore, each cycle is plotted 503 at one third of a year increments in Fig. 6. The first figure shows the selection accuracy when 504 genotype-by-year variance was 0. The second figure shows the selection accuracy when 505 genotype-by-year variance was 4. Fig. 6 shows the change in selection accuracy over time 506 differed between the two-part breeding programs. The first figure shows that the TP GS (1 507 cycle/year) breeding program displayed a gradual decrease in selection accuracy over time. 508 The TP GS (3 cycles/year) breeding program displayed a faster decrease in selection accuracy 509 and selection accuracy becomes 0 in Year 16. The second figure shows that selection accuracy 510 remained constant over time when genotype-by-year was 4. Both graphs show yearly 511 oscillations which correspond to the yearly updating of the training population.

512

#### 513 Genetic Variance

514

All hybrid crop breeding programs displayed a reduction of genetic variance over simulation years. However, hybrid crop breeding programs using genomic selection caused a faster reduction of the genetic variance than the hybrid crop breeding program using phenotypic selection. This is shown in Fig. 7, which plots the change in genetic variance of hybrids at the elite yield trial stage over simulation years. The first graph shows the change in genetic variance for each future breeding program when genotype-by-year variance equals 0. The second graph shows the same breeding programs when genotype-by-year variance equals 4. The conventional breeding program displayed a gradual and consistent reduction of genetic variance across simulation years. All hybrid crop breeding programs using genomic selection displayed a large initial reduction of genetic variance. At Year 3 when genotype-by-year was 0, the Conv breeding program had 1.25 times the genetic variance of the ConvGS breeding program. The reduction of genetic variance in subsequent years differed between the hybrid crop breeding programs using genomic selection.

528 Fig. 7 shows the change in genetic variance had a perfect rank correlation with the 529 generation interval of hybrid crop breeding programs using genomic selection. This is shown 530 by the genetic variance in the final year (Year 20) of each plot. Both graphs show the ranking 531 from highest to lowest genetic variance was: ConvGS, TP GS (1 cycle/year), GS-DH, TP GS 532 (3 cycles/year). When genotype-by-year variance was 0, the TP GS (3 cycles/year) breeding 533 program had 0.44 times the genetic variance of the GS-DH breeding program and 0.07 times 534 that of the Conv breeding program in Year 20. When genotype-by-year variance was 4, these 535 values were 0.53 and 0.11, respectively. The ranking of hybrid crop breeding programs for 536 genetic variance was consistent across different genotype-by-year variances.

537

#### 538 Crossing Schemes

539

Genomic selection with the maximum avoidance of inbreeding crossing scheme produced similar genetic gain over the circular crossing scheme, but maintained higher levels of genetic variance. Therefore, the maximum avoidance of inbreeding crossing scheme had a higher conversion efficiency compared to the circular scheme. This is shown in Fig. 8, which plots the genetic gain against the reduction of genetic variance for each hybrid crop breeding program-crossing scheme combination. All hybrid crop breeding programs using genomic selection with the circular crossing scheme had lower efficiency than the conventional breeding

547 program using phenotypic selection. The first graph shows the trends for the mean values when 548 genotype-by-year variance is 0. The second graph shows the same trends for genotype-by-year 549 variance of 4. Fig. 8 also shows that hybrid crop breeding programs using genomic selection 550 with the maximum avoidance crossing scheme had comparable conversion efficiency to the 551 conventional breeding program using phenotypic selection. This ranking was consistent across 552 different levels of genotype-by-year variance. Because the conversion efficiencies followed a 553 very clear non-linear path (Fig. 8) it was not possible to formally test for statistically significant 554 differences.

# 556 Discussion

557	The results of this study highlight four points for discussion:
558	(i) the impact of reciprocal recurrent genomic selection on the drivers of genetic
559	gain in hybrid crop breeding programs;
560	(ii) the impact of crossing schemes on genetic gain in hybrid crop breeding
561	programs;
562	(iii) the limitations of the simulation undertaken; and
563	(iv) the practical implementation of the two-part strategy in real hybrid crop
564	breeding programs.
565	
566	The impact of reciprocal recurrent genomic selection on the drivers of genetic gain in
567	hybrid crop breeding programs
568	
569	Generation Interval
570	Reciprocal recurrent genomic selection increased the rate of genetic gain in hybrid crop
571	breeding programs, mainly by a reduction in generation interval. In an animal breeding context
570	

572 reciprocal recurrent genomic selection is a method that uses crossbred data to predict parent specific breeding values (Kinghorn et al. 2010). In a hybrid crop breeding context, parent 573 574 specific breeding values can be predicted using hybrid phenotypes and inbred parental genotypes, and previous studies have predicted that reciprocal recurrent genomic selection 575 576 could improve rates of genetic gain (Longin et al. 2013; Rembe et al. 2019). The results in the 577 present study support this, showing that using reciprocal recurrent genomic selection increased 578 genetic gain within a conventional hybrid crop breeding program design. However, the development of inbred parents takes time, which increases the generation interval (Griffing 579

580 1975). The recently proposed two-part strategy (Gaynor et al., 2017) provides a framework to 581 remove this time delay by using outbred parents. In the context of hybrid crop breeding 582 programs, the two-part strategy enables reciprocal recurrent genomic selection and the 583 completion of multiple generations per year. The two-part strategy for hybrid crop breeding 584 programs outlined in this study used this principle to achieve a generation interval of 0.33 585 years. Over the first ten years of breeding this drove a 1.33-fold increase in genetic gain 586 compared to the best performing conventional plus genomic selection breeding program. While 587 this is an important increase in rate of genetic gain, it is lower than the 3-fold expectation based 588 on the breeder's equation (Lush 1943), which can be explained by decreases in the selection 589 accuracy and genetic variance over time.

590

591 Selection Accuracy

592 Rapid decreases in genomic selection accuracy were observed in two-part hybrid crop593 breeding programs and can be explained by:

- (i) a larger number of generations separating individuals in the 'trainingpopulation' and selection candidates; and
- 596 (ii) the reduction of the genetic variance of the selection candidates due to genomic597 selection.

In the present study, the two-part breeding program, with the shortest generation interval of 0.33 years, displayed the fastest decrease in genomic selection accuracy over time. The decreasing trend in selection accuracy is consistent with previous simulations of two-part breeding strategy for inbred crops (Gaynor et al. 2017; Gorjanc et al. 2018), although, the trends in the present study were much larger. There are a number of possible reasons for this.

Fluctuations in the average effect (of an allele substitution) over time driven by different
 trait genetic architectures can partly explain the lower selection accuracy in the present study

605 compared to previous studies. The present study simulated a trait including both additive and 606 dominance variation. When dominance contributes to trait variation, the average effects of 607 alleles can change due to changes in allele frequencies (Falconer and Mackay 1996). The 608 genomic estimated average effects of alleles are confounded with the 'training population' 609 allele frequency, so they may not provide good estimates for average effects of alleles in the 610 selection candidate whose allele frequency can be different. The previous studies of Gaynor et 611 al. (2017) and Gorjanc et al. (2018) simulated strictly additive traits, so the average effects of 612 alleles remained constant.

613 The more rapid decrease in genomic selection accuracy in this study compared to 614 previous implementations of two-part strategies may also be partially attributable to different 615 strategies for updating the 'training population'. Previous studies accumulated records in the 616 'training population' across all years of future breeding, while in this present study, the size of 617 the 'training population' was kept constant over time by updating the training population using 618 a 3-year sliding window approach. This resulted in a training population that trended to half 619 the size of that used in Gaynor et al. (2017) and a quarter of the size used in Gorjanc et al. 620 (2018). Thirdly, the higher number of generations per year used in population improvement 621 caused a greater divergence in relatedness between the training population and selection 622 candidates compared to Gaynor et al. (2017).

623

624 Genetic Variance

The two-part strategy caused a rapid reduction of genetic variance in hybrid crop breeding programs. The reduction of genetic variance when using the two-part strategy may largely depend on how the additional genotyping costs in the population improvement are offset. Under a fixed budget, the additional genotyping costs could be offset in two simple ways. In the first strategy, the number of genotyped individuals per cross could be held constant 630 while the number of parents and crosses could be reduced for each additional cycle of 631 population improvement per unit time. However, such a strategy would cause a reduction of 632 the effective population size and this could expose the breeding program to accelerated 633 reduction of genetic variance due to genetic drift (Charlesworth 2009). Therefore, to overcome 634 this risk, this study implemented an alternative strategy that maintained the number of parents 635 and crosses but reduced the number of genotyped individuals per cross. This alternative 636 strategy aims to maintain the effective population size and mitigate the reduction of genetic 637 variance due to genetic drift. The results from the present study show that this alternative 638 strategy was not sufficient to mitigate the accelerated reduction of genetic variance in two-part 639 hybrid crop breeding programs using the circular crossing scheme. The two-part breeding 640 program using the circular crossing scheme, with a generation interval of 0.33 years, displayed 641 the fastest decrease in genetic variance over time. The large decreases in genetic variance with such an aggressive approach limited long-term genetic gain. Therefore, the maximum 642 643 avoidance of inbreeding crossing scheme was explored.

644

# 645 The impact of crossing schemes on the conversion efficiency in hybrid crop breeding 646 programs

Reciprocal recurrent genomic selection with the maximum avoidance crossing scheme had the highest conversion efficiency, comparable to that of phenotypic selection. Genomic selection with the circular design crossing scheme had the lowest conversion efficiency. The higher conversion efficiency of the maximum avoidance crossing scheme was driven by a slower reduction of genetic variance over time compared to the circular scheme.

652 Crossing schemes are designed to manage genetic variance in breeding populations by 653 avoiding the mating of closely related individuals. The circular scheme used in the present 654 study does this by equalising the contributions of each cross. However, it can create higher 655 levels of inbreeding compared to other crossing schemes because multiple parents could be 656 selected from the same family if sufficient differences in the estimates of family means exist. 657 Over generations this could result in large decreases in genetic variance due to genetic drift. 658 Maximum avoidance of inbreeding is a crossing scheme that maintains uniform contributions 659 and inbreeding coefficients across all crosses (Wright 1921; Kimura and Crow 1963). The maximum avoidance of inbreeding crossing scheme mates the least related crosses in the first 660 661 generation and uses within-family truncation selection to choose new parents. In the present 662 study, maximum avoidance worked well. Two-part hybrid crop breeding programs using maximum avoidance showed much smaller reductions in genetic variance than those using 663 664 circular scheme.

The higher conversion efficiency of the maximum avoidance crossing scheme was 665 important for the long-term genetic gain of hybrid crop breeding programs. Long-term genetic 666 667 gain is dependent on the ability to exploit the within-family component of a breeding value, which is called the Mendelian sampling term (Wray and Thompson 1990; Meuwissen 1997; 668 669 Pong-Wong and Woolliams 1998; Woolliams et al. 2015). Reciprocal recurrent genomic 670 selection enabled a high within-family selection accuracy (Fig. 8), which both the maximum 671 avoidance and circular scheme crossing schemes exploit. However, the maximum avoidance 672 scheme preserved more genetic variance which also maintained a higher within-family 673 selection accuracy. Therefore, the two-part hybrid crop breeding programs using the maximum 674 avoidance crossing scheme displayed the highest long-term genetic gain.

675

676 The limitations of the study

The simulations conducted in the present study did not model the full complexity of actual hybrid crop breeding programs. In this section the limitations and impact of key assumptions are discussed:

- 681 (i) assumptions about the genetic architecture;
- 682 (ii) assumptions that impact genomic selection accuracy;
- 683 (iii) assumptions about the reciprocal recurrent genomic selection model;
- 684 (iv) assumptions about the making of crosses;
- 685 (v) assumptions about the ratio between genotyping and phenotyping costs;
- 686 (vi) assumptions about the complexity of the breeding goal;
- 687 (vii) assumptions that impact the maintenance of genetic variance.

#### 688 Assumptions about the genetic architecture

689 The simulated trait was controlled by 3,000 QTL, a dominance degree of 0.9, a 690 dominance variance of 0.3, and a heterotic pool split that occurred 100 generations ago. These 691 values were chosen as they produced long-term trends for inbred and hybrid performance that 692 reflected those observed in real data (Troyer and Wellin 2009). The main focus of the present 693 study was on genetic gain, which relates to general combining ability (Sprague and Tatum 694 1942). We hypothesise that tuning the parameters to match long term genetic gain results in 695 greater uncertainty in variance due to specific combining ability rather than variance due to 696 general combining ability. Therefore, the assumptions about the genetic architecture of the 697 simulated trait are likely to have limited impact on the conclusions of this study.

698

# 699 Assumptions that impact genomic selection accuracy

The genomic selection accuracies observed in these simulations are likely higher than those in real-world conditions. As previously described by Gaynor et al. (2017), this was because of conditions in the simulation that favoured high genomic selection accuracy such as

- 703 (i) molecular markers with no genotyping errors;
- 704
- (ii) genetic control of the trait that did not involve epistasis; and
- 705 (iii) a closed breeding program.

706 The accuracy of genomic selection affects the genetic gain of the simulated breeding 707 programs. These effects should affect all hybrid crop breeding programs using genomic 708 selection similarly, which suggests that using the two-part strategy should still outperform the 709 other genomic selection breeding strategies. However, the relative performance of breeding 710 programs using phenotypic selection to the breeding programs using genomic selection could 711 change. If this were to occur, the hybrid crop breeding programs using the two-part strategy 712 should still outperform the conventional breeding program because of the magnitude of 713 difference observed in the simulation.

714

# 715 Assumptions about the making of crosses

716 An important assumption was how the present study performed crossing. As in Gaynor 717 et al. (2017), the present study did not consider maturity differences between male and female 718 parents. Maturity differences between prospective parents could result in particular crosses 719 being missed, which could bring additional costs. Maturity differences could have specific 720 impacts on the two crossing schemes used in the present study. Maturity differences could 721 prevent each parent from being used twice, once as a male and once as a female, which was 722 assumed in the circular design crossing scheme. Maturity differences could also result in 723 missed crosses in the maximum avoidance crossing scheme. However, the flexibility of the 724 maximum avoidance crossing scheme could account for maturity differences between 725 prospective parents by replacing them by their next best-ranking siblings. Such maturity 726 differences could have a more substantial impact on two-part breeding programs compared to 727 the conventional plus genomic selection breeding programs, due to a higher number of crosses

per year and lower seed availability in the two-part. However, the implications of maturity differences are likely to be relatively small and therefore have a relatively small effect on the performance of hybrid crop breeding programs.

731

Assumptions about the ratio between genotyping and phenotyping costs

733 The present study considered the costs of genotyping and phenotyping to be equal, and 734 may not reflect current or future cost ratios for different breeding operations. The ratio between 735 genotyping and phenotyping costs is more than likely to reduce in the future. Improvements in 736 technology and the benefits from economy of scale could reduce genotyping costs in the future. 737 Phenotyping costs could also reduce in the future, but likely at a slower rate. Any reduction in 738 the ratio between genotyping and phenotyping costs would reduce the reallocation of resources 739 required for the deployment of genomic selection, which is likely to favour hybrid crop 740 breeding programs with high genotyping requirements, such as those using the two-part 741 strategy.

742

#### 743 Assumptions about the complexity of the breeding goal

744 The commercial products of hybrid crop breeding programs are a small number of 745 hybrid varieties that are each planted on thousands to millions of acres. Therefore, these 746 commercial products need to meet a wide range of requirements across a wide range of 747 potential target production environments. Hybrid crop breeding programs must consider 748 multiple traits relating to agronomic performance, disease resistance, and end-use quality. The 749 hybrid crop breeding programs examined in this simulation only considered a single 750 quantitative trait with 3,000 QTL. We assumed that this trait represented grain yield. However, 751 it could equally represent a selection index with a few additional assumptions: all traits are 752 measured on all individuals, all traits are pleiotropic, and economic merit is linear.

753

# 754 Assumptions that impact the maintenance of genetic variance

755 The present study showed there might be a potential risk for the rapid reduction of 756 genetic variance in two-part hybrid crop breeding programs. The present study may 757 overemphasise the issue of reduction of genetic variance in hybrid crop breeding programs, 758 due to a combination of simulating a closed system, non-epistatic trait architecture, high 759 genomic selection accuracy and a simplified breeding goal. Two crossing schemes to mitigate 760 the reduction of genetic variance, the circular design and maximum avoidance, were used in 761 the present study. Still, the two-part hybrid crop breeding programs displayed rapid reductions 762 of genetic variance using both crossing schemes. Optimal contribution selection (Meuwissen 763 1997; Kinghorn et al. 1999; Woolliams et al. 2015; Gorjanc et al. 2018) and optimal cross 764 selection (Allier et al. 2019) enable more complex crossing designs to avert the reduction of 765 genetic variance in breeding programs. Such methods have the added benefit of balancing the 766 choice between the maintenance of genetic variance, versus genetic gain and can, therefore, be 767 tailored to prioritise short- and long-term genetic gain in two-part hybrid crop breeding 768 programs. However, due to the large number of simulated scenarios optimal contribution 769 methods were not used because they have high computational costs compared to the crossing 770 schemes used in the present study.

771

#### 772 Further opportunities for the two-part strategy in real hybrid crop breeding programs

773

The present study demonstrated that the deployment of the two-part strategy could significantly increase genetic gain compared to current hybrid crop breeding program designs. However, the implementation of the two-part strategy in hybrid crop breeding programs requires some additional developments.

778 Alternative strategies for managing genetic variation need to be explored. The 779 maximum avoidance of inbreeding crossing scheme used in this simulation only works in a 780 closed breeding pipeline, so it cannot accommodate germplasm exchange. The maximum 781 avoidance of inbreeding crossing scheme also offers little freedom to alter the balance between genetic gain and maintenance of diversity. More advanced strategies based on optimal 782 783 contribution selection offer the potential to address both these limitations and should be 784 explored (Meuwissen 1997; Woolliams et al. 2015). Further, a pre-breeding process could be 785 integrated into the population improvement component of each heterotic pool to introgress 786 external germplasm from gene banks or other sources (Gorjanc et al. 2016; Yang et al. 2019).

787 The creation of an outbred training population could partially mitigate the rapid 788 reduction of genomic selection accuracy in two-part hybrid crop breeding programs. The 789 entries in this outbred training population would consist of progeny from testcrosses to 790 genotyped plants from the population improvement component. The progeny would be 791 evaluated in plots to test the merit of their parents. This strategy is similar to older strategies 792 for early testing of inbred lines (Sprague 1946). This data could be used to increase selection 793 accuracy because it would reduce the genetic distance between the training and prediction 794 individuals, which is known to be a significant determinant of accuracy (Habier et al. 2007; 795 Clark et al. 2012). For example, in the current simulation the maximum number of generations 796 between the selection candidates and the most recent training population lines could be cut in 797 half by bypassing the creation of DH lines. Finally, a more speculative additional use of such 798 an outbred training population would be to directly derive inbred lines from this population via 799 apomixis.

800 The further opportunities for the two-part strategy in hybrid crop breeding programs, 801 outlined here, incur further costs such as additional genotyping. Therefore, the implementation 802 of the two-part strategy requires resource reallocation to account for these additional costs. The 803 present study reallocated resources by reducing the number of selection candidates evaluated 804 in the product development pipeline and maximised the number of crosses per generation in 805 the population improvement component. However, the optimal resource reallocation strategy 806 may differ between breeding programs. For example, breeding programs serving a smaller 807 geographical region could reduce the number of trial locations in the product development 808 pipeline. Therefore, optimal resource reallocation strategies require further research.

809 The deployment of the two-part strategy is a fundamental change to current practices 810 in breeding programs and is currently untested empirically. Therefore, the development of 811 bespoke transition strategies is required which would build the data sets the two-part strategy 812 requires and build empirical confidence in its performance.

813

# 814 Conclusions

815 Hybrid crop breeding programs using a two-part strategy produced the most genetic gain, 816 but a maximum avoidance of inbreeding crossing scheme was required for it to increase long-817 term genetic gain. The two-part strategy uses outbred parents to complete multiple generations 818 per year in hybrid crop breeding programs. In contrast conventional plus genomic selection 819 strategies are limited in this regard by the time they take to develop inbred lines. The maximum 820 avoidance of inbreeding crossing scheme manages genetic variance by maintaining uniform 821 contributions and inbreeding coefficients across all crosses. This study performed stochastic 822 simulations to quantify the potential of a two-part strategy in combination with two crossing 823 schemes to increase the rate of genetic gain in hybrid crop breeding programs. Three main 824 conclusions can be drawn from the results:

825 826  (i) the implementation of genomic selection in hybrid crop breeding programs increases the rate of genetic gain;

- 827 (ii) the two-part strategy was the most cost-effective strategy for implementing828 genomic selection in hybrid crop breeding programs.
- 829 (iii) two-part hybrid crop breeding programs completing multiple selection cycles
  830 per year should use crossing schemes to manage genetic variance.
- 831 As well as the benefits outlined in this study, the flexibility of the two-part strategy offers
- 832 further opportunities to integrate new technologies to further increase genetic gain in hybrid
- 833 crop breeding programs, such as the use of outbred training populations. However, the
- 834 practical implementation of the two-part strategy will require the development of bespoke
- transition strategies to fundamentally change the data, logistics, and infrastructure that
- underpin hybrid crop breeding programs.
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# 852 Author contributions statement

- 853 OP and JMH conceived the study. OP, JMH and RCG designed the study. OP developed the
- plant breeding program simulation. OP wrote the manuscript with input from all authors. All
- authors read and approved the final manuscript.

856

# 857 Acknowledgments

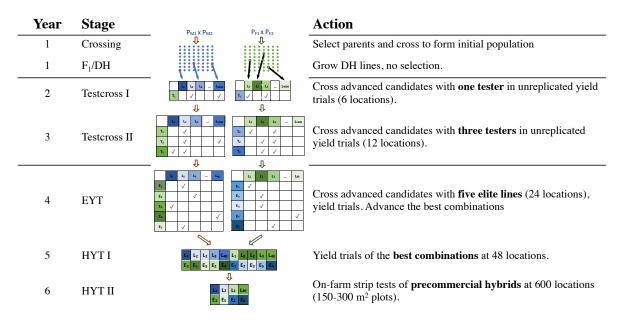
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# 862 **Conflict of interest**

863 The authors declare that they have no conflict of interest.

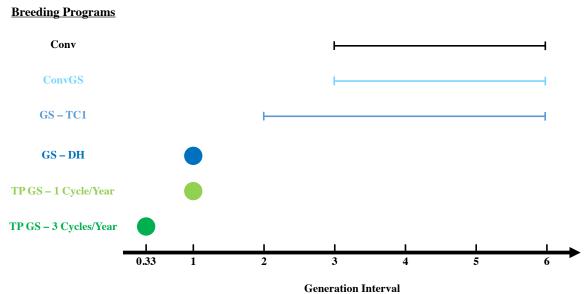
# 864 Figures



#### 865 866

Fig. 1 Overview of breeding schemes for the conventional hybrid crop breeding program (used in burn-in
 breeding) and the breeding programs using standard genomic selection strategies. DH, doubled haploid;
 EYT, elite yield trial; HYT I, hybrid yield trial 1; HYT II, hybrid yield trial 2

#### 869



**Selection of Parents** 

Fig. 2 The generation intervals of hybrid crop breeding programs. Conv, conventional breeding program;
 ConvGS, conventional program with genomic selection; GS-TC1, genomic selection program with parents
 selected in the testcross 1 stage; GS-DH, genomic selection program with parents selected in the doubled haploid
 stage; TP GS, 1 Cycle/Year, two-part program with genomic selection; TP GS, 3 Cycles/Year, two-part program
 with genomic selection

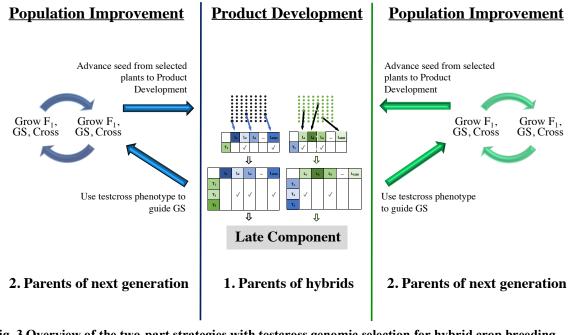


Fig. 3 Overview of the two-part strategies with testcross genomic selection for hybrid crop breeding
programs (TP GS, 1 Cycle/Year, TP GS, 3 Cycles/Year). The number of crosses differed for each two-part
breeding program to maintain equal operating costs. See Table 1



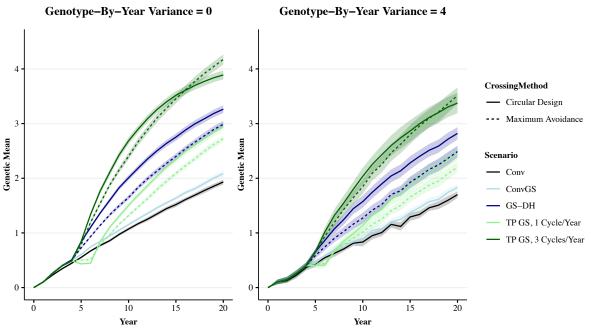




Fig. 4 Genetic gain for all breeding programs over simulation years. Genetic gain when genotype-by-year variance was 0 and 4. Genetic gain is expressed as mean genetic value of hybrids at the elite yield trial stage over time. The mean genetic value for all replicates were centered on 0 in Year 4. Means for all 10 replicates are shown with dark lines, with the shaded area representing the 95% confidence intervals of the mean. Conv, conventional breeding program; Conv GS, conventional program with genomic selection; GS-DH, genomic selection program with parents selected in the doubled haploid stage; TP GS, 1 Cycle/Year, two-part program with genomic selection 890

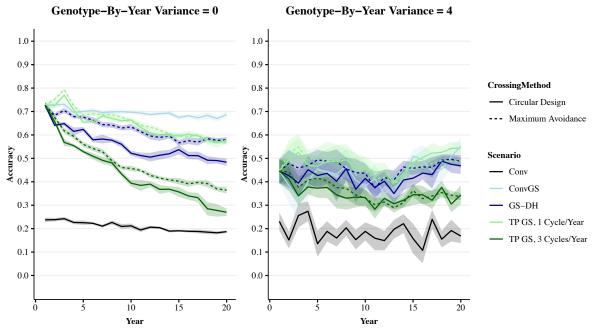
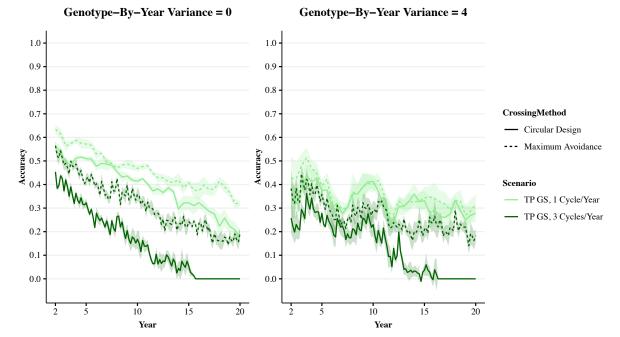




Fig. 5 Selection accuracy for all breeding programs over selection cycles. Selection accuracy when genotype-893 by-year variance was 0 and 4. Selection accuracy is expressed as the correlation between true and predicted general 894 combining abilities of doubled haploid (DH) lines at the DH stage over selection cycles. Means for all 10 replicates 895 are shown with dark lines, with the shaded area representing the 95% confidence intervals of the mean. Conv, 896 conventional breeding program; Conv GS, conventional program with genomic selection; GS-DH, genomic 897 selection program with parents selected in the doubled haploid stage; TP GS, 1 Cycle/Year, two-part program 898 with genomic selection; TP GS, 3 Cycles/Year, two-part program with genomic selection 899





901 Fig. 6 Within-family selection accuracy in the population improvement components of the two-part 902 breeding programs. Within-family selection accuracy when genotype-by-year variance was 0 and 4. Within-903 family selection accuracy is expressed as the correlation between the simulated, true general combining abilities 904 (GCA) for parental candidates and their predicted GCA. Means for all 10 replicates are shown with dark lines, 905 with the shaded area representing the 95% confidence intervals of the mean. TP GS, 1 Cycle/Year, two-part 906 program with genomic selection; TP GS, 3 Cycles/Year, two-part program with genomic selection 907

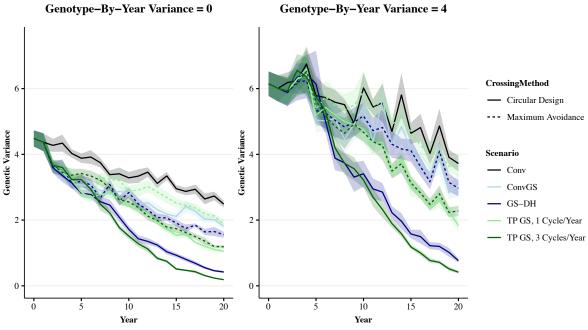
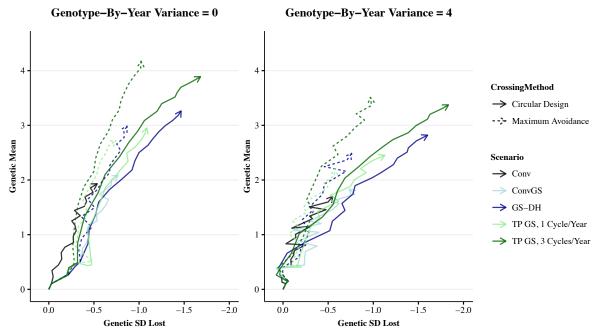




Fig. 7 Genetic variance for all breeding programs over simulation years. Genetic variance when genotypeby-year variance was 0 and 4. Genetic variance is expressed as the genetic variance among hybrids at the elite
yield trial (EYT) stage over simulation years. Means for all 10 replicates are shown with dark lines, with the
shaded area representing the 95% confidence intervals of the mean. Conv, conventional breeding program; Conv
GS, conventional program with genomic selection; GS-DH, genomic selection program with parents selected in
the doubled haploid stage; TP GS, 1 Cycle/Year, two-part program with genomic selection; TP GS, 3 Cycles/Year,
two-part program with genomic selection



917Genetic SD LostGenetic SD Lost918Fig. 8 Conversion efficiency for all breeding programs over simulation years. Conversion efficiency when919genotype-by-year variance was 0 and 4. Conversion efficiency is presented as the genetic gain against the920genetic variance among hybrids at the elite yield trial between Year 0 and Year 20 of the simulation. Means for921all 10 replicates are shown. Conv, conventional breeding program; Conv GS, conventional program with922genomic selection; GS-DH, genomic selection program with parents selected in the doubled haploid stage; TP923GS, 1 Cycle/Year, two-part program with genomic selection; TP GS, 3 Cycles/Year, two-part program with924genomic selection

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