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#### Citation for published version:

Abubakar, M, Fan, S, Aiello Bowles, E, Widemann, L, Duggan, MA, Pfeiffer, RM, Falk, RT, Lawrence, S, Richert-boe, K, Glass, AG, Kimes, TM, Figueroa, JD, Rohan, TE & Gierach, GL 2021, 'Relation of Quantitative Histologic and Radiologic Breast Tissue Composition Metrics with Invasive Breast Cancer Risk', *JNCI Cancer Spectrum*. https://doi.org/10.1093/jncics/pkab015

#### Digital Object Identifier (DOI):

10.1093/jncics/pkab015

#### Link:

Link to publication record in Edinburgh Research Explorer

**Document Version:** Publisher's PDF, also known as Version of record

Published In: JNCI Cancer Spectrum

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# Relation of Quantitative Histologic and Radiologic Breast Tissue Composition Metrics with Invasive Breast Cancer Risk

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### Abstract

#### Background

Benign breast disease (BBD) is a strong breast cancer risk factor but identifying patients that might develop invasive breast cancer remains a challenge.

#### Methods

By applying machine-learning to digitized H&E-stained biopsies and computer-assisted thresholding to mammograms obtained circa BBD diagnosis, we generated quantitative tissue composition metrics and determined their association with future invasive breast cancer diagnosis. Archival breast biopsies and mammograms were obtained for women (18-86 years of age) in a case-control study, nested within a cohort of 15,395 BBD patients from Kaiser Permanente Northwest (1970-2012), followed through mid-2015. Cases (n=514) who developed incident invasive breast cancer and controls (n=514) were matched on BBD diagnosis age and plan membership duration. All statistical tests were 2-sided.

#### Results

Increasing epithelial area on the BBD biopsy was associated with increasing breast cancer risk (Odds ratio  $[OR]_{Q4 vs Q1}$ =1.85, 95% confidence interval [CI] = 1.13-3.04; P<sub>trend</sub>=0.02). Conversely, increasing stroma was associated with decreased risk in non-proliferative, but not proliferative, BBD (P<sub>heterogeneity</sub>=0.002). Increasing epithelium-to-stroma proportion  $[OR_{Q4 vs Q1}$ =2.06, 95% CI =1.28-3.33; P<sub>trend</sub>=0.002) and percent mammographic density (MBD) (OR<sub>Q4 vs Q1</sub>=2.20, 95% CI = 1.20-4.03; P<sub>trend</sub>=0.01) were independently and strongly predictive of increased breast cancer risk. In combination, women with high epithelium-tostroma proportion/high MBD had substantially higher risk than those with low epitheliumto-stroma proportion/low MBD [OR=2.27, 95% CI = 1.27-4.06;  $P_{trend}$ =0.005), particularly among women with non-proliferative ( $P_{trend}$ =0.01) versus proliferative ( $P_{trend}$ =0.33) BBD.

### Conclusion

Among BBD patients, increasing epithelium-to-stroma proportion on BBD biopsies and percent MBD at BBD diagnosis were independently and jointly associated with increasing breast cancer risk. These findings were particularly striking for women with nonproliferative disease (comprising approximately 70% of all BBD patients), for whom relevant predictive biomarkers are lacking. In the U.S., >70% of 1.6 million annual breast biopsies are benign (1, 2). Although one of the strongest breast cancer risk factors (3, 4), not all women with benign breast disease (BBD) will develop breast cancer. To date, conventional approaches for risk stratification in BBD patients rely on microscopic assessment of epithelial abnormalities on BBD biopsies to classify women as having non-proliferative disease or proliferative disease without or with atypia (4-6). Patients with non-proliferative disease (~70% of all BBD patients) are at minimal or no increased breast cancer risk (5). Proliferative diseases comprise ~30% of all BBD biopsies and these patients have an almost 2-fold increased risk of breast cancer, with even higher 4-fold increased risk in the presence of atypical hyperplasia (7). Notably, atypical hyperplasia diagnoses comprise only ~4% of all BBD patients and, in absolute terms, fewer breast cancers will occur in these women than in those with non-proliferative BBD (8). Thus, there is the need to uncover additional tissue biomarkers that can aid to further stratify BBD patients into different breast cancer risk categories.

Microscopically, the normal breast is comprised of epithelial, stromal, and adipose tissue components (9). Although qualitative aberrations in epithelium underpin BBDrelated breast cancer risk (10), the role of quantitative variation is poorly understood. Moreover, it remains fundamentally unclear whether risks related to BBD are driven by aberrations in the epithelium alone or via a dynamic interplay involving the stroma (11). Women undergoing breast biopsy, and for whom concomitant mammograms are available, represent an important patient population for the integrated study of histologic and radiologic breast tissue composition metrics in relation to breast cancer risk. Within a cohort of women diagnosed as having BBD within a general community health care plan, we leveraged supervised machine-learning (12) and computer-assisted thresholding (13) methods to quantify breast tissue composition on histological and radiological images, respectively. This approach facilitated our investigations of the independent and joint associations of quantitative tissue metrics present at the time of BBD diagnosis with risk of subsequent breast cancer development.

# Methods

#### Study population and design

We conducted a nested case-control study within a cohort of 15,395 women aged 18-86 years who were biopsied for BBD within the Kaiser Permanente Northwest Region (KPNW) between 1970-2012, with follow-up through mid-2015. KPNW is a prepaid healthcare plan with >500,000 members with facilities in Washington and Oregon. About 82% of KPNW members are White, 5% Asian American, 5% Hispanic, 3% African American and 5% other ethnicities (14). Case-control definition, ascertainment, and selection have been described in detail (15). Cases were women with a BBD biopsy who subsequently developed invasive breast cancer  $\geq$ one year after the index BBD biopsy. Controls were women biopsied for BBD at the same time as the case who were alive but had not developed breast cancer during the same follow-up period as the corresponding case. Controls were selected using risk-set sampling and were individually matched to corresponding cases on age at BBD diagnosis (+/- 1 year) and plan membership duration. Data on breast cancer risk factors around the time of BBD diagnosis were manually abstracted from medical records (15). The study was approved by the Committee on Clinical Investigations of the Albert Einstein

College of Medicine, the Kaiser Permanente Northwest Biospecimen Review Committee and the National Institutes of Health (NIH) Office of Human Subjects Research (OHSR).

#### Tissue block retrieval and analysis of digitized H&E-stained sections

The most representative formalin-fixed paraffin-embedded tissue block upon which the final clinical diagnosis of BBD was based was retrieved for each patient and H&E-stained cut-sections were prepared. BBD lesions on H&E-stained slides were subsequently classified according to Dupont and Page criteria as normal/non-proliferative, proliferative without atypia, and atypical hyperplasia (3). Terminal duct lobular unit (TDLU) involution was visually assessed based on published criteria from the Mayo BBD cohort (16) as follows: none (<25% of TDLUs involuted), partial (25-74%), or complete (≥75%) involution.

H&E-stained slides were scanned at high resolution (20×) using the Aperio digital slide scanner (Leica Biosystems Inc. Buffalo Grove, IL). Of the 1028 slides, 50 were unscannable due to quality control issues. A 22-datapoint script involving two randomly selected representative-images was trained by a pathologist (MA) with expertise in digital pathology to identify, segment, and quantify (in mm<sup>2</sup>) areas on each slide comprised of epithelium (6-datapoints), stroma (5-datapoints), and adipose tissue (11-datapoints) as shown on **Figure 1**. Training and centralized image analysis were performed masked to all patient characteristics. In reproducibility analysis, another pathologist (MAD) independently developed a 37-datapoint script to analyze a random sample of 185(~20%) images. The results showed excellent agreement between the two pathologists' scripts

(Spearman's rho=0.95, 0.97, and 0.98 for epithelium, stroma, and adipose tissue areas, respectively; **Supplementary Table 1**).

Percent epithelium, stroma, and adipose tissue were calculated by dividing the absolute value of each histologic metric by total tissue area on the slide and multiplying by 100. Given the documented biologic relevance of tumor-stroma ratio in the setting of cancer progression (17, 18), we sought to evaluate an equivalent feature in the context of BBD progression. Accordingly, we calculated the proportion of fibroglandular tissue (i.e. epithelium + stroma) on histology slides that was epithelium relative to stroma, i.e. histologic epithelium-to-stroma proportion (histologic-ESP), by dividing epithelial area by total fibroglandular tissue area and multiplying by 100.

#### Mammogram retrieval and mammographic breast density assessment

The most recent mammograms occurring approximately 6 months before (preferably) or up to 1 month after the BBD biopsy were retrieved. Craniocaudal film mammographic views of the ipsilateral (preferable; 89%) or contralateral (11%) breast were digitized using an Array Corporation 2095 Laser Film Digitizer (Roden, the Netherlands; optical density=4.0). Prior studies have demonstrated high within-woman concordance for density measures and have found MBD to be predictive of risk irrespective of laterality (19). Quantitative measures of density were obtained using Cumulus®, an interactive computerassisted thresholding program (20), with demonstrated validity with respect to breast cancer risk associations in numerous epidemiologic studies (21). All mammograms were evaluated by a single expert reader (EAB), who measured absolute dense area (cm<sup>2</sup>) and total breast area (cm<sup>2</sup>) as described previously (20). Percentage MBD was calculated by dividing the dense breast area by the total breast area and multiplying by 100 (**Figure 1**). Images from cases and matched controls were assessed within the same batch and in random order. A repeat set of 113 images was assessed for reliability. The intra-class correlation coefficients for percent MBD, dense area, and total breast area were 0.92, 0.89, and 0.99, respectively, documenting excellent reproducibility.

#### Statistical analysis

Associations between baseline patient characteristics and tissue composition metrics were assessed in multivariable linear regression models fitted to controls. Locally weighted scatter plots of log residuals after regressing BMI and histology were used to demonstrate the distributions of tissue composition metrics by age among cases and controls. Quartiles (Q1-Q4) of tissue composition metrics were defined based on their distributions among controls. Associations between tissue composition metrics and breast cancer risk were assessed in crude and adjusted logistic regression models. For histologic metrics, conditional logistic regression models were adjusted for age at menarche, parity and age at first live birth, BMI, menopausal status/menopausal hormone therapy use, bilateral oophorectomy, history of breast cancer in a first degree relative, BBD histology, extent of lobular involution, calendar year of BBD diagnosis, as well as MBD. We used a likelihood ratio (LR) test to compare fit of a fully adjusted model with epithelium to one with histologic-ESP. As radiologic tissue metrics were less complete for cases and controls, we used unconditional logistic regression, adjusting for matching factors (age at BBD diagnosis and follow-up duration), other risk factors noted above, as well as histologic-ESP, which showed better model fit than epithelium. To test the joint effects of histologic-ESP and

MBD, both variables were dichotomized based on their median values among controls and a composite variable combining both was defined. Missing covariate values

(**Supplementary Table 2**) were imputed using the multiple (×5) imputation by chained equations (MICE) approach (22) with appropriate variance adjustment by Rubin's Formula (23) for all analyses. All analyses were performed overall and stratified by BBD histological classification. P-trend was estimated by including quartiles of tissue composition metrics as continuous variables in multivariable models. P-values for heterogeneity were obtained by including multiplicative interaction terms between BBD histology and relevant risk factors in the full model. All analyses were two-sided and were performed using Stata statistical software version 16.1. A P value of less than 0.05 was considered statistically significant.

## Results

#### Characteristics of benign breast disease (BBD) patients at baseline

A total of 514 cases and 514 controls (n=1,028 patients) with BBD were included in this study. Of these, >95% (488 controls, 486 cases) had an H&E suitable for digitized pathology assessment, with a single image failing analysis. For radiologic metrics, a total of 302 (58.8%) controls and 296 (57.6%) cases had mammograms available within an average of 1.3 (SD=3.5) months of BBD diagnosis. Most of the missing mammograms were for women diagnosed with BBD in the pre-screening (<1985) era. For those with BBD diagnosed in 1985 or thereafter, >85.0% of cases and controls had available mammograms for MBD assessment. In total, 564 patients (284 controls and 280 cases) had data on both histologic and radiologic metrics (**Supplementary Figure 1**). Baseline patient characteristics did not

differ between those with available or missing histologic metrics (**Supplementary Table 3**). For radiologic metrics, differences were mostly related to screening availability by calendar period (**Supplementary Tables 3 and 4**). The median (range) age of patients at BBD diagnosis was 51.5 (18.7-86.6) years. BBD lesions were predominantly non-proliferative (68.9%), with fewer (27.9%) proliferative disease and atypical hyperplasia (3.2%). The distributions of other patient baseline characteristics are shown in **Table 1**.

#### Tissue composition metrics in relation to patients' baseline characteristics

The median (range) of percent epithelial, stromal, adipose tissue and histologic-ESP distributions were 8.4% (0.2%-97.4%), 38.1% (1.3%-88.9%), 48.0% (1.3%-97.5%), and 19.9% (0.9%-98.6%), respectively. Medians (ranges) for absolute dense and non-dense areas and percent MBD, were 36.3cm<sup>2</sup> (0-232.2cm<sup>2</sup>), 96.4cm<sup>2</sup> (5.9-375.5cm<sup>2</sup>), and 30.2% (0.0%-86.9%), respectively (**Supplementary Table 5**). The correlations between histologic and radiologic tissue composition metrics and their associations with baseline patient characteristics are provided in **Supplementary Tables 6 and 7**, respectively.

As shown in **Figure 2**, the fat component of the breast was higher in older than younger women at the time of BBD diagnosis and among controls than cases across all age groups. In contrast, the fibroglandular tissue component was higher in younger than older women at BBD diagnosis and among cases than controls across all age groups. The amount of stroma did not differ between cases and controls under 60 years. Above 60 years, controls had higher stromal content than cases. Histologic-ESP was higher among cases than controls between 40-50 years and after 60 years.

#### Associations between histologic metrics and breast cancer risk

The median (range) time between BBD diagnosis and breast cancer incidence was 9 (1.5-38.5) years. As shown in **Table 2**, increasing epithelial content on BBD biopsies was associated with increasing breast cancer risk ( $OR_{Q4 vs Q1}$ =1.85, 95%CI = 1.13-3.04; trend=0.02), irrespective of BBD histology (P-heterogeneity=0.74). Conversely, the association between stroma and breast cancer risk differed by BBD histology (Pheterogeneity=0.002). Among women with non-proliferative disease, increasing stroma was associated with decreasing breast cancer risk ( $OR_{Q4 vs Q1}$ =0.51, 95% CI = 0.32-0.81; Ptrend=0.006), whereas among those with proliferative disease it was associated with increasing risk ( $OR_{Q4 vs Q1}$ =2.52, 95% CI = 1.00, 6.32; P-trend=0.07). Histologic-ESP ( $LR\chi^2$ =8.4; P=0.03) provided better model fit than epithelium ( $LR\chi^2$  =7.4; P=0.06) and was associated with statistically significant increased risk of breast cancer ( $OR_{Q4 vs Q1}$ =2.06, 95% CI = 1.28, 3.33; P-trend=0.002), irrespective of BBD histology (P-heterogeneity=0.52). Histologic-ESP remained associated with breast cancer risk ( $OR_{Q4vsQ1}$ =2.10, 95% CI = 1.33-3.32; P-trend=0.002) even after adjusting for specific BBD histologic features.

#### Associations between radiologic metrics and breast cancer risk

As shown in **Table 3**, increasing percent MBD was associated with increasing risk of breast cancer ( $OR_{Q4 vs Q1}=2.20, 95\%$  CI = 1.20-4.03; P-trend=0.01), irrespective of BBD histology (P-heterogeneity=0.75).

#### Joint associations of histologic-ESP and MBD with breast cancer risk

Following dichotomization at their median values among controls, high histologic-ESP and percent MBD remained statistically significantly associated with elevated breast cancer risk (OR=1.57 [95% CI = 1.13-2.18]and 1.50 [95% CI = 1.01-2.24], respectively) (**Table 4**). Further, patients with high histologic-ESP had higher breast cancer risk than those with low histologic-ESP, irrespective of whether they had high (OR=2.06, 95% CI = 1.09-3.88) or low (OR=1.60, 95% CI = 0.93-3.88) MBD (**Figure 3**). Breast cancer risk was substantially higher in women with combined high histologic-ESP/high MBD than in those with low histologic-ESP/low MBD (OR=2.27, 95% CI = 1.27-4.06; P-trend=0.005). These findings were stronger in patients with non-proliferative (OR = 2.43, 95% CI = 1.20-4.93) versus proliferative (OR = 1.55, 95% CI = 0.45-5.33) disease, though statistically significant heterogeneity was not observed (P-heterogeneity=0.73).

In analysis evaluating the potential value of histologic-ESP and MBD in predicting subsequent breast cancer, we calculated area under the receiver operating characteristics curves (AUCs); we found AUCs of 0.587, 0.607, 0.610, and 0.624 for BBD histology alone, BBD histology+histologic-ESP, BBD histology+MBD, and BBD histology+histologic-ESP+MBD, respectively, suggesting incremental value for these metrics in predicting subsequent breast cancer.

In sensitivity analyses, both histologic-ESP and percent MBD were associated with elevated breast cancer risk before and after multiple imputation and irrespective of menopausal status, BBD-to-tumor laterality, calendar period of BBD diagnosis, or time from BBD diagnosis to cancer development. Although histologic-ESP more strongly predisposed to ER-positive ( $OR_{Q4 vs Q1}$ =1.71, 95% CI = 1.10-2.68; P-trend=0.009) than ERnegative ( $OR_{Q4 vs Q1}$ =1.19, 95% CI = 0.50-2.80; P-trend=0.39), as well as high-grade ( $OR_{Q4 vs}$   $_{Q1}$ =2.08, 95% CI = 1.25-3.44; P-trend=0.002) than low-grade (OR<sub>Q4 vs Q1</sub>= 1.25, 95% CI = 0.65-2.39; P-trend=0.41) tumors, differences by these tumor characteristics were not statistically significant.

# Discussion

To our knowledge, this is the first study to combine machine-learning and computerassisted thresholding methods in the setting of BBD for histologic and radiologic assessments of tissue composition metrics, respectively, and to simultaneously relate these to breast cancer risk. We found statistically significant relationships of histologic-ESP, a metric of the proportion of fibroglandular tissue on breast biopsies that is epithelium relative to stroma, and percent MBD, a metric of the proportion of total tissue area on mammograms that is radiodense, with risk of breast cancer development. Histologic-ESP and percent MBD were independently associated with risk; women with combined high histologic-ESP/high MBD had substantially higher breast cancer risk than those with low histologic-ESP/low MBD. The association between increasing stroma and breast cancer risk varied by the extent of epithelial hyperplasia; increasing stroma was associated with reduced risk in women with non-proliferative disease and increased risk in those with proliferative disease. This finding has not been reported previously and suggests a possible dual role of the stroma in mediating progression of breast precursor lesions. These results were robust to adjustments for other breast cancer risk factors. Taken together, our findings provide new insights into breast cancer development following BBD and could have implications for improved risk stratification and the clinical management of women

with BBD, particularly those with non-proliferative disease, a large group for whom relevant predictive biomarkers are lacking.

To date, apart from BBD histological classification, very few risk factors for breast cancer have been identified for women with BBD. A few studies have reported the potential value of immunohistochemical (IHC) markers, including ER and/or PR expression, Ki-67 and CD20 in predicting risk, but these have yet to be consistently validated (24-27). Other reports support the value of TDLU involution in predicting breast cancer development following BBD (16, 28-30). However, these studies have largely been based on qualitative assessments of involution, with limited stratification. Although standardized measures of involution have been proposed (30), these are difficult to obtain and rely on the availability of "normal", non-lesional tissue regions on BBD biopsies. Our findings of independent relationships of histologic-ESP and percent MBD with increasing breast cancer risk demonstrate the potential for these quantitative markers to improve risk stratification for BBD patients. Notably, histologic-ESP is a tissue-based feature that can easily be assessed on the same H&E slides used for BBD diagnosis, without requiring IHC or other special stains. Accordingly, measures of histologic-ESP on BBD diagnostic H&E slides can be combined with MBD around the time of BBD diagnosis to provide additional information to women regarding their future breast cancer risk, at minimal or no extra cost or effort.

Despite experimental evidence to support a context-dependent role of stroma to either prevent or promote carcinogenesis (31-36), the precise sequence and timing of events leading to a switch in stromal function from anti- to pro-tumorigenesis remains poorly understood. The prevailing model for BBD progression to cancer is that of a sequence of worsening epithelial abnormalities from normal/non-proliferative to proliferative disease (without atypia), atypical hyperplasia, in-situ carcinoma, and, ultimately, invasive breast cancer (37). Alternative pathways leading directly from normal/non-proliferative disease to invasive carcinoma have long been suspected (37), but specific tissue culprits are yet to be identified. Our finding of increasing breast cancer risk with increasing histologic-ESP that was particularly strong in women with normal/nonproliferative BBD supports an alternative model involving aberrations in both epithelial and stromal compartments that favor carcinogenesis (**Figure 4**). In our proposed model, the transition from normal/non-proliferative to proliferative BBD is characterized by the loss of stromal protective effect as well as by a "proliferative-switch" in stromal function from tumor-suppressor to tumor-promoter.

An important aspect in the clinical management of women with BBD or high MBD is to decide who is at sufficiently high risk to benefit from preventative strategies, such as chemoprevention, that reduce risk of developing cancer. Available risk prediction tools (38-43) have modest discriminatory accuracy, which could be improved by adding quantitative tissue composition metrics such as histologic-ESP and percent MBD. Furthermore, as >43% of screened U.S. women have dense breasts (44), it is imperative to identify additional factors that may identify those at high risk of invasive disease, requiring further clinical management (45, 46). In the current study, high histologic-ESP portended elevated breast cancer risk for women with low *or* high MBD, buttressing the importance of integrating histologic measures, when available, for distinguishing relative proportions of epithelium and stroma in radiodense tissue, a distinction that cannot currently be made on mammograms. Our application of machine-learning to digitized H&E slides allowed us to perform centralized analysis of all images using a single script, thereby limiting subject-specific bias and random error. The correlation between different scripts that were independently trained by two pathologists was excellent. This study is, however, not without limitations: most patients in this analysis underwent excisional biopsies, which have been largely replaced by needle biopsies as the standard of care. Also, there were too few women with atypical hyperplasia to allow for separate analysis. A primary goal of this analysis was to examine interrelationships between radiologic and histologic metrics with risk among BBD patients, and we did not have sufficient sample size to further refine MBD cut-points beyond the median value, which may have led to underestimation of risk estimates. Future work involving larger samples sizes that also integrate more contemporary approaches, including artificial intelligence (47), for density assessment on digital mammography will be important for extending the present findings.

In summary, quantitative assessments of histologic-ESP on diagnostic BBD biopsy slides and percent MBD on mammograms performed around the time of BBD diagnosis were associated with increasing risk of subsequent invasive breast cancer development, particularly for women with non-proliferative disease. Furthermore, histologic-ESP identified women with low MBD who were at elevated risk of breast cancer and those with high MBD who were not. We also uncovered a context-dependent role of the stroma to either decrease or increase breast cancer risk in women with non-proliferative versus proliferative disease, respectively. Taken together, these findings provide clues regarding breast cancer etiology and BBD progression and could have important implications for risk stratification and the clinical management of women with benign findings upon breast biopsy.

### Funding

This work was supported by Intramural Research Funds of the Division of Cancer Epidemiology and Genetics (DCEG) of the National Cancer Institute, Department of Health and Human Services, USA.

Thomas Rohan is supported by the Breast Cancer Research Foundation (BCRF-19-140). Erin Bowles is supported by the National Cancer Institute R50CA211115.

### Notes

**Role of the funders:** The funders had no role in the design of the study; the collection, analysis, and interpretation of the data; the writing of the manuscript; and the decision to submit the manuscript for publication.

**Disclosures:** The authors declare no conflicts of interest.

**Acknowledgements:** We thank Dr. Yihong Wang who performed the BBD pathology evaluations.

**Author contributions:** Conception and design: MA, AGG, JDF, TER, GLG. Provision of study materials or patients: KR, AGG, TMK, TER. Generation, collection, and assembly of data: MA, SF, EAB, LW, MAD, RMP, RTF, SL, KR, AGG, TMK, TER. Data analysis and interpretation: All authors. Administrative support: RTF, SL, TMK. Manuscript writing: MA, SF, MAD, RMP, JDF, GLG. Final approval of manuscript: All authors

# Data Availability

The data underlying this article will be shared on reasonable request to the corresponding author.

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| Characteristic                       | Overall, No. (%) | Controls, No. (%) | Cases, No. (%)   | $P^{a}$ |
|--------------------------------------|------------------|-------------------|------------------|---------|
|                                      | (n = 1028)       | (n = 514)         | (n = 514)        | 0.07    |
| Median Age at BBD (range), years     | 51.5 (18.7-86.6) | 51.4 (21.7-86.2)  | 51.5 (18.7-86.6) | 0.97    |
| Median Follow-up time (range), years | 9 (0.6-37.5)     | 9 (0.6-37.3)      | 9 (1-37.5)       | 1.00    |
| Age at menarche, years               |                  |                   |                  | 0.40    |
| ≤12                                  | 368 (45.5)       | 185 (44.6)        | 183 (46.5)       | 0.62    |
| 13                                   | 245 (30.3)       | 132 (31.8)        | 113 (28.7)       |         |
| ≥14                                  | 198 (24.2)       | 98 (23.6)         | 98 (24.9)        |         |
| Parity/age at first live birth       |                  |                   |                  |         |
| Nulliparous/AFLB ≥30 y               | 225 (25.9)       | 98 (22.1)         | 127 (30.0)       | 0.008   |
| Parous/AFLB <30 y                    | 643 (74.1)       | 346 (77.9)        | 297 (70.0)       |         |
| Body mass index, kg/m <sup>2</sup>   |                  |                   |                  |         |
| <25                                  | 425 (45.8)       | 213 (45.8)        | 212 (45.8)       | 0.72    |
| 25-30                                | 281 (30.3)       | 136 (29.3)        | 145 (31.3)       |         |
| >30                                  | 223 (24.0)       | 116 (25.0)        | 107 (23.1)       |         |
| Family history                       |                  |                   |                  |         |
| Absent                               | 791 (82.2)       | 408 (84.7)        | 383 (79.8)       | 0.04    |
| Present                              | 171 (17.8)       | 74 (15.4)         | 97 (20.2)        |         |
| Menopause and MHT use                |                  |                   |                  |         |
| Premenopausal                        | 412 (43.4)       | 203 (41.9)        | 209 (45.0)       | 0.12    |
| Postmenopausal MHT use               | 393 (41.4)       | 211 (43.5)        | 182 (39.2)       |         |
| Postmenopausal No MHT                | 20 (2.1)         | 14 (2.9)          | 6 (1.3)          |         |
| Postmenopausal Unknown MHT           | 124 (13.1)       | 57 (11.8)         | 67 (14.4)        |         |
| Bilateral oophorectomy               | t y              | C J               | C J              |         |
| No                                   | 805 (86.3)       | 394 (83.8)        | 411 (88.8)       | 0.02    |
| Yes                                  | 128 (13.7)       | 76 (16.2)         | 52 (11.2)        |         |
| BBD histology                        |                  |                   | ()               |         |
| Non-proliferative                    | 708 (68.9)       | 384 (74.7)        | 324 (63.0)       | < 0.001 |
| Proliferative, no atypia             | 287 (27.9)       | 124 (24.1)        | 163 (31.7)       | 0.000   |
| Atypical hyperplasia                 | 33 (3.2)         | 6 (1.2)           | 27 (5.7)         |         |
| Sclerosing adenosis                  | 00 (012)         | 0(112)            | 27 (017)         |         |
| Absent                               | 942 (91.6)       | 478 (93.0)        | 464 (90.3)       | 0.11    |
| Present                              | 86 (8.4)         | 36 (7.0)          | 50 (9.7)         | 0.11    |
| Radial scar present                  | 00 (0.1)         | 50 (7.0)          | 50 (5.7)         |         |
| Absent                               | 977 (95.0)       | 497 (6.7)         | 480 (93.4)       | 0.01    |
| Present                              | 51 (5.0)         | 17 (3.3)          | 34 (6.6)         | 0.01    |
| Fibroadenoma                         | 51 (5.0)         | 17 (5.5)          | 54 (0.0)         |         |
|                                      | 070 (0F 4)       | 420 (OF 4)        | 420 (QE 4)       | 0.74    |
| Absent                               | 878 (85.4)       | 439 (85.4)        | 439 (85.4)       | 0.74    |
| Simple                               | 133 (12.9)       | 68 (13.2)         | 65 (12.7)        |         |
| Complex                              | 17 (1.7)         | 7 (1.4)           | 10 (2.0)         |         |
| Columnar cell hyperplasia            |                  |                   | 425 (02.0)       | 0.00    |
| Absent                               | 875 (85.5)       | 450 (87.9)        | 425 (83.0)       | 0.03    |
| Present                              | 149 (14.6)       | 62 (12.1)         | 87 (17.0)        |         |
| Lobular involution                   |                  |                   |                  | 0.05    |
| Absent                               | 475 (52.5)       | 235 (52.8)        | 240 (52.2)       | 0.09    |
| Partial                              | 176 (19.5)       | 75 (16.9)         | 101 (22.0)       |         |
| Complete                             | 254 (28.0)       | 135 (30.3)        | 119 (25.8)       |         |

**Table 1**: Baseline characteristics of the benign breast disease (BBD) patients, overall and by breastcancer case-control status, Kaiser Permanente Northwest Center for Health Research, 1970-2015

<sup>a</sup> *P values* comparing cases and controls were obtained from chi-squared tests (for categorical variables) and Kruskal-Wallis test (for continuous variables). AFLB: Age at first live birth; MHT: Menopausal hormone therapy use.

| 1                                      | 1              | 8                 | ,              | 5                 |                |                   |       |
|--|----------------|-------------------|----------------|-------------------|----------------|-------------------|-------|
|  | Ov             | Overall           |                | oliferative       | Proli          |                   |       |
| Histologic tissue metrics <sup>b</sup> | Controls/Cases | OR (95% CI)       | Controls/Cases | OR (95% CI)       | Controls/Cases | OR (95% CI)       | P het |
| Epithelium area (%)                    |                |                   |                |                   |                |                   |       |
| Quartiles                              |                |                   |                |                   |                |                   |       |
| Q1 (<4.45)                             | 122/103        | 1.00 (reference)  | 100/83         | 1.00 (reference)  | 22/20          | 1.00 (reference)  | 0.74  |
| Q2 (4.45-7.93)                         | 122/111        | 1.10 (0.72, 1.67) | 100/78         | 1.02 (0.65, 1.62) | 22/33          | 1.30 (0.52, 3.27) |       |
| Q3 (7.93-14.36)                        | 122/111        | 1.13 (0.72, 1.76) | 90/64          | 0.97 (0.59, 1.57) | 32/47          | 1.54 (0.64, 3.74) |       |
| Q4 (>14.36)                            | 122/161        | 1.85 (1.13, 3.04) | 75/83          | 1.53 (0.93, 2.54) | 47/78          | 1.90 (0.81, 4.47) |       |
| P trend                                |                | 0.02              |                | 0.16              |                | 0.15              |       |
| Per 10% increase                       | 488/486        | 1.14 (0.99, 1.29) | 365/308        | 1.14 (0.98, 1.29) | 123/178        | 1.04 (0.86, 1.22) |       |
| P value                                |                | 0.06              |                | 0.08              |                | 0.64              |       |
| Stroma area (%)                        |                |                   |                |                   |                |                   |       |
| Quartiles                              |                |                   |                |                   |                |                   |       |
| Q1 (<24.66)                            | 122/134        | 1.00 (reference)  | 83/94          | 1.00 (reference)  | 39/40          | 1.00 (reference)  | 0.002 |
| Q2 (24.66-38.08)                       | 122/107        | 0.62 (0.40, 0.95) | 85/59          | 0.48 (0.29, 0.79) | 37/48          | 1.09 (0.52, 2.27) |       |
| Q3 (38.08-53.70)                       | 122/124        | 0.67 (0.43, 1.05) | 87/68          | 0.48 (0.29, 0.79) | 35/56          | 1.23 (0.61, 2.51) |       |
| Q4 (>53.70)                            | 122/121        | 0.71 (0.46, 1.11) | 110/87         | 0.51 (0.32, 0.81) | 12/34          | 2.52 (1.00, 6.32) |       |
| P trend                                |                | 0.16              |                | 0.006             |                | 0.07              |       |
| Per 10% increase                       | 488/486        | 0.96 (0.87, 1.03) | 365/308        | 0.88 (0.80, 0.97) | 123/178        | 1.17 (1.01, 1.33) |       |
| P value                                |                | 0.24              |                | 0.01              |                | 0.03              |       |
| Adipose tissue area (%)                |                |                   |                |                   |                |                   |       |
| Quartiles                              |                |                   |                |                   |                |                   |       |
| Q1 (<29.42)                            | 122/135        | 1.00 (reference)  | 97/81          | 1.00 (reference)  | 25/54          | 1.00 (reference)  | 0.03  |
| Q2 (29.42-49.73)                       | 122/132        | 0.99 (0.65, 1.51) | 89/74          | 1.03 (0.65, 1.63) | 33/58          | 0.86 (0.42, 1.76) |       |
| Q3 (49.73-66.58)                       | 122/99         | 0.81 (0.54, 1.21) | 88/66          | 0.97 (0.61, 1.55) | 34/33          | 0.44 (0.20, 0.96) |       |
| Q4 (>66.58)                            | 122/120        | 1.15 (0.73, 1.81) | 91/87          | 1.61 (0.98, 2.64) | 31/33          | 0.56 (0.23, 1.34) |       |
| P trend                                |                | 0.88              |                | 0.09              |                | 0.06              |       |
| Per 10% increase                       | 488/486        | 1.01 (0.95, 1.08) | 365/308        | 1.06 (0.99, 1.14) | 123/178        | 0.93 (0.80, 1.06) |       |
| P value                                |                | 0.69              |                | 0.09              |                | 0.30              |       |
| Histologic-ESP (%)                     |                |                   |                |                   |                |                   |       |
| Quartiles                              |                |                   |                |                   |                |                   |       |
| Q1 (<10.82)                            | 122/93         | 1.00 (reference)  | 109/97         | 1.00 (reference)  | 13/16          | 1.00 (reference)  | 0.52  |
| Q2 (10.82-18.23)                       | 122/110        | 1.24 (0.82, 1.88) | 99/79          | 1.20 (0.78, 1.87) | 23/31          | 1.08 (0.39, 2.95) |       |
|  |                |                   |                |                   |                |                   |       |

**Table 2:** Odds ratios (ORs) and 95% confidence intervals (CIs) for the associations between histologic tissue composition metrics and risk of subsequent breast cancer development among women with BBD, overall and by BBD histological classification<sup>a</sup>

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| Q3 (18.24-29.01)<br>Q4 (>29.01) | 122/131<br>122/152 | 1.58 (1.02, 2.45)<br>2.06 (1.28, 3.33) | 85/72<br>72/80 | 1.40 (0.89, 2.23)<br>1.95 (1.21, 3.16) | 37/59<br>50/72 | 1.41 (0.54, 3.66)<br>1.46 (0.57, 3.71) |  |
|---------------------------------|--------------------|--|----------------|--|----------------|--|--|
| P trend                         |                    | 0.002                                  |                | 0.006                                  |                | 0.32                                   |  |
| Per 10% increase                | 488/486            | 1.15 (1.04, 1.27)                      | 365/308        | 1.17 (1.06, 1.30)                      | 123/178        | 1.00 (0.85, 1.15)                      |  |
| P value                         | -                  | 0.01                                   |                | 0.004                                  |                | 0.97                                   |  |

<sup>a</sup> Benign breast disease (BBD) was classified as normal/non-proliferative and proliferative (with or without atypia).

<sup>b</sup> Quartiles (Q1-Q4) of percent histologic tissue composition metrics (epithelium, stroma, adipose tissue, histologic epithelium-to-stroma proportion (histologic-ESP)) were defined based on their distributions among controls. In overall analyses, multivariate conditional logistic regression models adjusted for age at menarche, parity and age at first live birth, BMI, menopausal status/menopausal hormone therapy (MHT) use, bilateral oophorectomy, history of breast cancer in a first degree relative, BBD histology, extent of lobular involution, calendar year of BBD diagnosis, as well as MBD were used to estimate odds ratios (ORs) and corresponding 95% confidence intervals (CIs). In stratified analyses by BBD histology (i.e. non-proliferative disease and proliferative disease, with or without atypia), unconditional logistic regression models additionally adjusted for matching factors i.e. age at BBD diagnosis and follow-up time, were used to estimate ORs and 95% CIs. Epithelium and stroma were mutually adjusted for one another while histologic-ESP was additionally adjusted for adipose tissue. *P het*: P value for heterogeneity of OR estimates by BBD histological classification.

|  | Overall        |                   | Non-proliferative |                   | Proliferative  |                   |       |
|--|----------------|-------------------|-------------------|-------------------|----------------|-------------------|-------|
| Radiologic tissue metrics <sup>b</sup> | Controls/Cases | OR (95% CI)       | Controls/Cases    | OR (95% CI)       | Controls/Cases | OR (95% CI)       | P het |
| Absolute dense area (cm <sup>2</sup> ) | •              |                   |                   |                   |                | · · · · ·         |       |
| Quartiles                              |                |                   |                   |                   |                |                   |       |
| Q1 (<21.87)                            | 76/59          | 1.00 (reference)  | 54/36             | 1.00 (reference)  | 22/23          | 1.00 (reference)  | 0.84  |
| Q2 (21.87-35.54)                       | 75/79          | 1.21 (0.71, 2.06) | 54/41             | 0.99 (0.52, 1.91) | 21/38          | 2.05 (0.79, 5.34) |       |
| Q3 (35.54-58.94)                       | 75/89          | 1.28 (0.75, 2.17) | 50/49             | 1.34 (0.69, 2.59) | 25/40          | 1.16 (0.45, 2.98) |       |
| Q4 (>58.94)                            | 76/69          | 1.14 (0.66, 1.95) | 59/42             | 1.09 (0.57, 2.09) | 17/27          | 1.23 (0.41, 3.67) |       |
| P trend                                |                | 0.50              |                   | 0.46              |                | 0.99              |       |
| Per 10cm <sup>2</sup> increase         | 302/296        | 1.02 (0.96, 1.07) | 217/168           | 1.02 (0.95, 1.09) | 85/128         | 1.00 (0.88, 1.12) |       |
| P value                                |                | 0.58              |                   | 0.61              |                | 0.95              |       |
| Absolute non-dense area                |                |                   |                   |                   |                |                   |       |
| (cm <sup>2</sup> )                     |                |                   |                   |                   |                |                   |       |
| Quartiles                              |                |                   |                   |                   |                |                   |       |
| Q1 (<59.98)                            | 75/86          | 1.00 (reference)  | 56/48             | 1.00 (reference)  | 19/38          | 1.00 (reference)  | 0.22  |
| Q2 (59.98-101.24)                      | 76/79          | 0.88 (0.53, 1.46) | 54/43             | 0.94 (0.51, 1.73) | 22/36          | 0.76 (0.27, 2.12) |       |
| Q3 (101.24-164.69)                     | 75/99          | 0.97 (0.56, 1.68) | 53/55             | 1.12 (0.56, 2.22) | 22/44          | 0.67 (0.21, 2.12) |       |
| Q4 (>164.69)                           | 76/32          | 0.27 (0.13, 0.54) | 54/22             | 0.40 (0.17, 0.92) | 22/10          | 0.11 (0.02, 0.54) |       |
| P trend                                |                | 0.002             |                   | 0.08              |                | 0.01              |       |
| Per 10cm <sup>2</sup> increase         | 302/296        | 0.95 (0.92, 0.99) | 217/168           | 0.97 (0.94, 1.01) | 85/128         | 0.88 (0.79, 0.96) |       |
| P value                                |                | 0.005             |                   | 0.17              |                | 0.006             |       |
| Mammographic density (%)               |                |                   |                   |                   |                |                   |       |
| Quartiles                              |                |                   |                   |                   |                |                   |       |
| Q1 (<14.67)                            | 75/49          | 1.00 (reference)  | 53/31             | 1.00 (reference)  | 22/18          | 1.00 (reference)  | 0.75  |
| Q2 (14.67-28.39)                       | 76/78          | 1.58 (0.94, 2.68) | 50/39             | 1.48 (0.77, 2.86) | 26/39          | 1.69 (0.66, 4.34) |       |
| Q3 (28.39-43.76)                       | 76/82          | 1.88 (1.10, 3.24) | 61/45             | 1.52 (0.79, 2.93) | 15/37          | 3.26 (1.14, 9.26) |       |
| Q4 (>43.76)                            | 75/87          | 2.20 (1.20, 4.03) | 53/53             | 2.29 (1.09, 4.83) | 22/34          | 1.81 (0.56, 5.93) |       |
| P trend                                |                | 0.01              |                   | 0.04              |                | 0.21              |       |
| Per 10% increase                       | 302/296        | 1.12 (1.01, 1.23) | 216/168           | 1.10 (0.96, 1.23) | 85/128         | 1.15 (0.94, 1.37) |       |
| P value                                |                | 0.03              |                   | 0.15              |                | 0.16              |       |

**Table 3**: Odds ratios (ORs) and 95% confidence intervals (CIs) for the associations between radiologic tissue composition metrics and risk of subsequent breast cancer development among women with BBD, overall and by BBD histological classification<sup>a</sup>

<sup>a</sup>Benign breast disease (BBD) was classified as normal/non-proliferative and proliferative (with or without atypia).

<sup>b</sup> Quartiles (Q1-Q4) of radiologic tissue composition metrics (absolute dense area (cm<sup>2</sup>), absolute non-dense area (cm<sup>2</sup>), percent mammographic breast density (MBD) (%)) were defined based on their distributions among controls. Overall and in women with nonproliferative or proliferative disease (with or without atypia), unconditional logistic regression models were adjusted for age at menarche, parity and age at first live birth, body mass index, menopausal status/menopausal hormone therapy (MHT) use, bilateral oophorectomy, history of breast cancer in a first degree relative, BBD histology, extent of lobular involution, calendar year of BBD diagnosis, matching factors (age at BBD diagnosis and follow-up time from BBD to cancer), as well as histologic-ESP. Dense and non-dense areas were mutually adjusted for one another. *P het*: P value for heterogeneity of OR estimates by BBD histological classification.

| -  |                | -                 |                   | -                 | -              |                   |
|--|----------------|-------------------|-------------------|-------------------|----------------|-------------------|
|  | Overall        |                   | Non-proliferative |                   | Proliferative  |                   |
| Characteristic                                   | controls/cases | OR (95% CI)       | controls/cases    | OR (95% CI)       | controls/cases | OR (95% CI)       |
| Binary categories <sup>b</sup><br>Histologic-ESP |                |                   |                   |                   |                |                   |
| Low  | 244/203        | 1.00 (reference)  | 208/156           | 1.00 (reference)  | 36/47          | 1.00 (reference)  |
| High   | 244/283        | 1.57 (1.13, 2.18) | 157/152           | 1.50 (1.08, 2.10) | 87/131         | 1.37 (0.76, 2.45) |
| P value  |                | 0.008             |                   | 0.01              |                | 0.29              |
| MBD  |                |                   |                   |                   |                |                   |
| Low  | 151/127        | 1.00 (reference)  | 208/156           | 1.00 (reference)  | 36/47          | 1.00 (reference)  |
| High   | 151/169        | 1.50 (1.01, 2.24) | 157/152           | 1.45 (0.87, 2.41) | 87/131         | 1.73 (0.83, 3.59) |
| P value  |                | 0.04              |                   | 0.15              |                | 0.32              |
| Joint associations                               |                |                   |                   |                   |                |                   |
| Low Histologic-ESP/low MBD                       | 65/42          | 1.00 (reference)  | 57/32             | 1.00 (reference)  | 8/10           | 1.00 (reference)  |
| Low Histologic-ESP/high MBD                      | 79/65          | 1.39 (0.78, 2.48) | 65/47             | 1.60 (0.81, 3.13) | 14/18          | 0.93 (0.24, 3.54) |
| High Histologic-ESP/low MBD                      | 75/80          | 1.53 (0.88, 2.67) | 38/34             | 1.89 (0.95, 3.76) | 37/46          | 0.82 (0.26, 2.63) |
| High Histologic-ESP/high MBD                     | 65/93          | 2.27 (1.27, 4.06) | 44/48             | 2.43 (1.20, 4.93) | 21/45          | 1.55 (0.45, 5.33) |
| P trend  |                | 0.005             |                   | 0.01              |                | 0.33              |

**Table 4:** Odds ratios (ORs) and 95% confidence intervals (CIs) for the joint associations of epithelium-to-stroma proportion and percent MBD in relation to breast cancer risk among women with BBD, overall and by BBD histological classification<sup>a</sup>

<sup>a</sup> Benign breast disease (BBD) was classified as normal/non-proliferative and proliferative (with or without atypia).

<sup>b</sup> Binary categories of histologic epithelium-stroma proportion (histologic-ESP) and mammographic density (MBD) were defined based on the median values among controls (i.e. 18.2% and 28.4%, respectively). Models were adjusted for BBD histology, lobular involution, Menopause/menopausal hormone therapy (MHT) use, history of bilateral oophorectomy, parity and age at first full term live birth, age at menarche, family history of breast cancer in a first degree relative, body mass index , MBD (for histologic-ESP), histologic-ESP (for MBD), calendar period of BBD diagnosis, and matching factors i.e. age at BBD diagnosis, follow-up time.

## **Figure legends**

Figure 1: Quantitative assessment of breast tissue composition metrics from digitized histological and radiological images. Supervised machine-learning and computer-assisted thresholding methods were applied to histologic (A and B) and radiologic (C and D) images from women with benign breast disease (BBD), respectively. Diagnostic hematoxylin and eosin (H&E) stained slides were digitized for image analysis while mammograms performed around the time of BBD diagnosis (average 1.3 months) were retrieved and digitized for analysis. H&E image analysis was performed using the commercially available Halo version 1.2 Tissue Classifier algorithm (Indica Labs, Albuquerque, New Mexico), which is a random forest algorithm that is specifically designed for the identification and classification of tissue types based on color, texture and other contextual features. For training purposes, a representative H&E image was randomly selected, and the machine was trained to identify areas of epithelium (red), stroma (green), and adipose tissue (yellow). Panel A is an example of an H&E image before analysis. In panel B, the machine learns-by-example to accurately classify and quantify epithelial (red), stromal (green), and adipose tissue (yellow) areas. Panels C and D are examples of representative mammograms that were determined to have low (below the median distribution among controls) and high (above the median) percent mammographic breast density based on quantitative assessment using the Cumulus software interface.

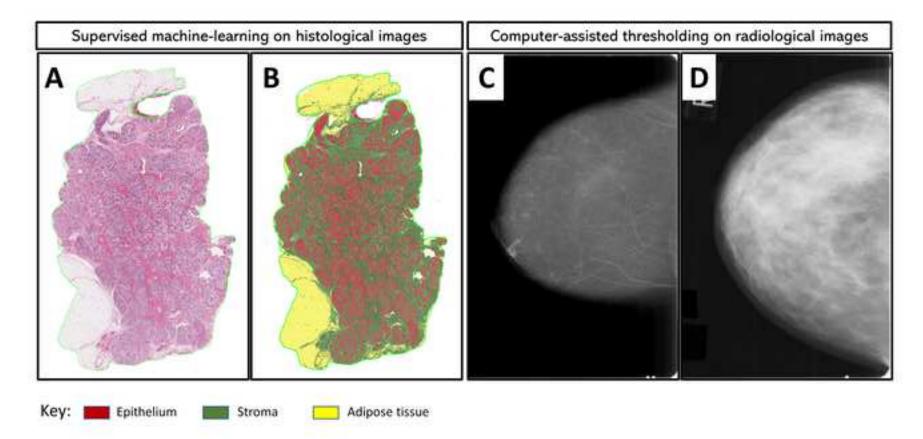
Figure 2: Histologic and radiologic breast tissue composition metrics by age and

**case-control status.** Locally weighted scatter plot smoothing of log residuals (Y-axes) from linear regression models of non-dense area (A), dense area (B), and percent mammographic breast density (C), epithelium (D), stroma (E) and epithelium-to-stroma proportion (F). The effects of body mass index and benign breast disease histology on breast tissue composition were accounted for by adjusting for these in the linear regression models and plotting the log residuals against age.

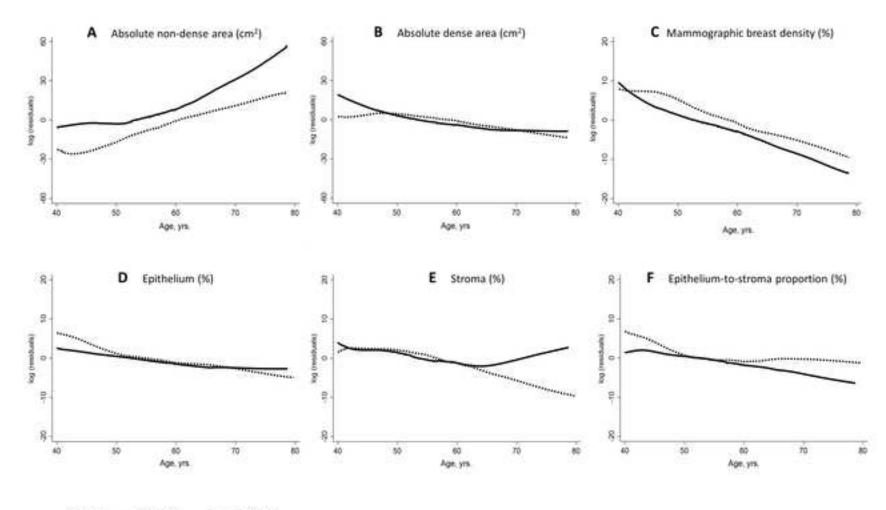
Figure 3: Joint associations of histologic epithelium-to-stroma proportion (histologic-ESP) and mammographic breast density (MBD) and risk of subsequent breast cancer development among women with benign breast disease (BBD). Histologic epithelium-to-stroma proportion (ESP) and percent mammographic breast density (MBD) were dichotomized at their median values among controls (i.e. 18.2% and 28.4%, respectively). Unconditional logistic regression models were adjusted for age at menarche, parity and age at first live birth, body mass index, menopausal status/menopausal hormone therapy use, bilateral oophorectomy, history of breast cancer in a first degree relative, benign breast disease (BBD) histology, extent of lobular involution, calendar year of BBD diagnosis, as well as matching factors (age at BBD diagnosis and follow-up time from BBD to cancer). Analyses were performed overall (controls/cases (n) = 284/280) and among BBD patients with non-proliferative disease (NPD; controls/cases (n) = 204/161) and (C) proliferative disease (PD (with (w)/without(wo) atypia); controls/cases (n) = 80/119). Detailed odds ratios and related estimates are presented in **Table 4**. P values for trend (P-trend) were assessed by modelling the joint ESP/MBD variable as continuous in the multivariable model. P value for heterogeneity (P-het) was obtained by including a multiplicative interaction term between the joint ESP/MBD variable and BBD histology in the overall, fully adjusted, model. All tests were two-sided.

Figure 4: Conceptual model of benign breast disease (BBD) to breast cancer progression incorporating the contributions of histologic changes in epithelium, stroma, and epithelium-to-stroma proportion to breast cancer risk. Increasing epithelium-to-stroma proportion (ESP) is displayed vertically, from bottom to top, to correspond to observed association with increasing risk of subsequent breast cancer development in this study (**Table 2**). The context-dependent role of the stroma to either inhibit or promote tumor formation in the setting of non-proliferative or proliferative disease (**Table 2**), respectively, is displayed horizontally. In this conceptual model of benign breast disease (BBD) to breast cancer progression, we propose that the proportion of the epithelial and stromal components of the breast is in a delicate balance during normal homeostasis. Disruption of this balance, either through uncontrolled epithelial proliferation arising from endogenous and/or exogenous factors, lack of age-related epithelial involution, or via exogeneous and/or endogenous causes of stromal depletion, will manifest as increasing histologic-ESP (**Supplementary Table 7**). High histologic-ESP may, in turn, represent a feature of the breast microenvironment that is conducive to carcinogenesis.

# Figure 1



# Figure 2



Key: Controls •••• Cases



