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1 Within-trio tests provide little support for post-
2 copulatory selection on MHC haplotypes in a free-
3 living population

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7

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9 sheep

10

11 Abstract

12 Sexual selection has been proposed as a force that could help maintain the diversity of major
13 histocompatibility complex (MHC) genes in vertebrates. Potential selective mechanisms can
14 be divided into pre-copulatory and post-copulatory, and in both cases the evidence for
15 occurrence is mixed, especially in natural populations. In this study, we used a large number
16 of parent-offspring trios that were diplotyped for MHC class II genes in a wild population of
17 Soay sheep (*Ovis aries*) to examine whether there was within-trio post-copulatory selection
18 on MHC class II genes at both the haplotype and diplotype levels. We found there was
19 transmission ratio distortion of one of the eight MHC class II haplotype (E) which was
20 transmitted less than expected by fathers, and transmission ratio distortion of another
21 haplotype (A) which was transmitted more than expected by chance to male offspring.
22 However, in both cases these deviations were not significant after correction for multiple
23 tests. In addition, we did not find any evidence of post-copulatory selection at the diplotype
24 level. These results imply that, given known parents, there is no strong post-copulatory
25 selection on MHC class II genes in this population.

26

27 Introduction

28 The major histocompatibility complex (MHC) is one of the most variable gene families in the
29 vertebrate genome. Classical MHC genes are an essential component of the adaptive immune
30 system and comprise two main classes of genes (class I and II) that are responsible for the
31 recognition and presentation of foreign antigens. Class I-encoded molecules are expressed on
32 all nucleated somatic cells primarily involved in presenting endogenously derived peptides to
33 CD8+ cytotoxic T cells. Class II-encoded molecules are expressed on antigen-presenting cells
34 and are primarily involved in presenting exogenously derived peptides to CD4+ T cells.
35 Pathogen-mediated balancing selection is thought to be the main force maintaining the
36 diversity of MHC genes, but sexual selection is considered to be an important mechanism in
37 some species. MHC genes could be under sexual selection because parents are selected to
38 optimize the immunity of their offspring or because MHC genes are used as a proxy for certain
39 sexually selected traits (1-6).

40 MHC-dependent sexual selection could occur at both the pre-copulatory and post-copulatory
41 stages, based on different aspects of MHC genes including selection favouring or disfavouring
42 specific alleles, selection favouring more and/or more diverse MHC alleles, and MHC
43 compatibility (similarity/dissimilarity) between partners. A meta-analysis including studies on
44 both pre-copulatory and post-copulatory selection across non-human vertebrates supports
45 female choice for MHC diversity and choice for MHC dissimilarity regardless of which sex
46 chooses (7). Pre-copulatory sexual selection in the form of MHC-dependent mate choice has
47 been reported in a wide range of vertebrate taxa in natural populations including fishes (8,
48 9), reptiles (10), birds (11, 12), and mammals (13, 14).

49 MHC-dependent post-copulatory selection may also play an important role in shaping MHC
50 diversity in some species, and this could occur at two stages, either before fertilization,
51 through sperm competition or cryptic female choice, or after fertilization through mother-
52 foetus interactions (15). The “Sperm receptor selection hypothesis” has been proposed to
53 explain selection before fertilization (16). Although the expression of MHC genes in
54 spermatozoa or oocytes is controversial, with both positive and negative evidence, linkage
55 disequilibrium between odorant receptor genes and MHC genes could still contribute to the
56 recognition between spermatozoa and oocytes (15, 17). Thus, in either a polyandrous mating
57 system or within a sire, specific spermatozoa could be selected for fertilization based on their
58 MHC haplotype. After fertilization, females could allocate more energetic resources to
59 genetically “preferred” embryos which could induce MHC-dependent sexual selection (18,
60 19). Finally, the similarity between maternal and foetal MHC genes could result in selective
61 abortion of embryos. For example in a Hutterite population, significantly increased foetal loss
62 rates were observed among couples with identical MHC haplotypes (20, 21).

63 MHC-dependent post-copulatory selection has mostly been studied experimentally and
64 different studies have focused on different stages and produced mixed results. At the pre-
65 fertilization stage, some experimental studies of fishes demonstrated cryptic female choice
66 favouring sperm from MHC-similar males (22, 23) while another study of red junglefowl
67 (*Gallus gallus*) found sperm from MHC-dissimilar males were favoured (24). Sperm selection
68 within a sire has also been investigated. Some experimental studies found no evidence of
69 MHC-dependent gamete fusions (25, 26) while other studies have reported haplotype-
70 specific fertilization bias toward gametes with complementary MHC genes (27-29).

71
72 Even fewer studies have investigated post-copulatory selection in semi-natural or natural
73 populations, and again the results are equivocal. First, some studies used behavioural
74 observations combined with molecular parentage data to examine post-copulatory selection
75 caused by cryptic female mate choice or sperm competition between different males. For
76 example, fathers were reported to have more MHC supertypes (MHC variants with similar
77 physicochemical properties) different from those of the mother than randomly assigned
78 males in a population of grey mouse lemur (*Microcebus murinus*), although such a deviation
79 was not observed in behavioural data (30). Other studies have used molecular parentage data
80 to study within-trio post-copulatory selection. For example in a semi-natural rhesus macaque
81 (*Macaca mulatta*) colony, although there was no evidence for post-copulatory selection
82 against MHC-homozygous individuals, the distribution of paternally and maternally inherited
83 MHC haplotypes tended to differ from expected (31). A similar pattern was observed in a
84 lesser kestrel population (*Falco naumanni*) at the allele level: an MHC supertype including two
85 common alleles showed significant transmission ratio distortion when inherited from males
86 but not from females (32). However, in a semi-natural population of mandrills (*Mandrillus*
87 *sphinx*), no evidence of post-copulatory selection on MHC genes was found (33). As
88 experimental studies cannot reflect the complexity of the natural environment, more studies
89 in natural populations are needed to understand the generality of patterns of post-copulatory
90 selection on MHC genes.

91 Here, we used an unmanaged population of Soay sheep (*Ovis aries*) living on the island of
92 Hirta, St Kilda, to study post-copulatory selection on MHC genes. Since 1985, a large number
93 of sheep have been individually followed from birth to death and a multigenerational
94 pedigree covering nearly all studied individuals has been constructed using genome-wide SNP
95 genotypes. A previous study using MHC-linked microsatellite markers of several hundred
96 individuals born between 1985 and 1994 found all loci were in Hardy-Weinberg proportions
97 and strong evidence of balancing selection (34). Recently, eight functional MHC class II
98 haplotypes were identified in this population using sequence-based genotyping (35). Using
99 13 selected SNPs in the MHC class II region, we successfully characterized MHC class II
100 diplotypes, variants of all possible combinations of the MHC haplotypes that exist in the
101 population, in 5349 sheep and found that the data are in Hardy-Weinberg equilibrium (36,
102 37). Combining the MHC class II genotyping with the pedigree information, we identified a
103 large number of trios with offspring and both parents successfully diplotyped for MHC genes.
104 Using these trios, we tested within-trio post-copulatory selection on MHC class II haplotypes
105 in Soay sheep by answering several questions using different parental groups classified by
106 their MHC class II diplotypes: 1) Is there selection against homozygote offspring? 2) Is there
107 selection against offspring which have an identical diplotype to their mother? 3) Is there
108 selection favouring offspring with more divergent MHC class II haplotypes? 4) Is any specific
109 MHC class II haplotype favoured? 5) Is there transmission ratio distortion of specific MHC
110 class II haplotypes from fathers or mothers or to male or female offspring?

111

112 Methods

113 Study population and parentage data

114 The Soay sheep population used in this study has lived on the island of Soay, in the St. Kilda
115 archipelago for many centuries. In 1932, 107 Soays were introduced to the larger
116 neighbouring island of Hirta and have been living there unmanaged since. From 1985, a
117 longitudinal individual-based study has been conducted on the sheep resident in the Village
118 Bay area of Hirta to investigate ecological and evolutionary questions (38). 90% of lambs, born
119 in April or May of each year, are ear-tagged and tissue sampled for DNA extraction soon after
120 birth. Any missed lambs or immigrant adults are captured, tagged and sampled in an August
121 catch up or in the rut in November. As far as possible all sheep alive since 1989 have been
122 genotyped on the Illumina Ovine 50K SNP array. Parentage is inferred for each individual using
123 a subset of 315 SNPs in low linkage disequilibrium derived from the SNP array using the
124 pedigree reconstruction software Sequoia (39, 40). In cases where no SNP genotypes were
125 available, a small number of parentage inferences were made using field observations (for
126 mothers) or a previous microsatellite genotyping approach (41).

127 The Soay sheep has a promiscuous mating system. Both females and males mate multiply and
128 often with different partners within a year. Females usually have single lambs, less commonly
129 twins, and very rarely triplets (38), with twins and triplets accounting for approximately 20%
130 of new-born lambs (Supplementary table 1). Twins and triplets are always non-identical (J.

131 Pemberton & S. Johnston, pers. obs.) and usually have different fathers (Supplementary table
132 1). Since each offspring therefore represents a separate fertilisation, we treated each
133 offspring as an independent data point.

134

135 MHC data

136 The ovine class II region comprises two distinct subregions, class IIa and IIb, which both
137 contain a number of loci, with pathogen resistance mainly reported to be associated with
138 class IIa loci (42). The MHC data used in this study were obtained from a previous study (35,
139 36). First, seven expressed loci (*DRB1*, *DQA1*, *DQA2*, *DQA2-like*, *DQB1*, *DQB2* and *DQB2-like*)
140 within the MHC class IIa region were characterised in 118 Soay sheep using genotyping-by-
141 sequencing. As a consequence, a total of eight MHC class II haplotypes were identified and
142 named A to H, and confirmed in an additional 94 Soays selected from the pedigree to
143 maximise genetic diversity (35). Second, a panel of 13 SNPs, mostly located in the flanking
144 regions of the MHC class IIa haplotypes, including 11 SNPs from the Ovine Infinium HD chip
145 and two other SNPs located within *DQA1* gene, were selected for imputation of the eight
146 haplotypes and genotyped in 5951 Soay sheep using Kompetitive Allele-specific PCR (KASP).
147 After imputation and quality control, we rejected 276 individuals on 3 plates with high
148 genotyping error rate, 297 individuals with missing SNP genotypes, 26 individuals with novel
149 MHC haplotypes potentially caused by genotyping errors and 3 individuals with diplotypes
150 which were inconsistent with their parents. Finally, the diplotypes of 5349 individuals that
151 lived in the study area between 1985 and 2012 were identified (36, 37). The frequency of each
152 haplotype is shown in Supplementary Figure 1.

153 Analytical methods

154 In this study, we only used offspring-mother-father trios in which all three members were
155 diplotyped (N=2459 trios). We omitted all trios in which the diplotyped offspring died as a
156 foetus when its mother died. We characterized seven parental groups based on the parental
157 diplotype combination (Table 1). Groups 1 and 2 are of no further interest because all
158 offspring will have the same diplotypes. For all other groups, Monto-Carlo simulations were
159 conducted by randomly choosing one haplotype from each true parent in a pair to create a
160 simulated offspring. Each trio was simulated for 10,000 iterations using a custom script in R
161 v.3.5.2. The observed sample size of offspring for each group is shown in Table 1.

162 Table 1. Classification and sample size of parental groups. The letters in parental diplotypes
163 are here used as examples to describe the seven possible combinations of parental
164 diplotypes. Since there are eight haplotypes in the population named A to H, in reality there
165 are multiple different diplotype combinations in each group, e.g. group 1 includes AA-AA, BB-
166 BB, CC-CC etc.

Group	Parental diplotype combination	Expected ratio of heterozygotes: homozygotes in offspring	Number of trios
1	MM-MM	all homozygote	18
2	MM-NN	all heterozygote	74
3	MM-NO	all heterozygote	432

4	MN-OP	all heterozygote	894
5	MM-MN	1:1	164
6	MN-MN	1:1	78
7	MN-MO	3:1	799
total			2459

167

168 After simulation, we conducted five kinds of analyses to test for post-copulatory selection on
 169 MHC variation in Soay sheep, comparing the observed value with the simulated distribution.
 170 For all the analyses, significance was determined by comparing the observed value with the
 171 2.5% and 97.5% tails of the distribution of the values of the 10,000 iteration simulations.

172 (1) At the diplotype level we examined whether there was a deficit or excess of MHC
 173 homozygotes in groups 5-7 using the ratio of heterozygote : homozygote. We did this
 174 separately for each group as the expected ratio of heterozygote : homozygote is different
 175 across the three groups (Table 1).

176 (2) We investigated whether there was a deficit or excess of offspring with MHC class II
 177 diplotypes identical with a parent using groups 5 and 7. We compared the number of
 178 offspring which had identical diplotypes with the mother and those which had identical
 179 diplotypes with their father separately. This test was not performed on group 6, since both
 180 parents have identical diplotypes.

181 (3) We investigated whether offspring had more or less divergent MHC class II diplotypes than
 182 expected in groups 3-7. The pairwise divergence of each pair of MHC class II haplotypes was
 183 measured by the proportion of the amino acid sequence that differed (p-distance;
 184 Supplementary Table 4) (36). We compared the mean divergence of MHC class II diplotypes
 185 across all the offspring in simulated data with that in the real data.

186 (4) At the haplotype level, we investigated whether specific haplotypes were over- or under-
 187 represented in comparison with the parental generation across all the simulated groups (3-
 188 7).

189 (5) We focused on whether there is transmission ratio distortion of MHC class II haplotypes
 190 in Soay sheep from either fathers or mothers. For each haplotype, we assessed the frequency
 191 with which it was inherited from a father and a mother separately. We did this in all simulated
 192 groups except for group 6 in which it was not possible to tell which parent a haplotype came
 193 from.

194 (6) Finally, we investigated whether the frequency of a haplotype received by an offspring
 195 was over- or under-represented in male or female offspring, using all simulated groups (3-7).

196

197 Results

198 Here we present the results of the six tests described above in turn.

199 (1) The expected ratio of heterozygote: homozygote for each group is shown in Table 1. In all
200 tested groups (5, 6, 7) the ratio of heterozygote: homozygote diplotypes was in line with
201 random expectation (Supplementary Figure 2, Supplementary Table 5).

202 (2) The expected number of offspring with diplotypes identical to a parent was 82 in group 5
203 (half of 164 trios) and 200 in group 7 (a quarter of 799 trios). The number of offspring with
204 identical diplotypes to their mother or father was in line with random expectation
205 (Supplementary Figure 3, Supplementary Table 6).

206 (3) We found that the divergence of MHC class II diplotype in offspring was in line with
207 random expectation (Supplementary Figure 4, Supplementary Table 7).

208 (4) We found that no specific haplotype was either over- or under-represented across all
209 offspring (Supplementary Figure 5, Supplementary Table 8).

210 (5) We found evidence for transmission ratio distortion of haplotype E. Observed paternally
211 inherited haplotype E was under-represented compared with simulated data (Figure 1A), but
212 maternally inherited haplotype E was neither over- nor under-represented (Supplementary
213 Figure 6, Supplementary Table 9). The nominal P-value for paternal haplotype E distortion is
214 $p=0.0065$, but after Bonferroni correction for 16 tests (8 haplotypes x 2 sexes, critical p after
215 correction: 0.0015625) it was not significant and is hereafter referred to as marginally
216 significant.

217 (6) We found that haplotype A was over-represented in male offspring (Figure 1B) but not in
218 female offspring (Supplementary Figure 6, Supplementary Table 9). The nominal P-value for
219 male haplotype A distortion is $p=0.007$, but after Bonferroni correction for 16 tests (as above)
220 it was not significant and is hereafter referred to as marginally significant.

221 As shown in Supplementary Table 1, some twins are full sibs. Twins are always dizygotic, so
222 represent separate fertilisation events (J. Pemberton & S. Johnston, pers. obs.). In addition, a
223 small number full sibs are born in different years, also from separate fertilisation events.
224 Nevertheless, to eliminate the possibility of non-independence of parental pairs affecting our
225 results, we repeated the whole analysis after retaining only the first instance of a parental
226 pair in the data set. The results were consistent with those reported above (Supplementary
227 Table 10).

228 Discussion

229 In this study, we investigated post-copulatory selection on MHC class II haplotypes in a wild
230 population of Soay sheep using a large number of informative parent-offspring trios. We
231 found no evidence of selection against homozygous offspring, no deficit or excess of identical
232 diplotypes between offspring and either parent, and no selection favouring offspring with
233 more divergent MHC class II diplotypes. Thus, we did not find any evidence of post-copulatory
234 selection at the diplotype level. At the haplotype level, we did not find any haplotype was
235 either over- or under-represented across all offspring. However, we found that haplotype E
236 was underrepresented when inherited from fathers and haplotype A was overrepresented in
237 male offspring, although neither result survived Bonferroni correction.

238 Our results provide little evidence for within-trio post-copulatory selection on MHC class II
239 haplotypes. Although some experimental studies have reported post-copulatory selection on
240 MHC genes both before fertilization (22, 24) and after fertilization (29), evidence in semi-
241 natural or natural populations is weak. Only one study of lesser kestrel showed significant
242 transmission ratio distortion of an MHC supertype inherited from fathers (32). In our study,
243 we also identified transmission ratio distortion of particular MHC class II haplotypes both in
244 the parental generation and filial generation. However, we could not rule out the possibility
245 that these results are false-positives due to multiple testing. In addition, we did not identify
246 any signature of post-copulatory selection at the diplotype level. Our results are consistent
247 with the lack of deviation from Hardy-Weinberg equilibrium in the wider Soay sheep MHC
248 class II dataset (36).

249 Our study contrasts somewhat with the two previous studies that found significant or
250 suggestive evidence of post-copulatory selection on MHC genes in semi-natural or natural
251 populations (31-33). The difference is potentially due to differences in MHC diversity,
252 sample size, analytical method and species. First, MHC class II diversity in Soay sheep, with
253 only eight haplotypes, is much lower than in the other two studies. Moreover, the eight
254 haplotypes are at relatively even frequencies, as demonstrated by significant deviation from
255 expected in the Ewens-Watterson test at different life history stages and within the standing
256 population each year (36), which maximises analytical power. Using microsatellite
257 genotyping, a total of 176 MHC haplotypes were identified in Rhesus macaques. As a result,
258 the number of informative trios that had a 1:1 expected ratio of homozygous and
259 heterozygous offspring was too low to use for further analysis, which reduced the study to
260 only the parental category which had an expected 3:1 heterozygote:homozygote ratio. In
261 the study of lesser kestrel, as the allele number of each individual was very high, MHC
262 supertypes were used as the MHC marker for the study of transmission ratio distortion,
263 which may not reflect actual selection at either the allele or haplotype level. Second, our
264 sample size was larger than previous studies: in the Rhesus macaque there were 154
265 informative trios and in the lesser kestrel there were 228 meiotic events from 44 families.
266 With several hundred trios in each test, our study had more statistical power. Third, our
267 results were produced by comparing the results of Monto-Carlo simulation and real data
268 while the study of Rhesus macaques and lesser kestrel used Bayesian t-test and
269 Kolmogorov–Smirnov test respectively. Monto-Carlo simulation does not require specific
270 statistical assumptions and thus could avoid the use of models with potentially
271 inappropriate assumptions (43). These things said, there remains the possibility that there
272 are species differences in post-copulatory selection on MHC genes, which could explain
273 variation between studies.

274 Our study focused on within-trio post-copulatory selection on MHC genes using parentage
275 data. However, in polyandrous mating systems there may also be MHC-dependent mating,
276 sperm competition and cryptic female choice favouring sperm from particular males among
277 mated partners (44, 45) which were not examined in the present study. To test these ideas,
278 a large number of mating observations and associated parentage data should be available
279 simultaneously to differentiate MHC-dependent pre-copulatory selection and post-
280 copulatory selection. To date, this hypothesis has only been tested in a population of mouse

281 lemurs (30). Consort data has been collected in Soay sheep over many years and there is
282 some evidence for assortative mating in the population (46). Thus, further studies could
283 combine the consort data, molecular parentage data and actual MHC diplotypes together to
284 investigate whether there is MHC-dependent selection via sperm competition in this
285 polyandrous mating system.

286 In conclusion, we have identified a large number of informative trios using MHC genotyping
287 and parentage data to study within-trio post-copulatory selection on MHC class II genes in a
288 wild population of Soay sheep. With the advantage of limited MHC diversity and large sample
289 size, this is the first study to investigate post-copulatory selection thoroughly at both the
290 diploidy and haploidy levels in a free-living population. We found evidence of transmission
291 ratio distortion of specific MHC class II haplotypes inherited from fathers and inherited by
292 male offspring, but we could not rule out the possibility of false positive results in these tests.
293 These results imply little evidence of MHC-dependent post-copulatory selection in the study
294 population. Our study also highlights the value of large-scale genetic parentage inference and
295 Monte Carlo simulation for investigating post-copulatory selection on the MHC in free-living
296 population.

297

298 Ethics

299 Ethical approval for the research on Soay sheep has been granted by the appropriate UK
300 Home Office licences.

301

302 Data accessibility

303 Data used in this paper are available in the Dryad Digital Repository:
304 <https://doi.org/10.5061/dryad.m63xsj40t> (47)

305

306 Author's contributions

307 W.H and J.M.P designed the study. W.H analyzed the data and wrote the manuscript with
308 editorial input from J.M.P.

309

310 Competing interests

311 We declare we have no competing interests.

312

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319 inference was conducted at the Wellcome Trust Clinical Research Facility Genetics Core.

320

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325

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