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# Variation in VKORC1 is Associated with Vascular Dementia

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#### 17 Abstract.

**Background:** The genetic variant rs9923231 (*VKORC1*) is associated with differences in the coagulation of blood and consequentially with sensitivity to the drug warfarin. Variation in *VKORC1* has been linked in a gene-based test to dementia/Alzheimer's disease in the parents of participants, with suggestive evidence for an association for rs9923231  $(p = 1.8 \times 10^{-7})$ , which was included in the genome-wide significant *KAT8* locus.

**Objective:** Our study aimed to investigate whether the relationship between rs9923231 and dementia persists only for certain dementia sub-types, and if those taking warfarin are at greater risk.

Methods: We used logistic regression and data from 238,195 participants from UK Biobank to examine the relationship between *VKORC1*, risk of dementia, and the interplay with warfarin use.

Results: Parental history of dementia, APOE variant, atrial fibrillation, diabetes, hypertension, and hypercholesterolemia all

had strong associations with vascular dementia ( $p < 4.6 \times 10^{-6}$ ). The T-allele in rs9923231 was linked to a lower warfarin dose ( $\beta_{perT-allele} = -0.29, p < 2 \times 10^{-16}$ ) and risk of vascular dementia (OR = 1.17, p = 0.010), but not other dementia sub-types.

However, the risk of vascular dementia was not affected by warfarin use in carriers of the T-allele.

Conclusion: Our study reports for the first time an association between rs9923231 and vascular dementia, but further research is warranted to explore potential mechanisms and specify the relationship between rs9923231 and features of

32 vascular dementia.

33 Keywords: Alzheimer disease, genetics, vascular dementia, warfarin

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### INTRODUCTION

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Warfarin is the most prescribed anticoagulant worldwide [1] and is commonly used as a treatment for atrial fibrillation (AF) [2]. The drug functions by inhibiting the enzyme vitamin K epoxide reductase

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(VKOR), effectively interfering with the vitamin K 30 cycle required for coagulation of blood [3]. As a result 40 of variations in age, height, weight, genotype, and 41 other factors [4-6], patients vary up to 20-fold in their 42 sensitivity to warfarin [7]. Clinically, the optimum 43 dose is estimated using tests of blood coagulation, 11 commonly the International Normalized Ratio (INR). 45 The strongest genetic predictor of warfarin sensitivity 46 is the gene VKORC1, which encodes for the vitamin 47 K epoxide reductase subunit 1 (VKORC1) and acco-48 unts for approximately a third of the variance in 49 warfarin sensitivity [3]. Three VKORC1 SNPs, rs99 50 23231, rs9934438, and rs2359612-which are in 51 very high linkage disequilibrium-are the best gen-52 etic predictors of warfarin sensitivity [3, 7, 8]. 53

In a recent genome-wide association study (GW 54 AS) meta-analysis of parental dementia and case-55 control Alzheimer's dementia (ADem) [9], VKORC1 56 was associated (after Bonferroni correction) with 57 ADem in a gene-based test  $(p = 5.1 \times 10^{-8})$ ; the T-58 allele in rs9923231, which is related to the need for 59 a lower dose of warfarin, was not a genome-wide 60 significant finding, but was both located within a 61 genome-wide significant locus and nominally associ-62 ated with an increased risk of ADem ( $p = 1.8 \times 10^{-7}$ ). 63 Pure Alzheimer's disease pathology, characterized by 64 amyloid plaques and neurofibrillary tangles in the 65 grey matter, is uncommon, and most patients exhibit 66 a mixed pathology in which vascular factors often 67 play a prominent role [10]. In fact, there is exten-68 sive evidence directly linking vascular dysfunction 69 to ADem [11]. Thus, a possible explanation for the 70 findings [9] is that vascular factors played a crucial 71 role in a proportion of the ADem cases/family history 72 cases observed. If that is the case, then there should 73 be an even stronger relationship between VKORC1 74 and vascular dementia (VaD) that is mostly due to 75 cardiovascular factors. 76

Most strokes in western countries are due to occlu-77 sions in blood vessels (ischemic), and some are due 78 to ruptures in blood vessels (hemorrhagic) [12]. If 79 carriers of the T-allele in rs9923231 experience a 80 reduction of blood coagulation and subsequent seq-81 uential minor hemorrhagic strokes, the resulting pa-82 thology could manifest in dementia and explain the 83 observed link. Furthermore, compared to non-carriers 84 of the T-allele, patients with AF that carry the T-allele 85 could be at an increased risk of intracerebral hem-86 orrhage and consequentially VaD when prescribed 87 warfarin. Here, we study the same UK Biobank 88 cohort as previously [9], but consider both individ-89 ual and parental dementia status. We test whether 90

T-allele status is associated with an increased risk of VaD and explore whether carriers of the T-allele are at a greater risk of VaD than non-carriers when prescribed warfarin.

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#### **METHODS**

#### Sample

We used data from UK Biobank, a large and detailed prospective study of over 500,000 participants aged 37–73 that were recruited between the years 2006 and 2010. UK Biobank has been described in detail before [13]. The Research Ethics Committee (REC) granted ethical approval for the study (reference 11/NW/0382) and the current analysis was conducted under data application 10279.

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Details on genotyping in the UK Biobank have been reported before [14, 15]. Briefly, for 49,950 participants, genotyping was performed using the UK BiLEVE Axiom Array, and for 438,427 participants, genotyping was performed using the UK Biobank Axiom Array. The released data contained 805,426 markers for 488,377 participants. Further quality control steps were performed as previously reported [9]. They included the removal of outliers, of incongruent data points, and of related participants using a relationship cut-off of 0.025 (GCTA GREML) [16]. This left an unrelated cohort of 314,278 individuals of white British ancestries (Fig. 1).

#### Warfarin prescription data

The UK Biobank obtained data on prescriptions 120 for 222,111 participants via primary care computer 121 system suppliers (EMIS Health and Vision for Scot-122 land, and Wales, Vision and The Phoenix Partnership 123 for England) and has engaged other intermediaries 124 (Albasoft, a third-party data processor, for Scotland 125 and the SAIL databank for Wales). All participants 126 provided written consent for linkage to their health 127 records upon recruitment to UK Biobank. The data 128 were extracted in May 2017 for Scotland, in Septem-129 ber 2017 for Wales, and in June, in July, and in 130 August 2017 for England. The data include the exact 131 dates of prescriptions, drug codes (BNF, Read v2, 132 CTV3, and dm + d), names of drugs as written on 133 the prescription, and, where available, the dosages of 134 prescribed drugs. Empty prescriptions, prescriptions 135



Fig. 1. The data cleaning procedure. The left path (orange boxes) represents the genotyping and associated quality control, the middle path (blue box) represents the ascertainment of primary careand inpatient diagnoses, and the right path (yellow boxes) represents the linkage to primary care prescriptions and the cleaning of the latter. The last two steps (grey boxes) involve the inclusion of only those participants that were older than 60 at the end of sampling and who passed through the left and middle paths (first grey box, 238,195 participants), or through all three data-cleaning paths (second grey box, 115,206 participants). All analyses that did not include prescribing data in the models were performed using the 238,195 participants, while the analyses that utilized warfarin prescription history used the 115,206 participants.

without a date, and duplicate prescriptions (defined 136 as identical prescriptions issued to the same person 137 on the same day) were removed from the sample. 138 This resulted in the removal of 1,467,547 prescrip-139 tions. Three participants were completely removed 140 from the dataset (Fig. 1). Warfarin prescriptions were 141 extracted by searching for the word "warfarin" under 142 the name/content of each prescription. For each par-143 ticipant, we calculated warfarin use by summing the 144 number of days on which warfarin was prescribed, 145 and warfarin dose by averaging the prescribed dose 146 over all prescriptions of warfarin. 147

#### 148 Disease status

149Data on diagnoses for 465,510 participants were150obtained by the UK Biobank from two sources:1511) from primary care similarly to the prescriptions152described above, and 2) from hospital inpatient153admissions data. Inpatients are defined as people who154are admitted to hospital and occupy a hospital bed.

These data included Hospital Episode Statistics for England, Scottish Morbidity Records for Scotland, and the Patient Episode Database for Wales. People with record of any dementia were included in a broad dementia category of "general dementia" that included ADem and VaD, as well as other types of dementia. Furthermore, narrower, more specific categories (ADem, VaD) were also identified. Information on the codes used in the extraction of each diagnosis is provided in Supplementary Table 1. We excluded from our analyses all participants that were 60 years old or younger on the last date of sampling (June 30, 2020) since dementia risk increases steeply with age. Parental diagnoses were ascertained during the initial assessment by asking participants about the presence of "Alzheimer's disease/dementia" for both mother and father. In our analyses, the parental diagnosis of dementia was considered positive if at least one parent was reported to have suffered from the disorder.

#### Models

All analyses where the outcome variable was con-176 tinuous were performed using linear regression; all 177 models where the outcome variable was binary were 178 performed using logistic regression. All models were 179 controlled for the assessment center in which the par-180 ticipant was tested, the genotyping- batch and array, 181 40 genetic principal components, the age, sex, edu-182 cation, socioeconomic deprivation, alcohol consump-183 tion, smoking, physical activity, and body mass index 184 (BMI) of the participants. The models predicting VaD 185 were subsequently additionally controlled for APOE 186 variant, concentration of triglycerides (mmol/L), and 187 the diagnoses of hypertension, hypercholesterolemia, 188 and diabetes. All covariates were ascertained imme-189 diately prior to or during the participants' recruitment 190 to the UK Biobank. For education, a binary classifi-191 cation was used that indicated whether a graduate 192 degree had been attained. For socioeconomic depri-193 vation, the Townsend index [17] was used, where 194 higher values indicate greater socioeconomic depri-195 vation (range in the sample: -6.3-10.8). For alcohol 196 consumption, a 6-level scale of frequency of alco-197 hol consumption was used, where 1: "daily or almost 198 daily", 2: "three or four times a week", 3:"one or 199 two times a week", 4: "one to three times a month", 200 5: "special occasions only", 6: "never". For smok-201 ing, the participants were classified as non-smokers, 202 past smokers, or current smokers. For physical activ-203 ity, the scale provided by the UK Biobank was 204

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reduced to a 3-level scale, indicating light, moderate, 205 or strenuous physical activity, as has been used before 206 [18]. For APOE genotype based on the nucleotides 207 at SNP positions rs429358 and rs7412, participants 208 with the  $\varepsilon 3/\varepsilon 3$  haplotype were denoted as carrying 209 variant  $\varepsilon$ 3, participants with the  $\varepsilon$ 2/ $\varepsilon$ 2 or  $\varepsilon$ 2/ $\varepsilon$ 3 hap-210 lotypes were denoted as carrying variant  $\varepsilon 2$ , and 211 participants with the  $\varepsilon 3/\varepsilon 4$  or  $\varepsilon 4/\varepsilon 4$  haplotypes were 212 denoted as carrying variant  $\varepsilon 4$ . Brain imaging data, 213 including the volume of white matter hyperintensi-214 ties (WMH), were available for 18,251 participants 215 in the sample. For analysis where WMH was mod-216 elled as an outcome, WMH was log-transformed 217 and corrected for intracranial volume. For analyses 218 where parental diagnoses were modelled as out-219 comes, the ages of each parent (current age or age 220 at death) were included in the models. In all cases 221 where we tested for associations between rs9923231 222 (VKORC1) and any form of dementia, we assumed 223 an additive genetic effect for rs99232331. All covari-224 ates were simultaneously added to the model and 225 the models were not corrected for multiple com-226 parisons. The effects are reported in odds ratios 227 (OR's) or unstandardized beta-coefficients. All anal-228 vses were performed in R version 3.6.3. The code 229 for preparing and analyzing the data is available at 230 https://github.com/Logos24/VKORC1-and-VaD. 231

#### RESULTS

#### Sample characteristics

Among the 238,195 participants, 129,034 (54.2%) were female and 109,161 (45.8%) were male (Table 1). The age range at recruitment was 46–74 years (Fig. 2) and the median age was 60.9 years (IQR = 9.1). The demographic characteristics of the



Fig. 2. Odds ratios for parental dementia, ADem, and VaD per rs9923231 genotype status. Depicted are the additive effect and the effects of each allele group. The tails represent 95% confidence intervals for the ORs.

Demographic characteristics of the sample							
Variable	Level	Median (IQR) or n (%)					
		All $(n = 238, 195)$	General dementia $(n = 4259)$	ADem (1531)	VaD (669)		
Age		60.9 (9.1)	65.5 (5.8)	65.9 (5.3)	66.3 (4.7)		
Sex	Female	129,034 (54.2)	1,939 (45.5)	795 (51.9)	267 (39.9)		
	Male	109,161 (45.8)	2,320 (54.5)	736 (48.1)	402 (60.1)		
Education	Graduate degree	72,385 (30.7)	947 (22.6)	313 (20.9)	115 (17.6)		
	No graduate degree	163,563 (69.3)	3,235 (77.4)	1,199 (79.1)	539 (82.4)		
Deprivation		-2.5 (3.6)	-2.2 (4.2)	-2.3 (4.1)	-2.2 (3.8)		
Alcohol consumption	Daily or almost daily	54,261 (22.8)	990 (23.3)	311 (20.3)	152 (22.8)		
	3 or 4 times a week	57,255 (24.1)	814 (19.1)	313 (20.5)	117 (17.5)		
	1 or 2 times a week	60,106 (25.2)	952 (22.4)	357 (23.3)	147 (22.0)		
	1–3 times a month	24,778 (10.4)	396 (9.3)	156 (10.2)	59 (8.8)		
	Special occasions only	25,409 (10.7)	576 (13.5)	215 (14.1)	91 (13.6)		
	Never	16,239 (6.8)	524 (12.3)	177 (11.6)	101 (15.1)		
Smoking	Current smoker	21,470 (9.0)	413 (9.8)	115 (7.6)	74 (11.2)		
	Previous smoker	89,608 (37.8)	1,839 (43.4)	647 (42.6)	310 (46.8)		
	Non-smoker	126,288 (53.2)	1,983 (46.8)	757 (49.8)	278 (42.0)		
Physical activity	Strenuous	19,441 (8.7)	186 (4.9)	74 (5.2)	27 (4.7)		
	Moderate	148,812 (66.6)	2,350 (62.1)	908 (64.2)	359 (62.2)		
	Light	55,137 (24.7)	1,247 (33.0)	432 (30.6)	191 (28.6)		
BMI	-	26.8 (5.7)	27.1 (6.0)	26.8 (5.6)	27.8 (7.1)		
APOE variant	ε2	30,818 (13.3)	306 (8.2)	79 (5.3)	51 (7.8)		
	ε3	138,634 (59.7)	1,559 (42.0)	505 (33.6)	275 (42.1)		
	ε4	62,665 (27.0)	1,846 (49.7)	917 (61.1)	327 (50.1)		

 Table 1

 Demographic characteristics of the sample

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participants had been diagnosed with AF and among
the 115,206 participants with data on prescriptions,
5,513 (4.8%) had a history of being prescribed warfarin (Supplementary Table 3).

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There were 145,186 (61.0%) carriers of the T-allele 246 in the sample: 111,756 (46.9%) were heterozygous 247 for the T-allele, and 33,430 (14.0%) were homozy-248 gous for the T-allele; the allele frequencies were in 249 Hardy-Weinberg equilibrium ( $\chi^2 = 0.23$ , df = 1, p = 250 0.63). Among the participants, 4,259 (1.8%) had 251 suspected general dementia, 1.531 (0.64%) had 252 suspected ADem (Supplementary Table 4, Supple-253 mentary Figure 1), and 669 0.28%) had suspected 254 VaD (Supplementary Table 4, Supplementary Fig-255 ure 1); 152 participants (0.03%) had been diagnosed 256 with both ADem and VaD. People with at least 257 one parent with dementia were more likely develop 258 ADem (OR = 3.0, 95% CI =  $2.6-3.4, p < 2.0 \times 10^{-16}$ ) 259 and more likely to develop VaD (OR = 2.1, 95%260  $CI = 1.7 - 2.7, p < 1.9 \times 10^{-9}$ ). 261

#### <sup>262</sup> rs9923231 polymorphism and warfarin dose

Carrying the T-allele was negatively associated 263 with the average dose of warfarin ( $\beta_{perT-allele} = -0.29$ , 264 SE=0.015,  $p < 2.0 \times 10^{-16}$ ). Individuals heterozy-265 gous for the T-allele were prescribed a dose of war-266 farin that was on average 0.23 mg smaller than the 267 dose prescribed to non-carriers (SE=0.022, p <268  $2.0 \times 10^{-16}$ ), while individuals homozygous for the 269 T-allele were prescribed a dose of warfarin that was 270 on average 0.62 mg smaller than the dose presc-271 ribed to non-carriers (SE = 0.032,  $p < 2.0 \times 10^{-16}$ ). 272 The average dose of warfarin was also negatively 273 associated with age ( $\beta = -0.010$ , SE =  $2.0 \times 10^{-3}$ , 274  $p = 4.1 \times 10^{-7}$ ), and was higher in males ( $\beta = 0.062$ , 275 SE = 0.022,  $p = 5.7 \times 10^{-3}$ ). 276

#### 277 rs9923231 polymorphism and dementia risk

Parents of carriers of the T-allele were more li-278 kely to have developed dementia (additive effect 279 per T-allele: OR = 1.04, 95% CI = 1.02-1.06, p = 3.7280  $\times 10^{-5}$ ). When the presence of the T-allele was used 281 to predict general dementia in participants, the effect 282 was not significant, nor was the effect significant 283 when the presence of the T-allele was used to predict 284 ADem in participants (Table 2, Fig. 2). 285

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Table 2
Results of the additive models with T as the effect allele, using
rs9923231 to predict parental dementia, general dementia, ADem,
and VaD

		Effect	Effect per T allele	
rs9923231	OR	95% CI	р	n cases
Parental dementia	1.04	1.02-1.06	$3.7 \times 10^{-5}$	34,737
General dementia	1.02	0.98 - 1.07	0.33	4,259
ADem	1.02	0.94-1.10	0.60	1,531
VaD	1.17	1.04-1.32	0.010	669

When limited to the specific outcome of VaD, the additive effect of the T-allele was much larger (OR = 1.17, 95% CI = 1.04 - 1.32, p = 0.010, Table 2,Fig. 2). The full breakdown of all allele groups is shown in Supplementary Table 5. We repeated the models for VaD, with rs9923231 as a predictor and with the simultaneous addition of concentration of triglycerides, APOE variant, diagnoses of hypertension (n = 84,694), hypercholesterolemia (n = 40,363), and diabetes (n = 20.990) as additional covariates. While triglycerides, APOE variant, hypertension, hypercholesterolemia, and diabetes were significant predictors, this did not affect the relationship between rs9923231 and VaD (Supplementary Table 6). Because of the importance of cardiovascular events in the etiology of VaD, the T-allele was also used to predict stroke, with the full set of covariates as above. The models were not significant for ischemic (n = 8,087, OR = 0.98, 95% CI = 0.94-1.01, p = 0.21),nor for hemorrhagic (n=2,146, OR=0.94, 95%)CI = 0.88 - 1.01, p = 0.073) stroke. Due to the likely causal link between WMH and dementia [19], rs9923231 was related to WMH in the sample. When all the above covariates were included in the model, the association was significant, with the T-allele negatively associated with WMH (beta =  $-2.3 \times 10^{-8}$ ,  $SE = 7.5 \times 10^{-9}, p = 2.8 \times 10^{-3}).$ 

#### Warfarin use and VaD in carriers of the T-allele

In our sample, participants diagnosed with AF were at greater risk for ADem (OR = 1.55, 95% CI = 1.31–1.81,  $p = 1.7 \times 10^{-7}$ ) and for VaD (OR = 2.92, 95% CI = 2.38–3.57,  $p < 2.0 \times 10^{-16}$ ). The effect remained significant for both ADem (OR = 1.29, 95% CI = 1.08–1.53,  $p = 4.5 \times 10^{-3}$ ) and VaD (OR = 2.17, 95% CI = 1.74–2.69,  $p = 1.9 \times 10^{-12}$ ) when *APOE* status, triglycerides, and diagnoses of hypercholesterolemia, hypertension, and diabetes were included in the model as covariates. To test whether warfarin use in T-allele carriers diagnosed

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with AF increases the risk of VaD, we performed a 325 logistic model with AF, warfarin use, and rs9923231 326 predicting VaD, with the inclusion of a 3-way interac-327 tion term between AF, warfarin, and rs9923231. The 328 interaction between AF, warfarin use, and rs9923231 329 was not significant (OR = 0.99, 95 % CI = 0.98-1.00, 330 p = 0.063). The two-way interactions between the 331 above variables were also not significant and effect 332 sizes (main effects) were not substantially attenuated 333 by the addition of the other variables into the mod-334 els (Supplementary Tables 7 and 8). Due to the small 335 number of people with VaD and very limited statis-336 tical power for these analyses (Supplementary Text 337 1), we repeated the analysis by modelling parental 338 dementia as an outcome and including the 3-way 339 interaction term as above; parental dementia was 340 thus treated as a proxy for VaD in the participa-341 nts. The interaction between AF, warfarin use, and 342 carrier-status was not significant (OR = 0.999, 95%343 CI = 0.996 - 1.00, p = 0.77). 344

#### 345 DISCUSSION

In this study, we explored the relationship between 346 suspected dementia, atrial fibrillation, warfarin use, 347 and rs9923231, whose T-allele is associated with a 348 reduction in the dose of warfarin [3, 7, 8]. We found 349 a significant association between rs9923231 and sus-350 pected VaD, but not between rs9923231 and either 351 suspected general dementia or suspected ADem. 352 While AF was linked to VaD, the use of warfarin 353 in patients that have AF and carry the T-allele did not 354 increase the risk for VaD. 355

While there have been reports of variants for mono-356 genic forms of VaD [20], data on the genetics of 357 sporadic VaD are sparse. To our knowledge, only two 358 GWAS have been conducted to investigate this: One 359 (n=5,700) [21] found only rs12007229 on the X-360 chromosome to be linked to incident VaD, while the 361 other (n = 284) [22] did not find any significant asso-362 ciations for VaD. A systematic review of all genetic 363 association studies for the broader term of vascular 364 cognitive impairment found an association for 6 SNPs 365 in 6 genes: APOE, ACT, ACE, MTHFR, PON1, and 366 PSEN-1 [23]. 367

Previous research has associated variation in rs9923231 with warfarin dose [3, 7, 8, 24], and with various adiposity-related traits, such as hip circumference, arm- and leg fat mass, and BMI (Gene Atlas [25]). To our knowledge the present study for the first time describes an association between rs9923231 and VaD, although it is important to note 374 that this is not at a genome-wide significant thresh-375 old. The lack of a relationship between rs9923231 376 and either ADem or general dementia in the present 377 study suggests that the association between the T-378 allele and ADem, as reported previously [9], might 379 have been partly due to the classification of parental 380 dementia. The UK Biobank questionnaire admin-381 istered to participants did not distinguish between 382 different types of dementia and it is not known how 383 many of the 42,034 parents that were reportedly diag-384 nosed with "Alzheimer's/dementia" [9] may have 385 suffered from VaD. This hypothesis is further sup-386 ported by the estimated effect sizes for the association 387 between rs9923231 and ADem, which were not num-388 erically larger than those for the association between 389 rs9923231 genotype and parental dementia. Since 390 parental dementia was used as a proxy for ADem in 391 participants, the effect for ADem in participants sho-392 uld have been substantially greater than for parental 393 dementia (even in the absence of statistical signif-394 icance) if there truly was an association between 395 rs9923231 and ADem (as opposed to an association 396 between rs9923231 and VaD). Furthermore, in a rec-397 ent GWAS of clinically diagnosed ADem (n =398 94,437) [26] there was no association between rs 399 9923231 and ADem. 400

Based on our results and considering the importance of cardiovascular abnormalities in the pathology of dementia [10, 11], any future studies exploring the association between rs9923231 and dementia must strongly consider the role of cardiovascular factors: The relationship between genotype and dementia might hold only for cases of pure VaD or for those in which vascular pathology represents the main cause of the disorder.

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There is an established association between dementia and both stroke [27] and WMH [28]; thus, stroke or WMH could act as mediators between rs9923231 genotype and VaD. However, we found no evidence for a positive association between either rs9923231 and stroke or rs9923231and WMH. Moreover, the latter association was statistically significant and negative in direction, suggesting participants carrying the T-allele were less likely to exhibit WMH. While we did not directly test for the effects of other relevant processes, including microbleeds and covert stroke, in the relationship between rs9923231 and VaD, given the lack of evidence for an association between rs9923231 and stroke, they are unlikely to act as prominent mediators. These results further complicate the potential relationship between

rs9923231 and VaD and reinforce the need for addi tional studies to confirm this association and to test
 alternative mechanism distinct from stroke or WMH.

#### <sup>429</sup> Interplay between AF, VaD and warfarin use

AF has been previously associated with cogni-430 tive decline and dementia. In our study, AF was 431 associated with VaD and with ADem, even after con-432 trolling for hypertension and hypercholesterolemia. 433 The association between AF and VaD is unsurprising, 434 considering the inclusion of either vascular disease 435 or history of stroke in almost all definitions of VaD 436 [29]. Despite a substantial overlap of risk factors for 437 AF and ADem, there is some evidence for an inde-438 pendent relationship between the two disorders [30, 439 31] 440

Due to the positive association between AF and 441 VaD, the relationship between rs9923231 and VaD, 442 and between rs9923231 and required warfarin dose, 443 T-allele carriers that take warfarin to treat their AF 444 might be at an increased risk of VaD than non-carriers 445 due to warfarin-related brain hemorrhages. To test 446 this, we studied an interaction between warfarin use, 447 AF, and VKORC1 genotype with VaD. We observed 448 no variation in dementia risk by different combina-449 tions of these predictors. Due to reduced coagulation 450 of blood in carriers of the T-allele, these individu-451 als could be at greater risk of internal bleeding when 452 taking warfarin. However, the required dose of war-453 farin is regularly estimated and adjusted using tests 454 of blood coagulation and based on the results of the 455 present paper, this approach is just as efficient in 456 patients carrying the T-allele. 457

#### 458 *Limitations and future directions*

The present study has the advantages of having 459 used a well-characterized sample with access to both 460 inpatient- and primary-care diagnoses. However, we 461 acknowledge several limitations. First, despite the 462 large number of people recruited to UK Biobank, the 463 age range at the end of the sampling period for the 464 cohort is 60-83 years, resulting in a low incidence 465 and prevalence of dementia. This heavily reduced 466 the size of our sample, especially when testing for 467 interactions, and led to wide confidence intervals 468 for the estimated odds ratios. Second, despite it not 469 being the only vitamin K antagonist anticoagulant 470 on the UK market, only warfarin was included in 471 the analysis. Third, the dose of warfarin ingested 472 by participants was assumed to correspond to the 473

average of their prescribed dose, despite some individuals possibly taking more or less of the medicine depending on their individual drug regimes. Fourth, clinical diagnoses of dementia subtypes are difficult and are prone to errors due to the presence of comorbidities and cardiovascular factors [32]. In the present paper, imaging data to confirm the diagnoses was unavailable and all diagnoses were based solely on records from primary care and hospitals. Finally, while most definitions of VaD include both dementia and a history of stroke or cardiovascular disease, VaD is very heterogeneous [33]; in the present study, we did not explore potential mechanisms and mediators of the association between rs9923231 and VaD, nor did we test the relationship for different subtypes of VaD.

The knowledge of genetic risk factors for diseases enables the generation of more accurate hypotheses about underlying biological mechanisms and illuminates potential targets for pharmacological intervention. Moreover, it allows for more informed stratification of participants in clinical trials. Studies that build on our research should aim to replicate the findings in a bigger sample and with greater precision determine the effect size for the association between rs9923231 and VaD. Additionally, further work is required to identify possible associations between rs9923231 and features of VaD, such as lacunar infarction, intracerebral hemorrhage, and white matter hyperintensities.

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#### SUPPLEMENTARY MATERIAL 528

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