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Legal but lethal: Lessons from NO₂ related mortality in a city compliant with EU limit value

Rick Lyons (ricklyons001@gmail.com)^{a,*}, Ruth Doherty (ruth.doherty@ed.ac.uk)^a, David Reay (david.reay@ed.ac.uk)^a, Simon Shackley (simon.shackley@ed.ac.uk)^a

^aThe School of Geosciences, The University of Edinburgh, Drummond Street, Edinburgh, United Kingdom, EH8 9XP

*Corresponding author. Present address: 30 Rochester Close, Rochester Gardens, Hove, BN3 3AX

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ABSTRACT

Research has indicated that the legal maximum annual-average concentration of nitrogen dioxide (NO₂) for safe long-term exposure in the European Union and United Kingdom (40 µg/m³) may not offer adequate protection and that a lower value may be needed. At the same time concerns have been raised in the UK about government methods to assess NO₂ for the purposes of compliance with the legal limit. It is suggested that the national assessment underestimates levels of the pollutant and that local authority assessments, which in several cases find higher NO₂ levels, are a more accurate reflection of pollution. This research used Brighton and Hove – which is deemed compliant with NO₂ limits by the national assessment – as a case study to inform these debates. Using local authority pollution data, the research found that: up to 15.9% (95% CI 9.4% – 21.9%) of mortality in the examined area, which approximately corresponds to central Brighton, can be attributed to long-term exposure to 2016 levels of NO₂; up to 13.9% (95% CI 8.2% – 19.2%) of mortality in this area can be attributed to legal concentrations of the annual-average limit; and up to 3% of mortality in the area examined can be attributed to the portion of 2016 concentrations above the 40 µgNO₂/m³ annual average limit. These results suggest the current EU and UK limit value for long-term exposure to NO₂ may not be adequate to protect public health. The findings also indicate the UK government assessment does not identify all the local NO₂ hotspots that are contributing to premature deaths.

Keywords: air quality policy, air quality assessment, NO₂, health burden, mortality

1. Introduction

Outdoor air pollution poses the biggest environmental risk to public health (WHO, 2016), with particulate matter (PM) responsible for 412,000 deaths a year in the European Union (EU) and Nitrogen Dioxide (NO₂) 71,000 deaths (EEA, 2019). In the UK, PM is thought to cause 29,000 deaths a year and NO₂, 11,000 deaths (RCP, 2016). Despite the larger health burden associated with PM however, NO₂ has assumed more significance from the point of view of UK policy makers and campaigners (COMEAP, 2014). This is because the UK is compliant with the legal concentrations for PM but in breach of the legal limit for long-term exposure to NO₂ (COMEAP, 2014; Defra, 2017).

Long-term exposure to NO₂ has been associated with increased rates of morbidity and with increased rates of mortality (COMEAP, 2014, 2015a; WHO, 2013b). This increased morbidity and mortality principally relates to the exacerbation of chronic respiratory and cardiovascular disease (Beelen et al., 2008; Cesaroni et al., 2013; COMEAP, 2014; Hoek et al., 2013; Lipsett et al., 2011; Schultz et al., 2012; US EPA, 2016; Zhang et al., 2011).

Under both European Union (EU) and UK law the maximum permissible annual-average concentration for NO₂ is 40 µg/m³. This limit reflects the World Health Organisation's (WHO) estimation of the maximum annual-average NO₂ concentration for safe long-term exposure (WHO, 2018). However, doubt exists about whether this limit does protect the public as research has failed to establish a clear threshold below which exposure does not have negative health effects (COMEAP, 2015a). The 40 µgNO₂/m³ guideline maximum level for long-term outdoor exposure was put forward by WHO in 1997 on the basis that children had exhibited respiratory illness when exposed to annual-average indoor concentrations of 38-56 µgNO₂/m³ (Graham et al., 1997). It was noted that the limit would not provide a margin of safety, but would protect children from the most severe outdoor concentrations (Graham et al., 1997). Since the guideline was issued, adverse health effects at concentrations below 40 µgNO₂/m³ have been demonstrated and a 2013 WHO review of the evidence said recent research may result in lower guideline values (WHO, 2013a).

For the purpose of compliance with the 40NO₂ µg/m³ limit value in the UK, annual nationwide assessments of NO₂ concentrations are undertaken by the Department for Environment, Food and Rural Affairs (Defra). Monitoring is performed using a network of Automatic Urban and Rural Network (AURN) measurement stations which record direct measurements of NO₂ concentrations across the UK. This is supplemented with modelling to

34 estimate background concentrations at 1km² resolution and concentrations at the sides of major
35 urban roads, defined as motorways and major A-roads (Defra, 2017).

36 Because of the scale at which the monitoring and modelling are undertaken, Defra has
37 been criticised for not adequately identifying local pollution hotspots. The number of AURN
38 monitors in the national monitoring network – 157 – has been described as “insufficient” for
39 flagging up all exceedances of the limit value (Barnes et al., 2018). Similarly, the modelling is
40 performed at too coarse a scale to accurately capture concentrations in urban areas or at the
41 sides of locally-managed roads where people live (Barnes et al., 2018).

42 Separate to the national assessment, local authorities are legally required to conduct
43 their own air quality assessments (Defra, 2018a; Environment Food and Rural Affairs
44 Committee et al., 2018). It has been argued that these assessments, using direct measurements
45 from a relatively dense network of monitoring sites, provide a more accurate reflection of
46 exceedances in areas of exposure (Barnes et al., 2018). A joint report on air quality by four
47 House of Commons’ select committees concluded that “direct measurement of air pollution
48 [by local authorities] is much more accurate than estimation and modelling is likely to be”,
49 (Environment Food and Rural Affairs Committee et al., 2018). Local authorities themselves
50 have criticised the disparity between local data and Defra’s assessment, saying that, as a result,
51 action to tackle NO₂ will not be “effective or proportionate” (Environment Food and Rural
52 Affairs Committee et al., 2018).

53 The difference in the methodology used by the Defra assessments and the local
54 authorities’ own assessment means that Defra has declared some local authority areas
55 compliant whereas the council itself has found significant exceedances of the limit value
56 (Defra, 2019, 2018b; Preston City Council, 2018). Brighton and Hove, a local authority in the
57 south east of England, is one such authority.

58 Brighton and Hove is one of six “reporting zones” set up for the purposes of assessing
59 compliance, that has been declared by Defra to be within the annual mean limit (Defra, 2017).
60 However, the council’s own monitoring – consisting of 65 roadside monitors – has recorded
61 significant exceedances of the annual-mean limit, with 10 monitors averaging over 50
62 µgNO₂/m³ a year and one recording an average above 100 µg NO₂/m³ a year (Brighton and
63 Hove City Council, 2017).

64 Local politicians and civil servants reject the Defra assessment and point to the fact that
65 only two AURN monitoring stations are within the Brighton and Hove reporting zone (personal
66 communication, April 4th, 2018). Of these, one is in Worthing, outside the local authority area,
67 and the other is situated in a public park 190 metres from the nearest road (Defra, 2019). There

68 is therefore no input into the Defra assessment of Brighton and Hove from roadsides in the city
69 where NO₂ concentrations are likely to be highest. The consequence of being found compliant
70 with the annual mean limit by Defra is that Brighton and Hove is unable to access funding to
71 deal with the air pollution exceedances found by their own assessments.

72 In view of these considerations, the research set out to quantify the health burden of
73 long-term exposure to levels of NO₂ pollution in Brighton and Hove as reported in local data.
74 If it could be demonstrated there is a significant health burden from NO₂ exposure, it would
75 add weight to arguments that the national assessment methodology needs to be altered to
76 properly reflect NO₂ pollution in Brighton and Hove, and elsewhere in the UK. Furthermore,
77 the research attempted establish the health burden of long-term exposure to legal annual-
78 average NO₂ concentrations in Brighton and Hove. This allowed the research to inform the on-
79 going debate about the adequacy of the current maximum limit value. Lastly the research
80 assessed the health burden of the portion of NO₂ concentrations above the legal limit
81 (exceedances). This can be considered the human cost of the status quo in which efforts are not
82 being made at the national level to make Brighton compliant with the legal limit.

83

84

85 **2. Materials and methods**

86

87 The methodological approach broadly followed a cross-sectional technique established by the
88 Committee on the Medical Effects of Air Pollutants (COMEAP) (COMEAP, 2010; COMEAP,
89 2012), which uses Concentration Response Functions (CRFs) and existing population,
90 pollution and mortality data.

91

92 *2.1 Population-weighted annual-average concentration*

93

94 The COMEAP method requires a population-weighted annual-average concentration to be
95 calculated for the area being studied. This is a metric that reflects the proportions of people
96 within the studied population that are exposed to the different levels of pollution present
97 (COMEAP, 2012; Gowers et al., 2014).

98 To do this it was necessary to map population and pollution data in a grid over the area
99 of interest, such that each square in the grid had a discrete value for population and annual-
100 average NO₂ concentration. Gridded population data, at 10m² resolution, was taken from a data

101 set in which Office of National Statistics 2011 census headcounts were redistributed to
102 residential buildings across the UK (Murdock et al., 2015).

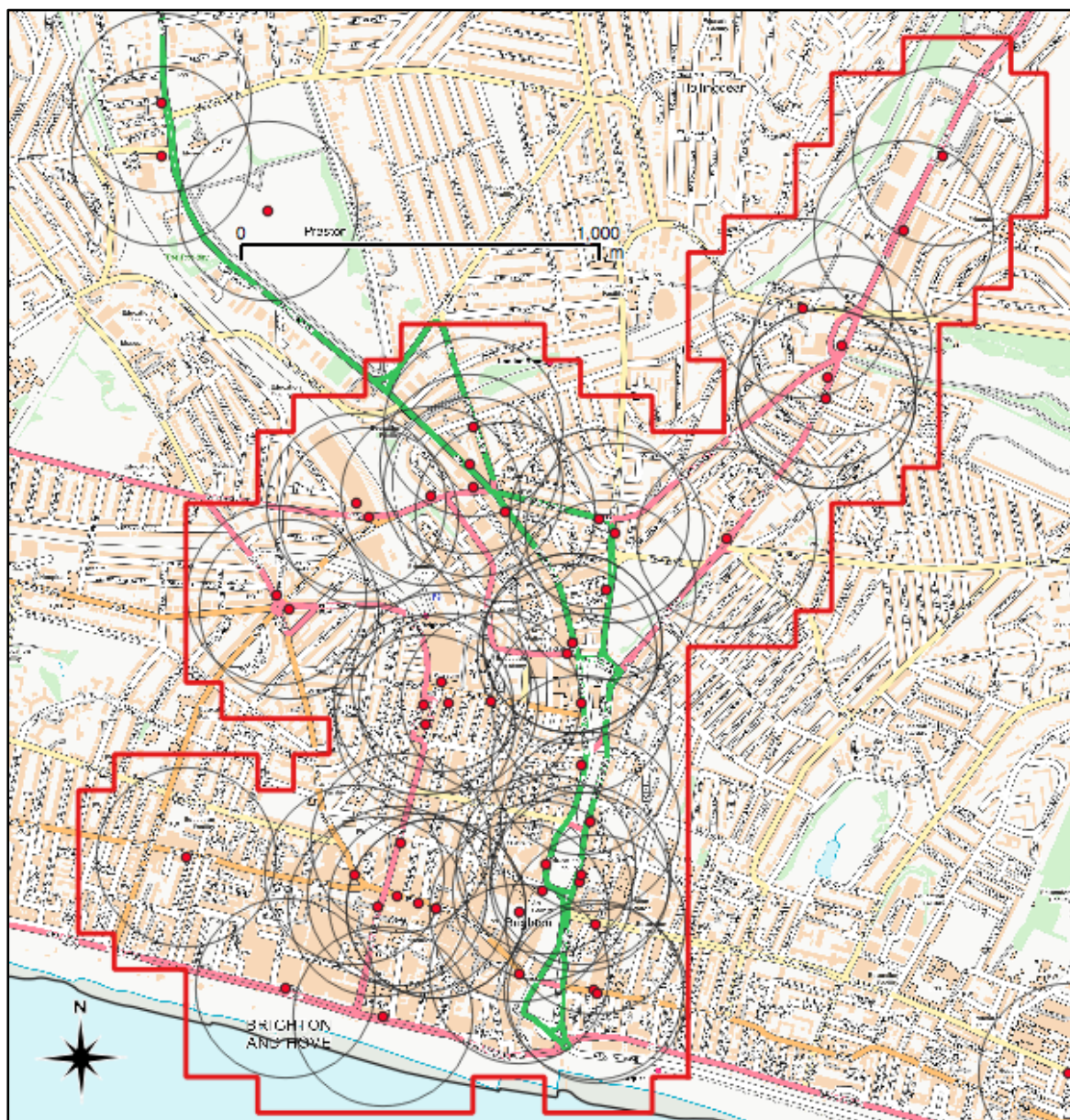
103 Gridded pollution data was generated by taking local authority reports of the 2016 NO₂
104 annual-average concentrations at 65 monitoring sites and using the GIS software QGIS to make
105 a spatial interpolation calculation. The method of spatial interpolation used was Inverse
106 Distance Weighting (IDW). This method uses a formula to estimate the values of unknown
107 data points by averaging the values of the surrounding known data points after weighting them
108 according to their distance from the unknown point (Ramos et al., 2016). It has been used
109 widely in studies examining air quality and the health burden of pollution (Beelen et al., 2007;
110 Bell, 2006; Hoek et al., 2002; Hubbell et al., 2005; Jerrett et al., 2013; Kim et al., 2014; Lipsett
111 et al., 2011; Marshall et al., 2008; Pereira et al., 2016; Ramos et al., 2016; Salam et al., 2005;
112 Shukla et al., 2020; Wong et al., 2004; Wu et al., 2006).

113 The location of the council's NO₂ pollution monitors were overlaid on Ordnance
114 Survey (OS) maps in the GIS software using OS coordinates. Gridded interpolated pollution
115 data was then generated for the whole of the local authority area at 100m² resolution. Gridded
116 pollution data has been estimated at various different spatial resolutions for the purposes of
117 calculating health burdens – from 20m² (Walton et al., 2015) to 10km² (Al-Hamdan et al.,
118 2009). It was considered that pollution data at 100m² resolution would capture some of the
119 spatial variation of NO₂ concentrations, which is known to vary at small spatial scales (Jerrett
120 et al., 2005), while still having a manageable number of pollution values. A basic cross-
121 validation of the interpolated data was carried out using the leave one out method. A subset of
122 five monitors was taken from the centre of the data map and the IDW interpolation performed
123 five times, excluding one monitor each time. A comparison of the known and predicted values
124 at the monitor sites showed the root mean squared error of the predicted values to be 3.5. The
125 range of the pollution values generated by the IDW interpolation was 18.1 µgNO₂/m³ to 63.3
126 µgNO₂/m³.

127 After generating gridded pollution data, the boundary of the area being examined was
128 determined by considering the data quality. Robust modelling of pollution using spatial
129 interpolation requires a reasonably dense network of sampling sites (Jerrett et al., 2005; Wong
130 et al., 2004). In Brighton and Hove, the monitoring stations are largely clustered in the city
131 centre, while much of the rest of the local authority area is several kilometres from a monitoring
132 station. Because of concerns about the reliability of interpolated data based on relatively distant
133 monitoring values, it was decided to only assess the health burden of NO₂ exposure within the
134 city centre.

135 The area studied was determined precisely by establishing radii of 500m around each
136 of the central cluster of monitoring stations and including interpolated data squares which were
137 wholly or partly within these radii. Previous efforts to map urban pollution have established
138 radii around known data points (recorded by monitoring stations) and only attempted to map
139 pollution within these radii, which range from as little as 100m (Brauer et al., 2008) to as much
140 as 100km (Pereira et al., 2016). Given that NO₂ varies over small spatial scales, it was
141 considered that 500m radii struck a balance between accuracy (i.e. only including interpolated
142 data based on relatively close monitoring stations) and ensuring a meaningful proportion of the
143 city's population fell within the examined area.

144 The **examined area (Fig. 1)** – which can be loosely described as central Brighton –
145 was 3.87 km² and the **examined population** within this area was 44,553.



146
 147 **Fig. 1.** The examined area, showing central cluster of local authority monitors (red dots), with 500m radii
 148 around them. The red line encompasses all 100m² pollution value squares partly or wholly within the radii.
 149

150 The population-weighted annual-average concentration was calculated for this area by
 151 first aligning the gridded population and pollution data in the GIS software using OS
 152 coordinates. The population and pollution values in each 100m² square were then multiplied
 153 and the resulting values added together and divided by the total population in the examined
 154 area.

155
 156 *2.2 Cut-offs*
 157

158 Cut-offs refer to a threshold in a NO₂ concentration. They are used to ensure that only
 159 concentrations considered to have negative health effects are included in health burden
 160 calculations, with values below the cut-off discounted. However, because it is unclear whether
 161 there is a threshold below which exposure to NO₂ does not have negative health effects
 162 (COMEAP, 2015a), COMEAP's and WHO's advice regarding cut-offs differs.

163 WHO recommends using 20 µgNO₂/m³ as the cut off (WHO, 2013b), while COMEAP
 164 recommends using no cut-off, and also using the lowest concentration reported in the studies
 165 analysed to derive the coefficient as the cut off (1.5 µgNO₂/m³) (COMEAP, 2015b).

166 It was decided to use all three approaches, which meant calculating three different
 167 population-weighted annual-average concentrations:

- 168 1. No cut-off. The population-weighted annual-average concentration was calculated
 169 as above, using all of each 100m² pollution value.
- 170 2. 1.5 µgNO₂/m³ cut-off. This amount was subtracted from each 100m² pollution value
 171 before calculating the population-weighted concentration.
- 172 3. 20 µgNO₂/m³ cut-off. This amount was subtracted from each 100m² pollution value
 173 before calculating the population-weighted concentration.

174 2.3 Concentration-response functions (CRFs)

175
 176
 177 The CRFs used describe the quantitative relationship between additional mortality risk and
 178 long-term exposure to every 10 µg/m³ annual-average of NO₂ pollution (Gowers et al., 2014).
 179 They were:

- 180 • **1.025 (2.5% additional mortality risk) per 10 µg/m³ annual-average NO₂** – put
 181 forward by COMEAP (2015a) and derived from meta-analyses of cohort studies by
 182 Hoek et al. (2013) and Faustini et al. (2014).
- 183 • **1.055 (5.5% additional mortality risk) per 10 µg/m³ annual-average NO₂** – put
 184 forward by WHO (2013a) and derived from the meta-analysis by Hoek et al. (2013).
- 185 • **1.039 (3.9% additional mortality risk) per 10 µg/m³ annual-average NO₂** – put
 186 forward by Walton et al. (2015) in their study of pollution in London.

187 Walton et al. calculate that the WHO CRF overestimates the health burden of long-term NO₂
 188 exposure by up to 30% because of the overlap with the effects of PM_{2.5}. They therefore reduced
 189 it by this amount to 1.039. Using this CRF meant a meaningful comparison could be made
 190 between the results of this study and those of Walton et al. (2015).

191 As the CRFs provide additional mortality risk per 10 µg/m³ annual-average NO₂, it is
 192 necessary to scale them according to the actual NO₂ concentration that the examined population
 193 is exposed to. This value is provided by the population-weighted annual-average
 194 concentration(s).

195 When scaling the different CRFs, only cut-offs used by the researchers who put forward
 196 the CRF were used. The following calculations were thus made: WHO's CRF scaled with
 197 population-weighted annual-average concentration calculated with a 20 µg/m³ cut off;
 198 COMEAP's CRF scaled with population-weighted annual-average concentrations calculated
 199 with no cut-off and a 1.5 µg/m³ cut-off; WHO's CRF reduced by 30% and scaled with
 200 population-weighted annual-average concentration calculated with no cut-off, as per Walton et
 201 al. (2015). The method of scaling used was multiplicative scaling according to the following
 202 formula (COMEAP, 2010): **Scaled CRFs (sCRFs)** = $x_{(y/10)}$, where x = the concentration-
 203 response function and y = population-weighted annual-average concentration.

204 205 *2.4 Calculating the health burden*

206
207 The sCRFs were used to calculate different metrics of the health burden using mortality data
 208 for the examined area. COMEAP note that for the purposes of calculating the health burden of
 209 long-term pollution exposure, mortality data for a specific year is typically used (COMEAP,
 210 2010). However, it is recommended that the average of the last three to five years of available
 211 data is used owing to the variability in small datasets (Gowers et al., 2014). The mortality data
 212 used were an average of all-cause mortality, among all ages and both sexes, within the local
 213 authority area over the last three years available: 2014, 2015, 2016 (ONS, 2017).

214 As only a proportion of the local authority population was being examined, it was
 215 necessary to refer to the same proportion of the mortality data. The examined population is
 216 16.3% of the local authority population at the 2011 census so this percentage of the mortality
 217 data was used (339 deaths).

218 Three different metrics of the health burden were calculated:

- 219 i) **The proportion of annual deaths attributable to exposure to NO₂ in the examined
 220 population.** This was calculated using the formula: proportion of attributable deaths =
 221 $(\text{sCRF} - 1)/\text{sCRF}$ (COMEAP, 2012).
- 222 ii) **The number of deaths attributable to long-term exposure to NO₂ in the examined
 223 population.** This was calculated by multiplying the proportion of attributable deaths by
 224 the total number of deaths (339) (COMEAP, 2012).

225 iii) **The number of years of life lost to the population in the examined area as a result**
226 **of exposure to NO₂.** This was calculated by assuming that an average of 11 years of life
227 is lost per attributable death (see below).

228

229 2.5 Years of life lost metric

230

231 The years of life lost health burden metric is calculated by multiplying the number of deaths
232 attributable to pollution for each age group by the relevant age-specific life expectancies
233 (Gowers et al., 2014). However, it has been suggested that a reasonable estimate can be made
234 by multiplying the total calculated figure for attributable deaths by an average per-person loss
235 of life (COMEAP, 2012). The average per-person loss of life used was 11 years. It was arrived
236 at by dividing the total years of life lost as a result of long-term NO₂ exposure in the UK in
237 2013 by the total number of UK annual deaths attributed to long-term NO₂ exposure in the
238 same year. This data was taken from the European Environment Agency's *Air Quality Europe*
239 – 2016 report (EEA, 2016).

240

241 2.6 Baseline scenario, alternative scenario and exceedances

242

243 The method described calculates the health burden of long-term exposure to the current level
244 of NO₂ pollution in the examined area. This can be seen as the **baseline scenario** and provides
245 an answer to the first research question.

246 To quantify the health burden of legal annual average NO₂ concentrations in the
247 examined area – and answer the second research question – it was necessary to reduce annual-
248 average concentrations reported by monitoring stations in the examined area to 40 µgNO₂/m³
249 if a value over 40 µgNO₂/m³ had been reported, then repeat the method on this basis. This is
250 described as the **alternative scenario**. There were 47 monitors in the examined area and 33
251 reported annual-average values over 40 µgNO₂/m³.

252 The health burden of the alternative scenario can be regarded as both hypothetical and
253 real. It is hypothetical in the sense that it represents the health burden of long-term exposure if
254 NO₂ concentrations were reduced to legal limits. It is real in the sense that it is the health burden
255 of the portion of current concentrations that are within 40 µgNO₂/m³.

256 The health burden of the exceedances, which relate to the third research question, were
257 regarded as the difference in health burdens between the baseline and alternative scenarios.

258 This was calculated by subtracting the alternative scenario results from the baseline scenario
259 results.

260

261 *2.7 Definition of 'health burden' and 'long-term'*

262

263 As the CRFs used describe the additional likelihood of death from all-causes, the health burden
264 assessed was the effect of long-term NO₂ exposure on mortality in general. Long-term in this
265 context refers to exposure to NO₂ for a year or more (Gowers et al., 2014; Hoek et al., 2013).

266 Although the burden of long-term exposure to NO₂ was examined, the pollution and
267 population data used reflected levels in the last single year for which data was available.
268 Regarding pollution data, it has been noted that “historical exposure is likely to be correlated
269 with current levels and current concentrations can, therefore, also be viewed as a proxy for
270 long-term exposure history” (Gowers et al., 2014). This approach has been used to calculate
271 the health burden of long-term pollution exposure in several studies (for eg COMEAP, 2010;
272 Gowers et al., 2014; Walton et al., 2015). Nevertheless, the use of concentrations from a single
273 year allows only for “approximate snapshot” calculations of the health burden in a particular
274 year (Walton et al., 2015). As 2016 pollution data is used, the results presented here indicate
275 the health burden of long-term exposure to the concentrations present in that year.

276

277 *2.8 Analysis and quantification of uncertainty*

278

279 By calculating the health burden using different CRFs and cut-offs, some of the uncertainty
280 about the precise causal relationship between of NO₂ and all-cause mortality is reflected in the
281 results.

282 This was further quantified by performing the health burden calculations at the upper
283 and lower boundary of the 95% confidence intervals reported by COMEAP (2015a) (1.01–1.04
284 per 10 µgNO₂/m³), WHO (2013a) (1.031–1.080 per 10 µgNO₂/m³) and Walton et al. (2015)
285 (1.022–1.056 per 10 µgNO₂/m³) for their proposed CRFs.

286

287

288 **3. Results and discussion**

289

290 *3.1 Health burden of NO₂ concentrations (baseline scenario)*

291

292 As much as 15.9% of annual deaths in the examined population can be attributed to long-term
 293 exposure to 2016 concentrations of NO₂ pollution (Table 1). The proportion of deaths
 294 attributable to long-term exposure to the 2016 level of NO₂ in the examined population ranges
 295 from 10.2%, using the COMEAP CRF and a 1.5 µg/m³ cut-off, to 15.9% using the Walton et
 296 al. CRF and no cut-off.

297 The upper limit of the range of proportion of attributable deaths, 15.9%, means up to
 298 54 deaths a year in the examined population can be attributed to long-term exposure to 2016
 299 concentrations of NO₂ (Table 1). The range of annual deaths in the examined population
 300 attributable to long-term exposure to 2016 NO₂ concentrations is from 35 to 54. Expressed as
 301 life years lost, the health burden in the examined population of long-term exposure to 2016
 302 levels of NO₂ is as much as 593 (Table 1).

303

304 **Table 1**

305 The health burden among examined population attributable to long-term exposure to 2016
 306 concentrations of NO₂ (baseline scenario)

307

	Proportion of deaths	Attributable deaths	Years of life lost
Walton et al.	15.9% (95% CI 9.4% – 21.9%)	54 (95% CI 32 – 74)	593 (95% CI 350 – 816)
WHO	12.7% (95% CI 7.4% – 17.7%)	43 (95% CI 25 – 60)	472 (95% CI 277 – 660)
COMEAP no cut-off	10.6% (95% CI 4.4% – 16.3%)	36 (95% CI 15 – 55)	395 (95% CI 164 – 607)
COMEAP 1.5 cut-off	10.2% (95% CI 4.3% – 15.8%)	35 (95% CI 14 – 54)	382 (95% CI 159 – 589)
Average	12.3%	42	461

308

309 These results indicate that long-term NO₂ exposure has a significant health burden in the
 310 examined area, with the proportion of deaths attributable to NO₂ similar to that found in Inner
 311 London in 2010 (Table 2).

312

313

314

315 **Table 2**

316 Health burden from long-term NO₂ exposure in examined area and 10 most polluted London
 317 boroughs 2010

318

Examined area versus London boroughs	Proportion of attributable deaths	Population-weighted NO ₂ concentration (µg/m ³)
--------------------------------------	-----------------------------------	------------------------------------------------------------------------

1	City of London	20%	58.2
2	Westminster	17.2%	49.5
3	Tower Hamlets	16.3%	46.5
4	Kensington & Chelsea	16.6%	47.5
5	Camden	16%	45.7
6	Baseline scenario	15.9%	45.3
7	Islington	15.9%	45.2
8	Southwark	15.5%	44.1
9	Hammersmith & Fulham	15%	42.6
10	Lambeth	14.7%	41.6
11	Hackney	14.7%	41.4

(Adapted from Walton et al., 2015)

319

320

3.2 Health burden of legally compliant NO₂ concentrations (alternative scenario)

321

As much as 13.9% of deaths in the examined population can be attributed to long-term exposure to legally compliant concentrations of NO₂ (Table 3). The proportion of deaths attributable to legally compliant NO₂ concentrations ranges from 8.9% using the COMEAP CRF and a 1.5 µg/m³ cut-off to 13.7% using the Walton et al. CRF and no cut-off.

The top of this range, 13.9%, represents 47 deaths a year (Table 3). The range of deaths a year that can be attributed to long-term exposure to legally compliant concentrations of NO₂ is 30 to 47 and the range of attributable years of life lost is 331 to 518 (Table 3).

The results show that an overwhelming majority of the health burden of long-term NO₂ exposure in the examined area is attributable to legal concentrations. This was also the case in the study of the health burden of pollution in London by Walton et al. (2015). Other studies have also found that long-term NO₂ exposure increased mortality risk when the majority or all of the populations studied were exposed to NO₂ levels below 40 µg/m³ (Cesaroni et al., 2013; Gan et al., 2011; Hart et al., 2011; Jerrett et al., 2011).

326

Table 3

The health burden among examined population attributable to long-term exposure to legally compliant NO₂ concentrations (alternative scenario)

340

	Proportion of deaths	Attributable deaths	Years of life lost
Walton et al.	13.9% (95% CI 8.2% – 19.2%)	47 (95% CI 28 – 65)	518 (95% CI 304 – 715)
WHO	9.7% (95% CI 5.7% – 13.6%)	33 (95% CI 19 – 46)	362 (95% CI 211 – 509)

COMEAP no cut-off	9.2% (95% CI 3.8% – 14.2%)	31 (95% CI 13 – 48)	343 (95% CI 142 – 530)
COMEAP 1.5 cut-off	8.9% (95% CI 3.7% – 13.7%)	30 (95% CI 12 – 46)	680 (95% CI 404 – 937)
Average	10.4%	35	476

341

342 *3.3 Health burden of the exceedances legal NO₂ limit*

343

344 As discussed (section 2.6), the health burden of the exceedances was arrived at by subtracting
 345 the results of the alternative scenario from those of the baseline scenario. Doing this, we see
 346 that proportion of annual deaths attributable to long-term exposure to the 2016 exceedances of
 347 the legal limit ranges from 1.4%, using the COMEAP CRF, to 3%, using the WHO CRF and a
 348 20 µgNO₂/m³ cut-off.

349 The proportion of deaths arrived at with the WHO CRF, 3%, means the 2016
 350 exceedances of the legal limit are responsible for up to 10 deaths and 110 life years lost (Table
 351 4). These figures can also be seen as the potential health *impact* (COMEAP, 2010) of policy
 352 interventions that would reduce NO₂ concentrations to the legal limit. In other words, the
 353 results show that measures to reduce NO₂ concentrations to within the legal limit may prevent
 354 up to 10 deaths a year and extend lives by up to 110 years.

355

356 **Table 4**

357 The health burden among examined population attributable to long-term exposure to 2016
 358 exceedances of NO₂ legal limit

359

	Proportion of deaths	Number of deaths	Years of life lost
Walton et al.	2.0%	7	75
WHO	3.0%	10	110
COMEAP no cut-off	1.4%	5	52
COMEAP 1.5 cut-off	1.4%	5	52
Average	1.9%	7	72

360

361

362 *3.4 Interpreting the results*

363

364 The greatest health burden from long-term NO₂ exposure in the examined area is found when
 365 using Walton et al.'s method. This method finds a larger health burden than that recommended
 366 by WHO even though the relative risk of NO₂ exposure under the WHO method is greater.

367 This is because, under the WHO method, 20 $\mu\text{gNO}_2/\text{m}^3$ is subtracted from the population-
368 weighted average pollution value when making the calculations.

369 However, it should be noted that a larger health burden is attributable to the
370 exceedances using the WHO method. This is because the exceedances represent a bigger
371 proportion of the baseline population-weighted annual-average concentrations when a cut-off
372 is used. When multiplicative scaling of the CRFs takes place, it results greater difference
373 between the relative risk of the baseline and alternative scenarios than if no cut off were used.

374

375 *3.5 Internal validity issues*

376

377 There are a number of uncertainties about the results which stem from the methods used.
378 Although it is agreed that NO_2 has a causal relationship on mortality (WHO, 2013b; COMEAP,
379 2014; US EPA; 2016), the exact quantitative nature of the relationship remains uncertain.
380 COMEAP describes their CRF as “interim” (COMEAP, 2015b) and after an attempt to put
381 forward a definitive CRF, COMEAP said it was unable to establish the relationship between
382 NO_2 and mortality independent of other pollutants, particularly PM (COMEAP, 2018). For the
383 same reason, the WHO acknowledge that estimates of the effects of NO_2 based on their CRF
384 may overestimate the effect from 0% to 33% (WHO, 2013b).

385 Another source of uncertainty is the mapped pollution data. Firstly, 62 of the 65
386 monitors used to produce the pollution data are diffusion tubes, which are described as an
387 “indicative” monitoring technology with levels of uncertainty up to $\pm 25\%$ (Targa and Loader,
388 2008). Secondly, the pollution data may not have been mapped at a sufficiently fine resolution
389 to accurately reflect the distribution of pollution concentrations and consequently people’s
390 pollution exposure. The resolution used, 100m², is finer than that used in several studies of the
391 effect of NO_2 (Al-Hamdan et al., 2009; Lipsett et al., 2011; Gowers et al., 2014). However,
392 because NO_2 concentrations are known to vary at small spatial scales, it remains possible that
393 levels in hot spots were smoothed, or rounded down, so that exposure was underestimated.
394 Thirdly, although IDW is commonly used to map pollution values in epidemiological studies
395 of NO_2 , other more techniques may map pollution values more accurately (Jerrett et al., 2005).
396 In this case, atmospheric dispersion modelling, which is more complex and, for the best results,
397 requires proprietary software (Yudego et al., 2018), was not possible within the budget and
398 time period of this research. Similarly, land use regression modelling requires other data
399 relating to emission sources and their dispersion in order to develop a multi-variable model in
400 GIS software (Beelen et al., 2013; Jerrett et al., 2005). Consequently, it was not possible with

401 the resources available. It should be noted however, that, of the other interpolation techniques
402 available, kriging provides limited advantages over IDW (Qiao et al., 2018; Shukla et al., 2020;
403 Vorapracha et al., 2015).

404 The final source of uncertainty is the mortality data. As the examined area is
405 idiosyncratic in the sense that it has only been defined for the purpose of this research, there
406 was no corresponding mortality data for just this area. It was necessary to assume that, as the
407 examined population was 16.3% of the whole local authority area population, the examined
408 population also experienced 16.3% of the mortality. However, the distribution of the mortality
409 across the local authority may not match the distribution of the population so that the mortality
410 assumed for the examined area was over or under-estimated. As a consequence, the health
411 burden may also have been over or under-estimated.

412

413

414 **4. Conclusions**

415

416 By providing a quantitative description of the health burden of NO₂ pollution in central
417 Brighton, it is hoped the research will inform on-going policy debates about NO₂ pollution.

418 In Brighton and Hove, air quality is already a concern among residents, local
419 environmental activists and politicians (Vowles, 2017). Quantifying the health burden of NO₂
420 levels in central Brighton (baseline scenario) helps increase understanding of the public health
421 risk local people are exposed to and enables concerned stakeholders to increase pressure on
422 policy makers to reduce pollution.

423 In the context of UK policy, the findings of the research raise questions about the
424 adequacy of the national assessment. The select committee report discussed above (section 1)
425 recommends Defra adjust its NO₂ assessment methodology to include more accurate local data
426 so that the true extent of NO₂ pollution is recognised. By demonstrating that, with local data,
427 up to 54 lives a year are attributable to NO₂ pollution in a sub-section of a city deemed
428 compliant by the national assessment, the research supports this recommendation.

429 Efforts to get Defra to improve its assessment methods are bolstered further by the
430 quantification of the health burden of just the exceedances of 40 µgNO₂/m³. The results show
431 that, in a sub-section of one city, the consequence of the government not acknowledging or
432 eliminating NO₂ exceedances could be as many as 10 deaths a year. This finding strengthens
433 calls by local MPs and councillors for Defra to recognise the exceedances by including council-

434 run automatic monitors in two of the city's most polluted roads in the AURN network used to
435 calibrate the modelling (personal correspondence, April 4th and 12th, 2018).

436 At the same time, putting a figure on the health burden of legally compliant NO₂
437 concentrations in central Brighton (alternative scenario) informs the on-going debate about the
438 adequacy of the guideline maximum limit for safe long-term exposure. In its 2013 review of
439 the evidence of the effect of long-term NO₂ exposure, the WHO concluded that "it would be
440 wise to consider whether the guideline [40 µg NO₂/m³] should be lowered at the next revision
441 of the guidelines" expected in 2020 (WHO, 2013b). This study, and that of Walton et al. (2015),
442 does not contradict that conclusion. Rather the finding that there is a significant health risk
443 associated with NO₂ concentrations currently deemed safe supports moves to lower the WHO
444 guidelines and the EU annual mean limit to protect public health.

445 It would be useful to calculate the health burden of long-term NO₂ exposure for the
446 whole of the local authority area, rather than for a sub-section as has been done here. Providing
447 a health burden metric for the whole governance area may strengthen impetus for policy
448 measures to tackle the problem. Such a calculation could be performed by determining
449 pollution levels across the authority using atmospheric dispersion modelling.

450 Looking beyond Brighton and Hove, it would be interesting to conduct a similar study
451 to this one in another city deemed compliant with NO₂ concentrations by the national
452 assessment. If it can be shown that there is a pattern of Defra's modelling underestimating NO₂
453 pollution and the associated health burden vis à vis local assessments, it would further
454 illuminate whether Defra's approach needs revising. Similarly, further studies quantifying the
455 health burden of long-term exposure to legal NO₂ concentrations would also inform whether
456 the current legal limit value is adequate.

457

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