

Publ. Mat. **58** (2014), 485–498

DOI: 10.5565/PUBLMAT\_58214\_24

# COMPARISON PRINCIPLE AND CONSTRAINED RADIAL SYMMETRY FOR THE SUBDIFFUSIVE $p$ -LAPLACIAN

ANTONIO GRECO

**Abstract:** A comparison principle for the subdiffusive  $p$ -Laplacian in a possibly non-smooth and unbounded open set is proved. The result requires that the involved sub and supersolution are positive, and the ratio of the former to the latter is bounded. As an application, constrained radial symmetry for overdetermined problems is obtained. More precisely, both Dirichlet and Neumann conditions are prescribed on the boundary of a bounded open set, and the Neumann condition depends on the distance from the origin. The domain of the problem, unknown at the beginning, turns out to be a ball centered at the origin if a positive solution exists. Counterexamples are also discussed.

**2010 Mathematics Subject Classification:** 35B06, 35N25, 35R35.

**Key words:** Subdiffusive  $p$ -Laplacian, comparison principle, overdetermined problems, radial symmetry.

## 1. Introduction

This paper deals with the *subdiffusive*  $p$ -Laplacian, i.e., with the equation

$$(1) \quad -\Delta_p u = f(x, u),$$

where the function  $f$  is dominated by the power  $u^{p-1}$  as  $u \rightarrow +\infty$ . As usual,  $\Delta_p$  denotes the  $p$ -Laplace operator  $\Delta_p u = \operatorname{div}(|Du|^{p-2} Du)$ , with  $p \in (1, +\infty)$ . The first result is the following weak comparison principle between a subsolution  $u$  and a supersolution  $v$ . The composite functions  $f(x, u(x))$  and  $f(x, v(x))$  are assumed to be measurable, and the products  $f(x, u(x))\varphi(x)$  and  $f(x, v(x))\varphi(x)$  summable for all non-negative  $\varphi \in W_0^{1,p}(\Omega)$ , so that the notions of weak sub and supersolution make sense.

**Theorem 1.1** (Weak comparison principle). *Let  $\Omega$  be an open set in  $\mathbb{R}^N$ ,  $N \geq 2$ , possibly non-smooth and unbounded. Let  $u, v \in W^{1,p}(\Omega)$  be a weak subsolution and a weak supersolution, respectively, to equation (1) in  $\Omega$ , where  $f$  is a real-valued function of the variables  $x \in \Omega$  and  $y \in (0, +\infty)$  having the properties indicated above.*

(i) *In the case when*

$$(2) \quad \text{the ratio } \frac{f(x, y)}{y^{p-1}} \text{ is strictly decreasing in } y \in (0, +\infty)$$

*for a.e.  $x \in \Omega$ ,*

*assume that all of the following conditions are satisfied:  $u, v > 0$  a.e. in  $\Omega$ , the ratio  $u/v$  belongs to  $L^\infty(\Omega)$ , and  $(u-v)^+ \in W_0^{1,p}(\Omega)$ . Then  $u \leq v$  a.e. in  $\Omega$ .*

(ii) *In the case when*

$$(3) \quad \text{the ratio } \frac{f(x, y)}{y^{p-1}} \text{ is non-increasing in } y \in (0, +\infty)$$

*for a.e.  $x \in \Omega$ ,*

*assume that all of the following conditions are satisfied:  $u > 0$  a.e. in  $\Omega$ ,  $\operatorname{ess\,inf}_{x \in \Omega} v(x) > 0$ , the ratio  $u/v$  belongs to  $L^\infty(\Omega)$ , and  $(u-v)^+ \in W_0^{1,p}(\Omega)$ . Then  $u \leq v$  a.e. in  $\Omega$ .*

Of course, there is no hope to obtain a comparison principle under assumption (3) alone: a counterexample is readily obtained with the equation  $-\Delta u = \lambda_1 u$ , where  $\lambda_1$  is the first eigenvalue of the Dirichlet-Laplacian in  $\Omega$ , assuming that  $\Omega$  is a bounded, smooth domain. A similar situation also occurs with the first eigenfunctions of the  $p$ -Laplace operator: see, for instance, [4, 22] and the references therein.

It is well known, by contrast, that for linear equations the existence of a supersolution lying far above zero yields a maximum principle (the *generalized* maximum principle in [26, Section 5]).

Theorem 1.1 is proved in Section 2. The proof is based on the somehow *weird* inequality (8), which is in turn a consequence of the convexity of the function  $|\xi|^p$ ,  $p \in (1, +\infty)$ , with respect to the variable  $\xi \in \mathbb{R}^N$ . Several related results are found in the literature: in particular, Díaz-Saá's inequality [5, 10] and Picone's identity [2]. An elegant uniqueness result exploiting the strict convexity of the associated functional  $H_p(u)$  with respect to the function  $u^p$  (*hidden* convexity) is found in [4]. The fundamental comparison principle between a  $p$ -subharmonic and a  $p$ -superharmonic function is proved in [19, 24].

A weak comparison principle for the subdiffusive  $p$ -Laplacian involving a subsolution  $u > 0$  and a supersolution  $v > 0$  both belonging to  $W_0^{1,p}(\Omega)$  is found in [1, Section 4.1] together with some extensions and applications. In particular, Theorem 1.1 in the present paper extends [1, Lemma 4.1] to the case of non-vanishing boundary values, at the cost of assuming the ratio  $u/v$  bounded.

In the case when  $f(x, u)$  is monotone in  $u$ , the strong comparison principle for positive  $u, v \in W_0^{1,p}(\Omega)$  is proved in [7]. If, instead,  $f = f(u)$  and  $p > (2N + 2)/(N + 2)$ , a strong comparison principle is found in [9] (see also [27]). More precisely, assuming  $u \leq v$  in a bounded smooth domain  $\Omega$ , Theorem 1.4 of [9] states that either  $u < v$  in  $\Omega$  or  $u \equiv v$ . For the strong minimum principle, which is a strong comparison principle against the null function, see [25, 30, 31]. Comparison principles for more general equations under different assumptions are found, for instance, in [6, 8, 29].

Concerning the interior (respectively, global)  $C^{1,\alpha}$ -regularity of bounded weak solutions to (1), the reader may consult the classical paper [29] (respectively, [23]). However, regularity is not involved in the proof of Theorem 1.1.

As an application, the symmetry result stated in Theorem 1.2 is established. As before, the open set  $\Omega$  is not required to be *a priori* smooth, but now it must be bounded and containing the origin. Moreover, the function  $f$  depends on  $x$  through  $r = |x|$ , and is denoted by  $f(r, y)$ . It is defined for all  $r \in (0, +\infty)$  and  $y \in [0, +\infty)$ ; takes on positive values whenever  $y > 0$ , and it is subject to conditions (H<sub>1</sub>)–(H<sub>3</sub>) and (4) listed below. Such conditions are inspired by [10], with some modifications. In particular, the set  $\Omega$  will be treated as an unknown of the problem, and therefore we need the assumptions to hold independently of its size. We also require  $f(r, y)$  to be monotone in  $r$ :

- (H<sub>1</sub>) For almost every  $r \in (0, +\infty)$ , the function  $y \mapsto f(r, y)$  is a continuous function of  $y \in [0, +\infty)$ . Furthermore, for every fixed  $y \in [0, +\infty)$ , the function  $r \mapsto f(r, y)$  is bounded and non-increasing.
- (H<sub>2</sub>) There exists a constant  $\varepsilon_0 \in (0, p - 1]$  such that for a.e.  $r \in (0, +\infty)$  the function  $y \mapsto f(r, y)/y^{p-1-\varepsilon_0}$  is monotone non-increasing with respect to  $y \in (0, +\infty)$ . The constant  $\varepsilon_0$  is independent of  $r$ . Since

$$\frac{f(r, y)}{y^{p-1}} = \frac{f(r, y)}{y^{p-1-\varepsilon_0}} \frac{1}{y^{\varepsilon_0}},$$

it follows that the ratio in the left-hand side is strictly decreasing: this is assumption (H<sub>2</sub>) in [10].

(H<sub>3</sub>) For every bounded interval  $(0, r_0)$  there exists a constant  $C(r_0)$  such that  $f(r, y) \leq C(r_0)(y^{p-1} + 1)$  for a.e.  $r \in (0, r_0)$  and for all  $y \in [0, +\infty)$ . We also assume that

$$(4) \quad \lim_{y \rightarrow 0^+} f(r, y)/y^{p-1} = +\infty \quad \text{for almost every } r \in (0, +\infty).$$

Provided that such assumptions are satisfied, we have:

**Theorem 1.2** (Constrained radial symmetry). *Let  $\Omega$  be a bounded open set in  $\mathbb{R}^N$ ,  $N \geq 2$ , containing the origin, and let  $q(r)$  be a real-valued function of  $r \in (0, +\infty)$  such that*

$$(5) \quad \text{the ratio } \frac{q(r)}{r^{\frac{p}{\varepsilon_0}-1}} \text{ is strictly increasing in } r,$$

where  $\varepsilon_0$  is the constant in (H<sub>2</sub>). If there exists a weak solution  $u \in C^1(\bar{\Omega})$ , positive in  $\Omega$ , to the overdetermined problem

$$\begin{cases} -\Delta_p u = f(|x|, u) & \text{in } \Omega, \\ u(x) = 0, |Du(x)| = q(|x|) & \text{for } x \in \partial\Omega, \end{cases}$$

then  $\Omega$  is a ball centered at the origin.

Note that condition (H<sub>2</sub>) is in competition with (5): we are led to search for a small  $\varepsilon_0$  in order to facilitate (H<sub>2</sub>), but not so small to prevent (5) from holding.

Let us compare the result to the celebrated achievements of Serrin [28] and Weinberger [32]. Remarkably, they showed that if there exists a solution  $u$  to  $-\Delta u = 1$  in a sufficiently smooth domain  $\Omega$  satisfying both  $u = 0$  on  $\partial\Omega$  and  $|Du| = \text{constant}$  on  $\partial\Omega$ , then  $\Omega$  is a ball.

Contrary to what one may expect, if we replace the boundary condition  $|Du| = \text{constant}$  with  $|Du(x)| = q(|x|)$  for  $x \in \partial\Omega$ , then the corresponding overdetermined problem may well be solvable even though the domain  $\Omega$  is not a ball. A counterexample is readily obtained by letting  $\Omega \subset \mathbb{R}^2$  be an ellipse in canonical position. Indeed, the solution  $u_0 \in H_0^1(\Omega)$  to  $-\Delta u = 1$  is symmetric with respect to both axes. Denoting by  $a > b$  the semi-axes, for every  $r \in [b, a]$  the intersection  $S_r = \partial\Omega \cap \{|x| = r\}$  has the same kind of symmetry as  $u$ , and therefore we are legitimated to define  $q(r) = |Du_0(x)|$  where  $x$  is any point in  $S_r$ . Thus, we end up with an overdetermined problem

$$(6) \quad \begin{cases} -\Delta u = 1 & \text{in } \Omega, \\ u(x) = 0 \text{ and } |Du(x)| = q(|x|) & \text{for } x \in \partial\Omega, \end{cases}$$

which is solvable although  $\Omega$  is not a disc. Another counterexample is constructed with an ellipse having one focus at the origin: this example,

worked out in [18, Section 5], relies on the fact that the boundary of such a domain intersects any circle centered at  $O$  in at most two points, the other two being imaginary.

What are convenient properties of the function  $q$ , capable of implying that problem (6) is solvable only if  $\Omega$  is a ball? A sufficient condition is indicated in [18, Theorem 3.1]: more precisely, if the ratio  $q(r)/r$  is monotone non-decreasing, then  $\Omega$  must be a ball *centered at the origin* in order that problem (6) is solvable. Such a condition has an optimality character: indeed, if we allow

$$\frac{d}{dr} \frac{q(r)}{r} \geq -\varepsilon_0$$

for some constant  $\varepsilon_0 > 0$ , then we may always construct a counterexample (the one just mentioned) no matter how small  $\varepsilon_0$  is.

Theorem 1.2 shows that condition (5) suffices to obtain radial symmetry for problems governed by the subdiffusive  $p$ -Laplacian. Such kind of symmetry is told *constrained* because it occurs with respect to a prescribed point (the origin) due to the structure of the Neumann data. For *free* radial symmetry, i.e., symmetry for translation-invariant problems involving the  $p$ -Laplacian, see [12] and the references therein.

The novelty of Theorem 1.2 lies in the fact that here  $f(r, y)$  is not assumed to have the special form  $f(r, y) = y^m$  as in [18, Theorem 3.1], nor to be non-increasing in  $y$  as in [16, Theorem 1.1]. Instead, the weaker condition  $(H_2)$  is required. The proof is given in Section 4, after a preliminary investigation of the positive, radial solution  $u_R \in W_0^{1,p}(B_R)$  to

$$(7) \quad -\Delta_p u = f(|x|, u).$$

More precisely, by means of a scaling technique, the rate of increase of the boundary gradient  $|Du_R(x)|_{|x|=R}$  with respect to the radius  $R$  of the ball  $B_R$  is estimated (see Section 3). Then, using a comparison argument, we show that assumption (5) on the rate of increase of  $q(r)$  prevents an either non-spherical, or non-centered-at- $O$  open set  $\Omega$  from having a solution to the given problem.

The method described above was outlined in [18]. It is a refinement of the one used in [21], which required more restrictive assumptions. Further applications are found in [3, 14, 15, 16, 17, 18, 20]. In particular, the paper [21] that started the series deals with domains with cavities: these are also considered in [15, 16, 17, 20]. Boundary conditions leading  $\Omega$  to be an ellipsoid, instead of a ball, are considered

in [14, 17, 18, 21]. More general equations have been taken into account: for instance, degenerate operators including the  $p$ -Laplacian are considered in [16, 18, 20]; the equation of constant mean (respectively, Gaussian) curvature has been investigated in [18]; the case when the right-hand side is Dirac’s delta function is considered in [3].

## 2. Weak comparison principle

This section is devoted to the proof of Theorem 1.1. The result extends [18, Theorem 7.1], which applies to the special case  $f(x, y) = a(x)y^{p-1}$ ,  $a \in L^\infty(\Omega)$ . As there, the argument is based on inequality (8) below. Other strategies may be taken in consideration: for instance, in the case (2) of strict monotonicity, the result would follow by extending Díaz–Saá’s inequality [10] to the possibly unbounded, quasi-open set  $\Omega^+ = \{x \in \Omega \mid u(x) > v(x)\}$ . In fact, an extension of Díaz–Saá’s inequality to the whole  $\mathbb{R}^N$  is given in [5].

**Lemma 2.1** (Weird inequality). *Extend the function  $|\xi|^{p-2}\xi$  at  $\xi = 0$  by continuity in case  $p \in (1, 2]$ . Then, for every  $\xi, \eta \in \mathbb{R}^N$ ,  $\lambda \in [0, 1]$  and  $p \in (1, +\infty)$  we have:*

$$(8) \quad |\xi|^p - p|\eta|^{p-2}\eta \cdot \xi + (p-1)|\eta|^p \geq \lambda \left( |\xi|^{p-2}\xi \cdot \eta + (p-2)|\eta|^p - (p-1)|\eta|^{p-2}\eta \cdot \xi \right).$$

*Equality holds if and only if  $\xi = \eta$ .*

*Proof:* The inequality appears in the proof of [18, Theorem 7.1]. By exploiting the convexity of  $|\xi|^p$ , a different proof is given here (and a typo in the last term is corrected). The tangent hyperplane to the graph of  $|\xi|^p$  at some point  $\xi = \eta$  is the graph of the function  $\xi \mapsto p|\eta|^{p-2}\eta \cdot (\xi - \eta) + |\eta|^p$ . Hence we have

$$(9) \quad |\xi|^p \geq p|\eta|^{p-2}\eta \cdot (\xi - \eta) + |\eta|^p$$

and (by strict convexity) equality holds if and only if  $\xi = \eta$ . Inequality (9) may be rewritten as  $A(\xi, \eta) \geq 0$ , where  $A(\xi, \eta) = |\xi|^p - p|\eta|^{p-2}\eta \cdot \xi + (p-1)|\eta|^p$  denotes the left-hand side of (8). Consequently, it is immediate to recognize that

$$(1-\lambda)pA(\xi, \eta) + \lambda \left( A(\xi, \eta) + A(\eta, \xi) \right) \geq 0,$$

with equality if and only if  $\xi = \eta$ . By inserting the definition of  $A(\xi, \eta)$  into the inequality above we obtain (8). □

We can now prove the weak comparison principle.

*Proof of Theorem 1.1:* Most of the argument is common to both claims. Differences are pointed out at the end of the proof. Since  $u$  is a sub-solution to (1), we have

$$\int_{\Omega} |Du|^{p-2} Du \cdot D\varphi \, dx \leq \int_{\Omega} f(x, u(x))\varphi(x) \, dx$$

for all non-negative  $\varphi \in W_0^{1,p}(\Omega)$ . The function  $\varphi = (u - v)^+$  is an admissible test function by assumption, and vanishes outside the set  $\Omega^+ = \{x \in \Omega \mid u(x) > v(x)\}$ . Hence we have

$$\int_{\Omega^+} |Du|^{p-2} Du \cdot D(u - v)^+ \, dx \leq \int_{\Omega^+} f(x, u)(u - v)^+ \, dx.$$

In the case (3) of mild monotonicity, and *a fortiori* if (2) holds, we may write

$$(10) \quad \int_{\Omega^+} |Du|^{p-2} Du \cdot D(u - v)^+ \, dx \leq \int_{\Omega^+} f(x, v) \left(\frac{u}{v}\right)^{p-1} (u - v)^+ \, dx.$$

More precisely, if strict monotonicity (2) holds, and if the set  $\Omega^+$  has a positive measure, then the preceding inequality is also strict: this fact will be used in the conclusion. To proceed in the estimate, we are led to use

$$(11) \quad \psi = \left(\frac{u}{v}\right)^{p-1} (u - v)^+$$

as a test function in

$$(12) \quad \int_{\Omega} f(x, v(x))\psi(x) \, dx \leq \int_{\Omega} |Dv|^{p-2} Dv \cdot D\psi \, dx.$$

Indeed, with such a  $\psi$  the left-hand side of (12) coincides with the right-hand side of (10). The definition (11) is well posed because  $v > 0$  a.e. in  $\Omega$  by assumption. Let us check that  $\psi$  is an admissible test function. Clearly,  $\psi \in L^p(\Omega)$  because the ratio  $\frac{u}{v}$  is bounded by assumption. To see that  $\psi \in W_0^{1,p}(\Omega)$ , and its gradient is given by

$$(13) \quad D\psi = (p - 1) \left(\frac{u}{v}\right)^{p-2} \left(\frac{u}{v} - 1\right)^+ \left(Du - \frac{u}{v} Dv\right) + \left(\frac{u}{v}\right)^{p-1} D(u - v)^+,$$

recall that weak differentiability is (up to the choice of a suitable representative) equivalent to absolute continuity along almost all lines parallel to the coordinate axes, together with local summability of the partial derivatives with respect to the  $N$ -dimensional Lebesgue measure: see [13, Section 7.3 and Problem 7.8] for details. Concerning the first term in the right-hand side of (13), note that the singularity of  $t^{p-2}$  at  $t = 0$  when  $p \in (1, 2)$  plays no role because when  $t = \frac{u}{v} < 1$  the factor  $(\frac{u}{v} - 1)^+$  vanishes. Using the function  $\psi$  defined in (11) as a

test function in the inequality (12), we may estimate the right-hand side of (10) and find

$$\int_{\Omega^+} \left( |Du|^{p-2} Du \cdot D(u-v)^+ - |Dv|^{p-2} Dv \cdot D\psi \right) dx \leq 0.$$

The remainder of the proof consists in proving that the function under the sign of integral is non-negative, hence it must vanish almost everywhere. Now the weird inequality (8) comes into play. Define  $\xi, \eta$  by letting  $\xi = \frac{Du}{u}$  and  $\eta = \frac{Dv}{v}$ , and observe that the ratio  $\lambda(x) = v(x)/u(x)$  belongs to the interval  $(0, 1)$  for every  $x \in \Omega^+$  by definition. With this notation, and taking (13) into account, the preceding inequality is shortened as follows:

$$\int_{\Omega^+} u^p B(\xi, \eta, \lambda) dx \leq 0,$$

where  $B(\xi, \eta, \lambda)$  is given by

$$B(\xi, \eta, \lambda) = |\xi|^p - p|\eta|^{p-2}\eta \cdot \xi + (p-1)|\eta|^p - \lambda \left( |\xi|^{p-2}\xi \cdot \eta + (p-2)|\eta|^p - (p-1)|\eta|^{p-2}\eta \cdot \xi \right).$$

By (8) it follows that  $\xi = \eta$  a.e. in  $\Omega^+$ , and an equality sign holds in (10). Thus, if strict monotonicity (2) holds, we deduce that  $|\Omega^+| = 0$  and Claim (i) follows. If, instead,  $v$  keeps far from zero, then the ratio  $\rho = (u-v)^+/v$  belongs to  $W_0^{1,p}(\Omega)$ . The equality  $\xi = \eta$  a.e. in  $\Omega^+$  implies  $D\rho = 0$  a.e. in the whole set  $\Omega$ , hence  $\rho = 0$  a.e. in  $\Omega$ . The last equality proves Claim (ii). □

Uniqueness results may be derived from Theorem 1.1. For instance, in the case (3) of mild monotonicity, we have:

**Corollary 2.2** (Uniqueness). *Let  $\Omega$  be an open set in  $\mathbb{R}^N$ ,  $N \geq 2$ , possibly non-smooth and unbounded, and let  $u_1, u_2 \in W^{1,p}(\Omega)$  be two solutions to*

$$\begin{cases} -\Delta_p u = f(x, u) & \text{in } \Omega, \\ u - g \in W_0^{1,p}(\Omega), \end{cases}$$

where the function  $f$  satisfies (3), and  $g$  is a prescribed function in  $W^{1,p}(\Omega)$ . If there exist two positive constants  $c_1, c_2 > 0$  such that  $c_1 < u_i(x) < c_2$  for  $i = 1, 2$  and for a.e.  $x \in \Omega$ , then  $u_1 = u_2$  a.e. in  $\Omega$ .



### 3. Radial solutions

As mentioned in the introduction, the proof of Theorem 1.2 relies on a preliminary investigation of the radial case. From now on, assumptions  $(H_1)$ – $(H_3)$  and (4) are in effect. We make use of the known existence and uniqueness results [4, 10] for bounded, smooth domains under homogeneous boundary conditions in order to ensure that equation (7) has a unique, radial, positive solution  $u_R \in W_0^{1,p}(B_R)$  in the ball  $B_R$  centered at the origin. Such a solution is also bounded, and belongs to the class  $C^{1,\alpha}(\overline{B}_R)$  [10, 11, 23, 29].

The main purpose of the following lemma is to estimate the rate of increase of the boundary gradient  $|Du_R(x)|_{|x|=R}$  when the radius  $R$  is let vary.

**Lemma 3.1** (Rate of increase of the boundary gradient). *For every given  $R \in (0, +\infty)$ , the gradient  $Du_R(x)$  vanishes if and only if  $x = 0$ ; when  $R$  is let vary, the product  $R^{1-(p/\varepsilon_0)}|Du_R(x)|_{|x|=R}$  is monotone non-increasing in  $R$ .*

*Proof:* Writing  $u_R(x) = v(|x|)$  for a convenient function  $v(r)$  of the real variable  $r$ , and integrating equation (7), we arrive at

$$|v'(r)|^{p-2}v'(r) = \frac{-1}{r^{N-1}} \int_0^r t^{N-1}f(t, v(t)) dt \quad \text{for } r \in (0, R].$$

From the representation above we see that the gradient  $Du_R(x)$  is continuous in  $x$  and does not vanish outside the origin, which proves the first claim.

In order to investigate the product  $R^{1-(p/\varepsilon_0)}|Du_R(x)|_{|x|=R}$ , we follow the scaling procedure used in [16, Lemma 4.2]. Consider  $0 < R_1 < R_2$  and denote by  $u_{R_i}$  the positive solution to (7) in  $W_0^{1,p}(B_{R_i})$ ,  $i = 1, 2$ . Define  $t = R_2/R_1 > 1$ . Let us check that the rescaled function  $w(x) = t^{-p/\varepsilon_0}u_{R_2}(tx)$ , which is defined in the ball  $B_{R_1}$  and vanishes on  $\partial B_{R_1}$ , is a subsolution to (7). By computation we have  $\Delta_p w(x) = t^{1+(p-1)(1-(p/\varepsilon_0))}(\Delta_p u_{R_2})(tx)$  for  $x \in B_{R_1}$ . Using the equation for  $u_{R_2}$ , and the definition of  $w(x)$ , we may write

$$(14) \quad -\Delta_p w(x) = t^{1+(p-1)(1-(p/\varepsilon_0))} f(|tx|, t^{p/\varepsilon_0}w(x)).$$

Since assumption  $(H_2)$  holds with a positive constant  $\varepsilon_0$ , we have  $t^{p/\varepsilon_0}w > w$  and we may write

$$\frac{f(|tx|, t^{p/\varepsilon_0}w(x))}{(t^{p/\varepsilon_0}w(x))^{p-1-\varepsilon_0}} \leq \frac{f(|tx|, w(x))}{(w(x))^{p-1-\varepsilon_0}}.$$

By ruling out the term  $w(x)$  in the denominators, we arrive at

$$f(|tx|, t^{p/\varepsilon_0} w(x)) \leq t^{(p-1-\varepsilon_0)p/\varepsilon_0} f(|tx|, w(x)).$$

The power of  $t$  multiplying  $f$  in the last term cancels with the one in (14), and therefore we obtain  $-\Delta_p w(x) \leq f(|tx|, w(x))$ . Finally, since  $f(r, u)$  is non-increasing in  $r$  by assumption, we conclude that  $-\Delta_p w(x) \leq f(|x|, w(x))$ , hence  $w$  is a subsolution to (7) in  $W_0^{1,p}(B_{R_1})$ , as claimed.

Let us compare  $u_{R_1}(x)$  and  $w(x)$ . Since the gradients  $Du_{R_1}(x)$  and  $Dw(x) = t^{1-(p/\varepsilon_0)}(Du_{R_2})(tx)$  do not vanish along  $\partial B_{R_1}$ , the ratio  $w/u_{R_1}$  is bounded in  $B_{R_1}$  and Claim (i) of Theorem 1.1 applies. It follows that  $w \leq u_{R_1}$  in  $B_{R_1}$ , and since both functions vanish on  $\partial B_{R_1}$  we also have  $|Dw(x)|_{|x|=R_1} \leq |Du_{R_1}(x)|_{|x|=R_1}$ . Now, recalling the expression of  $Dw$  given before, and since  $t = R_2/R_1$ , the last inequality becomes  $R_2^{1-(p/\varepsilon_0)}|Du_{R_2}(x)|_{|x|=R_2} \leq R_1^{1-(p/\varepsilon_0)}|Du_{R_1}(x)|_{|x|=R_1}$ , which is what we intended to prove.  $\square$

*Remark.* If  $f(r, y)$  is non-increasing in  $y$  then we may relax the monotonicity assumption of  $f$  in  $r$  as in [16, Lemma 4.2]. There, it is required that the product  $r^{1+(p-1)\sigma} f(r, y)$  is non-increasing in  $r$  for some  $\sigma \in (-\infty, 1]$ , and it turns out that

$$(15) \quad R^\sigma |Du_R(x)|_{|x|=R} \text{ is non-increasing in } R.$$

The two results agree in the special case when  $f(x, y)$  is non-increasing both in  $r$  and in  $y$ . Indeed, in such a case we may take  $\varepsilon_0 = p - 1$  in the preceding lemma and get that  $R^{-1/(p-1)}|Du_R(x)|_{|x|=R}$  is non-increasing in  $R$ , which corresponds to (15) with  $\sigma = -1/(p - 1)$ .

#### 4. Constrained radial symmetry

Combining the weak comparison principle and the radial estimate established in the preceding sections, we may finally prove Theorem 1.2.

*Proof of Theorem 1.2:* Let  $x_1$  (respectively,  $x_2$ ) be a point on the boundary  $\partial\Omega$  that minimizes (resp. maximizes) the distance to the origin, and define  $R_1 = |x_1| \leq |x_2| = R_2$ . The aim of the proof is to show that  $R_1 = R_2$ . Let us compare the solution  $u$  with the positive radial solutions  $u_{R_i} \in W_0^{1,p}(B_{R_i})$ ,  $i = 1, 2$ , of equation (7). More precisely, let us check that

$$(16) \quad u_{R_1} \leq u \text{ in } B_{R_1} \quad \text{and} \quad u \leq u_{R_2} \text{ in } \Omega.$$

It suffices to verify that the assumptions of Theorem 1.1, Claim (i), are satisfied. Condition (2) holds true as a consequence of  $(H_2)$ . Furthermore,  $u > 0$  in  $\Omega$  by assumption. It remains to check that the ratios  $u_{R_1}/u$  and  $u/u_{R_2}$  are bounded. To this end, recall that  $u \in C^1(\bar{\Omega})$  by assumption, and  $u_{R_i} \in C^{1,\alpha}(\bar{B}_{R_i})$ ,  $i = 1, 2$  as mentioned at the beginning of Section 3. Furthermore, by Lemma 3.1 the gradient  $Du_{R_i}$  does not vanish along  $\partial B_{R_i}$ . Let us prove that  $Du$  does not vanish along  $\partial\Omega$ . Observe that the set  $\Omega$  obviously satisfies the interior sphere condition at  $x_1 \in \partial\Omega \cap \partial B_{R_1}$ . Since  $-\Delta_p u > 0$  in  $\Omega$ , and since  $u$  is positive in  $\Omega$  and vanishes at  $x_1$ , by Hopf's lemma we have  $|Du(x_1)| > 0$ , hence

$$(17) \quad q(R_1) > 0.$$

This and (5) imply  $q(r) > 0$  for all  $r \geq R_1$ . Thus,  $Du$  does not vanish along  $\partial\Omega$ , as claimed, and therefore the ratio  $u_{R_1}/u$  is bounded in  $B_1$ , and  $u/u_{R_2}$  is bounded in  $\Omega$ . Consequently, Claim (i) of Theorem 1.1 applies, and we arrive at (16). Taking into account that  $u_{R_1}(x_1) = u(x_1)$  and  $u(x_2) = u_{R_2}(x_2)$ , inequalities (16) imply

$$(18) \quad |Du_{R_1}(x_1)| \leq |Du(x_1)| \quad \text{and} \quad |Du(x_2)| \leq |Du_{R_2}(x_2)|.$$

According to Lemma 3.1, the product  $R^{1-(p/\varepsilon_0)}|Du_R(x)|_{|x|=R}$  is non-increasing with respect to the radius  $R$ , hence we may write  $R_2^{1-(p/\varepsilon_0)}|Du_{R_2}(x_2)| \leq R_1^{1-(p/\varepsilon_0)}|Du_{R_1}(x_1)|$ . By combining the last inequality with (18), we obtain

$$R_2^{1-(p/\varepsilon_0)}|Du(x_2)| \leq R_1^{1-(p/\varepsilon_0)}|Du(x_1)|,$$

from which we deduce  $R_1^{1-(p/\varepsilon_0)}q(R_1) \leq R_2^{1-(p/\varepsilon_0)}q(R_2)$ . By (5), we then have  $R_1 = R_2$ , and the conclusion follows. □

### References

- [1] B. ABDELLAOUI AND I. PERAL, Existence and nonexistence results for quasilinear elliptic equations involving the  $p$ -Laplacian with a critical potential, *Ann. Mat. Pura Appl. (4)* **182(3)** (2003), 247–270. DOI: 10.1007/s10231-002-0064-y.
- [2] W. ALLEGRETTO AND Y. X. HUANG, A Picone's identity for the  $p$ -Laplacian and applications, *Nonlinear Anal.* **32(7)** (1998), 819–830. DOI: 10.1016/S0362-546X(97)00530-0.
- [3] C. BABAOGU AND H. SHAHGOLIAN, Symmetry in multi-phase overdetermined problems, *J. Convex Anal.* **18(4)** (2011), 1013–1024.

- [4] M. BELLONI AND B. KAWOHL, A direct uniqueness proof for equations involving the  $p$ -Laplace operator, *Manuscripta Math.* **109(2)** (2002), 229–231. DOI: 10.1007/s00229-002-0305-9.
- [5] K. CHAÏB, Extension of Díaz–Saá’s inequality in  $\mathbb{R}^N$  and application to a system of  $p$ -Laplacian, *Publ. Mat.* **46(2)** (2002), 473–488. DOI: 10.5565/PUBLMAT-46202-09.
- [6] A. CHORWADWALA AND R. MAHADEVAN, A shape optimization problem for the  $p$ -Laplacian, [arXiv:1206.4295v1](https://arxiv.org/abs/1206.4295v1).
- [7] M. CUESTA AND P. TAKÁČ, A strong comparison principle for positive solutions of degenerate elliptic equations, *Differential Integral Equations* **13(4–6)** (2000), 721–746.
- [8] L. DAMASCELLI, Comparison theorems for some quasilinear degenerate elliptic operators and applications to symmetry and monotonicity results, *Ann. Inst. H. Poincaré Anal. Non Linéaire* **15(4)** (1998), 493–516. DOI: 10.1016/S0294-1449(98)80032-2.
- [9] L. DAMASCELLI AND B. SCIUNZI, Harnack inequalities, maximum and comparison principles, and regularity of positive solutions of  $m$ -Laplace equations, *Calc. Var. Partial Differential Equations* **25(2)** (2006), 139–159. DOI: 10.1007/s00526-005-0337-6.
- [10] J. I. DÍAZ AND J. E. SAÁ, Existence et unicité de solutions positives pour certaines équations elliptiques quasilineaires, *C. R. Acad. Sci. Paris Sér. I Math.* **305(12)** (1987), 521–524.
- [11] E. DIBENEDETTO,  $C^{1+\alpha}$  local regularity of weak solutions of degenerate elliptic equations, *Nonlinear Anal.* **7(8)** (1983), 827–850. DOI: 10.1016/0362-546X(83)90061-5.
- [12] A. FARINA AND B. KAWOHL, Remarks on an overdetermined boundary value problem, *Calc. Var. Partial Differential Equations* **31(3)** (2008), 351–357. DOI: 10.1007/s00526-007-0115-8.
- [13] D. GILBARG AND N. S. TRUDINGER, “*Elliptic partial differential equations of second order*”, Second edition. Grundlehren der Mathematischen Wissenschaften **224**, Springer-Verlag, Berlin, 1983.
- [14] A. GRECO, A characterization of the ellipsoid through the torsion problem, *Z. Angew. Math. Phys.* **59(5)** (2008), 753–765. DOI: 10.1007/s00033-007-7040-8.
- [15] A. GRECO, Boundary point lemmas and overdetermined problems, *J. Math. Anal. Appl.* **278(1)** (2003), 214–224. DOI: 10.1016/S0022-247X(02)00656-X.
- [16] A. GRECO, Constrained radial symmetry for monotone elliptic quasilinear operators, *J. Anal. Math.* **121** (2013), 223–234. DOI: 10.1007/s11854-013-0033-y.

- [17] A. GRECO, Radial symmetry and uniqueness for an overdetermined problem, *Math. Methods Appl. Sci.* **24(2)** (2001), 103–115. DOI: 10.1002/1099-1476(20010125)24:2<103::AID-MMA200>3.0.CO;2-F.
- [18] A. GRECO, Symmetry around the origin for some overdetermined problems, *Adv. Math. Sci. Appl.* **13(1)** (2003), 387–399.
- [19] J. HEINONEN, T. KILPELÄINEN, AND O. MARTIO, “*Nonlinear potential theory of degenerate elliptic equations*”, Oxford Mathematical Monographs, Oxford Science Publications, The Clarendon Press, Oxford University Press, New York, 1993.
- [20] A. HENROT AND G. A. PHILIPPIN, Approximate radial symmetry for solutions of a class of boundary value problems in ring-shaped domains, Special issue dedicated to Lawrence E. Payne, *Z. Angew. Math. Phys.* **54(5)** (2003), 784–796. DOI: 10.1007/s00033-003-3205-2.
- [21] A. HENROT, G. A. PHILIPPIN, AND H. PRÉBET, Overdetermined problems on ring shaped domains, *Adv. Math. Sci. Appl.* **9(2)** (1999), 737–747.
- [22] B. KAWOHL AND P. LINDQVIST, Positive eigenfunctions for the  $p$ -Laplace operator revisited, *Analysis (Munich)* **26(4)** (2006), 545–550. DOI: 10.1524/anly.2006.26.4.545.
- [23] G. M. LIEBERMAN, Boundary regularity for solutions of degenerate elliptic equations, *Nonlinear Anal.* **12(11)** (1988), 1203–1219. DOI: 10.1016/0362-546X(88)90053-3.
- [24] P. LINDQVIST, “*Notes on the  $p$ -Laplace equation*”, Report, University of Jyväskylä, Department of Mathematics and Statistics **102**, University of Jyväskylä, Jyväskylä, 2006.
- [25] M. MONTENEGRO, Strong maximum principles for supersolutions of quasilinear elliptic equations, *Nonlinear Anal. Ser. A: Theory Methods* **37(4)** (1999), 431–448. DOI: 10.1016/S0362-546X(98)00057-1.
- [26] M. H. PROTTER AND H. F. WEINBERGER, “*Maximum principles in differential equations*”, Prentice-Hall, Inc., Englewood Cliffs, N.J., 1967.
- [27] B. SCIUNZI, Some results on the qualitative properties of positive solutions of quasilinear elliptic equations, *NoDEA Nonlinear Differential Equations Appl.* **14(3–4)** (2007), 315–334. DOI: 10.1007/s00030-007-5047-7.
- [28] J. SERRIN, A symmetry problem in potential theory, *Arch. Rational Mech. Anal.* **43** (1971), 304–318. DOI: 10.1007/BF00250468.
- [29] P. TOLKSDORF, Regularity for a more general class of quasilinear elliptic equations, *J. Differential Equations* **51(1)** (1984), 126–150. DOI: 10.1016/0022-0396(84)90105-0.

- [30] N. S. TRUDINGER, On Harnack type inequalities and their application to quasilinear elliptic equations, *Comm. Pure Appl. Math.* **20** (1967), 721–747. DOI: 10.1002/cpa.3160200406.
- [31] J. L. VÁZQUEZ, A strong maximum principle for some quasilinear elliptic equations, *Appl. Math. Optim.* **12(3)** (1984), 191–202. DOI: 10.1007/BF01449041.
- [32] H. F. WEINBERGER, Remark on the preceding paper of Serrin, *Arch. Rational Mech. Anal.* **43** (1971), 319–320. DOI: 10.1007/BF00250469.

Department of Mathematics and Informatics  
Università di Cagliari  
Via Ospedale 72  
09124 Cagliari  
Italy  
*E-mail address:* greco@unica.it

Primera versió rebuda el 12 de juny de 2013,  
darrera versió rebuda el 27 de setembre de 2013.