

## STRUCTURE OF SPACES OF GERMS OF HOLOMORPHIC FUNCTIONS

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*Abstract*

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Let  $E$  be a Frechet (resp. Frechet-Hilbert) space. It is shown that  $E \in (\Omega)$  (resp.  $E \in (DN)$ ) if and only if  $[\mathcal{H}(O_E)]' \in (\Omega)$  (resp.  $[\mathcal{H}(O_E)]' \in (DN)$ ). Moreover it is also shown that  $E \in (DN)$  if and only if  $\mathcal{H}_b(E') \in (DN)$ . In the nuclear case these results were proved by Meise and Vogt [2].

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### 1. Preliminaries

**1.1.** Let  $K$  be a compact set in a Frechet space  $E$ . By  $\mathcal{H}(K)$  we denote the space of germs of holomorphic functions on  $K$ . This space is equipped with the inductive topology

$$\mathcal{H}(K) = \lim_{U \downarrow K} \text{ind} \mathcal{H}^\infty(U).$$

Here for each neighborhood  $U$  of  $K$ , by  $\mathcal{H}^\infty(U)$  we denote the Banach space of bounded holomorphic functions on  $U$  with the sup-norm

$$\|f\|_U = \sup\{|f(z)| : z \in U\}.$$

**1.2.** Let  $E'$  denote the strong dual space of a Frechet space  $E$ . A holomorphic function on  $E'$  is said to be of bounded type if it is bounded on every bounded set in  $E'$ . By  $\mathcal{H}_b(E')$  we denote the metric locally convex space of entire functions of bounded type on  $E'$  equipped with the topology the convergence on bounded sets in  $E'$ .

For more details concerning holomorphic functions on locally convex spaces we refer to the book of Dineen [1].

**1.3.** Assume the topology of  $E$  is defined by an increasing fundamental system of seminorms  $\{\|\cdot\|_k\}_{k=1}^\infty$ . For each subset  $B$  of  $E$ , define the generalized seminorm  $\|\cdot\|_B^* : E' \rightarrow [0, +\infty]$ , by

$$\|u\|_B^* = \sup\{|u(x)| : x \in B\}.$$

Write  $\|\cdot\|_q^*$  for  $B = U_q = \{x \in E : \|x\|_q \leq 1\}$ .

Using this notation define  $E$  to have the property

$$\begin{aligned} (DN) : \quad & \exists p \forall q \exists k, \quad C > 0 : \|\cdot\|_q^2 \leq C \|\cdot\|_k \|\cdot\|_p. \\ (\Omega) : \quad & \forall p \exists q \forall k \exists d, \quad C > 0 : \|\cdot\|_q^{*1+d} \leq C \|\cdot\|_k^* \|\cdot\|_p^{*d}. \end{aligned}$$

The properties  $(DN)$ ,  $(\Omega)$  and the other many properties were introduced and investigated by Vogt (see, for example, [7], [8], etc.). In [8] he has proved that  $E \in (DN)$  (resp.  $E \in (\Omega)$ ) if and only if  $E$  is isomorphic to a subspace (a quotient space) of the space  $B \hat{\otimes}_\pi s$  for some Banach space  $B$ , where  $s$  is the space of rapidly decreasing sequences.

The following three theorems are proved in the present paper.

**Theorem 1.** *Let  $E$  be a Frechet space. Then the following are equivalent*

- (i)  $E \in (\Omega)$
- (ii)  $[\mathcal{H}(K)]' \in (\Omega)$  for some non-empty compact set  $K$  in  $E$ .
- (iii)  $[\mathcal{H}(K)]' \in (\Omega)$  for all compact sets  $K$  in  $E$ .

**Theorem 2.** *Let  $E$  be a Frechet-Hilbert space. Then  $E \in (DN)$  if and only if  $[\mathcal{H}(O_E)]' \in (DN)$ .*

**Theorem 3.** *Let  $E$  be a Frechet space. Then  $E \in (DN)$  if and only if  $\mathcal{H}_b(E') \in (DN)$ .*

The proofs of Theorems 1, 2 and 3 are presented in Sections 2, 3 and 4 respectively.

## 2. Proof of Theorem 1

**2.1. Lemma.** *Let  $E$  be a Frechet space. Then  $E \in (\Omega)$  if and only if  $E'$  is isomorphic to a subspace of  $B \hat{\otimes}_\pi s'$  for some Banach space  $B$ .*

*Proof:* Suppose  $E'$  is isomorphic to a subspace of the space  $B \hat{\otimes}_\pi s'$  where  $B$  is some Banach space. Then  $E''$  is isomorphic to a quotient space of  $(B \hat{\otimes}_\pi s')' \cong B' \hat{\otimes}_\pi s$  and hence  $E'' \in (\Omega)$ . This implies that  $E \in (\Omega)$  since

$$\|u\|_k^* = \sup\{|v(u)| : v \in U_k^{00} \subset E''\} \text{ for } u \in E'.$$

Conversely assume that  $E \in (\Omega)$ . Consider the canonical resolution

$$0 \longrightarrow E \longrightarrow \prod_{k \geq 1} E_k \xrightarrow{R} \prod_{k \geq 1} E_k \longrightarrow 0$$

as constructed by Palamodov [4], where for each  $k \geq 1$ ,  $E_k$  stands for the Banach space associated to  $\|\cdot\|_k$ .

Since  $E$  is isomorphic to a quotient space of  $B \hat{\otimes}_\pi s$  with  $B$  is some Banach space [8],  $E$  is quasinormable. Hence we may assume that every bounded set in  $E_{k+1}$  can be approximated by a bounded set in  $E_{k+2}$  under the canonical map  $E_{k+2} \rightarrow E_{k+1}$ . It follows from [4] that every bounded set in  $\prod_{k \geq 1} E_k$  is the image of a bounded set in  $\prod_{k \geq 1} E_k$  under  $R$ . By modifying the argument in [8] we imply that  $E$  is isomorphic to a quotient space of  $B \hat{\otimes}_\pi s$  for which  $E'$  is isomorphic to a subspace of  $(B \hat{\otimes}_\pi s)' \cong B' \hat{\otimes}_\pi s'$ . ■

The following lemma is an immediate consequence of the preceding lemma.

**2.2. Lemma.** *Let  $E$  be a Frechet space. Then  $E \in (\Omega)$  if and only if  $E'' \in (\Omega)$ .*

**2.3. Lemma.** *Let  $B$  be a Banach space. Then  $[\mathcal{H}(O_{B \hat{\otimes}_\pi s})]' \in (\Omega)$ .*

*Proof:* Let  $\{e_j\}$  be the canonical basis of  $s$  with the dual basis  $\{e_j^*\}$  of  $s'$ . Since  $s$  is nuclear without loss of generality we may assume that

$$\delta_p = \sum_{j \geq 1} \|e_j^*\|_{p+1}^* \|e_j\|_p < 1/e \text{ for } p \geq 1.$$

For each  $p \geq 1$ , put

$$\|f\|_{p+1} = \sup \left\{ \left( \frac{1}{p+1} \right)^n \sum_{j_1, \dots, j_n \geq 1} |\widehat{P}_n f(u_1 \otimes e_{j_1}, \dots, u_n \otimes e_{j_n})| \right. \\ \left. \times \|e_{j_1}^*\|_{p+1}^* \cdots \|e_{j_n}^*\|_{p+1}^* : u_1, \dots, u_n \in W, n \geq 0 \right\}$$

for  $f \in \mathcal{H}^\infty(\text{conv}(W \otimes U_p))$ , where  $W$  is the unit ball of  $B$  and

$$f(\omega) = \sum_{n \geq 0} P_n f(\omega), \quad \omega \in \text{conv}(W \otimes U_p)$$

is the Taylor expansion of  $f$  at  $0 \in B \hat{\otimes}_\pi s$ .

Since

$$\|f\|_{p+1} = \sup \left\{ \left( \frac{1}{p+1} \right)^n \sum_{j_1, \dots, j_n \geq 1} \left| \widehat{P}_n f \left( u_1 \otimes \frac{e_{j_1}}{\|e_{j_1}\|_p}, \dots, u_n \otimes \frac{e_{j_n}}{\|e_{j_n}\|_p} \right) \right| \right. \\ \left. \times \|e_{j_1}^*\|_{p+1}^* \cdots \|e_{j_n}^*\|_{p+1}^* : u_1, \dots, u_n \in W, n \geq 0 \right\} \\ \leq C_p \|f\|_{\text{conv}(W \otimes U_p)}$$

for  $f \in \mathcal{H}^\infty(\text{conv}(W \otimes U_p))$ , where

$$C_p = \sup \left\{ \left( \frac{\delta_p}{p+1} \right)^n \frac{n^n}{n!} : n \geq 0 \right\} < \infty,$$

it follows that  $\|\cdot\|_{p+1}$  is continuous on  $\mathcal{H}^\infty(\text{conv}(W \otimes U_p))$ .

On the other hand, we have

$$\begin{aligned}
 \|f\|_{\text{conv}(W \otimes \frac{U_{p+1}}{p+2})} &= \sup \left\{ \left| f \left( \frac{1}{p+2} \sum_{k \geq 1} \lambda_k u_k \otimes v_k \right) \right| \right. \\
 &\quad \left. : u_k \in W, v_k \in U_{p+1}, \sum_{k \geq 1} |\lambda_k| \leq 1 \right\} \\
 &\leq \sup \left\{ \sum_{n \geq 0} \left( \frac{p+1}{p+2} \right)^n \sum_{k_1, \dots, k_n \geq 1} |\lambda_{k_1}| \dots |\lambda_{k_n}| \sum_{j_1, \dots, j_n \geq 1} \left( \frac{1}{p+1} \right)^n \right. \\
 &\quad \times |\widehat{P}_n f(u_{k_1} \otimes e_{j_1}, \dots, u_{k_n} \otimes e_{j_n})| |e_{j_1}^* v_{k_1}| \dots |e_{j_n}^*(v_{k_n})| \\
 &\quad \left. : u_k \in W, v_k \in U_{p+1}, \sum_{k \geq 1} |\lambda_k| \leq 1 \right\} \\
 &\leq \sup \left\{ \sum_{n \geq 0} \left( \frac{p+1}{p+2} \right)^n \sum_{k_1, \dots, k_n \geq 1} |\lambda_{k_1}| \dots |\lambda_{k_n}| \sum_{j_1, \dots, j_n \geq 1} \left( \frac{1}{p+1} \right)^n \right. \\
 &\quad \times |\widehat{P}_n f(u_{k_1} \otimes e_{j_1}, \dots, u_{k_n} \otimes e_{j_n})| \|e_{j_1}^*\|_{p+1}^* \dots \|e_{j_n}^*\|_{p+1}^* \\
 &\quad \left. : u_k \in W, \sum_{k \geq 1} |\lambda_k| \leq 1 \right\} \\
 &\leq \sup \left\{ \sum_{n \geq 0} \left( \frac{p+1}{p+2} \right)^n \sum_{k_1, \dots, k_n \geq 1} |\lambda_{k_1}| \dots |\lambda_{k_n}| \|f\|_{p+1} : \sum_{k \geq 1} |\lambda_k| \leq 1 \right\} \\
 &\leq \left( \sum_{n \geq 0} \left( \frac{p+1}{p+2} \right)^n \right) \|f\|_{p+1}.
 \end{aligned}$$

Hence

$$\mathcal{H}(O_{B \hat{\otimes}_{\pi} s}) \cong \lim \text{ind} [\mathcal{H}^\infty(\text{conv}(W \otimes U_p)) : \|\cdot\|_{p+1}].$$

Given  $p \geq 1$ , choose  $q \geq p$  such that

$$\forall k \exists C, d > 0 : \|e_j^*\|_q^{*1+d} \leq C \|e_j^*\|_k^* \|e_j^*\|_p^{*d} \forall j \geq 1.$$

Since  $\|\cdot\|_q^* \leq \|\cdot\|_p^*$ , the above inequality holds for every  $d' \geq d$ . Hence we may assume that  $Ckp^d \leq q^{1+d}$ , then

$$\begin{aligned} \|f\|_q^{1+d} &= \sup \left\{ \left(\frac{1}{q}\right)^n \sum_{j_1, \dots, j_n \geq 1} |\widehat{P_n f}(u_1 \otimes e_{j_1}, \dots, u_n \otimes e_{j_n})| \right. \\ &\quad \left. \times \|e_{j_1}^*\|_q^* \dots \|e_{j_n}^*\|_q^* : u_1, \dots, u_n \in W, n \geq 0 \right\}^{1+d} \\ &\leq \sup \left\{ \left(\frac{1}{Ckp^d}\right)^{\frac{n}{1+d}} \sum_{j_1, \dots, j_n \geq 1} |\widehat{P_n f}(u_1 \otimes e_{j_1}, \dots, u_n \otimes e_{j_n})| \right. \\ &\quad \times C^{\frac{n}{1+d}} \|e_{j_1}^*\|_k^{*1/1+d} \dots \|e_{j_n}^*\|_k^{*1/1+d} \\ &\quad \left. \times \|e_{j_1}^*\|_p^{*d/1+d} \dots \|e_{j_n}^*\|_p^{*d/1+d} : u_1, \dots, u_n \in W, n \geq 0 \right\}^{1+d} \\ &\leq \sup \left\{ (1/k)^n \sum_{j_1, \dots, j_n \geq 1} |\widehat{P_n f}(u_1 \otimes e_{j_1}, \dots, u_n \otimes e_{j_n})| \right. \\ &\quad \left. \times \|e_{j_1}^*\|_k^* \dots \|e_{j_n}^*\|_k^* : u_1, \dots, u_n \in W, n \geq 0 \right\} \\ &\quad \times \sup \left\{ (1/p)^n \sum_{j_1, \dots, j_n \geq 1} |\widehat{P_n f}(u_1 \otimes e_{j_1}, \dots, u_n \otimes e_{j_n})| \right. \\ &\quad \left. \times \|e_{j_1}^*\|_p^* \dots \|e_{j_n}^*\|_p^* : u_1, \dots, u_n \in W, n \geq 0 \right\}^d \\ &= \|f\|_k \|f\|_p^d \end{aligned}$$

for  $f \in \mathcal{H}(O_{B \hat{\otimes}_\pi s})$ .

Since  $B \hat{\otimes}_\pi s$  is quasinormale, according to Mujica [3] there exists a Frechet space  $F$  such that  $F' \cong \mathcal{H}(O_{B \hat{\otimes}_\pi s})$ . Combining this fact together with the inequality

$$\|\cdot\|^{1+d} \leq \|\cdot\|_k \|\cdot\|_p^d \text{ on } \mathcal{H}(O_{B \hat{\otimes}_\pi s}),$$

by virtue of Lemma 2.2 we obtain  $[\mathcal{H}(O_{B\hat{\otimes}_\pi s})]' \cong F''$  has  $(\Omega)$ . ■

Now we are able to prove Theorem 1.

(iii)  $\rightarrow$  (ii) is trivial.

(ii)  $\rightarrow$  (i). Fix  $x_0 \in K$ . Then the form

$$\varphi \rightarrow \varphi'(x_0)$$

defines a left inverse of the canonical map  $E' \rightarrow \mathcal{H}(K)$ . Hence  $E'' \in (\Omega)$ , this implies that  $E \in (\Omega)$ .

(i)  $\rightarrow$  (iii). Assume that  $E \in (\Omega)$ . By Vogt [5] there exists a continuous linear map  $R$  from  $B\hat{\otimes}_\pi s$  onto  $E$  for some Banach space  $B$ .

Let  $\{W_k\}$  be a neighbourhood basis of  $0 \in B\hat{\otimes}_\pi s$ . Then  $\{V_k = R(W_k)\}$  forms a neighbourhood basis of  $0 \in E$ . Lemma 2.3 gives

$$\forall p \exists q \exists C, d > 0 \forall f \in \mathcal{H}^\infty(W_p) : \|f\|_{W_q}^{1+d} \leq C \|f\|_{W_k} \|f\|_{W_p}^d.$$

Thus

$$(*) \quad \|g\|_{V_q}^{1+d} \leq \|g\|_{V_k} \|g\|_{V_p}^d \quad \forall g \in \mathcal{H}^\infty(V_p).$$

Next let  $K$  be an arbitrary compact set in  $E$ . From (\*) we deduce that

$$\|g\|_{K+V_q}^{1+d} \leq C \|g\|_{K+V_p} \|g\|_{K+V_p}^d \quad \forall f \in \mathcal{H}^\infty(K + V_p).$$

According to Mujica [3] there exists a Frechet space  $F$  verifying  $F' \cong \mathcal{H}(K)$  since  $E$  is quasinormale, invoke Lemma 2.2 we conclude that  $[\mathcal{H}(K)]' \cong F'' \in (\Omega)$ . ■

### 3. Proof of Theorem 2

**3.1. Lemma.** *Let  $E$  be a Frechet-Hilbert space with  $E \in (DN)$ . Then  $E$  is isomorphic to a subspace of the space  $l^2(I)\hat{\otimes}_\pi s$  for some index set.*

*Proof:* Choose an index set  $I$  such that  $E$  is isomorphic to a subspace of  $[l^2(I)]^N$ . Consider the exact sequence of nuclear Frechet spaces

$$0 \rightarrow s \rightarrow s \rightarrow \omega \rightarrow 0$$

constructed by Vogt [8]. By tensoring this sequence with  $l^2(I)$  we get the exact sequence of Frechet-Hilbert spaces [8],

$$0 \rightarrow l^2(I)\hat{\otimes}_\pi s \rightarrow l^2(I)\hat{\otimes}_\pi s \xrightarrow{q} [l^2(I)]^N \rightarrow 0$$

Let  $\tilde{E} = q^{-1}(E)$ . Since

$$0 \rightarrow l^2(I) \hat{\otimes}_{\pi} s \rightarrow \hat{E} \xrightarrow{q} E \rightarrow 0$$

is a exact sequence of Frechet-Hilbert spaces in which  $l^2(I) \hat{\otimes}_{\pi} s \in (\Omega)$  and  $E \in (DN)$  by Vogt [9]  $q$  has a right inverse. Hence  $E$  is isomorphic to subspace of  $\tilde{E}$  and hence of  $l^2(I) \hat{\otimes}_{\pi} s$ . ■

**3.2. Lemma.** *Let  $B$  be a Banach space. Then  $[\mathcal{H}(O_{B \hat{\otimes}_{\pi} s})]' \in (DN)$ .*

*Proof:* Let  $W$  denote the unit ball of  $B$ . Write the Taylor expansion of each  $f \in \mathcal{H}(O_{B \hat{\otimes}_{\pi} s})$  at  $0 \in B \hat{\otimes}_{\pi} s$

$$f(\omega) = \sum_{n \geq 0} P_n f(\omega).$$

Formally we have

$$\begin{aligned} f \left( \sum_{k \geq 1} \lambda_k u_k \otimes v_k \right) &= \sum_{n \geq 0} \sum_{k_1, \dots, k_n \geq 1} \lambda_{k_1} \dots \lambda_{k_n} \\ &\times \sum_{j_1, \dots, j_n \geq 1} \widehat{P_n f}(u_{k_1} \otimes e_{j_1}, \dots, u_{k_n} \otimes e_{j_n}) e_{j_1}^*(v_{k_1}) \dots e_{j_n}^*(v_{k_n}) \end{aligned}$$

for  $\omega = \sum_{k \geq 1} \lambda_k u_k \otimes v_k \in B \hat{\otimes}_{\pi} s$ .

For each  $p \geq 1$  as in Theorem 1 put

$$\| \| f \| \|_p = \sup \left\{ \frac{1}{p^n} \sum_{j_1, \dots, j_n \geq 1} |\widehat{P_n f}(u_1 \otimes e_{j_1}, \dots, u_n \otimes e_{j_n})| (j_1 \dots j_n)^{-p} \right. \\ \left. : n \geq 0, u_1, \dots, u_n \in W \right\}$$

and

$$F_p = \{ f \in \mathcal{H}(O_{B \hat{\otimes}_{\pi} s}) : \| \| f \| \|_p < +\infty \}.$$

Then

$$\mathcal{H}(O_{B \hat{\otimes}_{\pi} s}) \cong \lim_{\text{ind}} F_p.$$



In order to prove that  $[\mathcal{H}(O_{B \hat{\otimes} \pi s})]' \in (DN)$  we check that

$$(2) \quad \forall q \exists k, C > 0 : W_q \subset C s W_1 + \frac{1}{s} W_k \quad \forall s > 0$$

where for each  $q \geq 1$  put

$$W_q = \{f \in F_q : \|f\|_q < 1\}.$$

Obviously (4) holds for  $0 < s \leq 1$ . Let  $s > 1$ . Choose  $k = q^3$ .

We have

$$(3) \quad \begin{aligned} & \sup \left\{ \frac{1}{k^n} \sum_{j_1, \dots, j_n \geq 1} |\widehat{P_n f}(u_1 \otimes e_{j_1}, \dots, u_n \otimes e_{j_n})| (j_1 \dots j_n)^{-k} \right. \\ & \qquad \qquad \qquad \left. : n \geq \frac{\log s}{\log \frac{k}{q}}, u_1, \dots, u_n \in W \right\} \\ & + \sup \left\{ \frac{1}{k^n} \sum_{(j_1 \dots j_n) \geq s^{\frac{1}{k-q}}} |\widehat{P_n f}(u_1 \otimes e_{j_1}, \dots, u_n \otimes e_{j_n})| (j_1 \dots j_n)^{-k} \right. \\ & \qquad \qquad \qquad \left. : n \geq 0, u_1, \dots, u_n \in W \right\} \\ & \leq \sup \left\{ \frac{1}{q^n} \sum_{j_1, \dots, j_n \geq 1} |\widehat{P_n f}(u_1 \otimes e_{j_1}, \dots, u_n \otimes e_{j_n})| (j_1 \dots j_n)^{-q} \right. \\ & \qquad \qquad \qquad \left. : n \geq 0, u_1, \dots, u_n \in W \right\} \\ & \leq \sup \left\{ \left(\frac{q}{k}\right)^n : n \geq \frac{\log s}{\log \frac{k}{q}} \right\} + \sup \{(j_1 \dots j_n)^{q-k} : (j_1 \dots j_n) \geq s^{\frac{1}{k-q}}\} \\ & \leq \frac{2}{s} \text{ for } f \in W_q \end{aligned}$$

and

$$\begin{aligned}
 (4) \quad & \sup \left\{ \sum_{j_1 \dots j_n \leq s^{\frac{1}{k-q}}} |\widehat{P}_n f(u_1 \otimes e_{j_1}, \dots, u_n \otimes e_{j_n})| (j_1 \dots j_n)^{-1} \right. \\
 & \qquad \qquad \qquad \left. : n \leq \frac{\log s}{\log \frac{k}{q}}, u_1, \dots, u_n \in W \right\} \\
 & \leq \|f\|_q \sup \left\{ q^n (j_1 \dots j_n)^q : n \leq \frac{\log s}{\log \frac{k}{q}}, j_1 \dots j_n \leq s^{\frac{1}{k-q}} \right\} \\
 & \leq q^{\frac{\log s}{\log \frac{k}{q}}} s^{\frac{1}{q}} \leq s \text{ for } f \in W_q.
 \end{aligned}$$

From (3) and (4) it follows that

$$W_q \subset sW_1 + \frac{2}{s}W_k \quad \forall s > 0.$$

Now by Lemma 2.1 and 2.2 we can complete the proof of Theorem 2. Indeed, let  $E$  be a Frechet-Hilbert space with  $(DN)$ . By Lemma 2.1,  $E$  can be considered as a subspace of the space  $l^2(I) \hat{\otimes}_\pi s$  for some index  $I$ . Since  $l^2(I) \hat{\otimes}_\pi s$  has a fundamental system of Hilbert semi-norms, the restriction map  $R : \mathcal{H}(O_{l^2(I) \hat{\otimes}_\pi s}) \rightarrow \mathcal{H}(O_E)$  is surjective. Moreover it is easy to check that every bounded set in  $\mathcal{H}(O_E)$  is the image of a bounded set in  $\mathcal{H}(O_{l^2(I) \hat{\otimes}_\pi s})$  because of the regularity of  $\mathcal{H}(O_E)$  (see [1]). Thus  $R' : [\mathcal{H}(O_E)]' \rightarrow [\mathcal{H}(O_{l^2(I) \hat{\otimes}_\pi s})]'$  is isomorphic onto image. Hence, by Lemma 2.2 it follows that  $[\mathcal{H}(O_E)]' \in (DN)$ . Conversely, assume that  $[\mathcal{H}(O_E)]' \in (DN)$ . Since the form

$$\mathcal{H}(O_E) \ni f \mapsto f'(0) \in E'$$

defines a continuous linear map from  $\mathcal{H}(O_E)$  onto  $E'$  which is a left inverse of the canonical map  $E' \rightarrow \mathcal{H}(O_E)$ , it follows that  $E''$  is isomorphic to a subspace of  $[\mathcal{H}(O_E)]'$ . Hence  $E'' \in (DN)$ . On the other hand, since  $E$  is reflexive,  $E \cong E'' \in (DN)$ . ■

### 4. Proof of Theorem 3

By Vogt [8]  $E$  can be considered as a subspace of  $B \hat{\otimes}_\pi s$ , where  $B$  is some Banach space. Since every bounded set in  $E'$  can be lifted from  $E'$

to  $(B \hat{\otimes}_\pi s)' \cong B' \hat{\otimes}_\pi s'$  under the restriction map  $R : (B \hat{\otimes}_\pi s)' \rightarrow E'$ , it follows that  $\mathcal{H}_b(E')$  is isomorphic to a subspace of  $\mathcal{H}_b((B \hat{\otimes}_\pi s)')$ . Thus it remains to check that  $\mathcal{H}((B \hat{\otimes}_\pi s)') \cong \mathcal{H}_b(B' \hat{\otimes}_\pi s') \in (DN)$ .

Given  $p \geq 1$ . Take  $q > p$  such that

$$\delta = \sum_{j \geq 1} \|e_j^*\|_q^* \|e_j\|_p < \frac{1}{e^{2p}},$$

where

$$\|u\|_q^* = \sup\{|u(x)| : \|x\|_q \leq 1\}, \quad u \in s'.$$

Let  $W$  denote the unit ball of  $B'$ . Then for every  $f \in \mathcal{H}(B' \hat{\otimes}_\pi s')$  we have

$$\begin{aligned} & \sup \left\{ \sum_{j_1, \dots, j_n \geq 1} p^n |\widehat{P}_n f(u_1 \otimes e_{j_1}^*, \dots, u_n \otimes e_{j_n}^*)| \|e_{j_1}\|_p \cdots \|e_{j_n}\|_p \right. \\ & \qquad \qquad \qquad \left. : u_1, \dots, u_n \in W, n \geq 0 \right\} \\ &= \sup \left\{ \sum_{j_1, \dots, j_n \geq 1} p^n \left| \widehat{P}_n f \left( u_1 \otimes \frac{e_{j_1}^*}{\|e_{j_1}^*\|_q^*}, \dots, u_n \otimes \frac{e_{j_n}^*}{\|e_{j_n}^*\|_q^*} \right) \right| \right. \\ & \qquad \qquad \qquad \left. \times \|e_{j_1}^*\|_q^* \|e_{j_1}\|_p \cdots \|e_{j_n}^*\|_q^* \|e_{j_n}\|_p : u_1, \dots, u_n \in W, n \geq 0 \right\} \\ &\leq \sup \left\{ \frac{n^n p^n}{n!} \sum_{j_1, \dots, j_n \geq 1} \|e_{j_1}^*\|_q^* \|e_{j_1}\|_p \cdots \|e_{j_n}^*\|_q^* \|e_{j_n}\|_p : n \geq 0 \right\} \\ &\times \|f\|_{\text{conv}(W \otimes U_q^0)} \\ &\leq \sup \frac{n^n p^n}{n!} \left( \frac{1}{e^{2p}} \right)^n \|f\|_{\text{conv}(W \otimes U_q^0)} \\ &= C(p) \|f\|_{\text{conv}(W \otimes U_q^0)} \end{aligned}$$

where

$$C(p) = \sup \frac{n^n p^n}{n!} \left( \frac{1}{e^{2p}} \right)^n < \infty$$

and  $f(\omega) = \sum_{n \geq 0} P_n f(\omega)$  is the Taylor expansion of  $f$  at  $0 \in B' \hat{\otimes}_\pi s'$ .

Thus the form (1) defines a continuous semi-norms  $\| \cdot \|_p$  on  $\mathcal{H}_b(B' \hat{\otimes}_\pi s')$ . On the other hand, since

$$\begin{aligned} \|f\|_{\text{conv}(W \otimes U_p^0)} &= \sup \left\{ \left| f \left( \sum_{k \geq 1} \lambda_k u_k \otimes v_k \right) \right| : u_k \in W, v_k \in U_p^0, \sum_{k \geq 1} |\lambda_k| \leq 1 \right\} \\ &\leq \sup \left\{ \sum_{n \geq 0} \frac{1}{p^n} \sum_{k_1, \dots, k_n \geq 1} |\lambda_{k_1}| \dots |\lambda_{k_n}| \right. \\ &\quad \times \sum_{j_1, \dots, j_n \geq 1} p^n |\widehat{P_n f}(u_{k_1} \otimes e_{j_1}^*, \dots, u_{k_n} \otimes e_{j_n}^*)| \\ &\quad \left. \times \|e_{j_1}^*(v_{k_1})\| \dots \|e_{j_n}^*(v_{k_n})\| : u_k \in W, v_k \in U_p^0, \sum_{k \geq 1} |\lambda_k| \leq 1 \right\} \\ &\leq \sup \left\{ \sum_{n \geq 0} \frac{1}{p^n} \sum_{k_1, \dots, k_n \geq 1} |\lambda_{k_1}| \dots |\lambda_{k_n}| \right. \\ &\quad \times \sum_{j_1, \dots, j_n \geq 1} p^n |\widehat{P_n f}(u_{k_1} \otimes e_{j_1}^*, \dots, u_{k_n} \otimes e_{j_n}^*)| \\ &\quad \left. \times \|e_{j_1}^*\|_p \dots \|e_{j_n}^*\|_p : u_k \in W, v_k \in U_p^0, \sum_{k \geq 1} |\lambda_k| \leq 1 \right\} \\ &\leq \sup \left\{ \sum_{n \geq 0} \frac{1}{p^n} \sum_{k_1, \dots, k_n \geq 1} |\lambda_{k_1}| \dots |\lambda_{k_n}| : \sum_{k \geq 1} |\lambda_k| \leq 1 \right\} \|f\|_p \\ &\leq \left( \sum_{n \geq 0} \frac{1}{p^n} \right) \|f\|_p \text{ for } f \in \mathcal{H}_b(B' \hat{\otimes}_\pi s'). \end{aligned}$$

it follows that the topology of  $\mathcal{H}(B' \hat{\otimes}_\pi s')$  can be defined by the system of the semi-norms  $\{\| \cdot \|_p\}$ . Choose  $p \geq 1$  such that (DN) is satisfied. Then

$$\forall q \exists k \forall j \geq 1 : \|e_j\|_q^2 \leq \|e_j\|_k \|e_j\|_p.$$

Given  $q$ . Choose  $k$  such that the above condition holds and

$$q^2 \leq kp.$$

Then

$$\begin{aligned} \|f\|_q^2 &= \sup \left\{ q^n \sum_{j_1, \dots, j_n \geq 1} |\widehat{P}_n f(u_1 \otimes e_{j_1}^*, \dots, u_n \otimes e_{j_n}^*)| \|e_{j_1}\|_q \cdots \|e_{j_n}\|_q \right. \\ &\quad \left. : u_1, \dots, u_n \in W, n \geq 0 \right\}^2 \\ &\leq \sup \left\{ k^{\frac{n}{2}} p^{\frac{n}{2}} \sum_{j_1, \dots, j_n \geq 1} |\widehat{P}_n f(u_1 \otimes e_{j_1}^*, \dots, u_n \otimes e_{j_n}^*)| \right. \\ &\quad \left. \times \|e_{j_1}\|_k^{\frac{1}{2}} \cdots \|e_{j_n}\|_k^{\frac{1}{2}} \|e_{j_1}\|_p^{\frac{1}{2}} \cdots \|e_{j_n}\|_p^{\frac{1}{2}} : u_1, \dots, u_n \in W, n \geq 0 \right\}^2 \\ &\leq \sup \left\{ k^n \sum_{j_1, \dots, j_n \geq 1} |\widehat{P}_n f(u_1 \otimes e_{j_1}^*, \dots, u_n \otimes e_{j_n}^*)| \|e_{j_1}\|_k \cdots \|e_{j_n}\|_k \right. \\ &\quad \left. : u_1, \dots, u_n \in W, n \geq 0 \right\} \\ &\quad \times \sup \left\{ p^n \sum_{j_1, \dots, j_n \geq 1} |\widehat{P}_n f(u_1 \otimes e_{j_1}^*, \dots, u_n \otimes e_{j_n}^*)| \|e_{j_1}\|_p \cdots \|e_{j_n}\|_p \right. \\ &\quad \left. : u_1, \dots, u_n \in W, n \geq 0 \right\} \\ &= \|f\|_k \|f\|_p \text{ for } f \in \mathcal{H}_b(B' \hat{\otimes}_\pi s'). \end{aligned}$$

Consequently  $\mathcal{H}_b(B' \hat{\otimes}_\pi s') \in (DN)$ . ■

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