RELIGIOUS PERSPECTIVES IN SOVIET PROSE FICTION 1964-1988

The Animist/Totemist Dichotomy

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ABSTRACT

The thesis explores religious themes in selected examples of Soviet prose fiction published officially between 1964 and 1988.

In Part I the threefold relationship between religion, literature and political ideology is considered. It is proposed that religious models applied by Soviet writers may be helpfully related to an anthropological debate on the nature and development of religion, based on the theories of Edward B. Tylor and Emile Durkheim. Briefly, it is shown how the animist/totemist dichotomy highlighted by this controversy may be applied to Russian religious thought before the 1917 Revolution and to the literature of the Soviet era.

Part II points to animist elements in writings by Valentin Rasputin, Chabua Amiredzhibi and Daniil Granin. It draws attention to the connection between Rasputin's religious vision and traditional Siberian beliefs, particularly those of the Buryat. Chabua Amiredzhibi's novel <u>Data Tutashkhia</u> is analysed with reference to Zoroastrian thought. Daniil Granin's 'Kartina' ('The Picture') serves as an example of a work in which notions of art and beauty take on an animist quality.

Part III pays attention to literature revealing a tension between the animist vision and the totemic

understanding of religion. It argues that early fiction by Chingiz Aitmatov reflects aspects of pre-Islamic Central Asian religious tradition. 'Komissiia' ('The Commission'), a novel by Sergei Zalygin, is treated as a work which asks how individual, spontaneous needs may be accommodated within a system of social and moral order. The writing of Vladimir Tendriakov offers a further example of a vision divided between an awareness of psychological dilemmas and loyalty to familiar sociological models.

Part IV shows how Durkheim's theory of religion as an expression of collective self-consciousness may be related to ideas in works by the Russian nationalist writers: Iurii Bondarev, Sergei Alekseev and Vasilii Belov. It suggests that particular examples of fiction by Petr Proskurin, Chingiz Aitmatov and Vladimir Tendriakov indicate a renewed interest in the God-building ideas of Maksim Gor'kii and Anatolii Lunacharskii.

In conclusion, the thesis argues that the alternative religious vision introduced by Soviet writers between the fall of Khrushchev and the Millennium of Christianity in Rus' served as a model for society's subsequent reorientation and for new discourse under <u>perestroika</u>.

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Note

In transliteration, I have followed conventions set by the Library of Congress with one small modification: the Russian letters ->, -e and -ë are all expressed by the English -e. I have retained the standard English spelling of names of Russian writers and academics who live and publish in the West (e.g. Brodsky, Pospielovsky, Yanov).

References are given in footnotes at the end of each chapter. Titles of works consulted in journals or in collected volumes are cited in inverted commas.

Sections of Chapters 3, 9 and 10 have been included in the following articles:

Irena Maryniak, 'Truthseekers, Godbuilders or Culture Vultures? Some Supplementary Remarks on Religious Perspectives in Modern Soviet Literature', <u>Religion in Communist Lands</u>, vol. 16, No. 3 (1988) pp. 227-36.

Irena Maryniak, 'Valentin Rasputin, die neue Rechte und das Religiöse', <u>Osteuropa</u>, No. 3 (1990) pp. 210-18.

Irena Maryniak, 'The New God-builders', in Richard Freeborn and Jane Grayson, eds, <u>Ideology</u> <u>in Russian Literature</u> (London, 1990) pp. 188-204.

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FOREWORD

The present <u>Foreword</u> is also a health warning. It explains the purpose of this study, but does so, partly, to account for areas not covered in it.

In exploring the significance of religious ideas and images in Soviet prose fiction published in the years following the fall of Khrushchev and before <u>glasnost</u>' came fully into its own, I do not claim to offer a final interpretation of the texts. My purpose has not been literary 'theology'. Nor, for that matter, has it been analysis in the sense that modern literary theory tends to understand it. I have not sought to dismantle the structure of narratives, or to expose the pattern of constant relationships within them. Instead, I have tried to retrieve what may be an important, if at times hidden, level of meaning in each work, inviting the reader to consider its implications in the context of a coherent piece of literature.

Some account has been taken of the 'intertextual' aspect of the works covered, their discourse with other writings of the nineteenth and early twentieth centuries. However, this has been introduced only where it serves to exemplify religious perspectives in the novels or stories discussed.

In order to highlight what may be the most salient dilemma of philosophical and religious thought in Soviet

prose of the late 1960s, 1970s and early 1980s, the study has been framed by a long-standing anthropological controversy over the nature and origins of religion. The two schools of thought in question (represented by Edward B. Tylor and Emile Durkheim) differ over whether religion should be understood as a psychological or a sociological phenomenon. The divergence arises on the basis of dated, but none the less pertinent, studies carried out on 'primitive' religious cultures in the late nineteenth and early twentieth centuries.

After the 1917 Revolution atheism was promulgated as official Soviet doctrine. Thereafter, authors seeking to touch upon religious issues had only their intuitions, oral tradition and scant available reading to fall back on. Religious teaching was illegal. Consequently, writers had to start from scratch; their experience of the religious impulse may display a quality that is more spontaneous (or 'primitive') than it does in societies where religious institutions remain firmly established. A comparison between the religious vision of Soviet writers and that reflected in undeveloped religious cults has, then, some degree of justification and may help to reveal tensions generically inherent in many, if not all, religious traditions.

PART I: INTRODUCTION

Chapter 1. The Religious Impulse and the Narrated Tale

(i)

The spectacle of religious practice past and present is a puzzle and a humiliation for human intelligence, Henri Bergson remarked in <u>The Two Sources of Morality and</u> <u>Religion (1935):</u>

> Experience may indeed say 'that is false', and reasoning 'that is absurd'. Humanity only clings all the more to that absurdity and that error... We find in the past, we could find to-day, human societies with neither science nor art nor philosophy. But there has never been a society without religion.¹

Despite sporadically ruthless attempts in the Soviet Union, and other ideocratic societies, to stifle religious sentiment and superimpose ideological structures on religious ones, the substance of Bergson's observation still holds good. Recent history has confirmed that in ostensibly atheist social environments religious faith continues to flourish underground.² In better developed, more 'open' societies, modernity and individualism may have undermined the moral influence of religious teaching, but it remains broadly true that religious institutions have maintained their role as inductors into the community.3

Bergson formulated his explanation for the prevalence

of the religious phenomenon by proposing that religion, being co-extensive with our species, must be inherent in our structure.4 The urge to create religious forms is an aspect of an instinct, a vital impulse which, combined with intelligence, ensures man's survival and his evolutionary development. It does so by activating in man a defence mechanism which protects him from his own innate intelligence: from those egotistical initiatives which might endanger the social structure.⁵ Further, it shades him from the intellectual awareness of the inevitability of death, and from a realisation of the presence of forces outside his intelligence which can hinder the achievement of ends he sets himself.⁶ This instinctual defensive reaction preserves social unity, protects man from discouragement or fear, and is related to the faculty of imagination. In critical conditions, the imagination opposes to intelligence the symbolic representations which lie at the root of superstition and religion.⁷ The act which produces these representations Bergson calls 'mythmaking' or 'fiction'.⁸ Religion, superstition, myths and stories are aspects of a psychological, defensive reflex to those cognitive powers which give man the ability to realise the hopelessness of his estate.

Bergson's interpretation of the psychological mechanics of spiritual and literary creativity points clearly to the connection which many anthropologists have

made between the religious impulse and myth, or the narrated tale. Each is an imaginatively ordered expression of the human condition: the formulation in language of a complex of ideas which carries a meaning relating to the cosmic structure and man's position within it.

In terms of Iurii Lotman's semiotic definition of language as any ordered communication system which employs signs, religion and narrative fall into a single category.⁹ Both are secondary modelling systems ('vtorichnye modeliruiushchie sistemy'): communication structures built as superstructures upon a natural linguistic plane ('kommunikatsionnye struktury, nadstraivaiushchiesia nad estestvenno-iazykovym urovnem').¹⁰ They share a common foundation (natural language), the same purpose (communication), and a like function as carriers of meaning within a system which expresses relationships existing between the natural or physical environment, and the psychic or spiritual sphere.

In common, unrestricted use, the term 'myth' may imply no more than a traditional story.¹¹ But of those writers who have seen in 'mythical' narratives a direct expression of the religious sense, Ernst Cassirer, for example, writes:

> In the development of human culture we cannot fix a point where myth ends or religion begins. In the whole course of its history religion remains indissolubly connected and penetrated with mythical elements. On the other hand myth, even in its crudest and most rudimentary forms, contains some motives that in a sense anticipate

the higher and later religious ideals. Myth is from its very beginning potential religion.¹²

Religion and mythical (or traditional) fictional narratives have in common a linguistic base and their role 88 modelling systems for information about linkages between man and his world. If both are born of imagination, they also demand an imaginative response. As textual structures they call for a creative reply within the framework of the language system used. But because they pertain to imagination, not experience, they can transcend the limitations of knowledge, conditioning and temporality. Indeed, why else should the Bible, the Koran or the ancient Vedic scriptures of India enjoy such continuing appeal in the last decades of the twentieth century?

In an article on C. G. Jung's views on the creative imagination, Sergei Averinstev has argued that there exists an objective mythological structure which a piece of literature may, or may not, possess.¹³ The quality of myth is to be found in primary patterns of ideas which lie at the base of the most complex artistic structures: universal models which can be detected in the visual or narrative formulations of the creative mind.¹⁴

Jung proposed some of the most far-reaching psychological explanations of the existence of such models embracing myth, religion, dream, fantasy and art. He considered the existence of unconnected, but recurring,

motifs and patterns in the myths and religious beliefs of peoples throughout the globe, as well as in dreams and fantasies of people in the modern world unfamiliar with mythology. He explained such recurrences by the notion of a group or 'collective' unconscious, existing beyond the bounds of the individual psyche. From this level of the unconscious, he argued, issue 'archetypal' structures which <u>a-priori</u> determine the shape of human ideas.¹⁵ Reaching the more surface level of the conscious mind, the archetype is shaped into an image. And when formulated externally, it has the power fully to absorb the attention. The gift of the artist and of the seer is, therefore, a special sensitivity to the archetype and to its most perfect expression.¹⁶

If art and literature are essentially externalisations of the archetypes, so is myth: the story or image which possesses a 'typical', timeless formula and touches on the simplest, most deeply felt qualities of existence. Averintsev quotes Jung's contemporary, Thomas Mann, writing on the tetralogy Joseph und seiner Brüder (Joseph and his Brothers, 1933-43) which explores the emergence of individual personality from the tribal collective:¹⁷

> В типичном всегда есть очень много мифического, мифического в том смысле, что типичное, как и всякий миф, это изначальный образец, изначальная форма жизни, вневременная схема, издревле заданная формула, B которую осознающая себя жизнь, смутно укладывается стремящаяся вновь обрести некогда

предначертанные ей приметы. 18

As Mann understands it, that which marks out the myth from a lesser narrative is a primary formula present in life as in art, creating a connection between life and art: between what is and the creative expression of what is. If this is so, then the link which myth offers by presenting universally familiar experiences in a way which gives them, and life itself, a satisfying pattern, may suggest why it has been so closely linked with religious structures.

Myth has been said to be an expression of man's cosmic orientation¹⁹, to 'express in action and drama what metaphysics and theology define dialectically'²⁰, 'to narrate a sacred history'²¹ and to establish norms for living.²² But in the end, the archetypal narrative must be defined by its function: to be the cosmological, historical and moral bridge between a temporal order and an eternal order, a role it shares with religion.

Presenting, as it does, a textual model by which life may be understood, myth makes demands. On the one hand it sets something apart as sacred: the text itself, something or someone within it, or an idea. It creates a polarity between that which is set apart as absolute, inviolable, eternal, and that which is profane. On the other hand it calls for an unconditional suspension of disbelief, a revision of one's sense of life's linkages and, finally,

action: the endeavour to bring the sacred quality (in whatever form) into the profane world.

As Mircea Eliade has suggested, myth is a gesture towards the realisation of the eternal order within the temporal. It is an 'exemplar history', he writes, which 'must...be seen in relation to primitive man's tendency to effect a concrete realization of an ideal archetype, to live eternity "experientially" here and now'.²³

Eliade notes too that the urge to imitate a mythical archetype - to have the profane world realise a transcendent reality - which he sees as characteristic of traditional societies, may have parallels in some social and religious trends of the modern world: in messianic movements, for instance .24

Katerina Clark has observed a striking similarity between this perception of the world and that reflected in the official rhetoric of the Soviet Union in the 1930s. Eliade depicts a dual sense of reality characteristic of primitive cultures, with traditional man looking on the one hand to a mythic Great Time and, on the other, recognising the present as a form of profane time. The physical world gains its true quality to the degree that it establishes communion with the higher reality.25 There appears to be a neo-Platonic duality in things: the sacred lives in myth, the profane must find its way to becoming a part the myth. A similar scheme characterises of

socialist realist literature. The Soviet novel, Clark has shown, suffers from an inherent 'modal schizophrenia'. It seeks to juxtapose 'what is' and 'what ought to be', to combine two diametrically opposed systems of evaluating the world: the imperfect, incomplete reality of the here and now, and a perfect, harmoniously structured reality which is immanent, attainable but not yet arrived.²⁶

The traditional Soviet novel is intrinsically a mythological genre. It sets out to bridge the gap between an imperfect world order and a perfect one, according to a loosely specified model.²⁷ In its heyday, Clark writes, its dual role was to provide a parable for the working out of Marxist-Leninist ideology in history, and to be itself a myth for maintaining the social and political <u>status</u> <u>quo</u>.²⁸

True mythology, according to the religious anthropologist Joseph Campbell, may be identified by its four specific functions. One is to present a cosmology, an image of the universe.²⁹ Another is the validation of an established order.³⁰ A third role is 'the centering and harmonization of the individual', traditionally through submission or the giving up of oneself to a higher authority.³¹ But the primary function of 'a living mythology', Campbell writes, the 'properly religious function' is:

to waken and maintain in the individual an experience of the awe, humility, and respect, in recognition of that ultimate mystery, transcending names and forms, 'from which', as we read in the Upanishads, 'words turn back'.³²

The socialist realist novel proper fulfils all the roles Campbell prescribes for myth, with the exception of the last. It lacks, not an absolute (for its narrative illustrates the all-encompassing Marxist-Leninist ideological structure), but the recognition of a point of reference beyond expression or definition.

Marxism-Leninism offers a doctrine which is social, historicist and teleological.³³ Like a religion, it purports to reveal the design of human life, and offers a final answer to its purpose. This absolutist quality gives Marxism-Leninism some of the characteristics of a religious orientation and may, as Dimitry Pospielovsky has suggested, encourage their development.³⁴

However, more than seventy years after the October Revolution, experience, it has been argued, has proven religion and ideology to be psychologically incompatible. Both may lay claim to supreme authority over individual life, Aleksandr Zinov'ev writes, but their effect is entirely different. Religious belief penetrates the personality and is reflected in moral behaviour. Ideology is but a superficial garb donned for practical expediency:

> Коммунистическая идеология, как и религия, претендует на роль духовного пастыря людей. Но она...принципиально отлична от религии. Психологическую основу религии образует вера, а идеологии – формальное принятие....Состояние

веры есть некое первоначальное психическое состояние человека, не предполагающее никаких логических доказательств и экспериментальных подтверждений тех положений, в которые верят, и не предполагающее также внешнего принуждения. Это внутренняя предрасположенность 'признать' нечто как существующее, истинное, должное...Вера есть одна из способностей на основе человека, которой вырастает способность к религиозному состоянию психики и к формам религиозным поведения...Идеология принимается разумом и из осознанного или подсознательного расчета последствий своего поведения и лучших условий жизни....Религия проникает в души людей и проявляется в их поведении. Идеология есть чисто внешнее средство в поведении людей, а не само поведение. Поведение определяется другими силами (а именно законами коммунальности). Идеология дает им направление и оправдание. Она не входит в души людей. Нет внутренней потребности в идеологии.35

As a construct superimposed on human psychology and on its essential creative nature expressed in archetypes, ideology must, of necessity, come into conflict with art. If art - particularly literature - is a system of communicative expression, or a language rooted in the unconscious, then its relationship to any ideological superstructure inevitably confines and ultimately muzzles its voice.

While not being 'mythological' in any true sense, socialist realist narrative also lacks the qualities of a 'literary' text. Because it is bound to illustrate an established doctrine it cannot - if one follows Lotman's definition of art - be a 'generator of languages', a complex of superimposed linguistic models in dialogue with one another.³⁶ The novel, Salman Rushdie argued in his

Herbert Read Memorial Lecture (1990), 'has always been about the way in which different languages, values and narratives quarrel, and about the shifting relations between them.'³⁷

During the critical and exploratory period in the development of Soviet literature between the removal of Khrushchev in 1964 and the 1988 celebrations of the Millennium of Christianity in Rus', however, the range of ideas and languages in fiction proliferated considerably. The Soviet critic Galina Belaia has remarked on the increased tendency of writers in the 1960s and 1970s to identify their characters by an individual manner of speech reflecting their personality and background. She has noted too that the narrative voice shifted away from the omniscient story-teller to independent personalities speaking from within the narrative structure. Diegesis was slipping into mimesis. Or, to introduce the presently fashionable vocabulary of Mikhail Bakhtin, 'monologic' 'dialogic', prose was becoming perhaps even 'polyphonic'.38

> Всеведущий автор как бы ушел в тень – на авансцену вышел герой, и его видение мира, его сознание ('чужое' сознание) стало опорой структуры прозы.³⁹

In addition, Soviet prose of the Brezhnev years saw the return of a secondary language which was traditionally enmeshed into the structure of pre-Revolutionary Russian literature: that of religion. It appeared in references

to local religious cults and in suggestions of a personalised spiritual dimension present and active in human life. It was also, more obviously, evident in allusions to the traditions of the Great Religions.

The introduction of religious models in a subtextual discourse with atheist ideology helped Soviet literature to free itself from the unitary language imposed on it by the socialist realist canon.⁴⁰ Within the framework of the plot it became possible to conduct an ideological dialogue where voices from the past, writers, philosophers and the bearers of religious and cultural tradition, might challenge the unassailable ideological model. This also paved the way for the eventual publication within the Soviet Union of literary works by the first writers to break ranks with socialist realism who were widely read only in the West (Solzhenitsyn and Pasternak are the most frequently cited examples). However, the new, discursive quality in Soviet prose also brought with it at times a restatement of ideological values couched in a different language. With new models to hand, the ideology of the collective could be expressed as well in religious terms as in secular ones.

Ensuing chapters will highlight the presence of religious language within a selection of literary texts, and suggest layers of meaning which familiarity with that language can reveal. In particular, it will be proposed

that the use of religious models by Soviet writers can be usefully related to a continuing anthropological debate on the nature of religious phenomena.

This controversy is based on the writings of two anthropologists, Edward B. Tylor (1832-1917) and Emile Durkheim (1858-1917), and may be summarised as follows. In 1871, Tylor published a two volume study on tribal culture Primitive Culture, mooting that and religion, all religions developed from man's psychological tendency to believe in souls or spirits. Four decades later, his idea was opposed by a sociological theory put forward in Durkheim's Les formes élémentaires de la vie religieuse (The Elementary Forms of the Religious Life, 1912). Durkheim argued that religion was an expression of the collective's consciousness of itself, rooted in the urge to comply with and strengthen the bonds of the group:

> A society has all that is necessary to arouse the sensation of the Divine in minds, merely by the power it has over them; for to its members it is what a god is to its worshippers.⁴¹

The remainder of this study will illustrate how religious models used by Soviet writers can be seen to fit into the framework of this still unresolved debate.

(ii)

The disagreement among anthropologists hinges on the question of how (as Bergson put it) 'beliefs and practices

which are anything but reasonable could have been, and still are, accepted by reasonable beings'.42 While examining religion in its simplest forms, free of the trappings of intellectual or economic development, theTylor/Durkheim controversy offers two apparently incompatible ways of understanding the religious impulse and religious culture: one psychological - pertaining to the individual; the other sociological - relating to the group. When considered in conjunction with the complexities of literary expression, the dispute also confirms that manifestations of the religious impulse be satisfactorily relegated to cannot any single definition, psychological or sociological. A psychological manifestation may become a sociological one and vice versa. The urge to a belief in spiritual beings may override social pressures; the inverse may also occur.

Tylor's theory of religion was one of progressive development from a postulated lowest form which he termed 'animism', the belief in souls or spirits. He noted parallels between unconnected religious cults, and argued that these reflected an inherent similarity in the reasoning of all human beings, irrespective of their habitat or race. The laws of reason, applied to particular phenomena similar throughout the world (such as the rising and setting of the sun) would lead all men to similar conclusions:⁴³

All human beings are in body and mind so much of

one pattern that each can judge others by reference to his own understanding, intention, and will, and by the consciousness conveyed by language.⁴⁴

Tylor's understanding of the primitive mind was that it was undeveloped but rational - a view well in harmony with the prevailing ideas of his time.45 Religion, he argued, was rooted in the urge to reason out the nature of the world, and particularly to explain such phenomena as death, disease, trances, dreams and visions. Man's reflections on these had led him to create a distinction between the mortal body and the soul which, man assumed. lived on after death and haunted survivors in memories and visions. Tylor went on to say that primitive man had a natural tendency to imagine the world in his own image. Since animals, plants and objects moved about, behaved, helped or hindered him, man would naturally assume that endowed with souls or they too were inhabited by independent spirits. Belief in spiritual beings constituted Tylor's minimum definition of religion. These, he argued, eventually developed into gods, beings superior to man and in control of his destiny.46

Tylor took his argument further still and considered the developmental association between the notion of the soul and the philosophy of ideas. In his conclusion to the first edition of Primitive Culture he writes:

> A special point brought forward in this work... (is) that one of the greatest of metaphysical doctrines is a transfer to the field of philosophy from the field of religion, made when

philosophers familiar with the conception of object-phantoms used this to provide a doctrine of thought, thus giving rise to the theory of ideas.⁴⁷

By way of example, Tylor cites a theory of thought of the Greek philosopher Democritus, who explained perception by the notion that things were constantly throwing off images of themselves. The images assimilated the surrounding air, entered a recipient soul and were thus perceived. This, Tylor writes, is 'really the savage doctrine of object-souls, turned to a new purpose as a method of explaining the phenomena of thought'.48

In this way the notion of the soul, modified to form a philosophical theory of perception, developed into a doctrine of ideas. The Roman philosopher-poet Lucretius, Tylor points out, also formulates a theory of film-like images of things to account both for the apparitions which come to men in dreams and the images which impress their minds in thinking. Even modern thought remains affected by the notion of an idea being a real image:

> Ideas, fined down to the abstract forms or species of material objects, and applied to other than visible qualities, have at last come merely to denote subjects of thought. Yet to this day the old theory has not utterly died out, and the retention of the significant term 'idea' ($i\delta \epsilon \alpha$, 'visible form') is accompanied by a similar retention of original meaning.⁴⁹

It is with particular reference to Tylor's theory that I have chosen to discuss three Soviet writers whose vision suggests the existence of spiritual beings in

creatures, places and objects, or in ethical formulations and intellectual representations. In Valentin Rasputin's 'Proshchanie s Materoi' ('Farewell to Matera', 1976), for example, the island of Matera hosts its own spirit.⁵⁰ Chabua Amiredzhibi's insights into truth and falsehood, good and evil, are respectively personified in the figures of Data Tutashkhia and his 'double' Mushni Zarandia (<u>Data Tutashkhia</u>, 1976-77).⁵¹ Daniil Granin's eponymous painting ('Kartina', 1980) possesses a soul which decisively affects human lives, being itself an image of the spirit of beauty.⁵²

The animist elements in selected works by these three writers are examined in Part II. They may be seen as reflections of the spontaneously formulated religious impulse or, I would tentatively suggest with the religious anthropologist Rudolf Otto, as subsidiary models which help to grasp a preceding experience:

> Representations of spirits and similar conceptions....are attempts in some way or other...to guess the riddle it propounds, and their effect is at the same time always to weaken and deaden the experience itself. They are the source from which springs, not religion, but the rationalization of religion, which often ends by constructing such a massive structure of and such a plausible fabric theory of interpretation, that the 'mystery' is frankly excluded.53

Tylor saw man, at his most 'primitive' as an aspiring philosopher, a noble savage given to the creation of

intellectual formulations. But a number of his younger contemporaries refuted or amended this view. James G. Frazer (1854-1941), for instance, argued that man was more concerned with practicalities than with reasoning. Religious forms had evolved from magical ones in man's 'search for general rules whereby to turn the order of natural phenomena to his own advantage', he wrote.⁵⁴ Religion, however, introduced a conscious, personal agent into the pattern of immutable laws assumed by magic. It was:

> a propitiation or conciliation of powers superior to man which are believed to direct and control the course of nature and of human life.⁵⁵

Other anthropologists appear to have built on this idea. R. R. Marett (1866-1943), also advocated a preanimist stage in religious development which could not be separated from magic. Undeveloped peoples have a sense that there exists a mysterious, invisible but active power, <u>mana</u>, which belongs to certain persons and objects. It is this, Marett felt, that constitutes the earliest manifestation of a religious cult: of the separation of the sacred (that which possesses <u>mana</u>) from the profane (which does not).⁵⁶ In psychological terms, Marett also argued, magical acts are a form of emotional catharsis and help to neutralise internal tensions. Magic, he wrote, is 'a substitute activity in situations in which practical means to attain an end are lacking.'⁵⁷ Religion in its

earliest stages of development is the ritualised release of pent-up feeling.

Frazer and Marett shared the idea that primitive man had a sense of nature's laws and sought to establish a satisfactory or improved relationship with them through collectively recognised ritual performances. These symbolically acted out the desired state of affairs and helped to release emotional stress. In Part III, works by Chingiz Aitmatov, Sergei Zalygin and Vladimir Tendriakov are considered with reference to this idea through shamanistic techniques, and the cosmic order that shamanism postulates. This may illustrate the tension between psychological and sociological interpretations of the religious phenomenon. Is religion the reaction of the solitary thinking being to the unknown and the incomprehensible? Does it stem from an urge to propitiate the powers inherent in the natural world? Is it a way of releasing pent-up emotion through the medium of commonly acknowledged symbols, a formalised collective expression of the trauma of the human condition? Does it, in fact, pertain predominantly to the individual or to society?

The approach Emile Durkheim adopted to the problem of religion combined scientific observation with sociological theory. While drawing on material gathered from the observation of Australian Aborigines and North American

Indians, he developed a comprehensive thesis on the dynamic of collective behaviour. His purpose, he wrote in the introduction to <u>The Elementary Forms of the Religious</u> <u>Life</u>, was above all 'to explain present reality', and also to expose what he believed to be the universal truth behind all religious models.⁵⁸ Primitive religious culture served well as an object for examination since in it the group was more uniform, individuality less developed, and fundamental states of the religious mentality easier to identify.⁵⁹

Durkheim held, like Marett, that primitive peoples perceived a force immanent in the world diffused in an innumerable multitude of things (<u>mana</u>), and conceived of it in the form of a sacred animal or plant which was their totemic god. The single factor common to all religious beliefs, Durkheim wrote, was their classification of things real and ideal into two distinctive and radically contrasted categories:⁶⁰

> The sacred and the profane have always and everywhere been imagined by the human mind as separate genera, two worlds which have nothing in common.⁶¹

Any passage made by a selected initiate from the profane world into the sacred involves nothing less than total metamorphosis, 'a transformation <u>totius substantiae</u>'.⁶²

Sacred things were identified by being protected and isolated by interdictions expressed in ritual rules of conduct prescribing behaviour towards them. A religion,

Durkheim wrote:

is a unified system of beliefs and practices relative to sacred things, that is to say, things set apart and forbidden, beliefs and practices which unite into one single moral community called a church all those who adhere to them. The second element which thus finds a place in our definition is no less essential than the first; for in showing that the idea of religion is inseparable from the idea of a church, it foreshadows the fact that religion must be a pre-eminently collective thing.⁶³

The very idea of the sacred, Durkheim maintained, was of social origin and could be explained only in sociological terms. Its influence on individual, original minds was only by way of secondary effect. The forms taken by the notion of the sacrosanct could only be understood in relation to the public institutions of which they are the extension.⁶⁴ The sacred was on the one hand a mental conception locating and objectifying the complex of external and intangible social forces which form man, help him, protect him and threaten him.⁶⁵ On the other, its underlying purpose was to unify societies and stemmed from the urge to group cohesion and preservation. The most primitive form of religion, totemism, was a direct expression of this.66 Totemic symbols embody in the first instance the mysterious impersonal power which pervades things in nature, and in the second instance the clans which venerate them.⁶⁷ Durkheim writes:

> The totem is above all a symbol, a material expression of some other thing.... On the one hand, it is the external and tangible form of what we call the totemic principle or god. On the other hand, it is also

the symbol of that particular society which we call the clan. It is the flag. It is the sign by which each clan distinguishes itself from the clans, other the visible mark of its personality....If, then, it is at once the symbol of god and of society, is this not because god and society are one and the same thing?...The god of the clan, the totemic principle, cannot therefore be anything other clan itself than the hypostasized and represented to the imagination in the form of the tangible species of vegetable or animal which serves as the totem.68

If God and society are the same thing, then every group will create gods tailored to its own needs, reflecting its own internal dynamic. In that, modern society differs little from its primitive counterpart:

> As much today as in the past, we see society ceaselessly creating sacred things out of nothing....

> As well as men, society sanctifies ... ideas. The moment a belief is unanimously shared by a group of people, it is forbidden...to deny or dispute it. The prohibition of criticism is a prohibition like any other and proves that we are face to face with a sacred thing.⁶⁹

Durkheim's views on the projection of collective consciousness into the sphere of religion and ideas have particular bearing on writers whose work shows evidence of strong nationalist sympathies or state concerns. They are also relevant to writers whose work suggests that they view the idea of a deity as a useful or necessary social tool. Part IV of this analysis explores ways in which Durkheim's thesis may be related to the thinking of Russian nationalist writers such as Iurii Bondarev, Sergei Alekseev and Petr Proskurin, and to neo-religious, 'God-

building' ideas expressed in some late writing by Aitmatov and Tendriakov.

'Man's concept of the Absolute can never be completely uprooted: it can only be debased', Eliade remarks in <u>Patterns in Comparative Religion</u>:

> Primitive spirituality lives on in its own way not in action, not as a thing man can effectively accomplish, but as a <u>nostalgia</u> which creates things that become values in themselves; art, the sciences, social theory, and all the other things to which men will give the whole of themselves.⁷⁰

The forthcoming chapters will trace the shape of this nostalgia in the last decades of a becalmed ideological culture which, for half a century, had perceived religious thought as a target for elimination.

Notes to Chapter 1

1. Henri Bergson, <u>The Two Sources of Morality and</u> <u>Religion</u>, trans. R. Ashley Audra and Cloudesley Brereton with the assistance of W. Horsfall Carter (London, 1935) p. 83.

2. The history of Soviet atheism, Dimitry Pospielovsky writes, is the story 'of the grand ambition of Marxist-Leninist ideology to reshape existing forms of social consciousness, to reorganise and redirect people's beliefs. In addition, it is the story of the actual failure of the Communist Party to eradicate the faith in God and organised religions in the USSR.' Dimitry V. Pospielovsky, <u>A History of Marxist-Leninist Atheism and Soviet Antireligious Policies: Volume 1 of A History of Soviet Atheism in Theory and Practice, and the Believer, 3 vols (Basingstoke-London, 1987) p. 7.</u>

3. Jonathan Sacks, 'The Demoralisation of Discourse: 1990 Reith Lectures 2 ', <u>The Listener</u>, 22 November 1990, pp. 9-11.

4. Bergson, <u>Morality and Religion</u> (1956) p. 176, quoted in E. E. Evans-Pritchard, <u>Theories of Primitive Religion</u> (Oxford, 1965) p. 116.

5. Religion, Bergson writes, is 'a defensive reaction of Nature against the dissolvent power of intelligence'. Bergson, <u>Morality and Religion</u> (1935) p. 101. For a brief summary of Bergson's argument see E. E. Evans-Pritchard, <u>Primitive Religion</u>, pp. 115-17.

6. Bergson, Morality and Religion, pp. 109, 117.

7. Evans-Pritchard, Primitive Religion, p. 116.

8. Bergson, Morality and Religion, p. 88.

9. Lotman describes language as 'liubaia uporiadochennaia sistema, sluzhashchaia sredstvom kommunikatsii i pol'zuiushchaiasia znakami'. He also writes:

> Мы можем говорить как о языках не только о русском, французском или хинди и других, не только об искусственно создаваемых разными науками системах, употребляемых для описания определенных групп явлений (их называют 'искусственными' языками, или метаязыками данных наук), но и об обычаях, ритуалах, торговле, религиозных представлениях.

Iu. M. Lotman, <u>Struktura khudozhestvennogo teksta</u> (Providence, 1971) pp. 11, 13.

10. Ibid., p. 16.

11. G. S. Kirk, <u>Myth: Its Meaning and Functions in Ancient</u> and Other Cultures (Cambridge-Berkeley, 1970) p. 28.

12. Ernst Cassirer, <u>An Essay on Man</u> (New Haven-London, 1944) p. 87, quoted in Kirk, <u>Myth</u>, p. 30.

13. Sergei Averintsev, <u>Religiia i literatura</u> (Ann Arbor, Michigan, 1981) p. 94.

14. Ibid., p. 95.

15. Ibid., pp. 102-5.

16. Ibid., pp. 101-2.

17. Mann wrote of the novel: 'Ia rasskazyval o rozhdenii "ia" iz pervobytnogo kollektiva.' T. Mann, <u>Sobranie</u> <u>sochinenii</u>, vol. 9 (Moscow, 1960) p. 187, as quoted in Averintsev, <u>Religiia</u>, p. 111, note 2.

18. Mann, <u>Sobranie sochinenii</u>, vol. 9, p. 175, quoted in Averintsev, <u>Religiia</u>, p. 111.

19. Charles H. Long cited in Joseph M. Kitagawa, 'Primitive, Classical, and Modern Religions: A Perspective on Understanding the History of Religions', in Joseph M. Kitagawa, Mircea Eliade and Charles H. Long, eds, <u>The</u> <u>History of Religions</u> (Chicago-London, 1967) p. 46.

20. Mircea Eliade, <u>Patterns in Comparative Religion</u>, trans. Rosemary Sheed (London, 1958) p. 418.

21. Eliade, quoted in Kitagawa, 'Primitive, Classical and Modern Religions', p. 45.

22. Ibid., p. 46.

23. Eliade, Comparative Religion, p. 431.

24. Mircea Eliade, <u>Cosmos and History: The Myth of the</u> <u>Eternal Return</u>, trans. William R. Trask (New York, 1959) pp. ix, 5, 9, 38-9, 106, 149; Katerina Clark, <u>The Soviet</u> <u>Novel: History as Ritual</u>, (Chicago-London, 1981) p. 40.

25. Clark, Soviet Novel, pp. 39-40.

26. Ibid., pp. 37-8.

27. Ibid., pp. xii and 3-4.

28. Ibid., p. 10.

29. Joseph Campbell, <u>The Masks of God: Creative Mythology</u> (London, 1968) p. 611.

30. Ibid., p. 621.

31. Ibid., p. 623.

32. Ibid., p. 609.

33. Karl Popper defines 'historicism' as 'an approach to the social sciences which assumes that <u>historical</u> <u>prediction</u> is their principal aim, and which assumes that this aim is attainable by discovering the "rhythms" or the "patterns", the "laws" or the "trends" that underlie the evolution of history.' Karl Popper, <u>The Poverty of</u> <u>Historicism</u>, 2nd ed., (London, 1961) p. 3.

34. Pospielovsky comments that 'movements evolving from people brought up on Marxism but rejecting Marxist sociopolitical and relativistic moral solutions would combine a study of history...with an almost unconscious religious tendency.' Dimitry Pospielovsky, 'A Comparative Enquiry into Neo-Slavophilism and its Antecedents in the Russian History of Ideas', <u>Soviet Studies</u>, vol. 31, No. 3 (1979) p. 321.

35. Aleksandr Zinov'ev, <u>Kommunizm kak real'nost'</u> (Lausanne, 1981) p. 197.

36. Lotman, Struktura, p. 9.

37. Salman Rushdie, 'Is Nothing Sacred? The Herbert Read Memorial Lecture', <u>Granta</u>, 6 February 1990, p. 7.

38. For a close analysis of the meaning of 'polyphony' in Bakhtin's vocabulary, see Gary Saul Morson and Caryl Emerson, <u>Mikhail Bakhtin: Creation of a Prosaics</u> (Stanford, 1990) pp. 231-68.

39. G. Belaia, <u>Literatura v zerkale kritiki</u> (Moscow, 1986) p. 34.

40. In his essay on socialist realism, Abram Terts (Andrei Siniavskii) quotes the following remark on 'unanimity' among Soviet Russians made by V. Ilen'kov, a winner of the Stalin Prize for the novel <u>Bol'shaia doroga</u> (<u>The Great</u> <u>Highway</u>, 1949):

> Россия пошла по своему пути - всеобщего единомыслия....Люди тысячи лет страдали от

разномыслия. И мы, советские люди, впервые договорились между собой, говорим на одном, понятн м для всех языке, мыслим одинаково о главном в жизни. И этим единомыслием мы сильны, и в нем наше преимущество перед всеми людьми мира, разорванными, разобщенными разномыслием.

Abram Terts, 'Chto takoe sotsialisticheskii realizm', <u>Fantasticheskii mir Abrama Tertsa</u> (New York, 1967) p. 420.

41. E. Durkheim, <u>The Elementary Forms of the Religious</u> <u>Life</u>, quoted in Bronislaw Malinowski, <u>Magic, Science and</u> <u>Religion and Other Essays</u> (New York, 1974) p. 21.

42. Bergson, Morality and Religion, p. 84.

43. Joan Leopold, <u>Culture in Comparative and Evolutionary</u> <u>Perspective: E. B. Tylor and the Making of 'Primitive</u> <u>Culture'</u> (Berlin, 1980) p. 46.

44. Edward B. Tylor, 'The Matriarchal Family System', <u>The</u> <u>Nineteenth Century</u>, No. 40 (1896) p. 96.

45. Evans-Pritchard notes the debt Tylor owed to the positivist philosopher Auguste Comte (1795-1851). Evans-Pritchard, <u>Primitive Religion</u>, p. 24. Comte regarded reason as the superior human faculty, and the history of intellectual development as the key to social evolution:

It is only through the more and more marked influence of reason over the general conduct of man and of society, that the gradual march of our race has attained that regularity and persevering continuity which distinguish it so radically from...the expansion of even the highest animal orders.

Quoted in Encyclopaedia Britannica, 11th ed., 29 vols, vol. 6 (Cambridge, 1910) p. 820. The notion of the 'noble savage', unspoilt by education, was popularised by Jean-Jacques Rousseau (1712-1778), and Tylor's thoughtful 'primitive man' is likely to be an extension of the same figure. Tylor also argued for a strict analogy between primitive man and the mentality of the child. This falls in with the ideas of G. E. Lessing (1729-1781). In Die Erziehung des Menschengeschlechts (The Education of the Human Race, 1780), Lessing explained the historical development and perfectibility of the human race in terms of the child's growth. Most evident of all is the reflection of nineteenth century evolutionistic views in Tylor's work. These are drawn chiefly from the naturalist Charles Darwin (1809-1882) and the social philosopher Spencer (1820-1903), who both viewed the Herbert development of the natural and social world as a movement from simple, lower forms to higher, more complex ones.

Mircea Eliade, ed., <u>The Encyclopaedia of Religion</u>, 15 vols, vol. 1 (New York-London, 1987) pp. 296-7.

46. Evans-Pritchard, <u>Primitive Religion</u>, p. 25. For a short summary of Tylor's argument see Malinowski, <u>Magic,</u> <u>Science and Religion</u>, p. 18.

47. E. B. Tylor, <u>Primitive Culture: Researches into the</u> <u>Development of Mythology</u>, <u>Philosophy</u>, <u>Religion</u>, <u>Art and</u> <u>Custom</u>, 2 vols, vol. 2 (London, 1871) p. 404.

48. Tylor, <u>Primitive Culture</u>, 5th ed., vol. 1 (1929) p. 497.

49. Ibid., p. 498.

50. Valentin Rasputin, 'Proshchanie s Materoi', <u>Nash</u> <u>sovremennik</u>, No. 10 (1976) pp. 3-71; No. 11 (1976) pp. 17-64. All subsequent references are to: Rasputin, <u>Izbrannye</u> <u>proizvedeniia v 2-kh tomakh</u>, vol. 2 (Moscow, 1984).

51. Chabua Amiredzhibi, 'Data Tutashkhia', <u>Druzhba</u> <u>narodov</u>, No. 11 (1976) pp. 74-152; No. 12 (1976) pp. 57-113; No. 3 (1977) pp. 6-64; No. 4 (1977) pp. 66-177. Subsequent references are to: Amiredzhibi, <u>Data Tutashkhia</u> (Moscow, 1979).

52. Daniil Granin, 'Kartina', <u>Novyi mir</u>, No. 1 (1980) pp. 3-132; No. 2 (1980) pp. 85-176. Subsequent references are to: Granin, <u>Sobranie sochinenii v 4-kh tomakh</u>, vol. 4 (Leningrad, 1980).

53. Rudolf Otto, <u>The Idea of the Holy</u> (Oxford, 1950) pp. 26-7.

54. J. G. Frazer, <u>The Golden Bough: A Study in Magic and</u> <u>Religion</u>, 2nd ed., 3 vols, vol. 1 (London, 1900) p. 62; Evans-Pritchard, <u>Primitive Religion</u>, p. 27; Sir Edward Evans-Pritchard, <u>A History of Anthropological Thought</u>, ed. André Singer (London-Boston, 1981) pp. 137-8.

55. Frazer, <u>Golden Bough</u>, vol. 1, p. 63; Evans-Pritchard, <u>Anthropological Thought</u>, p. 136.

56. Evans-Pritchard, Primitive Religion, pp. 32-3.

57. Ibid., p. 34.

58. Emile Durkheim, 'The Elementary Forms of the Religious Life: the Totemic System in Australia', in W. S. F. Pickering ed., <u>Durkheim on Religion: A Selection of</u> <u>Readings with Bibliographies</u>, trans. Jacqueline Redding and W. S. F. Pickering (London-Boston, 1975) p. 103.

59. Ibid., p. 107. 60. Ibid., p. 113. 61. Ibid., p. 115. 62. Ibid., p. 116. 63. Ibid., p. 123. 64. Emile Durkheim, 'Concerning the Definition of Religious Phenomena', Ibid., p. 98. 65. Durkheim, 'Elementary Forms', Ibid., p. 129-31. 66. Evans-Pritchard, Primitive Religion, pp. 56-7. 67. Ibid., p. 59. 68. Durkheim, 'Elementary Forms', in Pickering, Durkheim on Religion, pp. 124-5. 69. Ibid., pp. 131-2.

70. Eliade, Comparative Religion, pp. 433-4.

<u>Chapter 2. Some Dilemmas of Russian Religious Thought in</u> <u>Retrospect</u>

(i)

Throughout its recorded history, up to the Revolution and beyond, the Russian religious experience has vacillated between two principles: the theocratic and the ascetic. Since the enforced conversion of Rus' to Christianity in 988, the Russian people have known an institutionalised religion closely tied to the state structure, which gave the state the authority to claim a divine commission. But within that tradition they have also seen many remarkable religious figures who prefered to devote themselves, as far as possible, exclusively to spiritual practice.¹

There has existed in Russian history an apparently endemic tension between a 'totemic' religious attitude in the sense that the social unit, the state, was revered with all the trappings both of secular wealth and Christian tradition - and an attitude which might be loosely termed 'animist', in that its adherents perceived the world solely in terms of an immanent Spirit, the Trinitarian Deity.

This paradox between a spirituality which turns away from the material world, wealth and power, and a tradition

which seeks to absorb secular and aesthetic values into its sphere, has been reflected as much in the institutional history of the Russian Orthodox Church as in the lives and thinking of some of its greatest saints and scribes.

The conversion of Rus' in 988 is now widely recognised by historians as an event motivated by political rather than theological concerns. The acceptance of Byzantine Christianity by Grand Prince Vladimir of Kiev, Dmitrii Likhachev has remarked, was an act of state rather than spiritual enlightenment. It created a soughtafter coalition between the Eastern Slavs and brought with it an advantageous alliance with Constantinople; but, from the start, it put the Church in a position subservient to the state.²

Conversely, another event in medieval Russian history which might appear to have a political rather than religious character has frequently been drawn in terms that suggest the opposite. The repulsion of the Tatars by the Russians at the Battle of Kulikovo Field in 1380 is remembered as a spiritual victory. Aleksandr Blok called it a 'symbolic event', and in his cycle of poems <u>Na pole</u> <u>Kulikovom (Kulikovo Field</u>, 1908) depicted it as an 'eternal battle' (<u>vechnyi boi</u>) between the forces of good and evil.³ It was one of Russia's greatest saints, Sergii Radonezhskii, who is said to have given inspiration to

the movement which thrust back Tatar domination.4

In the fifteenth century the Russian Church gained its independence from Constantinople and acquired a sense of its mission as protector of the original Christian tradition inherited from a fallen Byzantium. Since then the tension between the spiritual calling of the Church in Russia and its historical and political role has remained unresolved.⁵ The letter which the elder Filofei of Pskov wrote to Tsar Vasilii III in about 1510, identifying him as the head of the Apostolic Church now in Moscow, and Moscow herself as the Third Rome, laid the foundations for a messianic attitude of mind which could be, and at times was, 'taken in a political as well as a religious sense, to further the ends of secular imperialism'.⁶

But if this doctrine gave some influential representatives of the Church, and the Muscovite state, a free hand in the appropriation and dispensation of possession and power in sixteenth century Russia, this was countered by a group of ascetics - the 'transvolga hermits' lead by Nil Sorskii (c.1433-1508) - who held that the Church should be independent of the state and questioned its right to any form of landownership.⁷ Less overtly, secularised religious forms were also challenged by traditional Byzantine beliefs which had permeated all levels of Russian society. One such was the notion of 'folly for the sake of Christ'. This carried to its limits

the veneration of suffering and the renunciation of earthly wisdom. The 'fool' voluntarily stripped himself of all intellectual attributes and took upon himself the burden of madness. He was then entitled to be critical of those in power and continued to be treated with considerable respect.⁸

The struggle between ascetic and theocratic principles is historically most clearly marked by the Old Believer schism in the seventeenth century. A group of clergy lead by the Archpriest Avvakum defied the reigning patriarch, Nikon, over changes in liturgical practice. These, Avvakum and his followers objected, broke the continuity of the sacred tradition of 'right worship' (<u>pravoslavie</u>) for the sake of closer links with the Byzantine rite, which Nikon regarded as politically indispensable.⁹

The Old Believers were defending not just the purity of their religion or indeed the Russian messianic tradition, but, more important perhaps, the sanctity of the ritual act. This, they argued, could not be changed because it was the prescribed, age-old link between the Deity and the material world.¹⁰ Today - despite the schism - the Russian Orthodox Church continues to teach that it guards the glorification of God with 'right worship'.¹¹ The traditional ritual act is, as Georges Florovsky writes:

the witness of the Spirit; the Spirit's

unceasing revelation...Tradition is not only a protective, conservative principle; it is, primarily, the principle of growth and regeneration... (It) is the constant abiding of the Spirit and not only the memory of words.¹²

This idea of tradition as a living thing, 'the life of the Holy Spirit in the Church'¹³ or the point of encounter between the material and the spiritual, reflects one aspect of what Nicolas Zernov calls the 'fundamental conviction of the Russian religious mind' which is:

> the recognition of the potential holiness of matter, the unity and sacredness of the entire creation, and man's call to participate in the divine plan for its ultimate transfiguration.¹⁴

The belief that the material world is intimately a part of the Deity, and that there exists an immanently present link between God and matter (often personified in the figure of Hagia Sophia, Holy Wisdom, or in the figure of the Mother of God), was preserved among social groups unaffected by Western thought - particularly the Old Believers - until Vladimir Solov'ev revived the idea among the intelligentsia in the late nineteenth century.¹⁵ It is a notion deeply ingrained in Russian thought and crucial to the understanding of the Russian experience: historical and cultural. Until the eighteenth century, when Peter's reforms significantly changed the role and character of the Church, the struggle between 'involvement' and 'noninvolvement' in politics and in the acquisition of wealth the deepest level a disagreement over was at the interpretation of the linkage between God and matter,

personified in Hagia Sophia. Those within the Church who felt that power and material wealth should belong to the Church would argue that it was through the Church that matter as much as man becomes holy.¹⁶ Their opponents preferred to leave sanctification entirely to God.

The tension was probably not rooted entirely in acquisitiveness; it may have been, in essence, theological. The doctrine of the Third Rome which laid foundations for the theocratic attitude of mind grew, Sergei Zenkovskii has reportedly remarked, from a thirst to bring closer the incarnation of the Kingdom of God on earth. It was 'a myth which grew up from the need to combine the heavenly and the earthly, the divine and the human in a concrete reality'.¹⁷

The transfiguration of matter, man and, by extension, of wealth and society in history, and the way in which this would be achieved, remained a key problem in secular as well as ecclesiastical environments throughout the nineteenth century and well after the Revolution. And it was perhaps because of the deeply held belief that the world is by its nature there to be transfigured - made perfect and whole - that Western utopian ideologies were able to take root and flourish in Russia as nowhere else.

There are grounds for tracing the secularisation of this theological notion to the Petrine reforms. Tsar Peter the Great set himself the task of transforming Russia into

a European power, and created a complex state structure intended to assist the transition. He was disturbed by the memory of Patriarch Nikon's political aspirations, and as a precautionary measure, kept a tight hold on the Church hierarchy.¹⁸ Following the death of Patriarch Adrian in 1700, he abolished the patriarchate, and brought the Church under a department of state, the Holy Synod, headed by a layman. The Church became a department of education, social welfare and care or supervision for the common people.¹⁹ It ceased to carry the spiritual authority touching upon every aspect of material existence which Orthodox theology, as much as the Church's social and political role, had previously bestowed on it. Commenting on the quality of Russian culture before the meeting with the West in the eighteenth century, Nicolas Zernov observes that the Russians were:

> intensely ritualistic. Their piety had affinities with the Old Testament prescriptions. The Muscovites distinguished between clean and unclean food, and between dress and customs becoming and unbecoming to a faithful Christian. The keenest among them combined this ritualism of daily life (<u>bytovoe blagochestie</u>) with a puritanical disapproval of popular amusements and with severe moral and bodily discipline.²⁰

The effective subjugation of the Church in the eighteenth century to an increasingly developed, ostensibly religious, but actually secularised culture, was reinforced by Peter's successors. Under Catherine II, Church land was expropriated and replaced by subsidies

which did not compare with its proper value. The rural clergy were forced to gain their income from parishes and farming small plots of land attached to parish churches. They were frequently left in poverty, preoccupied chiefly by drawing funds from their parishioners.²¹ By the beginning of the twentieth century, the activity of the Church was almost wholly limited to the performance of the liturgy. Its voice, Metropolitan Antonii of St Petersburg complained in 1905, was unheard both in private and public life.²²

But the spiritual tradition the Church housed remained alive and active none the less. H. P. Liddon, Canon of St Paul's, observed after a visit to Russia in 1867, that the sense of the supernatural seemed 'to penetrate Russian life more completely than that of any of the western nations'.23 Indeed, the nineteenth century chiefly remembered as an age of bureaucratic oppression, with a materially and intellectually stifled Church - saw the revival of some traditional beliefs which Peter's reforms had sought to brush away as unworthy of a modern Western power.

In a forest near the monastery of Sarov, for instance, St Serafim was preaching a doctrine of man's vocation to deification and union with God, re-emphasising that not only the soul, but the body - matter -' could be sanctified and transfigured.²⁴ His teaching, and that of

other less well known figures, descended from the tradition of unworldliness and independence from temporal concerns laid down by Nil Sorskii three centuries earlier, was taken up, for example, at the hermitage of Optina Pustyn', south of Moscow.²⁵ The influence of these elders (startsy) apparently penetrated nineteenth century Russian society at all levels: from the peasantry - long attached religious tradition and belief - to well-placed to intellectuals, who tended to be non-believers.²⁶ The Optina monks affected the work of some of the most literati of the time: Gogol', Dostoevskii, renowned Solov'ev and Tolstoi.27

These writers became spokesmen for Russian Orthodoxy in way theologians and academics could not: 8 ecclesiastical and secular censorship was imposed on all Orthodox pronouncements.²⁸ Though now widely regarded as representatives of their era, they and the Slavophile thinkers with whom they sympathised were 'dissenting' figures inasmuch as they questioned the basic tenets upheld by the intelligentsia of the time, particularly its positivism and materialism.29

The religious ideology professed by the Imperial Russian state bore a secular character and was imposed by secular means.³⁰ It left little room for original thought within its bounds. And this, writes Geoffrey Hosking:

> predisposed both intellectuals and the population at large to the acceptance of a new ideology with the opposite characteristics, that

is to say, claiming to be secular, but bearing strong unacknowledged religious overtones (such as became manifest in the symbolism of the Stalin era)....

It is almost as if there were a churchshaped vacuum in that culture, waiting to be filled by any ideology or institution which could satisfy Russians' aspiration to join with others in order to be of service to their fellow men.³¹

A number of scholars have pointed out that the Russian intelligentsia as a social group was marked by some of the characteristics of a religious order.³² It was a class identified by conformity of dress, manner and values.³³ And it had a vocation to save the world. The journalist Harold Williams, who was closely involved in Russian liberal circles between 1904 and 1917, observed that:

> Its attitude resembled that of the Puritans and their successors. It had a Non-Conformist conscience, but the ideal pursued was not of the salvation of the individual soul but of the salvation of the Russian people.³⁴

While rejecting the dogmatic basis of religion as an ideological ramification of the state autocracy, the intelligentsia appear to have revived something of the quality of pre-Petrine religious faith: disciplined, uncritical, communal and messianic.³⁵ Its guiding principle was service to the people and a search for <u>pravda</u>: intellectual and moral truth.³⁶ The Russian intelligentsia, Zernov writes:

took upon itself the Christian prophetic function and tried to stir the nation into action, calling the Russians to fulfil their mission and build their corporate life in

accordance with social justice, harmony and peace.³⁷

It did so by creating a cultural atmosphere which would encourage social responsibility and correct behaviour. Vissarion Belinskii, one of the fathers of socialism in Russia, saw literature in particular as the fibre which could knit the loose strands of society with thoughts and attitudes to help make it strong and whole:

> Внутренно связывают людей общие нравственные интересы, сходство в понятиях, равенство в образовании и при этом взаимное уважение к своему человеческому достоинству. Но все наши нравственные интересы, вся духовная жизнь наша сосредоточивалась до сих пор и еще долго будет сосредоточиваться исключительно в литературе: она живой источник, из которого просачиваются в обществе все человеческие чувства и понятия.³⁸

Nineteenth century radical literature - novels and shorter tracts - offered guidelines for living in a way similar to medieval hagiographic texts. The use of biblical or liturgical language and imagery was the norm in these writings, since their aim - moral education fell in with those of traditional religious works. As Katerina Clark remarks:

> Whatever the genre ostensibly used, a heavyhanded didacticism and religiosity soon entered the narration; consequently most radical fiction...soon surrendered its generic identity to the language of rhetoric and the church.³⁹

Clark's observation could be directed with justification at the best writers of the period -Dostoevskii or Tolstoi - as well as at lesser figures such as Chernyshevskii or Stepniak-Kravchinskii. The nineteenth

century was in many ways an age of final solutions to long-standing problems: economic, social, scientific, philosophical. And in Russian literature as in no other, it was <u>de rigueur</u> to offer answers to the 'accursed questions': to show the readership how to live, irrespective of the complexity of the moral or philosophical issues raised.

The notion that literature must have more than aesthetic significance was reflected in a didactic narrative stance, a fictionalised form of theological discourse and in an urge to prophesy characteristic of some writing by Dostoevskii or Gogol'.40 Related to this was Russian fiction's preoccupation with the depiction of characters who might serve as models for living.41 As critics frequently point out, the hero of the time was less an individual than a representative of moral or social qualities which might help to lead Russia out of stalemate.42 Literature offered a prescriptive guide to 'right thought' and 'right behaviour' morally, socially and politically; and its 'positive' heroes were there to point the way forward.

The nineteenth century positive hero carried a greater burden even than the saint of medieval hagiography or the valorous prince of the chronicles, to whom he has been compared.⁴³ His life did not merely present a

conventionalised, exemplary pattern of virtue. He was to do no less than spearhead a movement designed to transfigure society and its individual members.

The prototype for the exemplary new man who would take the reins when the world was ready and, meanwhile, give his life to the realisation of the social ideal, is generally said to be Rakhmetov, the taciturn revolutionary in N. G. Chernyshevskii's novel Chto delat'? (What is to be Done?, 1863). Rakhmetov's role may be subordinate to the main relationships in the plot (which works chiefly towards a reassessment of the woman's role in society), but he is illustrative of the novel's driving purpose as a blueprint for the overthrow of an oppressive social order.44 Fiercely disciplined, well-read and persistently active for his cause, Rakhmetov is a superior being (vysshaia natura), awaiting recognition as an example to the world and, perhaps, as its saviour. 4^5 His life recalls the hagiographic pattern of the 'Life' of Aleksei, Man of God who also abandons an affluent background to live by rigorous ascetic practice. And certainly, the novel was invoked by the earliest leaders of the Soviet Union as the text which most inspired their revolutionary activity.46 Lenin is reputed to have commented: 'Eto veshch', kotoraia daet zariad na vsiu zhizn''.47

Positive heroes, writes Richard Freeborn:

whether Dostoyevskian and Tolstoyan or Soviet, have their literary beginnings in this post-Chernyshevsky concern for a vision of humanity

transformed into exemplars, given a pattern of Christian humility to follow in Dostoyevsky, of structured religious doctrine in Tolstoy or of Marx-Engels-Leninism and scientific truth in Soviet literature.⁴⁸

However, the line of exemplar heroes Freeborn identifies appears to bifurcate precisely at this point. On the one hand we see those representing the energies of the revolutionary collective who might be termed 'totemic' figures. They can be traced from Chernyshevskii through, for example, works by Stepniak-Kravchinskii, to Gor'kii and writers of the socialist realist school. On the other side stand heroes whose lives suggest that their positive nature resides in a relationship with a spiritual or noumenal sphere of existence. These I would venture to 'animist', citing as call examples characters from Dostoevskii, Tolstoi and, later. Mikhail Bulgakov, Pasternak and Solzhenitsyn.

The animist/totemist dichotomy which can be observed throughout Russian religious history, was inherited, in the late nineteenth century, by a literature which had taken upon itself the task of revealing life's most sacred mysteries. The positive hero of the Rakhmetov school acts as a focus through which society is to perceive itself and its direction. He is an early sketch for the socialist realist hero who served as Soviet society's totemic god: the leader and father of the people, expressing and symbolising collective forces.

The hero of the 'animist' school recognises an immanent and personified spiritual reality, and allows it to be revealed in his life. Figures such as Father Zosima or Platon Karataev, Prince Myshkin or Nekhliudov are the bearers of a message affirming a numinous quality in things, and a God-given human capacity to perceive reality in its integrated unity through personal relationship. The teaching of the mystic elder, Zosima, in <u>Brat'ia</u> <u>Karamazovy</u> (<u>The Brothers Karamazov</u>, 1879) reflects this most fully:

> 'Любите все создание божие, и целое и каждую песчинку. Каждый листик, каждый луч божий любите....Будешь любить всякую вещь и тайну божию постигнешь в вещах.'⁴⁹

The particular quality of the mystery (<u>taina bozhiia</u>) is that it is not just a way to redemption beyond the grave, but, more relevant for the here and now, an essential part of the mechanics of successful living. An important element in Zosima's teaching is the thought that life itself is a paradise hidden within every human being.⁵⁰ There is no such thing as separation between spiritual and material life. The mystical union between spirit and matter, once consciously affirmed, cannot but create miracles. As the narrator of <u>Brat'ia Karamazovy</u> comments: 'V realiste ne ot chuda vera rozhdaetsia, a chudo ot very'.⁵¹

Zosima's vision of total unity, and of the potential integration of physical and spiritual through life in

communion and identity with what exists outside the self, is shared by Tolstoi.⁵² This is so despite Tolstoi's misgivings about institutional aspects of ecclesiastical life and official interpretations of Christian dogma.⁵³ Richard F. Gustafson writes:

> Estranged from Father Zosima's Church and monastery, Tolstoy dwells in his universe.... The theological vision of life in <u>The</u> <u>Brothers Karamazov</u> ...resembles the idea of the salvation of life in <u>War and Peace</u>....Father Zosima's active love, which is understood as God's love in us given to be expressed and when expressed redemptive of life,...stands in total agreement with Tolstoy's theology of redemptive love.⁵⁴

Tolstoi's vision is based, like Dostoevskii's, on the assumption of an all-pervading unity to which things are subordinate, and on a sense that the world must be by its nature good - even when evil seems dominant - because it is rooted in the divine. This belief, as Gustafson shows, is in evidence throughout Tolstoi's writing. In many ways resembles the teaching of other Russian religious it thinkers who were Tolstoi's contemporaries and successors, in particular the visionary philosopher and poet, Vladimir Solov'ev.55

Solov'ev's <u>Chteniia o Bogochelovechestve</u> (<u>Lectures on</u> <u>Godmanhood</u>, 1877-81) proposed a philosophical structure illustrating the notion of total unity in terms of a metaphysical world of mutually penetrating, but separate, beings modelled on the Trinitarian God. These shared a wholeness, an 'All-unity' (<u>vseedinstvo</u>) which was and was

not the Divine Being.⁵⁶ The created universe was also, in Solov'ev's vision, a 'person', a single organism and a spirit which he identified as the 'World Soul' or as Sophia, the Divine Wisdom.⁵⁷ She was metaphysically 'married' to the Divinity, and advancing - with difficulty at times - towards an absolute union with Him.⁵⁸ This was ultimately to be established through the increasing closeness of the relationship between man and God.59 The positive response of man to the love of the Creator could lead - through the person of Jesus Christ - to his ultimate transfiguration into 'Godmanhood' (Bogochelovechestvo). The term indicated the evolutionary integration of matter and spirit and the restoration of the ideal relationship between man and God, the world and God, or Sophia and the active, energising and unifying life principle, the Logos.60

Solov'ev laid the foundations for those Russian philosophers of the late nineteenth and early twentieth centuries who chose to reject positivism, Marxism and the socio-economic theories which governed the thought of the radical intelligentsia. Sergei Bulgakov and Nikolai Berdiaev, for example, turned away from the Nietzschean vision of man as God which the radicals had adopted and popularised in the heroic ideal of 'mangodhood' (chelovekobozhestvo).61 They reverted to the Christian idea that transfiguration was to be achieved not by

resisting and mastering the forces of the cosmos, but through a growing together of man with the Deity, and through the uncorrupted reflection of the Will of the Creator in the will of man: Godmanhood.

Sergei Bulgakov, whose writing is also based on the sophiological conception of God and creation, went further; he re-emphasised Solov'ev's suggestion that Sophia, the spirit of creation linked to God, had her counterpart in the Orthodox Church.⁶² It was through the Church that the Divine Wisdom was made manifest in the world, because the Church was no less than the cosmos transfigured through its communion with the Deity.

Bulgakov's thought makes explicit an idea always inherent in the Orthodox religious tradition: that the cosmos and man were created to be deified through the mediation of the Church. Man, the icon or image of God, may if he chooses become God ('attain theosis') without losing his own identity in that union with the Deity.63 But he may do so only within the corporate body of the Church with all its members, living and dead.64 Deification is something which ultimately involves the body (though the full outward manifestation of sanctity must await the Last Day) and the whole of material creation.65 'Redeemed man is not to be snatched away from the rest of creation, but creation is to be saved and glorified along with him', Timothy Ware writes.66

The radicals of the late nineteenth and early twentieth centuries drew significantly on ideas put foward by religious thinkers of their time: that man could and should be God, and that the world and man could and must be transfigured - not in isolation but in community. The distinction lay in the focus through which this change was contemplated. The religious thinkers held that it was to be achieved through the integration of the individual self with the objectively existing world of the spirit, the persons of the Deity. The radical intelligentsia believed that it should be done by the submission of individual interests to collective interests via the totemic symbol of collective unity: the revolutionary man-god.

The two schools of thought had inherited, in effect, the dilemma which had teased the Russian religious mind since Prince Vladimir's conversion. Was the Deity a spirit being independent of, but somehow linked to, the physical world and waiting to be unified with it? Or was He rather the immanent fullness, the energy and power, the <u>mana</u> which is in the material world, particularly in the integrated community, the strong state, or utopian collective, and in its leadership?

(11)

The literature of twentieth century Russia has reflected this dichotomy no less clearly than earlier writing. But as the social theories of radical intellectuals crystallised into the ideology of the postrevolutionary state so, in officially approved works, the totemic line of thought took precedence over the animist and, for a time, stifled it almost completely.

The decree on religion passed in 1918 ensured that religious thought and practice took on a wholly private character, isolated from the support of the state and the community.67 Lenin had inherited Marx's personal antitheism and Engels' view that religion was a fantasy reflecting man's helplessness before the powers of nature and society in the earlier stages of history. With the increase of man's control over nature and social processes, religious practices would cease to have any function and eventually disappear. Discouraging any form of spiritual discipline or animist mode of thought consequently became a strong priority in Party policy.68

The rejection of a religious model which had shaped Russian thought for over nine hundred years gives further support to Lotman's thesis that the history of Russian culture can be divided into a series of dynamically opposing consecutive stages: Every new period whether that of the Christianization of Russia or of the reforms of Peter the Great - is oriented toward a decisive break with what preceded it. At the same time, however, the investigator will encounter a good many repeated or similar very events, historical-psychological situations, or texts.69

In radically negating cultural models of the past the new ideology in many ways regenerated them. It called for as much commitment from its neophytes as religions demand from their followers. But the Leninist <u>Weltanschauung</u> was to be built on a prior rejection of any form of religious faith. Marxist atheism was to be 'a catharsis for awakening revolutionary souls', Dimitry Pospielovsky writes, 'a precondition and a test for the sincerity of any affiliation to the Communist Party'.⁷⁰

The inspiration of a revolutionary mood became the task of all the arts, and the animist vision which had formed the basis of much of the finest literature of nineteenth and early twentieth century Russia was declared taboo. With the censorship body GLAVLIT monitoring all published writing after 1922, the use of religious imagery was permitted only as parody of the reactionary past.⁷¹

When, in 1934, the doctrine of socialist realism was promulgated as a guide for Soviet writers, the formula A. Zhdanov presented to the First Congress of the Union of Soviet Writers offered only a loose methodological framework. It recommended writers to give 'an authentic,

historically specific depiction of reality in its revolutionary development', and asked them to combine this with the task of 'ideologically reshaping and educating toilers in the spirit of socialism'. It also guaranteed the creative artist exceptional opportunities for the manifestation of his creative initiative.⁷² Beyond that, the doctrine was defined largely by quoting lists of exemplary texts.⁷³

Abram Terts (Andrei Siniavskii) has observed that the Zhdanov precept, like the ideological model which supported it, rested on a teleological assumption:

> В основе этой формулы... лежит понятие цели, того всеохватывающего идеала, по направлению к которому неуклонно и революционно развивается правдиво изображаема% действительность.⁷⁴

In so far as this presented a complete answer to the meaning of human destiny, it shared something with the religious vision of the past.⁷⁵

In practice, Zhdanov's formula encouraged writers to reapply structures based on religious models which had been developed by nineteenth century radical thinkers, and to translate them into a new set of interpretations drawn from Bolshevik and, later, Stalinist mythologies.⁷⁶ In the words of Lotman and Uspenskii, the old system of values was written into the new 'with a minus sign'.⁷⁷

As many critics have recognised, Maksim Gor'kii's novel <u>Mat'</u> (<u>Mother</u>, 1907) illustrates well the transition from radical fiction to the socialist realist tradition.⁷⁸

It offered a new interpretation of the plot pattern and system of images which, in the writings of Chernyshevskii or Stepniak-Kravchinskii, had served to outline moral qualities and spiritual growth. Gor'kii's novel, Clark writes, gave significance to nineteenth century clichés in accordance with the Bolshevik paradigm for historical progress, the 'spontaneity/consciousness dialectic'.79

'Spontaneity' (<u>stikhiinost'</u>) refers to social behaviour inadequately controlled by political awareness. It may be erratic, inconsistent or anarchic, stimulated by an uncontrolled nature rather than thoughtful deliberation. 'Consciousness' (soznatel'nost') identifies behaviour that is controlled and guided by correct political affiliations. It is a part of the Leninist vision of social progress that the forces of spontaneity and consciousness have since time immemorial been locked in a dialectical struggle which gives history its progressive dynamic and leads to a final resolution in Communism.80

While establishing the precedence of the social value (consciousness) over individual drive (spontaneity), <u>Mat'</u> also offered a new formulation by depicting the development of the animist value into the totemic value.

Gor'kii wrote the novel at a time when he was inclining towards the 'God-building' theory (<u>bogostroitel'stvo</u>) propagated in the early writings of

Anatolii Lunacharskii. Collective energy, Lunacharskii argued, could effectively be tapped by means traditionally used in religious institutions. The 'enthusiasm' and motivation to creative activity which religion generated could be valuable in the effective realisation of the social ideal.⁸¹

Gor'kii's thinking on the subject appears to have been independent, however. As early as 1902 - six years before the appearance of Lunacharskii's <u>Religiia i</u> <u>sotsializm</u> (<u>Religion and Socialism</u>, 1908; 1911) - he wrote to Leonid Andreev:

> We'll create a God for ourselves who will be great, splendid, joyous, the protector of life who loves everyone and everything.⁸²

Man as ideal was, as Gor'kii believed, the inspiration by which people might transcend petty personal interests and fulfil their potential, submerging individual identity in the collective All.

Nilovna, the heroine of <u>Mat'</u>, develops, then, not from an outlook that is religious to one that is ideological but rather, as F. M. Borras has pointed out:

from one kind of religious outlook to another, from that which worships God in heaven to that which looks to the establishment of His kingdom on earth. 83

Her faith undergoes a shift from a naïve, mechanistic form of Christian worship, through a vision of the <u>imagio</u> <u>Christi</u> in the faces of her son's revolutionary colleagues, to a religious sentiment directed at the

unknown mass of humanity which she imagines as a titanic figure cleansing the earth of falsehood and revealing life in its simplest and fullest glory.⁸⁴ The revelation marks Nilovna's leap into consciousness and into the totemic outlook.

Critical attention has been drawn to parallels between the teleological aspect of Gor'kii's novel and medieval Russian hagiographic literature.⁸⁵ Just as union with the Deity is the end to which the life of any saint progresses, so the purpose of Nilovna's life proves to be union with the collective Godhead.

Another point which has been noted in relation to this is the abstract and formal nature of the characteristics of Gor'kii's heroes (also reflected in later socialist realist novels) and their similarity to the depiction of princes in the chronicles of Rus'.86 The enlightened purposefulness of the positive hero in socialist realism in many ways gives him a literary role comparable to that of the medieval prince. His characterisation is determined not by psychological factors but by political ones. Just as the prince represents not himself but his estate, so the positive hero (Pavel in Mat', for instance) embodies the estate of the new revolutionary man. The prince's attributes are defined by his position as feudal master; the socialist realist hero's are determined by his position as

representative of the revolutionary vanguard. The prince knows no doubts about his role which is to rule and fight for Rus'; the traditional Soviet hero similarly has no doubts about his vocation. Finally, the medieval prince is glorified by <u>slava</u>, the recognition of the people with whom he is identified; the socialist realist hero is blessed with the love of the revolutionary masses.⁸⁷

Another early exemplar for the socialist realist hero of the more romanticised variety is Kozhukh in Aleksandr Serafimovich's novel 'Zheleznyi potok' ('The Iron Flood', 1924). Kozhukh is a Cossack peasant who single-handed forges a revolutionary army from tens of thousands of starving peasants and, in 1918, leads them from Taman' to join the Red Army in the Kuban'. In a superhuman feat, he unites an exhausted and rebellious mob into one massive body, beating with 'a single, inhumanly enormous heart' ('odno ogromnoe, nechelovecheski-ogromnoe serdtse').⁸⁸

Kozhukh emerges as a cross between a messiah and an Old Testament prophet, looking upon the multitude as his closest kith and kin, home and family. To them, he is a father, a godhead and the reflection of their cause.⁸⁹ Richard Freeborn writes:

> As a parable on the way the masses seek, in their very deliverance from tyranny, to summon new gods to rule over them, <u>The Iron Flood</u> unintentionally reveals in all their nakedness the processes that lead to 'a cult of personality'.⁹⁰

And the gods they summon are totemic. Kozhukh develops into a representation of the social unit, its focus and the guarantor of its identity and cohesion. As a socialist realist hero, he serves the function of 'society's official mandala': its religious representation or its totem.⁹¹

The literature of the 1930s, however, appears to have given this function less to its leading characters than to its leader. It was traditionally through a momentous meeting with Stalin himself that the hero of the time achieved a state of consciousness.⁹²

Despite the God-building subtext of Stalinist fiction, the emphasis is notably less on individual growth and transfiguration than on the message that the value of the ordinary, 'little' man can only be measured in terms of his loyalty to the collective and its leader.93 The hero denies himself, subordinates his will and his life to a greater power or authority, and accomplishes his mission. His efforts are racked with apparently insurmountable obstacles. He may, like Pavel Korchagin in Nikolai Ostrovskii's <u>Kak zakalialas' stal'</u> (<u>How Steel was</u> Tempered, 1934), face not just the perils of battle, but the torment of progressive physical disability. Yet his work goes on. Paralysed and blind, Korchagin writes a successful war novel. He may not achieve the status of a god-like embodiment of the collective, but his capacity to

strive and give for the Party is unbounded.

Clark's analysis of the structure of Stalinist cosmology suggests that it represents a travesty of the shamanistic system of cosmic order. She proposes a structure in which the Kremlin stands as a link between the terrestrial shadow world of ordinary mortals and the 'higher' reality inhabited by 'those supraterrestrial beings, Lenin and Stalin'.⁹⁴ Like the shamanistic cosmic tree or pillar, the Kremlin is a Jacob's Ladder between the heavens and the earth. It is given only to the elect who strive hardest, the artist-seers or the supreme achievers (which is what Korchagin - in a modest way becomes) to climb the tree at great personal cost and, like the shaman, give the earth's inhabitants a promise of the transcendent.

The socialist realist texts of the Stalin era presented a focus on an unattainable supreme reality through which the reader was to realise himself in relation to the collective. The heroes of the time indicated that through faith and effort anything was possible. Social and even biophysical laws could be overcome. In Fedor Panferov's novel <u>Volga-matushka reka</u> (<u>Mother Volga</u>, 1953) it is suggested, for example, that the limits of science may yet be crossed, and dead matter revived.⁹⁵ In the submission of the individual will and the entire self to the group totem, there was the promise

of neutralising the division between the worlds, of breaking out of the bounds of banal possibility into a new and fuller life in the here and now.

It is probably true to say that only two major prose writers of this period took up the system of thought which, in the past, had resisted the impulse to identify the sacred with society and its current leadership. They are Mikhail Bulgakov and Boris Pasternak.

Set against the backdrop of 'classical', Stalinist socialist realism, Bulgakov's Master i Margarita (The Master and Margarita, written 1928-40; published 1966-7) presents in many ways a challenge to the mythological model of its time. It does so in two ways. First, it inverts the relationship between the two spheres of reality which classical socialist realism postulated. The transcendent reality is not to be striven for by supreme effort and heroic achievement. It comes down to earth of its own accord. An encounter with it is shown to be not only attainable but ultimately inescapable. It is an immanent truth, intervening and participating in the world. Second, instead of offering a final answer to the meaning of past and future, Bulgakov's novel presents the problem or puzzle which demands reader with a participatory evaluation.96

Rather than paint a formal canvas of a modern god,

Bulgakov offers us an animated sketch of the devil. Yet the attributes of Woland as demon have, it has frequently been noted, only limited importance.⁹⁷ He does punish and he does fall upon the acquisitive instinct as a way of ensnaring Moscow's bewildered population, but the purpose of his teasing is not to appropriate the human soul. The retribution he brings serves rather as evidence of a state of things in which denial of the supernatural and preternatural must bring with it the annihilation of consciousness. The fate of Berlioz - the rationalist decapitated - is emblematic.

The image of Woland as Mephistopheles goading a humanity sunk below its proper level, and seeking perhaps to be its master, loses the firmness of its outline as it becomes evident that he may be an agent of salvation or, at least, of relief from the troubles of this world. His shape is further disturbed by the role he shares with Bulgakov's 'Christ', Ieshua, as representative of another sphere of existence. And his arrival, like Ieshua's, gives characters caught up in the concerns of mundane reality a pointer towards a fuller level of being.⁹⁸

Woland, then, is as much 'angel' or messenger as demon. He brings retribution, but he also offers revelation. And above all, he bears the story of Ieshua and Pilate. The devil, as Andrew Barratt remarks, 'is also a new evangelist'.⁹⁹ Interpretations of his role have led

to conclusions that he is 'a punishing sword in the hands of justice', a catalyst, a satirist or a folk-tale donor figure who provides the hero with a magical agent.¹⁰⁰ One attractive proposal suggests that he is a 'Gnostic Messenger': a supernatural being descended to earth with a message which carries enlightenment, but only for the few able to decipher it.¹⁰¹

The notion of a Gnostic Message also has bearing on the way the novel as a whole is formulated. It is built of clues and false trails. The characters from the other world are masked by their appearance, their names and the literary or religious allusions they carry.¹⁰² Earthly characters who hear the message (Margarita, Master, Bezdomnyi) are granted, selectively, some degree of understanding; but only once they have risked abandoning the forms of thought and images of the self on which mundane reality is founded.

<u>Master i Margarita</u> is also structured around a traditional religious allusion (the Passion) which provides a 'cover' shading new modes of vision. Lesley Milne's argument that the novel's form can best be compared to the dramatic tradition of the medieval mystery play and carnival is helpful in that it emphasises the conflicting yet productive relationship in art between old hermeneutic patterns and the host of innovative (and frequently irreverent) thoughts they can help to

release.103

The carnival festivities which accompanied many religious feasts in the middle-ages promoted, Mikhail Bakhtin writes, liberation from the prevailing point of view of the world:

> Карнавально-гротескная форма . . помогает освобождению от господствующей точки зрения на мир, от всякой условности, от ходячих истин, от всего обычного, привычного, общепринятого, позволяет взглянуть на мир по-новому, почувствовать относительность всего существующего и возможность совершенно иного миропорядка.¹⁰⁴

The form of <u>Master i Margarita</u> is by its nature liberating. It gives scope for the subversion of established forms: religious, social, political and literary. It breaks through the conventions of socialist realism and displays instead a more symbolist vision of dual reality (dvoemirie) - although Bulgakov does not allow himself to be drawn into the darkly pessimistic evocation of things earthly which frequently characterised symbolist prose.105

As it challenges the socialist realist model, the novel inverts the heroic image: in Bulgakov's world, fear and cowardice are the norm. It gives the reader the devil as compère rather than the totemic god as guide. And it puts into the mouth of the most 'positively good' character (Ieshua is 'polozhitel'no prekrasnyi', in the tradition of Prince Myshkin) a philosophy with an 'anarchistic' flavour.¹⁰⁶ Ieshua's thinking rests firmly

on the belief that there is no need for temporal power because all men are by nature good. The allegiances of earthly power are fleeting and have no significance in terms of the true reality. Death may be an unexpected visitor, as the fate of Berlioz shows, and all the energy invested in securing position or possessions may be dissipated in an instant.¹⁰⁷

The totemic glorification of wealth, state or collective is exposed as hollow. And the importance of Christianity is shown to lie not in its tradition, nor in the history its records chronicle, but in the deeper levels of human experience it touches. The Christian story may be relived and recreated by a creative intuition which can unify men of the past and of the present. It is, in Jungian terminology, an 'archetypal' narrative or expression of the collective unconscious which, Barratt observes:

> might be seen to have found fictional expression in Bulgakov's presentation of the Jerusalem narrative as a sort of primordial truth accessible to a number of individuals.¹⁰⁸

If primordial reality can be contacted through the work of the creative artist, then - as mediator between the mundane and the beyond - he performs the function of a priest. Such, indeed, was the part assigned for the artist by the symbolist system of metaphysics.¹⁰⁹ He would reveal, beyond the symbols and passing changes of the phenomenal world, the true order.

It was a role Bulgakov seems to have allowed with a healthy degree of detachment and irony. And it was one which, in more sombre mood, was also taken up by Boris Pasternak.

The appearance in Italy of <u>Doktor Zhivago</u> (<u>Doctor</u> <u>Zhivago</u>, 1957) generated a series of attacks in the Soviet press. The novel was dubbed 'historically prejudiced' and 'profoundly anti-democratic'.¹¹⁰ One critic, A. Surkov, complained that it was a 'petty travesty of history' and contained 'not one sympathetic portrait of a revolutionary'.¹¹¹

Like <u>Master i Margarita</u>, Pasternak's novel has no active or 'positively' effectual hero. In a world preoccupied with changing and remoulding the quality of existence, Iurii Zhivago, it has been alleged, seems to do little of practical use to help either himself or others. Isaac Deutscher impatiently, if memorably, dismisses him as a second Oblomov 'in revolt against the inhumanity of a revolution that has dragged him out of bed'.¹¹² In a more measured analysis, Ronald Hingley draws a parallel with Prince Myshkin: 'He <u>is</u> good certainly. But what good does he do?'¹¹³

Zhivago's passivity, or his fatalism, forms part of a specific conception of historical change. Pasternak's purpose, as he expressed it (in English) in a letter to

Stephen Spender was to:

represent the whole sequence of facts and beings and happenings like some moving entireness, like a developing, passing by, rolling and rushing inspiration, as if reality itself had freedom and choice and was composing itself out of numberless variants and versions.

Hence the not sufficient tracing of characters I was reproached with...hence the frank arbitrariness of the 'coincidences' (through this means I wanted to show the liberty of being, its verisimilitude touching, adjoining improbability).114

The moulding or changing of material substance has no application to the wholeness of a life which is free spirit, moved by its own breath, formed and reformed in a continuous re-enactment of resurrection:

> Все время одна и та же необъятно тождественная жизнь наполняет вселенную и ежечасно обновляется в неисчислимых сочетаниях и превращениях. ¹¹⁵

This vision, close as it is to the tradition of Russian religious thought, gave the novel a philosophical foundation and artistic shape which - more overtly even than Bulgakov's - challenged the official literary model of its time. Implicitly it reaffirmed the beliefs of religious philosophers at the beginning of the century: that life is in a constant state of transfiguration, and advancing towards an end which is unknowable, but right, because it has love and creativity at source. It also indicated that the tools by which death might be overcome were already in human hands. Christ's doctrine of love for one's neighbour (which Zhivago's uncle, Nikolai Vedeniapin, sees in terms of driving 'energy' reminiscent

of Zosima's notion of 'active love'), the belief in free personality and in life as a sacrifice by which individuality may be transcended, are means for historical man to respond to the obscenity of terminal existence.¹¹⁶ The self is called not to stand up and fight for individual rights, but to be, as it were, dissolved in the lives of others. The idea is conveyed in Zhivago's poem 'Svad'ba' ('Wedding'):

> Жизнь ведь тоже только миг, Только растворенье Нас самих во всех других Как бы им в даренье.¹¹⁷

But without the element of artistic creativity, any formulated truths, ideological or religious, lose their value. As Iurii Zhivago remarks:

> Фактов нет, пока человек не внес в них чего-то своего, какой-то доли вольничающего человеческого гения, какой-то сказки.¹¹⁸

Where the story, the creative link with the collective unconscious, has been lost - in a language full of platitudes, or in the subjugation of personality to an imposed doctrine - life is barren. And the artist as priest or prophet carries the burden of reawakening an awareness of the barely perceptible system of connections which weaves the fabric of human lives and histories.

Of the lyrical poems which form an appendix to the novel, 'Gefsimanskii sad' ('The Garden of Gethsemane') in particular reaffirms in Christian imagery the final order to which the ages are turbulently flowing:

'Я в гроб сойду и в третий день восстану, И, как сплавляют по реке плоты, Ко мне на суд, как баржи каравана, Столетья поплывут из темноты.'119

For Pasternak, Henry Gifford has written, 'to live in history is to live in the awareness of grace, of a divine purpose in things'.¹²⁰

The impact of Pasternak's thinking on Soviet literature during and after the 'thaw' has not yet been fully measured. Given the wide circulation of samizdat in the 1960s and 1970s, it can reasonably be assumed to have been considerable long before the official publication of Doktor Zhivago in Novyi mir in 1988.¹²¹ A revival of interest in Dostoevskii (a 10-volume edition of his writings began to appear in the mid-1950s, and a 30-volume edition was launched in 1972), and the interest among intellectuals in early twentieth century neo-Kantian philosophers such as Sergei Bulgakov, Nikolai Berdiaev, Petr Struve and Semen Frank (then unpublished in the Soviet Union) certainly encouraged an increasing values consciousness of religious among the intelligentsia.¹²² Familiarity with Pasternak's writing would have added to this awareness still further.

Like the leading contributors to the <u>Vekhi</u> (<u>Landmarks</u>) symposium of 1909 - Bulgakov, Berdiaev, Struve and Frank - Pasternak had known Marxism and its consequences directly. Like them he had turned away from

it on the grounds that man was an independent creative being who freely chose his own life and determined his own history. With them, he concluded that the driving force in society was not the acquisitive instinct and class struggle, but personality. The uncorrupted personality was linked by nature with God and moving not in the direction of proletarian revolution but of ultimate reunion with Him.¹²³

The part Dostoevskii (who seemed to have predicted so many of the pitfalls of revolutionary socialism), Pasternak and the <u>Vekhi</u> thinkers played in the 1960s was chiefly defined by their role as links between Russia's past and her present. Their thinking was particularly helpful to intellectuals disillusioned with Marxism but still vulnerable to its influences. And it also carried the fascination of bringing readers closer to the prerevolutionary Russian tradition which Marxism-Leninism had sought to obliterate.

Dimitry Pospielovsky has suggested that the religious orientation in cultural circles of the 1960s was, initially at least, secondary to the interest in Russia's heritage.¹²⁴ A thirst for national and cultural identity, together with a sense of the paltry role allowed to the individual by Soviet ideology, helped create conditions in which the image of the uneducated and impoverished peasant - as the guardian of authentic Russian values and victim

of the Soviet experiment - could become central to the literature of the period. Aleksandr Solzhenitsyn's novella 'Matrenin dvor' ('Matrena's House', 1963) which introduced the figure into Soviet fiction, became a model for much subsequent writing about the daily life and traditions of country people and communities.¹²⁵

In the 1950s 'rural fiction' (derevenskaia proza) had offered a new, critical portrayal of country life after collectivisation. In the mid-1960s, it took up the moral teaching on social repercussions of individual virtue from 'Matrenin dvor', and developed a strikingly antiteleological quality. Much of it is concerned with the implicit rejection of historicism, purpose and progress in favour of the exploration of the potential of personal experience. In their existential search for truth not as abstract 'Idea' or an as pure thought, but (in Kierkegaard's words) as something 'to exist in', the writers of rural prose turned to the familiar and the authentically known.¹²⁶ They reassessed man's condition and identity in terms of their own memories of the past and those of their generation.

The mood of their writing suggested that life directed towards a specified purpose was lived in error, and urged that the objective moral content of existence was a better measure of its value. The experience of Stalin's camps and the boldness with which dissenting

writers such as Solzhenitsyn or Varlam Shalamov depicted the human consequences of a system which had deprived so many people of their lives, freedom and personal dignity, could not but demand an alternative framework within which to examine moral, social and historical issues. The urge to turn literature back into the art form designed to 'straighten the twisted paths of man's history' and 'preserve the national language and the national soul' (as Solzhenitsyn put it in his 1971 Nobel Prize Speech) was shared intellectuals by associated with the well as by dissidents.¹²⁷ establishment as Anatolii Lanshchikov's statement in support of the Orthodox Christian tradition at a closed meeting for critics in 1969 has been cited as one of the more striking official calls for a system of thought to fill the spiritual vacuum in a society divorced from the ideal which had once sustained it:

> Мы ищем утерянный идеал! Наша молодежь идеала не находит.... Нам нужны ...положительные идеалы.... О религии. Я откровенно скажу: если отрицать роль православия, я не знаю, что бы тогда осталось в России. 128

The purpose had been lost; the end could no longer be presented as justification for action and the means were now subject to assessment according to moral, not sociological, criteria. 'It is not the result that counts!' ('Ne rezul'tat vazhen!'), is the heartfelt cry in Solzhenitsyn's <u>Arkhipelag GULag</u> (The Gulag Archipelago,

1973-76):

Не результат - а ДУХ! Не <u>что</u> сделано - а <u>как</u>. Не что достигнуто - а какой ценой. ¹²⁹

Writing on the nineteenth century terrorist Nechaev, and using an idiom and frame of reference which reflects the socialist rhetoric of the early 1980s, Iurii Trifonov expresses a remarkably similar thought:

> Для Нечаева, так же как и для иезуитов, главной была цель. Средства не имели для него никакого Это значения. лишало цель нравственного содержания. И отсюда возникли необычайные сложности, потому что цель лишалась человечности. Ведь нравственность - это всегда Поэтому нечаевщина в конечном человечность. итоге выродилась в 'революционный бандитизм'.130

After the revelations of Khrushchev's 'Secret Speech', and the witness of those who returned from the camps in the years following the 20th Party Congress in 1956, it became impossible for writers to continue looking upon individuals as projections of social forces. To a degree, it had to be recognised that man was a free agent, living in dialogue with the external world and seeking a relationship with it. And in that thinking lay the animist that reality consists not of one unit surmise of consciousness - the collective - but also of other independent forms of consciousness, other realities embedded, reflected or expressed in natural phenomena.

As Sergei Zalygin wrote in 1972, literature was there to chronicle the burgeoning changes in the Soviet vision of things:

А в наших сегодняшних представлениях и ощущениях

пространства не кроется ли догадка, не говорит ли предчувствие того, иного мира, в котором 'все не так', и прежде всего 'не то' время, и 'не те' границы жизни человека, от рождения до его смерти?

Кто же должен подметить в человеке уже сегодня его новые чувства, если не художественная литература? ¹³¹

Notes to Chapter 2

1. In a recent study of 'desert' monasticism, Andrew Louth draws attention to the following major figures in the history of Russian hesychasm: Sergii Radonezhskii (c.1314-92), Nil Sorskii (c.1433-1508), Paisii Velichkovskii (1722-94), Tikhon Zadonskii (1724-81), Serafim Sarovskii (1759-1833), Amvrosii of Optina Pustyn' (1812-91). Andrew Louth, <u>The Wilderness of God</u> (London, 1991). See also G.P. Fedotov, <u>The Russian Religious Mind</u>, 2 vols (Cambridge, Mass., 1946-66) and G. P. Fedotov, ed., <u>A</u> <u>Treasury of Russian Spirituality</u> (London, 1950).

2. Dmitrii Likhachev has suggested that the official acceptance of Christianity by Kievan Rus' was an act of state which deprived the Church of its freedom. Andrei Chernov, 'Predvaritel'nye itogi tysiacheletnego opyta: Beseda s D. S. Likhachevym', <u>Ogonek</u>, No. 10 (1988) p. 12. See also William van den Bercken, 'The Rehabilitation of Christian Ethical Values in the Soviet Media', <u>Religion in Communist Lands</u>, vol. 17, No. 1 (1989) p. 9.

3. Aleksandr Blok wrote in his introduction to the cycle <u>Na pole Kulikovom</u>:

Куликовская битва принадлежит...к символическим событиям русской истории. Таким событиям суждено возвращение. Разгадка их еще впереди.

Quoted in Aleksandr Blok, <u>Sochineniia v 2-kh tomakh</u>, vol. 1 (Moscow, 1955) p. 734.

4. R. M. French, <u>The Eastern Orthodox Church</u>, (London, 1961) pp. 97-8. Nicolas Zernov also lends his support to this interpretation of the liberation of Russia from the Mongol yoke. He writes of Sergii Radonezhskii: 'The peace-loving saint contributed more than anyone else to the liberation of his nation from fear and from the Mongols.' Nicolas Zernov, <u>Eastern Christendom</u> (London, 1961) p. 119.

5. Constantinople fell to the Turks in 1453. Timothy Ware observes:

To the Russians it seemed no coincidence that at the very moment when the Byzantine Empire came to an end, they themselves were at last throwing off the few remaining vestiges of Tartar suzerainty: God, it seemed, was granting them their freedom because He had chosen them to be the successors of Byzantium.

Timothy Ware, <u>The Orthodox Church</u> (Harmondsworth, 1982) p. 112.

6. Ibid., p. 114.

7. Ibid., pp. 114-17; Jean Meyendorff, <u>L'Eglise orthodoxe</u> <u>hier et aujourd'hui</u>, 2nd ed. (Paris, 1960) pp. 88-9.

8. Ware, Orthodox Church, p. 118.

9. Ibid., pp. 121-2. As Ware also points out, <u>pravoslavie</u> means both 'right belief' and 'right worship' or 'right glory'. The Orthodox regard their Church as the guardian of true belief about God, and of the correct way of worship. Ibid., p. 16. Zernov explains that Nikon's campaign for the unification of Muscovite, Greek and Ukrainian rituals was prompted by his desire to champion the cause of oppressed Christians from Ukraine to the Balkans. For that purpose differences in ritual had to be ironed out. Zernov, <u>Eastern Christendom</u>, pp. 144-6.

10. Ware, <u>Orthodox Church</u>, p. 122. Nicolas Zernov, however, interprets the schism as a quarrel between 'Third Rome' messianists such as Avvakum, who were refusing to relinquish their role as the new chosen people, and Nikon's willingness to abandon the 'Third Rome' theory in favour of closer links with Byzantium. Zernov, <u>Eastern</u> <u>Christendom</u>, p. 153.

11. Ware, Orthodox Church, p. 16.

12. Georges Florovsky, 'Sobornost: The Catholicity of the Church', in E. L. Mascall, ed., <u>The Church of God: An</u> <u>Anglo-Russian Symposium</u> (London, 1934) pp. 64-5, quoted in Ware, <u>Orthodox Church</u>, pp. 206-7.

13. Ibid., p. 206.

14. Nicolas Zernov, <u>The Russian Religious Renaissance of</u> the <u>Twentieth Century</u> (London, 1963) p. 285.

15. Ibid., pp. 285-6, 289-92.

16. Iosif Volokolamskii argued not only that profits from monastic land were used for the poor, but that 'things dedicated to God' were in themselves sacred and inalienable. J. L. I. Fennell, <u>The History of the Conflict</u> between the 'Possessors' and the 'Non-Possessors' in <u>Russia and its Reflection in the Literature of the Period</u> - the End of the XVth Century and the First Half of the XVIth Century, PhD dissertation, Trinity College, Cambridge, 1951, pp. 71-2.

17. S. Zenkovsky, <u>Russian Old Believers, or Spiritual</u> <u>Movements of the 17th Century</u> (Munich, 1970) p. 42, as cited in Kirill, Archbishop of Smolensk and Viazma,

'Russian Orthodox Life Today - The Second Millennium', Gresham Special Lecture 1988, 14 July 1988, p. 4.

18. Meyendorff, <u>L'Eglise orthodoxe</u>, pp. 90-1.

19. Geoffrey Hosking, 'Homo Sovieticus or Homo Sapiens? Perspectives from the Past', Occasional Papers No. 6, School of Slavonic and East European Studies, London (1987) p. 5.

20. Zernov, <u>Russian Religious Renaissance</u>, p. 7.

21. John Sheldon Curtiss, <u>Church and State in Russia: The Last Years of the Empire - 1900-1917</u> (New York, 1940) pp. 27, 83, 120-23; I.S. Belliustin, <u>Description of the Clergy in Rural Russia: The Memoir of a Nineteenth-Century Parish Priest</u>, trans. Gregory L. Freeze (Ithaca-London, 1985) pp. 26-7, 122-32.

22. Gerhard Simon, <u>Church, State and Opposition in the</u> <u>USSR</u> (London, 1974) p. 12, quoted in Hosking, 'Homo Sovieticus', p. 5.

23. Quoted in Ware, Orthodox Church, p. 112.

24. Ibid., pp. 130-32.

25. Ibid., p. 133; French, <u>Eastern Orthodox Church</u>, p. 108. The hermitage of Optina Pustyn' was closed in 1923-4. The official decision to reopen it was taken on 17 November 1987. 'Three Monasteries Returned to the Russian Orthodox Church', <u>Keston News Service</u>, No. 297, 31 March 1987, pp. 5-6.

26. Zernov, <u>Russian Religious Renaissance</u>, pp. 53-6.

27. Ware, Orthodox Church, p. 133; Louth, <u>Wilderness of</u> <u>God</u>, p. 136.

28. Zernov comments that 'up to the twentieth century sermons were rarely preached, for the priest was expected to submit the text of his proposed instruction for the approval of the diocesan consistory before he delivered it'. Zernov, <u>Russian Religious Renaissance</u>, p. 44. See also Curtiss, <u>Church and State in Russia</u>, pp. 35-7.

29. Zernov, Russian Religious Renaissance, pp. 17-18.

30. Curtiss, Church and State in Russia, pp. 35-48.

31. Hosking, 'Homo Sovieticus', p. 6.

32. Scholars who have noted the similarity include P. V. Annenkov, Nikolai Berdiaev and Fedor Stepun. See Zernov, <u>Russian Religious Renaissance</u>, pp. 3-4.

34. Harold Williams, <u>Russia of the Russians</u> (London, 1914) p. 129, quoted in Zernov, <u>Russian Religious Rennaissance</u>, p. 4.

35. Ibid., pp. 31-3.

36. Ibid., p. 30.

37. Ibid., p. 31.

38. V. G. Belinskii, 'Mysli i zametki o russkoi literature', <u>Izbrannye filosofskie sochineniia</u> (Moscow, 1941) pp. 328-9.

39. Clark, <u>Soviet Novel</u>, p. 50.

40. Richard Gustafson writes:

Narratives may be one of the best forms of theology....If they are very old, we call them myths. In nineteenth century Russia, this sense of the theological role of human narratives was still operative; indeed it may still be so. The images created by artists were taken seriously as words which reveal the Truth.

Richard F. Gustafson, Leo Tolstoy - Resident and Stranger: A Study in Fiction and Theology (Princeton, New Jersey, 1986) pp. xi-xii. The prophetic quality of nineteenth reflected, centurv literature is for example, in Raskol'nikov's feverish dream in the epilogue to Dostoevskii's Prestuplenie i nakazanie (1866), in which he sees peoples and nations infected by a plague of evil spirits, bringing madness, division and destruction. Consider also the concluding lines of Gogol''s Mertvye dushi, vol. 1 (1842) where Russia is seen as a troika galloping onward, past other nations and states, to an unknown destination.

41. Clark, Soviet Novel, p. 251.

42. Ibid., p. 46-7. Chernyshevskii comments directly on the representative or 'typical' nature of his heroes in Chto_delat'?:

Я хотел изобразить обыкновенных порядочных людей нового поколения, людей, которых я встречаю целые сотни...Да, мне хотелось показать людей, действующих, как все обыкновенные люди их типа.

N. G. Chernyshevskii, <u>Chto delat'? Iz rasskazov o novykh</u> <u>liudiakh</u> (Moscow, 1947) p. 300. 43. Clark, Soviet Novel, p. 47.

44. Richard Freeborn, <u>The Russian Revolutionary Novel:</u> <u>Turgenev to Pasternak (Cambridge, 1982) p. 25.</u>

45. Chernyshevskii, Chto delat'?, pp. 278, 302.

46. V. I. Lenin, 'Zamechaniia na knige G.V. Plekhanova "N. G. Chernyshevskii"', <u>Polnoe sobranie sochinenii v 55-ti</u> <u>tomakh</u>, 5th ed., vol. 29 (Moscow, 1963) pp. 541-2, 601-3; Clark, <u>Soviet Novel</u>, p. 50-1; N. K. Gudzii, ed., <u>Khrestomatiia po drevnei russkoi literature XI-XII vekov</u>, 6th ed. (Moscow, 1955) pp. 99-104.

47. 'Lenin o Chernyshevskom i ego romane "Chto delat'?" (Iz knigi N. Valentinova "Vstrechi s V. I. Leninym")', with an introduction by B. Riurikov, <u>Voprosy literatury</u>, No. 8 (1957) p. 132; Freeborn, <u>Russian Revolutionary</u> <u>Novel</u>, p. 24.

48. Ibid., p. 246.

49. F. M. Dostoevskii, <u>Brat'ia Karamazovy</u>, <u>Polnoe sobranie</u> <u>sochinenii v 30-ti tomakh</u>, vols 14-15, vol. 14 (Leningrad 1976) p. 289.

50. 'Zhizn' est' rai....Rai...v kazhdom iz nas zataen.' Ibid., p. 275.

51. Ibid., p. 24.

52. Gustafson, Tolstoy, p. 463.

53. Ibid., p. 456.

54. Ibid., p. 463.

55. Ibid., pp. 457-8.

56. Ibid., p. 457; Jonathan Sutton, <u>The Religious</u> <u>Philosophy of Vladimir Solovyov: Towards a Reassessment</u> (Basingstoke-London, 1988) pp. 62-7.

57. Sutton, Solovyov, pp. 75-8.

58. Gustafson, Tolstoy, p. 458.

59. Nicolas Zernov, <u>Three Russian Prophets</u> (London, 1944) pp. 133-4; Sutton, <u>Solovyov</u>, p. 77.

60. Sutton, <u>Solovyov</u>, pp. 70-4, 78-86. Solov'ev terms the direct and perfect co-operation between the creator and

the creature <u>teokratiia</u> ('theocracy'). See Zernov, <u>Three</u> <u>Russian Prophets</u>, p. 134.

61. Christopher Read, <u>Religion, Revolution and the Russian</u> <u>Intelligentsia, 1900-1912: The Vekhi Debate and its</u> <u>Intellectual Background</u> (London-Basingstoke, 1979) p. 58.

62. In The Wisdom of God, Bulgakov writes:

The Church in the world is Sophia in the process of becoming, according to the double impulse of creation and deification...God created the world only that He might deify it...

The world has already, in principle, become godly in becoming Churchly, through the twofold revelation...in the incarnation of the Word and the descent of the Holy Ghost.

Sergius Bulgakov, <u>The Wisdom of God: A Brief Summary of</u> <u>Sophiology</u> (New York-London, 1937) p. 203; Zernov, <u>Russian</u> <u>Religious Renaissance</u>, p. 296. Solov'ev remarks:

Истинная жизнь должна быть осуществлена в <u>духовном</u> человечестве, т.е. в церкви. Жизнь церкви есть средняя между Божьей и природной.

V. S. Solov'ev, 'Istoriia i budushchnost' teokratii', S. M. Solov'ev and E. L. Radlova, eds, <u>Sobranie sochinenii</u> <u>Vladimira Sergeevicha Solov'eva v 10-ti tomakh</u>, 2nd ed., vol. 4 (St Petersburg, 1911) p. 259.

63. Ware, Orthodox Church, pp. 236-7.

64. Ibid., p. 242.

65. Ibid., pp. 237-8.

66. Ibid., p. 239.

67. Christel Lane, <u>Christian Religion in the Soviet Union:</u> <u>A Sociological Study</u> (London, 1978) p. 27.

68. Ibid., pp. 26-7; Pospielovsky, <u>Atheism</u>, vol. 1, pp. 12, 16, 18. Marx argued that religion was shaped by the ruling class and served to promote its interests by encouraging obedience and acceptance of the social and political <u>status quo</u>. See, for example: Eugene Kamenka, 'Marxism and Religion', in R. F. Miller and T. H. Rigby, eds, <u>Religion and Politics in Communist States</u> (Canberra, 1986) p. 7.

69. Iurii M. Lotman and Boris A. Uspenskii, 'Binary Models in the Dynamics of Russian Culture (to the End of the Eighteenth Century)' in Alexander D. Nakhimovsky and Alice Stone Nakhimovsky, eds, <u>The Semiotics of Russian Cultural</u> <u>History</u> (Ithaca-London, 1985) p. 31. 70. Pospielovsky, Atheism, vol. 1, p. 25-6.

71. Martin Dewhirst and Robert Farrell, eds, <u>The Soviet</u> <u>Censorship</u> (Metuchen, New Jersey, 1973) p. 4; Andrzej Drawicz, 'Ku wieczności wyciągam dłonie' ('Reaching out to Eternity'), <u>Więź</u>, May 1988, p. 3.

72. The description, derived from A. Zhdanov's speech at the First Congress of the Union of Soviet Writers in 1934, comes from the textbook <u>Russkaia sovetskaia literatura</u> (Moscow, 1963) pp. 315-16, quoted in Geoffrey Hosking, <u>Beyond Socialist Realism: Soviet Fiction since Ivan</u> <u>Denisovich</u> (London, 1980) p. 3.

73. These have included N. Chernyshevskii, <u>Chto delat'</u>? (1863); M. Gor'kii, <u>Mat'</u> (1907); F. Gladkov, <u>Tsement</u> (1925); A. Serafimovich, <u>Zheleznyi potok</u> (1924); A. Fadeev, <u>Razgrom</u> (1927); and the first three parts of M. Sholokhov, <u>Tikhii Don</u>, (1928-33). Hosking, <u>Socialist</u> <u>Realism</u>, p. 6.

74. Abram Terts, 'Chto takoe sotsialisticheskii realizm', p. 403.

75. Ibid., pp. 404, 406-7.

76. Clark, Soviet Novel, p. 48.

77. Lotman and Uspenskii, 'Binary Models', p. 34.

78. Hosking, <u>Socialist Realism</u>, pp. 5-6; Clark, <u>Soviet</u> <u>Novel</u>, p. 52.

79. Clark, Soviet Novel, pp. 47-8.

80. Ibid., pp. 15-16.

81. Read, <u>Russian Intelligentsia</u>, p. 85; Timothy Edward O'Connor, <u>The Politics of Soviet Culture: Anatolii</u> <u>Lunacharskii</u> (Ann Arbor, Michigan, 1983) p. 11; Bertram D. Wolfe, <u>The Bridge and the Abyss: The Troubled Friendship</u> <u>of Maxim Gorky and V.I. Lenin</u> (Westport, Connecticut, 1983) p. 45.

82. Peter Yershov, ed., <u>Letters of Gorky and Andreev,</u> <u>1899-1912</u> (London, 1958) p. 40.

83. F. M. Borras, <u>Maxim Gorky the Writer: An</u> <u>Interpretation</u> (Oxford, 1967) p. 110.

84. Maksim Gor'kii, <u>Mat</u>' (Minsk, 1973) pp. 10, 27, 42-4, 85, 166, 221.

85. Clark, Soviet Novel, p. 65.

86. Ibid., pp. 57-63.

87. The characterisation of the medieval prince is drawn from D. S. Likhachev, <u>Chelovek v literature drevnei Rusi</u>, 2nd ed. (Moscow, 1970) pp. 26, 29-31, 39.

88. A. S. Serafimovich, 'Zheleznyi potok', <u>Izbrannye</u> proizvedeniia (Moscow, 1946) p. 501.

89. Ibid., p. 538.

90. Freeborn, Russian Revolutionary Novel, p. 109.

91. Clark, Soviet Novel, p. 48.

92. Ibid., p. 132.

93. Ibid., pp. 152-5.

94. Ibid., p. 142.

95. F. Panferov, <u>Volga matushka-reka</u> (Moscow, 1958) p. 234, cited by Igor P. Smirnov, 'Scriptum sub specie sovietica, 2' in Richard Freeborn and Jane Grayson, eds, <u>Ideology in Russian Literature</u> (London, 1990) pp. 163-4.

96. Andrew Barratt, <u>Between Two Worlds: A Critical</u> <u>Introduction to the Master and Margarita</u> (Oxford, 1987) pp. 3-5.

97. E.g. V. Lakshin, 'Roman M. Bulgakova "Master i Margarita"', <u>Novyi mir</u>, No. 6 (1968) pp. 307-8; Barratt, <u>Between Two Worlds</u>, p. 144.

98. Barratt, <u>Between Two Worlds</u>, pp. 128-9; Lesley Milne, <u>The Master and Margarita - A Comedy of Victory</u> (Birmingham, 1977) p. 6.

99. Barratt, Between Two Worlds, p. 160.

100. Ibid., p. 144; Ellendea Proffer, <u>Bulgakov: Life and</u> <u>Work</u> (Ann Arbor, 1984) p. 534; Milne, <u>Master and</u> <u>Margarita</u>, p. 20; Sona Stephan Hoisington, 'Fairy-Tale Elements in Bulgakov's <u>The Master and Margarita</u>', <u>Slavic</u> <u>and East European Journal</u>, vol. 25, No. 2 (1981) p. 49.

101. Barratt, Between Two Worlds, p. 171.

102. Woland by his Mephistophelian image; Ieshua by his Christ-like demeanour. Ieshua, as Milne notes, is a phonetic rendering of the Aramaic 'which avoids all preconceived attitudes of antagonism towards the name Jesus'. Milne, <u>Master and Margarita</u>, p. 7; Barratt, <u>Between Two Worlds</u>, pp. 135-6.

103. Milne, <u>Master and Margarita</u>, pp. 2-3.

104. M. Bakhtin, <u>Tvorchestvo Fransua Rable i narodnaia</u> <u>kul'tura srednevekov'ia i renessansa</u> (Moscow, 1965) p. 41.

105. Barratt, Between Two Worlds, pp. 317-20.

106. Nikolai Berdiaev writes:

Очень ошибочно отожествлять анархизм с анархией. Анархизм противоположен не порядку, ладу, гармонии, а власти, насилию, царству кесаря. Анархия есть хаос и дисгармония, т.е. уродство. Анархизм есть идеал свободной, изнутри определяемой гармонии и лада, т.е. победа Царства Божьего над царством кесаря.

Nikolai Berdiaev, <u>Russkaia ideia: (Osnovnye problemy</u> <u>russkoi mysli XIX veka i nachala XX veka)</u> (Paris, 1946) pp. 154-5.

107. Proffer, Bulgakov, p. 532.

108. Barratt, Between Two Worlds, p. 249.

109. Ibid., p. 318.

110. Letter from <u>Novyi mir</u> to Pasternak (1956), published in <u>Literaturnaia gazeta</u>, 25 October 1958; cited in Robert Conquest, <u>Courage of Genius</u> (London, 1961) p. 163.

111. Interview with A. Surkov, <u>News Chronicle</u>, 19 January 1959, quoted in Conquest, <u>Courage of Genius</u>, p. 69.

112. Isaac Deutscher, 'Pasternak and the Calendar of the Revolution (1959)', in Donald Davie and Angela Livingstone, eds, <u>Pasternak: Modern Judgements</u> (London, 1969) p. 249.

113. Ronald Hingley, <u>Pasternak: A Biography</u> (London, 1983) p. 217.

114. <u>Encounter</u>, No. 4-5, August 1960, quoted in Freeborn, <u>Russian Revolutionary Novel</u>, p. 212.

115. Boris Pasternak, <u>Doktor Zhivago</u> (Ann Arbor, 1959) p. 68.

116. Ibid., pp. 9-10, 42, 66.

117. Ibid., p. 543.

118. Ibid., p. 124.

119. Ibid., p. 566.

120. Henry Gifford, <u>Pasternak: A Critical Study</u> (Cambridge, 1977) p. 188.

121. Boris Pasternak, 'Doktor Zhivago', <u>Novyi mir</u>, No. 1 (1988) pp. 5-112; No. 2 (1988) pp. 96-157; No. 3 (1988) pp. 90-174; No. 4 (1988) pp. 48-128.

122. The rejection of Marxism by the <u>Vekhi</u> thinkers was, Geoffrey Hosking points out, stimulated by an encounter with Kant which gave them the insight that 'man was not primarily a causally generated material phenomenon, to be explained in a "science of society" generated by pure reason, but above all a free spirit, the subject and creator of history'. Hosking, <u>Socialist Realism</u>, p. 31. See also Aleksandr Piatigorskii, 'Zametki o "metafizicheskoi situatsii"', <u>Kontinent</u>, No. 1 (1974) pp. 211-24.

123. Hosking, Socialist Realism, p. 31.

124. Pospielovsky, 'Neo-Slavophilism', p. 322.

125. Hosking, Socialist Realism, pp. 55-6.

126. Søren Kierkegaard, <u>Concluding Unscientific Postscript</u> (1846), trans. David F. Swenson and Walter Lowrie (Princeton, 1941) p. 275, quoted in H. J. Blackham, <u>Six</u> <u>Existentialist Thinkers</u> (London, 1951) p. 3.

127. Aleksandr Solzhenitsyn, '"One Word of Truth...", The Nobel Speech on Literature 1970' (London, 1972) p. 15.

128. 'Na seminare literaturnykh kritikov' (Central House of Writers, Moscow, 25 April 1969), <u>Politicheskii dnevnik:</u> <u>1964-1970</u> (Amsterdam, 1972) pp. 505-6. See also Pospielovsky, 'Neo-Slavophilism', p. 323.

129. A. Solzhenitsyn, <u>Arkhipelag GULag 1918-1956</u>: <u>Opyt</u> <u>khudozhestvennogo issledovaniia</u>, vol. 3-4 (Paris, 1974) p. 596.

130. Iu. Trifonov, 'Roman s istoriei', interview by Ral'f Shreder, <u>Voprosy literatury</u>, No. 5 (1982) p. 68; David Gillespie, <u>Man and Soviet Society in the Works of Vasily</u> <u>Belov and Valentin Rasputin, 1960-81</u>, PhD thesis, University of Leeds, Department of Russian Studies, 1984, p. 25. 131. S. Zalygin, 'Pisatel' i Sibir'', in <u>Literaturnye</u> <u>zaboty</u> (Moscow, 1972) p. 63.

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PART II: ANIMIST VISIONS

Chapter 3. Valentin Rasputin

(i)

The spate of prose about life in rural communities was a form of cultural reaction to a stale, urban-oriented ideology and to socio-economic policies which Stalin and later Khrushchev had implemented. Collectivisation, the onslaught on the kulaks and the migration of millions of Soviet peasants from their home villages to towns - for education, jobs and escape from officially denigrated life in the countryside - produced two generations of disorientated town-dwellers, with displaced identities.¹ They had been uprooted from an agricultural environment that was self-sufficient and culturally hermetic, to find themselves in a mechanised world that was incomprehensible, isolating, and ultimately demeaning.

Andrei Amal'rik has written of the confusions and fears of those consigned to the newly formed 'proletarian' class:

> 'Пролетаризация' деревни породила 'странный класс' - не крестьян и не рабочих, с двойной психологией собственников своих микрохозяйств, и батраков гигантского анонимного предприятия.... Далее, колоссальный отлив крестъянской массы из деревни в город породил и новый тип горожанина: человека, разорвавшего со своей старой средой, старым бытом и культурой и с большим трудом обретающего новые, чувствующий себя в них неуютно, одновременно запуганного очень И

агрессивного.2

In response to this common psychological plight, writers who shared a similar background recreated in their work a setting to ease the supressed nostalgia of those who, like them, had abandoned and perhaps denied the life they knew and understood most fully.³

Many of the best known writers of 'rural prose' had personal memories of the confusion and distress of transition from country to city. Their work represented an attempt to recapture a lost integrity of personality by stirring up dormant memories of village childhood and formative experiences in a rural environment.⁴ Through recollection they sought to recover ways of living and perceiving with which to fill the vacuum of an industrialised urban wasteland.

To that extent rural prose was an invocation to pastoral tranquillity, to the healing power of memory and a way of directing thought to an internal point of balance. The introductory section to Viktor Astaf'ev's 'Oda russkomu ogorodu' ('Ode to a Russian Kitchen Garden', 1972), for example, reflects more than just sentimental 'yearning for...a rural childhood' which Gillespie has detected.⁵ It suggests too that happy and serene memories, invoked in a style similar to prayer, can help to restore psychological health and clarity of vision.

> Память моя, сотвори еще раз чудо, сними с души тревогу, тупой гнет усталости, пробудившей угрюмость и отравляющую сладость одиночества. И

Воскреси...во мне мальчика, дай успокоиться и очиститься воэле него. Ну хочешь, я, безбожник, именем господним заклинать тебя стану, как однажды, оглушенный и ослепленный войною, молил поднять меня со дна мертвых пучин и хоть чтонибудь найти в темном и омертвелом нутре? И вспомнил...то, что хотели во мне убить, а вспомнив, оживил мальчика - и пустота снова наполнилась звуками, красками, запахами.⁶

If emotional and spiritual health were not to be irredeemably lost, a new blueprint for living was indispensable. However far removed from the actualities of Soviet life <u>derevenskaia proza</u> might appear, it was just such a blueprint that its writers sought to offer. Vasilii Belov's reflective depiction of the traditional life of the northern peasantry, 'Lad' ('Harmony', 1979-81) suggests, for instance, that holistic rural existence in tune with the cycles of nature allowed for fuller, more creative and varied, human development. Its pace permitted the individual to mature in his own way and reaffirmed the harmonious and rhythmic variety of life itself, allowing the creative source within to follow its full, natural course.⁷

Ритм - одно из условий жизни

Гармония как духовная и физическая по отдельности, так и вообще – это жизнь, полнокровность жизни, ритмичность. Сбивка с ритма – это болезнь, неустройство, разлад, беспорядок....

Ритмичная жизнь, как и музыкальное звучание, не подразумевает однообразия...он (ритм) помогает прозвучать индивидуальности и организует ее, словно мелодию в музыке. Ритм закрепляет в человеке творческое начало.⁸

Ekaterina Starikova's observation that village prose

sought less to reflect the panorama of rural existence than to point to the spiritual consequences of the social transformation of the countryside, draws attention to its role as a window on moral re-evaluation.⁹ Rural life could act as a litmus to test for truth and falsehood, right and wrong, because it gave direct access to structures and laws organically inherent in human beings as in the natural world. These, if followed, might prove to be man's deliverance.¹⁰

Gillespie has observed that, in respect of its rediscovery of new values based on spiritual links with the natural environment and the cultural tradition formed thereby, 'village prose... reflects the tradition of "man and nature" in...pre-revolutionary Russian literature.'11 It would be unrealistic to refute out of hand the influence of the idealised nineteenth century image of the peasant as a 'human type embodying natural, elemental wisdom and morality'.¹² But it is also true that depictions of peasants by writers as diverse as Vasilii Belov or the Armenian derevenshchik, Grant Matevosian, give evidence of serious, innovative attempts to identify some of the elements which compound the raw human personality. As the Soviet critic, Lev Anninskii, observed in a comparison of these two writers published in 1982: 'Pered nami - v raznykh variantakh - popytka sobrat' cheloveka'.13

The narrative orientation of leading writers of the 1960s and 1970s, such as Belov, Vasilii Shukshin, Zalygin, Astaf'ev or Rasputin, gave rural or uneducated figures a degree of linguistic psychological and autonomy uncharacteristic either of nineteenth century prose or socialist realism.¹⁴ It had, however, already been pioneered by Solzhenitsyn in 'Odin den' Ivana Denisovicha' ('One Day in the Life of Ivan Denisovich', 1962). In 1977, shortly after the publication of the novella 'Proshchanie s Materoi', Valentin Rasputin remarked how important it was that an author should be on an equal footing with his characters and never presume himself to be wiser or more experienced than they.¹⁵

The increasingly 'polyphonic' range of characters and voices was also interwoven with a strong sense of their cultural background and its millennial tradition. As Zalygin wrote in 1969:

> Видимо, наше поколение – последнее, которое своими глазами видело тот тысячелетний уклад, из которого мы вышли без малого все и каждый. Если мы не скажем о нем и о его решительной переделке в течение короткого срока – кто же скажет?¹⁶

The past, Zalygin reportedly also observed, had been written into human psychology.¹⁷ The awareness in rural prose of an ancestry and a millennium of chronicled history, tradition and religious thought allowed literature once again to explore more profound ontological issues. Such renewed sense of a personal and historical

heritage, from which Soviet man had been torn by revolution and social change, was bound to beg questions on his relationship with a lost ancestry, and with that most ancient ancestry of all - nature.¹⁸

The restoration of the broken connection between modern man, his ancestry, and his land became perhaps the most salient theme of prose in the late 1960s, and 1970s. And it was expressed most richly in the form designed to encompass both history and metaphysics - myth.¹⁹

(ii)

The function of myth, it has been suggested earlier, is to build a historical, cosmological and moral bridge between a temporal order and an eternal order. It may also be that - in Joseph Campbell's words - a 'recognition of that ultimate mystery transcending names and forms "from which...words turn back"' could be a criterion for discriminating between a genuine mythological model and an artificial one (see above, Chapter 1, pp. 13, 15-16).

If the novelist who most successfully broke through ideological 'myth', and revived an authentically mythological quality in prose published in the 1960s was Mikhail Bulgakov, his successor in the 1970s was arguably Valentin Rasputin.

In his fiction Rasputin evoked in archetypal imagery

3. Valentin Rasputin

accessible far beyond the Russian context, experiences of numinous the which socialist realism categorically excluded. The term 'numinous' will be used here as it was defined by Rudolf Otto in The Idea of the Holy (1917), indicating the mystical sensation of 'sacred' or 'holy', without the moral or rational elements which either of these words might carry.²⁰ As Otto explains it, the 'numinous' carries the fascination of a supreme, incomprehensible, unapproachable, vital, overpowering presence. It is objective, outside the self, and on this presence the self realises it ultimately depends.²¹ The term makes it possible to circumnavigate difficulties arising with the use of words such as 'sacred' and 'profane' which are laden with associations inappropriate and unhelpful in this context. When used here, 'profane' denotes neither 'irreverent' nor 'polluted', but rather 'known' or 'familiar'. Similarly, 'sacred' carries no moral or interdictory connotations.

In Rasputin's writing, the numinous principle (which is unchangeable and knows neither form nor limitation) is shown to be manifest in relative or profane reality. Paradoxically, the two opposing and irreducible principles - sacred and profane - co-exist. This notion is termed a 'hierophany' in religious anthropology, and may be recognised in a natural phenomenon, an object, an animal, or a human being.²² It is, Eliade observes, '<u>the</u> cardinal

problem of any religion', and may be observed as much in Christianity as in more 'primitive' traditions.²³

The numinous is identified in Rasputin's work through images recognisable as archetypes of the sacred: symbolic compounds which represent the numen in the collective imagination of a range of unconnected cultures worldwide (sun, sky, earth or tree are typical examples).²⁴ Rasputin's use of these representations carries general imaginative associations from folktale, myth and legend; but his imagery gains particular definition and colour if examined in relation to Siberian, or Altaic, beliefs, and to those of pre-Christian Slavs as well as of Russian Orthodox Christians.

There are striking parallels between Rasputin's philosophical perception and the religious vision noted by anthropologists among the undeveloped agricultural and nomadic communities of the Central Asian and Siberian area. Indeed, one should look perhaps in this direction, rather than to the Russian context, to identify the tradition closest to Rasputin in the early period of his development as a writer.²⁵

Rasputin was born and brought up in the Irkutsk area of Siberia, close to the Autonomous Republic of Buryatiya, a region in which Buryat and Russian communities are closely integrated.²⁶ The way of life he encountered in his formative years would have comprised, therefore, a

mixture of Russian Orthodoxy, Mongolian Buddhism and Altaic cult. And though, as I understand from verbal reports, he has been received into the Russian Orthodox Church, his writing consistently indicates that for him Siberian shamanism and the spirit world it embraces is not simply a local curiosity, but a familiar and wellassimilated system of belief.

The tenet on which the Altaic tradition rests is that man lives in a sacred nature not as her servant, but as her helper and kinsman. According to traditional beliefs of the people of the area, all things in nature are wholly integrated. There exists a vital force or spirit which emanates from the Supreme Deity or deities in the sky, permeates all form, animate or inanimate, and returns to the sky after death. Everything in the world is а manifestation of the sacred, and falls into a universal pattern of variation, decay, death and rebirth. This goes for rocks and stones and trees, as much as for planets and stars, the waters, the earth and all that is on it, including man. In the world, form may vary but essence does not; and death is merely the taking on of another form. The prevailing idea is that there exists a supreme reality beyond appearances lodged in appearances, to the extent that all inanimate objects are thought to have their 'owner spirits' (ezhin).27

This paradoxical manifestation of a dual reality in

the mundane is plainly evident in Rasputin's prose. It falls in with a sense of the unity and integration of all life's forms, reminiscent of Solov'ev's notion of the created universe as a single organism and spirit. It is there in evocations of cyclical mutation; or in hints of immanent spiritual presences active in human life. And this, even more perhaps than the social and moral aspect of his writing (studied by Gillespie and Klaus Holtmeier), lends Rasputin's fiction its originality and substance.²⁸

(iii)

The remainder of the chapter will explore perceptions of the numinous and their integration with social and moral dilemmas in three of Rasputin's best-known novellas: 'Poslednii srok' ('The Last Chapter', 1970); 'Zhivi i pomni' ('Live and Remember', 1975); and 'Proshchanie s Materoi' ('Farewell to Matera', 1976). All are concerned in different ways with the theme of transition from a familiar form of order into one that is unknown or, in Otto's terminology, 'numinous' and 'wholly other'.

The earliest novella, 'Poslednii srok', broaches the theme most directly.²⁹ Its narrative structure loosely reflects Tolstoi's 'Smert' Ivana Il'icha' ('The Death of Ivan Il'ich', 1885-86), relating as it does the last few days in the life of an unremarkable character who is bed-

ridden, dying and surrounded by sympathetic, but otherwise preoccupied relatives. But where Ivan Il'ich's end is uncomprehending and tormented almost to the last, Rasputin's <u>starukha</u> Anna slips into death with neither question, fear nor resistance. Her life, like that of the peasant in Tolstoi's 'Tri Smerti' ('Three Deaths', 1858-59), has been ruled by the biological cycle, and in death she allows it to run its course.³⁰

Illness provides the opportunity for a final meeting with her children. Three have been uprooted in search of education or a better life and sucked into the urban whirlpool.³¹ Of the remaining two Anna's son, Mikhail, is all but an alcoholic; her daughter, Varvara, now in her sixties, is absorbed by the practical difficulties of bringing up a sprawling family. Over the old woman's deathbed the children exchange memories, and tensions emerge as their conflicting cultural expectations become apparent. <u>Starukha</u> Anna's favourite daughter fails to appear from Kiev. After several days, weary of their mother's protracted illness, the children depart. Only Mikhail remains. With that, the old woman's flickering consciousness finally turns in upon itself, and she dies.

Superficially, the story draws attention to the conflict of values and expectations stimulated by the encounter of an old cultural framework with the new. Beyond that, however, it also points to the more

insurmountable divide between a consciousness wavering on the verge of the numinous, and minds still caught up in the conflicts and anxieties of the material world.

References to formal religion are oblique. The Orthodox tradition colours Anna's beliefs, but more serious narrative attention is given to visionary ideas outside the Christian framework.³² Two themes emerge in particular: the symbiotic relationship between human personality and its external environment, and manifestations of the numen in the physical world.

In Rasputin's universe, the integration between man and the surrounding world is absolute. The environment emotional, physical and social - determines what people are, and personalities affect each other to the degree that a presence or absence can determine life or its extinction. Anna revives briefly when her children arrive; their departure signals her death.

At a more subtle level, the quality of personality permeates surroundings and transforms them in substance and appearance. The bond between person and place may be such that they seem barely distinguishable. Anna's cottage is an extension of herself:

> здесь...казалось, чутко повторяло мать: Bce заговаривало вместе с ней умолкало, или горделивой вглядывалось...с ласковой И настойчивостью и отзывалось тихим, неназойливым вниманием....Похоже, они постарели до одинаково черты и держатся только дальней, последней благодаря друг другу. По полу надо было ступать осторожно, чтобы не стало больно матери, а то, что говорили ей, удерживалось в стенах, в углах

- везде.33

The symbiotic quality of relationships is also transposed into a metaphysical mode. 'Poslednii srok' points to the active participation in the tangible universe of a protective, life supporting, numinous presence which touches Anna when her awareness is wavering between the physical world and its spiritual counterpart. It is communicated through images of sky and sun. The sky has mysterious, impenetrable depth, but its distance is qualified by the warmth, peace and protection it generates, or by the comforting presence of a single puff of cloud:

> Выплывая в небо, висела одинокая прозрачная тучка, слишком игрушечная, чтобы вызывать тревогу, словно нарочно выпущенная, чтобы ею можно было любоваться. Весь остальной простор над головой оставался чистым, глубоким и выражал бесконечный покой, под которым, заливаемая солнцем, легко и послушно лежала земля.³⁴

The sun's rays are the passage by which the vital force in the sky communicates its presence to the earth. At a time when earthly reality appears more in the guise of dream or memory, the sun offers Anna intimations of another dimension of truth:³⁵

> Ее завораживало солнце, но не тот огненный шар, который сиял в небе, а то, что попадало от него на землю и согревало ее, вот уже второй день старуха, напрягаясь, искала в нем что-то помимо тепла и света и не могла вспомнить, найти. Она не тревожилась: то, что должно ей открыться, все равно откроется, а пока, наверно...не время. Старуха верила, что, умирая, она узнает не только это, но и много других секретов, которые не дано знать при жизни и которые в конце концов скажут ей вековечную тайну - что с ней было и

что будет.36

The role of the heavens as an archetypal expression of transcendence, infinity, eternity and creative power (because they bring rain) is widely recognised.³⁷ The Buryat venerate the sky (<u>Tengri</u>) as divinity in itself, as well as the home of multiple divinities.³⁸ In 'Poslednii srok', sunlight pouring across the sky suggests to Anna a mediating passage between the earthly and the numinous.

> Солнце по утрам не попадало в избу, но, когда оно взошло, старуха узнала и без окошек: воздух вокруг нее заходил, заиграл, будто на него что дохнуло со стороны. Она подняла глаза и увидала, что, как лесенки перекинутые через небо, по которым можно ступать только босиком, поверху бьют суматошные от радости, еще не нашедшие землю солнечные лучи. От них старухе сразу сделалось теплее, и она прошептала: - Господи...³⁹

The image of the sun's rays as ladders in the sky reflects a feature of the shamanistic universe traditionally espoused by the Buryat - in which the shaman's ascent to the higher world may be made by various kinds of ladder including a sunbeam.⁴⁰ A single ray of sunlight, the Buryat say, is a 'window from heaven'.⁴¹ The sun expresses the sacred without losing its profane quality, and so fulfils the nature of a hierophany. It is the force which can as joyfully dissolve life as give it, and offers Anna the certainty that all is well with the world.⁴²

Anna's intuitions of the experience of dying suggest that she may have experienced it before. She perceives it

as a descent down a stairway to a place where the earth is covered with fresh hay. There she meets a double with whom she is united to the sound of a ringing bell.43 Without weakening the impact of the original image, it is worth noting that there is here an intriguing combination of elements from both Christian and Buryat traditions. Hay is the dead matter on which, in the Christian tradition, the world's spiritual rebirth took place: Christ, the incarnation of the numen, was laid in a manger. The bell is the Christian call for attention to be turned to Him. According to the Buryat understanding of the afterlife, the souls of the dead are exact 'doubles' of the living personality, and live on in a world which also 'doubles' the earthly life. T. M. Mikhailov notes with M. Ν. Khangalov that to the Buryat the soul is 'vylityi i podlinnyi dvoinik cheloveka vo mnogikh otnosheniiakh, umstvennom, nravstvennom i fizicheskom'.44

Having been united with her double, the soul, and become whole, Anna continues her journey alone into the sounding bells watched by a pair of eyes which then sink under the hay, to earth, while she goes on into a 'living dawn' (<u>zhivoe utro</u>).⁴⁵ According to Buryat beliefs, a man may be composed of three parts or souls. The first has access to the higher world and is judged after death; the second remains on earth after death and becomes a ghost or spirit (<u>bookholdoi</u>) which lives on as did the living man;

the third remains with the material body and may be reborn after death.46 Czaplicka also notes a belief that during illness one soul is held captive by the spirits. The encounter and union of Anna with her soul and their subsequent apparent reseparation suggest that as she is made whole with herself in death, so part of her consciousness (<u>bookholdoi</u>) is shed to the earth, while she continues into the next life.47

Meanwhile, the third soul is left behind with the material body, perhaps to be reborn. Anna also has intimations of a previous life and death. She is hazy about the form she was given in earlier lives ('Kak, chem byla, polzala, khodila ili letala, ona ne pomnila'); but she recollects a storm, lightning and rain which she believes may once have killed her.⁴⁸ For the Buryat, to be killed by lightning is to be 'chosen' by <u>Tengri</u>.⁴⁹ Within that model of understanding Anna may be counted among the blessed.

The numen touches not only the dying Anna; it also disturbs her more sophisticated daughter Liusia who has moved furthest from the forms of thought and communication into which she was born. Unconsciously, while distanced from her mother's thinking, Liusia has created a travesty of the same animist model, in which correct aspect and success are amulets to be worn as protection against illluck.

Люся верила, что неудачи тоже с глазами, и

прежде чем пристать к кому-нибудь, они видят, как человек держится, чего он стоит и даже то, как он выглядит внешне. На крепкого, благополучного человека они редко решаются нападать.⁵⁰

In a reflex response to the gulf between her new life and her childhood, Liusia relives her memories and is subjected to a revelatory experience which brings her face to face with her old self. It forces her to recognise that her past has not been lost, but remains present and watching, a disembodied form of awareness in the very fibre of space and matter.

> Казалось, жизнь вернулась назад, потому что она, Люся, здесь что-то забыла, потеряла что-то очень ценное и необходимое для нее, без чего нельзя, но и повторившись, прежнее, бывшее когда-то давно, не исчезало совсем, а лишь отходило в сторонку, чтобы видеть, что с ней сталось после этого повторения, что в ней прибыло или убыло, отозвалось или омертвело навеки.⁵¹

The world is permeated by an intangible consciousness which watches over nature and man. It is in the rays of the sun which fall upon Anna; it lifts the early morning mist;⁵² it touches Liusia though the power of memory. It exists in matter and in the human mind, much like the vital force which emanates from the Sky God, returns to him after death and remains the single, principal spirit in things: the all-pervading hierophany which drives the eternal cycle ever on.

(iv)

The more conventional subject matter of the wartime novella 'Zhivi i pomni' ensured that it was given a warmer welcome by Soviet critics. But the story also stimulated debate on individual commitment to society, and the tension between personal loyalties and duty to the community.⁵³

Its primary theme - desertion and the recovery of personal life - no doubt touched a raw nerve in the Soviet readership. The more so as Rasputin's treatment of the subject, though apparently condemning desertion in principle, also aired the idea that withdrawal from commitment to the group may be excusable if the preservation of values of greater importance is at stake.

'Zhivi i pomni' is set in the winter of 1945. It portrays the dismal consequences of a relationship between Andrei Gus'kov, an army deserter who has returned to his Siberian home village on the Angara river, and his wife, Nastena, who shields him from the authorities and helps him survive, ultimately at the cost of her own life.

The novella operates on several planes, the simplest resting on questions of social ethics, the more subtle on problems relating to man's shifting position in a system of cosmic order which comprises a numinous reality,

earthly life and a third, subhuman level.

Gus'kov betrays the collective unit by deserting from the ranks as war draws to a close, and thereby challenges the authority of the group. As he does so, he descends to a lower form of life. He lives like an animal in the forest outside his village, tormented and isolated, humanised only by Nastena's (perhaps misguided) loyalty. She hides him and, as the authorities close in, drowns herself and an unborn child to prevent his discovery. After her death, she is granted a grave in the village cemetery despite her suicide, and is remembered with pity by women in the community.

Examination of the work within the frameworks of Christian and Altaic tradition, however, shows a more complex inner design. Gerald Mikkelson has commented in some detail on the novella's underlying structure as a Christian parable.⁵⁴ Nastena's role, he argues, illustrates the impulse to Christian sacrifice and forgiveness. She emerges, Mikkelson writes, as a mediator between Andrei and salvation, and as his protector from the destructive, even demonic aspect of his personality. She is both saviour and life-giver. The dating of her pregnancy, coincides with the Feast of the Annunciation ('Bogoroditsa moia', Andrei calls her) while her name may imply associations with resurrection and the salvation of fallen souls (Anastasis):55

The Russian Anastasis is not so much a <u>voskresenie</u> as a <u>sošestvie v ad</u>. In <u>Live and</u> <u>Remember</u>, Nasteną represents both the One who descended and those including Andrei for whom Christ's descent was made.

Nastena's step into the water is her voluntary 'descent into Hell', heralding resurrection and salvation.56

The emphasis Mikkelson gives to Christian symbolism in the story is a little exaggerated, but the general thrust of his argument is persuasive. The more so since, in the light of Altaic tradition, the Christian dimension is imaginatively reinforced. Religious elements in the story are fused throughout with the folkloric. An awareness of the spirit world (werewolves, forest spirits and demons) comprises part of Nastena and Andrei's consciousness in a way which Christianity does not.⁵⁷ Prayer, for instance, is a magic incantation to ensure protection in times of danger or distress.⁵⁸

Ostracised from the collective, Andrei is, in social terms, a dead man. His fate is unknown. By his desertion he has denied his place in the human community. He is doomed to be forgotten and to disappear without progeny.⁵⁹ He returns to his village, Atamanovka, to function like a spirit and reaffirm his existence by breaking a series of social taboos.⁶⁰ He re-establishes a secret relationship with his wife, drawing her out of the collective into his own shadow life. He also kills a grazing goat and, later, a calf (<u>bychok</u>), the young of the Buryat totemic ancestor, the bull.⁶¹ He returns like a demon in the <u>bania</u> - the unclean place where malicious powers and unclean forces are said to lurk.⁶² Nastena initially perceives him as an <u>oboroten'</u> (an animal spirit, a werewolf or changeling) or <u>leshii</u> (forest spirit). For his part, Andrei sees himself as a wolf or a bear - both demonic images in Russian folklore. As his position grows more desperate, he retires under the earth into a cave.⁶³ Having lost his place in human society, Andrei is reintegrated into the eternal cycle through a series of mutations which take him underground: perhaps to the underworld whence there is no reprieve.⁶⁴

The Buryat belief that man has three souls has been outlined earlier in the chapter. One of these (<u>bookholdoi</u>) returns home after death, lives in a dark abandoned place and may perform acts of revenge to mock the living.⁶⁵ One also leaves the body in sleep, and its wanderings are remembered as dreams.⁶⁶ Nastena and Andrei recall a dream they shared while he was at war. 'Mozhet, to dusha moia k tebe navedyvalas'', she remarks.⁶⁷ Nastena's own dreams also indicate that she has contact with the spirit world and premonition of her fate.⁶⁸

As Mikhailov notes, however, the soul may also leave the body as a result of fear; and unless it is persuaded to return by special shamanistic rites, death will ensue.⁶⁹ Gus'kov's reappearance in his home village may,

therefore, be less the return of a deserter and an outcast than of a wandering spirit. It is the homecoming not of the whole man, but of the shadow of the man who has known war.

While remaining sensitive to Nastena's personal tragedy as a figure caught between a deep emotional bond and her ties with the community, one might also consider her fate in terms of the system of images in Buryat religious culture. Within that model, Nastena's role is comparable to the shaman's. She straddles two worlds: those of men and of spirits, where different moral values prevail. In the one, the Absolute is social responsibility, and morality is gauged by notions of duty and loyalty to the group. It is, in Durkheim's terminology, 'totemic'. In the other 'animist' reality where Andrei resides, the good is seen as preservation of life, personal communication, fertility and procreation.

The worlds are divided like the two shores of the Angara on which Nastena's dual life is lived. As the world of men increasingly threatens Andrei, so, together with her unborn child, she abandons both systems of understanding to step into a third dimension. In the Altaic system of belief, the waters participate in the Supreme God of the Sky, <u>Tengri</u>.⁷⁰ And it is the sky Nastena sees as she looks for the last time from her boat into the river which will take her life.

The fusion of Christian and Altaic culture weaves into the story a subtext which supports the surface model but also suggests dilemmas which are deeper than the plot indicates. Andrei is more than a deserter drawn (by Soviet standards of the time) with unusual empathy. His characterisation is shadowed by the suggestion that he is the rebellious spirit of a man who has lost his life to the demands of war. Nastena is not just a positive heroine overcome by the conflict between love and duty. Her image conjures the concomitant thought that she may be a mediator between the worlds. Her last act, if weighed in the context of Siberian beliefs, is not self-annihilation, but a step out of a torn reality where the human spirit is abused, into another, better order.

(v)

In 'Proshchanie's Materoi', the numinous element also predominates over and qualifies the treatment of a topical, if hackneyed, social and ecological theme: the violent sacrifice of the environment and a traditional way of life to technological progress.

The island of Matera, set in the river Angara, is to be flooded to make way for the building of a new hydroelectric power station.⁷¹ Its few remaining inhabitants - mainly old peasant women who have known no

life away from the island - wait to be forcibly re-settled in a new purpose-built village on the mainland. Matera, its homes, monuments, its past and its way of life are to be wiped off the map.

Rasputin's depiction of the flooding of Matera gives the event an apocalyptic dimension, noted by a number of critics.⁷² It suggests the end not just of an age, but of a cosmic era: a time when the elements are fused, the earth ravaged by fire, drowned by the waters and dissolved to fade away in a rolling mist. The final paragraph also suggests that Matera is lifted up and carried away whole, out of the profane world of the earthly into the numinous world of the sky.⁷³

The subject matter is complemented by mythological overtones in the narrative structure, which recalls the legendary tale of the sunken city, <u>Grad Kitezh</u>.⁷⁴ It also has a didactic quality absent from other works discussed here, but appropriate to the mythological function, as outlined by Joseph Campbell (see above, Chapter 1, p. 15).⁷⁵

'Proshchanie s Materoi' is shaped like a latter-day deluge myth. The island of Matera has reached the moment when it must revert back to formlessness or chaos. In the tradition of deluge myths, as Eliade explains them, the story signals the end of an era while also pointing towards a new beginning, transcending the eternal cycle,

of which the old woman, Dar'ia, has intimations throughout the story.⁷⁶

The mythological significance of cataclysmic immersion in water or flooding is almost universal. Eliade .

Water symbolizes the primal substance from which all forms come and to which they will return either regression or in by their own a cataclysm.... In cosmogony, in myth, ritual and iconography, water fills the same function in whatever type of cultural pattern we find it; it precedes all forms and upholds all creation. Immersion in water symbolizes a return to the pre-formal, a total regeneration, a new birth, for immersion means a dissolution of forms, a reintegration into the formlessness of preexistence; and emerging from the water is a repetition of the act of creation in which form was first expressed. Every contact with water implies regeneration.77

In Central Asia and Siberia, water has traditionally held a special quality, as has been noted earlier in this chapter. To the Mongolian and Altaic peoples, it participates in the Divinity in so far as it falls from the sky and reflects it.⁷⁸ But it is also a healer and life-giver to the Russian Slavs. As A. N. Afanas'ev explains, the waters released in the Spring are the waters of life (zhivaia voda), with the capacity to cleanse, heal and regenerate:

> Холодная зима, налагая на них свои оковы...запирала священные воды...Весною могучий Перун разбивал эти крепкие оковы своим молотом и отверзал свободные пути дождевым потокам...воде, которая исцеляет раны, наделяет разрубленное крепостью, заставляет тело срастаться и возвращает самую жизнь.79

'Proshchanie s Materoi' opens in the Spring of cyclical time with the grandiose image of cracking ice which Afanas'ev also draws:

> опять наступила весна, И своя в своем нескончаемом ряду, но последняя для Матеры....Опять с грохотом и страстью пронесло лед, нагромоздив на берега торосы, и Ангара освобожденно открылась, вытянувшись в могучую, сверкающую течь...Опять запылала по земле и зелень, деревьям пролились первые дожди, прилетели стрижи и ласточки и любовно к жизни заквакали по вечерам в болотце проснувшиеся лягушки.80

In Matera's Spring rewakening nature is galvanised along with the four elements: the greenery over the earth and trees burns; the waters descend; the air is filled with bird-life; and the creatures of the muddy earth awaken to express their passion for existence.

This is the beginning of the last year of cyclical time for Matera. As it advances, the island passes through all the stages of a cosmic loop or 'Great Year', opening with a creation, passing through a history, a period of degeneration, and concluding with a return to chaos and fusion with the elements.⁸¹

Eliade also points to the association of water symbolism with earth symbolism:

Water <u>precedes</u> every creation, every form; earth <u>produces</u> living forms. While the mythological destiny of water is to open and close cosmic cycles, the destiny of the earth is to stand at the beginning and end of every biological form and of every form sharing in the history of <u>place</u>...The living form as such, as the species, will never disappear till the end of the term allowed to earth by the waters.⁸²

In 'Proshchanie s Materoi' that term is set. The image of Matera comes to express, iconographically, all earthly form, all place and history.⁸³ But particularly, Matera has the qualities of which earth is the archetypal symbol: its creative and nutritive properties, its subjection to the cyclic passage of time, its patient resilience, its vocation to serve and act as home for the custodian spirits or souls which inhabit its form.

These qualities are communicated through images which come to characterise the island. They are expressed in the two trees - a giant larch and a birch - which overlook the village, in the anthropomorphically depicted huts which are painfully burnt one by one and, above all, in the figure of the spirit owner (<u>khoziain</u>) of Matera and its oldest inhabitant Bogodul.

On the island's pastureland stands an ancient and majestic larch tree, protectively, like a shepherd, Rasputin's narrator tells us.⁸⁴ Its peak has been struckoff by lightning (the fire of the gods); its roots are said to touch the very river bed on which the island rests.⁸⁵ It is held that while it stands, Matera will continue to stand. Until recently it was venerated with offerings. These practices were discontinued as life was modernised, but it nevertheless continues to evoke respect and fear. The branches of the tree spread not up but out. All attempts to destroy it prove fruitless; as the story draws to a close, it remains standing over the burnt-out void that was the island's village, rising out of what appears to be a sepulchral mound formed of the bark it has shed over the years.⁸⁶

There is strong evidence to suggest that the larch is an image of the Cosmic Tree which in the shamanistic tradition stands at the world's axis and unites the three cosmic zones: the sky, the earth and the underworld.⁸⁷ It may have been witness to that ancient time when communication between the worlds was easier: its branches are like rungs.⁸⁸ Once it may have been climbed to reach the heavens. But now it stands decapitated, cut off from the sky.⁸⁹

Beside it stands a birch - used in shamanistic ritual to represent the Cosmic Tree, and symbolically planted in the homes of Buryat shamans.⁹⁰ Rasputin's birch is ancient (<u>starcheskaia</u>) and mortal (<u>smertnaia</u>).⁹¹ Together, the two trees reflect the 'dual' aspect in nature: 'masculine' strength and inflexibility, 'feminine' vulnerability.⁹² While the larch proves too resilient to be defeated, the birch is finally cut down, as if executed, by men clearing the island.⁹³

The feature most unequivocally drawn from folk tradition both Altaic and Russian, however, is the <u>khoziain</u> of the island - its roaming spirit owner described as a small creature, little bigger than a cat.⁹⁴

He is, the narrative informs us, the island's equivalent of a Russian house spirit (<u>domovoi</u>).⁹⁵ But he may be more directly derived from the Buryat belief that every feature of the natural environment has its 'owner' (<u>ezhin</u>) which, Roux emphasises, reflects belief in a vital principle which penetrates all things, animate and inanimate.⁹⁶

Rasputin's khoziain knows everything and disturbs nothing. He communicates with the spirit of all that is on the island : with its 'living' cottages (zhivye izby) or with the burning wood of Petrukha's home, He senses imminent destruction and the end of his own service.97 He is so close to the earth, so much the custodian of Matera's earthly form, that the boundlessness of the sky is fearsome to him ('ono vvodilo ego v neiasnoe, besprichinnoe bespokoistvo i pugalo svoei groznoi bezdonnost'iu').98 But his perceptions also reach beyond the disruption of temporal order into an undefined beyond ('on videl vse ot nachala do kontsa....No on videl i dal'she...').99

Another prominent element in the story is the theme of ancestral spirits combined with the notion of reincarnation. The souls of the dead, Buryat tradition teaches, are lodged in features of the natural environment.¹⁰⁰ Even today, Humphrey has noted, sacrifices spirits of the ancestors. This is made to so are particularly among the western Buryat (nearer Irkutsk,

where Rasputin lives). In this area the link between the cult of ancestors and of locality spirits has tended to be stronger than in the east.¹⁰¹

The power of the spirits lies in the vengeance they must exact on the living for their sufferings; these will be reproduced unless compensated for by prayers and sacrifices. All misfortune - even bad weather - continues to be ascribed to unsatisfied vengeful spirits inhabiting particular sites.¹⁰²

In 'Proshchanie s Materoi' the link between the living, their ancestors and their locality is of central importance. In abstract, it might also give rise to the notion that it is only by giving recognition to the past that we may live fully in the present. The dead are considerably more than a memory: they participate in the lives of the living. They descend at night to communicate with sleeping villagers; they make demands, and the living have a responsibility towards them. 103 Through the living, Dar'ia believes, the dead remain alive and by departing from the place where her ancestors live and lie, she is doing them harm.¹⁰⁴ For that they will judge her, because she will have left them without hope and without a future.¹⁰⁵ It is the function of the spirits to watch the living and assess their capacity to remember, because somehow - memory defines existence:

> Ей представилось, как потом, когда она сойдет отсюда в свой род, соберется на суд много-много людей — там будут и отец с матерью, и деды, и

прадеды – все, кто прошел свой черед до нее...все с угрюмыми, строгими и вопрошающими лицами.¹⁰⁶

Through Dar'ia they speak:

'Каждого из вас мы видим и с каждого спросим...Вы как на выставке перед нами, мы и глядим во все глаза, кто че делает, кто че помнит. Правда в памяти....' У кого нет памяти, у того нет жизни.¹⁰⁷

According to Mikhailov, the Buryat believe that after death the souls of distinguished, influential members of their society sit in council to judge issues of special importance:

> Души таких людей, становясь боохолдоями, сохраняли в загробном мире высокое положение. Буряты считали, что души умерших нойонов (родоначальников I.M.), составляя особую группу духов, устраивают сугланы – собрания и суды – и решают правовые, нравственные и другие вопросы.¹⁰⁸

The way in which the quick and the dead communicate might also reflect the Buryat belief that in sleep the soul is temporarily disengaged from the body, just as in death the two are permanently separated. It is in dreams, then, that the living and dead may meet:

> Только ночами, отчалив от твердого берега, сносятся живые с мертвыми, - приходят к ним мертвые в плоти и слове и спрашивают правду, чтобы передать ее еще дальше, тем, кого помнили они....

> Сейчас эти сны бледно вспыхивали за окнами, как дальние-предальние зарницы, и уже по одним этим отсветам можно было понять, где есть люди и где их нет.¹⁰⁹

Communication with the dead, which the living read as dreams, has an elemental quality. It is also reminiscent

of the way the dead manifest themselves in ancient Arian tradition, as described by Afanas'ev:

По верованию, общему всем арийским племенам, души умерших представлялись существами стихийными – духами, шествующими в грозовых тучах, как быстро мелькающие огни (молнии) или дующие ветры.¹¹⁰

Α further association may be made between a bookholdoi and Bogodul, the apparently ageless old man who lives in a shack in the village, but wanders about as he pleases, visiting the old women and sharing their food.111 Like the bookholdoi, he inhabits a dark, deserted place, feeds off the living and is, we are told, like a 'gift' from the ancestors. His physical appearance has a quality of stubborn resilience like that of the great larch.¹¹² His head is shaggy enough for birds to nest in it, he is heavy and bent and walks barefoot. His hands are monkeylike; his feet immune to snake bites. In winter he appears to hibernate. He has the characteristics both of a tree spirit and a Holy Fool (<u>iurodivyi</u>). The other old men complain that the women treat him like a god. His presence is a mystery: he is not entirely of the village, but seems to be a vestige of peoples and times past.

> Казалось, что он околачивался эдесь всегда, что за грехи или еще за что достался он деревне в подарочек еще от тех, прежних людей, полным строем ушедших на покой....

> Много уже лет он не менялся, оставаясь все в том же виде, в каком показался впервые, будто бог задался целью провести хоть одного человека через несколько поколений.¹¹³

Bogodul's is not a life of the living. He has no

ties; little concerns him - except the destruction of the cemetery. He is more the vagrant ancestral spirit awaiting a death which will come only with the passing of the island itself and of its <u>khoziain</u>.¹¹⁴

The transition out of form and the dissipation of vital energy which takes place throughout the story is sensed with special intensity by Dar'ia. She acts as a mediating figure between the worlds and between past and present.¹¹⁵ She seems to be in touch with the spirits, and prayers which are echoed and borne away into makes unknown reaches of space.¹¹⁶ In order to make her farewell to Matera as her ancestors wish, she is given as guide a little yellow-breasted bird (representing a soul in Altaic cult). It helps her find the fir - the first tree created - with which to decorate her cottage before it is She is also granted sight of the island's burnt.117 spirit owner, while roaming bewildered after the burning of her home. That evening she is found sitting alone under the larch, the image of the shamanistic Cosmic Tree.

Dar'ia pronounces the hortatory lesson which Rasputin's tale gives on conscience, service and participation in the holistic unit which man and nature form. The essence of morals, Bronislaw Malinowski has remarked, is that unlike legal and customary rules, they are enforced by conscience.¹¹⁸ Without this, as the modern world has shown Dar'ia, moral discrimination ceases to be

possible. She longs to see moral instinct revived, together with the recognition that life's vocation is service.¹¹⁹ Once contribution is outworn, death should follow. If it does not, this is a tragedy and, Dar'ia believes, a punishment.

The notion of service is related to the recognition of man's place in nature and of his role as custodian rather than master of his environment. By ceasing to participate in it, man breaks a bond with himself, because, as the narrative teaches, he and nature are one:

> Ты - не только то, что ты носишь в себе, но и то, не всегда замечаемое, что вокруг тебя, и потерять его иной раз пострашнее, чем потерять руку или ногу, - вот это... и останется в душе незакатным светом и радостью. Быть может, лишь это одно и вечно, лишь оно, передаваемое, как дух святой, от человека к человеку, от отцов к детям и от детей к внукам, смущая и оберегая их, направляя и очищяя, и вынесет когда-нибудь к чему-то, ради чего жили поколенья людей.¹²⁰

The consciousness of a common identity shared by man and his external surroundings is the essence of the spiritual tradition passed across generations. It has the power to protect, purify and direct towards the ultimate end. And if that is so, it follows that whatever disrupts awareness of this identity contravenes the end of life itself. By these categories, the flooding of Matera is not just tragic; it is a violation of natural law and a metaphysical crime.

The final scene is enacted in Bogodul's hen-house, where the apocalyptic transition from form to chaos takes

place. Matera dissolves in a strange watery light, seeming to evaporate into a foggy mist where formless presences move.¹²¹ Bogodul's shack is invaded by the elements in which the dead have their life: cloud, light and wind.¹²² The closing lines evoke final disengagement from earthly form as the island's khoziain is washed away; then fusion with the elements in which the past and its spirits live on; and, finally, as the sound of a motor boat is heard from below ('budto spodnizu'), perhaps Matera's assumption, like that the Universal of Mother. elemental chaos of Bogoroditsa, into an wind and colour.¹²³ The island appears to be carried upward, out of the earthly waters of the Angara into the heavenly ocean: okean-more.124 As the custodian spirit of her earthly form is washed away, Matera is lifted whole - like the arc - out of the temporal dimension into the numinous, with the Cosmic Tree standing and her last inhabitants still on board.

To the author of apocalyptic fiction, David Bethea writes:

Aesthetic shape...is the most appropriate surrogate at hand for suggesting a divine shape to human history...The voices in an apocalyptic fiction are...not all equal...These authors encourage their readers to see a hierarchy of utterance whose highest level is, as Bakhtin would hold, the novelistically impossible -'dialogism', or the full awareness of life's competing voices, within 'monologism', or the hope that, despite the fragility of paradigms,

there is an order outside it all.125

'Monologism' is centrally characteristic of ideological literature. But it can be as much a feature of religious art. It reflects the 'purposefulness' or sense of movement towards a pre-ordained and meaningful end, which may drive religious thought as much as utopian socialist ideology. The 'end' is determined by divine intervention in the first instance, by history in the second.¹²⁶ But because the two systems of thought share a similar teleological dynamic, it can take just the slightest shift in perspective for the religious structure to become an ideological one.

Bethea's remark, extremely perceptive in itself, goes some way towards explaining the reported inversion of the political position of Rasputin (and other village prose writers such as Belov or Astaf'ev) since the introduction of <u>perestroika</u>. Where they had been figures on the verge of dissent in the 1970s - speaking out against the oppression of collectivism and stimulating a dormant awareness of the historical, cultural and religious past since the mid-1980s they appear to have become closely associated with the hard-line nationalist, ideologically collectivist camp.¹²⁷

As 'Proshchanie s Materoi' suggests, Rasputin's Siberian animism falls within a broader framework of 'purposeful' unity and integration, looking towards

apocalypse, the end of history or union with God. In that he follows the system of thought adopted by nineteenth and early twentieth century Christian writers and thinkers: Tolstoi, Dostoevskii, Solov'ev, Berdiaev or Sergei Bulgakov. And yet, Siniavskii has observed, the 'purposeful' aspect of religion is precisely what brings it closest to the ideological cast of mind.¹²⁸

Why the animist vision, which by its nature recognises 'dialogue' between different forms of consciousness, should revert to a collectivist or totemic model of thought which does not, might be tentatively explained, perhaps, in two ways. First, as a reaction to the very real danger of social disorder which the early stages of democratisation have brought to the Soviet people. Second, in terms of an increasing threat to the cohesion, indissolubility and security of the state unit. The collective is at risk: that is the time to rally around the totem.

Notes to Chapter 3

1. Geoffrey Hosking, 'The Rediscovery of Politics: 2. The Return of the Repressed', 1988 Reith Lectures, <u>The</u> <u>Listener</u>, 17 November 1988, p. 19.

2. Andrei Amal'rik, <u>Prosushchestvuet li Sovetskii Soiuz do</u> <u>1984 goda</u> (Amsterdam, 1969) p. 33.

3. Hosking, 'Return of the Repressed', p. 19.

4. Ekaterina Starikova mentions Vasilii Belov and Viktor Likhonosov. E. Starikova, 'Nabroski k teme' (unpublished article, 1987). Valentin Rasputin is also a prominent example, as Semenova notes. Svetlana Semenova, <u>Valentin</u> <u>Rasputin</u> (Moscow, 1987) pp. 7-14.

5. Gillespie, Man and Soviet Society, p. 60.

6. Viktor Astaf'ev, 'Oda russkomu ogorodu', <u>Sobranie</u> <u>sochinenii v 4-kh tomakh</u>, vol. 1 (Moscow, 1979) p. 442.

7. At this stage in Belov's literary development, his suggestion that beauty <u>is</u> variety becomes translated into a statement in support of individualism:

Красота в мире это разнообразие, непохожесть. Мысль о том, что человечество якобы составляет толпа серых и одинаковых людей, руководимых отдельными исключительными и яркими личностями,такая мысль исключает красоту и противоречит эстетике.

Vasilii Belov, 'Lad: Ocherki o narodnoi estetike', <u>Izbrannye proizvedeniia v 3-kh tomakh</u>, vol. 3 (Moscow, 1984) p. 320.

8. Ibid., pp. 4, 105-6.

9. E. Starikova, 'Sotsiologicheskii aspekt sovremennoi "derevenskoi prozy"', <u>Voprosy literatury</u>, No. 7 (1972) p. 28. Kozhinov has also remarked on the moral re-evaluations made in village prose. Vadim Kozhinov, 'Tsennosti istinnye i mnimye (1967)', in <u>Stat'i o sovremennoi literature</u> (Moscow, 1982) p. 42.

10. Belaia, <u>Literatura v zerkale kritiki</u>, p. 14.

11. David C. Gillespie, <u>Valentin Rasputin and Soviet</u> <u>Russian Village Prose</u> (London, 1986) p. 1.

12. loc. cit.

13. Lev Anninskii, 'Oblomki raia: Mir Granta Matevosiana', in <u>Kontakty</u> (Moscow, 1982) p. 195.

14. Belaia, <u>Literatura</u>, p. 34.

15. Valentin Rasputin, 'Ne mog ne prostit'sia s Materoi', interview by V. Pomazneva, <u>Literaturnaia gazeta</u>, 16 March 1977.

16. Sergei Zalygin, 'Interv'iu u samogo sebia', in Sobesedovaniia (Moscow, 1982) p. 13.

17. G. Belaia, <u>Khudozhestvennyi mir sovremennoi prozy</u> (Moscow, 1983) p. 58.

18. Belaia quotes Iu. Davydov's view of the conflicting ontological status of man, torn away from his roots in the natural world and seeking daily to renew the connection on his own terms. Iu. Davydov, 'Kul'tura - priroda traditsiia' in <u>Traditsiia v istorii kultury</u> (Moscow, 1978) pp. 45-6, quoted in Belaia, <u>Khudozhestvennyi mir</u>, p. 123.

19. A. Bocharov traces the attraction of myth for Soviet writers to the popularity of Bulgakov's <u>Master i Margarita</u> and of Latin American fiction, with its combination of ancient myth and contemporary realism. A. Bocharov, <u>Chem</u> <u>zhiva literatura: Sovremennost' i literaturnyi protsess</u> (Moscow, 1986) p. 18.

20. Rudolf Otto, Idea of the Holy, pp. 5-6.

21. One of the features of the experience of the numinous is, Otto writes, 'creature consciousness' or 'creature feeling': 'It is the emotion of a creature, submerged and overwhelmed by its own nothingness in contrast to that which is supreme above all creatures'. Otto also notes and analyses the <u>mysterium tremendum</u> or 'mystical awe' which accompanies this, the sense of the absolute unapproachability of the numen and of its 'otherness'. It remains outside the limits of the canny yet is totally fascinating to the mind. Ibid., pp. 10, 13-23, 25-40.

22. The term 'hierophany' is used by religious anthropologists to denote any place, object, animal, phenomenon, or person that is acknowledged as manifesting the sacred. A hierophany is profane in itself, his or herself, but paradoxically also has a dimension of sacredness without ceasing to be what it is perceived to be: the sky, for example, or a tree, or a human being. Eliade, <u>Comparative Religion</u>, pp. 1-30.

23. Ibid., p. 29.

24. Eliade writes :

Religious life, and all the creations that spring from it, are dominated by what one may call 'the tendency towards an archetype'. However many and varied are the components that go to make up any religious creation (any divine form, rite, myth or cult) their expression tends constantly to revert to an archetype.

Ibid., pp. 58-9.

25. V. Rasputin, 'Byt' samim soboi', interview by Evg. Osetrov, <u>Voprosy literatury</u>, No. 9 (1976) p. 142. Rasputin emphasises his Siberian background and underlines the importance of childhood experience for a writer's development: 'Ia uveren, chto pisatelem cheloveka delaet detstvo.' V. Rasputin, 'Ne ishchu geroev na storone', <u>Moskovskii komsomolets</u>, 11 September 1977, quoted in Semenova, <u>Rasputin</u>, p. 7.

26. Caroline Humphrey, <u>Karl Marx Collective: Economy,</u> <u>Society and Religion in a Siberian Collective Farm</u> (Cambridge-Paris, 1983) p. 23.

27. Jean-Paul Roux, <u>Faune et flore sacrées dans les</u> <u>sociétés altaïques</u> (Paris, 1966). See Chapter 1, 'Unité de la vie', esp. pp. 21-5.

28. Gillespie, <u>Rasputin</u>; Gillespie, <u>Man and Soviet</u> <u>Society</u>; Klaus Holtmeier, <u>Religiöse Elemente in der</u> <u>sowjetrussischen Gegenwartsliteratur</u>, (Frankfurt am Main, 1986).

29. V. Rasputin, 'Poslednii srok', <u>Nash sovremennik</u>, No. 7 (1970) pp. 3-53; No. 8 (1970) pp. 8-54. All subsequent references are to: Rasputin, <u>Izbrannye proizvedeniia v 2-</u> <u>kh tomakh</u>, vol. 1 (Moscow, 1984).

30. Compare Tolstoi's understanding of the peasant's attitude to death:

Его религия другая, хотя он по обычаю и исполнял христианские обряды; его религия - природа, с которой он жил. Он сам рубил деревья, сеял рожь и косил ее, убивал баранов, и рожались у него бараны, и дети рожались, и старики умирали, и он знает твердо этот закон, от которого он никогда не отворачивался...и прямо, просто смотрел ему в глаза.

L. N. Tolstoi's letter to A. A. Tolstaia on the story 'Tri smerti', L. N. Tolstoi, <u>Polnoe sobranie sochinenii</u>, vol. 60, p. 265, as quoted and noted in Semenova, <u>Rasputin</u>, p. 54. 31. In addition, the city has wrought physical changes in Il'ia and Liusia. Rasputin, 'Poslednii srok', p. 156.

32. Anna is a deist as tradition demands. She has icon cases in her cottage and remarks that her faith has helped her to be more fully human. She asks to be buried with traditional incantations. Ibid., pp. 159-60, 164, 283-4.

33. Ibid., p. 163.

34. Ibid., p. 284.

35. Ibid., p. 155.

36. Ibid., p. 221.

37. Eliade writes: 'What is quite beyond doubt is that there is an almost universal belief in a celestial divine being who created the universe and guarantees the fecundity of the earth (by pouring rain down upon it).' Eliade, <u>Comparative Religion</u>, p. 38.

38. In fact, as Mikhailov also notes, the sky (<u>Tengri</u>) while remaining a unity, also has warring factions of high gods living in it. This aspect of Buryat belief seems to be absent in Rasputin's story, due perhaps to Christian influence. T. M. Mikhailov, <u>Buriatskii shamanizm:</u> <u>istoriia, struktura i sotsial'nye funktsii</u> (Novosibirsk, 1987) pp. 12-14.

39. Resputin, 'Poslednii srok', p. 173.

40. Mikhailov suggests that the first religion of the tribes of the Baikal area was totemism and animism. This evolved into shamanism between 3000 and 1000 B.C. Other Soviet writers date the development of shamanism later. Humphrey, <u>Karl Marx Collective</u>, pp. 373, 481 (note 2); Mircea Eliade, <u>Shamanism: Archaic Techniques of Ecstasy</u>, trans. Willard R. Trask (New York, 1964) p. 490.

41. Caroline Humphrey has noted this in a personal letter to me (17 April 1991). She also remarks that the sun's rays penetrating the house occur in many Buryat myths as principles of fertility. They are used to tell the time.

42. Rasputin, 'Poslednii srok', pp. 160, 282-3.

43. Ibid., pp. 262-3.

44. M. N. Khangalov, <u>Sobranie sochinenii</u>, vol. 2 (Ulan-Ude, 1958) p. 194, quoted in Mikhailov, <u>Shamanizm</u>, p. 39.

45. Rasputin, 'Poslednii srok', p. 263.

46. Mikhailov writes:

Балаганские и аларские буряты считали, что у человека три души. Первая — добрая — имеет доступ к высшим божествам - тэнгриям и заботится о своем хозяине: вторая — средняя (дунда) тоже находится в теле. Иногда ее преследуют духи, ловят и едят, тогда человек заболевает И умирает. После смерти человека она становится боохолдоем — призраком, привидением, духом; третья постоянно находится при теле, после смерти хозяина остается на месте, оберегая его кости. По представлениям аларских бурят, первая душа, как только придет время умереть человеку, ловится духам Эрлен-хана (God of the Underworld, I.M.) и уводится на суд; вторая становится боохолдоем и живет так, как жил ее хозяин, а третья снова родится человеком.

Mikhailov, <u>Shamanizm</u>, p. 39. However, M. A. Czaplicka, in an earlier study (first published 1914), identifies the three parts of which man is composed as the material body (<u>oyeye</u>), the lower soul or breath (<u>amin</u>), and the soul belonging to man only (<u>sunyesun</u>). <u>Amin</u> leaves the body in death and changes into a <u>bokholdoy</u> (or <u>bookholdoi</u>) which continues to live on earth in a manner similar to that which the man had formerly followed. <u>Sunyesun</u> leaves the body in sleep and after death is born again in the form of another human being. M. A. Czaplicka, <u>Aboriginal Siberia:</u> <u>A Study in Social Anthropology</u> (Oxford, 1969) p. 287.

47. Czaplicka also notes than when a man dies, spirits named <u>erliks</u> capture one of his souls and bring it before Erlik-Nomon-Khan for judgement. Once the soul has been captured a man may live on for up to nine years, but he never enjoys his former health and strength. Rasputin's Anna may, perhaps, descend into the underworld to meet the soul which has been judged. Ibid., pp. 158, 287.

48. Rasputin, 'Poslednii srok', p. 271.

49. Mikhailov, <u>Shamanizm</u>, p. 45. However, Roux writes that anyone or anything struck by lightning is regarded as the object of divine anger and ostracised. Roux, <u>Faune et</u> <u>flore</u>, p. 43. Caroline Humphrey resolves the incompatibility of these interpretations by remarking, in her letter, that although lightning is evidence of the anger of the sky, or its warring eastern and western factions, it also gives the stricken one power.

50. Rasputin, 'Poslednii srok', p. 196.

51. Ibid., p. 213.

52. Ibid., p. 142.

53. V. Rasputin, 'Zhivi i pomni', <u>Nash sovremennik</u>, No. 10 (1974) pp. 2-88; No. 11 (1974) pp. 58-91. Subsequent references are to: Rasputin, <u>Izbrannye proizvedeniia</u>, vol. 2. Boris Pankin, 'Proshchaniia i vstrechi s Materoi: Zametki o proze Valentina Rasputina', <u>Druzhba narodov</u>, No. 2 (1978) pp. 241-4; Gerald E. Mikkelson, 'Religious Symbolism in Valentin Rasputin's tale "Live and Remember"', Lauren G. Leighton, ed., <u>Studies in Honour of</u> <u>Xenia Gasiorowska</u> (Columbus, Ohio, 1983) pp. 183-4.

54. Mikkelson, 'Religious Symbolism' p. 175.

55. Ibid., pp. 176-9.

56. Ibid., p. 181.

57. Ibid., pp. 176, 186 (note 10).

58. Ibid., pp. 176-7.

59. Andrei is conscious, and fearful, that the memory of his existence will be lost:

Люди уже сейчас избегают тебя вспоминать, у тебя нет пристанища, откуда могут пойти воспоминания, ты и живой для них стерся и растаял, как прошлогодный снег. А потом: память о человеке, которая идет к людям, наверняка знает себе цену, поэтому память о тебе вечно будет стыдиться и прятаться, как прячешься сейчас ты. Не надейся, ни на что не надейся — тебе и тут ничего не светит.

Later he appeals to Nastena to remember: Все надо помнить, Настена. Все надо помнить, но плохое, как срамное место, без нужды показывать не надо.

Rasputin, 'Zhivi i pomni', pp. 111, 178. Caroline Humphrey also notes the importance of progeny for the Buryat:

> A man without sons was considered to have extinguished the sacred fire (<u>gal gulamta</u>) of his patrilineage, and a woman who had borne no children was disliked and feared. It was thought that she would become an evil spirit (<u>ada</u>) after death.

Humphrey, <u>Karl Marx Collective</u>, p. 69.

60. Rasputin, 'Zhivi i pomni', p. 79.

61. Roux notes that the 'spirit host' of Lake Baikal is the 'Bull Prince' (<u>Buga noyan</u>) who lives on mountain summits. Roux, <u>Faune et flore</u>, p. 344. Mikhailov observes that the bull (<u>Bukha noion</u>) was originally the totemic ancestor of the <u>Bulagaty</u> tribe and later came to be recognised by other tribes as well. Mikhailov, <u>Shamanizm</u>, p. 28. To kill and eat the totem is taboo (see, for example, Roux, <u>Faune et flore</u>, p. 415). This is something Andrei seems to sense. He sees a threat in the eyes of the mother-cow: 'V ee glazakh on uvidel ugrozu, - kakuiu-to postoronniuiu, ne korov'iu, tu, chto mogla svershit'sia.' Rasputin, 'Zhivi i pomni', p.149.

62. S. A. Tokarev, Religioznye verovaniia vostochnoslavianskikh narodov XIX-nachala XX v. (Moscow-Leningrad, 1957) p. 98; Lotman and Uspenskii, 'Binary Forms', p. 38.

63. In Russian folklore the wolf is a demon. See A. N. Afanas'ev, <u>Drevo Zhizni</u> (Moscow, 1982) p. 163. According to Tokarev, belief in wolves who had once been men was widespread among Belorussians, Ukrainians and Russians. The bear was also an animal who had once been a man. Tokarev, <u>Religioznye verovaniia</u>, pp. 44-5, 48.

64. Buryat tradition holds that the underworld is ruled by Erlen-khan and his spirits. Shamans may not enter it, and a soul once fallen may not be retrieved. Mikhailov, <u>Shamanizm</u>, pp. 14-15.

65. Ibid., p. 23; Czaplicka, <u>Aboriginal Siberia</u>, p. 287; Roux, <u>Faune_at_flore</u>, p. 36.

66. Czaplicka, <u>Aboriginal Siberia</u>, p. 287; Mikhailov, <u>Shamanizm</u>, p. 40.

67. Rasputin, 'Zhivi i pomni', p. 90.

68. Nastena converses with a wise cow: the mother of the totemic bull (see note 61) whom Andrei has killed. She also teaches herself to swim, anticipating the manner of her death. Ibid., p. 161.

69. Mikhailov, Shamanizm, p. 40.

70. Roux writes: 'L'étendu d'eau est un miroir qui reflète le ciel et, par là, comme la pluie, fait participer l'eau à la vie de Tengri.' Jean-Paul Roux, <u>La Religion des Turcs</u> <u>et des Mongols</u> (Paris, 1984) p. 141. See also pp. 137-8.

71. The topicality is emphasised by the fact that the river is identified. The Angara is dammed by the largest series of hydroelectric power stations in the USSR. A. M. Prokhorov, ed. <u>Great Soviet Encyclopaedia</u>, 3rd ed., 32 vols, vol. 1, (New York-London, 1973) p. 394.

72. Mikhail Agursky, 'Against the Melting Pot', (unpublished paper, 1985); Gillespie, <u>Rasputin</u>, p. 45; Robert Porter, <u>Four Contemporary Russian Writers</u> (Oxford-New York, 1989) p. 18.

73. The suggestion that Matera undergoes a form of 'assumption' (see above p. 124) is supported by the associations of the island's name with <u>mat</u>' (mother) and by extension with the archaic divinity Moist Mother Earth (<u>mat' syra zemlia</u>), Mother Russia (<u>matushka Rossiia</u>) and, finally, the Mother of God. Marija Gimbutas, <u>The Slavs</u> (London, 1971) p. 169.

74. The traditional story tells of a town which sank into Lake Svetloiar and so escaped destruction by the Tatars. The legend relates that in calm weather the ringing of bells may be heard over the lake, and the buildings of the town may still be seen in the water. V. L. Komarovich, <u>Kitezhskaia legenda: Opyt izucheniia mestnykh legend</u> (Moscow-Leningrad, 1936), cited in Prokhorov, ed., <u>Great</u> <u>Soviet Encyclopaedia</u>, vol. 14 (New York-London, 1977) pp. 349-50.

75. Eliade, Comparative Religion, p. 416.

76. Eliade, Comparative Religion, p. 210.

77. Ibid., pp. 188-9.

78. Roux, <u>Religion des Turcs</u>, pp. 137-41. See note 70 above.

79. Afanas'ev, Drevo zhizni, pp. 101-2.

80. Rasputin, 'Proshchanie', p. 208.

81. Eliade, Comparative Religion, p. 407.

82. Ibid., p. 254.

83. An icon is traditionally said to be a 'window' onto the numinous world. In Rasputin's story, the image of Matera could be said to serve a similar function. It 'points' to another world order, and that world order speaks through it.

84. The islanders refer to the larch in the masculine (<u>tsarskii listven'</u>) to emphasise its strength. Rasputin, 'Proshchanie', p. 352.

85. loc. cit.

86. Ibid., pp. 352-3.

87. The image of the Cosmic Tree, which connects the three cosmic zones, is an important feature of the shamanistic order. It is associated with the notion of the World Pillar or Cosmic Mountain, which may also represent the axis by which the shaman could reach the sky. Eliade, <u>Shamanism</u>, pp. 259, 261, 264-6, 269-74. Starikova also notes the association between the larch and <u>drevo zhizni</u> (the tree of life): E. Starikova, 'Obratimsia k zhizni', <u>Voprosy literatury</u>, No. 2 (1977) p. 75.

88. Rasputin, 'Proshchanie', p. 352.

89. Eliade describes the shamanistic climbing rite as follows:

Sometimes this complex of religious practices and ideas appears to be related to the myth of an ancient time when communication between sky and earth was much easier. Regarded from this point of view, the shamanistic experience is equivalent to a restoration of that primordial mythical time and the shaman figures as a privileged being who individually returns to the fortunate condition of humanity at the dawn of time.

Eliade, <u>Shamanism</u>, p. 144.

90. Ibid., p. 270; Czaplicka, Aboriginal Siberia, p. 188.

91. Rasputin, 'Proshchanie', pp. 353, 357.

92. In a study of shamanism among the Yakut, Buryat and Tungus, G. V. Ksenofontov points to a belief that when creating the earth, God created two trees: the larch and a female, the fir. G. V. Ksenofontov, <u>Legendy i rasskazy o</u> <u>shamanakh u iakutov, buriat i tunguzov</u>, 2nd ed. (Moscow, 1930) cited in Campbell, <u>The Masks of God: Primitive</u> <u>Mythology</u> (London, 1960) p. 257.

93. Rasputin, 'Proshchanie', p. 357.

94. Ibid., p. 247.

95. loc. cit.

96. Czaplicka, <u>Aboriginal Siberia</u>, p. 284; Roux, <u>Faune et</u> <u>flore</u>, p. 24.

97. Rasputin, 'Proshchanie', p. 249.

98 Ibid., p. 247.

99. Ibid., pp. 267-8.

100. Roux, Faune et flore, p. 23.

101. Humphrey reports that in summer months such sacrifices (<u>tailgan</u>) are held in nearly every <u>kolkhoz</u> in the area. She also observes on the basis of data from the 1960s, that relations with the supernatural continue to be mediated by shamans not only in rural areas but in industrial cities. Fees are quite substantial. Humphrey, <u>Karl Marx Collective</u>, pp. 373, 382, 415-6.

102. Ibid., pp. 382, 406-8.

103. Rasputin, 'Proshchanie', pp. 250-1.

104. Ibid., p. 230.

105. Ibid., pp. 349-50.

106. Ibid., p. 349.

107. Ibid., p. 351.

108. Mikhailov, Shamanizm, p. 43.

109. Rasputin, 'Proshchanie', pp. 250-1.

110. Afanas'ev, Drevo zhizni, p. 181.

111. There is, Mikhailov writes, a special category of 'poor' souls who wander the world in rags, scavenging to assuage a constant hunger, and who lick the pots, cups and spoons of the living. Mikhailov, <u>Shamanizm</u>, p. 47.

112. Rasputin, 'Proshchanie', p. 355.

113. Ibid., p. 226.

114. Ibid., p. 249.

115. The passage out of form is anticipated early in the story by the weak quality of light (the sun shines 'so sderzhannoi siloi': there are no shadows) and by the dispersed quality of sound. The village lies sleepy and silent awaiting its end patiently, as if the vigour of life had withdrawn from it and allowed for the invasion of forces of decay and destruction. Ibid., pp. 209, 229. Dar'ia's role as mediator has been noted by Gillespie, <u>Man</u> and Soviet Society, p. 195.

116. Rasputin, 'Proshchanie', pp. 234, 324-5, 365.

117. Ibid., p. 140. To the Altaic peoples the bird represents the soul, or may be the representation of

wandering spirits. The shaman's auxiliary spirit, which helps him in his cosmic voyage, is represented as a bird or a winged animal. Roux, <u>Faune et flore</u>, pp. 26 and 28. Dar'ia returns bearing fir twigs (see note 92) with no recollection of the walk home and with a sense of being 'guided'. Rasputin, 'Proshchanie', p. 364.

118. Malinowski, <u>Magic, Science and Religion</u>, p. 57.

119. Rasputin, 'Proshchanie', p. 337. Dar'ia's view parallels thoughts attributed to the <u>khoziain</u>: 'Vse, chto zhivet na svete, imeet odin smysl - smysl sluzhby.' p. 249.

120. Ibid., p. 298.

121. Ibid., p. 387.

122. According to the Arian tradition as recorded by Afanas'ev, <u>Drevo zhizni</u>, p. 181.

123. The effect is given greater emphasis in later editions of 'Proshchanie', where the final sentence reads:

> Тут же его (Хозяина) точно смыло, и сильнее запестрило в окне, сильнее засвистел ветер, и откуда-то, будто споднизу, донесся слабый, едва угадывающийся шум мотора,

Rasputin, 'Proshchanie', p. 388. In the original journal version this is followed by another sentence which weakens the impact. It is absent from subsequent versions:

> Потом стукоток его стал яснее и снова отдалился и приглох, и тогда опять, еще острее и ближе, поднялся голос Хозяина.

Rasputin, 'Proshchanie', <u>Nash sovremennik</u>, No. 11 (1976) p. 64.

124. A. N. Afanas'ev, <u>Zhivaia voda i veshchee slovo</u> (Moscow, 1988) pp. 355-6.

125. David M. Bethea, <u>The Shape of Apocalypse in Modern</u> <u>Russian Fiction</u> (Princeton, New Jersey, 1989) p. 151.

126. Ibid., p. 15.

127. Belov's position is discussed in Chapter 9, pp. 277-9. For Astaf'ev, see his correspondence with N. Ia. Eidel'man, published in Paris. N. Ia. Eidel'man, V. P. Astaf'ev, 'Perepiska iz dvukh uglov', <u>Sintaksis</u>, No. 17 (1987) pp. 80-9. Rasputin's views are discussed in Peter Matthiessen, 'The Blue Pearl of Siberia', <u>New York Review</u> <u>of Books</u>, 14 February 1991, pp. 37-47. See also 'Pis'mo pisatelei Rossii', <u>Literaturnaia Rossiia</u>, 2 March 1990. Here, the image of the Russians as victims of outside forces in their own country bears comparison with Igor' Shafarevich, 'Rusofobila', <u>Nash sovremennik</u>, No. 6 (1989) pp. 167-92; No. 11 (1989) pp. 162-72.

128. Terts, 'Chto takoe sotsialisticheskii realizm', pp. 406-7.

(i)

Looking again to Tylor's minimum definition of religion as a belief in spiritual beings, we see that though the notion of the soul is initially projected onto external phenomena, animals and inanimate objects, it comes later to lose its material limitations. It is not necessary for spiritual beings to be manifest in the physical world; they may exist in a different dimension. Such logic takes man eventually to a belief in gods who have the capacity to control his fate, and powers which he could never hope to acquire.¹

If we apply Jung's well-known dream image of the human psyche as a house with storeys representing different historical eras (the top being the present and the basement a primaeval cave), the personalised gods of myth and religion would reflect a 'higher', or more recent level of historical consciousness than the spirits of animist traditions.² They are archetypes which appear later in the cultural development of peoples than those of object souls. That said, simpler mythological archetypes are as integral to the psyche as their more 'developed' counterparts and need to be explored with as much attention. As the Jungian analyst and writer Bani Shorter

remarked in her Wolfson College Lecture on 'Memory in Service of Psyche' (1988):

> Jung recognised...(myth) the supreme as interlocking model of the remembered imagery of humanity, a model to which one is unconsciously turned and returned by the necessity to explain and heal oneself. No longer is the science of mythology to be seen as a misguided attempt to explain the workings of gods believed in by less enlightened peoples. 'The gods', viewed psychologically, are the omnipresent archetypal metaphors, and in their workings man sees reflected that which is at work within himself.³

Jung and his successors have argued, and done much to demonstrate, that, as Thomas Butler has put it:

We don't come into the world with our minds a <u>tabula rasa</u>, but rather equipped with an inventory of archetypal patterns of thought and relationship that express themselves in common myths and folk tales.⁴

If we accept this, then the Soviet experiment of deleting and rewriting history, restructuring art and destroying religion, was damaging not only intellectually, culturally and linguistically, but above all psychologically. It blocked access to the very archetypal forms which allow the psyche to acknowledge, recognise and heal itself. As is now widely recognised, the retrieval of memory - historical, cultural and personal - formed the thematic core of Soviet writing published in the 1960s and 1970s. It remains, perhaps, its greatest achievement. This is true in terms of historical documentation (Solzhenitsyn's Arkhipelag GULag), personal recollection -Nadezhda Mandel'shtam's Vospominaniia (Hope Against Hope,

1970; <u>Hope Abandoned</u>, 1972) - and in terms of the retrieval of archetypal forms from different 'strata' of mythological and religious consciousness.

Rasputin's writing touches a level of experience expressed by the imitation or repetition of archetypes embedded in the pre-Christian religious tradition of the Siberian peoples. Similarly, Chabua Amiredzhibi's successful historical novel <u>Data Tutashkhia</u> (1972; author's Russian translation 1976-77) reflects the forms of a religious system once common in the author's own, Georgian, locality: Zoroastrianism.⁵ The remainder of this chapter examines ways in which gods from the ancient Zoroastrian pantheon, expressing in semiotic imagery the absolute ethical structure on which Creation was thought to be founded, are introduced into Amiredzhibi's novel and support the ethical dilemmas it poses.

In his <u>History of the Georgian People</u>, W. E. D. Allen points to the spread of the Zoroastrian religion in Georgia, together with a feudal culture in the Iranian tradition, after the fourth century B.C.⁶ In the days of the first Georgian Dynasty, the Farnazavi, he writes, Zoroastrianism swept Georgia and became the cult which:

for the next nine hundred years, constituted the intellectual background of half the people of the Caucasus, and whose devotees, later, for so long contested for supremacy with the followers of Christ.⁷

And, as Georges Charachidzé has pointed out, the Georgian

myth of the creation of the universe has a great deal in common with the Zoroastrian tradition.⁸

Zoroastrianism was the major pre-Islamic religion of Iran, dating probably from the fourth century B.C. Its vision, as expressed in the 'Yasna' section of the <u>Avesta</u> scriptures (consisting of poems, hymns and treatises which are the source of Zoroastrian beliefs), is widely viewed as dualistic in so far as it represents good and evil as necessary projections of the Supreme Deity: Ahura Mazdah.⁹

Mazdah, sky god possessing the a additional attributes of omnipotence and righteousness, rules from his celestial mansions surrounded by an escort of divine beings. He is closely united with Vohu Manah (the Good Mind) and Spenta Mainyu (the Beneficent Spirit), but remains in eternal combat with Angra Mainyu (the Destroying Spirit).

Spenta Mainyu and Angra Mainyu are twin spirits fathered by Mazdah himself. They have determined their own nature by choosing to absorb two opposing principles: truth and the lie (or <u>Asha</u> and <u>Druj</u>). Spenta Mainyu has elected to be the spirit of justice, good and life; Angra Mainyu has chosen deceit, evil and death.¹⁰ The following well-known hymn or 'Gatha' from 'Yasna 30', said to be attributable to Zoroaster himself, describes the choice as it is made at the beginning of time:

In the beginning those two Spirits who are the well-endowed...twins were known as the one good and the other evil, in thought, word, and deed.

Between them the wise chose rightly, not so the fools. And when these Spirits met they established in the beginning life and death that in the end the followers of the Lie should meet with the worst existence, but the followers of the Truth with the Best Mind. Of these two Spirits he who was of the Lie chose to do the worst things; but the Most Holy Spirit, clothed in rugged heaven, (chose) Truth as did (all) who sought with zeal to do the pleasure of the Wise Lord by (doing) good works. Between the two the false gods did not choose rightly; for, as they deliberated, delusion overcame them, so that they chose the most Evil Mind. Then did they, with one accord, rush headlong unto Fury that they might thereby extinguish...the existence of mortal men.11

E. O. James explains further:

Behind these twin-spirits lay the dualism between <u>Asha</u> and the <u>Druj</u>, Truth and the Lie, righteousness and evil, equated respectively with light and darkness in the cosmic order, and manifest...in human society at large. Since the dawn of creation the relentless struggle has been pursued between the angelic and demonic opposed forces and their adherents, but in the <u>Gathas</u> neither of them had an independent existence. Each was in relation to the other, meeting in the higher unity of Ahura Mazdah, thereby obviating a basic dualism.¹²

Mircea Eliade agrees that Zoroastrian theology is not dualistic in a strict sense since Mazdah is not confronted by an equal anti-god. Both good and evil proceed from Mazdah through their identification with his offspring. But because Angra Mainyu chose his mode of being, the Supreme God cannot be held responsible for the appearance of evil, although he does nothing to prevent it. The Supreme Deity transcends all contradictions and, as Eliade writes, 'the existence of evil constitutes the preliminary condition for human freedom'.¹³

However, as E. O. James also points out, the precise relationship between the twin spirits and Ahura Mazdah is never clearly defined in the 'Gathas'. Spenta Mainyu and Angra Mainyu may have had the choice, but behind them was the Wise Lord, omniscient and omnipotent, who endowed them with opposing natures and presumably would have known the consequences for creation. While the final destiny of man remained in Mazdah's hands and good was bound to prevail, he remained responsible for the origin of evil. This was a problem which the followers of Zoroaster sought to resolve only after his death. In a later dualistic development of the Zoroastrian system, Mazdah (renamed Ormuzd) and Angra Mainyu (now named Ahriman) are envisaged almost as equals.14

From the point of view of practical ethics, man's involvement in the cosmic struggle was a vocation to fight with the rest of the material universe for the good and for the Creator.¹⁵ As the later Zoroastrian tradition holds, the pre-existent souls of men agreed at the beginning of time to go down to earth of their own volition. There they would fight and suffer in Mazdah's service, anticipating the world's end, when they would be resurrected and granted immortality, with evil banished to the Abode of Lies.¹⁶

Charachidzé's comment on the parallels between the Georgian myth of creation and the Zoroastrian system also

points to the complementary character which the two conflicting aspects of reality - divine and demonic - seem to hold in the Georgian tradition. He writes:

> On peut penser en effet que le récit géorgien doit beaucoup à l'Iran mazdéen. Toutefois, on relève d'emblée une difference importante: la réforme zoroastrienne opère une disjonction définitive entre les deux composantes du monde. La Bonne Création ne saurait entretenir aucun rapport avec la Mauvaise Création, et leur conciliation suppose la destruction de la la première. En Géorgie, seconde par en revanche, les éléments créés par Dieu et ceux qui sont dus aux démons sont complémentaires. La totalité forme un univers cohérent et qui n'est pas appelé à se transformer (à la différence de l'Iran mazdéen le paganisme géorgien ignore toute préoccupation eschatologique...).17

> > (ii)

Amiredzhibi's novel <u>Data Tutashkhia</u> examines the application of ethical principles in life, within a philosophical system which closely parallels the Mazdean one. It depicts the lives of two cousins - Data Tutashkhia and Mushni Zarandia - in late nineteenth and early twentieth century Georgia. They are identical, we are told, in appearance and character, brought up with equal kindness by Mushni's father, a country church reader (<u>d'iachok</u>). But they come to serve opposing ethical principles.

Zarandia chooses personal ambition, corrupt temporal legislation and deceit. Data Tutashkhia devotes his life

to a search for justice, truth and eternal law. Zarandia makes a brilliant career in the tsarist gendarmerie, frustrated only by his attempts to exercise control over his cousin. Tutashkhia lives out his life as an outlaw (<u>abrag</u>), persecuted by the authorities but beloved by the populace. He is also free to make choices not normally open to those who abide by the law. In a metaphorical as well as literal sense he tests the limits of freedom, as Belaia observes:

> Он – абраг, и это мотивирует эмпирическую ситуацию. где он каждый раз оказывается в условиях выбора действий, но абраг И он метафорически он искатель истины, 'путник'....И поэтому, странствуя по дорогам поиска смысла жизни, он и в духовной ситуации все время оказывается перед нравственным выбором.18

Amiredzhibi's own view of the relationship between the cousins confirms the importance of its symbolic value and seems to refer indirectly to a Mazdean cosmic structure, fused with its Georgian variation. Evil and good co-exist in unresolved eternal combat. He writes:

> Братство, родство и даже внешнее сходство Даты Зарандиа...обусловлены той Туташхиа и Мушни действительностью, которую я поставил себе целью отобразить. Тогда считалось, что добро и зло И параллельно существуют одновременно в человеке, в природе. Они извечны, и между ними идет постоянное противоборство.... Эло и добро 'обречены' на одновременное существование. Они...скованы цепью....И когда один из братьев умирает - умирает и другой....Мушни Зарандиа в книге - это зло, и оно умирает. Дата Туташхиа для меня - олцетворение добра, физически и он погибает, но книга и автор ставят себя целью добиться того, чтобы он жил в сердце читателя. 19

Galina Belaia has argued forcefully that the strength of the novel lies in its qualities as a work of the 'psychological' genre, in which personalities, not principles are examined. It is a mistake on Amiredzhibi's part, she suggests, to think of it as a latter-day parable:

> После выхода романа Амиржэджиби не только не пытался смягчить идею о том, что Мушни и Дата - два полюса романа, но всячески Туташхиа пытался придать этому противостоянию символическое значение. Он сделал их олицетворением Добра ы Зла, a идею их двойничества, многократно отмеченную, попытался истолковать как материализацию идеи текучести и противоречивости человека, в котором Зло и Добро нередко существуют почти одновременно.

> Но, прочитав роман, с этим трудно согласится. Дата и Мушни - это все-таки не разные начала в одном характере, но разные характеры...И подчеркнутое автором их внешнее сходство изначальных условий (одна семья, одни и те же родовые традиции, одно и то же воспитание и т.п.) только острее выявляют несовпадение личностных основ этих персонажей.²⁰

Without belittling the substance of Belaia's remarks, it may be useful to show that for all its polyphony and attention to psychology, the novel also depends on several underlying and conflicting modes of thought: political and religious.

Data Tutashkhia is set out as a series of documents culled from witnesses of Data's life, and presented chronologically by a narrator who briefly introduces himself as the archivist behind the publication. The material is to serve as evidence for the reader's assessment of the lives and personalities of Data and

Mushni.

The work has a studied 'polyphonic' character, it is true. Each witness speaks from within the bounds of his own perception and understanding.²¹ An omniscient narrator is conspicuously absent. We observe Data and Mushni as they are seen by those whose lives they have affected, and watch the development of their moral character and understanding of themselves through their reported words and actions. But ultimately it is the quality of their deeds, reflected in the lives of those who knew them, which the novel offers as evidence for the reader's judgement. Their philosophical observations – which in any case are not always consistent – are pointers to moral development rather than contributions to a dogmatic literary statement.²²

Amiredzhibi's novel can be considered from at least three incompatible viewpoints: as a racy historical thriller, promoting Soviet values in the context of prerevolutionary Georgia; as a political statement propagating Georgian nationalism, even separatism; or as a philosophical study on the nature of the struggle between good and evil within the personality and in the world.

As a 'Soviet' novel it offers a hero who is a man of the people, and a villain well-read in ancient Georgian literature and theology whose life is enmeshed in a corrupt imperial regime. The chief witness of and

commentator on the action is the one-time head of the Caucasian <u>gendarmerie</u>, Graf Segedi. Through his acquaintance with the cousins, he discovers the fallacy of trust in the tsarist administration. Data himself is cast as an epic hero. Like Grigorii Melekhov in Tikhii Don (Quiet Flows the Don, 1928-40), he is ostracised by the community but none-the-less carries the burden of the fortunes of his people. He is not a revolutionary leader; but does have a passing association with a he revolutionary terrorist organisation and, towards the conclusion of the novel, helps to orchestrate an uprising in the prison to which he has voluntarily submitted himself.23

Predictably in this context, formal religion tends to carry negative associations. Data is seen resisting vice among the <u>khlysty</u> - a neo-Christian religious sect where acquisitiveness and promiscuity are rife.²⁴ Though frequently to be found in the company of priests and nuns, he is critical of the Christian monastic tradition insofar as it attempts to penetrate beyond practical ethics into personal spiritual life.²⁵ The Church does nothing to prevent corruption among the clergy. A priest is involved in an episode of assault and rape specially engineered by Mushni to compromise and entrap his cousin.²⁶

In terms of a nationalistically orientated scheme,

the novel presents its hero both as a legendary <u>bogatyr</u> figure and as a wanderer with neither home nor identity. Data is the son of a people deprived, by an alliance with Russia and her empire, of their rightful role in the community of nations. As Sandro Karidze - a socialist intellectual from Tiflis - declares, the Georgians have lost their sense of life's meaning and moral direction, because they have lost their identity as a nation:

> 'В природе, наверно, ничто так не зависит друг друга...как нравственность OT личности от судьбы его нации, как нравственность гражданина - от достоинств и недостатков его государства. И наоборот...Они так слиты, что не знаешь, что сначала, что потом....Нравственность та это внутренняя сила, С помощью которой личность...сочетает свои желания с интересами своего народа, своего государства....

> Присоединение к России решило многие острые проблемы нашей жизни...Но она несла СВОЮ закономерность: грузин, привыкший за свою историю к ответственности перед человечеством и перед своей страной, остался без смысла жизни....Наш народ стал похож на пущенное в луга стадо, у которого есть только одно дело - щипать траву!'27

As an outcast and an observer, Data explores life in his country from a range of perspectives and environments: religious, political and social. He is seen in abject poverty; living in a community subjected to a personality cult; or, later, enjoying the liberal, cultivated company of intellectuals in Tiflis.²⁸ In all these environments he remains isolated, less by his status as an outlaw than by moral posture. He refuses to be defiled by the evil which pervades the world. Non-defilement, the Zoroastrian tradition holds, is a form of resistance in the cosmic battle against evil.²⁹ If man chooses to enlist in the struggle, he must do so with his soul and with his body: both must be kept pure. The best form of life to select is farming, as Ninian Smart writes:

> The good man is one who looks after the cattle and tills the soil in peace and neighbourliness. He is upright and has a burning regard for the truth. It is his duty to keep away from those who worship the <u>daevas</u> (malignant gods IM), and to resist them with force if necessary. Angra Mainyu, the great evil spirit, threatens the farmer's life.³⁰

Data Tutashkhia has all the attributes of a good man. Before being outlawed (for defending the honour of his sister in a duel) he is a herdsman. It is a role to which he seeks to return later in life.³¹

It may be incidental that the cause of his ostracism is a woman. A misjudged shot, he kills his sister's lover; this sends him into hiding for decades. According to Mazdean cosmology, following the initial defeat of Ahriman by Ormuzd, it was the Primal Woman (or 'Whore Demoness') who stirred up the evil spirit as he lay prostrate. The effect of his return, after three thousand years, was felt both in the spiritual and material universe.³² As Jamsheed K. Choksy observes in his study of the principle of purity in Zoroastrianism, there was in its tradition a 'tendency to consider women as the polluted allies of the Evil Spirit'.³³ In Amiredzhibi's novel too it is the woman who

sets the scene for conflict between Data and Mushni, the forces of good and evil.

Such contingent detail may have the Zoroastrian, or indeed the Judaic, tradition at source.³⁴ More important is the development of Data and Mushni into figures expressing the principle by which each has chosen (or been elected) to live and its Zoroastrian quality. Their innate potential is identical, Graf Segedi tells us in his introduction; only the paths they tread differ. Individual nature determines characteristics, 'talent' and perhaps even morality:

> Во все времена общество представляло поприще орлу, стервятнику и птахе, и стезя каждого была пролагаема согласно его нравственным склонностям.... Это история жизни и отношений двух сильных натур....Провидение наделило их равновеликим талантом, но несхожесть нравственная развела их

As Belaia observes, Segedi's remark not only displays little respect for social determinism, but suggests the presence of an intuitive moral sense, independent of the will, which may shape the personality and decide its fate.³⁶ Data and Mushni have different moral proclivities. These determine the qualitative effect of their actions. As Segedi remarks in his narrative: 'Tsennost' liubogo sversheniia opredeliaetsia nravstvennost'iu svershivshego'.³⁷

по разным стезям. 35

The cosmic quality of their conflict is emphasised in five introductory 'quotations', allegedly from an ancient

text. They were added, Amiredzhibi has said, after the completion of the novel.³⁸ The passages describe five eras in the cosmic battle:1/ the creation; 2/ its invasion by evil; 3/ its near destruction; 4/ the decision by a deity named Tutashkha to descend into the world and become a man;³⁹ 5/ Tutashkha's sacrifice of his human body for the salvation of mankind.

In the beginning, the world is created by the Supreme God, and Tutashkha is delegated to rule and judge mankind. Tutashkha, we are told, is not a man, but the spirit of man permeating the human soul and the body. Man - an imperfect creature, but blessed with a conscience by which to recognise his imperfections - works as a herdsman and a farmer as Zoroastrianism dictates.⁴⁰

In the second passage, temptation is sown in the world by 'the scouts of the tribe which venerates Mammon'. Men are poisoned with desire; envy and conflict ensue. Tutashkha intervenes to restore balance but finds that, even then, treachery, injustice and vanity stalk the world. He withdraws and resolves to intervene no more.⁴¹

Thereafter the world is overrun by hate and destruction. The high priest of the people is a dragon who devours men. Tutashkha perceives that the dragon triumphs by cunning; mounted on a white horse and wielding a spear in the manner of St George (Georgia's patron saint), he swears to overcome evil by force.⁴²

The fourth passage depicts Tutashkha's battle with the dragon, which proliferates heads as he decapitates it. Its blood falls to earth and humanity turns to war as the dragon gains in strength. Tutashkha understands that mankind will be saved only if he becomes fully human. With that decision, he is transformed from a demi-god into God.43

The final 'citation' shows Tutashkha offering his body to be devoured by the dragon. As the demon eats he grows increasingly human and, declaring himself satiated, refuses Tutashkha's heart. Evil has been transformed into good. Tutashkha's physical form is returned to him, as are the bodies of all those men who willingly gave themselves to be consumed. Tutashkha's soul ascends into heaven, his body remains on the earth.44

The Christian parallels at the conclusion of the story are self evident: Spenta Mainyu, Christ and St George merge in the figure of Tutashkha. The Christian tradition, religious anthropologists tell us, owes much to Zoroastrianism. Satan or Lucifer - 'murderer' and 'father of lies' as he is - stands particularly indebted to his Iranian prototype.⁴⁵ The syncretist quality of Amiredzhibi's tale reflects the model he applies.

Data Tutashkhia's life enacts in the world of men the cosmic dilemma which the god Tutashkha faces: by what means may he overcome what must be defeated. To fight or

not to fight? To make an active contribution to the destruction of evil or to allow it to destroy itself? To suffer

The slings and arrows of outrageous fortune Or to take arms against a sea of troubles And by opposing end them?

It is, as Amiredzhibi has observed, also Hamlet's difficulty.⁴⁶

Data matures from a raw youth of nineteen into a man with a strong if naïve sense of social responsibility by wrestling with the problem of whether evil should be actively challenged. The conundrum is eventually resolved by his recognition of the fact that evil is not overcome until it becomes the good. This is the point at which the duality between Spenta Mainyu and Angra Mainyu dissolves, thanks to the intervention of a third principle (Mazdah). The two-fold system is fused into one. Amiredzhibi assesses his portrayal of Data's development in the following way:

> Начинает он с того, что пытается исправить мир, а каким будет результат его действий, даже не Второй период задумывается. _ отчаяние, невмешательство....Третий - снова активность, борьба с уже осознанным элом через насилие, но и И, он терпит поражение. наконец, здесь четвертый, самый важный период, когда учтен весь опыт прежних ошибок, и Дата в борьбе со злом уже не просто силен, но и мудр - он понимает, что радикальная победа над элом воэможна лишь тогда, когда удается переделать эло в добро.47

His last battle is among the people, the imprisoned <u>narod</u>. Behind bars, he is involved in armed resistance,

remaining all the while the invincible demi-god, immune to bullets and police traps. His greatest insight towards the end of his life is that the struggle is directed not against individuals but against the evil principle. As he explains to his adopted brother, Bilial' Zankshi:

> Ни врагом, ни другом дурного человека не считай. Ты должен видеть порчу, идущую от него...Вот эту порчу и это эло ты и должен преследовать, чтобы превратить их в добро....

> Он (Мушни) творит эло. Я абраг, мой долг идти теми же путями и исправлять им порченное. Так мы и живем: он делает свое, я - свое. Так это и должно быть.⁴⁸

To the enlightened man evil is divorced from the human being responsible for it, because in everv personality the two aspects of the cosmic struggle, divine and demonic, co-exist. Zarandia, if we are to take Graf Segedi's observations seriously, is wholly capable of finer feelings towards Data, but loyalty to the state, or ambition, counter his more humane gualities.49 He is, to use religious terminology, 'possessed'. The demonic archetype which has taken over his life ('demon kotoryi "ne mog inache"') is reflected in appearance. He becomes, at times when Segedi perceives this aspect of his nature, a snake - or a dragon (zmei).50

> Эти два начала, человеческое и демоническое, действовали совокупно, не порождая душевной раздвоенности, и в этом выражалась цельность и своеобычность натуры Зарандиа.⁵¹

The nature of the demon dictating the practical application of Mushni's positive characteristics

(intelligence, hard work, loyalty, creative intuition) is identified with deceit. Mushni's personality is possessed by <u>Druj</u>, the lie. It is the method by which he compromises Data in the eyes of the rural population which shelters him, and sabotages the career of a rival and superior in the police administration.

Just as the primal relationship between nature and will remains ambivalent in Zoroastrianism, so the reader is left to judge whether Mushni has chosen his machiavellian disposition, or whether it has chosen him. Mushni's own preference is for the theory that moral intuition is an aspect of personality, inherited and nurtured, that cannot be controlled:

> Все совершается само собой. Моя нравственность не пойдет на компромисс, наверное, даже под угрозой катастрофы...Это моя натура, в которой запечатлелась наследственность, и воспитание.⁵²

As Belaia has noted, this radical belief in a congenital moral disposition liberates Mushni from any need to examine his actions. His philosophising (like Ivan Karamazov's in <u>Brat'ia Karamazovy</u>) has a relative, not an absolute, significance in the novel as a whole and reflects a stunted moral personality which emerges fully only in the ruinous consequences of his actions. It becomes evident that somewhere there has to be room for choice:

'Интуиция', о которой так много говорит Мушни, это и есть врожденная нравственность (или 'нравственная склонность'). Амирэджиби не ставит под сомнение тот факт, что она существует. Но он

4. Chabua Amiredzhibi

считает, что, пользуясь выражением математиков, это условие становления личности 'необходимо, но недостаточно'....

Нравственная позиция человека, по Амирэджиби. складывается ИЗ определенных Этических компонентов: в ней есть то, что идет от натуры; то, что идет от воспитания и среды; тο, что составляет уровень нравственных установок, определяющих принципов, действия человека, его поведение B разных обстоятельствах. Характер детерминируется <u>нравственной установкой</u>. Проверка человека действие.53

Mushni's justification of the evil act is that of a visionary rather than a pragmatist: the evil that men do is vindicated by the good that they envisage. Judas, he suggests, calculated the good that would come of his betrayal.⁵⁴ Perfidy and evil are the weapons by which the justice and good.55 state may protect Zarandia collaborates with evil to assure a temporal advantage and enforce his flawed perception of what is right. Data, for his part, resists collaboration with any form of evil despite the risk of consequences which may (and frequently do) prove tragic to others or to himself.

Tutashkhia finally succumbs to the lie through his failure to admit his fatherhood to his natural son, Gudu. This once, he lays aside his devotion to <u>Asha</u> or truth in favour of a worldly advantage: he prefers Gudu not to go through life branded with illegitimacy. Gudu, meanwhile, is already caught up in Zarandia's far-reaching network and has no qualms about firing a pistol at a wanted outlaw. To preserve his son from the knowledge and

consequences of parricide, Data casts himself from a cliff into the sea. His body is never found, but his spirit haunts his enemies.⁵⁶

Tutashkhia's death - ennobled by his final act - is the consequence of a single submission to the demon of deception. But it heralds the demise of Mushni Zarandia shortly after. Melancholia sends him to the grave within three years. In accordance with the Georgian variation of the Zoroastrian system, the good and evil aspects of creation remain complementary: neither may exist without its moral antipole.

Notes to Chapter 4

1. Evans-Pritchard, Primitive Religion, p. 25.

2. C. G. Jung, <u>Memories</u>, <u>Dreams</u>, <u>Reflections</u>, ed. A. Jaffé, trans. R. and C. Winston (London, 1963) p. 155, quoted in Bani Shorter, 'Memory in the Service of Psyche: The Collective Unconscious in Myth, Dream and Ritual', in Thomas Butler, ed., <u>Memory: History, Culture and the Mind</u>, Wolfson College Lectures (Oxford, 1989) pp. 62-3.

3. Ibid., p. 68.

4. Thomas Butler, 'Memory: A Mixed Blessing' in Butler, ed., <u>Memory</u>, p. 3.

5. The novel originally appeared in the Georgian journal <u>Tsiskari</u> in 1972. In 1981 it was televised under the title 'Berega' with a script by Amiredzhibi, and won a State Prize. Lidiia Grafova calls Data Tutashkhia a 'national hero' (<u>narodnyi geroi</u>). Chabua Amiredzhibi, 'Veriu v energiiu dobra', interview by Lidiia Grafova, <u>Literaturnaia gazeta</u>, 4 May 1983.

6. W. E. D. Allen, <u>A History of the Georgian People: From</u> <u>the Beginning down to the Russian Conquest in the</u> <u>Nineteenth Century</u> (London, 1932) p. 41.

7. Ibid., p. 42.

8. Georges Charachidzé, <u>Le système religieux de la Géorgie</u> <u>païenne: analyse structurale d'une civilisation</u> (Paris, 1968) p. 705.

9. Ninian Smart, <u>The Religious Experience of Mankind</u> (Glasgow, 1981) p. 303.

10. See for example: E. O. James, The Worship of the Sky God: A Comparative Study in Semitic and Indo-European <u>Religion</u>, London, 1963, pp 95-6; Mircea Eliade, <u>A History</u> of <u>Religious Ideas</u>, trans. William R. Trask, vol. 1 (London, 1979) p. 310; R. C. Zaehner, <u>Concordant Discord:</u> <u>The Interdependence of Faiths</u> (Oxford, 1970) pp. 387-8; Smart, <u>Religious Experience</u>, p. 306

11. 'Yasna' 30: 3-6, as quoted in Zaehner, <u>Concordant</u> <u>Discord</u>, p. 388.

12. James, <u>Sky God</u>, pp. 95-6.

13. Eliade, <u>Religious Ideas</u>, vol. 1, p. 310.

14. James, <u>Sky God</u>, pp. 97-8.

15. Smart, <u>Religious Experience</u>, p. 308.

16. Zaehner, <u>Concordant Discord</u>, pp. 391-2.

17. Charachidzé, <u>Géorgie païenne</u>, p. 705.

18. Belaia, <u>Literatura</u>, p. 311.

19. Chabua Amiredzhibi, 'Khudozhnik v kruge vremeni', interview by Genrikh Mitin, <u>Voprosy literatury</u>, No. 5 (1979) pp. 139-40.

20. Belaia, Literatura, pp. 308-9.

21. Ibid., p. 321; Amiredzhibi, 'Khudozhnik', pp. 143-4.

22. Belaia, Literatura, pp. 316-17.

23. Ibid., pp. 312-13; Amiredzhibi, <u>Data Tutashkhia</u>, p. 606.

24. Ibid., pp. 30-6.

25. Ibid., p. 200.

26. Ibid., pp. 357, 360, 369-70, 394.

27. Ibid., pp. 251, 257-8.

28. Ibid., e.g. pp. 24, 52-75, 201-314.

29. James Hastings, ed., <u>Encyclopaedia of Religion and</u> <u>Ethics</u>, 13 vols, vol. 12 (New York, 1921) p. 864; Jamsheed K. Choksy, <u>Purity and Pollution in Zoroastrianism: Triumph</u> over Evil (Austin, 1989) pp. 1-22.

30. Smart, <u>Religious Experience</u>, p. 307.

31. Amiredzhibi, Data Tutashkhia, pp. 16, 320-1.

32. Choksy, Purity and Pollution, pp. 95-6.

33. Ibid., p. 96.

34. It scarcely needs to be said that in the Judaic tradition too, the Primal Woman (Eve) is depicted as the first collaborator with Evil.

35. Amiredzhibi, <u>Data Tutashkhia</u>, p. 10.

36. Belaia, <u>Literatura</u>, p. 303.

37. Amiredzhibi, Data Tutashkhia, p. 10.

38. Amiredzhibi, 'Khudozhnik', p. 140.

39. Tutashkha, we are told in a note, is the Supreme Deity in the Georgian, pre-Christian pantheon. Amiredzhibi, <u>Data</u> <u>Tutashkhia</u>, p. 5.

40. loc. cit.

41. Ibid., p. 9.

42. Ibid., pp. 139-40. St George has importance in the Russian context as well. In ancient Rus', he was depicted on princely seals and coins, and in tsarist Russia, on the state seal. Prokhorov, ed., <u>Great Soviet Encyclopaedia</u>, 3rd ed., vol. 6, p. 299.

43. Amiredzhibi, Data Tutashkhia, p. 339.

44. Ibid., pp. 514-15.

45. 'St John' 8:44, in Zaehner, <u>Concordant Discord</u>, p. 393.

46. William Shakespeare, 'Hamlet', Act III, scene 1, lines 57-60; <u>Literaturnaia ucheba</u>, No. 4 (1982), quoted in Belaia, <u>Literatura</u>, p. 312.

47. Amiredzhibi, 'Veriu', p. 14.

48. Amiredzhibi, Data Tutashkhia, pp. 655-6.

49. Ibid., p. 505.

50. Ibid., pp. 485, 505-6, 627.

51. Ibid., p. 506.

52. Ibid., pp. 177-8.

53. Belaia, <u>Literatura</u>, pp. 304, 308.

54. Amiredzhibi, <u>Data Tutashkhia</u>, pp. 630-1.

55. Ibid., p. 499.

56. Ibid., pp. 692-3.

(i)

As earlier chapters have indicated, the need felt by writers of the 1960s and 1970s to revive cultural memory stimulated their awareness of semiotic systems embedded in religions traditionally associated with their locality. If a literary piece was to be accepted for publication, the language of Orthodox Christianity was disallowed; but religious ideas could be expressed in the less familiar language of more ancient cults.

In some instances, however, the revival of memory touched less upon archetypal systems of images than upon displaced ideas. The writings of certain key nineteenth century thinkers (notably Vissarion Belinskii, but also Tolstoi and Dostoevskii) served as pathways back to preideological and theistic thinking. Belinskii, and the German Idealist philosophers who influenced him, worked with concepts which carried strong vestiges of animism. They wrote, for example, of a transcendent Mind, Spirit or Order which expressed and realised itself through the workings of nature, and of art and beauty as living forces active in human life.

In later editions of <u>Primitive Culture</u>, Tylor emphasised that in developed civilisations, intellectual

formulations or ideas have a role equivalent to that of object-souls in more primitive societies. Indeed, he said, ideas frequently continue to be understood in terms of visual images which have an independent life of their own. In Classical Greek philosophy, Democritus' notion of images thrown out by objects and received by a recipient soul was, Tylor wrote, a surviving doctrine of primitive animism decanted into the form of metaphysics. Regarding the prevalent doctrine of ideas, he observed that it had undergone a transition similar to the doctrine of soul. The term 'idea' (Greek: $\frac{i\delta \epsilon \alpha}{\delta}$) originally indicated a 'visible form'. Its abstraction to denote subjects of thought was, Tylor believed, still a relative innovation:¹

The notion of ideas as real images of things...does linger much in modern minds, and...people who talk of ideas do often, in some hazy metaphorical way, think of sensible images.²

It has been suggested earlier (see above, Chapter 1, p. 24) that Daniil Granin's novel 'Kartina' ('The Picture', 1979) may serve as an example of writing in which the notion of object-soul also comes to denote a more abstract conception: that of the spirit of beauty. Though a simple work in terms of narrative structure, the interest of 'Kartina' lies particularly in the weight of derivative ideas from Belinskii, German Idealism and Dostoevskii, which it carries. It seems to serve as a bridge to pre-revolutionary philosophical thought, built in accordance with a standard 'thaw' design, and displaying conventional views on environmental conservation, memory and the value of art.

'Kartina' reads rather like a publicistic work in novel form, promoting the moral and social effects of art and the saving grace of aesthetic perception.³ While on a visit to Moscow, the provincial Party official Sergei Losev chances upon an art exhibition. There he sees a painting which he recognises as a depiction of a familiar landscape with a pre-revolutionary building from his home town, Lykov. The house is about to be demolished to allow for the erection of a new computer firm branch. Losev finds himself strangely fascinated by the canvas which carries him back to his childhood. He determines to acquire it for the town. Having done so, he finds himself at the centre of a struggle between conservationists looking to save the building, and Party apparatchiki who can see no useful purpose in leaving it standing. On a personal level he is also subjected to the transforming power of art. He rediscovers youth, individuality, and capacity for moral judgement, to a degree which leads him to abandon a successful Party career for unspecified private principles. The historical monument is saved, but Losev is lost to the apparat and to Lykov. Only his memory appears to have been stamped on the painting which determined his fate. As the novel closes, a visiting art

historian notes that the painting also faintly represents the figure of a boy swimming in the creek. The Soviet critic I. Grekova, - rightly, I think - surmises that this is an image of Losev himself, liberated from the spiritual confines of his old position:

> Предславляется, что маленький мальчик, плывущий в текучей воде, вот-вот готовый выплыть из тени, - это сам Серега Лосев, ушедший из ограниченных рамок своей жизни в огромный солнечный мир.⁴

The painted landscape represents and embodies the aesthetic principle, shown to have an irrational power which can galvanise and transform lives. Astakhov's painting is the image by which we come to understand the dynamic properties of art and beauty.

(ii)

The role of art - its social influence, its part in education - was widely discussed in the Soviet press in the 1970s. The issue reflected public concern over the preservation of culture, and distress about monuments which had been destroyed under Stalin and Khrushchev. It was raised at the 24th Party Congress in 1971, and again at the 25th Party Congress in 1976. There, the role of the writer or artist was recognised primarily as a moral one, drawing on the ethical principles of Communist ideology. A statement from the 1976 Congress declared:

Заслуга наших писателей, художников в том, что они стремятся поддержать лучшие КАЧЕСТВА

человека – его принципиальность, честность, глубину чувств, исходя при этом из незыблемых принципов нашей коммунистической нравственности.⁵

Granin's lecture at the RSFSR Writers' Union in 1978, entitled 'Lichnost', NTR, literatura', complained that people were being assessed less by their moral qualities, than by academic or technical skills. Schools were producing an over-pragmatic generation and the arts were not getting enough exposure, he said: 'Elementy romantiki, poetizatsii zhizni defitsitny i malo k sozhaleniiu pooshchriaiutsia'.6

Granin's complaint has a nineteenth century flavour characteristic of views on art expressed in a wide range of Soviet publications of the 1960s.⁷ It was Belinskii, a figure consistently influential in Soviet literary criticism, who suggested that aesthetic sense lay at the base of all moral discrimination.

> Чью душу не волнует музыка; кто видит в картине только галантерейную вещь...кто не полюбил стихов смолоду, кто видит в драме только театральную пьесу, а в романе сказку, годную для от скуки, занятия TOT не человек.... Эстетическое чувство есть основа добра, основа нравственности.8

As Victor Terras observes in an important study of Belinskii and his literary heritage, the social, cognitive and prophetic powers of art remained highly valued in Soviet aesthetic theory after the Revolution.⁹ The emphasis given to Belinskii's ideas was greatest in the 1920s and after the 'thaw'; but the sense of art as an

important 'organiser' of emotions through the formulation of 'true' ideas, and as a contributor to the class struggle, was never lost in Soviet criticism. Art, it was frequently restated, was a source of moral transformation for the Soviet people.¹⁰ Belinskii's association with German Idealist philosophies of the late eighteenth and early nineteenth centuries remained a matter of controversy, however.

The 'organic' conception of art which Belinskii put forward consisted of a neo-Platonic notion that the work of art is balanced, like an animate being, according to patterns found in the natural world. The relevant passage from Plato's <u>Phaedrus</u> reads:

> A composition should be like a living being, with a body of its own, so as not to be headless or footless, but so as to have middle and extremities fitting one another, as well as the whole.¹¹

This conception has been variously treated by philosophers since: either metaphorically or literally. Some recognised as real art only those works which could be likened to a living organism expressing some kind of vital force. The second interpretation more closely resembled the original Platonic view that a true poem or oration has a soul, infused into it by the poet's inspiration which is God's gift, and therefore prophetic.¹²

The notion that a work of art has an independent life

and therefore not only mirrors nature but is equal to it, was condoned by Schelling and Hegel, and by Belinskii :

> Поэт не подражает природе, но соперничествует с нею, – и его создания исходят из того же источника, и тем же самым процессом, как и все явления природы, с тою только разницею, что на стороне процесса его творчества есть еще и сознание, которого лишена природа и ее деятельность.13

It is widely recognised by scholars of Belinskii's work that, up to about 1840, his thought fell broadly in line with German Idealism. After 1840 there are strong indications that he became dissatisfied with this, and more concerned with social, rather than purely philosophical, issues. In 1836, certainly, he was writing of the 'form' (forma) of the work of art as the 'living and organic body' ('zhivoe i organicheskoe telo') of its 'idea', which is its 'soul': 'ideia...sviazana s formoiu, kak dusha s telom'.14

In 1842 Belinskii criticised neo-Platonic conceptions of art, arguing that today art demanded intellectual content ('razumnoe soderzhanie') and historical meaning ('istoricheskii smysl') rather than beauty ('iziashchestvo i krasota'). But only a year earlier he had published a lyrical eulogy to poetic art which Terras compares to Pasternak's definition of poetry in <u>Sestra moia, zhizn'</u> (My Sister, Life, 1922):15

> Поэзия есть жизнь по преимуществу....Поэзия это светлое торжество бытия, это блаженство жизни...это вечная и никогда неудовлетворимая жажда все обнять и со всем слиться; это тот божественный пафос, в котором сердце наше бьется

в один лад со вселенною...тот божественный пафос, в котором земное сияет небесным, а небесное сочетается с земным, и вся природа является в брачном блеске, разгаданным иероглифом помирившегося с нею духа.

Earlier in the same article he writes: 'V poezii zhizn' bolee iavliaetsia zhizniu, nezheli v samoi deistvitel'nosti'.¹⁶

It is noteworthy that the ideas of the early Belinskii, rather than the thoughts of the more socially orientated critic he later became, appear to re-emerge in the Soviet aesthetic theory of the 1960s and 1970s. The connecting link presumably lies in Soviet criticism of the 1920s; this saw in Aleksandr Voronskii, editor of the journal <u>Krasnaia nov'</u> (<u>Red Virgin Soil</u>, 1922-28), an influential and consistent defender of the Belinskian view of art. Under Stalin, Voronskii's writing was banned and all reference to him removed from published works, but in the 1960s he was rehabilitated and, together with many of his contemporaries, acclaimed as a major critic.¹⁷

Voronskii seems to have shared the early Belinskii's understanding of art as a way of seeing through banal, chaotic everyday reality into a 'real', 'objective', 'ideal' world beyond.¹⁸ Art tore away the veils of a lower (seen) reality to reach a higher, less perceptible truth.¹⁹ It did so through the creative intuition of the artist who had the capacity to create an organically integrated, 'true' and independently living form.²⁰ As

Voronskii suggests in his article 'Iskusstvo videt' mir' ('The Art of Seeing the World', 1928) art restores to man those primal, childlike perceptions which have been dulled by the years:

> Человек все же хранит в памяти, быть может, иногда лишь как далекое, смутное сновидение, неиспорченные, подлинные образы мира. Они прорываются в человека вопреки всяким препятствиям. Он знает о них благодаря детству, юности, они открываются ему B особые, исключительные моменты, в периоды общественной жизни. Человек тоскует по этим девственно-ярким образам, он слагает о них саги, легенды, поет песни, сочиняет романы, повести, новеллы.21

The notion that art can satisfy the yearning to see again with the eyes of a child is reiterated in 'Kartina'. As Grekova has noted (see above p. 166), through aesthetic response, Losev regains lost innocence and clarity of insight.

When 'Kartina' appeared in 1979, it attracted attention because it touched upon tensions over the environment and the national heritage between the Party apparat and the intelligentsia. These were issues already high on the public agenda. In addition, the novel raised a problem which was to claim full public attention in the early 1980s: the moral and cultural inadequacies of members of the Party élite. Furthermore, in a way which says much about Granin's sociological acumen, it anticipated the coming of a 'restructured' official, capable of individual initiative and of withstanding Party pressures. Losev is not given the opportunity to prove

himself in his new role because the time is not yet ripe. Like Rakhmetov in Chernyshevskii's <u>Chto delat'?</u>, he disappears. But the conclusion hints heavily at an imminent return. We learn about him from Lykov's village drunkard, Matvei (perhaps another modern equivalent of the Holy Fool):

> 'Самостоятельный был начальник....Человек из легенды!...От своей должности добровольно отказался. Повышение ему предлагали. He принял.... 'Потом уехал. Исчез с поля зрения. Но я полагаю, что он вернется.... 'Ситуация потребует такой жизни личности! '22

The Soviet critic, L. Fink, has argued that Granin's main interest as a novelist and publicist is in maintaining 'spiritual and artistic' values as stable points in an ever changing world.²³ It appears that it is the figure of the ultimate idealist, Don Quixote, who personifies these to Granin most completely:

> Все вокруг личности быстро меняется – карта мира, скорости, аппаратура....Меняются условия труда....Что остается неизменным? Книги, картины, пластинки.... Дон Кихот....

> Трудно вообразить себе, что будет если изъять Дон Кихота из быта современной Испании...²⁴

> Достоевский понимает очищающую силу духовной красоты и веры. Выше всего в мировой литературе он ценил Дон Кихота.²⁵

This idea is derived from Dostoevskii's letter of January 1868 to his niece, Sof'ia Ivanova, concerning <u>Idiot (The Idiot</u>, 1868) and his attempt to portray a hero who is truly 'polozhitel'no prekrasnyi'. The reference

confirms the importance of the Dostoevskian influence on Granin's moral vision.²⁶ In 'Kartina' it can be seen, for example, in the way the principle of pragmatism, or the practical solution, is countered by the redeeming power of aesthetic appreciation.

When the novel opens, Losev is introduced as an uncomplicated man, interested in facts and palpable results. His task is to create material improvement; maternity wards, sewers and refuse collection are the priorities. But by providence or coincidence, Losev encounters a work of art which, despite himself, he deems beautiful. It evokes lost perceptions and is a monument to the past. It appeals to senses, memory and understanding. The judgements Losev makes thereafter - aesthetic and later moral - are prompted by reawakened intelligence and a new capacity to contemplate and recognise order. He discovers it first in the painting, then in its relationship to the real object in nature and, finally, in the object itself and the purpose it serves. In other words, the aesthetic experience gives Losev a sense of the design in nature. This finds reflection in his cognitive capacities and, as the plot develops, in moral perceptions and actions. Reference to Voronskii's views on the capacity of art to reveal a higher unseen truth has already been made. Directly or not, these were derived from German Idealist philosophy. A short layman's

reference to Immanuel Kant's theory of aesthetics may be helpful, in recognition of the fact that he is quoted in 'Kartina'.²⁷

According to Kantian thought, beauty pleases mainly because it satisfies our cognitive faculties, and our shared sense of belonging to a universe which is ordered and 'purposive' (<u>zweckmässig</u>).²⁸ As Roger Scruton writes in his short introduction to Kant's work:

> The concept of purposiveness is 'supersensible': it is the idea of a transcendental design, the purpose of which we cannot know.²⁹

Granin's novel shows beauty to have the power initially to awaken an intelligent response, subsequently to increase perception and understanding and, finally, to transform the personality. Specific ideas on its nature appear in a series of notes written by Losev's father, Stepan Iustinovich, which have been preserved thanks to the efforts of a penitent Stalinist, Polivanov, who now devotes himself to collecting objects of cultural interest. They show how easily the animist vision and Idealism can blend and fuse.

Stepan Iustinovich holds that all of nature's forms have a spiritual dimension:

Раз есть жизнь, есть и душа. И дерево, и муха, и камень, и реки живут своей жизнью. Человек не исключение. Душа есть не у предметов, а у природных образований. Поэтому ни одно из них до сих пор до конца не разгадано.³⁰

Beauty, he writes, signals the soul.³¹ It is also an

expression of the order which exists in nature. That which is beautiful is so by virtue of the contribution it makes to that order: its 'purposiveness' in Kantian terminology, or its part in the cosmic design:

> Река наша, Плясва, журчит оттого так приятно, что производится это ради всеобщей красоты и есть нормальное выражение реки. А эвуки обвала и как ломается дерево - неприятны, даже ужасны, потому что означают бедствие природы. Эти факты говорят нам, что естественное существование всех предметов выявляется через красоту. Красота каждого существа есть вклад в общую пользу природы. Не случайно все нормальное развитие в природе так красиво.³²

The artist, as Stepan Iustinovich's record of conversations with Astakhov shows, communicates that order. He reveals the spirit in nature and expresses a deeper quality of truth.

> Нарисовал художник дом....красиво....потому что дом лучше узнать можно, душу его приоткрыл, эта красота приближает к душе, то есть к истине.³³

The Kantian aesthetic is of particular significance since an inconspicuously placed quotation from Immanuel Kant encapsulates the novel's main moral, social and political message. As Losev flips through a volume of Kant's writings lying in the home of his one-time mentor Arkadii Matveevich, he reads a passage in the <u>Fundamental</u> <u>Principles of the Metaphysic of Morals</u> (1785), which formulates the reasoned basis for moral action: the categorical imperative.³⁴ And he learns from it that man is an end in himself and may never be used only as a means.³⁵

Just as the pattern of Losev's life shows the Soviet bureaucrat shaking off the view that individuals should be treated as objects serving higher state interests so, it emerges, Astakhov's life was an affirmation of artistic freedom against the expectation that art must serve the same purpose. In the 1930s, Astakhov exposed himself to unspecified repressions for painting his apolitical picture and for his belief in the liberating power of creativity. To his sweetheart Liza Kislykh he writes:

> Отвечать придется перед Творцом....Для меня Творец – таинственная сила, то понуждение, что заставляет меня писать. Заставляет, и при этом освобождает. Когда я пишу, я свободен как никогда, я сам – господь бог, ничто не властно надо мною, я творю мир таким, какой мне нравится.³⁶

The parallels with Pasternak's perception of the poet as a figure poised between the temporal and the eternal are close enough to merit brief comment. Astakhov's views parallel some of the thoughts expressed in the <u>Zhivago</u> poem, 'Gamlet' ('Hamlet'): the sense of the predestined quality of the creative act, the artist's personal responsibility before the community and his accountability before the Creator with whom he is one:

> На меня наставлен сумрак ночи Тысячью биноклей на оси. Если только можно, Авва Отче, Чашу эту мимо пронеси.

Я люблю твой замысел упрямый И играть согласен эту роль. Но сейчас идет другая драма, И на этот раз меня уволь.

Но продуман распорядок действий, И неотвратим конец пути. Я, один, все тонет в фарисействе. Жизнь прожить - не поле перейти.³⁷

If Granin's novel gives expression to Pasternak's neo-Kantian view of art as the moment of relation between the visible and the invisible, the known and the unknown, it also gives a more 'primitively' animist interpretation to the organic view of the artistic work. Astakhov's painting has the qualities of a demanding and vital organism, as the art critic, Badin, senses:

> Бадин начал ощущать таинственное живое тепло этого создания, живущего собственной жизнью живого существа.³⁸

It is vested with a soul which needs a special environment, a community, in which to survive:

'В одиночку настоящая картина не может существовать...Ей нужна среда, то есть художественный организм, собрание.'³⁹

The warning which Astakhov's widow, Ol'ga Serafimovna, gives to Losev as he departs with the painting has a lugubrious and sinister quality:

> 'Не связывайтесь вы с ней....Хлебнете...зачем вам...картины, они требуют...они мне всю душу...'⁴⁰

The work of art has a capacity, a demonic power even, to direct personal fate and to take the human soul.

In its Idealist aspect, Astakhov's painting is the link between temporal and transcendent, but its 'objectsoul' possesses an ambiguous quality. Granin's representation of the nature of beauty loosely parallels Dostoevskii's. It does not shirk the moral tensions which the aesthetic experience can rouse. Prince Myshkin maintains that beauty will save the world; Dmitrii Karamazov observes that it is the ground on which God and the devil fight their timeless battle: 'Tut d'iavol s bogom boretsia, a pole bitvy - serdtsa liudei'.41

In a further Dostoevskian touch, the redemptive qualities of beauty are associated not only with cognition, but with memory. The initial impact Astakhov's painting has on Losev is determined by familiarity: it reminds him of his childhood. A similar process takes place when, later in the novel, Losev bathes in the creek which the picture depicts. The memory of boyhood awakens his perception of the fullness of life around him. That in turn prompts memories of his father's pantheist theories:

> Туман дотаивал, вода ожила...Старый валун искрился, хитро посверкивал. Длинноносый кулик вскочил на него И серьезно посмотрел на Лосева...Куда ни глядел Лосев, глаэ его обнаруживал утаенную мелкую жизнь, которая происходила внутри крупной жизни. От этого каждый предмет становился еще красивей....

> Река взглянула на него ярко-коричневыми глазами....

Может на самом деле была душа у этой реки? И у заводи, у камня?⁴²

The response to beauty is a key to awakening levels of consciousness which have a liberating or, in Dostoevskian terms, redemptive quality. It recalls Alesha's monologue to the group of children in the closing pages of <u>Brat'ia Karamazovy</u>:

Святое воспоминание, сохраненное с детства,

может быть, самое лучшее воспитание и есть. Если много набрать таких воспоминаний с собою в жизнь, то спасен человек на всю жизнь.⁴³

In a scene where Losev and his newly acquired girlfriend Tania Tuchkova witness an Orthodox Church Service, Losev's apprehension of religious rite as something beautiful is entwined with an inexplicable sense of its familiarity.

The ambivalence of Granin's depiction of the Liturgy has been discussed in another article.44 It will suffice here to point out that - in the religious context at least - just as beauty may have a redemptive quality, so it may also awaken forces of evil. The church, a haven of peace and aesthetic harmony, also shelters a shadowy server named Il'ia Samsonovich. His appearance suggests a dark angel: gnome-like features, flapping elbows, blazing eyes. He cringes at the sight of the altar, and proposes to invert heaven and hell to test the disinterested nature of the good that men do. In addition he declares that his life has been an expression of that greatest of sins in the Karamazovan catalogue - doubt.45 Il'ia Samsonovich seems a pale reflection of Dostoevskii's devil, immanent in human nature: an aspect of personality isolated from its source, torn from 'living life', and trapped in a metaphysical vacuum.46

If doubt takes an existential form in the novel, so does guilt. Losev is haunted by the death of a colleague,

Shiparev, which he might, perhaps, have prevented. Twice he hears a ghostly knock on the door; twice he finds nobody there. Like Raskol'nikov, he feels unable to confess, even after listening to the drunk Matvei (derived, doubtless, from Marmeladov) expressing his own sense of responsibility for the death of his wife.

Peppered as it is with echoes of Dostoevskii and Pasternak, Kant and Belinskii, 'Kartina' impresses as a collage of ideas and literary devices, written to encourage aesthetic appreciation in a social environment which had long chosen to ignore it. The novel's own aesthetic integration may legitimately be questioned, given the weight of derivative ideas it bears in a relatively unsophisticated plot. It is tempting to fall back on Voronskii's remark regarding Leonid Leonov's novella <u>Konets melkogo cheloveka</u> (<u>The End of a Petty Man</u>, 1924) which is strongly reminiscent of Dostoevskii:

> То, что от художника, от его интуиции - почти всегда крепко, реально, жизненно, просто, верно; то, что от ума, от размышлений, - сплошь и рядом навеяно со стороны, сомнительно, спутано, не додумано.47

'Kartina' is less an original piece of literature than an essay in novel form with references, quotations and an unlisted bibliography. It is an expansion of thoughts Granin had put forward in the essay 'Obratnyi bilet' ('Return ticket', 1976), which discusses the effect of art and memory on individual growth with direct reference to Dostoevskii. It also anticipates some of the arguments on the moral value of art to be found in a series of articles published under the title <u>Dva kryla</u> (<u>Two Wings</u>, 1983), and particularly in the much publicised article 'O miloserdii' ('On Charity', 1987). The latter refers to the traditional connection between art and social morality in Russian literature, and to the disappearance of the notion of charity from the Soviet lexicon and Soviet life.48

Given the publicistic qualities of 'Kartina', its resuscitation of ideas and moral conceptions which might have lain buried under the ideological rubble gathered by the Brezhnev regime is all the more significant. Chiefly through intertextual reference, and in a form which appears innocuously to reflect Marxist aesthetics and ecological concerns, it raises issues relating to conscience, morality, aesthetics and religion. And it proposes answers which, though they may not seriously threaten the ideological nexus, do encourage its expansion and the incorporation of greater freedoms within it.

Notes to Chapter 5

1. Tylor, Primitive Culture, 5th ed., vol. 1, p. 498.

2. Ibid., p. 499.

3. In a retrospective glance at Granin's output, Andrei Vasilevskii has remarked on the publicistic character of his writing:

> Дарование же его, я думаю, скорее художественнопублицистическое, но не чисто художественное всего семилетней давности 'Картина', выцветшая под воздействием происходящих общественных сдвигов, читается сегодня с трудом.

Andrei Vasilevskii, 'Odno proshlo, drugoe izmenilos'', <u>Literaturnoe obozrenie</u>, No. 5 (1988) p. 73.

4. I. Grekova, 'Tvoriashchee vnimanie. (O romane D. Granina "Kartina")', <u>Zvezda</u>, No. 1 (1981) p. 146.

5. <u>Materialy XXV s'ezda KPSS</u> (Moscow, 1976) p. 79, quoted in L. Fink, <u>Neobkhodimost' Don Kikhota: Kniga o Daniile</u> <u>Granine</u> (Moscow, 1988) p. 286.

6. <u>Literaturnaia gazeta</u>, 7 June 1978, quoted in Fink, <u>Neobkhodimost'</u>, p. 289.

7. See for example M. Kagan, <u>Lektsii po marksistskoleninskoi estetike</u>, 3 vols (Leningrad, 1963-66); Iu. B. Borev, <u>Vvedenie v estetiku</u> (Moscow, 1965); V. V. Vanslov, <u>Estetika romantizma</u> (Moscow 1966), cited in Victor Terras, <u>Belinskij and Russian Literary Criticism: The Heritage of</u> <u>Organic Aesthetics</u> (Madison, Wisconsin, 1974) p. 4.

8. V. G. Belinskii, 'Nichto o nichem, ili otchet g. izdateliu "Teleskopa" za poslednee polugodie (1835) russkoi literatury', <u>Sobranie sochinenii v 3-kh tomakh</u>, vol. 1 (Moscow, 1948) p. 220.

9. Terras, <u>Belinskij</u>, p. 4.

10. Robert A. Maguire, <u>Red Virgin Soil: Soviet Literature</u> <u>in the 1920's</u> (Princeton, New Jersey, 1968) pp. 190, 263.

11. Plato, <u>Phaedrus</u>, 264 C, quoted in Terras, <u>Belinskij</u>, p. 10 (Note 18).

12. Plato, <u>Phaedrus</u>, 245 A, in Terras, <u>Belinskij</u>, pp. 10-11. 13. V. G. Belinskii, 'Stikhotvoreniia M. Lermontova (1840)', <u>Polnoe sobranie sochinenii v 13-ti tomakh</u>, vol. 4 (Moscow, 1954) p. 499.

14. V. G. Belinskii, 'O kritike i literaturnykh mneniiakh "Moskovskogo nabliudatelia" (1836)', <u>Polnoe sobranie</u> <u>sochinenii v 13-ti</u> tomakh, vol. 2 (Moscow, 1953) p. 152.

15. Terras, Belinskij, pp. 158, 83.

16. Belinskii, 'Stikhotvoreniia M. Lermontova', pp. 493-4, 489.

17. Maguire, Red Virgin Soil, p. viii.

18. Voronskii writes: Прежде всего искусство есть <u>познание</u> жизни.... Настоящий поэт, настоящий художник - тот, кто <u>видит</u> идеи.

A. Voronskii, 'Iskusstvo, kak poznanie zhizni i sovremennost'. (K voprosu o nashikh literaturnykh raznoglasiiakh)', <u>Krasnaia nov'</u>, No. 5 (1923) p. 349; Maguire, <u>Red Virgin Soil</u>, pp. 193-4.

19. Ibid., p. 201; Voronskii, 'Iskusstvo', p. 350. The effect of Voronskii's reading of Tolstoi is also likely to be important. Belaia writes:

'Обнажение жизни' совершенное Толстым, стало для Воронского эстетическим ориентиром, а мысль о 'детскости', об особом душевном складе писателя, о яркости и чистоте непосредственного восприятия надолго определила русло разговоров о типе художника.

G. Belaia, <u>Don Kikhoty 20-kh godov: 'Pereval' i sud'ba ego</u> <u>idei</u> (Moscow, 1989) p. 210.

20. Maguire, <u>Red Virgin Soil</u>, pp. 201, 217; Terras, <u>Belinskij</u>, p. 282.

21. A. Voronskii, 'Iskusstvo videt' mir. (O novom realizme)', <u>Iskusstvo videt' mir</u> (Moscow, 1928) p. 84.

22. Granin, 'Kartina', p. 615.

23. L. Fink, 'Neobkhodimost' Don Kikhota', <u>Literaturnoe</u> <u>obozrenie</u>, No. 2 (1985) p. 55. See also Vasilevskii, 'Odno proshlo', p. 73.

24. Daniil Granin, Dva kryla (Moscow, 1983) pp. 15, 201.

25. Granin, <u>Dva kryla</u> as quoted in Fink, 'Neobkhodimost'', p. 55.

26. F. M. Dostoevskii, <u>Pis'ma 1860-1868</u>, <u>Polnoe sobranie</u> <u>sochinenii v 30-ti tomakh</u>, vol. 28. book 2 (Leningrad, 1985) p. 251. Granin has noted Dostoevskii's influence on contemporary Soviet prose, and recognises that Dostoevskii has directly affected his own work, particularly the story 'Kto-to dolzhen' (1970). Fink, <u>Neobkhodimost'</u>, pp. 152-3. Also see: D. Granin, 'Nerazgadannost' cheloveka', <u>Sobesednik</u>, No. 3 (1982) p. 269; D. Granin, 'V dome na Kuznechnom', <u>Novyi mir</u>, No. 10 (1981) p. 194.

27. Leafing through a book of Kant's works, Losev reads the following: "Chelovek est' tsel' sama po sebe, to est' nikem (dazhe bogom) ne mozhet byt' ispol'zovan tol'ko kak sredstvo"'. Granin, 'Kartina', p. 431.

28. W. Charlton, <u>Aesthetics: An Introduction</u> (London, 1970) p. 78. In a summary of Kant's thought, Roger Scruton writes:

Our pleasure in beauty has its origin in a capacity, due to the free play of imagination, first to experience the harmonious working of our own rational faculties, and secondly to project that harmony outwards on to the empirical world. We see in objects the formal unity that we discover in ourselves. This is the origin of our pleasure, and the basis of our 'common sense' of beauty.

Roger Scruton, Kant (Oxford, 1982) pp. 86-7.

29. Ibid., p. 88.

30. Granin, 'Kartina', p. 362.

31. 'Krasota est' priznak dushi'. Ibid., p. 363.

32. Ibid., pp. 362-3.

33. Ibid., p. 365.

34. The passage from Kant reads: 'man and generally any rational being <u>exists</u> as an end in himself, <u>not merely as</u> <u>a means</u> to be arbitrarily used by this or that will'. See Immanuel Kant, 'Fundamental Principles of the Metaphysic of Morals', in <u>Kant's Critique of Practical Reason and</u> <u>Other Works on the Theory of Ethics</u>, trans. T. K. Abbott (London, 1879) p. 65; Scruton, <u>Kant</u>, p. 70.

35. Granin, 'Kartina', p. 431. See note 27 above.

36. Ibid., p. 470.

37. Pasternak, <u>Zhivago</u>, p. 532.

38. Granin, 'Kartina', p. 618.

39. Ibid., pp. 290-1.

40. Ibid., p. 288.

41. Dostoevskii, <u>Brat'ia Karamazovy</u>, <u>Polnoe sobranie</u> <u>sochinenii v 30-ti tomakh</u>, vol. 14, p. 100.

42. Granin, 'Kartina', pp. 379-380.

43. Dostoevskii, <u>Brat'ia Karamazovy</u>, <u>Polnoe sobranie</u> <u>sochinenii v 30-ti tomakh</u>, vol. 15, p. 195.

44. Irena Maryniak, 'Truthseekers, Godbuilders or Culture Vultures? Some Supplementary Remarks on Religious Perspectives in Modern Soviet Literature', <u>Religion in</u> <u>Communist Lands</u>, vol. 16, No. 3 (1988) pp. 227-36.

45. Granin, 'Kartina', pp. 484-90. Doubt, or alienation from the transcendent, Dostoevskii demonstrates in <u>Brat'ia</u> <u>Karamazovy</u>, is a recipe for madness and for life's destruction, as Ivan Karamazov's descent into mental illness and Smerdiakov's suicide following Fedor Pavlovich's murder confirm.

46. Compare with the way Ivan Karamazov's devil explains his own nature: Я икс в неопределенном уравнении. Я какой-то призрак жизни, который потерял все концы и начала, и даже сам позабыл наконец, как и

назвать себя. Dostoevskii, <u>Brat'ia Karamazovy</u>, <u>Polnoe sobranie</u> sochinenii v 30-ti tomakh, vol. 15, p. 77.

47. A. Voronskii, 'Literaturnye siluety. Leonid Leonov', <u>Krasnaia nov'</u>, No. 3 (1924) p. 304.

48. Daniil Granin, 'O miloserdii', <u>Literaturnaia gazeta</u>, 18 March 1987.

PART III: PSYCHOLOGY OR SOCIOLOGY?

Chapter 6. Chingiz Aitmatov

(i)

Whether expressed in its 'simplest' or more 'developed' form, the animist perception of things comprehends a model of reality in which spirits, or a Spirit, independent of the self, live and move. Its essence is a constant creation and variation of relationships between the self and other beings: God or gods, spirits, demons, angels or saints.

The totemic vision, on the other hand, is concerned with the accommodation of the individual and his creative powers within the social organism. The world does not consist of multiple realities, but of a dual reality: self and society. If the self is to survive within society, it must consent to be moulded and ruled. Society must be God, and the self must dissolve within it.

The tension between these two systems of understanding can probably be identified at the psychological level within any personality or community. It is likely also to exist within more sophisticated religious models. Is the world best seen in terms of a constant stream of fluctuating relationships and dialogues

between independent forms of consciousness? Or is it more readily understood in terms of a split betwen the self and the exterior - the environment, the social unit - which defines 'me' and to which 'I' must adapt?

The works discussed in this section show how this common human dilemma is treated by Soviet writers in terms of the animist/totemist dichotomy. It is within religious systems, which carry the burden of defining the relationship between self and exterior, that it finds what is, perhaps, its most vivid expression.

Just as the work of Rasputin and Amiredzhibi can be helpfully related to religious traditions of their localities, so the writing of Chingiz Aitmatov - the Soviet Union's most celebrated Kirghiz writer - gains a further dimension when analysed with reference to religious forms prevalent in Central Asian culture: shamanism and local polytheistic cults. It becomes possible to observe, through the study of two works -'Belyi parokhod' ('The White Steamship', 1970)¹ and 'I dol'she veka dlitsia den'' ('The Day Lasts more than a Hundred Years', 1980)² - a shift in Aitmatov's thinking. from a sympathetic The emphasis seems to pass preoccupation with individualism of spirit and the values associated with it, to a growing concern about collective social unit and the integration between man, the environment. As will be shown in Chapter 10, in his later

novel 'Plakha' ('The Excecutioner's Block', 1986)³ this seems to develop into an affirmation of social and moral collectivism as the highest value.

Shamanistic practice has been described by Mircea Eliade as 'one of the archaic techniques of ecstasy - at once mysticism, magic and "religion" in the broadest sense of the term'.4 It is a religious system which was widespread in Central and Northern Asia until as late as the nineteenth century, despite pressures to convert to Islam. The shaman is a medicine man and a healer; but he is also magician, priest and mystic who, as a consequence of overcoming a violent physical and psychological crisis, has gained power over nature which he can use to benefit or harm his fellow men. In the shamanistic trance he communicates with spirits, has mastery over fire and can take different animal forms to span the three cosmic zones: the sky, the earth and the underworld. He is much more than a quack. Rather, he is one of the elect, a man who can see further into the nature of things and into men because he is directly in touch with the sacred. He is no less than the prophet of God.⁵

The amalgam of monotheism and polytheism among primitive peoples has been widely debated by anthropologists; but, Jean-Paul Roux has argued, among Turkic and Mongolian tribes in pre-Islamic times, a belief in the existence of a Supreme God, the God of the Sky

(<u>Tengri</u>), was prevalent.⁶ In addition, gods and spirits of earth and water, object and place were venerated to a greater or lesser degree in different areas.

References and images drawn from pre-Muslim and pre-Christian traditions, and their treatment in the two works to be discussed here, reflect assumptions about the nature of the world, and man's relationship with it, important for a fuller understanding of Aitmatov's writing. They are the means by which his attitudes towards religious experience are codified. Further, they may reflect tension between a personal animist impulse and the demands of the collective - with its emphasis on the preservation of group cohesion. The personal religious urge is closely associated with the use of images drawn from polytheistic and shamanist cults (which express individual experiences of the numinous). The call of society is associated with totemism.⁷

(ii)

'Belyi parokhod' tells the story of a kindly but ineffectual old man, Ded Momun, and his seven-year-old, orphaned grandson. It is about the incompatibility between their ways of seeing the world, and about the tragic consequences this clash of perception brings.

Ded Momun's greatest concern in life is his tribal

identity and the preservation of the ancient tradition of his once powerful clan, the Bugu of northern Kirghizia. In order to comfort and reassure his grandson, he tells the boy a version of the traditional myth of origin of the Bugu tribe. It describes how in years gone by, on the banks of the river Enisei - where the Kirghiz are said originally to have had their settlements - two children survived the massacre of their tribe. They were rescued and nurtured by a deer which lead them to the banks of Issyk Kul', where they were able safely to settle and multiply.

The deer became the surrogate mother of the Bugu tribe and was venerated until the day when younger members of the clan began to glorify their dead by killing deer and crowning the graves of ancestors with their horns.⁸ The totemic ancestor of the Bugu, <u>Rogataia mat' olenikha</u> (the Horned Deer Mother), was thus debased into an object of thriving trade, to be hunted and possessed as a mark of social status. Because of this, she departed from Issyk Kul' never to return.

The boy takes the myth literally, because his understanding is coloured by an animist perception of things. To him, animals, plants and objects are in any case personalised beings, and he naturally applies Ded Momun's story to the world as he has experienced it. Later, deer are sighted in the surrounding area. Momun's family go hunting; they kill a deer and feast on it, with Ded Momun taking part in the sacrilege. Seeing this, the boy withdraws into a personal fantasy of transforming himself into a merman, and swimming out into the waters of Issyk Kul' to meet the white steamship he has watched pass by from the shore. There, he believes, he will find his rightful father and the resolution to the puzzle of his existence. But, alas, he drowns.

Aitmatov's novella reveals the tension between the two forms of perception: totemic and animist. To Ded Momun, the myth of the Deer Mother is a point of reference for his identity and his relationship with the collective environment. His view of things is totemic not just in that he likes to associate his experiences with a myth about the ancestral origins of his tribe, but because for him the clan and the preservation of its rites and traditions are of prime importance. His perspective on life conforms to Durkheim's interpretation of the totemic tradition as one which looks less to the understanding of transcendent realities than to collective survival.

The story carries a number of further implications. It suggests that the totemic principle, the clan, fails in practice to satisfy the personalist religious urge inherent in the unspoilt human psyche. Ded Momun's Absolute proves to be in conflict with the animist impulse which, Aitmatov shows, is a part of child-like experience

of reality. The little boy takes his grandfather's myth as 'a reality to be lived' and as knowledge to satisfy his religious and moral cravings.⁹ It becomes a prescriptive codification of his spiritual needs and, therefore, a source of motivation and action. It also inspires his final act of disengagement from the ways of the world. At the temporal level this is tragic because he must die; at the moral level - as Aitmatov indicates in the closing lines of the story - it is a triumph:

> Ты отверг то, с чем не мирилась твоя детская душа. И в этом мое утешение. Ты прожил, как молния, однажды сверкнувшая и угасшая. А молнии высекаются небом. А небо вечное. И в этом мое утешение.

> И в том еще, что детская совесть в человеке - как зародыш в зерне, без зародыша зерно не прорастает. И что бы ни ждало нас на свете, правда пребудет вовеки, пока рождаются и умирают люди.¹⁰

If the brief life of Aitmatov's nameless little boy is like a flash of lightning born of the eternal sky, then in terms of the Kirghiz tradition, he is a child of the Supreme God, <u>Tengri</u>. He has a particularly close <u>rapport</u> with clouds. He shares with them his secret desire to flee the world, be transformed into a fish-like creature, and take to the water so that he may reach his unknown, omniscient father.¹¹ His greatest aspiration is a metamorphosis which will take him on a shamanistic journey into another sphere of existence.

There are a number of features in 'Belyi parokhod' which suggest that the boy is not only a personification

6. Chingiz Aitmatov

of the innocent soul, but an unrecognised shaman or prophet whose experiences may be related to initiation rites performed towards the fulfilment of his vocation.12 He has been abandoned by his father, just as the shaman is abandoned in some traditions.¹³ He takes the mythical stag to be his mother, as the shaman is said to have an animal mother often pictured in the form of an elk embodying the prophetic gift and visionary power.14 Above all, however, he strives to communicate with another world. He regularly climbs a hill overlooking Issyk Kul', contemplates the white steamship and holds imaginary conversations with his father - rather like the shaman climbing the Cosmic Mountain to reach the higher cosmic zone. He also keeps company with a stray dog; the dog is traditionally the shaman's companion during his descent into the underworld.¹⁵ Finally, the boy seeks to take on another form which will allow him to make the transition into another sphere of life.

The shamans of western and central Siberia are generally recognised by exceptional traits shown during adolescence (nervousness and epilepsy are typical), but the vocation may be revealed also through attitude rather than sickness.¹⁶ Like Aitmatov's hero, the shaman as a child may be meditative, solitary, apparently absentminded, with a tendency to dream. His vocation is confirmed by a 'crisis', a form of mental illness or

breakdown, during the course of which he experiences a shift of reference from the family or clan to the universe as a whole. This leads, Joseph Campbell writes, to:

> the realisation of 'something far more deeply interfused' inhabiting both the earth and one's own interior which gives the world a sacred character.¹⁷

The shaman emerges from his ordeal delivered, as it were, from the human condition, ready to take up his role as mediator between the worlds.

The little boy in 'Belyi parokhod' likewise has a crisis, diagnosed by the uninitiated as a feverish cold. During his illness he converses with the Horned Deer Mother, bathes in hot water (Eliade makes reference to the function of heat in the process of the shaman's mutation from body to body) and performs superhuman feats.¹⁸ He fights monsters in a blizzard, leaps from mountain to mountain, and rescues people from burning and drowning - ever watched and protected by the white deer. But he returns to the world of men to witness the savage dismemberment of his personal, incarnate Godhead (the deer) and to see her devoured by members of his clan. Only then does he perform the transmutation which confirms his vocation as a shaman, taking on an animal body to cross over into a new dimension.

However tragic the consequences of this may be in temporal terms, Aitmatov makes it clear that the child's animist experience - a personal religious urge and shaman-

like vocation - all motivate him positively. Ded Momun's totemic beliefs lead only to his capitulation before the will of the clan. The one provides scope for total consistency in action, even when the cost is disengagement from life itself. The other does not, and the price is the debasement of human stature. Because Ded Momun worships the principle of the clan, and not a personalised deity, he is forced in the end to follow the ways of the clan. He sacrifices his God to the pragmatic necessity to conform, sharing in the feast which celebrates the killing of the deer out of fear of his ambitious, autocratic and violent son-in-law, Ozorkul. God is dead; tyranny prevails. If there is any comfort here, it lies only in the child's capacity to disengage himself from that state of things and to act consistently according to his faith in the possibility of a better way of living in another form.

When 'Belyi parokhod' was published in <u>Novyi mir</u> (1970), the Soviet press reacted critically. The work was declared 'pessimistic' and disappointment was expressed that the novella did not, like earlier works for which Aitmatov received the Lenin Prize in 1963, 'illuminate the social significance of events' and inspire the reader with 'hopes and dreams'. The author was charged with deviating from 'historical and social roots' and from 'reality'.¹⁹

In a fuller critical assessment of Aitmatov's work, published in 1982, however, G. Gachev treats 'Belyi

parokhod' as landmark in a Aitmatov's literary development, and gives the novella the deserved acknowledgement it was initially denied.20 Devoting considerable attention to the mythological associations in the story, he comments on its Christian imagery: associating the boy's lost father with God, the Deer Mother with the Virgin, and the boy with Christ. Momun, he writes, acts like Judas in betraying his Deity.²¹ The Christian features of the story are, Gachev argues, part of its mythological structure and essential to its meaning. In fact, the remark demands a stretch of the imagination to be of any help. As in Rasputin's case, it is less Christianity than the imaginative application of local religious archetypes which gives Aitmatov's story its impetus and spontaneous quality.

(iii)

'I dol'she veka dlitsia den'', published ten years on, might more justifiably be labelled 'pessimistic'. The novel exposes the dangers of losing touch with memory, tradition and cult. It also shows ways in which modern man has come to stunt or maim his personality, his mind, and his potential, implying that he is continuing to do so by refusing to acknowledge the possibility of reaching beyond the knowable, as well as the known.

In Boranly-Burannyi, a settlement in the Sarozek steppes of Kazakhstan, an old and honoured member of the village community, Kazangap, has died. His long-standing friend, Edigei, takes it upon himself to ensure that he receives a proper rite of burial. despite the inconvenience and reluctance of younger members of the family to co-operate. Edigei insists that the body should be transported to the ancient cemetery of Ana-Beiit, thirty kilometres away, where the ancestors of the steppe peoples lie. The bulk of the novel describes Edigei's experience of the funeral procession across the steppe, which he leads by camel, with a tractor bearing the body and a dog following behind. The journey appears to draw together in his mind the most important impressions of his life. At sixty, he looks back. It is a period of reflection and recollection.

Interwoven with this is a curious and apparently unconnected science-fiction story. As Edigei arranges the burial of his friend, two space rockets - one Soviet, the other American - take off to unravel a problem which has arisen aboard their jointly owned space station. The astronauts based there have discovered the existence of another planet populated by anthropomorphic, intelligent beings who are concerned about the long-term effects of dehydration on their environment. They are seeking to make contact with Earth in the hope of working jointly on a

problem which in the long run must affect the human race as well. The authorities on Earth, however, cannot accept the implications of extra-terrestrial life. When the astronauts leave the space station to explore their discovery further, they are forbidden to return. Steps are taken to ensure that no further contact with the other planet can take place. The Earth is cordoned-off with circling defence rockets to protect or isolate it from the rest of the cosmos.

As Edigei and his tiny funeral convoy approach Ana-Beiit, they find that it has been fenced off with barbed wire. The cemetery is to be destroyed to make space for a new township. The past is about to disappear. Meanwhile, the Earth itself is being cut off from the very external impulse or influence which might save its future. It appears that modern man is determined to sever links not only with his past and his roots, but also with any existing potential for evolution and development. Edigei finally buries his friend outside the grounds of the cemetery and, as the book concludes, sets off to plead with the authorities for the preservation of Ana-Beilt and the memory of his people.

'I dol'she veka dlitsia den'' warns of a danger: should the human race lose both past and future, it could remain in a limbo where it must eventually dry and wither away. From the religious angle, however, its particular

interest lies in the references and imagery drawn from the pre-Islamic Central Asian tradition which add a further dimension to its themes.

The Soviet anthropologist, S. M. Abramzon, has commented on the eclectic quality of the Kirghiz tradition and on the range of cults reflected in it.²² He mentions particularly the cult of the earth and sky, the mother cult and the totemic animal cult - all of which feature in Aitmatov's novel. The rite of burial, which is thematically at the centre of the work, forms part of a traditional ancestor cult. The spirits of the dead continue to affect the lives of the living and demand constant attention. Burial must take place on land where the ancestor was born and where he lived. Until as late as the nineteenth century it was common practice for the leader of a Kirghiz tribe to be carried a great distance for such burial to be possible - much as Aitmatov describes.23

Edigei's motivation in arranging his friend's burial reaches beyond a tendency to cling to what is customary and familiar. In religious tradition, he sees a way to improved psychological balance, a fuller life and the enhancement of personal potential. Death must be given its due if life itself is not to be devalued.²⁴ Its ritual acknowledgement must be enacted at least for the benefit of the living.²⁵ Religious rite and prayer - whether

Islamic or more ancient - is a means of bridging the conceptual gap betwen life and death. It elevates the mind to a state in which the acceptance of transience becomes possible.²⁶ To prepare himself for the burial, Edigei repeats half-forgotten prayers. They help to organise his thoughts and his emotions:

Буранный Едигей повторял про себя полузабытые молитвы, чтобы выверить заведенный порядок слов, восстановить точнее в памяти последовательность мыслей, обращенных к богу, ибо только он один, неведомый и незримый, мог примирить в сознании человеческом непримиримость начала и конца, жизни и смерти. Для того, наверно, и сочинялись молитвы.²⁷

Prayer is a technique by which a balanced psychological stance towards the rationally inexplicable may be achieved. It is also the means by which man may reach the essence of his personality which is the Deity:

> Я хочу верить, что ты есть и что ты в помыслах моих. И когда я обращаюсь к тебе с молитвами, то на самом деле я обращаюсь через тебя к себе, и дано мне в час такой мыслить, как если бы мыслил ты сам, создатель...Если человек не сможет возомнить себя втайне богом, ратующим за всех, как должен был бы ратовать ты о людях, то и тебя, боже, тоже не станет.²⁸

Unless man touches the quality within himself which he calls God, and unless he has learned the technique by which to do so, the Deity will cease to be.

Edigei's interpretation of religion is expressed in an idiosyncratic cult of remembrance evolved from a combination of earth cult, ancestor cult, mother cult and totemism. The earth is the very stuff of memory; it is the

only remaining tangible bond with lives which have made unwritten history. As Elizarov, a geologist, tells Edigei: '"sarozeki – pozabytaia kniga stepnoi istorii"'.29 The ritual act of burial which gives recognition to the earth as man's linkage with the past is, in Edigei's view, an expression of nature. To deny this is a gesture against the innate urge to recollect and reaffirm human bondage.³⁰ Remembrance is expressed in the form of traditions passed from generation to generation, in ritual, song or legend which recall the links between man and man, and let past, present, future meet.³¹ The spirit of those who have died can be resurrected through the songs they sang and the stories they told; these are their heritage and teaching. As Edigei's friend Abutalip Kuttybaev believes, the song is one way in which the experience of one can be shared by many:

> Абуталип говорил, что бывают отдельные случаи, отдельные судьбы людей, которые становятся достоянием многих, ибо цена того урока настолько высока, так много вмещает в себя та история, что то, что было пережито одним человеком, как бы распространяется на всех живших в то время и даже на тех, кто придет следом, много позже.³²

Through song and legend the memory of the people is preserved to function as the quality of the mind which makes men human, allows for growth and also, perhaps, for a kind of immortality.

The thematic centrepoint of the novel is the legendary history of the ancient cemetery Ana-Beiit (meaning 'the resting place of the mother'). According to this, Ana-Beiit is the burial place of Naiman-Ana who in times of old went out in search of her son, enslaved by a nomadic Mongolian tribe, the Zhuan'zhuan. Naiman-Ana found her son - only to be killed by him, because he had been transformed into a <u>mankurt</u>, a slave whose absolute loyalty was guaranteed since he had been deprived of memory and identity. His condition, we are told, reflects the greatest of imaginable evils:

> Кочевые жуаньжуаны . . посягнули и на...сокровенную суть человека. Они нашли способ отнимать у рабов их живую память, нанося тем самым человеческой натуре самое тяжкое из всех мыслимых и немыслимых элодеяний.³³

Yet, the legend continues, as Naiman-Ana fell dying from the arrow shot by her son, the kerchief from her head dropped and was transformed into a white bird which henceforth haunted the area, crying the name of the <u>mankurt</u>'s father.

According to Abramzon, the mother cult was widely practised in ancient Turkic, Uzbek and Kirghiz tradition as the cult of Umai-Ana (the mother Umai). The Kirghiz word <u>umai</u> refers to a fantastic bird which nests in the air. Abramzon traces its etymology to the Persian word <u>humā</u>, the great eagle-like bird of good omen which inhabits the World-Tree.³⁴ He demonstrates further that in Kirghizia, the Mother Umai was often associated with the bird motif, widespread in many ancient cultures. In one

Khorezmic legend, he writes, Ambar-ona, a mother in search of her son, is also represented as a bird.³⁵

The story of Naiman-Ana evidently has its roots in a legend deeply embedded in the Central Asian tradition, and may also be associated with the totemic cult of the bird. In the Turko-Mongolian religious system, the bird is the mediator between earth and sky, as well as the form taken by the souls of the dead.³⁶ In Kirghizia it is also the emblem (<u>tamga</u>) of a number of tribes. Indeed, Iu. A. Zuev has argued that the ethnonym <u>Kirgiz</u> should be understood as the 'gryphon people', from the Indo-Iranian <u>karkasa</u>, <u>kärkäs</u>, meaning eagle.³⁷ In Aitmatov's legend of Naiman-Ana, the death of the mother striving to save her son's memory and identity releases the bird image, associated specifically with the Kirghiz tribe. Through her death, the mother figure is transformed into a totem of the people - the symbol of a common ancestry.

The bird image in fact recurs a number of times in the novel. The burial of Kazangap is watched over by a white-tailed kite circling overhead; in his prayer over the grave, Edigei expresses a desire to be reincarnated in that form.³⁸ Later, as he runs from the noise of the defence rocket taking off to orbit the earth and isolate it from the rest of the universe, he senses a white bird beside him and knows that it is the one which had once been formed from Naiman-Ana's white kerchief. He hears it

cry: "Chei ty? Kak tvoe imia? Vspomni svoe imia!"'39

Edigei is associated, therefore, with the bird symbol: an emblem of tribal and national consciousness appropriate to his function as the preserver of the memory of his people. In addition to this, he appears often in the company of two other animals which possess specific cult associations for the Kirghiz: the camel and the dog. In some areas, Abramzon observes, the white camel is venerated as a spirit of holy places and is also the protector spirit of shamans.⁴⁰ Edigei's prize camel, Karanar, is born of the stock of Naiman-Ana's white camel Akmaia. Karanar and Edigei are like brothers with a shared totemic ancestry since both were nourished by the milk of Karanar's mother.⁴¹

The introduction of the dog image also has its significance, since the red dog (here it is <u>ryzhii</u> -'rusty coloured') is an animal ancestor in a legend of the creation of the Kirghiz people.⁴² So Edigei is accompanied in the fulfilment of his task, which, like Naiman-Ana's, is to restore lost memory and identity, by two mythical beasts: a 'brother' camel of almost supernatural strength (descended from the camel which bore Naiman-Ana herself) and his ancient ancestor, the dog.⁴³

Finally, a brief comment on the sky cult: in the Turko-Mongolian religious tradition, a correct relationship between earth and sky was essential to the

maintenance of the cosmic order. Tragedy or disaster on earth was seen as the result of a tip in the balance between the two.44 The sky was was, of course, identified with the High God, Tengri. Soviet anthropologists have noted that as Kirghizia was Islamised, so the notions of Sky God and Allah fused.45 This is worth bearing in mind as one reads in 'I dol'she veka dlitsia den'' that, just as man has learnt to break his links with memory, the past, and the earth, so he now seeks to sever his links with the sky. By cordoning off the planet, humanity performs an act which must precipitate the apocalyptic destruction of the universe: in Central Asian texts this was foretold in terms of a shattered relationship between earth and sky.46 According to the oldest of traditions, the enforced separation must be an act of supreme sacrilege. And there is nothing in Aitmatov's profoundly gloomy work to suggest that Edigei's valiant efforts to preserve his ties with the earth can do anything to persuade the human race to re-establish its bond with the sky.

If 'Belyi parokhod' demonstrates the incompatibility of the child's animist perception with a totemic understanding which puts the survival and glory of the group first, 'I dol'she veka dlitsia den'' emphasises the need to look to a real collective tradition in

acknowledgment of the interdependence between man, his physical environment and his historical roots. Indeed, the later novel suggests that collectivism in the broadest sense - incorporating the environment and the past - is essential to the maintenance of a full and healthy personality. It is interesting to observe, therefore, that the first work by Aitmatov published under perestroika, 'Plakha', appears to give the totemic tradition unprecedented prescriptive weight, at the same time tentatively advancing a neo-religious, collectivist solution to the ills of the modern world. It proposes the veneration of the collective future and may reflect the God-building theories of Gor'kii and Lunacharskii. 'Plakha' will be fully discussed in Chapter 10. It is worth noting, however, that during the sixteen years between the publication of 'Belyi parokhod' and 'Plakha', the thrust of Aitmatov's writing appears to shift from interest in the spontaneous, unspoilt experience of life's variety towards the promotion of group consolidation as a solution to social problems faced by the Soviet Union under perestroika.

Notes to Chapter 6

1. Chingiz Aitmatov, 'Belyi parokhod', <u>Novyi mir</u>, No. 1 (1970) pp. 31-100. All subsequent references are to: Aitmatov, 'Belyi parokhod. (Posle skazki)', <u>Sobranie</u> <u>sochinenii v 3-kh tomakh</u>, vol. 2 (Moscow, 1983).

2. Chingiz Aitmatov, ' I dol'she veka dlitsia den'', <u>Novyi</u> <u>mir</u>, No. 11 (1980), pp. 3-185. Subsequent references are to: Aitmatov, 'I dol'she veka dlitsia den'. (Burannyi polustanok)', <u>Sobranie sochinenii v 3-kh tomakh</u>, vol. 2 (Moscow, 1983).

3. Chingiz Aitmatov, 'Plakha', <u>Novyi mir</u>, No. 6 (1986) pp. 7-69; No. 8 (1986) pp. 90-148; No. 9 (1986) pp. 6-64.

4. Eliade, <u>Shamanism</u>, p. xix.

5. Campbell, <u>Masks of God: Primitive Mythology</u>, pp. 231, 242-3, 251-4; Roux, <u>Religion des Turcs</u>, pp. 64-5.

6. Roux, <u>Religion des Turcs</u>, pp. 123-4.

7. Campbell emphasises that shamanistic practice fosters the 'individualist' impulse. Campbell, <u>Masks of God:</u> <u>Primitive Mythology</u>, pp. 240-2.

8. The word <u>bugu</u> is Kirghiz for 'deer'. It is also the name given to the Kirghiz as a tribe said to be descended from a deer. K. K. Iudakhin, ed., <u>Kirgizsko-russkii</u> <u>slovar'</u> (Moscow, 1965) p. 154.

9. Malinowski, Magic, p. 100.

10. Aitmatov, 'Belyi parokhod', p. 114.

11. As noted earlier (see above, Chapter 3, pp. 111, 114, 133 [note 70]), in the Central Asian tradition, water is purity made manifest, reflecting the sky and the Sky God. Roux, <u>Religion des Turcs</u>, pp. 137, 141.

12. Ded Momun remarks that any stranger may be a prophet: '"prorok sam ne znaet, chto on prorok, - on prostoi chelovek. Tol'ko razboinik znaet on sebe, chto on razboinik"'. Aitmatov, 'Belyi parokhod', p. 31.

13. Campbell, Masks of God: Primitive Mythology, p. 230.

14. Ksenofontov, <u>Legendy i rasskazy</u>, p. 39, quoted in Campbell, <u>Masks of God: Primitive Mythology</u>, p. 266. 15. Eliade, <u>Shamanism</u>, p. 466.

16. Ibid., pp. 15, 16, 19-20.

17. Campbell, <u>Masks of God: Primitive Mythology</u>, pp. 252-3.

18. Eliade, Shamanism, pp. 474-7.

19. Tatyana and George Feifer, 'Afterword' in Chingiz Aitmatov, <u>The White Steamship</u>, trans. Tatyana and George Feifer (London, 1972) pp. 178-9.

20. G. Gachev, <u>Chingiz Aitmatov i mirovaia literatura</u> (Frunze, 1982) pp. 203-32.

21. Ibid., p. 218.

22. S. M. Abramzon, <u>Kirgizy i ikh etnogeneticheskie i</u> <u>istoriko-kul'turnye sviazi</u> (Leningrad, 1971) p. 273.

23. Ibid., pp. 319, 325-6.

24.'"Esli smert' dlia nikh nichto, to, vykhodit i zhizn' tseny ne imeet"', Aitmatov, 'I dol'she veka', p. 221.

25. Ibid., p. 211.

26. The rite of burial Edigei performs appears to be Islamic in form, although the emphasis on place reflects pre-Islamic beliefs, as do some of his philosophical ideas. Ibid., p. 479

27. Aitmatov, 'I dol'she veka', pp. 274-5.

28. Ibid., p. 480.

29. Ibid., p. 233.

30.'"Ne idite protiv chelovecheskogo obychaia, ne idite protiv prirody!"'. Ibid., p. 474.

31. Abramzon emphasises the special importance of the oral tradition for the Kirghiz. Abramzon, <u>Kirgizy</u>, p. 340.

32. Aitmatov, 'I dol'she veka', p. 416.

33. Ibid., p. 302.

34. In the shamanistic system of cosmic order, the World Tree or Cosmic Tree unites the three cosmic zones. Its roots are in the underworld, its trunk is the axis of the universe, and its branches touch the firmament (see above, Chapter 3, pp. 117, 135 [note 87]). Abramzon, <u>Kirgizy</u>, pp. 277-8.

35. Ibid., p. 278.

36. Roux, <u>Religion des Turcs</u>, pp. 105-6, 158.

37. Iu. A. Zuev, <u>Drevnetiurkskie genealogicheskie</u> predanija kak istochnik po rannej istorij tiurkov, Avtoreferat kandidatskoj dissertatsij (Alma-Ata, 1967) p. 14; cited in Abramzon, <u>Kirgizy</u>, p. 289.

38. Aitmatov, 'I dol'she veka', p. 480.

39. Ibid., p. 488.

40. Abramzon, <u>Kirgizy</u>, pp. 310, 315.

41. Aitmatov, 'I dol'she veka', p. 265.

42. Ibid., p. 273.

43. The narrator refers to the camel, Karanar, as <u>verbliud</u> <u>syrttan</u>, a 'wonder camel'. Ibid., p. 268.

44. Roux, <u>Religion des Turcs</u>, p. 103.

45. Ch. Ch. Valikhanov, <u>Sobranie sochinenii v 5-ti tomakh</u>, vol. 1 (Alma-Ata, 1961) pp. 370, 480; cited in Abramzon, <u>Kirgizy</u>, p. 291.

46. Roux, <u>Religion des Turcs</u>, p. 109.

(i)

If Aitmatov's 'Belyi parokhod' portrays the psychological disruption wrought by tensions between the animist and totemic aspects of the religious impulse, Zalygin's 'Komissiia' ('The Commission', 1975) raises some of the social dilemmas which the animist/totemist dichotomy can provoke.¹ Zalygin's novel considers how the urge to think, act and create in response to personal spiritual insight may be reconciled with demands made by the community. It asks how the spontaneous expression of feeling can be accommodated in social law; how individual spiritual and creative needs are to be satisfied within a system of behavioural and economic order.

'Komissiia' depicts the life of a Siberian peasant community in 1918, during the Civil War. It is a time when all traditional notions of authority and law have been destroyed. To preserve their community amid the chaos, the villagers of Lebiazhka set up a forestry commission. Initially it functions simply to protect the local woodland area from abuse by individual woodcutters. But as events take their course, it comes to represent a new system of co-operative justice which aims to reflect revolutionary changes occurring in the country as a whole.

More controversially, it also stands for the principle of autonomous local government. The commission is finally dissolved by the arrival of Kolchak's forces and their refusal to acknowledge its legal status. All its members are condemned to be flogged.

The Soviet critic A. Bocharov has commented that 'Komissiia' is a roman-disput, a novel in which the significance of issues discussed by characters overrides the importance of the action.² The observation reflects a more general critical response which Zalygin had to face regarding the 'intellectual' aspect of his literary characterisation.³ Topics debated by the peasants in 'Komissiia' include political, social and religious questions; and the strength with which the various ideas challenge one another suggests that no single idea or ideology can resolve them. As Zalygin has written elsewhere, since there are no perfect human beings, there can be no perfect ideas. We should not expect from ideas that which we cannot expect from people. Thoughts should be treated with the same respect, understanding, indulgence even, as the minds which formulate them.4

For Zalygin, ideas may not be divorced from experience or psychology. It follows that in his fiction, debate is a meeting of personalities, or 'types' of personality. In 'Komissiia' the focus is directed at Nikolai Ustinov and Deriabin - figures representing two

opposing postures on ethics, politics and the environment: one personalist, the other ideological.

Ustinov is something of a natural philosopher. His notion of collectivism reaches beyond political affiliation to a sense of the need to acknowledge and support the order in nature. He is politically cautious and personally loyal: the epitome, Andrei Nuikin suggests, of the peasant householder.⁵ In addition, he is hardworking, quick-witted, educated, with experience in a wide variety of jobs associated with environmental planning.6 But he shrinks from total commitment to the commission. Deriabin, on the other hand, is a contender for its control. He emerges as dogmatic, intolerant, ambitious and loyal only to his revolutionary idea. If Ustinov shows a capacity to be sensitive to other forms of consciousness present and active in the world, Deriabin is defined by obsessive single-mindedness in pursuing a political end which involves complete identification with the social unit as he understands it.

Just how the contrast between the two figures is to be evaluated has been a matter of disagreement among Soviet critics. Galina Kolesnikova nods in the direction of Ustinov's thoughts about the universe, friendship, freedom and equality, but laments his lack of discrimination and inability to grasp the socio-political position in which he has been placed:

До его сознания не доходили слова большевика

Вани Панкратова....Не слушал Устинов и прозорливого Дерябина, не сумел разобраться в классовой сущности современного ему общества, не отличал врагов от друзей.⁷

F. Chapchakhov regards him as a fanciful, passive figure, in contrast to the politically mature Deriabin.⁸ Andrei Nuikin, while dismissing these criticisms as <u>passé</u> (<u>ustarevshie</u>), sees the contrast between Ustinov and Deriabin as an extension of conflicts between men of reason and men enslaved by an idea, depicted in Zalygin's earlier works: 'Na Irtyshe' ('On the Irtysh', 1964) and 'Solenaia pad'' ('Salt Valley', 1967).9

'Na Irtyshe' tells of a struggle between Stepan Chauzov, a seredniak or middle peasant, and Koriakin, a despotic chairman of the troika dealing with the removal of kulaks. Chauzov refuses to give his grain to the kolkhoz sowing fund because he feels his first reponsibility is to his family. As a result, he is exiled from his native village. In 'Solenaia pad'' a similar tension arises between two commanders of the partisans in the Civil War: Meshcheriakov, a leader genuinely dedicated to his people and Brusenkov, a petty tyrant for whom the revolution is synonymous with his own authority. Nuikin writes:

> Дерябин – та сила, которая во всех частях залыгинской трилогии прямо и очень опасно противостоит в борьбе за правду таким людям, как Чаузов, Мещеряков, Устинов.¹⁰

It is a view shared by L. Terakopian and the Canadian

critic N. N. Shneidman.¹¹ The fact remains, however, that the main plot suggests Deriabin's assessments are correct, Ustinov's naïve. It is only at the level of the <u>disput</u>, which Bocharov regards as central to the work, that this interpretation fails. Ustinov's reasoning is the more convincing and attractive. He seeks balance and stability in the surrounding chaos, while Deriabin seems ready to exploit disorder in pursuit of personal power. And it is Ustinov's vision which harmonises with the language and imagery of Zalygin's narrative.

The preservation of order in chaos is shown to be the prerogative of nature and of the community closest to it: the peasantry, epitomised in Ustinov. The novel sets their attempts to establish ways of protecting the environment and the community against the backcloth of an immutable natural order which man may either complement or subvert. It is manifest in the unhurried passage of the seasons, and in the balanced variety of forms in nature.¹² It is reflected in the interaction between different forms of life in the Siberian forest which not only reshapes the earth, but gives a moral example to man and a 'rule' by which to live.¹³ If men are capricious, anarchic, cunning, and suspicious of one another, the forest is generous and good and never fails to remember them:

> Лебяжинские жители – народ своевольный, неподначальственный, хитрый и ко всему хотя бы немного чужому недоверчивый, – к лесу относились с почтением.... Лес уважали и любили за доброту, за

7. Sergei Zalygin

щедрость. За то, что он, хотя и царский...о мужике не забывает никогда, не было случая, чтобы забыл....

Не так-то много на свете этакой доброты. Кто не понимает, чего она стоит,- тот и сам не стоит ничего.

Лебяжские мужики это понимали.14

In nature all things know their function and their relationship to the rest. As Ustinov reflects, only man has the capacity to deny his vocation and destroy the habitat which supports him.¹⁵

The human mind also has the power to perceive the structures in nature, however, and to express them rationally or creatively: in a carefully drawn map of the village, for instance, or in an elaborate woodcarving.¹⁶ Man can reproduce and affirm natural law in craft - as Kirill Pankratov does - or (so Ustinov believes) through the quality of his individual life:

> Устинов верил ведь, что если можно рассмотреть, в каком порядке устроен весь белый свет, так и жить в этом свете тоже можно по порядку и даже порядочно.¹⁷

An appreciation of biological law can draw the mind into wider reflections on the order by which the world is structured. It is a logic which may anticipate Vladimir Soloukhin's widely publicised remark in the literary notes 'Kameshki na Ladoni' ('Pebbles in the Hand', 1981) - for which he was condemned outright by the Party magazine Kommunist:18

> В двадцатом веке для каждого эдравомыслящего человека нет сомнений в том, что на свете, во Вселенной, в разнообразии жизни существует высшее разумное начало. Иначе пришлось бы

допустить, что такая сложная и точная организация, как цветок (растение), птица. человек, человеческий мозг, наконец, появились в результате случайно-счастливого, слепого и беспрограммного соединения химических элементов, молекул, атомов. Но не могли же сослепу самосконструироваться такие точнейшие н сложнейшие приборы, как почки, сердше. щитовидная железа, барабанная перепонка, глаз, не говоря уж о хромосомах. Все это действует по разумным законам математики, химии, физики... Вопрос состоит не в том, существует ли высший разум, а в том, знает ли он про меня и

есть ли ему до меня хоть какое-нибудь дело?19

In 'Komissiia', reflections on the problem of a supreme mind and its relationship to the world particularly absorb Ustinov, though discussions among the villagers on the nature of God and sanctity appear at intervals throughout the novel.

Because man lives in an ecological system, and can recognise it, he must have the capacity to create a social environment complementary to nature's law, Ustinov reflects:

> Ежели поглядеть, сколь в природе уже много сделано – какое сделано солнышко, какая земля, какие реки на земле, луга и леса и пашни, а также и мы люди – то ясно станет: не так уж много осталось делов, чтобы устроить справедливость между людьми, довести начатое до конца!²⁰

He turns, therefore, to more Tolstoyan occupations ploughing and animal rearing - to gain a better insight into the workings of nature, settle the mind and thereby, perhaps, improve himself and the world.²¹

Ustinov's thinking slips easily from the philosophical to the religious, suggesting a natural

tendency for the mind which ponders the eternal questions to rest on the problem of Creator and creation. For Ustinov, the image of God is associated - though not identified - with the sun: the giver and taker of life (as is <u>starukha</u> Anna's experience of the numinous in Rasputin's 'Poslednii srok')²². But his Deity is also a projection of his own image of himself as the peasant and the supporter of life on earth. God is not a superior (<u>nachal'nik</u>) but an equal (<u>muzhik</u>).²³ Ustinov's thoughts also turn to the sky, in a way which confirms Eliade's observation on the archetypal human response to it:

> Merely contemplating the vault of heaven produces a religious experience in the primitive mind....Even before any religious values have been set upon the sky it reveals its transcendence. The sky 'symbolises' transcendence, power and changelessness simply by being there.²⁴

Ustinov sees figures in the sky and senses its breath:

В нынешнем...небе все были цвета и краски, все и всякие облачные фигуры и чье-то почти что слышное дыхание.

И не верилось Устинову, будто никого там нету, пусто в необъятном просторе, нежилое там....

Не человеческого, а совсем какого-то другого ума, опять не известно чьего совершается там дело и работа.²⁵

The religious sense here is animist and anthropomorphic. Ustinov seeks a relationship with a presence in the sky or with his animals. He looks to be a participant in nature, and prefers to think of life as a gift to be accepted rather than a system to be controlled:

Делать все на свете самому, ничего не получая из

чужих рук,- в этом счастья нету! В жизни должен быть дар, иначе одно только и останется ремесло, одна только мастерская и завод, в которых люди начнут строгать и печь свою жизнь день и ночь, без отдыха на обед и сон, а жить им будет совсем некогда.²⁶

But Ustinov's insights into the patterns and relationships within nature are - the plot suggests - his failing and ultimately his death. By seeking a solitude in which to reflect, he lays himself open to advances from Kirill Pankratov's spouse, Zinaida. He remains faithful to his own wife, Domna, but a tinge of disloyalty niggles. Because he takes pleasure in animals, he puts himself in the position of a small-time informer to acquire a new gelding. Through his mistrust of factual information and other people's instructions, he exposes himself to injury and death. Ignoring the warning to take up arms from the novel's one militant revolutionary, Venia, he remains true to the principle that only nature (prirodnyi <u>rezon</u>) should be man's guide. For that he is brutally murdered.

Ustinov is caught between his personal numen, his fondness for the heritage, values and memories of the past, and his position as representative of a revolutionary co-operative. There are even indications that he has a 'double', Grishka, a reactionary <u>kulak</u> living on the edge of Lebiazhka's community. Grishka offers Ustinov his friendship which Ustinov declines. But the two remain linked by their individualism and by a triangular relationship with the same woman, Zinaida Pankratova.

'Komissiia' gives the animist cast of mind a fair hearing in a shifting narrative voice which slips from character to character but rests most conspicuously on the thinking of Ustinov. In a more conventional manner, however, the plot structure condemns his ineffectual personality. A philosophy such as his may create heroes, not survivors. And a threatened community needs survivors.

(**ii**)

There is no life outside the group, Lebiazhka's tradition teaches: any rift within the community will provoke its destruction. The source of this wisdom lies in a series of traditional folktales which affirm the origin and structure of the community. The stories, interspersed at intervals throughout the novel, do not contain the prescriptive qualities of myth, nor do they express a sense of supernatural intervention in human life as myths do. Their structure is loose and open to variation; their purpose is largely to entertain. Folk-tales, according to the preliminary definition G. S. Kirk offers:

are traditional tales, of no firmly established form, in which supernatural elements are subsidiary; they are not primarily concerned with 'serious' subjects or the reflexion of deep problems and preoccupations; and their first

appeal lies in their narrative interest.²⁷ Nevertheless, the tales of Lebiazhka - like children's fairy-tales - direct their listeners to discover their identity and calling as members of a society.²⁸

The stories tell of a community of nomadic Old Believers, kerzhaki. They describe how part of the group broke away from their ascetic elder, starets Lavrentii, who was leading them East beyond Lake Baikal, to follow a more pragmatic leader, starets Samsonii Krivoi, and settle in a pleasanter, more fertile land than Lavrentii had chosen. This initial division of the group may have been the community's 'original sin', as the village idiot (or Holy Fool) Kudeiar, later suggests.²⁹ Claiming their new, chosen home, Samsonii's followers were persuaded by another group of settlers, already living in the area, to integrate the communities by allowing kerzhak sons to marry six of their daughters. The girls are now remembered and revered as the ancestral mothers of the community. Their stories are told as the lives of saints who sacrificed themselves for the good of the group. All the women of Lebiazhka are named after them.³⁰

The collective experience which these stories affirm is brought home particularly in the final story which has a more mythic, apocalyptic character. It describes how <u>starets</u> Samsonii, fearing potential divisions within his community, called upon the stars of the Great Bear to send

a sign to the Belyi Bor Forest when any such thing occurred. A blind seven-headed bear would then emerge from the forest and destroy the entire village, sparing neither the righteous nor the guilty.³¹

The folktales which give the people of Lebiazhka a sense of common tradition and history (and perhaps common guilt) are notably more popular among the villagers than Saints' Lives which also form part of their cultural tradition. The community is notionally Old Believer, but religious values are shown to leave the villagers baffled rather than satisfied. Ustinov's telling of the 'Life of Aleksei, Man of God', in which the saint's decision to take up extreme asceticism is shown to induce misery among his family, prompts a heated debate on the members of value of sanctity. Kalashnikov sees the saints as pointers to the things a good man may do, and the just society good men might create. Ustinov perceives them as personified reference points for moral qualities and Kirill Pankratov as examples of spiritual achievement.³²

Formal religion plays only a minor part in the lives of Lebiazhka's people. The local priest is subordinate to the laws of the community.³³ The village elder, Ivan Ivanovich Samorukov, displays firm allegiance to religious custom, but his moral categories are determined only by the good of the community unit. Christian ethics cease to apply in the world outside the village.³⁴ The head of the

commission, Kalashnikov, sees religious ritual - which deeply affected him in his youth - as an externalisation of the urge to cleanse life through new undertakings and act consistently according to one's ideas:

> Мне воплощение слова в действии человечества полюбилось...Может, и в жизни это тоже доступно ~ обойтись без действий бессмысленных и незначащих? Соединить слово с делом?³⁵

The reconciliation of thought and action is something he tries to achieve in the co-operative. For, as Zinaida Pankratova remarks, the community can be a surrogate religion: '"U vas...poluchaetsia navrode religii!"'³⁶

The totemic aspect of established religion is directly identified with communal life. For Kalashnikov, religious rite is a symbolic externalisation of the individual's drive to act, and the co-operative an way of creating the very brotherhood and improved equality Christianity teaches. For Ustinov, the system of religious signs is a way of affirming the order in nature which he would like to see reflected in the community. Samorukov sees religion as the symbol of social unity and its moral code as an adaptable way of benefiting the group.

> Иван Иванович всегда и все поворачивал так, что Лебяжка оказывалась в выгоде. Он умел. И хотя был сильно верующим, обмануть начальство или всю волость полагал даром божьим. Обманет, пойдет в лебяжинскую немудрящую церквушку и долго шепчет перед святым ликом благодарственную молитву.³⁷

But the elusive problem of the individual and

aspect of religion remains personal open. The identification of the social unit its or further abstraction, 'the mass of the people', with any kind of absolute truth is associated with unbending, autocratic, even sinister qualities. The chief spokesman for this attitude - Deriabin - is abrasive and ruthless. Ustinov remains unconvinced and worried by his declarations and his refusal to recognise the individual's right to go his own way.³⁸ Moreover, Deriabin's rejection of a proposal to undertake an investigation into Ustinov's murder does little to enhance his moral credibility.39

Yet the totemic posture is strong, the animist is shaky. It gives Kalashnikov the force of character to resist Deriabin's challenge, though he is by nature retiring and childlike. Loyalty to the community prompts Samorukov's demand to share punishment at the hands of the Whites with his fellows - even though flogging must mean certain death for an old man.⁴⁰ Commitment to the collective cause and revolution gives Deriabin an evident advantage in terms of authority, decisiveness and speed of action.⁴¹

The coherent social unit is the individual's strength, the broken society his downfall, avers the main plot, as do the folkloric texts within the narrative. It is also, perhaps, the thought implicit in the novel's closing image. The stars of the Great Bear seem to flash a

signal to the earth in the way <u>starets</u> Samsonii once called upon them to do if ever the community became divided. This was to herald the annihilation of the village. And the arrival of Kolchak's officers indicates that that is exactly what must occur.

With Ustinov's mysterious death, the community is broken and destroyed. If that death is the work of Deriabin (and it may be, given the subtextual aspect of his characterisation), then it is an overwhelming indictment of Deriabin's leadership and of his role as representative of the revolutionary idea.

Despite his social 'flaws', the narrative gives Ustinov - not Deriabin - 'heroic' status as a man who lives and dies by convictions that are entirely his own. It also leaves an unborn heir to continue his work. Finally, it consistently suggests, against the thrust of a conventional main plot, that there is a flavour to living which may be appreciated only in solitude, and a higher order to which the community is subsumed and which - if it is to be a good society - it must imitate.

Notes to Chapter 7

1. Sergei Zalygin, 'Komissiia', <u>Nash_sovremennik</u>, No. 9 (1975) pp. 11-111; No. 10 (1975) pp. 44-121; No. 11 (1975) pp. 13-105. Subsequent references are to: Zalygin, 'Komissiia' in <u>Komissiia - Iuzhnoamerikanskii variant</u> (Moscow, 1978).

2. A. Bocharov, 'Vremia kristallizatsii', <u>Voprosy</u> <u>literatury</u>, No. 3 (1976), p. 37.

3. Andrei Nuikin, <u>Zrelost' khudozhnika: Ocherk tvorchestva</u> <u>Sergeia Zalygina</u> (Moscow, 1984) pp. 243, 254.

4. S. Zalygin, 'Ot nauki - k literature', in <u>Literaturnye</u> <u>zaboty</u> (Moscow, 1972) p. 12.

5. Nuikin, <u>Zrelost' khudozhnika</u>, p. 270.

6. Zalygin, <u>Komissiia</u>, p. 49.

7. Galina Kolesnikova, 'Ballada o zashchitnikakh Belogo Bora', <u>Moskva</u>, No. 8 (1976) p. 206.

8. F. Chapchakhov, 'Osen'iu vosemnadtsatogo', <u>Literaturnoe</u> <u>obozrenie</u>, No. 12 (1975) pp. 33, 35.

9. Nuikin, Zrelost' khudozhnika, p. 266.

10. Ibid.

11. L. Terakopian, 'Na perelome epokh', <u>Molodaia gvardiia</u>, No. 5 (1976) p. 294; N. N. Shneidman, <u>Soviet Literature in</u> the 1970s: Artistic Diversity and Ideological Conformity (Toronto, 1979) p. 71.

12. Zalygin, <u>Komissiia</u>, p. 114.

13. Ibid., p. 9.

14. Ibid., pp. 60-1.

15. Ibid., p. 233.

16. Ibid., pp. 37-8, 51.

17. Ibid., p. 318.

18. 'Pochta zhurnala: iul'-dekabr' 1981 goda', Kommunist, No. 2 (1982) pp. 127-8; No. 8 (1982) p. 128.

19. Vladimir Soloukhin, 'Kameshki na ladoni', Nash sovremennik, No. 3 (1981) p. 39. 20. Zalygin, 'Komissiia', p. 149. 21. Ibid., p. 111. 22. Ibid., p. 117. 23. Ibid., pp. 117-18. 24. Eliade, Comparative Religion, pp. 38-9. 25. Zalygin, Komissiia, pp. 141-2. 26. Ibid., p. 143. 27. Kirk, Myth, p. 37. 28. Bruno Bettelheim, The Uses of Enchantment: The Meaning and Importance of Fairy Tales (London, 1976) p. 24. 29. Zalygin, Komissiia, p. 254. 30. Ibid., p. 86. 31. Ibid., p. 426. 32. Ibid., pp. 149, 151. 33. Ibid., p. 11. 34. Ibid., pp. 231, 352. 35. Ibid., pp. 27-8. 36. Ibid., p. 149. 37. Ibid., p. 352. 38. Ibid., p. 186. 39. Ibid., p. 420. 40. Ibid., pp. 435-6. 41. Ibid., pp. 437-8.

(i)

The paradoxical idea of man as a free agent operating in a biologically and socially determined universe, is central to the theory of dialectical materialism. It is also a pivotal philosophical dilemma in the fiction of Vladimir Tendriakov. The capacity of Tendriakov's heroes freely to accept or challenge the natural and social order, and to make independent ethical judgements despite the causal nature of reality, leaves the impression of a literary vision torn (like Aitmatov's and Zalygin's) between the 'psychological' and 'sociological'.

Causal connection, <u>The Fundamentals of Marxism-</u> Leninism tells us:

> is universal in character and applies to all the phenomena of nature and society....Causeless phenomena do not and cannot exist.¹

According to the theory of dialectical materialism, it is through the recognition and exploration of universal causal conditioning that man comes to understand the laws by which nature and society operate. By the discovery, knowledge and application of these laws he affirms his freedom.²

In asserting the existence of immutable laws and man's ability to enlist them in the pursuit of set ends,

the Marxist-Leninist model has parallels with the system of thought which, James G. Frazer argues, underlies the earliest form of religious cult - magic. According to Frazer, it is by establishing a satisfactory relationship with mechanisms which govern the universe (through magical practices) that primitive man looks to formulate a system by which to live. Within it, as within the scientific is controlled system. nature not bv conscious personalities but by absolute laws. It is this which defines the discrepancy between magic, its successor science and religion:

> far as religion assumes the world to be In so directed by conscious agents who may be turned from their purpose by persuasion, it stands in fundamental antagonism to magic as well as to science, both of which take for granted that the course of nature is determined, not by the passions or caprice of personal beings, but by operation of immutable laws the acting mechanically. In magic, indeed, the assumption is only implicit, but in science it is explicit.3

The freedom of the man living in a universe ruled by magical powers rather than personal ones, consists in his knowledge of the systems or laws by which they operate. In like manner the freedom promised by Marxism is grounded in man's growing scientific knowledge and his consequent increasing ability to exercise control over nature.

Vladimir Tendriakov's examination of ways in which man endeavours to project his will onto the world has a superficially Marxist outline. However, his exploration of causal law operating in human lives also suggests (in a manner which brings to mind Dostoevskii's <u>Prestuplenie i</u> <u>nakazanie</u>) that causality may have its limitations and be answerable to another, personal force active in the world.

Of all the writers examined in this study, Tendriakov presents the most direct challenge to religion as an institution. He infers, like Tylor, that the urge to create animist representations, though it may take social proportions, is a psychological condition. Religion is a reaction of the solitary, thinking being to the unknown.

In an early story, 'Chudotvornaia' ('The Miraculous Icon', 1958), the hero is a small boy named Rod'ka who accidentally finds an ancient lost icon.⁴ Thereafter, he is subjected to harassment and humiliation by an overzealous grandmother who insists on persuading him, and other villagers, that he is God's chosen instrument. The only genuine religious tremor Rod'ka senses comes during a night he spends out in the open to test for himself rumours that the church bell is rung nightly by evil spirits. The resonances he hears are, as the village schoolmistress later reveals, caused by passing trains.

Similarly, in 'Apostol'skaia komandirovka' ('On Apostolic Business', 1969), the religious quest of a disgruntled scientific journalist is motivated by his need to find some kind of answer to questions about death and life's purpose which rational thought and modern science

are unable to resolve.⁵

In a social context, the stories also suggest, the religious response may reflect the urge to express group solidarity in a ritual form. But the collective aspect of religion puts the believer in a position of intellectual and psychological dependence on doctrine, thereby limiting his thinking and his liberty. That, Tendriakov repeatedly argues, is religion's greatest flaw. His series of articles on religion and morality, 'Nravstvennost' i religiia' ('Morality and Religion', 1987) is critical of any kind of faith which closes the mind to life's complexities:

> Приверженность к вере, покорность ей недостаток. Достоинством же является чуткость восприятия окружения, той изменчивой действительности, в которой мы живем, понимание сложных связей в мире сем, посильное понимание! В том числе и сложных людских взаимоотношений. Не отвергай чужое мнение, но не будь рабом его - вот правило, на котором строится личность. Религия, навязывающая всем единые догматы, требующая одинакого - по несложным трафаретам! поведения, обезличивала человека, убивала в нем личность.6

It is probably the most interesting aspect of Tendriakov's thought that by challenging institutionalised religion he comes to formulate an argument against any form of collective belief. His condemnation of religion translates into a backhanded drive against absolutist ideologies and implicitly introduces a case for individualism. The impassioned atheist and the fanatical believer, he writes on more than one occasion, fall into

the same psychological category:

Тот, кто сейчас с пеной на губах пытается доказать – бога нет, точь-в-точь похож на фанатичного верующего, истово заклинающего – бог есть! Оба слепо верят в бездоказательное, оба религиозны по духу.⁷

(ii)

It may be for his reason that Tendriakov's characters frequently find themselves in irreconcilable so confrontation with their social environment. Rod'ka in 'Chudotvornaia', Leshka the lumberjack in 'Troika, semerka, tuz' ('Three, Seven, Ace', 1961) or Iurii Ryl'nikov in 'Apostol'skaia komandirovka' are all so forcefully challenged by their immediate surroundings that even as they gain awareness of their potential stature as individuals and their capacity for free moral choice, they withdraw, psychologically or physically, from the group.8

In terms of the spontaneity/consciousness dialectic, such a withdrawal may be seen as the expression of a character's social immaturity, a surge of individualistic <u>stikhiinost'</u>. His growth thereafter would be a passage towards <u>soznatelnost'</u>, crowned by his return to the group with increased, better controlled energies and greater willingness to be answerable to the social unit. All in confirmation of the development of his essentially social,

as opposed to biological, nature; that, Marxism teaches, is the human spiritual vocation.

The Soviet critic Feliks Kuznetsov highlights this in an article on the materialist understanding of 'spirituality' (<u>dukhovnost'</u>) which includes comments on 'Apostol'skaia komandirovka':

> Именно приобщение индивида к обществу и сообщает ему подлинно человеческую - в отличие ОТ природной, в дополнение к природной - сущность. Именно общественная, творчески преобразующая мир природа человека и делает его ч е л о в е к о м, качественно выделяет его из мира природы, биологического естества, лелает его существом одухотворенным, то есть наделенным разумом и совестью, способным творить мир по законам добра, истины и красоты. Отсюда растет, понимании, и в нашем духовное богатство личности.9

In Tendriakov's work, the development of individual characters to 'spiritual' social maturity is ritually signalled but not explored. The growth of the positive hero is not the main focus of attention. Instead, the conflict between 'spontaneous' psychological instinct and answerability remains open. 'conscious' social The dialectic does not find its way to a synthesis where the personality is integrated and dissolved in the collective. in which the self-sufficient Tendriakov shows ways individual is pressed, by encounter and circumstance, to come to terms with demands made by the outside world on his emotions, intellect and independent moral persona. The hero learns at best to be reconciled to the world rather than to resist it, but his 'separateness' remains

intact.

In the story 'Vesennie perevertyshi' ('Spring Somersaults', 1963), thirteen-year-old Diushka Tiagunov finds that his understanding of things is drastically overturned when he perceives that beauty - an ideal he had previously identified with a picture in his schoolbook of Pushkin's wife, Natal'ia Goncharova - is actually embodied in his slightly senior schoolmate Rimka. With this awareness, he discovers a new relationship with his exterior surroundings. It is marked by an increased sensitivity of response to others and their qualities as individuals. The uniqueness of every personality takes on the importance of a world in itself:

> Все не так, как вокруг Дюшки, — идут рядом, живут рядом, но в разных мирах. А Дюшкин отец тоже совсем, совсем рядом, но у Дюшки одно, у отца другое. И у матери другое, не похожее ни на Дюшкино, ни на отцовское...Неужели, сколько людей, столько и разных миров?¹⁰

The natural environment, too, becomes enlivened and animated by the presence of personality. Nature and matter take on a quality of 'otherness', of anthropomorphic sensibility and spirit:

> Небо не просто синее, оно тянет, оно засасывает, кажется, вот-вот приподымешься на цыпочки да так Солнце вдруг И останешься на всю жизнь. косматое, непричесанное, весело-разбойное. И недавно освободившаяся от снега, продавленная грузовиками улица сверкает лужами, похоже, поеживается, дышит, словно ее пучит изнутри. И посапывает, что-то лопается, ногами под шевелится, как будто стоишь не на земле, а на чем-то живом, изнемогающем от тебя. И по живой земле прыгают сухие, пушистые, согретые воробьи,

ругаются надсадно, весело, почти что понятно.11 The development of Tendriakov's characters is frequently conditioned by the recognition of an extraneous aspect to their lives which is neither comprehensible nor anticipated. It may take the form of an encounter with unrequited love as in 'Vesennie perevertyshi' and the later, bitterly pessimistic novella 'Zatmenie' ('Eclipse', 1977).¹² Alternatively, in a more Dostoevskian vein, it may be associated with unprovoked violence, arbitrary evil and death - as in 'Troika, semerka, tuz', 'Rasplata' ('Settlement', 1979) or 'Shest'desiat svechei' ('Sixty Candles', 1980).13 Finally, it may appear in the challenge presented to the individual by deeply ingrained and irrational patterns of belief - whether religious or ideological - as in 'Chudotvornaia' and 'Apostol'skaia komandirovka'.

In Tendriakov's world, man is not only formed by biological nature and social integration. The Marxist dictum 'bytie opredeliaet soznanie' called is into by the notion that consciousness is question an independent entity, free to determine the quality of its own existence. It is affected, but not defined, by the character of the social environment. Far more significant to its growth is the apparently arbitrary web of events and relationships to which the personality is exposed: those head-on encounters with the impenetrable worlds

which are other personalities, met, loved and hated. Is it really possible for two individuals ever to understand, respect and love one another, the narrator of 'Zatmenie' asks at the conclusion of a story which has revealed the baffling unpredictability of human emotional behaviour:

> Может ли человек понять человека, человек человека уважать и любить?... Переплетены люди между собой. Проросли люди друг в друга многими, многими связями...Пойми и единственного признай человека, из Bcex, назначенного делить с тобой путь. Пойми! Нет, трудно.14

There may be a hint here of Pasternak's vision of the unfathomable interweaving of existence with the mystery of chance encounters which shape human perceptions and fortunes. Writing on 'Vesennie perevertyshi', N. Podzorova remarks that in the world as Diushka sees it in his state of heightened sensibility, the interconnections are everywhere:

> Все в этом мире связано между собой и все зависит друг от друга: судьба лягушки, висящей в Санькиной руке вниз головой,- от жестокости Саньки; жизнь больного Гринченко - от таланта и самоотверженности врача, Дюшкиной матери; доброе настроение матери - от внимательности отца; счастье Дюшки - от взгляда Римки, а Римкина печаль - от равнодушия Левки Гайзера.¹⁵

A presentation of interlocking relationships where causality may only be assessed with reference to the effect of free personalities each on each, calls into question the legitimacy of any judgement made according to the law of cause and effect. And yet, dialectical materialism tells us, 'all phenomena in the world are causally conditioned'.¹⁶ If, as Tendriakov appears to suggest, causality cannot be fully traced, then the historical purpose cannot be rationally defined and the world ceases to be determinate. The assumption of a strict rule of law in nature and society ('a lawful and necessary connection of all events and phenomena, and of their causal determination') is thereby challenged.¹⁷ What moves life is something outside cause and effect, an 'x-factor' which drives it to an end outside the limitations of the known. What then is the nature of the law which determines 'life' and man's relationship to it? How is it to be expressed in a way that is rationally acceptable?

To the Marxist, the questions which Ryl'nikov puts in 'Apostol'skaia komandirovka' ('Kakaia konechnaia tsel'?'; 'kakov smysl zhizni?') are meaningless.¹⁸ Cause can be traced because man is limited to his dual biological <u>cum</u> social nature. Effects or ends may be set because there is nothing beyond the biological and the social to interfere in the progress of history. The notion of an 'ultimate' purpose would be like looking into the distance for an end to a chain which is dangling about your feet. Life is there to be lived. Echoing the response of Ryl'nikov's wife ('"[Vopros] nepravomeren....Dvigaisia, izmeniaisia, zhivi, chtoby zhit'"'), the Marxist critic F. Levin observes that the very question presupposes the intrusion of a Supreme Being:¹⁹

Одно дело - причинность, цепь причин и

связей и взаимоотношений. Цели следствий. же MOLAL ставить перед собой лишь сознательные существа. Как только вы ставите вопрос 0 конечной цели, предначертанной человечеству свыше, вы приходите к высшему существу, богу, как бы он в дальнейшем ни назывался... А смысл жизни состоит в самой жизни, как данности.20

Yet the leap of the mind from causal questions to the eternal questions is integral to the development of Tendriakov's heroes. If the universe is infinite will I live again after death, Diushka's friend Levka asks in 'Vesennie perevertyshi'.²¹ Will the arbitrary collection of atoms which is theindividual re-form at some unspecified time in the future? Or. in an absurd inversion of evolutionary law, is a spark of consciousness containing the entire universe bound, in the end, to dissolve into nothingness? Is the existence of personality to be crowned with just a little mound of earth?

The progress of thought through the unchartered waters of the eternal questions is mapped within the uncomfortable frame of an ideology reshaping itself to enfold ideas which leap outside its confines. The conflict between Tendriakov's preoccupation with philosophical issues underlying the work of Russian writers of the animist school, and his loyalty to the dialecticalmaterialist model, marks him out as one of the most representative authors of the pre-<u>glasnost'</u> generation.²²

(iii)

In 'Apostol'skaia komandirovka', Tendriakov explored the impulse to act on religious teaching more directly than in any other of his fictional writings. Although he touches the upon same theme in works such as 'Chudotvornaia', 'Zatmenie' and the posthumously published novel, 'Pokushenie na mirazhi' ('An Attack on Mirages', 1987), none of these examines, with the same degree of ambivalence, the conflict between the impulse to withdraw from society into an animist spirituality and the urge to be integrated into the group, thereby complying with its ethical and ideological stance.23

In a round-table discussion on the novella 'Noch' posle vypuska' ('The Night after Graduation', 1974), published in <u>Literaturnoe obozrenie</u> in 1975, the critic V. Vainberg observed:

> Тендряков всегда любил создавать характеры, которые прежде всего интересны и не как характеры даже, а как воплощение определенной концепции, точки зрения.²⁴

'Apostol'skaia komandirovka' tends to confirm the aptness of this remark. The novella does indeed read as a slightly laboured polemic, narrated in the first person. Its hero, Iurii Ryl'nikov, is an intellectual torn between the positivist teachings he has recognised since childhood, and a newfound attraction towards religion as a means of expressing life's purpose and its moral formula. The plot

is dialectically constructed. The first section focuses on arguments against the supremacy of rationality and for the existence of a supernatural, animist reality which gives life direction. The argument then turns in favour of reason and against the religious impulse, as Ryl'nikov's thoughts are countered by the ideas of the <u>kolkhoz</u> chairman, Gusterin. The resolution comes in a synthesis which suggests that man's spirit is made immortal by his labours. It is through the creative application of reason that man discovers and asserts his individual spiritual powers.

Iurii Ryl'nikov's dilemma emerges as a confrontation between second-hand ideas drawn from the animist tradition in Russian literature (Dostoevskii, Tolstoi and the Christian existentialists) and from positivism, materialism and Marxism-Leninism. It is apparently resolved in a teaching which echoes selected ideas of the nineteenth century neo-Christian philosopher Nikolai Fedorov, as a later part of this chapter will show.

Events in 'Apostol'skaia komandirovka' develop in the following way. Ryl'nikov leaves a comfortable, and not unhappy, existence as a Muscovite scientific journalist to give himself the freedom to explore life's meaning and find God. He buys a train ticket to a random destination and ends his journey in Krasnoglinka, an obscure rural backwater six days travel out of Moscow. There he finds

lodging with a Christian peasant woman and takes a manual job on a <u>kolkhoz</u>. However, in the new environment he finds himself once again alienated by society's expectations. Education and a capacity to doubt isolate him as much as his newfound religious beliefs. He is rejected by believers and non-believers alike. Following a humiliating public interrogation initiated by a local Party hardliner, and a formative encounter with the independently minded <u>kolkhoz</u> chairman, Gusterin, Ryl'nikov abandons his search for God and returns to Moscow prepared to take up his old responsibilities.

As a dialectical work, the novel's 'thesis' takes shape up to Ryl'nikov's first meeting with Gusterin. Until then, Iurii is seen grappling with ideas reminiscent of animist tradition of Russian thought. the Like Dostoevskii's anti-hero from Zapiski iz podpol'ia (Notes from the Underground, 1864), he tells his story in uneven, exclamatory, at times ironic, language explaining his rejection of reason, science and material comforts. They do no more, he says, than open up a smooth passage to grave. As Feliks Kuznetsov also points the out. Ryl'nikov's thoughts on science and material prosperity closely parallel a view expressed in Dostoevskii's Podrostok (A Raw Youth, 1875):

> 'Я буду знать все открытия точных наук и через них приобрету бездну комфортных вещей...теперь сижу на драпе, а тогда все будем сидеть на бархате, ну и что же из этого?.. При всем этом комфорте и бархате для чего, собственно,

жить?'25

Ryl'nikov is similarly overcome by his perception of the ephemeral nature of pleasure and the failure of material wealth to satisfy desire or necessity. He questions the entire notion of prosperity (<u>blagopoluchie</u>) and implicitly draws attention to the idea Dostoevskii illustrated most persuasively perhaps in <u>Bednye liudi</u> (<u>Poor Folk</u>, 1846): that poverty and riches may be conditions which are less economic than spiritual. In a manner similar to Dostoevskii's Underground Man, Ryl'nikov identifies his condition as a sickness which has forced him to reject society in order to live more truthfully, freely and fully.²⁶ Like the Underground Man he becomes trapped by the insularity of his thinking.²⁷

There is a level at which the structure of 'Apostol'skaia komandirovka' allows for the Soviet Iurii falls victim to religious interpretation that fantasy because of an essentially bourgeois psychology and morality. Despite his Soviet education, his abilities and his position, Kuznetsov argues, 'spiritually' he is not a citizen.²⁸ It is true that the main plot pattern suggests the 'spontaneous' disengagement of an immature character from the social unit, his gradual development towards 'consciousness' and finally his return to the fold. But it also indicates that Ryl'nikov's departure is partially motivated by a new sense of the inaccessibility and separateness of every human being. The suicide of his neighbour, Ritochka, shocks him into awareness that every individual consciousness is an independent interpreter and creator of reality. Like Diushka, he suddenly recognises every human mind as a universe in itself:

> 'Я' во всем отправная точка. Не будь меня с моим разумом, нельзя сказать, что мир существует. Нужен 'Я', чтобы само понятие существования проявилось.

> Любая вспышка разума - это вспышка всего мироздания. Убить человеческую жизнь - убить целый мир, не имеющий границ.²⁹

Ryl'nikov turns to Christianity to break out of intellectual isolation and to express his baffling intuition that personality is as boundless as it is unfathomable. By acknowledging an intelligent Deity, he believes, he will create a channel for his consciousness to escape the concentric circles in which it is caught:

> Если я признаю бога, что он есть...то так ли уж важно знать мне, когда мир начался, когда он кончится?... Об этом Он знает, мне не дано. Если поверишь в Него, то поверь и в то, что Он не

допустит бессмыслицы.30

Iurii falls back, for a time, on the 'primitive' psychological urge to personify his need to know life's purpose and cast off the burden of anxiety and responsibility onto an infallible and superhuman guide. It is in his immature and intemperate nature, we are told, to associate the experience of calm and reassurance with a personified spirit.³¹ In his youth, it seemed to him that a door-handle touched by his wife-to-be, Inga, took on a

soul.³² It follows that in later life, his need for spiritual peace comes to be 'animated' into a notion of God. As Bergson once argued, religious representations offered by the imagination can help to release a mind caught in the consciousness of its own extinction.³³ Ryl'nikov is less concerned with the objective existence of a Deity than with the positive effects faith might have on his own frame of mind:

> Есть ли бог?.. Но есть ли он или нет его, одно бесспорно – этот бог мне нужен.... Нужно внушить себе и поверить – воображенный бог превратится в реальность.34

Ryl'nikov creates a real image from an idea; an abstract notion becomes for him a visible form. His rationale parallels exactly that of the primitive philosopher depicted by Tylor.³⁵ Ryl'nikov substitutes God for the Marxist 'Purpose' which, in its abstract form, carries no weight for him. Just as door-handles take on souls, so do ideas. History, Ryl'nikov declares, is a series of battles, not between the possessors and the producers, but between different qualities of deity.³⁶

Although the defence mechanism which is the religious impulse may be, initially, a reaction against distress induced by the knowledge of death, it has, Bergson also argued, a sociological aspect. It presents a counterweight to the egoism intrinsic to intelligence and appeals to the gregarious quality in human nature.³⁷ A representation

created by the individual imagination is inadequate, Ryl'nikov feels; it is necessary to accept some form of communal religious expression.³⁸ Christianity offers an archetype, formed in the collective mind, to satisfy man's yearning to be more fully human:

> Того Христа, которому дивились и молились поколения создала не мать-природа, а время и люди, вкладывавшие в один образ, в одну личность то, чего искали в себе и других.³⁹

The issue of the literal, historical nature of Christ's image is as irrelevant as his supposed divinity and the miracles he is said to have performed. It reflects an evolution from the adulation of physical strength, power and heroism, to a veneration of images of vulnerability and kindness.⁴⁰

In Krasnoglinka, Iurii tries to live the story of the Passion and, while digging a dung-pit, discovers a more harmonious relationship with his exterior and with others. As Tolstoi also liked to show, physical activity and fresh air can do a great deal to ease the tormented spirit.⁴¹ But the appreciation of the present and of others, which the story of Christ offers, develops into a desire to restructure the world according to the New Testament pattern. Iurii's experience of the Gospel narrative proves to be not just imaginative but, in Bronislaw Malinowski's use of the term, 'mythical'. It is 'not merely a story told but a reality lived'.⁴² And being a reality, it takes on a social dimension. Seeing himself as Christ and his fellow diggers as the apostles, Iurii is moved to herald the myth which unites the faithful with all mankind.43

The religious myth is not, we are shown, just a creation of the imagination in response to thoughts about death. It is also a reflection of the totemic urge to group cohesion and a weapon against social disorder. The ethical code which ensures that social bonds hold is beyond the scope of rational persuasion, Iurii argues. An absolute authority is required to implement moral law and to counteract the acquisitive instinct along with all the other egotistical drives which disrupt the community. By recognising that authority, the group protects itself from individual excesses and anarchy.⁴⁴

The psychological reaction which creates animist images in response to the anxiety of unknowing, as identified by Bergson, meets the totemic sociological ensure the stability of the communal concern to Iurii is not only concerned with environment. the psychological benefits of religion or with 'Godseeking'. He also shows symptoms of being a 'Godbuilder' manqué. Here his thinking looks ahead to ideas Tendriakov puts forward in 'Pokushenie na mirazhi', which will be examined in Chapter 10. Caught in a no-man's-land between sociology and mysticism, Ryl'nikov sees God as a shared meaning in life, the recognition of which will help the organic

growth of society towards the final purpose:

Если люди живут не в согласии, тянут в разные стороны, то, значит, кто-то из них непременно идет против бога, против смысла жизни. Сам по себе смысл непознаваем, но единение людей, их сплоченность, их содружество, соответствуют высшему смыслу, как тень дерева соответствует самому дереву.⁴⁵

The encounter between Ryl'nikov and Gusterin, however, creates an antithetical argument which challenges the credibility of both animist and totemic aspects of religious faith, re-establishing the supremacy of reason, scepticism and resistance to the notion of divine authority.46

The idea of paradise, Joseph Brodsky remarked in his Biddle Memorial Lecture (New York, 1984):

is the logical end of human thought in the sense that...thought goes no further; for beyond paradise there is nothing....It can safely be said, therefore, that paradise is a dead end; it's the last vision of space, the end of things, the summit of the mountain, the peak from where there is nowhere to step.47

Gusterin's argument, like Brodsky's, is that belief in a God-given purpose is faith in a dead end. It is to ignore life and those constant practical choices which actually determine its quality.⁴⁸

Gusterin also regards the argument that religion can help to maintain social morality as unethical if it plays on human fear without maturing the personality.⁴⁹ He suggests, instead, that the division of collective profit among workers may act as a catalyst by which people might learn to gauge each other better, develop their faculties, and so evolve individually, economically and socially.⁵⁰ In consequence, Iurii comes to doubt both the. psychological and social value of two key Christian teachings: the doctrines of 'poverty of spirit' and 'sufficiency unto the day'.⁵¹ To insist that people abandon all responsibility for their fate is to offer scope for tyrants to flourish and to turn wise men into fools. Religion, Iurii concludes, subdues the creative spirit and encourages the triumph of mediocrity over talent.52

This stage of the polemic closely reflects the idea Tendriakov expresses in the article 'Nravstvennost' i religiia', and Paraskov'ia Petrovna's argument in 'Chudotvornaia', that religion is a system by which man's creative spirit is kept in check. If all that occurs is seen to be the will of God, life becomes slavery:

> От слепоты, от неизвестности появляется чисто животный страх перед жизнью. Страх перед божьим гневом, страх перед начальством, перед дождем не ко времени, перед кошкой перебегающей дорогу...Покорность, ленивый ум и страх - этого вполне достаточно, чтобы сделать из человека духовного раба.⁵³

But - unusually and provocatively for its time -'Apostol'skaia komandirovka' concludes not on the condemnation of all religious forms, but with the thought that man is indeed immortal through the achievements of his creative spirit. Lame though it may be to read of Iurii's return to Moscow on a train consisting of 'combinations of metal, wood and the undying soul of Stephenson', the idea that life goes on in poetry or in technical design carries muted echoes of Pasternak's vision which should not be ignored.⁵⁴ Though less mystical in character and supported, Ryl'nikov tells us, by the philosophy of a scientist named Bekhterev, it hazily reflects the notion expressed in <u>Doktor Zhivago</u> that the creative spirit immortalises the mortal by bearing witness to the spirit of life, even after physical death has occurred. History, as Zhivago's uncle Nikolai Nikolaevich Vedeniapin writes in his books, is:

> вторая вселенная, воздвигаемая человечеством в ответ на явление смерти с помощью явлений времени и памяти.⁵⁵

The idea that man has the capacity to overcome death by his own creative efforts most readily recalls the thinking of the philosopher Nikolai Fedorovich Fedorov (1828-1907), whose influence on Pasternak, Tolstoi, Dostoevskii and Platonov among others, has been observed by a number of Western scholars.⁵⁶ The connection between Fedorov's theories and Tendriakov's ideas may not be direct. It may, rather, have come via Dostoevskii, whose influence is more clearly discernible. Some observations on similarities may prove valuable none-the-less.

Ryl'nikov's thoughts and experiences in some ways reflect ideas expressed in Fedorov's <u>Filosofiia obshchego</u>

<u>dela</u> (<u>The Philosophy of the Common Cause</u>, 1906; 1913).⁵⁷ The parallels are particularly noticeable if Fedorov's social views are considered. He found much that was objectionable in the urban environment and consumerism, for example. He also put forward the idea that society is formed of two classes: the 'learned' and the 'unlearned'.

One of the main criticisms Fedorov made of the urbanisation was that it encouraged isolation and the loss of 'brotherly' relations between people.58 It also turned attention away from the important to the trivial. The important was the promotion of life and the eventual conquest of death by science; the unimportant was the gratification of the senses and the acquisition of material wealth. The development of urban society also led to a division of the population into two classes: those who live by manual labour alone, and those who live by the pursuit of knowledge or ideas and who are, in consequence, cut off from nature and reality.59

Iurii Ryl'nikov's dilemma in the opening pages of 'Apostol'skaia komandirovka' has a thoroughly Fedorovan quality. He lives in a city where overcrowding has encouraged the loss of any sense of the value of companionship. As a scientific journalist with a family, he is caught between a life of intellectual abstraction and the pragmatic 'necessity' to pursue material interests.

Fedorov's solution to the problems of urban isolation and social division was to promote a proper understanding of life's meaning and to act upon it. Human life is, or should be, an act of aesthetic creativity directed at restoring order to a universe which had been distorted by the sin of knowledge or abstract thought without action.⁶⁰ It is only by action that man can help to intercept the movement of the universe towards destruction and chaos, and by doing so, follow the divine plan for universal salvation. Man's vocation is to create order and ultimately to overcome death by his own powers, and this is fully within his capacity. Redemption is not just the end for which he has been created by God, but the task which he has been set to perform. It is the purpose for which humanity has evolved.61

To overcome death, however, man must first be aware of its impending reality. It was the consciousness of death, Fedorov wrote, which first developed man's creative ability to act:

> Лишенный покровов, преданный холоду, голоду, болезням, человек на каждом шагу чувствовал близость смерти, и потому из рожденного человек поневоле стал созидающим - строителем своего вынужден более более тела, -И все И распространять свое влияние на внешний мир, поражающий его голодом, язвами и смертью. Человек, немощный по природе, - могуч по работе, по труду.62

In 'Apostol'skaia komandirovka', Iurii Ryl'nikov's consciousness of impending death induces him to take

action where he had earlier been paralysed. Abandoning wife and child, he breaks the pattern of his inactive existence as an intellectual 'deprived of feeling and will'.⁶³ By wronging his family he puts right his position with the world. Later he returns with a greater sensitivity to the plight of others and a new philosophy which points, like Fedorov's, to creative action as the key by which the life/death pattern may be restructured into the immortality for which man craves.

Ryl'nikov visualises creative spirit as smoke spreading over the planet and breathed by future generations after a man has burned himself out:

> Почти каждый человек как-то успевает начадить за свой короткий век. После него люди дышат этим дымом, этот нетленный дым соединяется с вновь родившимися...Могила вовсе не является концом человека.

> > 'Сгорает в пепел, в прах,

а где, скажите, дым?' Дымный след и автора этой строки тянется из поколения в поколение вот уже восемьсот лет, распространяясь из страны в страну, окутывая всю планету. Когда он рассеется? И рассеется ли вообще, пока живо человечество?..⁶⁴

Man's potential immortality is realised by action: the form in which he casts his thoughts and offers them to the world. In other words by the nature of a choice which can liberate him from the tyranny of biological law.

From the point of view of Marxist ideology this idea is subversive at two levels. First, it challenges Engels' statement that there can be no freedom outside natural law because nothing beyond that law exists. Second, it carries an underlying suggestion that professed maxims ideological or religious - have considerably less practical relevance than posture towards other people, something which remains reflected in the work one leaves behind after death.

This is emphasised in 'Apostol'skaia komandirovka' by the parallel drawn between Anna, Krasnoglinka's most militant believer, and Ushatkov, the hard-line chairman of the village <u>sel'sovet</u>. Both see Ryl'nikov as a demon. If he is a <u>bes</u> to Anna, to Ushatkov he is a <u>mrakobes</u> and a symptom of the unclean spirit which grips society when it does not have a strong hand to guide it.⁶⁵ For both, the image of the lawgiver - whether God or <u>generalissimo</u> - is the totemic symbol which maintains social unity. It is the means by which the social environment is controlled and personal power achieved. During his interview with Ushatkov, Iurii observes:

> 'С крепкой-то рукой как не стать героем. Будут и возвеличивать, и молиться на тебя будут. А глядишь, в молитвах-то и до бога вознесут.'⁶⁶

This insight into the cult of personality confirms Ryl'nikov's status as Krasnoglinka's dissident, targeted for public exposure and removal.

At their polarities, where commitment is total, the believer and the ideologue are two sides of the same coin. Neither will allow for the meeting of minds, or for the common affirmation of a shared human condition which is to

be found in doubt:

Вера никак не исключает неверия. Неверие не что иное, как негативная вера - две стороны одной медали.... Лишь те, кто способен подвергать сомнению

взгляды и доводы, свои и чужие в равной мере, способны понять друг друга.⁶⁷

Ryl'nikov's experience as a dissenter - a believer among unbelievers and an unbeliever among believers seems to earn him the dignity of a personal vision no longer dominated by extrinsic factors, whether fear and the desire psychological (based on for immortality) or social (resting on collective pressure). Beyond animist impulse to create the imaginative representations and shape the ultimate authority into an image of God, or the totemic urge to weld humanity into a monolithic unit, Ryl'nikov seems to find a better-defined identity and the courage to face the social environment with greater honesty. He returns to Moscow a freer man not because he knows more, but because he is more fully himself and in a frame of mind unconstricted by religious or ideological models.

Notes to Chapter 8

1. <u>Fundamentals of Marxism-Leninism</u>, 2nd revised ed. (Moscow, 1963) p. 63, quoted in Gustav A. Wetter, <u>Soviet</u> <u>Ideology Today: Dialectical and Historical Materialism</u>, trans. Peter Heath (London, 1966) p. 65.

2. Ibid., pp. 75-6.

3. Frazer, <u>Golden Bough</u>, vol. 1, p. 63; Evans-Pritchard, <u>Anthropological Thought</u>, p. 137.

4. Vladimir Tendriakov, 'Chudotvornaia', <u>Znamia</u>, No. 5 (1958) pp. 3-55. Subsequent references are to: Tendriakov, 'Chudotvornaia', <u>Sobranie sochinenii v 4-kh tomakh</u>, vol. 1 (Moscow, 1980).

5. Vladimir Tendriakov, 'Apostol'skaia komandirovka', <u>Nauka i religiia</u>, No. 8 (1969) pp. 69-87; No. 9 (1969) pp. 53-69; No. 10 (1969) pp. 77-95. Subsequent references are to: Tendriakov, 'Apostol'skaia komandirovka', <u>Sobranie</u> <u>sochinenii v 4-kh tomakh</u>, vol. 4 (Moscow, 1980).

6. V. Tendriakov, 'Nravstvennost' i religiia', <u>Nauka i</u> <u>religiia</u>, No. 7 (1987) p.11.

7. Ibid., <u>Nauka i religiia</u>, No. 4 (1987) pp. 52-3. See also Tendriakov, 'Apostol'skaia komandirovka', pp. 382, 383, 388.

8. Vladimir Tendriakov, 'Troika, semerka tuz', <u>Novyi mir</u>, No. 3 (1960) pp. 3-32.

9. Feliks Kuznetsov, 'Dukhovnye tsennosti: Mify i deistvitel'nost'', <u>Novyi mir</u>, No. 1 (1974) p. 229.

10. Tendriakov, 'Vesennie perevertyshi', <u>Sobranie</u> sochinenii v 4-kh tomakh, vol. 4, p. 583.

11. Ibid., p. 542.

12. 'Zatmenie' tells of the break up of a promising marriage when the wife, Maiia, falls in love with a trendy young Christian, Gosha Chugunov. Vladimir Tendriakov, 'Zatmenie', <u>Druzhba narodov</u>, No. 5 (1977) pp. 15-150.

13. 'Rasplata' considers the problem of common guilt, focusing on parricide committed by a fifteen-year-old boy. Vladimir Tendriakov, 'Rasplata', <u>Novyi mir</u>, No. 3 (1979) pp. 6-99. 'Shest'desiat svechei' depicts the inner life of a schoolteacher who receives a death threat on his sixtieth birthday, prompting him to examine his past and to question the ethics of conformism. Vladimir Tendriakov, 'Shest'desiat svechei', <u>Druzhba narodov</u>, No. 9 (1980) pp. 91-165.

14. Tendriakov, 'Zatmenie', p. 150.

15. N. Podzorova, 'Dar chelovechnosti', <u>Oktiabr'</u>, No. 10 (1974) p. 213.

16. <u>Grundlagen der marxistischen Philosophie</u> (Berlin, 1960) p. 209, quoted in Wetter, <u>Soviet Ideology</u>, p. 65.

17. <u>Kratkii filosofskii slovar'</u>, 4th ed. (Moscow, 1955) p. 109, quoted in Wetter, <u>Soviet Ideology</u>, p.71.

18. Tendriakov, 'Apostol'skaia komandirovka', p. 242.

19. Ibid., p. 270.

20. Quoted in L. Antopol'skii, 'Prichashchenie v Krasnoglinke', <u>Druzhba narodov</u>, No. 1 (1971) p. 275.

21. Tendriakov, 'Vesennie perevertyshi', pp. 577-8.

22. For an analysis of Dostoevskian features in Tendriakov's mature work ('Zatmenie', 'Rasplata' and 'Shest'desiat svechei') see Ark. El'iashevich, 'Uchitelia i ucheniki', <u>Novyi mir</u>, No. 7 (1982) pp. 237-49.

23. Vladimir Tendriakov, 'Pokushenie na mirazhi', <u>Novyi</u> <u>mir</u>, No. 4 (1987) pp. 59-116; No. 5 (1987) pp. 89-164.

24. 'Spor v povesti i spor o povesti', <u>Literaturnoe</u> <u>obozrenie</u>, No. 1 (1975) p. 39.

25. As quoted in Kuznetsov, 'Dukhovnye tsennosti', p. 212; Tendriakov, 'Apostol'skaia komandirovka ', p. 234-5.

26. Tendriakov, 'Apostol'skaia komandirovka', pp. 249, 290.

27. 'Ia gorzhus' svoei bolezn'iu, mne zhal' zdorovykh liudei, ne vedaiushchikh o moem neduge.' Ibid., p. 231.

28. Kuznetsov, 'Dukhovnye tsennosti', p. 230.

29. Tendriakov, 'Apostol'skaia komandirovka', p. 254.

30. Ibid., pp. 265-6.

31. Ibid., pp. 243-4.

32. Ibid., p. 244.

33. Bergson writes, 'Religion is a defensive reaction of nature against the representation, by intelligence, of the inevitability of death.' Bergson, <u>Morality and Religion</u>, p. 109.

34. Tendriakov, 'Apostol'skaia komandirovka', pp. 268-9.

35. Tylor, <u>Primitive Culture</u>, vol. 1, 5th ed., pp. 497-501.

36. Tendriakov, 'Apostol'skaia komandirovka', p. 351.

37. Bergson, Morality and Religion, pp. 100-2.

38. Tendriakov, 'Apostol'skaia komandirovka', p. 275.

39. Ibid., p. 277.

40. Ibid., p. 278.

41. In <u>Anna Karenina</u>, Levin, for example, finds the answers to his 'accursed questions' ('Chto zhe ia takoe? i gde ia? i zachem ia zdes'?') during the harvest season on his country estate. L. N. Tolstoi, <u>Anna Karenina</u> (Leningrad, 1978) pp. 682-7. And in <u>Voina i mir</u>, Natasha discovers a new, innate essence to her personality after a day's hunting, and expresses it in the spontaneous Russian folk dance she performs in <u>diadiushka</u>'s house in Mikhailovka. L. N. Tolstoi, <u>Voina i mir</u>, vol. 1-2 (Moscow, 1955) p. 654.

42. Malinowski, Magic, p. 100.

43. Tendriakov, 'Apostol'skaia komandirovka', pp. 327, 341.

44. Ibid., pp. 319-20.

45. Ibid., p. 272.

46. Geoffrey Hosking remarks that Ryl'nikov's need for a 'commander-in-chief' to rule his life parallels the relationship between Soviet society and the authorities, 'in which the entire burden of seeking meaning and purpose is assumed by the latter, and with it, the prerogative of deciding men's fates'. Hosking, <u>Beyond Socialist Realism</u>, p. 96.

47. Joseph Brodsky, 'Catastrophes in the Air', in <u>Less</u> than One: Selected Essays (New York, 1986) p. 286.

48. Tendriakov, 'Apostol'skaia komandirovka', pp. 350-2.

49. Ibid., p. 414.

50. Ibid., pp. 414-15.

51. Ibid., p. 365.

52. Ibid., p. 388.

53. Tendriakov, 'Chudotvornaia', p. 224.

54. 'Iz sochlenii metalla, dereva, neumiraiushchei dushi Stefensona i mnogikh-mnogikh drugikh dush.' Tendriakov, 'Apostol'skaia komandirovka', p. 420; Hosking, <u>Beyond</u> <u>Socialist Realism</u>, p. 97.

55. Pasternak, <u>Zhivago</u>, p. 66.

56. Ayleen Teskey, <u>Platonov and Fyodorov: The Influence of</u> <u>Christian Philosophy on a Soviet Writer</u> (Avebury, 1982) p. 5. Stephen Lukashevich, <u>N. F. Fedorov (1823-1903): A Study</u> <u>in Eupsychian and Utopian Thought</u> (Newark-London, 1977) pp. 20-6.

57. N. F. Fedorov, <u>Filosofiia obshchego dela</u>, 2 vols (Vernyi, 1906; Moscow, 1913).

58. V. V. Zenkovskii, <u>Istoriia russkoi filosofii</u>, vol. 2 (Paris, 1950) pp. 137-8.

59. Teskey, Platonov, pp. 9-10.

60. Zenkovskii, <u>Istoriia russkoi filosofii</u>, vol. 2, pp. 134-6.

61. Fedorov writes: 'Khristianstvo po sushchestvu est' ne uchenie tol'ko ob iskuplenii, a imenno samoe delo iskupleniia'. Ibid., p. 141; Fedorov, <u>Filosofiia</u>, vol. 1, p. 112.

62. Ibid., p. 456.

63. According to Fedorov, the division in the consciousness of each individual in the 'learned' class is, Teskey writes:

the inner discord...caused by an intellect deprived of feeling and will....The intellect separated from feeling leads only to knowledge of causes in general, whilst intellect separated from will leads only to knowledge of evil, without the desire to eradicate it. Teskey, <u>Platonov</u>, p. 10.

64. Tendriakov, 'Apostol'skaia komandirovka', p. 419.

65. Ibid., pp. 336, 397.

66. Ibid., p. 337.

67. Ibid. pp. 382-3. See also p. 388.

PART IV: TOTEMIC GODS

Chapter 9. Religion and the Right

(i)

One aspect of the religious fallacy, Vladimir Tendriakov writes in his essay 'Nravstvennost' i religiia', is its tendency to encourage unquestioning faith in ideas or dogmas. In the political arena, he suggests, fascism displays all the trappings of a religion without Deity. The national ideal is projected onto symbols representing it and these come to be seen as sacred objects. In that sense it is religious. On the other hand, extreme nationalism does not recognise any supreme value to encompass what is known of the world outside itself. In that sense it is Godless:

> Любой религиозен безбожен национализм н одновременно....Националист фетишизирует свою историю, свой быт, свои традиции. В фетиш признаки возводятся даже косвенные национального: березы, что растут на родных полях, рябина, что зреет в родных лесах, старые погосты, старые обычаи, одежда, речевые обороты пр. и пр. – этакий пантеизм в рамках И национального.1

Emile Durkheim, however, does not recognise the difference between the nationalist and the religious vision which Tendriakov acknowledges. All religion is pre-eminently social, he argues:

Religious <u>réprésentations</u> are collective

<u>réprésentations</u> which are the expression of collective realities. Rites are ways of behaving which only come into being at the heart of assembled groups and whose function is to create, maintain and to re-establish certain mental states within these groups.²

If the 'sacred' is the symbol of a society or its flag, there can be no qualitative difference between a crowd hailing the image of its chosen leader and a group of religious believers in a service of worship:

> There is no doubt that a society contains all that is necessary to arouse in people's minds the feeling of the divine by the very influence it exercises over them; for society is to its members what a god is to the faithful. A god, indeed, is above all a being whom man imagines to be superior to himself in some way and on whom he believes himself to be dependent. it be a question of a conscious Whether personality, like Zeus or Jahveh, or abstract forces like those which are called into play in totemism, in each case the believer thinks himself bound to behave in a certain way, which is imposed on him by the very nature of the sacred principle with which he feels himself to be in communication....

> If society happens to take to some man, and if it believes that it has found in him the main aspirations which preoccupy it, together with the means of satisfying them, we may be sure that such a man will be set above his fellows and virtually deified. Opinion will bestow on him a majesty completely analogous to that which protects the gods.³

It is true, of course, that the ritual or social aspect of religious tradition, and collective expressions of group cohesion have enough in common to appear at times indistinguishable. Both seem to affirm group identity; both confer on group members the knowledge that they are elect, and so reinforce their individual and collective powers. Durkheim explains that no group or clan can exist without a name or emblem which contains its collective identity. It is therefore to the emblem, or totemic god, that society's sentiments are directed. Men are forced to imagine the collective force whose influence they experience in the form of a thing which serves as the flag of the group.⁴ The binding energy which gives society its shape is conceived of as an unassailable, sacred image.

(ii)

In official Soviet prose from the mid-1960s to the notion of mid-1980s. the such binding energy was frequently expressed in terms drawn from the Russian Orthodox Christian tradition. Totemic attitudes ranged from an exclusively Russian orientation, which gave the Russian <u>narod</u> the status of a hypostasis of the sacred, to a less nationally or tribally orientated vision of Soviet society seeking a new emblem or sacred image to unify it. In either case it was frequently combined with denunciations by writers of excessive industrialisation, urbanisation and the loss of traditional values and family loyalties.

The nationalist and conservationist voice which made itself heard in Soviet cultural politics after

Khrushchev's retirement in 1964, encompassed a wide range of views and loyalties already examined by Western scholars.⁵ John Dunlop's deservedly respected analysis shows that there existed a graduation from a group he calls gosudarstvenniki (or 'National Bolsheviks') at one extremity to vozrozhdentsy (or 'revivalists') on the other. Gosudarstvenniki - some of whom may have cherished Stalinist sympathies - believed in the establishment and maintenance of a strong Russian state capable of world leadership. Vozrozhdentsy sought rather to promote a cultural rebirth based on Orthodox Christianity, and held comparable to those of views nineteenth century Slavophiles. Dunlop's characterisation of the two camps merits full quotation:

> Both tendencies are preservationist, seeking to safeguard Russian historical monuments and the environment from defilement and destruction; both deplore present demographic and social trends that are seen as unfavorable to the wellbeing of the Russian people; both are 'polycentric' nationalists, desiring, at least explicitly, the cultural flourishing of all nationalities. In addition, both tendencies exhibit a keen interest in Russian conservative and patriotic thought of the past, though, as a likely to rule, vozrozhdentsy are align Early Slavophiles, themselves with the Dostoevskii and theVekhi authors, while such National Bolsheviks are drawn to 'realistic' Danilevskii thinkers as and Leont'ev. The principal differences between the two tendencies lie in their attitude towards Russian Orthodoxy and their willingness to achieve at least a temporary modus vivendi with Marxism-Leninism. Orthodoxy represents the pivot of the thought of most vozrozhdentsy, while the quasi-National Bolsheviks l**ean** towards а deification of the Russian <u>narod</u>. In the eyes of the vozrozhdentsy, there can be no accommodation

with atheistic, 'internationalist', Russophobic, anti-village Marxism-Leninism; National Bolsheviks, on the other hand, are willing to make tactical compromises with it.⁶

In other words, if <u>vozrozhdentsy</u> incorporate the animist tradition into politics, through their association with Russian Orthodoxy, the National Bolsheviks promulgate the totemic stance in abstracting the idea of the Russian people (<u>narod</u>) into a symbol of the unity and ancestry of the state collective. The state is the Absolute and its strength is the main criterion for morality.

In another analysis, Dimitry Pospielovsky notes a similar division, stressing that National Bolsheviks or 'Etatists' look to the ideas of Nikolai Danilevskii. Danilevskii's theory of non-Christian Russian nationalism - with its emphasis on struggle between peoples rather than classes and its character analysis of different nations - could justify state aggression, imperialism, and racism. His latter-day descendants, Pospielovsky writes, were also prepared to exploit the Orthodox Church 'as an ideological instrument...for mass mobilization of the state'.7

Differences in nationalist thought during the 'thaw' were frequently resolved in the face of clampdowns and purges conducted, first, by Khrushchev's regime, later Brezhnev's, and to a lesser degree Andropov's. The antireligious campaign launched by Khrushchev in 1959 helped to unite dissenting Christian believers and <u>vozrozhdentsy</u>

over the massive destruction of Church buildings and of the Russian Orthodox cultural heritage. From the mid-1960s, after the fall of Khrushchev, writing with a nationalist flavour mushroomed. Complaints about the neglect and destruction of Russian treasures and monuments (especially churches and monasteries) grew following the publication of Vladimir Soloukhin's Pis'ma iz russogo <u>muzeia</u> (<u>Letters from a Russian Museum</u>, 1966) in <u>Molodaia</u> gvardiia, the official publication of Central the Committee of the Komsomol.⁸ From 1964, the journal became, as Pospielovsky writes, the precursor of an 'apparently secular Russian nationalism of a "Neo-Danilevskian" type'.9

In his series of 'letters' from Leningrad to Moscow, Soloukhin drew attention to the wealth of history and culture in Russian's cities and villages, and to the role of religious tradition and art in preserving them. He attracted interest in this fresh, because previously forbidden, theme and, as Michael Meerson-Aksenov remarks, doubtless stimulated a growth of interest in religion among young people and the intelligentsia.¹⁰ Soloukhin also paved the way for a series of controversial articles which appeared in <u>Molodaia gvardiia</u> between 1968 and 1970. Their authors included M. P. Lobanov , Iurii Ivanov, S. N. Semanov, and - most memorably - Viktor Chalmaev.

In his two articles 'Velikie iskaniia' ('Great

Explorations', 1968) and 'Neizbezhnost'' ('Inevitability', 1968), Chalmaev put forward the view that the essence of conflict in the world lay in a struggle between the idealism expressed in the character and history of the Russian people, and mercenary values reflected in the American ethic. It is a conflict which, in <u>Velikie</u> <u>iskaniia</u> (written in celebration of the centenary of Gor'kii's birth), Chalmaev traces back to the nineteenth century, and sees reflected in the writings of Dostoevskii and Tolstoi, as well as Gor'kii:

> Русский народ не мог так легко и безболезненно, как это произошло на Западе, обменять свои былые святыни на чековые книжки, на парламентские 'кипятильники' пустословия, идеалы уютного 'Железного Миргорода'. Не так прост оказался процесс перехода России на рельсы буржуазного развития.¹¹

A non-religious idealism, an 'aristocracy of spirit' should be cultivated in the nation, he suggests:

> всякий 'идеализм' He религиозен, не всякая стыдливость, песенность натуры, беспредельность душевных исканий освящены именем Христа, не всякий аристократизм, сложность души есть барство. Нация беднеет, теряя этот аристократизм.12

It is spiritual supremacy which sets the Russian nation apart and makes it the historical defender of higher, aesthetic and humanistic values in a world which has sold out to bourgeois mercantilism. Gor'kii's great service to his country was to have shown that the urge to break free of the shackles of capitalist morality was a virtue of the Russian character as much as of Communist ideology.

The publication of Chalmaev's second and more explicit contribution, 'Neizbezhnost'' was preceded by an article by Lobanov entitled 'Prosveshchennoe meshchanstvo' ('Educated Shopkeepers', 1968). It appeared in the issue of <u>Molodaia gvardiia</u> following 'Velikie iskaniia' and attacked - not imperialism - but:

> духовное вырождение 'образованного' человека, гниение в нем всего человеческого...в блеске интеллектуальной синтетики.¹³

Lobanov also spoke of the dangers of 'Americanism of spirit' (<u>amerikanizm dukha</u>) and suggested that Soviet society's concern over the acquisition of comforts and material wealth was subverting the best in Russian character.¹⁴

In 'Neizbezhnost'', Chalmaev addressed the struggle between Russian 'spirituality' and American materialism as a historical continuum, reinforced - not broken - by the October Revolution. He visualised history as a spiritual progression with mankind now in an 'upper circle' (<u>verkhnii 'iarus'</u>) looking down at the cultural expression of that spirit in the past, and building higher levels for the future.¹⁵

The Russian people, he went on, have - through historical experience and the complexity of their collective personality - built up a strength which is expressed, periodically, in apogees of spiritual achievement. These peaks have been individual and collective, demonstrating resistance to the recurring, corrupting, indeed satanic, temptations of the materialist ethos.¹⁶ Religious energy, Chalmaev suggests, has been and can be a useful and creative force when directed in defence of the unique spirit of the national collective:

> И Никон, и Аввакум...и - по-своему -Разин олицетворяют стремительно шедшую на Руси цивилизацию души....Народный организм как бы 'откладывает' про запас эти взращенные Аввакумом и Никоном духовные силы, огненные порывы и мечты, выплавляет из них основу он для государственных подвигов. Один раз в сто лет выходит русский народ на Полтавскую битву или Сталинградское противостояние, но готовят его к века. Нельзя быть легкомысленным этому в отношении к родине, душа, опустошенная безверием, не станет за один день Донским, или Багратионом, или Матросовым. И даже религиозная энергия русского человека не всегда, но весьма часто переливалась в прошлом в ратный подвиг, в творческое вдохновение, то есть обращалась к целям совсем не религиозным...17

In each case, the individual or the collective are directly expressing the national spirit, allowing it to flow through them like sacred energy or <u>mana</u> (see above Chapter 1, pp. 25, 27). Avvakum, Chalmaev writes, not only sought to save his people from themselves and to unify them. He sensed that the authorities of the time, and the canons of state and Church failed to express the spirit of the nation:

> Аввакум...ощущает, что тогдашняя официальная власть, каноны государства и церкви никак не исчерпывают России, не являются даже тем обрядом, не говоря уж – идеей, в которых до конца высказывается душа народная.¹⁸

As Apollon Grigor'ev once suggested, this spirit continues to express itself through whatever framework is placed upon it, whether religious or administrative.¹⁹ The religious schismatics were in a naïve, primitive way striving to create the ideal Russia. They sought to express the Russian spirit in all its purity, and to free it from the bond of ideologies – feudal and later capitalist – which could not carry its vast and ancient body:

Да и крепостничество, и капитализм – это же щепка в океане, на которой не уместится тысячелетняя народная обновляющаяся Русь!²⁰

The reference to thousand-year-old Rus', and the Russian people as a millennial nation, put history in a new perspective. That which made the nation a single body was not the Communist collective, but a shared religious tradition. The Millennium of Christianity in Rus' was a bare twenty years away when Chalmaev published the article, and proposed, more directly perhaps than ever before, that the identity, unity and strength of modern Russia should be sought in a history and culture that stretched back to the conversion under Prince Vladimir.

Chalmaev therefore challenged Marxist dogmatists on two main counts. First, he suggested that the history of Russia had not been interrupted and transfigured by the October Revolution, but that it was an expression of a continuing flow of the national spirit. Second, he stated

that this spirit was capable, historically, of breaking - feudal through constricting social systems and capitalist - and must, by implication, also overflow a system which allowed itself to be corrupted by 'Americanism of spirit'. As Solzhenitsyn later commented in Bodalsia telenok s dubom (The Oak and the Calf, 1975): 'v 20-e - 30-e gody avtorov takikh statei seichas zhe by sunuli v GPU da vskore i rasstreliali'.21

Where Chalmaev's nationalism differs from that of other authors of the neo-Slavophile school, and of derevenshchiki aiming to revive an awareness of their country's past, is in its militarist stance. His use of imagery and language is more reminiscent of Serafimovich's belligerent revolutionary romanticism than of the lyrical and nostalgic tone of rural prose. 'Neizbezhnost'' opens with the thought that universal happiness is an ideal demands a fight ('bor'ba which za vseobshchee schastie'). Chalmaev writes of the 'battle' taking place over the bourgeois notions of 'crowd' (tolpa) or 'public' (publika), and the Russian notion of 'the nation' (<u>narod</u>). Genuine intellectualism and progress in art, he maintains, is measured by its contribution to the fight against ideological opponents of the Communist Party:

> Мерой подлинной интеллектуальности и прогрессивности в искусстве в наши дни неизбежно становится реальное, а не призрачное, участие в борьбе Коммунистической партии и народа с идеологическими противниками нашей Родины.²²

Scenes of war, such as Kulikovo Field where Dmitrii Donskoi defeated the Tatars, or Poltava where Peter I routed the Swedes, or the Battle of Stalingrad, are climactic expressions of the force of the collective spirit.²³ War unites the energies of its participants in a glorious festival which affirms the supremacy of society over its individual members by demanding their life as well as their labour.²⁴

After the appearance of 'Neizbezhnost'' in 1968, Molodaia gvardiia continued to publish material in line with the Chalmaevan manifesto. The position of the nationalist camp was additionally strengthened from 1969 by support from the journal Nash sovremennik, under the editorship of Sergei Vikulov. Novyi mir (then the bastion of enlightened Marxism-Leninism) sought to counter the Chalmaevan offensive with an article by A. Dement'ev which spoke of not exaggerating the significance of 'alien ideological influence'. In response, of a group influential nationalists published in Ogonek a now legendary letter which formally established their position:

> Вопреки усердым призывам А. Деменьтева не преувеличивать 'опасности чужих идеологических влияний' мы еще и еще раз утверждаем, что проникновение к нам буржуазной идеологии было и остается серьезнейшей опасностью.²⁵

The publication toppled the editor of <u>Novyi mir</u>, Aleksandr Tvardovskii from his post. As Alexander Yanov

has observed:

The rout of <u>Novyi mir</u> was the first action in the post-Stalin era by the <u>united</u> Establishment Right - a kind of historical experiment which demonstrated its unusual political potential.²⁶

However in November 1970, a special meeting was convened to discuss the nationalist challenge, at which Leonid Brezhnev himself made a statement critical of religious elements creeping into the Soviet media. Within three months, Anatolii Nikonov, the chief editor of <u>Molodaia gvardiia</u>, had been dismissed, together with the journal's editorial board.²⁷

In response to the pressures and difficulties of the time, a number of nationalist writers resorted to <u>samizdat</u>. But the mid-1970s saw a marked deterioration in their relations with the authorities. In 1974, the <u>samizdat</u> 'Russian patriotic journal' <u>Veche</u> was disbanded and its editor arrested.²⁸ At about the same time, Solzhenitsyn was expelled from the Soviet Union for views which were evidently shared, at least in part, by writers from <u>Molodaia gvardiia</u>.²⁹ In 1976, Valentin Rasputin - a one-time <u>protégé</u> of the influential nationalist Vladimir Chivilikhin - published 'Proshchanie s Materoi', and was severely criticised by the Soviet press. In 1977, he was reportedly beaten up in Irkutsk.³⁰

Throughout the 1970s, and until as late as 1984, the right, and particularly <u>vozrozhdentsy</u> with religious affiliations, were time and again exposed to attacks from

the press, purges at work, and in some cases persecution. It was not until about the middle of 1984, under Chernenko, that their position seemed to improve. In that year Petr Proskurin, Vasilii Belov and Valentin Rasputin were all awarded the Order of Lenin. However, according to Radio Liberty's research, as the pressure lifted, so the differences between gosudarstvenniki and vozrozhdentsy became more evident. The former turned increasingly to the state: vozrozhdentsy looked rather to liberalisation.³¹ Since the introduction of Mikhail Gorbachev's perestroika policy, however, this appears no longer to be the case. From the mid-1980s, writers never previously associated with the National Bolsheviks such as Astaf'ev, Belov and Rasputin, have apparently become firmly wedged in the statist camp - threatened, as they see it, by the bogey of Western cultural infiltration.

The religious perspective in more recent works by establishment nationalists of the Brezhnev era has maintained a Chalmaevan character. Their writing remains keenly anti-American, anti-bourgeois and/or anti-'cosmopolitan' (meaning anti-semitic). They uphold the vision of a Western demon seeking to corrupt, divide and destroy the national unit, which must martial its energies to defend itself from the aggressor. Their heroes tend to be less the simple folk of the Russian village than educated and qualified inhabitants of the city, conscious

that their lives have been subverted by a force intent on alienating them from their people, their history, their land, themselves. This is true of work by Bondarev known in the 1960s as a successful war novelist, but regarded since the mid-1980s as a champion of conservatism in the Writers' Union.³² It is also true of writing by his younger counterpart Sergei Alekseev, promoted by <u>Nash sovremennik</u> in the mid- and late 1980s, and, more surprisingly, of Vasilii Belov's highly controversial novel <u>Vse vperedi</u> (<u>Time will Tell</u>, 1986).³³

(iii)

Bondarev's novel <u>Igra</u> (<u>The Game</u>, 1985)³⁴ and Alekseev's <u>Slovo</u> (<u>The Word</u>, 1985)³⁵ suggest that the 'spiritual culture', which could offer meaning and consistency to Soviet Russian life, will only be recovered once external cultural influences have been sifted out and rejected. 'Pure' Russian culture is something Bondarev and Alekseev trace back to the Old Believer schism of 1666. David Bethea has written:

> It would be no exaggeration to say that the Schism and the Petrine reforms (which the Old Believers led the reaction against) were the moment in Russian history when the oppositions east/west of old/new and entered into a particularly fateful alignment with the Russians' myths about themselves and the governance of their state. The very facts of broad popular appeal and interpenetration of the political, social, and theological realms suggest that this time was perceived as a

'turning point' not only for the Avvakumians but for all those coming later who, with the emergence of 'historical consciousness' in the early nineteenth century, would wrestle with their country's identity as 'Eastern' and 'Western', as a renovation of a golden past or a radical thrust into an enlightened future.³⁶

In looking to the Old Believers, Bondarev and Alekseev reinforce the vision of a sinful world which, under Patriarch Nikon, sold out to foreign influence and the Greek Church, abandoned the Orthodox faith and fell prey to the powers of evil. In the eyes of the Old Believers, all events since then have been a consequence of that parting of the ways. Bondarev and Alekseev, as much as Chalmaev, suggest by reference to the Old Believer tradition that modern 'Americanisation' may be another expression of that disastrous proclivity to absorb what is foreign, corrupt and demonic: chuzhebesie.37

Mary Seton-Watson has put forward the suggestion that the fashion for Old Believer culture, in the literature of the early and mid-1980s, may have been encouraged by the fact that this was a group which had found ways of successfully withstanding the state for over three hundred years.³⁸ It is worth noting, however, that the attitude of the Soviet authorities to the Old Believers - a sect living in a permanent, self-inflicted exile, but not actively opposed to the régime - has tended frequently towards ambiguity. In the 1920s and early 1930s, the historian M. N. Pokrovskii - a personal friend of Lenin's

- referred to them as 'the people's Church', essentially 'democratic' in character, unlike the official Church which exploited the <u>narod</u>.³⁹ Avvakum, the saint and martyr most venerated by the Old Believers, was never subjected to Leninist or even Stalinist iconoclasm. His autobiography and comments on the Bible were available (with a not unfavourable introduction) even in 1934, when no other religious literature was published in the Soviet Union.⁴⁰

The theme of the Old Believers in literature of the 1980s was less controversial than it might appear. They represented a group which had long resisted the monopoly of state power, but they equally served as evidence of a continuing, purely Russian, form of religious life which had successfully withstood the pressures of Western influence. Their strength - Bondarev and Alekseev suggest lies in a refusal to compromise with the authorities over their beliefs and their way of life. As Bondarev's hero. a successful film director named Krymov, contemplates the statue of the Archpriest Avvakum, he muses on the world today:

> И тогда Крымову подумалось, что если под этим небом уже нет такой энергии, нет духа, подобного несломленному протопопу Аввакуму, то цивилизация кончится тем, что над опустошенной землей, над круглой пустыней будет летать некто и видеть лишь черные пятна охладевшего человеческого жития.⁴¹

The threat to civilisation, Bondarev suggests in a

manner reminiscent of Lobanov or Chalmaev, comes largely from invading Western consumerism and from short-sighted policies directed exclusively to technological progress. But, Krymov believes, hope may lie in his own country:

> Россия - самая неожиданная страна. И такой второй нет в природе. Если уж кто спасет заблудшую цивилизацию, так это опять же Россия. Как во вторую мировую войну...Может быть, в ней запрограммирована совесть всего мира. Может быть...Америке этого не дано. Там разврат духа уже произошел. И заключено полное соглашение с дьяволом.⁴²

Bondarev remains one of the most unreservedly nationalistic authors writing in the Soviet Union today. His work is published in large print-runs and his novels have been staged in Moscow.⁴³ Alekseev is a younger and less established member of the same school. His novel <u>Slovo</u> introduces another feature onto a map of world history similar to Bondarev's.

Alekseev's Old Believers are a group of eccentric and, at times, fanatical custodians of the treasures of Russia's past. They have in their possession a hoard of ancient and invaluable books, but are naïve enough to be exploited by <u>émigré</u> Russians in an internationally coordinated campaign to deprive the Soviet Union of its cultural heritage. The books are regularly smuggled out to the West, and it falls to Alekseev's heroes (Anna, a young archivist and Zarodov, a junior museum assistant) to help save them.

There is evidence in the novel of the existence of a

lost text written in an unknown script but entitled in Cyrillic: 'Drevlee pis'mo, pisannoe startsem Diveem, iazychnikom' ('An Ancient Letter Written by Starets Divei, the Pagan).44 It confirms that literacy was well developed long before the Christianisation of Rus' in the tenth century. In a series of historical flashbacks, it becomes clear that Prince Vladimir's conversion and the enforced baptism of his people was by no means the dawn of Russian civilisation. Rather, it was the first of several cultural invasions which destroyed, or corrupted, a language and culture which had been truly indigenous to the Russian land. Another of these incursions was Nikon's adaptation of Church Liturgy in the seventeenth century. Since then, Alekseev suggests, the Church, as an arm of the state, has been rich, powerful, and a threat to the moral well-being of its own people. As one of his characters remarks: "Religiia uchit liudei nishchenstvu, vot v nei i zlo derzhitsia"'.45

Belov's 'city' novel <u>Vse vperedi</u> - a new departure for a predominantly rural writer - draws a similar picture. Its plot is supported by the idea that an invasion of Russian cultural sovereignty is at hand. The novel shows a disintegrating society, invaded by a demonic force which divides: marriage, families, friends and the community as a whole. Women have lost their maternal instinct, loyalty, modesty and concern for others. They

have been infected with the desire to live by aesthetic values and the pleasure principle cultivated by their Western counterparts.

The demon responsible is embodied in the figure of the sophisticated 'cosmopolitan' Misha Brish. He breaks up Liuba and Medvedev's marriage, and displaces Medvedev in his profession. On the basis of his bitter personal experience, Medvedev concludes that the nature of evil lies in the subversion of any existing form of unity:

> 'Мировое зло прячется в искусственно созданных противопоставлениях. Экономических, культурных, национальных. Принцип 'разделяй и властвуй' действует безотказно. Он незаменим не только относительно людей, но и относительно времени. Даже время мы разделили на прошлое и будущее! Настоящего как бы не существует, и это позволяет...дьяволу придумывать и внедрять любые любые теории, методы...Например разрушение последовательности. Оно проходит всегда безнаказанно, 'потому что результаты сказываются намного поэже....С разрушением последовалетьности исчезает ритм, а с ним исчезает и красота.'46

Belov's demon has struck in all quarters: in the environment, in society and in the human mind. He has infected humanity with a desire to create an artificial, model universe.⁴⁷ He has made of Moscow a city cut off from the unifying qualities of nature, existing by its own laws ('po svoim zakonam') and untouched by nature's kindness or, indeed, her wrath. In surrounding areas nature's fury appears to be growing to apocalyptic proportions.⁴⁸ One of Medvedev's workers is reported seriously wounded in the Ivanovskaia <u>oblast'</u> during a cataclysmically violent hurricane (<u>smerch</u>):

'У них на глазах груженую машину какая-то сила легонечко подняла с дороги. Метров эдак на пять вверх, скрутила ее, как белье при выжимке скручивают, и обронила...Шофер говорит, что видел, как в воздухе летели голые трупы. Людей будто бы рвало на части. Дома, крыши, машины летели вместе с покойниками.'49

The integration between man and the natural environment, and between man and man - the Slavophile ideal of tsel'nost' (wholeness or integrity) - has been lost and the world, with the elements, is all out of joint. But if the 'demonic' divides and subverts the integrity of the collective, then 'good' and the Godhead must be associated with a coherent society and the emblem. Belov implies totemic what has been more explicitly stated in works to be discussed in the next chapter: that the Deity should be envisaged as a representation of the unity and energy of the integrated national collective.

Notes to Chapter 9

1. Tendriakov, 'Nravstvennost' i religiia', <u>Nauka i</u> <u>religiia</u>, No. 6 (1987) p. 23.

2. Durkheim, 'Elementary forms of Religious Life', in Pickering ed., <u>Durkheim on Religion</u>, p. 111.

3. Ibid., pp. 125, 131.

4. Ibid., p. 139.

5. John B. Dunlop, <u>The Faces of Contemporary Russian</u> <u>Nationalism</u> (Princeton, 1983); John B. Dunlop, <u>The New</u> <u>Russian Nationalism</u> (New York, 1985); Alexander Yanov, <u>The</u> <u>Russian New Right: Right-Wing Ideologies in the</u> <u>Contemporary USSR</u> (Berkeley, 1978); Alexander Yanov, <u>The</u> <u>Russian Challenge and the Year 2000</u>, trans. Iden J. <u>Rosenthal (Oxford, 1987); Peter J. S. Duncan, <u>Russian</u> <u>Messianism: A Historical and Political Analysis</u>, PhD thesis, University of Glasgow, Institute of Soviet and East European Studies, September 1989.</u>

6. Dunlop, Contemporary Russian Nationalism, pp. 263-4.

7. Pospielovsky, 'Neo-Slavophilism', p. 329.

8. Vladimir Soloukhin, 'Pis'ma iz russkogo muzeia', <u>Molodaia gvardiia</u>, No. 9 (1966) pp. 236-78; No. 10 (1966) pp. 245-87.

9. Pospielovsky, 'Neo-Slavophilism', p. 330.

10. Michael Meerson-Aksenov and Boris Skragin, eds, <u>The</u> <u>Political, Social and Religious Thought of Russian</u> <u>'Samizdat'</u> (Belmont, Mass., 1977) p. 346, quoted in Dunlop, <u>Contemporary Russian Nationalism</u>, p. 39.

11. V. Chalmaev, 'Velikie iskaniia', <u>Molodaia gvardiia</u>, No. 3 (1968) p. 274.

12. Ibid., p. 287.

13. M. Lobanov, 'Prosveshchennoe meshchanstvo', <u>Molodaia</u> <u>gvardiia</u>, No. 4 (1968) p. 297.

14. Ibid., p. 304.

15. V. Chalmaev, 'Neizbezhnost'', <u>Molodaia gvardiia</u>, No. 9 (1968) p. 259. 16. In the seventeenth century Iurii Krizhanich called the demonic attraction of things foreign <u>chuzhebesie</u>. Pushkin, Chalmaev suggests, referred to it in his poem 'Besy': 'V pole bes nas vodit, vidno / Da kruzhit po storonam'. More recently, Anna Akhmatova also heard the call of the siren and expressed it in the poem :'Mne golos byl...'. Ibid., pp. 263, 288-9.

17. Ibid., pp. 267-8.

18. Ibid., p. 268.

19. Ibid., pp. 268-9.

20. Ibid., p. 270.

21. A. Solzhenitsyn, <u>Bodalsia telenok s dubom: Ocherki</u> <u>literaturnoi zhizni</u> (Paris, 1975) p. 269; Dunlop, <u>Contemporary Russian Nationalism</u>, p. 39.

22. Chalmaev, 'Neizbezhnost'', p. 262.

23. Ibid., pp. 267-8.

24. Roger Caillois, <u>Man and the Sacred</u>, trans. Meyer Barash (Illinois, 1959) pp. 165-6.

25. A. Demen'tev, 'O traditsiakh i narodnosti. (Literaturnye zametki)', <u>Novyi mir</u>, No. 4 (1969) pp. 215-35; 'Protiv chego vystupaet "Novyi mir"?', <u>Ogonek</u>, No. 30 (1969) p. 29.

26. Yanov, <u>Russian New Right</u>, p. 51.

27. R. A. Medvedev, <u>All Stalin's Men</u>, trans. H. Shukman (Oxford, 1983) p. 80.

28. Vladimir Osipov, the editor of <u>Veche</u>, was arrested in 1974 and sentenced to eight years in a labour camp. Peter J. Duncan, 'Russian Nationalism in the USSR: From Brezhnev to Gorbachev', paper presented to the III World Congress of Soviet and East European Studies, Washington DC, 30 October - 4 November 1985, p. 14.

29. See Solzhenitsyn, Bodalsia telenok, pp. 266-78.

30. Rasputin, 'Byt' samim soboi', p. 144; Irena Maryniak, 'Valentin Rasputin, die neue Rechte und das Religiöse', <u>Osteuropa</u>, No. 3 (1990) p. 213; Dunlop, <u>Contemporary</u> <u>Russian Nationalism</u>, p. 121; Anthony Austin, 'Soviet writer, beaten year ago, still can't work', <u>The New York</u> <u>Times</u>, 3 May 1981. 31. See Sergei Yur'enen's Radio Liberty reports: RL 190/84 (14 May 1984); 299/84 (7 August 1984); 7/85 (9 December 1984); 59/85 (22 February 1985); 84/85 (18 March 1985); 106/85 (4 April 1985); RS 188/84 (4 September 1984). Reference to these is made in Duncan, 'Russian Nationalism', pp. 31, 44 (note 121).

32. John and Carol Garrard, <u>Inside the Soviet Writers'</u> <u>Union</u> (New York-London, 1990) pp. 213, 216-17.

33. Irena Jeziorska, 'Report on Trip to Moscow (September-November 1987), unpublished paper delivered at Conference for Graduate Students, St Antony's College, Oxford, February 1988, p. 16; Vasilii Belov, 'Vse vperedi', <u>Nash</u> <u>sovremennik</u>, No. 7 (1986) pp. 29-106; No. 8 (1986) pp. 59-110. Subsequent references are to: Belov, <u>Vse vperedi</u> (Moscow, 1987).

34. Iu. Bondarev, 'Igra', <u>Novyi mir</u>, No. 1 (1985) pp. 6-73; No. 2 (1985) pp. 80-155.

35. Sergei Alekseev, 'Slovo', <u>Nash sovremennik</u>, No. 2 (1985) pp. 11-104; No. 3 (1985) pp. 10-96; No. 4 (1985) pp. 27-115.

36. Bethea, Shape of Apocalypse, pp. 18-19.

37. Chalmaev, 'Neizbezhnost'', p. 263.

38. Mary Seton-Watson, 'Religious Themes in Recent Soviet Literature', <u>Religion in Communist Lands</u>, vol. 16, No. 2 (1988) pp. 117-25.

39. Walter Kolarz, <u>Religion in the Soviet Union</u> (London, 1961) p. 129; M. N. Pokrovsky, <u>Brief History of Russia</u>, trans. D. S. Mirsky, 2 vols, vol. 1 (London, 1933) pp. 95-6.

40. <u>Zhitie Protopopa Avvakuma im samim napisannoe i drugie</u> <u>ego sochineniia</u> (Moscow, 1935). See Kolarz, <u>Religion</u>, p. 130.

41. Bondarev, 'Igra', Novyi mir, No. 1 (1985) p. 57.

42. Ibid., p. 72.

43. Garrard, Soviet Writers' Union, p. 193.

44. Alekseev, 'Slovo', <u>Nash sovremennik</u>, No. 2 (1985) pp. 61-2.

45. Ibid., <u>Nash sovremennik</u>, No. 3 (1985) p. 33.

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46. Belov, <u>Vse vperedi</u>, p. 190.

- 47. Ibid., p. 222.
- 48. Ibid., p. 103.
- 49. Ibid., p. 187.

(i)

In the years following the widespread but unsuccessful revolutionary action of 1905-7, some of Russia's leading socialist intellectuals toyed with the notion of promoting their ideology in the form of religious faith. By this, they hoped to encourage an atmosphere of shared purpose, excitement, union and selfdenial in an increasingly uncertain and divided revolutionary movement. The God-building theory (bogostroitel'stvo), as it was known, received only limited support among Russian social democrats, and was stifled within less than ten years largely as a result of Lenin's personal intervention. But between the early 1970s and the mid-1980s a new kind of God-building found its way into novels by three well-established writers associated with the rural prose school: Petr Proskurin, Chingiz Aitmatov and Vladimir Tendriakov. Within the context of familiar themes such as the protection of the environment, cultural tradition and family ties, some examples of their work also emphasise specially the need to protect and preserve as Supreme Absolute the principle of the strong, undivided social collective.

The tendency to give the group or its projections

sacred status, Durkheim remarks, was historically most in evidence during the early years of the French revolution:

> At that particular time, under the influence of general enthusiasm, things that were purely secular in nature were transformed into sacred things by public opinion, for example, the Motherland, Liberty, Reason. A religion was established which had its dogmas, its symbols, its altars, and its holy days...Even though the experiment short-lived was it retains sociological interest. The fact is that in one specific case, we saw society and its essential become the object of genuine ideas cult, directly without kind and of any transfiguration.1

Elsewhere, Durkheim called this mood a 'general effervescence characteristic of revolutionary or creative periods'.²

It is a curious parallel that some Russian revolutionaries appear to have anticipated, and sought to exploit, the 'religious' quality of revolutionary psychology which Durkheim identifies. The idea that religion could propagate the cohesion and dynamism necessary for a successful revolutionary coup was given its fullest airing in early twentieth century Russia by Anatolii Lunacharskii and Maksim Gor'kii.

Lunacharskii presents his God-building theory most extensively in <u>Religiia i sotsializm</u>, where he argues that religion has the capacity to stimulate hidden resources of energy and 'enthusiasm', and can tap the creative powers of the social group. He points out that socialism and religion at their best share a desire for justice and

equality, and implies that the socialist idea might be best propagated among the Russian people as a religion because their cultural tradition was for so long dominated by Orthodox Christianity.³

Gor'kii's novel Ispoved' (The Confession, 1907) is a considerably more popularised promotional venture which sets out to thrill and convert.4 It is narrated in drawn out, neo-liturgical prose as the personal record of a man whose search for a place in the world is rewarded by the achievement of a mystical fusion between his individual persona and the greater collective - the mass of the people. In later years, Gor'kii denounced the novel as a literary aberration and blamed his error on reactionary influences active at this difficult time for the revolutionary movement. This was due partly, no doubt, to Lenin's unequivocal reaction to any kind of religious or neo-religious thinking. A letter to Gor'kii written in November 1913, shows that he viewed God-building as an extension of a tendency among many Russian intellectuals of the time towards idealism, mysticism and God-seeking (bogoiskatel'stvo). God-building differed no more from God-seeking than 'a yellow devil from a blue one', Lenin remarked in the letter. Every religious idea, every bit of 'flirtation with dear little god', was utterly vile.⁵

The difference between the essentially idealistic and individualistic God-seekers and the God-builders, who were

materialists and collectivists, was something which Lenin chose to ignore. In fact Gor'kii had made every effort to emphasise the distinction in <u>Ispoved'</u>. The novel describes the spiritual progress of a God-seeker who is converted into a God-builder. The hero, Matvei, is an individualist who finds fulfilment only in the union of his energies with those of the revolutionary group.

Matvei learns as a child to pay lip-service to Christian dogma. Much of his childhood and youth are devoted to a surface piety which gives him support in moments of self doubt, but is readily twisted to justify egoism and corruption when survival is at stake. It takes the death of his young wife and son to show Matvei that superficial religiosity is of no help in facing life's deepest dilemmas. He retreats into the monastic life, but finds in it only iniquity and obsession. Thereupon he takes off into the world alone as a God-seeker, a pilgrim, to visit holy places.

That too offers limited enlightenment. Matvei's fellow God-seekers do little to recommend a life of religious devotion. They live in a state of fear; they make gods in their own image which fail them; and they are manipulated by self-styled prophets who are actually representatives of the ruling class. Matvei realises that the world's God-seekers are a group that is oppressed and divided, living in a 'chaos of estrangement' (khaos

<u>razobshcheniia</u>). Because of their alienation from one another they are without strength and have lost the Deity they so fervently seek.⁶ He senses also that the mass of the people nevertheless represents a concentration. of suppressed energy, and a potential threat to the powers that be.

As if to confirm the truth of these perceptions, Matvei meets a wanderer, named Iegudiil, who teaches him the tenets of the God-building philosophy. Faith is a powerful creative force, legudiil explains. It is the byproduct of man's unused potential, his vast stores of excess energy: 'izbytok v cheloveke zhiznennoi sily'.7 Faith, or the energy behind it, stimulates action. When external factors intervene to frustrate this, man endures spiritual turmoil. He has sought to resolve this, in history, by creating images of worship to help define his identity: little gods which reflect his deepest aspirations and fears. But the only 'real' God is in, and of, man himself. He is born of human creative potential, stunted and subordinated to the forces of social inequality and religious terror. He is in the working peoples of the world, Iegudiil says. They are the Godbuilders, waiting to unite in a single force and create the Deity:

> Вот просыпается воля народа, соединяется великое, насильно разобщенное, уже многие ищут возможности, как слить все силы земные в единую, из нее же образуется, светел и прекрасен,

всеобъемлющий бог земли!8

Iegudiil offers a historical outline of ways in which the people discovered the God-building vocation. They began by identifying their shared achievement with leaders and heroes who best personified their creative powers. Later, however, the people understood that spiritual elevation was intended to be not the privilege of some, but the right of all. At that point in history, Christ appeared. He fully embodied the collective will and energy of the people. He was a Deity for all men made by them, in recognition of the ideal of social equality.⁹

After exposure to Iegudiil's teaching, Matvei goes on his way to be apprenticed in living the new faith. His first task is to learn how to work with others and to abandon his individuality. This will lead him to a state of primal bliss with unlimited resources for growth, and to certain knowledge of immortality – because 'the people' never dies. In a climactic scene, Matvei witnesses the miraculous cure of a paralysed girl whose health and strength are restored by collective will and faith during a religious procession.¹⁰ At this point Matvei is fully converted and mystically unified with a glorious surge of human energy capable of regenerating the world:

> Во тьме я обнял всю землю любовью мою, встал на вершину пережитого мной и увидел мир подобным огненному потоку живых сил, бурно текущих к слиянию во единую силу, - цель ее недоступна мне.

> Но я радостно понял, что недоступность цели есть источник бесконечного роста духа моего

и великих красот мирских, а в бесконечности этой - бесчисленность восторгов для живой души человеческой.¹¹

This is a particularly stirring evocation of the mood of the early God-builders, and of the sense of an imminent transformation of the human spirit peculiar to Gor'kii's interpretation of the God-building ethos. It is also a quality conspicuously lacking in the writing of the more contemporary 'God-builders' to be discussed here. They demonstrate little of the fervour and prophetic quality which gives <u>Ispoved'</u> its momentum. None-the-less, examples of work by Proskurin, Aitmatov and Tendriakov point to a series of ideas which parallel Gor'kii's to an astonishing degree:

1. The notion of faith, including religious faith, as a powerful creative force.

2. The idea that collective energy can and should be tapped and fused.

3. Collective energy selectively elevates individuals who most fully embody it, and charges them with a historical function. Christ is frequently cited as an example.

4. The conviction that a society formed of little selves unintegrated into the collective energy-flow cannot survive for long.

5. A form of nature mysticism bracketing historical determinism with the idea that a universal force permeates all things, including man, and drives them

towards unification.

There is nothing to suggest, of course, that any of these three eminently 'Soviet' writers ever looked to provoke a revolution, as Gor'kii had. 'New God-building' was a system of thought designed to encourage social regeneration, and moral rearmament. It arose probably in reaction to the loss of community spirit wrought by years of economic and cultural stagnation under Brezhnev.

To digress briefly: during a visit to Moscow in 1987, I had the opportunity to speak with Valentin Svininnikov, then deputy chief editor of <u>Nash sovremennik</u> (a journal increasingly identified with views which are nationalistic and ideologically conservative). In respose to a question about the journal's attitude to religion, Svininnikov said that it was one of respect and understanding.

> 'The church (<u>khram</u>) is a material witness to the culture of the people. We have no wish to offend religious believers and we support faith: a faith in moral principles and in man. What is God after all but the ideal man? I am sure that there will be increased understanding towards religion. Life without faith is a terrible thing.'¹²

(ii)

Proskurin's novels <u>Sud'ba</u> (<u>Fate</u>, 1972) and <u>Imia tvoe</u> (<u>Thy Name</u>, 1977) form the first two parts of an epic trilogy with a patriotic flavour, and seem to reflect views on religion similar to those expressed by Svininnikov.¹³ The following lines, for instance, reveal the innermost thoughts of one of Proskurin's most positive characters, a successful Party official from <u>Imia type</u>:

> Что есть бог и что есть смерть?...Никакого бога нет и быть не может, но он нужен мне, и, значит, он есть для меня. Любому человеку, чтобы жить, нужно что-то устойчивое, вечное...что не имело бы конца, и это чувство, это желание быть всегда и есть бог.¹⁴

Proskurin offers a solution to the problem of religious impulse by proposing a mystical union not, like Gor'kii, with the working peoples of the world, but with the Russian people. There, he suggests, the individual may find fulfilment by participating in his nation's historical destiny. And that must be to evolve into a people fully conscious of its identity and to lead the world, or at any rate Europe, along the path of social progress.¹⁵

Sud'ba and Imia tvoe span thirty years of Soviet history beginning in 1929. The characters range from elderly peasants living in the northern provinces, collective farm workers, Party officials, atomic physicists and astronauts, to Stalin himself. Together they experience war, its aftermath, Stalin's death and the beginning of the nuclear age, the better <u>together</u> to understand life's purpose: that the self must be fused with the mass. Individual life presents two main obstacles to happiness, a character in <u>Imia tvoe</u> remarks: the struggle against the self and fear of annihilation. But

once the self has been abandoned and a consciousness of the people as the objective Absolute achieved, immortality is within everyone's grasp. With that awareness, Proskurin shows, the individual comes to personify the energies of the collective.

The development of the central character in <u>Sud'ba</u>, Zakhar Deriugin, is neatly illustrative. Zakhar begins as a 'spontaneous' figure, in Clark's use of the word, with considerable potential but not enough self-restraint. He is married, with prospects ahead, but falls in love and abandons his family and career. His new life is broken up by war, however; and after the harrowing experience it brings Zakhar returns to his home village transfigured into an apparently invincible personification of the Russian nation. He is drawn, as Viktor Chalmaev has written in a flattering monograph on Proskurin, rather like a hagiographic ideal.¹⁶

Zakhar's progression to this state takes him through a mystical revelation in the Lenin mausoleum, a growing realisation of his bond with the Russian soil, and, above all, war. This releases in him (and in the nation as a whole) what one character calls: 'neprikosnovennyi zapas moral'nykh, fizicheskikh, biologicheskikh sil'.¹⁷ It is a hidden excess energy similar, perhaps, to Gor'kii's 'izbytok v cheloveke zhiznennoi sily'. And it is also identified as the source of creative action stimulating

that most powerful of forces - faith.18

Having overstepped the barrier of his lesser self, and discovered his potential as a particle of the nation's moral spirit, Zakhar becomes like a saint, a Father of the Church or <u>starets</u>. He is serene and wise, people flock to him for guidance, and no evil can touch him. He stands back watching his enemies fall by the wayside. One is crushed by a pile of wooden logs, another goes mad, a third commits suicide; all because they challenged his moral authority.

Zakhar's enemies are figures who have refused to recognise the Russian nation as totem. They have defied God. One, Anisimov, is a Party man with liberal sympathies: the viper in the bosom of the state.

Anisimov is an opportunist who denies the principle of social equality. He believes that all of nature thrives on conflict, and that in society everyone should look after his own interests without intervention. His challenge is directed not only at the Russian people but at the state itself, and its personification, Stalin.

After Stalin's death and funeral, Anisimov is lost in a blizzard in central Moscow and finds himself face to face with Stalin's statue. It is a scene reminiscent of Evgenii's meeting with the Bronze Horseman at the end of Pushkin's poem <u>Mednyi vsadnik</u>. The statue seems alive and watching. Anismov longs to break it up but his hands are

paralysed. A moment later he is released, but his challenge to the supreme law carries another dreadful punishment:

Его тотчас отбросило назад, смяло, ослепило...он был смят, растоптан, распят, отторгнут от всех основ, отброшен навсегда от вечно живой воды, и самое страшное было в том, что он по-прежнему не понимал и не принимал ее законов.¹⁹

Anisimov has committed a crime against life itself and, like Lucifer, has been cast out of the sight of the Godhead.

In Proskurin's novels, Stalin's individual moral persona is not to the point. He is the man at the helm of the times, a manifestation of social and historical processes embodying the collective drive to cohesion. His historical role is to induce a sense of the nation as something existentially real. Stalin's funeral is depicted as a time of national self-realisation, when the presence of the mass of the people gathered in proximity around his coffin gives characters a direct experience of collective energy and full union with it.²⁰

Proskurin depicts 'collective spirit' in a manner very similar to Gor'kii, but his purpose is somewhat different. Gor'kii thought to build God in order to consolidate revolutionary energy and overturn the social and political <u>status quo</u>. Proskurin aims to weld national forces in the name of progress, and to enhance the Soviet Russian state's role as defender of historical justice in

the face of the Western nuclear threat.21

As a disciple of the edinyi potok school of history, Proskurin sees Russia's pre-revolutionary past as the path leading to revolution and socialism.²² Both novels suggest that it was the Orthodox Church which historically contained the very pulse of Russian identity, its culture, its aspirations, and morally contributed to its defence when its existence and honour were at stake. Imia tvoe includes a flashback to the Russian victory over the Tatars at the battle of Kulikovo Field, and shows Sergii Radonezhskii giving his blessing for military action to the Russian land.²³ liberate The Church, Proskurin suggests, was the one consistent representative of the Russian nation throughout its history.

Despite this important variation in historical interpretation, there are a number of points where Proskurin's ideas mirror Gorkii's exactly. They share a similar view of the creative powers of faith and the collective spirit. Both see 'great' individuals as those who most fully personify the energies of the collective, and hold that individuals unintegrated into the social compound can neither survive nor create a society worth having. They have a similar sense of the link between social or historical forces and the forces of nature. Together, they suggest that what is successfully achieved socially or historically is determined by absolute natural law.²⁴ Any attempt to defy it is doomed, as Hitler's policies were, Proskurin writes in Sud'ba:

Замыслы Гитлера и воплощавшие их силы были безнравственными, глубоко противными человеческой природе. Социальная эволюция не прощает исторической слепоты не только отдельным деятелям, но и введенным ими в заблуждение целым народам и государствам.²⁵

Proskurin's understanding of events is straightforwardly historicist.²⁶ He identifies the laws of historical development with a social and evolutionary order expressed through the Russian people, in their history and vocation as God-builders.

(iii)

Aitmatov's early work, discussed in Chapter 6, introduces mythological models drawn from Central Asian tradition, to which he retains a close affinity. However, his novel 'Plakha' brought new. Christian or neo-Christian elements into his writing, which stimulated controversy in the Soviet press for months afterwards. The work features an idealistic young seminarian who is martyred for his beliefs, and Christ in conversation with Pontius Pilate. In consequence, Aitmatov was criticised both by atheists, who accused him of 'flirting with dear little god', and supporters of the Orthodox tradition who felt that as a non-Russian and a non-Christian he was fumbling with a subject he had little business and no

authority to touch.27

One imporant aspect of the novel was overlooked, however, and that was Aitmatov's suggestion that a rediscovery of religious culture and faith might be more than psychologically, morally or aesthetically enriching. It could, he proposed, serve as a co-ordinating force in Soviet society today. Aitmatov was flirting less with God than with God-building; but there has been, to my knowledge, only one reference to this in Soviet criticism. It came in an article by Evgenii Pazukhin in the <u>samizdat</u> journal <u>Biulleten' khristianskoi obshchestvennosti</u>.²⁸ No wider public discussion of Aitmatov as God-builder has appeared in the official Soviet press.

Aitmatov's own remarks on 'Plakha' remain ambiguous. In an interview with <u>Literaturnaia gazeta</u> he indicated that Christianity's value was predominantly moral:

> В данном случае я попытался совершить путь через религию - к человеку. He к fory, а к человеку!... Разумеется, случайно. Христианская не религия дает очень сильный посыл фигурой Христа....Иисус Христос дает мне повод сказать современному человеку нечто сокровенное. Поэтому я, атеист, столкнулся с ним на своем творческом пути.29

The structure of the novel is somewhat convoluted. It falls into three sections. The first and last focus on contemporary man's abuse of the natural environment, represented by a pair of wolves who later bring retribution on their human tormentors. The central section

tells the story of a seminarian, Avdii Kallistratov, who leaves the Church in order to convert those who have lost their way in life to his own idiosyncratic religious views. He becomes involved with drug smugglers and hoodlums who leave him crucified on a tree when he will not abandon attempts to make them see the error of their ways.

The thematic connection between the two plots seems to lie in parallel depictions of the abuse of collective unity. In the first part, this is shown in terms of man's attack on the natural collective: the environment. In Kallistratov's story it is brought out in terms of one man's battle against moral corruption and the abuse of the social collective - issues much in vogue under <u>perestroika</u>.

Avdii's religious ideas echo those of Gor'kii and Lunacharskii. He wants to resurrect the idea of God in modern society to improve the quality of living. He seeks to develop a notion of the Deity which will fall in line with man's historical development. God is a feature of human consciousness, he argues. He is a reflection of the best elements in the human personality as it aspires to affirm its liberation from social repression. Once this Deity has been acknowledged it will come to be reflected in human action and have a revolutionary effect on the world. Through it, social evils will be overcome.

The novel includes a scene between Christ and Pontius Pilate, evidently derived from Bulgakov's <u>Master i</u> <u>Margarita</u>.³⁰ Aitmatov's Christ puts forward the idea that as a result of his suffering, human consciousness will evolve towards its fullest potential which is the Godhead. God and man are to be identified, he says. All men, collectively, are the image of God on earth, embracing the sum of human actions and aspirations. He calls this Godhead the 'God of Tomorrow' (<u>Bog Zavtra</u>), and declares that his nature - whether he is to be fair or foul depends exclusively on man.³¹

The notion that the Deity is a reflection of the quality of individual and collective consciousness bears comparison with Gor'kii's <u>Ispoved'</u>. The 'God of Tomorrow', a spirit of collective hope, aspiration and achievement, is much in line with Iegudiil's vision (see above pp. 288-9). The force of physical energy generated by the collective, which Gor'kii emphasises, also comes over in 'Plakha'. There is a rousing scene in which Avdii transcends himself as he listens to an Orthodox church choir. But after the initial exhilaration of experiencing this harmoniously controlled group energy, he declares that religion is a 'vast delusion' (grandioznoe zabluzhdenie):

О, как велико стремление человека быть услышанным наверху!...Как трудно рождалось в человеке человеческое...³²

Avdii does not believe in a personal God. Like the early

Lunacharskii, he seeks to give a deity to the world to assist its historical development.³³

In February 1987, the Communist Party newspaper Pravda published an interview with Aitmatov under the heading 'Veriu v cheloveka' ('I Believe in Man'). In it, Aitmatov emphasised that Soviet society's greatest potential investment was its moral capital. It is in this area, he suggested, that work must be done to overcome social and economic problems. He spoke of the importance of conscience, emphasising that a reawakening of moral instinct and social responsibility was the means by which to consolidate the collective. First it is necessary to build the individual, he said, by applying new, more sophisticated methods of ideological and moral education.34

After 1986, as a writer and a public figure, Aitmatov became a spokesman for the new social conscience and the new moral rearmament which formed an integral part of the early promotion of <u>perestroika</u>. As he confirmed in an interview with <u>Soviet Weekly</u> in February 1988:

> 'It was right to put morals in the foreground in our perestroika drive. I think we realised at last that ethics are the most precious treasures a nation has.'³⁵

And to promote ethics effectively you may need to create God. That was perhaps <u>Plakha</u>'s most controversial message.

(iv)

The final work to be examined is Tendriakov's novel 'Pokushenie na mirazhi'. It was originally submitted for publication in 1982, but appeared in <u>Novyi mir</u> only posthumously. In the light of the religious dilemmas explored in Tendriakov's earlier writing (see Chapter 8), it would be inappropriate and misleading to brand him a fully fledged God-builder. He does not, like Proskurin, aim to inspire the national spirit; nor does he, like Aitmatov, suggest that religious enthusiasm could be a valuable social or economic asset. Collective or mystical 'effervescence' was not something Tendriakov admired, despite his interest in the phenomenon of religion.³⁶

None-the-less, the original God-building theory tackled more than just the possibility of artificially stimulating mass religious fervour. It also sought to resolve problems such as lack of faith, social cohesion, or the need to find a pattern in social history. It promoted a moral mood in which the self was placed in a position secondary to the collective, and in which the collective good became the supreme value.

'Pokushenie na mirazhi' raises all these issues, and the apparent motivation behind the writing of the work seems like Gor'kii's: to offer an answer to the breakdown of community spirit.³⁷ In his novel, Tendriakov - a self-

declared rationalist and historicist - tries to establish what made Christianity such an important historical influence; how societies have tried to maintain their integrity over the centuries; why they have failed and what is to be done. He presents Christianity as an historical example of the instinctive urge to social integration and unification - a thought central to Godbuilding - while suggesting that Christianity's failings are symptomatic of the still unresolved problem of how a society may be successfully and humanely run. 'Pokushenie na mirazhi' is an ambivalent piece of writing: it puts forward questions and ideas, but declines to offer final solutions.

The plot is far-fetched and a little awkward. It describes an experiment carried out by a senior physicist with an interest in history and a powerful computer at his disposal. The physicist, Grigorii Petrovich Grebin, sets himself the task of identifying the degree to which Christ's existence was significant for the subsequent development of Christianity. With the help of a group of enthusiastic young colleagues he feeds into the computer all available historical data from the Greeks up to, and a little beyond, the birth of Christianity - with one bluff: a piece of false information concerning Christ's death as young prophet, before his name became widely known. This, Grebin believes, will force the computer to select or create a new leader for the social processes of which Christ became the historical representative. Grebin speculates that St Paul may be a likely candidate, but because of a small oversight in programming the impossible happens and the computer resurrects Christ so that the long-term history of Christianity remains unaffected. St Paul retains his secondary role as a moral teacher and theoretician, with an appeal for the educated and those in authority. Christ remains God for the mass of the downtrodden to whom he preached a poetic kind of liberation, and who rebuilt or resurrected him after his death to reflect the state of their developing revolutionary consciousness and the urge to unity.

Grebin's experiment raises vexed questions on historical determinism and evolutionary law. Tendriakov devotes a considerable amount of space to historicist arguments, on the basis of which Grebin and his assistants conclude that determinism - biological and historical - is a universal principle. There is no such thing as chance in history. Christ and St Paul were but a part of the historical model: like everyone else, they were formed by history. Their moral calibre was a reflection of their times:

> Христос и Павел, Калигула и Нерон...любой и каждый без исключения продукт времени.... Историю делают люди?..Но ведь история сама кует людей, как гигантов, так и пигмеев....Мы не созидатели всеохватного человеческого процесса, лишь участники его.³⁸

Collective consciousness shapes individuals in its own image and determines their moral behaviour. Collective aggression in times of war can make a peaceful man a killer, and because this is so, Grebin thinks, it should be possible to create a social mechanism for building good people.³⁹ Grebin seeks a universally applicable, absolute formula by which the collective may be morally redirected; but he finds that he has to take account of facts about economic law and human character which seem to override all attempts to legislate for a social utopia. Give people everything they need and some will refuse to work, production will decline and you will end up with a society unable to feed itself and subjected to the tyranny of its own ideology of universal happiness. Legislate to increase productivity, and supply will stimulate demand; but the economic growth which should bring with it a better life will instead create stress and complications at the human level.40

As if by way of illustration, Grebin has to face the fact that the collective consciousness of the contemporary Soviet Union and its economic climate have moulded his son, Seva, into a man with little moral fibre and no respect for other people. Seva's philosophy smacks of classical liberalism, or at least of the way it is still sometimes understood in Central and Eastern Europe: as a philosophy of rationally justified selfishness. He

believes that human character is by nature egotistical and ruled by the instinct of self-preservation. But he acknowledges that in the social context this must imply adaptability. 'Zhivi i zhit' davai drugim' is his motto; but its practical effects on the lives of Seva's relatives and friends fail to recommend it.

Grebin's thinking and experience lead him to a number of negative conclusions. The utopian principle is impracticable; rigid economic planning does not work; and the Marxist-Leninist ethos has failed because the young are self-centred and confused. The only positive principle Grebin sees which might help to restore the 'loss of community spirit' (rezobshchennost') in modern society is philanthropy or disinterested kindness, the principle which Christ taught and which - according to Grebin history has proved as the firmest and most permanent value.41

The other moral tenets which Tendriakov puts forward in the novel as prescriptions for social improvement are, appropriately, faith and hope: faith to sustain activity, hope in a brighter future for the next generation.⁴² Faith, hope and charity - the principles taught by St Paul - form the foundation of Tendriakov's proposal for a pattern of moral values without reference to an objective Deity. Their purpose is to make a divided society whole again. It is God-building directed not at the masses who

need emotional stimulation, but at the intelligentsia who require a more rational answer.

At a time when religious inferences could frequently be drawn from Soviet prose fiction, the three examples above illustrate that religious themes were also introduced to improve the moral climate and create a more efficient, stable and controlled collective.

If society and the sacred are identified in the way Durkheim suggests, then the group is protected and any attempt to question its authority is the infringement of a taboo. It must result in ostracism and, frequently, in death.⁴³ Within a totemic model, the separation between sacred and profane is complete. They are, as W. S. F. Pickering observes in his study of Durkheim, 'essentially worlds that are contained in watertight compartments'.⁴⁴ Sacred things, Durkheim writes, 'are those which are protected and isolated by prohibitions: profane things are those to which the prohibitions apply'.⁴⁵

The suggestion by the 'new God-builders' that society remained a sacred thing or the only absolute criterion for morality, reaffirmed the security and invulnerability of the state collective in relation to individual ambitions, needs or feelings. In that sense, the writers concerned were certainly appropriating religious tradition for ideological purposes. It could be argued more charitably, however, that this reflected a

response to the spectre of chaos looming over a society, and an empire, with nothing but a shared past and the cracked shell of a common ideology to shield it from disintegration.

Notes to Chapter 10

1. Durkheim, 'Elementary Forms of Religious Life' in Pickering, ed., <u>Durkheim on Religion</u>, p. 132.

2. Ibid., p. 129.

3. A. Lunacharskii, <u>Religiia i sotsialism</u>, 2 vols (St Petersburg, 1908; 1911). See Read, <u>Religion, Revolution</u> <u>and the Russian Intelligentsia</u>, pp. 78-85.

4. M. Gor'kii, <u>Ispoved'</u> (St Petersburg, 1907). Subsequent references are to: M. Gor'kii, 'Ispoved'', <u>Polnoe sobranie</u> <u>sochinenii v 25-ti tomakh</u>, vol. 9 (Moscow, 1971).

5. Lenin's remark reads:

Говорить о богоискательстве не для того, чтобы высказаться против <u>всяких</u> чертей и богов...а для предпочтения синего черта желтому, это во сто раз хуже, чем не говорить совсем....

Всякая религиозная идея, всякая идея о всяком боженьке, всякое кокетничанье даже с боженькой есть невыразимейшая мерзость.

V. I. Lenin, 'A. M. Gor'komu', <u>Sochineniia v 45-ti tomakh</u>, 4th ed., vol. 35 (Moscow, 1950) p. 89. See Wolfe, <u>Bridge</u> and the Abyss, pp. 43-53.

- 6. Gor'kii, Ispoved', p. 322.
- 7. Ibid., p. 340.
- 8. Ibid., p. 342.
- 9. Ibid., pp. 347-8.
- 10. Ibid., pp. 385-9.
- 11. Ibid., p. 389.

12. Jeziorska, 'Report on Trip to Moscow', p. 16.

13. Petr Proskurin, 'Sud'ba', <u>Moskva</u>, No. 8 (1972) pp. 11-117; No. 9 (1972) pp. 12-145; No. 10 (1972) pp. 11-114; No. 11 (1972) pp. 12-126. Subsequent references are to: Proskurin, <u>Sud'ba</u>, <u>Sobranie sochinenii v 5-ti tomakh</u>, vol. 4 (Moscow, 1983). Petr Proskurin, 'Imia tvoe', <u>Moskva</u>, No. 2 (1977) pp. 3-109; No. 3 (1977) pp. 3-150; No. 4 (1977) pp. 15-148; No. 5 (1977) pp. 7-133. Subsequent references are to: Proskurin, <u>Imia tvoe</u>, <u>Sobranie</u> sochinenii v 5-ti tomakh, vol. 5 (Moscow, 1983). 14. Proskurin, <u>Imia tvoe</u>, p. 630.

15. Proskurin, Sud'ba, p. 559.

16. Viktor Chalmaev, <u>Sotvorenie sud'by</u> (Moscow, 1983) pp. 272-3.

17. Proskurin, Sud'ba, p. 376.

18. Gor'kii, <u>Ispoved'</u>, p. 340.

19. Proskurin, Imia tvoe, p. 636.

20. Ibid., p. 649.

21. Ibid., pp. 582-3.

22. The 'single stream' (<u>edinyi potok</u>) interpretation of Russian history does not regard the revolution as a leap into higher reality and tends to inflate the significance of pre-revolutionary figures and events. It is well illustrated by Chalmaev's articles 'Velikie iskaniia' and 'Neizbezhnost'' (see above, Chapter 9, pp. 264-70). For controversies surrounding these see Dunlop, <u>Contemporary Russian Nationalism</u>, pp. 219-27.

23. Proskurin, Imia tvoe, pp. 267-83.

 24. The artist Rostovtsev remarks:
'То, что может совершить человек за одну жизнь,
- грандиозно, непостижимо, но ведь это тоже входит в природу, значит, она-то и есть путь, она и есть цель.'

Proskurin, <u>Imia tvoe</u> p. 40. Gor'kii, too, sees Nature as the all embracing force that can and should rule men's lives. Gor'kii, <u>Ispoved'</u>, p. 389.

25. Proskurin, Sud'ba, p. 642.

26. According to Karl Popper's definition, 'historicism' is an approach which aims to discover the 'rhythms', 'patterns', 'laws' or 'trends' underlying the evolution of history. Popper, <u>The Poverty of Historicism</u>, p. 3.

'Koketnichaia bozhen'koi', Kryvelev, 27. Ι. S 30 July 1986; V. Lakshin, 'Po Komsomol'skaia pravda, pravde govoria', Izvestiia, 3-4 December 1986; Ε. 'Istochnik Evtushenko, nravstvennosti kultura'. Komsomol'skaia pravda, 10 December 1986; 'Ne-kruglyi stol "LG": Paradoksy romana ili paradoksy vospriiatiia", Literaturnaia gazeta, 15 October 1986.

28. Evgenii Pazukhin, 'Blagie namereniia', <u>Biulleten'</u> <u>khristianskoi obshchestvennosti</u>, No. 1-2 (1987) pp. 271-79. (Keston College Archive.)

29. Chingiz Aitmatov, 'Tsena - zhizn'', <u>Literaturnaia</u> <u>gazeta</u>, 13 August 1986.

30. Compare Aitmatov, 'Plakha', <u>Novyi mir</u>, No. 8 (1986) pp. 104-19, with the conversation between Pilate and Ieshua Ga Nostri in M. Bulgakov, <u>Master i Margarita</u>, (Frankfurt am Main, 1969) pp. 27-43.

31. Aitmatov, 'Plakha', Novyi mir, No. 8 (1986) p. 114.

32. Ibid., Novyi Mir, No. 6 (1986) p. 38.

33. O'Connor, Politics of Soviet Culture, p. 112.

34. Chingiz Aitmatov, 'Veriu v cheloveka: Nashi nravstvennye tsennosti', <u>Pravda</u>, 14 February 1987.

35. Chingiz Aitmatov, 'Learning to be Yourself' interview by Victoria Lavretskaya, <u>Soviet Weekly</u>, No. 2,399, 6 February 1988.

36. Tendriakov touches on religious subject matter in a number of works, including 'Chudotvornaia', 'Apostol'skaia komandirovka' and 'Zatmenie'. See above Chapter 8.

37. Tendriakov writes of <u>narushenie obshchnosti</u> and <u>razobshchennost</u>'. Tendriakov, 'Pokushenie', <u>Novyi mir</u>, No. 4 (1987) p. 61. Gor'kii uses the term <u>razobshchenie</u>. Gor'kii, <u>Ispoved'</u>, p. 322.

38. Tendriakov, 'Pokushenie', <u>Novyi mir</u>, No. 5 (1987) p. 121.

39. Ibid., p. 128.

40. Ibid., pp. 136-8.

41. Ibid., <u>Novyi mir</u>, No. 4 (1987) pp. 78-9; No. 5 (1987) pp. 162-3. The novel culminates in Grebin's realisation of the practical value which <u>dobrota</u> represents in society, through his observation of the moral instinct of his younger colleague, Misha.

42. Ibid., Novyi mir, No. 5 (1987) pp. 149, 164.

43. Caillois, Man and the Sacred, p. 21.

44. W. S. F. Pickering, <u>Durkheim's Sociology of Religion</u> (London, 1984) p. 148.

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45. Durkheim, 'Elementary Forms of Religious Life' in Pickering, ed., <u>Durkheim on Religion</u>, pp. 115, 117.

CONCLUSION

The year of the Millennium of Christianity in Rus', 1988. began with the publication in Novyi mir of Pasternak's Doktor Zhivago.¹ Within months, Varlaam Shalamov, Andrei Siniavskii, Joseph Brodsky and Aleksandr officially recognised Solzhenitsyn were as major contributors to the development of Soviet literature.² The Church was similarly enriched. The Millennium saw the return to the Russian Orthodox Church of the monastery of Optina Pustyn', which had once so decisively affected the work of Dostoevskii and Tolstoi, and of part of the Monastery of the Caves in Kiev.³

Speaking in London to commemorate the Millennium in July 1988, Archbishop Kirill of Smolensk and Viazma outlined the traditional and continuing penetration of religious ideas in Russian literature:

> The search of the sense and law of life, the aspiration to understand the eternal issues of...existence preoccupy the best artists of our prose-writers...and not These eminent time. ...professional philosophers, raise deep philosophical issues, and today we feel very sharply the urgent need to solve them.... Russian literature has been always fed from Christian roots, and...contemporary literature is fed from the same roots.4

Archbishop Kirill's remarks are important less as literary analysis than for the association they make between Russian literature and Russian Christianity. This was perhaps the first public statement from a member of

the Orthodox Church hierarchy drawing attention to the religious elements in contemporary as well as pre-Revolutionary Russian prose.

The lecture was not, and could not be, designed to take into account the many complexities of the subject. It ignored, for example, the questionable theology of Aitmatov's use of Christian themes, and the more general problem of the political purpose to which religious, and particularly Christian, elements in recent Soviet writing had been put. It made no mention of non-Christian religious elements in contemporary fiction, and treated Aitmatov and Rasputin as descendants of the Russian literary tradition, which they are only in part. It did not refer to non-Russian writers who have also introduced Christian imagery or ideas into their work: the Armenian Grant Matevosian, for instance; the Georgian, Otar Chiladze; or the Ukrainian, Oles' Honchar.⁵

Religious perspectives in Soviet prose fiction between the mid-1960s and 1988 reflected awareness of a millennial past, and gave evidence of the persistence of traditional Christian values in the Soviet consciousness. As writers set themselves to 'dig' into personal and collective memory, they found a form of Christianity imbibed from literature and philosophy rather than the Gospel scriptures.⁶ But above all they discovered the need to express a new kind of order that was less historical

than metaphysical and, perhaps, political. Consider a remark made by Ernest Gellner in his introduction to Evans-Pritchard's <u>History of Anthropological Thought</u>:

Two topics are very pervasive and conspicuous in modern thought in general, in the attempts of contemporary man to understand himself and his own situation: rationality and the state, our orderly thought and our orderly society. Rulebound thought and a rule-bound polity, the overcoming of anarchy in ideas and in institutions: are they connected?...As we see ourselves so closely identified with reason and with political order, present or latent, it is look at also natural to the savage for enlightenment about the state of ignorance which prevailed when both reason and order were lacking, and as the clue to the acquisition or loss of legitimacy.⁷

There are several points worth picking up here. One is the inherent human need to rationalise. Claude Lévi-Strauss writes:

> If we look at all the intellectual undertakings of mankind, as far as they have been recorded all over the world, the common denominator is always to introduce some kind of order.⁸

The religious urge is no different. Religion is a system of cosmic order: it gives shape to the world, to human experience and hopes. In so doing it may at times distort all three, as Rudolf Otto knew, for rationality itself is subject to psychological deviation. Vision can be twisted.⁹ But where there is no order at all, or where that order does not stand up to the test of experience, the mind is left with neither ballast nor substance.

The Soviet experiment of rationalising the world in terms of class, history and the future had shown itself -

by the 1960s - to be an unacknowledged fiasco. When circumstances and censorship allowed, order had to be reexamined, new systems considered, new visions put forward. The process of re-ordering, re-rationalisation, which prose of the 1960s, 1970s and 1980s illustrates, cannot be divorced from politics. Religious themes in the literature of the period are to a significant degree aspects of political statements. The system of order which each of the writers examined proposes, touches upon geographical environment and society. It pertains to the condition of the state. This is true of Rasputin's view of the natural world as a conscious, living being abused by technology; Granin's suggestion that art should be taken into account as an independent, active spiritual force; Tendriakov's statement that all dogma is destructive; and Proskurin's insistence that the national collective is the supreme value.

It can be no coincidence that so many of the figures discussed in this study have enjoyed high ranking public positions under the Gorbachev administration. In the late 1980s writers became prominent political figures, as indeed they had been, though not publicly, in the 1960s and 1970s. In 1986, Zalygin took up the post of editor at the influential literary journal, <u>Novyi mir</u>.¹⁰ Proskurin, in 1987, became chairman of the RSFSR Branch of the Soviet Cultural Foundation.¹¹ Rasputin was, in April 1990,

appointed to Mikhail Gorbachev's inner cabinet, the Presidential Council (<u>prezidentskii sovet</u>).¹² Aitmatov in 1990 took up the post of Ambassador to Luxemburg.¹³

It is also significant, returning to Gellner's remark, that in seeking to sketch out new systems of order, the writers of the period did so by looking away from the metropolis to the countryside and the 'simple' life, the unspoilt 'primitive' condition. Here they could more freely explore the experiences, cosmic systems and community relations of 'authentic' man. One might cite, for example, Viktor Astaf'ev's description in <u>Tsar'-ryba</u> (<u>King Fish</u>, 1976) of a night by the open fire in the <u>taiga</u>. The narrator, mimetically drawn as a man from the city, has intimations of a primal and liberating link with the natural world:

Так вот вольно было тебе, когда ты никакими еще воспоминаниями не нагрузил память, да и сам себя едва ли помнил, только чувствовал кожей мир вокруг, привыкал глазами к нему, прикреплялся к древу жизни коротеньким стерженьком того самого листа, каким ощутил себя сейчас вот, в редкую минуту душевного покоя...¹⁴

Despite the greater polyphony which rural prose contributed to the Soviet novel (see above, Chapter 1, p. 18), it also reflected yearning for a tranquil, harmonious, 'pastoral' existence. The significance of this, for the purposes of argument, is that idealisation of the 'primitive' in the style of Rousseau and his descendants makes rural writing the more suitable for

comparison with nineteenth century anthropological theory. Tylor's view of primitive man as an intelligent, rational, sensitive being who made sense of the world by personalising its various manifestations is close to Rasputin's, Belov's, Astaf'ev's or Aitmatov's.

Similarly, the sociological view of man as a being formed by the beliefs and identity of the group, developed by Durkheim, has been traced back to Fustel de Coulanges' mid-nineteenth century study of the effect of religious beliefs on the social structures of the ancient world (<u>The Ancient City</u>, 1864).¹⁵ Fustel's view of religion as a determinant <u>social</u> factor forms a crucial strain in the positivist, Western thought which infiltrated Russia in the late nineteenth century. Parallels between Durkheim's views and those of modern Soviet collectivists need not surprise us.

self-evident Ιt is that Tylor's theory and Durkheim's were conditioned by European culture and thought. Like many Western interpretations of non-Western cultures, they doubtless have little in common with the way economically undeveloped peoples really do understand the cosmos, as Wole Soyinka would be the first to point out.¹⁶ They are likely to be more illustrative of our own cultural prejudice than of the nature of early religious cult. That, however, is less to the point. The way Soviet writers depict their own rural peoples is likewise

coloured by a degree of culture, education and, above all, urban experience. They too are 'outside' the primitive environment. They are 'civilised' men watching 'primitive' man, conscious of looking to their roots.

There are also parallels between the Soviet writer examining his more primitive counterpart to discover himself and define his politics, and the twentieth century Latin American exploring his indigenous culture. The publication in Russian of Gabriel Garcia Marquez's <u>One Hundred Years of Solitude</u> (1967; Russian edition, 1970) may indeed have had an impact on Soviet writing of the decade.¹⁷ Marquez's introduction of folkloric 'magic' into a 'realistic' narrative came from an attitude to the supernatural ingrained in traditional Latin American culture. It is seen there as part and parcel of daily life. This may have encouraged the incorporation into literature of mythical or ritual elements from indigenous sources. In the prose of Rasputin or Aitmatov, the 'marvellous' also became the 'ordinary'.

That said, the political motivation for change in the Latin American novel remains considerably bolder. Marquez is a radical social reformer. Alejo Carpentier - regarded as the initiator of 'magical realism' - was a committed revolutionary. Beside them, Soviet writing seems timid and conservative. Rasputin does not, like Marquez, through his literary method 'challenge the rules by which

reality...(and) social existence is established and controlled'.¹⁸ Nor do the other writers discussed here. It is still possible to read their work as a variation on the existing historicist model.

The animist/totemist dichotomy may offer a formula by which to draw a distinction between what is old and what is new in Soviet fiction published during the two decades before <u>glasnost'</u>. The socialist realist novel was essentially totemic in creating images of figures expressing the revolutionary collective. Post-thaw prose challenged the totem by incorporating personalist, animist elements into its structure. But it also redefined the totem itself. God became less revolutionary than national (Bondarev, Alekseev, Belov, Proskurin). Alternatively, he became a representation of a less militant, if still collectivist, society (Aitmatov, Tendriakov).

In either case the change had bearing on the image of <u>homo sapiens</u> as a purely material or historical entity, on his role in nature and in the world community. But it also affected the novel as a <u>genre</u>. From being ideologically and stylistically homogeneous - 'monologic' in Bakhtin's language - it began to show signs of polyphonic range. This is true both of characterisation and of the introduction of philosophical and religious models

incompatible with Marxism-Leninism. The challenge was most boldly expressed in animist imagery, since the animist mode of thought has an inherently non-ideological and dialogic quality. It is multi-voiced in that it assumes from the start a dialogue between the self and at least one other (soul, spirit, God...). Totemism presupposes only a relationship between self and a determinant social mass to which the self is subsumed.

The reappearance of the dichotomy in officially published Soviet literature was an indicator of the return of dialogic or 'heteroglot' qualities in it.¹⁹ As David Lodge has remarked:

> You cannot begin to write novels without having read at least one, and probably hundreds; without defining yourself in relationships of apprenticeship, discipleship, rivalry, and antagonism with precursors and peers.²⁰

Once 'dialogue' is a feature of literature, it is impossible for new works to ignore it. And that being so, the ground was laid in the 1960s, 1970s and early 1980s for voices in Soviet literature which had once challenged the monologism of socialist realism and been ostracised for it, to return and be heard.

If 1988 was the year in which religion, Christianity in particular, became a feature of Soviet public life, it was also the year when in Soviet literature voices from the past and from the outside world came flooding home to

confirm that, thankfully, nothing conclusive or irreparable had yet taken place in the shape and history of literary discourse.

Notes to Conclusion

1. See p. Chapter 2, p. 88, note 121.

2. See 'Index/Index: USSR', <u>Index on Censorship</u>, vol. 17, No. 2 (1988) p. 41; vol. 17, No. 6 (1988) p. 41; vol 18, No. 9 (1989) p. 41; vol. 18, No. 10 (1989) p. 41; vol. 19, No. 6 (1990) p. 43.

3. Helen Bell and Jane Ellis, 'The Millennium Celebrations of 1988 in the USSR', <u>Religion in Communist Lands</u>, vol. 16, No. 4 (1988) pp. 296, 301.

4. Kirill of Smolensk, 'Russian Orthodox Life', p.7.

5. Grant Matevosian, 'My i nashi gory', in <u>Avgust</u> (Moscow, 1972); Otar Chiladze, <u>Shel po doroge chelovek</u>, Russian trans. E. Ananiashvili (Tbilisi, 1985); Oles' Hochar, <u>Sobor</u> (Kiev, 1968).

6. Bibles were very scarce in the Soviet Union in the 1960s, 1970s and early 1980s. See Walter Sawatsky, 'Bibles in Eastern Europe since 1945', <u>Religion in Communist</u> <u>Lands</u>, Supplementary Paper No. 3, 2 January 1975, pp. 12-15, 18; Keston College, 'Bibles in the Soviet Union', <u>Religion in Communist Lands</u>, vol. 17, No. 3 (1989) pp. 257-63.

7. Ernest Gellner, 'Introduction' in Evans-Pritchard, <u>Anthropological Thought</u>, p. xiv.

8. Claude Lévi-Strauss, <u>Myth and Meaning</u> (London-Henley, 1978) pp. 12-13.

9. Otto, <u>Idea of the Holy</u>, pp. 26-7. See also above, Chapter 1, p. 24.

10. Garrard, Soviet Writers' Union, p. 209.

11. Announced Radio Moscow 2, 19.30, 27 June 1987.

12. Gerald Mikkelson, 'Valentin Rasputin's Siberian Patriotism', paper delivered at IV World Congress of Soviet and East European Studies, Harrogate (1990) p. 8.

13. Riitta Pittman, 'Writers and Politics under Gorbachev', unpublished paper delivered at St Antony's College, Oxford, 18 February 1991.

14. Viktor Astaf'ev, 'Tsar'-ryba', <u>Sobranie sochinenii v</u> <u>4-kh tomakh</u>, vol. 4 (Moscow, 1981), p. 8.

15. Numa-Denis Fustel de Coulanges, <u>The Ancient City: A</u> <u>Study on the Religion, Laws and Institutions of Greece and</u> <u>Rome</u>, trans. Willard Small (London, 1916). See Evans-Pritchard, <u>Primitive Religion</u>, pp. 50-1.

16. Wole Soyinka, <u>Myth, Literature and the African World</u> (Cambridge, 1976; Canto edition, 1990) pp. 33-4.

17. A. Bocharov, <u>Chem zhiva literatura</u>, p. 18; Clark, <u>Soviet Novel</u>, 2nd ed. (1985) p. 267; E. Starikova 'Zhit' i pomnit'', <u>Novyi mir</u>, No. 11 (1977) p. 244.

18. William Rowe, 'Gabriel Garcia Marquez', in John King, ed., <u>Modern Latin American Fiction: A Survey</u> (London, 1987) pp. 192-3.

19. Katerina Clark and Michael Holquist, <u>Mikhail Bakhtin</u> (Cambridge, Mass.,1984) p. 293, quoted in David Lodge, <u>After Bakhtin: Essays on Fiction and Criticism</u> (London, 1990) p. 95.

20. Lodge, After Bakhtin, p. 12.

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