# MCKAY GRAPHS FOR ALTERNATING AND CLASSICAL GROUPS

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ABSTRACT. Let G be a finite group, and  $\alpha$  a nontrivial character of G. The McKay graph  $\mathcal{M}(G, \alpha)$  has the irreducible characters of G as vertices, with an edge from  $\chi_1$  to  $\chi_2$  if  $\chi_2$  is a constituent of  $\alpha\chi_1$ . We study the diameters of McKay graphs for finite simple groups G. For alternating groups  $G = A_n$ , we prove a conjecture made in [20]: there is an absolute constant C such that diam  $\mathcal{M}(G, \alpha) \leq C \frac{\log |G|}{\log \alpha(1)}$  for all nontrivial irreducible characters  $\alpha$  of G. Also for classical groups of symplectic or orthogonal type of rank r, we establish a linear upper bound Cr on the diameters of all nontrivial McKay graphs. Finally, we provide some sufficient conditions for a product  $\chi_1\chi_2 \cdots \chi_l$  of irreducible characters of some finite simple groups G to contain all irreducible characters of G as constituents.

### Dedicated to the memory of Jan Saxl

### 1. INTRODUCTION

For a finite group G, and a (complex) character  $\alpha$  of G, the McKay graph  $\mathcal{M}(G, \alpha)$  is defined to be the directed graph with vertex set  $\operatorname{Irr}(G)$ , there being an edge from  $\chi_1$  to  $\chi_2$  if and only if  $\chi_2$  is a constituent of  $\alpha\chi_1$ . A classical result of Burnside and Brauer [3] shows that  $\mathcal{M}(G, \alpha)$  is connected if and only if  $\alpha$  is faithful.

The study of McKay graphs for finite simple groups G was initiated in [20], with a particular focus on the diameters of these graphs. Theorem 2 of [20] establishes a quadratic upper bound diam  $\mathcal{M}(G, \alpha) \leq Cr^2$  for any simple group G of Lie type of rank r and any nontrivial  $\alpha \in \operatorname{Irr}(G)$ . Notice that the smallest (resp. largest) nontrivial irreducible character degrees of G are at most  $q^{cr}$  (resp. at least  $q^{c'r^2}$ ), where c, c' are constants, and hence the maximal diameter of a McKay graph  $\mathcal{M}(G, \alpha)$  is at least a linear function of r. Theorem 3 of [20] implies a linear upper bound on these diameters for the classical groups  $G = \operatorname{PSL}_n^{\epsilon}(q)$ , provided q is large compared to n. Our first main result establishes a linear upper bound for the remaining classical groups. As mentioned above, diam  $\mathcal{M}(G,\alpha)$  is finite if and only if  $\alpha$  is faithful, hence it makes sense to bound only diam  $\mathcal{M}(G/\operatorname{Ker}(\alpha), \alpha)$  for any nontrivial irreducible character  $\alpha$  of a finite group G.

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**Theorem 1.** Let q be any prime power. Then the following statements hold.

(i) Let G be a simple classical group  $PSp_n(q)$  with  $n \ge 2$ , or  $P\Omega_n^{\epsilon}(q)$  with  $n \ge 5$ , and let  $\alpha$  be a nontrivial irreducible character of G. Then

$$\operatorname{diam} \mathcal{M}(G, \alpha) \le Cn,$$

where C = 16 or 32, respectively.

(ii) Let  $2 \nmid q$  and let G be a quasisimple classical group  $\operatorname{Sp}_n(q)$  with  $n \geq 2$ , or  $\operatorname{Spin}_n^{\epsilon}(q)$  with  $n \geq 5$ . If  $\alpha$  is a nontrivial irreducible character of G, then

$$diam \mathcal{M}(G/\operatorname{Ker}(\alpha), \alpha) \le Cn,$$

where C = 33 or 129, respectively.

An obvious lower bound for diam  $\mathcal{M}(G, \alpha)$  (when  $\alpha(1) > 1$ ) is given by  $\frac{\log b(G)}{\log \alpha(1)}$ , where b(G) is the largest degree of an irreducible character of G. In [20, Conjecture 1] we conjectured that for simple groups G, this bound is tight up to a multiplicative constant. This conjecture was proved in [20, Theorem 3] for the simple groups  $\mathrm{PSL}_n^{\epsilon}(q)$ , provided q is large compared to n. Recently it has also been established for the symmetric groups by Mark Sellke in [25]. Deducing it for the alternating groups is not entirely trivial, and this is the content of our next result.

**Theorem 2.** There is an effective absolute constant C such that, for all  $n \ge 5$  and for all nontrivial irreducible characters  $\alpha$  of  $G := A_n$ ,

$$\operatorname{diam} \mathcal{M}(G, \alpha) \le C \frac{\log |G|}{\log \alpha(1)}.$$

In our final result, we consider covering Irr(G) by products of arbitrary irreducible characters, instead of powers of a fixed character. This idea was suggested by Gill [10], inspired by an analogous result of Rodgers and Saxl [24] for conjugacy classes in G = $SL_n(q)$ : this states that if a collection of conjugacy classes of G satisfies the condition that the product of the class sizes is at least  $|G|^{12}$ , then the product of the classes is equal to G.

As a piece of notation, for characters  $\chi_1, \ldots, \chi_l$  of G, we write  $\chi_1\chi_2 \cdots \chi_l \supseteq \operatorname{Irr}(G)$  to mean that every irreducible character of G appears as a constituent of  $\chi_1\chi_2 \cdots \chi_l$ . Also, let  $g: \mathbb{N} \to \mathbb{N}$  be the function appearing in [20, Theorem 3].

**Theorem 3.** (i) Let G be a simple group of Lie type of rank r, let  $l \ge 489r^2$ , and let  $\chi_1, \ldots, \chi_l \in \operatorname{Irr}(G) \setminus 1_G$ . Then  $\chi_1 \chi_2 \cdots \chi_l \supseteq \operatorname{Irr}(G)$ .

(ii) Let  $G = \text{PSL}_n^{\epsilon}(q)$  with q > g(n), let  $l \in \mathbb{N}$ , and let  $\chi_1, \ldots, \chi_l \in \text{Irr}(G)$  satisfy  $\prod_1^l \chi_i(1) > |G|^{10}$ . Then  $\chi_1 \chi_2 \cdots \chi_l \supseteq \text{Irr}(G)$ .

Gill [10] has conjectured that part (ii) of the theorem holds for all simple groups (with the constant 10 possibly replaced by a different constant). As a stepping stone in the spirit of the linear bound given by Theorem 1, let us pose the following more modest conjecture.

**Conjecture 4.** There is an absolute constant C > 0 such that the following holds. Let  $G = \operatorname{Cl}_n(q)$ , a classical simple group of dimension n, or  $A_n$ , an alternating group of degree  $n \geq 5$ . Let  $l \geq Cn$ , and let  $\chi_1, \ldots, \chi_l \in \operatorname{Irr}(G) \setminus 1_G$ . Then  $\chi_1 \chi_2 \cdots \chi_l \supseteq \operatorname{Irr}(G)$ .

See Proposition 5.4 for some partial results on Conjecture 4 in the case of  $A_n$ .

For particular characters there are stronger results and conjectures. It is shown by Heide, Saxl, Tiep and Zalesski [15] that, if G is a finite simple group of Lie type and St is its Steinberg character, then  $St^2 \supseteq Irr(G)$ , unless G is a unitary group in odd dimension, in which case  $St^3 \supseteq Irr(G)$  (this result plays a role in this paper).

As for symmetric groups, it is conjectured that for  $n \ge 10$  there is a character  $\chi \in \operatorname{Irr}(S_n)$ such that  $\chi^2 \supseteq \operatorname{Irr}(S_n)$ . Moreover, Saxl conjectured that, if n = k(k+1)/2, then the socalled staircase character (corresponding to the partition  $(k, k - 1, \ldots, 1)$  of n) will do. These challenging conjectures are still very much open. See Pak, Panova and Vallejo [23] for background and various partial results.

The layout of the paper is as follows. Section 2 contains a substantial amount of character theory for symplectic and orthogonal groups that is required for the proof of Theorem 1, which is completed in Section 3. The remaining sections 4 and 5 contain the proofs of Theorems 2 and 3, respectively.

# 2. Some character theory for symplectic and orthogonal groups

Let p be a prime,  $q = p^f$ ,  $V = \mathbb{F}_q^n$  be endowed with a non-degenerate form, alternating when 2|n or quadratic of type  $\epsilon = \pm$ , and let G denote the derived subgroup of the full isometry group of the form. Assume that G is quasisimple, so that  $G = \operatorname{Sp}(V) = \operatorname{Sp}_n(q)$ or  $\Omega(V) = \Omega_n^{\epsilon}(q)$ .

This section contains a detailed study of some specific irreducible characters  $\chi$  of G – namely, the constituents of the permutation character  $\operatorname{Ind}_{[P,P]}^G(1_{[P,P]})$ , where P is the maximal parabolic subgroup of G stabilizing a singular 1-space. Two of the main results of the section are Propositions 2.12 and 2.13, which give upper bounds for the character ratios  $|\chi(g)/\chi(1)|$  for  $g \in G$ . These will be used in Section 3 to prove Theorem 1.

2.1. Reduction lemmas. It is well known that the permutation action of G on the set of singular 1-spaces of V is primitive of rank 3, and thus its character is  $\rho = 1_G + \alpha + \beta$ , with  $\alpha, \beta \in \operatorname{Irr}(G)$ . Let (the parabolic subgroup) P = QL denote a point stabilizer in this action, with Q the unipotent radical and L a Levi subgroup. Aside from  $\alpha, \beta$ , we also need to consider the remaining non-principal irreducible constituents  $\gamma_i$  of  $\operatorname{Ind}_{[P,P]}^G(1_{[P,P]})$ . Let St denote the Steinberg character of G.

Lemma 2.1. The following statements hold.

- (i) Suppose that every semisimple element s ∈ G is real. Then for any χ ∈ Irr(G) and k ∈ N, χ<sup>2k</sup> contains St if and only if (χ χ)<sup>k</sup> contains St.
- (ii) All semisimple elements in G are real, if  $G = \operatorname{Sp}_{2r}(q)$  or  $\Omega_{2r+1}(q)$  for any  $r \ge 1$ , or  $G = \Omega_{2r}^{\epsilon}(q)$  with 2|r.

*Proof.* (i) Recall, see e.g. [4, Theorem 6.4.7], that St(g) = 0 if  $g \in G$  is not semisimple. Furthermore,  $\chi(g) = \overline{\chi}(g)$  if  $g \in G$  is semisimple, by hypothesis. Hence

$$\begin{split} [\chi^{2k},\mathsf{St}]_G &= \frac{1}{|G|} \sum_{g \in G} \chi(g)^{2k} \overline{\mathsf{St}}(g) \\ &= \frac{1}{|G|} \sum_{g \in G, \ g \ \text{semisimple}} \chi(g)^{2k} \overline{\mathsf{St}}(g) \\ &= \frac{1}{|G|} \sum_{g \in G, \ g \ \text{semisimple}} \chi(g)^k \overline{\chi}(g)^k \overline{\mathsf{St}}(g) \\ &= \frac{1}{|G|} \sum_{g \in G} \chi(g)^k \overline{\chi}(g)^k \overline{\mathsf{St}}(g) = [(\chi \overline{\chi})^k, \mathsf{St}]_G \end{split}$$

and the claim follows.

(ii) This is well known, see e.g. [28, Proposition 3.1].

**Lemma 2.2.** Let  $G = \operatorname{Sp}(V) = \operatorname{Sp}_{2r}(q)$  with  $r \geq 3$ . Suppose  $C \in \mathbb{N}$  is such that both  $\alpha^C$ and  $\beta^C$  contain St. Then for any  $1_G \neq \chi \in \operatorname{Irr}(G), \chi^{2C}$  contains St.

*Proof.* In the aforementioned rank 3 permutation action of G with character  $\rho = 1_G + \alpha + \beta$ , a point stabilizer P is the normalizer  $\mathbf{N}_G(Z)$  of some long-root subgroup Z. Since  $n \geq 3$ , Z has a nonzero fixed point on any  $\mathbb{C}G$ -module affording  $\chi$  by [26, Theorem 1.6]. It follows that  $\chi|_P$  is reducible, and so

$$2 \le [\chi|_P, \chi|_P]_P = [\chi\overline{\chi}, \operatorname{Ind}_P^G(1_P)]_G = [\chi\overline{\chi}, \rho]_G.$$

$$(2.1)$$

As  $[\chi \overline{\chi}, 1_G]_G = 1$ ,  $\chi \overline{\chi}$  contains either  $\alpha$  or  $\beta$ , whence  $(\chi \overline{\chi})^C$  contains St. Applying Lemma 2.1, we conclude that  $\chi^{2C}$  contains St.

**Lemma 2.3.** Let  $G = \Omega(V) = \Omega_n^{\epsilon}(q)$  with  $n \geq 5$ . Suppose  $C \in \mathbb{N}$  is such that both  $\alpha^C$ and  $\beta^C$  contain St. Consider any  $1_G \neq \chi \in \operatorname{Irr}(G)$ , and suppose in addition that either  $n \not\equiv 2 \pmod{4}$ , or  $\chi = \overline{\chi}$ . Then  $\chi^{4C}$  contains St.

Proof. Again we consider a point stabilizer P = QL in the aforementioned rank 3 permutation action of G with character  $\rho = 1_G + \alpha + \beta$ . Note that Q is elementary abelian,  $[L, L] \cong \Omega_{n-2}^{\epsilon}(q)$ , and we can identify  $\operatorname{Irr}(Q)$  with the natural module  $\mathbb{F}_q^{n-2}$  for [L, L]. In particular, any [L, L]-orbit on  $\operatorname{Irr}(Q) \setminus \{1_Q\}$  has length at least 2. It is also clear that some irreducible constituent of  $\chi|_Q$  is non-principal, since  $\operatorname{Ker}(\chi) \leq \mathbf{Z}(G)$  and  $Q \not\leq \mathbf{Z}(G)$ . It follows that  $\chi|_Q$  is reducible, and so

$$2 \leq [\chi|_Q, \chi|_Q]_Q = [(\chi\overline{\chi})|_Q, 1_Q]_Q.$$

Since  $[\chi \overline{\chi}, 1_G]_G = 1$ , at least one non-principal irreducible constituent  $\theta$  of  $\chi \overline{\chi}$  contains  $1_Q$  on restriction to Q. But P normalizes Q, so the latter implies that  $\theta|_P$  is reducible. Thus (2.1) holds for  $\theta$  instead of  $\chi$ . Arguing as in the proof of Lemma 2.1, we obtain that  $\theta \overline{\theta}$  contains either  $\alpha$  or  $\beta$ , whence  $(\chi \overline{\chi})^2$  contains either  $\alpha$  or  $\beta$ . It follows that  $(\chi \overline{\chi})^{2C}$  contains St, and we are done if  $\chi = \overline{\chi}$ . Applying Lemma 2.1, we also have that  $\chi^{4C}$  contains St in the case  $n \neq 2 \pmod{4}$ .

**Lemma 2.4.** Let  $G = \Omega(V) = \Omega_n^{\epsilon}(q)$  with  $n \ge 10$  and  $n \equiv 2 \pmod{4}$ . Suppose  $C \in \mathbb{N}$  is such that  $\vartheta^C$  contains St for every  $\vartheta \in \{\alpha, \beta, \gamma_i\}$ . Then for any  $\chi \in \operatorname{Irr}(G)$  with  $\chi \neq \overline{\chi}$ ,  $\chi^{4C}$  contains St.

Proof. (i) As noted in the proof of Lemma 2.3, Q is elementary abelian,  $[L, L] \cong \Omega_{n-2}^{\epsilon}(q)$ , and we can identify  $\operatorname{Irr}(Q)$  with the natural module  $\mathbb{F}_q^{n-2}$  for [L, L]. Since  $n-2 \geq 8$ , it is straightforward to check that any [L, L]-orbit on nonzero vectors of  $\mathbb{F}_q^{n-2}$  contains a vector v and also -v. Thus, any [L, L]-orbit on  $\operatorname{Irr}(Q) \setminus \{1_Q\}$  contains a characters  $\lambda$  and also its complex conjugate  $\overline{\lambda}$ . As noted in the proof of Lemma 2.3,  $Q \not\leq \operatorname{Ker}(\chi)$ . Thus we may assume that  $\chi|_Q$  contains  $\lambda$  and also  $\overline{\lambda}$ . It follows that  $1 \leq [\chi^2|_Q, 1_Q]_Q$ . Since  $[\chi^2, 1_G]_G = [\chi, \overline{\chi}]_G = 0$ , at least one non-principal irreducible constituent  $\theta$  of  $\chi^2$  contains  $1_Q$  on restriction to Q.

In particular,  $\theta|_P$  is reducible, since *P* normalizes *Q*, and (2.1) holds for  $\theta$  instead of  $\chi$ , and so the arguments in the proof of Lemma 2.2 shows that  $\theta\overline{\theta}$  contains  $\alpha$  or  $\beta$ . If, moreover,  $\theta = \overline{\theta}$ , then we conclude that  $\theta^2$  contains  $\alpha$  or  $\beta$ .

(ii) Now consider the case  $\theta \neq \overline{\theta}$ , and let  $\theta$  be afforded by a  $\mathbb{C}G$ -module U. As shown in (i), the Q-fixed point subspace  $U^Q$  on U is nonzero, and L acts on  $U^Q$ . Recall that 4|(n-2) and  $n-2 \geq 8$ . Assume in addition that  $q \not\equiv 3 \pmod{4}$  if  $\epsilon = +$ . Then all irreducible characters of  $[L, L] \cong \Omega_{n-2}^{\epsilon}(q)$  are real-valued by [28, Theorem 1.2], and so the [L, L]-module  $U^Q$  contains an irreducible submodule  $W \cong W^*$ .

Consider the case where  $\epsilon = +$  and  $q \equiv 3 \pmod{4}$ , and recall that  $P = \operatorname{Stab}_G(\langle u \rangle_{\mathbb{F}_q})$  for a singular vector  $0 \neq u \in V$ . We can consider P inside  $\tilde{P} := \operatorname{Stab}_{\operatorname{SO}(V)}(\langle u \rangle_{\mathbb{F}_q}) = Q\tilde{L}$ , and find another singular vector  $u' \in V$  such that  $V = V_1 \oplus V_2$ , with  $V_1 = \langle u, u' \rangle_{\mathbb{F}_q}$ ,  $V_2 = V_1^{\perp}$ , and  $[L, L] = \Omega(V_2)$ . Since  $q \equiv 3 \pmod{4}$ ,  $t := -1_{V_1} \in \mathrm{SO}(V_1) \smallsetminus \Omega(V_1)$ . Choosing some  $t' \in \mathrm{SO}(V_2) \smallsetminus \Omega(V_2)$ , we see that  $tt' \in \tilde{L} \cap \Omega(V) = L$ , and  $L_1 := \langle [L, L], tt' \rangle \cong \mathrm{SO}_{n-2}^+(q)$ . By [13], all irreducible characters of  $L_1$  are real-valued, and so the  $L_1$ -module  $U^Q$  contains an irreducible submodule  $W \cong W^*$ .

We have shown that the [L, L]-module  $U^Q$  contains a nonzero submodule  $W \cong W^*$ . We can also inflate W to a nonzero self-dual module over [P, P] = Q[L, L]. It follows that  $(U \otimes_{\mathbb{C}} U)|_{[P,P]}$  contains  $W \otimes_{\mathbb{C}} W^*$ , which certainly contains the trivial submodule. Thus,  $\theta^2|_{[P,P]}$  contains the principal character  $1_{[P,P]}$ , and so

$$1 \le [\theta^2, \operatorname{Ind}_{[P,P]}^G(1_{[P,P]})]_G.$$
(2.2)

Recall we are assuming that  $0 = [\theta, \overline{\theta}]_G = [\theta^2, 1_G]_G$ . Hence (2.2) implies that  $\theta^2$  contains at least one of  $\alpha$ ,  $\beta$ , or  $\gamma_i$ .

(iii) We have shown that, in all cases,  $\theta^2$  contains at least one of  $\alpha$ ,  $\beta$ , or  $\gamma_i$ . As  $\chi^2$  contains  $\theta$ , we see that  $\chi^4$  contains at least one of  $\alpha$ ,  $\beta$ , or  $\gamma_i$ , and so  $\chi^{4C}$  contains St.  $\Box$ 

2.2. Classical groups in characteristic 2. From now on until the end of the section (with the exception of Theorem 2.11), we depart from our convention of using n for the dimension of the natural module for the classical group in question, and use it to denote the rank of the group instead. In this subsection we study certain characters of  $\tilde{G} = \operatorname{Sp}(V) = \operatorname{Sp}_{2n}(q)$  and  $G = \Omega(V) = \Omega_{2n}^{\epsilon}(q)$ , where  $n \geq 5$  and 2|q. These results will be used subsequently and are also of independent interest.

First we endow V with a non-degenerate alternating form  $(\cdot, \cdot)$ , and work with its isometry group  $\tilde{G} = \operatorname{Sp}(V)$ . We will consider the following irreducible characters of  $\tilde{G}$ :

• the q/2 + 1 linear-Weil characters:  $\rho_{1,n}$  of degree  $(q^n + 1)(q^n - q)/2(q - 1)$ ,  $\rho_{2,n}$  of degree  $(q^n - 1)(q^n + q)/2(q - 1)$ , and  $\tau_{i,n}$  of degree  $(q^{2n} - 1)/(q - 1)$ ,  $1 \le i \le (q - 2)/2$ , and

• the q/2 + 2 unitary-Weil characters:  $\alpha_n$  of degree  $(q^n - 1)(q^n - q)/2(q + 1)$ ,  $\beta_n$  of degree  $(q^n + 1)(q^n + q)/2(q + 1)$ , and  $\zeta_{i,n}$  of degree  $(q^{2n} - 1)/(q + 1)$ ,  $1 \le i \le q/2$ ; see [14, Table 1]. Then

$$\rho := 1_{\tilde{G}} + \rho_{1,n} + \rho_{2,n} \tag{2.3}$$

is the rank 3 permutation character of G acting on the set of 1-spaces of V. The following statement is well known, see e.g. formula (1) of [8]:

**Lemma 2.5.** For  $\epsilon = \pm$ , the character  $\pi^{\epsilon}$  of the permutation action of  $\tilde{G}$  on quadratic forms of type  $\epsilon$  associated to  $(\cdot, \cdot)$  is given as follows:

$$\pi^{+} = 1_{\tilde{G}} + \rho_{2,n} + \sum_{i=1}^{(q-2)/2} \tau_{i,n}, \ \pi^{-} = 1_{\tilde{G}} + \rho_{1,n} + \sum_{i=1}^{(q-2)/2} \tau_{i,n}$$

Given any  $g \in GL(V)$ , let

$$d(x,g) := \dim_{\overline{\mathbb{F}}_q} \operatorname{Ker}(g - x \cdot 1_{V \otimes_{\overline{\mathbb{F}}_q} \overline{\mathbb{F}}_q})$$

for any  $x \in \overline{\mathbb{F}}_q^{\times}$ , and define the *support* of g to be

$$\operatorname{supp}(g) := \dim(V) - \max_{x \in \overline{\mathbb{F}}_q^{\times}} d(x, g).$$
(2.4)

Set

$$d(g) := \dim(V) - \mathsf{supp}(g)$$

**Proposition 2.6.** Let  $\tilde{G} = \text{Sp}_{2n}(q)$  with  $n \geq 3$  and 2|q, and let  $g \in \tilde{G}$  have support s = supp(g). If  $\chi \in \{\rho_{1,n}, \rho_{2,n}\}$ , then

$$\frac{|\chi(g)|}{\chi(1)} \le \frac{1}{q^{s/3}}.$$

*Proof.* The statement is obvious if s = 0. Suppose s = 1. It is easy to see that in this case q is a transvection, and so

$$\rho_{1,n}(g) = \rho_{2,n}(g) = \frac{q^{2n-1}-q}{2(q-1)}$$

by [14, Corollary 7.8], and the statement follows.

From now on we may assume  $s \ge 2$ . Observe that  $d := \max_{x \in \mathbb{F}_q^{\times}} d(x,g) \le d(g) = 2n - s$ . Hence,

$$0 \le \rho(g) = \sum_{x \in \mathbb{F}_q^{\times}} \frac{q^{d(x)} - 1}{q - 1} \le q^d - 1,$$

and so (2.3) implies

$$|\rho_{1,n}(g) + \rho_{2,n}(g)| \le q^d - 1.$$

On the other hand, since  $\pi^{\pm}(g) \geq 0$  and  $\pi^{+} + \pi^{-}$  is just the permutation character of  $\tilde{G}$ acting on V, Lemma 2.5 implies that

$$|\rho_{1,n}(g) - \rho_{2,n}(g)| = |\pi^+(g) - \pi^-(g)| \le \pi^+(g) + \pi^-(g) = q^{d(1,g)} \le q^d.$$

It follows for any  $i \in \{1, 2\}$  that

$$|\rho_{i,n}(g)| \le \left( |\rho_{1,n}(g) + \rho_{2,n}(g)| + |\rho_{1,n}(g) - \rho_{2,n}(g)| \right)/2 < q^d \le q^{2n-s}.$$

Since n > 3, we can also check that

$$\rho_{i,n}(1) \ge \frac{(q^n+1)(q^n-q)}{2(q-1)} > q^{2n-4/3}.$$

Thus  $|\chi(q)|/\chi(1)| < q^{4/3-s} \le q^{-s/3}$ , as stated.

Next we endow  $V = \mathbb{F}_q^{2n}$  with a non-degenerate quadratic form Q of type  $\epsilon = \pm$ associated to the alternating form  $(\cdot, \cdot)$ . Choose a Witt basis  $(e_1, \ldots, e_n, f_1, \ldots, f_n)$  for  $(\cdot, \cdot)$ , such that  $\mathsf{Q}(e_1) = \mathsf{Q}(f_1) = 0$ . We may assume that  $P = \operatorname{Stab}_G(\langle e_1 \rangle_{\mathbb{F}_q}) = QL$ , where Q is elementary abelian of order  $q^{2n-2}$ ,  $L \cong \Omega^{\epsilon}_{2n-2}(q) \times C_{q-1}$ , and

$$[P,P] = \operatorname{Stab}_G(e_1) = Q \rtimes [L,L]$$

has index  $(q^n - \epsilon)(q^{n-1} + \epsilon)$  in G. Also consider  $H := \operatorname{Stab}_G(e_1 + f_1)$ .

According to [22, Theorem 1.3], G has q+1 non-principal complex irreducible characters of degree at most  $(q^n - \epsilon)(q^{n-1} + \epsilon)$ , namely,  $\alpha$  of degree  $(q^n - \epsilon)(q^{n-1} + \epsilon q)/(q^2 - 1)$ ,  $\beta$  of degree  $(q^{2n} - q^2)/(q^2 - 1)$ ,  $\gamma_i$  of degree  $(q^n - \epsilon)(q^{n-1} + \epsilon)/(q - 1)$ ,  $1 \le i \le (q - 2)/2$ , and  $\delta_j$  of degree  $(q^n - \epsilon)(q^{n-1} - \epsilon)/(q + 1)$ ,  $1 \le j \le q/2$ .

**Proposition 2.7.** Let  $G = \Omega_{2n}^{\epsilon}(q)$  with  $n \geq 5$  and 2|q, and consider  $P = \operatorname{Stab}_{G}(e_{1})$  and  $H = \operatorname{Stab}_G(e_1 + f_1)$  as above. Then the following statements hold.

- (i)  $\operatorname{Ind}_P^G(1_P) = 1_G + \alpha + \beta$ .
- (ii)  $\operatorname{Ind}_{[P,P]}^{G}(1_{[P,P]}) = 1_{G} + \alpha + \beta + 2\sum_{i=1}^{(q-2)/2} \gamma_{i}.$ (iii)  $\operatorname{Ind}_{H}^{G}(1_{H}) = 1_{G} + \beta + \sum_{i=1}^{(q-2)/2} \gamma_{i} + \sum_{j=1}^{q/2} \delta_{j}.$

*Proof.* (i) is well known. Next,  $P/[P, P] \cong C_{q-1}$  has q-1 irreducible characters:  $1_P$  and (q-2)/2 pairs of  $\{\nu_i, \overline{\nu}_i\}, 1 \leq i \leq (q-2)/2$ . An application of Mackey's formula shows that  $\operatorname{Ind}_{P}^{G}(\nu_{i}) = \operatorname{Ind}_{P}^{G}(\overline{\nu}_{i})$  is irreducible for all *i*. Now using (i) we can write

$$\operatorname{Ind}_{[P,P]}^{G}(1_{[P,P]}) = \operatorname{Ind}_{P}^{G}\left(\operatorname{Ind}_{[P,P]}^{P}(1_{[P,P]})\right) = 1_{G} + \alpha + \beta + 2\sum_{i=1}^{(q-2)/2} \operatorname{Ind}_{P}^{G}(\nu_{i}).$$
(2.5)

On the other hand, note that [P, P] has exactly 2q-1 orbits on the set of nonzero singular vectors in V: q-1 orbits  $\{xe_1\}$  with  $x \in \mathbb{F}_q^{\times}$ , one orbit  $\{v \in e_1^{\perp} \smallsetminus \langle e_1 \rangle_{\mathbb{F}_q} \mid \mathsf{Q}(v) = 0\}$ , and (q-1) orbits  $\{yf_1 + v \mid v \in e_1^{\perp}, \mathbb{Q}(yf_1 + v) = 0\}$  with  $y \in \mathbb{F}_q^{\times}$ . Together with (2.5), this implies that all summands in the last decomposition in (2.5) are pairwise distinct. Since  $\gamma_i = (q^n - \epsilon)(q^{n-1} + \epsilon)/(q-1) = \operatorname{Ind}_P^G(\nu_{i'})$ , renumbering the  $\nu_i$  if necessary, we may assume that  $\operatorname{Ind}_{P}^{G}(\nu_{i}) = \gamma_{i}$ , and (ii) follows.

For (iii), first note that P has two orbits on the set  $\mathcal{X} := \{v \in V \mid Q(v) = 1\}$ , namely,  $\mathcal{X} \cap e_1^{\perp}$  and  $\mathcal{X} \setminus e_1^{\perp}$ . Since  $\operatorname{Ind}_H^G(1_H)$  is the character of the permutation action of G on  $\mathcal{X}$ , we get

$$[\operatorname{Ind}_{P}^{G}(1_{P}), \operatorname{Ind}_{H}^{G}(1_{H})]_{G} = 2.$$
(2.6)

Next, [P, P] has q orbits on  $\mathcal{X}$ , namely,  $\mathcal{X} \cap e_1^{\perp}$ , and  $\{yf_1 + w \in \mathcal{X} \mid w \in e_1^{\perp}\}$  with  $y \in \mathbb{F}_q^{\times}$ . Thus

$$[\operatorname{Ind}_{[P,P]}^G(1_{[P,P]}), \operatorname{Ind}_H^G(1_H)]_G = q.$$
(2.7)

Combining the results of (i), (ii), with (2.6), (2.7), and again using [22, Theorem 1.3], we can write

$$\operatorname{Ind}_{H}^{G}(1_{H}) = 1_{G} + (a\alpha + b\beta) + \sum_{i=1}^{(q-2)/2} c_{i}\gamma_{i} + \sum_{j=1}^{q/2} d_{j}\delta_{j}, \qquad (2.8)$$

where  $a, b, c_i, d_i \in \mathbb{Z}_{>0}, a+b=1, \sum_i c_i = (q-2)/2.$ 

Let  $\tau$  denote the character of the permutation action of G on  $V \setminus \{0\}$ , so that

$$\tau = \text{Ind}_{[P,P]}^G(1_{[P,P]}) + (q-1)\text{Ind}_H^G(1_H)$$

Note that G has  $q^3 + q^2 - q$  orbits on  $(V \setminus \{0\}) \times (V \setminus \{0\})$ , namely, q(q-1) orbits of (u, xu), where  $x \in \mathbb{F}_q^{\times}$  and  $Q(u) = y \in \mathbb{F}_q$ , and  $q^3$  orbits of (u, v), where u, v are linearly independent and  $(\mathbf{Q}(u), (u, v), \mathbf{Q}(v)) = (x, y, z) \in \mathbb{F}_q^3$ . In other words,  $[\tau, \tau]_G = q^3 + q^2 - q$ . Using (ii) and (2.7), we deduce that

$$[\operatorname{Ind}_{H}^{G}(1_{H}), \operatorname{Ind}_{H}^{G}(1_{H})]_{G} = q + 1.$$
(2.9)

In particular, if q = 2 then  $\operatorname{Ind}_{H}^{G}(1_{H})$  is the sum of 3 pairwise distinct irreducible characters. By checking the degrees of  $\alpha, \beta$  and  $\delta_1$ , (iii) immediately follows from (2.8).

Now we may assume  $q = 2^e \ge 4$ . Let  $\ell_+ = \ell(2^{ne} - 1)$  denote a primitive prime divisor of  $2^{ne} - 1$ , which exists by [29]. Likewise, let  $\ell_- = \ell(2^{2ne} - 1)$  denote a primitive prime divisor of  $2^{2ne} - 1$ . Then note that  $\ell_{\epsilon}$  divides the degree of each of  $\alpha$ ,  $\gamma_i$ ,  $d_j$ , but neither [G:H] - 1 nor  $\beta(1)$ . Hence (2.8) implies that (a,b) = (0,1). Comparing the degrees in (2.8), we also see that  $\sum_{j} d_j = q/2$ . Now

$$q+1 = [\operatorname{Ind}_{H}^{G}(1_{H}), \operatorname{Ind}_{H}^{G}(1_{H})]_{G} = 2 + \sum_{i=1}^{(q-2)/2} c_{i}^{2} + \sum_{j=1}^{q/2} d_{j}^{2} \ge 2 + \sum_{i=1}^{(q-2)/2} c_{i} + \sum_{j=1}^{q/2} d_{j} = 2 + \frac{q-2}{2} + \frac{q}{2}$$
  
vielding  $c_{i}^{2} = c_{i}, d_{i}^{2} = d_{i}, c_{i}, d_{i} \in \{0, 1\}$ , and so  $c_{i} = d_{i} = 1$ , as desired.

yielding  $c_i^2 = c_i$ ,  $d_j^2 = d_j$ ,  $c_i$ ,  $d_j \in \{0, 1\}$ , and so  $c_i = d_j = 1$ , as desired.

In the next statement, we embed  $G = \Omega(V)$  in  $\tilde{G} := \operatorname{Sp}(V)$  (the isometry group of the form  $(\cdot, \cdot)$  on V).

**Proposition 2.8.** Let  $n \ge 5$ , 2|q, and  $\epsilon = \pm$ . Then the characters  $\rho_{1,n}$  and  $\rho_{2,n}$  of  $\operatorname{Sp}(V) \cong \operatorname{Sp}_{2n}(q)$  restrict to  $G = \Omega(V) \cong \Omega_{2n}^{\epsilon}(q)$  as follows:

$$\begin{aligned} (\rho_{1,n})|_{\Omega_{2n}^+(q)} &= \beta + \sum_{j=1}^{q/2} \delta_j, \\ (\rho_{1,n})|_{\Omega_{2n}^-(q)} &= 1 + \alpha + \beta + \sum_{i=1}^{(q-2)/2} \gamma_i, \\ (\rho_{1,n})|_{\Omega_{2n}^-(q)} &= 1 + \alpha + \beta + \sum_{i=1}^{(q-2)/2} \gamma_i, \\ (\rho_{2,n})|_{\Omega_{2n}^-(q)} &= \beta + \sum_{j=1}^{q/2} \delta_j. \end{aligned}$$

*Proof.* Note by (2.3) that  $1_G + (\rho_{1,n} + \rho_{2,n})|_G$  is just the character of the permutation action on the set of 1-spaces of V. Hence, by Proposition 2.7 we have

$$\left(\rho_{1,n} + \rho_{2,n}\right)|_{G} = \operatorname{Ind}_{P}^{G}(1_{P}) + \operatorname{Ind}_{H}^{G}(1_{H}) - 1_{G} = 1_{G} + \alpha + 2\beta + \sum_{i=1}^{(q-2)/2} \gamma_{i} + \sum_{j=1}^{q/2} \delta_{j}.$$
 (2.10)

Furthermore, Lemma 2.5 implies by Frobenius reciprocity that

 $(\rho_{2,n})|_G$  contains  $1_G$  when  $\epsilon = +$ , and  $(\rho_{1,n})|_G$  contains  $1_G$  when  $\epsilon = -$ . (2.11)

(i) First we consider the case  $\epsilon = +$ . If  $(n,q) \neq (6,2)$ , one can find a primitive prime divisor  $\ell = \ell(2^{ne} - 1)$ , where  $q = 2^e$ . If (n,q) = (6,2), then set  $\ell = 7$ . By its choice,  $\ell$  divides the degrees of  $\rho_{2,n}$ ,  $\alpha$ ,  $\gamma_i$ , and  $\delta_j$ , but  $\beta(1) \equiv \rho_{1,n}(1) \equiv -1 \pmod{\ell}$ . Hence, (2.10) and (2.11) imply that

$$(\rho_{2,n})|_G = 1_G + \beta + x\alpha + \sum_{i=1}^{(q-2)/2} y_i \gamma_i + \sum_{j=1}^{q/2} z_j \delta_j,$$

where  $x, y_i, z_j \in \{0, 1\}$ . Setting  $y := \sum_{i=1}^{(q-2)/2} y_i$  and  $z := \sum_{j=1}^{q/2} z_j$  and comparing the degrees, we get

$$(1-x)(q^{n-1}+q) + (q^{n-1}+1)(q+1)((q-2)/2 - y) = z(q^{n-1}-1)(q-1),$$

and so  $q^{n-1} + 1$  divides (1 - x + 2z)(q - 1). Note that  $gcd(q - 1, q^{n-1} + 1) = 1$  and  $0 \le (1 - x + 2z)(q - 1) \le q^2 - 1 < q^{n-1} + 1$ . It follows that x = 1, z = 0, y = (q - 2)/2, whence  $y_i = 1$  and  $z_j = 1$ , as stated.

(ii) Now let  $\epsilon = -$ , and choose  $\ell$  to be a primitive prime divisor  $\ell(2^{2ne} - 1)$ . By its choice,  $\ell$  divides the degrees of  $\rho_{1,n}$ ,  $\alpha$ ,  $\gamma_i$ , and  $\delta_j$ , but  $\beta(1) \equiv \rho_{2,n}(1) \equiv -1 \pmod{\ell}$ . Hence, (2.10) and (2.11) imply that

$$(\rho_{1,n})|_G = 1_G + \beta + x\alpha + \sum_{i=1}^{(q-2)/2} y_i \gamma_i + \sum_{j=1}^{q/2} z_j \delta_j$$

where  $x, y_i, z_j \in \{0, 1\}$ . Setting  $y := \sum_{i=1}^{(q-2)/2} y_i$  and  $z := \sum_{j=1}^{q/2} z_j$  and comparing the degrees, we get

$$(1-x)(q^{n-1}-q) + (q^{n-1}-1)(q+1)((q-2)/2 - y) = z(q^{n-1}+1)(q-1),$$

and so  $(q^{n-1}-1)/(q-1)$  divides 1-x+2z. Since  $0 \le 1-x+2z \le q+1 < (q^{n-1}-1)/(q-1)$ , it follows that x = 1, z = 0, y = (q-2)/2, whence  $y_i = 1$  and  $z_j = 1$ , as stated.  $\Box$ 

For the subsequent discussion, we recall the quasi-determinant  $\kappa_{\epsilon} : O_{\epsilon} \to \{-1, 1\}$ , where  $O_{\epsilon} := GO(V) \cong GO_{2n}^{\epsilon}(q)$ , defined via

$$\kappa_{\epsilon}(q) := (-1)^{\dim_{\mathbb{F}_q} \operatorname{Ker}(g-1_V)}$$

It is known, see e.g. [14, Lemma 5.8(i)], that  $\kappa$  is a group homomorphism, with

$$\operatorname{Ker}(\kappa_{\epsilon}) = \Omega_{\epsilon} := \Omega(V) \cong \Omega_{2n}^{\epsilon}(q).$$
(2.12)

Now we prove the "unitary" analogue of Lemma 2.5:

**Lemma 2.9.** For  $n \ge 3$  and 2|q, the following decompositions hold:

$$\operatorname{Ind}_{O_{+}}^{\tilde{G}}(\kappa_{+}) = \beta_{n} + \sum_{i=1}^{q/2} \zeta_{i,n}, \ \operatorname{Ind}_{O_{-}}^{\tilde{G}}(\kappa_{-}) = \alpha_{n} + \sum_{i=1}^{q/2} \zeta_{i,n}.$$

*Proof.* According to formulae (10) and (4)-(6) of [14],

$$\operatorname{Ind}_{\Omega_{+}}^{\tilde{G}}(\kappa_{+}) + \operatorname{Ind}_{\Omega_{-}}^{\tilde{G}}(\kappa_{-}) = \alpha_{n} + \beta_{n} + 2\sum_{i=1}^{q/2} \zeta_{i,n}.$$
(2.13)

Hence we can write

$$\operatorname{Ind}_{\Omega_{+}}^{\tilde{G}}(\kappa_{+}) = x\alpha_{n} + y\beta_{n} + \sum_{i=1}^{q/2} z_{i}\zeta_{i,n}, \qquad (2.14)$$

where  $x, y, z_i \in \mathbb{Z}_{\geq 0}$ ,  $x, y \leq 1$  and  $z_i \leq 2$ . Note that, since  $\pi^+ = \operatorname{Ind}_{O_+}^{\tilde{G}}(1_{O_+})$ , Lemma 2.5 implies that

$$|\mathcal{O}_+ \setminus \tilde{G}/\mathcal{O}_+| = \frac{q}{2} + 1$$

Next, by Mackey's formula we have

$$[\mathrm{Ind}_{\Omega_{+}}^{\tilde{G}}(\kappa_{+}), \mathrm{Ind}_{\Omega_{+}}^{\tilde{G}}(\kappa_{+})]_{G} = \sum_{\mathsf{O}_{+}t\mathsf{O}_{+}\in\mathsf{O}_{+}\backslash\tilde{G}/\mathsf{O}_{+}} [(\kappa_{+})|_{\mathsf{O}_{+}\cap t\mathsf{O}_{+}t^{-1}}, (\kappa_{+}^{t})|_{\mathsf{O}_{+}\cap t\mathsf{O}_{+}t^{-1}}]_{\mathsf{O}_{+}\cap t\mathsf{O}_{+}t^{-1}},$$

where  $\kappa_+^t(x) = \kappa(x^t) := \kappa(t^{-1}xt)$  for any  $x \in O_+ \cap tO_+t^{-1}$ . For such an x, note that

 $\kappa_{+}(x) = 1 \Leftrightarrow 2|\dim_{\mathbb{F}_{q}} \operatorname{Ker}(x - 1_{V}) \Leftrightarrow 2|\dim_{\mathbb{F}_{q}} \operatorname{Ker}(x^{t-1} - 1_{V}) \Leftrightarrow (\kappa_{+})^{t}(x) = 1, \quad (2.15)$ i.e.  $\kappa_{+}(x) = \kappa_{+}^{t}(x)$ . It follows that

$$x^{2} + y^{2} + \sum_{i=1}^{q/2} z_{i}^{2} = [\operatorname{Ind}_{\Omega_{+}}^{\tilde{G}}(\kappa_{+}), \operatorname{Ind}_{\Omega_{+}}^{\tilde{G}}(\kappa_{+})]_{G} = |\mathcal{O}_{+} \setminus \tilde{G}/\mathcal{O}_{+}| = \frac{q}{2} + 1.$$
(2.16)

On the other hand, equating the character degrees in (2.14) we obtain

$$\frac{q^n(q^n+1)}{2} = x \frac{(q^n-1)(q^n-q)}{2(q+1)} + y \frac{(q^n+1)(q^n+q)}{2(q+1)} + \sum_{i=1}^{q/2} z_i \cdot \frac{q^{2n}-1}{q+1}.$$
 (2.17)

We claim that x = 0. Indeed, if (n,q) = (3,2), then (2.17) implies that 3|x, and so x = 0 as  $0 \le x \le 1$ . Assume  $(n,q) \ne (3,2)$ . Then we can find a primitive prime divisor  $\ell = \ell(2^{2ne} - 1)$  for  $q = 2^e$ , and note from (2.17) that  $\ell|x$ . Since  $\ell > 2$  and  $x \in \{0,1\}$ , we again have x = 0.

Now if y = 0, then (2.17) implies that  $q^n(q^n + 1)/2$  is divisible by  $(q^{2n} - 1)/(q + 1)$ , a contradiction. Hence y = 1, and from (2.17) we obtain that  $\sum_{i=1}^{q/2} z_i = q/2$ . On the other hand,  $\sum_{i=1}^{q/2} z_i^2 = q/2$  by (2.16). Thus  $\sum_{i=1}^{q/2} (z_i - 1)^2 = 0$ , and so  $z_i = 1$  for all *i*. Together with (2.13), this yields the two stated decompositions.

**Proposition 2.10.** Let  $n \geq 5$ , 2|q, and  $\epsilon = \pm$ . Then the characters  $\alpha_n$  and  $\beta_n$  of  $\operatorname{Sp}(V) \cong \operatorname{Sp}_{2n}(q)$  restrict to  $G = \Omega(V) \cong \Omega_{2n}^{\epsilon}(q)$  as follows:

$$\begin{aligned} & (\alpha_n)|_{\Omega_{2n}^+(q)} = \sum_{j=1}^{q/2} \delta_j, & (\beta_n)|_{\Omega_{2n}^+(q)} = 1 + \alpha + \sum_{i=1}^{(q-2)/2} \gamma_i, \\ & (\alpha_n)|_{\Omega_{2n}^-(q)} = 1 + \alpha + \sum_{i=1}^{(q-2)/2} \gamma_i, & (\beta_n)|_{\Omega_{2n}^-(q)} = \sum_{j=1}^{q/2} \delta_j. \end{aligned}$$

In particular, the following formula holds for the irreducible character  $\beta$  of G of degree  $(q^{2n} - q^2)/(q^2 - 1)$ :

$$\left(\left(\rho_{1,n}+\rho_{2,n}\right)-\left(\alpha_{n}+\beta_{n}\right)\right)|_{\Omega_{2n}^{\epsilon}(q)}=2\beta.$$

*Proof.* By Mackey's formula,

$$\left(\mathrm{Ind}_{\Omega_{+}}^{\tilde{G}}(\kappa_{+})\right)|_{G} = \sum_{GtO_{+}\in G\setminus\tilde{G}/O_{+}}\mathrm{Ind}_{G\cap tO_{+}t^{-1}}^{G}\left((\kappa_{+}^{t})|_{G\cap tO_{+}t^{-1}}\right),$$

and similarly for  $\pi^+ = \operatorname{Ind}_{\Omega_+}^{\tilde{G}}(1_{O_+})$ . The argument in (2.15) shows that  $\kappa_+^t(x) = 1$  for all  $x \in G \cap tO_+t^{-1}$ , and so  $\pi^+$  and  $\operatorname{Ind}_{\Omega_+}^{\tilde{G}}(\kappa_+)$  agree on G. Similarly,  $\pi^-$  and  $\operatorname{Ind}_{\Omega_-}^{\tilde{G}}(\kappa_-)$ agree on G. It then follows from Lemmas 2.5 and 2.9 that

$$(\rho_{2,n} - \rho_{1,n})|_G = (\pi^+ - \pi^-)|_G = (\operatorname{Ind}_{\Omega_+}^G(\kappa_+) - \operatorname{Ind}_{\Omega_-}^G(\kappa_-))|_G = (\beta_n - \alpha_n)|_G.$$
(2.18)

First assume that  $\epsilon = +$ . Then using Proposition 2.8 we get

$$(\beta_n - \alpha_n)|_G = 1_G + \alpha + \sum_{i=1}^{(q-2)/2} \gamma_i - \sum_{j=1}^{q/2} \delta_j,$$

i.e.

$$\sum_{j=1}^{q/2} \delta_j + (\beta_n)|_G = 1_G + \alpha + \sum_{i=1}^{(q-2)/2} \gamma_i + (\alpha_n)|_G.$$

Aside from  $(\alpha_n)|_G$  and  $(\beta_n)|_G$ , all the other characters in the above equality are irreducible and pairwise distinct. It follows that  $(\alpha_n)|_G$  contains  $\sum_{j=1}^{q/2} \delta_j$ . Comparing the degrees, we see that

$$(\alpha_n)|_G = \sum_{j=1}^{q/2} \delta_j$$

which then implies that

$$(\beta_n)|_G = 1_G + \alpha + \sum_{i=1}^{(q-2)/2} \gamma_i.$$

Now assume that  $\epsilon = -$ . Then again using Proposition 2.8 and (2.18) we get

$$(\alpha_n - \beta_n)|_G = 1_G + \alpha + \sum_{i=1}^{(q-2)/2} \gamma_i - \sum_{j=1}^{q/2} \delta_j,$$

i.e.

$$\sum_{j=1}^{q/2} \delta_j + (\alpha_n)|_G = 1_G + \alpha + \sum_{i=1}^{(q-2)/2} \gamma_i + (\beta_n)|_G.$$

Aside from  $(\alpha_n)|_G$  and  $(\beta_n)|_G$ , all the other characters in the above equality are irreducible and pairwise distinct. It follows that  $(\beta_n)|_G$  contains  $\sum_{j=1}^{q/2} \delta_j$ . Comparing the degrees, we see that

$$(\beta_n)|_G = \sum_{j=1}^{q/2} \delta_j,$$

which then implies that

$$(\alpha_n)|_G = 1_G + \alpha + \sum_{i=1}^{(q-2)/2} \gamma_i.$$

For both  $\epsilon = \pm$ , the last statement now follows from (2.10).

Proposition 2.10 leads to the following explicit formula for  $\beta$ , which we will show to hold for all special orthogonal groups in all characteristics and all dimensions, and which is of independent interest. In this result, we let  $V = \mathbb{F}_q^n$  be a quadratic space,  $L := \mathrm{SO}(V)$ if  $2 \nmid q$ ,  $L := \Omega(V)$  if 2|q, and extend the action of L on V to  $\tilde{V} := V \otimes_{\mathbb{F}_q} \mathbb{F}_{q^2}$ , and we assume  $2 \nmid q$  if  $2 \nmid n$ . Also, set

$$\mu_{q-1} := \mathbb{F}_q^{\times}, \ \mu_{q+1} := \{ x \in \mathbb{F}_{q^2}^{\times} \mid x^{q+1} = 1 \}.$$

If  $2 \nmid q$ , let  $\chi_2^+$  be the unique linear character of order 2 of  $\mu_{q-1}$ , and let  $\chi_2^-$  be the unique linear character of order 2 of  $\mu_{q+1}$ .

**Theorem 2.11.** Let  $n \ge 10$ ,  $\epsilon = \pm$ , and let q be any prime power. If 2|n, let  $\psi = \beta$  be the irreducible constituent  $\beta$  of degree  $(q^n - q^2)/(q^2 - 1)$  of the rank 3 permutation character of  $L = \Omega(V)$  when 2|q, and of  $L = \mathrm{SO}(V)$  when  $2 \nmid q$ , on the set of singular 1-spaces of its natural module  $V = \mathbb{F}_q^n$ . If  $2 \nmid qn$ , let  $\psi$  be the irreducible character of  $L = \mathrm{SO}(V)$  of degree  $(q^n - q)/(q^2 - 1)$  denoted by  $D_{\mathsf{St}}$  in [19, Proposition 5.7]. Then for any  $g \in L$  we have

$$\psi(g) = \frac{1}{2(q-1)} \sum_{\lambda \in \mu_{q-1}} q^{\dim_{\mathbb{F}_q} \operatorname{Ker}(g-\lambda \cdot 1_V)} - \frac{1}{2(q+1)} \sum_{\lambda \in \mu_{q+1}} (-q)^{\dim_{\mathbb{F}_q^2} \operatorname{Ker}(g-\lambda \cdot 1_{\tilde{V}})} - 1$$

when 2|n, and

$$\psi(g) = \frac{1}{2(q-1)} \sum_{\lambda \in \mu_{q-1}} \chi_2^+(\lambda) q^{\dim_{\mathbb{F}_q} \operatorname{Ker}(g-\lambda \cdot 1_V)} + \frac{1}{2(q+1)} \sum_{\lambda \in \mu_{q+1}} \chi_2^-(\lambda) (-q)^{\dim_{\mathbb{F}_q^2} \operatorname{Ker}(g-\lambda \cdot 1_{\tilde{V}})}$$

when  $2 \nmid qn$ .

*Proof.* In the case 2|q, the statement follows from the last formula in Proposition 2.10, together with formulae (3) and (6) of [14]. Assume now that  $2 \nmid q$ , and set  $\kappa := 1$  if 2|n and  $\kappa := 0$  if  $2 \nmid n$ . By [19, Proposition 5.7] (and in the notation of [19, §5.1]),

$$\psi(g) = \frac{1}{|\operatorname{Sp}_2(q)|} \sum_{x \in \operatorname{Sp}_2(q)} \omega_n(xg) \mathsf{St}(x) - \kappa,$$

where  $\omega_{2n}$  denotes a reducible Weil character of  $\operatorname{Sp}_{2n}(q)$  and St denotes the Steinberg character of  $S := \operatorname{Sp}_2(q)$ .

If  $x \in S$  is not semisimple, then St(x) = 0.

Suppose  $x = \text{diag}(\lambda, \lambda^{-1}) \in T_1 < S$ , where  $T_1 \cong C_{q-1}$  is a split torus and  $\lambda \in \mu_{q-1}$ . In this case, we can view  $T_1$  as  $\text{GL}_1(q)$ , embed L in  $\text{GL}_n(q)$ , and view xg as an element  $h = \lambda g$  in a Levi subgroup  $\text{GL}_n(q)$  of  $\text{Sp}_{2n}(q)$ , with  $\det(h) = \lambda^n$ . It follows from [9, Theorem 2.4(c)] that

$$\omega_n(xg) = \chi_2^+(\lambda^n) q^{\dim_{\mathbb{F}_q} \operatorname{Ker}(h-1)} = \chi_2^+(\lambda^n) q^{\dim_{\mathbb{F}_q} \operatorname{Ker}(g-\lambda^{-1})}$$

If  $\lambda \neq \pm 1$ , then  $|x^S| = q(q+1)$  and  $\mathsf{St}(x) = 1$ . If  $\lambda = \pm 1$ , then  $|x^S| = 1$  and  $\mathsf{St}(x) = q$ . Note that since  $g \in \mathrm{GO}(V)$ ,

 $\dim_{\mathbb{F}_q} \operatorname{Ker}(g - \lambda^{-1}) = \dim_{\mathbb{F}_q} \operatorname{Ker}({}^t g - \lambda^{-1}) = \dim_{\mathbb{F}_q} \operatorname{Ker}(g^{-1} - \lambda^{-1}) = \dim_{\mathbb{F}_q} \operatorname{Ker}(g - \lambda).$ We also note that since  $g \in \operatorname{SO}(V)$ ,

$$\dim_{\mathbb{F}_q} \operatorname{Ker}(g-1_V) \equiv n \pmod{2}, \ \dim_{\mathbb{F}_q} \operatorname{Ker}(g+1_V) \equiv 0 \pmod{2}.$$
(2.19)

(Indeed, since det(g) = 1, each of Ker $(g_s - 1_V)$  and Ker $(g_s + 1_V)$  is a non-degenerate subspace of V if nonzero, where  $g = g_s g_u$  is the Jordan decomposition; furthermore,  $2|\dim_{\mathbb{F}_q} \operatorname{Ker}(g_s + 1_V)$  and  $\dim_{\mathbb{F}_q} \operatorname{Ker}(g_s - 1_V) \equiv n \pmod{2}$ . Hence the claim reduces to the unipotent case  $g = g_u$ . In the latter case, the number of Jordan blocks of  $g_u$  of each even size is even, see [4, §13.1], and the claim follows.) Suppose  $x \in T_2 < S$ , where  $T_2 \cong C_{q+1}$  is a non-split torus and x has eigenvalues  $\mu, \mu^{-1}$ , with  $\mu \in \mu_{q+1}$  and  $\mu \neq \pm 1$ . Then  $\mathsf{St}(x) = -1$  and  $|x^S| = q(q-1)$ . In this case, we can view  $T_2$  as  $\mathrm{GU}_1(q)$ , embed L in  $\mathrm{GU}_n(q)$ , and view xg as an element  $h = \mu g$  in a subgroup  $\mathrm{GU}_n(q)$  of  $\mathrm{Sp}_{2n}(q)$ , with  $\det(h) = \mu^n$ . It follows from [9, Theorem 3.3] that

$$\omega_n(xg) = (-1)^n \chi_2^-(\mu^n)(-q)^{\dim_{\mathbb{F}_{q^2}} \operatorname{Ker}(h-1)} = (-1)^n \chi_2^-(\mu^n)(-q)^{\dim_{\mathbb{F}_{q^2}} \operatorname{Ker}(g-\mu^{-1})}$$

Altogether, we have shown that

$$\psi(g) = \frac{1}{q^2 - 1} \left( q^{\dim_{\mathbb{F}_q} \operatorname{Ker}(g-1)} + \chi_2^+ ((-1)^n) q^{\dim_{\mathbb{F}_q} \operatorname{Ker}(g+1)} \right) + \frac{1}{2(q-1)} \sum_{\lambda \in \mu_{q-1} \smallsetminus \{\pm 1\}} \chi_2^+ (\lambda^n) q^{\dim_{\mathbb{F}_q} \operatorname{Ker}(g-\lambda)} - \frac{(-1)^n}{2(q+1)} \sum_{\mu \in \mu_{q+1} \smallsetminus \{\pm 1\}} \chi_2^- (\mu^n) (-q)^{\dim_{\mathbb{F}_q^2} \operatorname{Ker}(g-\mu)} - \kappa,$$
(2.20)

and the statement now follows if we use (2.19).

# 2.3. Some character estimates.

**Proposition 2.12.** Let q be any prime power,  $G = \Omega_{2n}^{\epsilon}(q)$  with  $n \ge 5$ ,  $\epsilon = \pm$ , and let  $g \in G$  have support s = supp(g). Assume that  $\chi \in \{\alpha, \beta\}$  if  $2 \nmid q$ , and  $\chi \in \{\alpha, \beta, \gamma_i\}$  if 2|q. Then

$$\frac{|\chi(g)|}{\chi(1)} \le \frac{1}{q^{s/3}}$$

*Proof.* (i) First we consider the case  $s \ge n \ge 5$ . Then

$$d(x,g) \le 2n-s \tag{2.21}$$

for any  $x \in \overline{\mathbb{F}}_q^{\times}$ . In particular,

$$0 \le \rho(g) \le \sum_{x \in \mathbb{F}_q^{\times}} \frac{q^{d(x,g)} - 1}{q - 1} \le q^{2n - s} - 1.$$
(2.22)

Now, (when 2|q) part (i) of the proof of Proposition 2.7 shows that  $\gamma_i = \text{Ind}_P^G(\nu_j)$  for some linear character  $\nu_j$  of P, and recall that  $\rho = \text{Ind}_P^G(1_P)$ . It follows that

$$|\gamma_i(g)| \le |\rho(g)| \le q^{2n-s} - 1.$$

and so  $|\gamma_i(g)/\gamma_i(1)| < 1/q^{s-2} \le q^{-3s/5}$  as  $\gamma_i(1) = [G:P] > q^{2n-2}$ . Next, using Theorem 2.11 and (2.21) we also see that

$$|\beta(g)+1| \le \frac{1}{2(q-1)} \sum_{x \in \mathbb{F}_q^{\times}} q^{d(x,g)} + \frac{1}{2(q+1)} \sum_{x \in \overline{\mathbb{F}}_q^{\times}, x^{q+1}=1} q^{d(x,g)} \le q^{2n-s}.$$
 (2.23)

In particular,  $|\beta(g)| \leq q^{2n-s} + 1$ . Since  $\beta(1) = (q^{2n} - q^2)/(q^2 - 1)$ , we deduce that  $|\beta(g)/\beta(1)| < q^{-3s/5}$ . Furthermore, as  $\alpha(g) = \rho(g) - (\beta(g) + 1)$ , we obtain from (2.22)–(2.23) that

$$|\alpha(g)| \le 2q^{2n-s} - 1.$$

If  $s \ge 6$ , then it follows that  $|\alpha(g)/\alpha(1)| < q^{4-s} \le q^{-s/3}$ , since  $\alpha(1) > q^{2n-3}$ . Suppose that s = n = 5. Then we can strengthen (2.23) to

$$\frac{-2q^5 - (q-1)q^3}{2(q+1)} \le \beta(g) + 1 \le q^5.$$

Together with (2.22), this implies that

$$|\alpha(g)| = |\rho(g) - (\beta(g) + 1)| < q^5 + q^4 < \alpha(1)/q^{s/3}$$

since  $\alpha(1) \ge (q^5 + 1)(q^4 - q)/(q^2 - 1).$ 

(ii) From now on we may assume that  $s \leq n-1$ . As  $g \in G = \Omega_{2n}^{\epsilon}(q)$ , it follows that d(z,g) = 2n-s for a unique  $z \in \{1,-1\}$ . Furthermore, 2|s. (Indeed, this has been recorded in (2.12) when 2|q, and in (2.19) when  $2 \nmid q$ .) We also have that

$$d(x,g) \le 2n - d(z,g) = s$$
 (2.24)

for all  $x \in \overline{\mathbb{F}}_q^{\times} \smallsetminus \{z\}$ ,

Assume in addition that  $s \ge 4$ . Using (2.24) we obtain

$$0 \le \rho(g) \le \frac{q^{2n-s} - 1 + (q-2)(q^s - 1)}{q - 1}.$$
(2.25)

As  $\rho(1) = (q^n - \epsilon)(q^{n-1} + \epsilon)/(q-1)$ , it follows that  $|\rho(g)/\rho(1)| < q^{-3s/5}$ . As above, the same bound also applies to  $\chi = \gamma_i$  when 2|q.

Next, since 2|s, using Theorem 2.11 and applying (2.24) to  $x^{q\pm 1} = 1$  and  $x \neq z$ , we have (for any  $2|s \leq n-1$ ) that

$$\frac{q^{2n-s}}{q^2-1} - q^s \cdot \frac{q}{2(q+1)} \le \beta(g) + 1 \le \frac{q^{2n-s}}{q^2-1} + q^s \cdot \left(\frac{q-2}{2(q-1)} + \frac{q}{2(q+1)}\right);$$
(2.26)

in particular,

$$|\beta(g)| < \frac{q^{2n-s} + q^s(q^2 - q - 1)}{q^2 - 1}$$

Since  $\beta(1) = (q^{2n} - q^2)/(q^2 - 1)$ , we obtain that  $|\beta(g)/\beta(1)| < q^{-4s/5}$ . Furthermore, using (2.25)–(2.26), we can bound

$$|\alpha(g)| = |\rho(g) - (\beta(g) + 1)| < \frac{q^{2n-s+1} + q^s(3q^2 - 3q - 4)/2}{q^2 - 1} < \frac{\alpha(1)}{q^{2s/5}}$$

since  $\alpha(1) \ge (q^n + 1)(q^{n-1} - q)/(q^2 - 1).$ 

(iii) Since the statement is obvious for s = 0, it remains to consider the case s = 2, i.e. d(1, zg) = 2n - 2. Using [27, Lemma 4.9], one can readily show that g fixes an orthogonal decomposition  $V = U \oplus U^{\perp}$ , with  $U \subset \text{Ker}(g - z \cdot 1_V)$  being non-degenerate of dimension 2n - 4, and

$$\dim_{\mathbb{F}_q} (U^\perp)^{zg} = 2. \tag{2.27}$$

First we estimate  $\rho(g)$ . Suppose g(v) = tv for some singular  $0 \neq v \in V$  and  $t \in \mathbb{F}_q^{\times}$ . If  $t \neq z$ , then  $v \in U^{\perp}$ , and (2.27) implies that g can fix at most q + 1 such singular 1-spaces  $\langle v \rangle_{\mathbb{F}_q}$ . Likewise, g fixes at most q+1 singular 1-spaces  $\langle v \rangle_{\mathbb{F}_q} \subset U^{\perp}$  with g(v) = zv. Assume now that g(v) = zv with v = u + u',  $0 \neq u \in U$  and  $u' \in U^{\perp}$ . As 0 = Q(v) = Q(u) + Q(u'), the total number of such v is

$$N := \sum_{x \in \mathbb{F}_q} |\{0 \neq w \in U \mid \mathsf{Q}(w) = x\}| \cdot |\{w' \in U^{\perp} \mid g(w') = zw', \mathsf{Q}(w') = -x\}|.$$

Note that, since U is a non-degenerate quadratic space of dimension 2n - 4,

$$(q^{n-2}+1)(q^{n-3}-1) \le |\{0 \ne w \in U \mid \mathsf{Q}(w) = x\}| \le (q^{n-2}-1)(q^{n-3}+1)$$

for any  $x \in \mathbb{F}_q$ . On the other hand, (2.27) implies that

$$\sum_{x \in \mathbb{F}_q} |\{w' \in U^{\perp} \mid g(w') = zw', \mathsf{Q}(w') = -x\}| = |(U^{\perp})^{zg}| = q^2.$$

It follows that

$$q^{2}(q^{n-2}+1)(q^{n-3}-1) \le N \le q^{2}(q^{n-2}-1)(q^{n-3}+1),$$

and so

$$\frac{q^2(q^{n-2}+1)(q^{n-3}-1)}{q-1} \le \rho(g) \le 2q+2 + \frac{q^2(q^{n-2}-1)(q^{n-3}+1)}{q-1}.$$
(2.28)

In particular, when 2|q we have  $|\gamma_i(g)| \le |\rho(g)| < \rho(1)/q^{4s/5}$ .

Next, applying (2.26) to s = 2 we have

$$|\beta(g)| \le \frac{q^{2n-2} + q^2(q^2 - q - 1)}{q^2 - 1} < \frac{\beta(1)}{q^{4s/5}}.$$

Finally, using (2.26) with s = 2 and (2.28), we obtain

$$|\alpha(g)| = |\rho(g) - (\beta(g) + 1)| < \frac{q^{2n-3} + q^{n+1} - q^{n-1}}{q^2 - 1} + (q+1) < \frac{\alpha(1)}{q^{3s/5}}.$$

**Proposition 2.13.** Let q be any odd prime power,  $n \geq 5$ , and  $\epsilon = \pm$ . Assume that  $\chi \in \operatorname{Irr}(G)$ , where either  $G \in \{\operatorname{Sp}_{2n}(q), \Omega_{2n+1}(q)\}$  and  $\chi \in \{\alpha, \beta\}$ , or  $G = \Omega_{2n}^{\epsilon}(q)$  and  $\chi \in \{\alpha, \beta, \gamma_i\}$ . If  $g \in G$  has support  $s = \operatorname{supp}(g)$ , then

$$\frac{|\chi(g)|}{\chi(1)} \le \frac{1}{q^{s/3}}.$$

*Proof.* (i) As usual, we may assume  $s \ge 1$ . First we consider the case  $G = \Omega_{2n}^{\epsilon}(q)$ . Then [21, Corollary 5.14] and [19, Proposition 5.7] show (in their notation) that  $\alpha = D_1 - 1_G$ ,  $\beta = D_{\mathsf{St}} - 1_G$ . Furthermore if  $\nu \ne 1_P$  is a linear character of P, then  $\operatorname{Ind}_P^G(\nu) = D_{\chi_j}$  if  $\nu$  has order > 2, and  $\operatorname{Ind}_P^G(\nu) = D_{\xi_1} + D_{\xi_2}$  if  $\nu$  has order 2.

If  $\chi = \alpha$  or  $\beta$ , then the statement is already proved in Proposition 2.12, whose proof also applies to the case  $\chi = \gamma_i = D_{\chi_j}$  (using the estimate  $|\text{Ind}_P^G(\nu)(g)| \leq \rho(g)$ ). It remains to consider the case  $\chi = \gamma_i = D_{\xi_j}$  for j = 1, 2. Again the previous argument applied to  $\nu$ of order 2 shows that

$$|D_{\xi_1}(g) + D_{\xi_2}(g)| \le \frac{[G:P]}{q^{3s/5}} = \frac{2\chi(1)}{q^{3s/5}}.$$

On the other hand, the formula for  $D_{\alpha}$  in [19, Lemma 5.5], the character table of  $SL_2(q)$  [5, Theorem 38.1], and part 1) of the proof of [19, Proposition 5.11] imply that

$$|D_{\xi_1}(g) - D_{\xi_2}(g)| \le \frac{2(q^2 - 1)q^n \cdot \sqrt{q}}{q(q^2 - 1)} = 2q^{n-1/2}.$$
(2.29)

If  $4 \le s \le 2n-2$ , then since  $\chi(1) \ge (q^n+1)(q^{n-1}-1)/2(q-1) > q^{2n-3}(q+1)$  it follows that

$$\begin{aligned} |\chi(g)| &\leq \left( |D_{\xi_1}(g) + D_{\xi_2}(g)| + |D_{\xi_1}(g) + D_{\xi_2}(g)| \right)/2 \\ &\leq \frac{\chi(1)}{q^{3s/5}} + q^{n-1/2} < \frac{\chi(1)}{q^{3s/5}} + \frac{2\chi(1)}{q^{s/3-1/6}(q+1)} < \frac{\chi(1)}{q^{s/3}}. \end{aligned}$$

If  $1 \le s < 4$ , then s < n, and so 2|s as shown in part (ii) of the proof of Proposition 2.12. Hence s = 2, and we again have

$$|\chi(g)| \le \frac{\chi(1)}{q^{3s/5}} + q^{n-1/2} < \frac{\chi(1)}{q^{3s/5}} + \frac{2\chi(1)}{q^{s/3+17/6}} < \frac{\chi(1)}{q^{s/3}}.$$

Finally, if s = 2n - 1, then  $d(x, g) \leq 1$  for all  $x \in \overline{\mathbb{F}}_q^{\times}$  by (2.21); moreover,  $d(\pm 1, g) = 0$ . Hence, instead of (2.29) we now have the stronger bound

$$|D_{\xi_1}(g) - D_{\xi_2}(g)| \le \frac{2(q^2 - 1) \cdot \sqrt{q}}{q(q^2 - 1)} = 2q^{-1/2}$$

whence  $|\chi(g)| \le \chi(1)q^{-3s/5} + q^{-1/2} < \chi(1)q^{-s/3}$ .

(ii) Next we consider the case  $G = \Omega_{2n+1}(q)$ . Then [21, Corollary 5.15] and [19, Proposition 5.7] show (in their notation) that  $\alpha = D_{\xi_1} - 1_G$ ,  $\beta = D_{\xi_2} - 1_G$ . Again using the formula for  $D_{\alpha}$  in [19, Lemma 5.5], the character table of  $SL_2(q)$  [5, Theorem 38.1], and part 1) of the proof of [19, Proposition 5.11], we obtain that

$$|\alpha(g) - \beta(g)| = |D_{\xi_1}(g) - D_{\xi_2}(g)| \le \frac{2(q^2 - 1)q^{n+1/2} \cdot \sqrt{q}}{q(q^2 - 1)} = 2q^n.$$
(2.30)

Suppose in addition that  $3 \le s \le 2n-2$ . Since  $d(x,g) \le 2n+1-s$  by (2.21), we have that

$$0 \le \rho(g) = 1 + \alpha(g) + \beta(g) \le \sum_{x \in \mu_{q-1}} \frac{q^{d(x,g)} - 1}{q - 1} \le q^{2n + 1 - s}$$

As  $\chi(1) \ge (q^n + 1)(q^n - q)/2(q - 1)$ , it follows that

$$|\alpha(g) + \beta(g)| \le q^{2n+1-s} - 1 < \frac{2(1-1/q)q^{2-s}\chi(1)}{(1+1/q^n)(1-1/q^{n-1})} < \frac{2(1-1/q)\chi(1)}{q^{s/3}(1-1/q^{n-1})}.$$

On the other hand, (2.30) implies that

$$|\alpha(g) - \beta(g)| \le \frac{4(1 - 1/q)\chi(1)}{q^{(s+4)/3}(1 - 1/q^{n-1})}$$

and so

$$\frac{|\chi(g)|}{\chi(1)} < \frac{(1-1/q)}{q^{s/3}(1-1/q^{n-1})} + \frac{2(1-1/q)}{q^{(s+4)/3}(1-1/q^{n-1})} < \frac{1}{q^{s/3}}$$

If s = 2n - 1 or 2n, then  $d(x, g) \leq 2$  for all  $x \in \overline{\mathbb{F}}_q^{\times}$  by (2.21). Hence, instead of (2.29) we now have the stronger bound

$$|\alpha(g) - \beta(g)| = |D_{\xi_1}(g) - D_{\xi_2}(g)| \le \frac{2(q^2 - 1)q^2 \cdot \sqrt{q}}{q(q^2 - 1)} = 2q^{3/2},$$

whence

$$|\chi(g)| < \frac{(1-1/q)q^{2-s}\chi(1)}{(1-1/q^{n-1})} + q^{3/2} < \chi(1)q^{-s/3}.$$

It remains to consider the case s = 1, 2, i.e. d(1, zg) = 2n or 2n-1 for some  $z \in \{1, -1\}$ . Using [27, Lemma 4.9], one can readily show that g fixes an orthogonal decomposition  $V = U \oplus U^{\perp}$ , with  $U \subset \text{Ker}(g - z \cdot 1_V)$  being non-degenerate of dimension 2n - 3, and

$$\dim_{\mathbb{F}_q} (U^\perp)^{zg} = 4 - s. \tag{2.31}$$

First we estimate  $\rho(g)$ . Suppose g(v) = tv for some singular  $0 \neq v \in V$  and  $t \in \mathbb{F}_q^{\times}$ . If  $t \neq z$ , then  $v \in U^{\perp}$ , and (2.31) implies that g can fix at most  $(q^s - 1)/(q - 1) \leq q + 1$  such singular 1-spaces  $\langle v \rangle_{\mathbb{F}_q}$ . Likewise, g fixes at most  $(q + 1)^2$  singular 1-spaces  $\langle v \rangle_{\mathbb{F}_q} \subset U^{\perp}$  with g(v) = zv, since dim  $U^{\perp} = 4$ . Assume now that g(v) = zv with  $v = u + u', 0 \neq u \in U$  and  $u' \in U^{\perp}$ . As 0 = Q(v) = Q(u) + Q(u'), the total number of such v is

$$N := \sum_{x \in \mathbb{F}_q} |\{ 0 \neq w \in U \mid \mathsf{Q}(w) = x\}| \cdot |\{ w' \in U^{\perp} \mid g(w') = zw', \mathsf{Q}(w') = -x\}|.$$

Note that, since U is a non-degenerate quadratic space of dimension 2n-3,

$$q^{n-2}(q^{n-2}-1) \le |\{0 \ne w \in U \mid \mathsf{Q}(w) = x\}| \le q^{n-2}(q^{n-2}+1)$$

for any  $x \in \mathbb{F}_q$ . On the other hand, (2.31) implies that

$$\sum_{x \in \mathbb{F}_q} |\{w' \in U^{\perp} \mid g(w') = zw', \mathsf{Q}(w') = -x\}| = |(U^{\perp})^{zg}| = q^{4-s}.$$

It follows that

$$q^{n+2-s}(q^{n-2}-1) \le N \le q^{n+2-s}(q^{n-2}+1),$$

and so

$$\frac{q^{n+2-s}(q^{n-2}-1)}{q-1} \le \rho(g) = 1 + \alpha(g) + \beta(g) \le q^2 + 3q + 2 + \frac{q^{n+2-s}(q^{n-2}+1)}{q-1}$$

Together with (2.30), this implies that

$$\frac{|\chi(g)|}{\chi(1)} \le \frac{(q^2-1)(q+2) + q^{n+2-s}(q^{n-2}+1) + 2q^n(q-1)}{(q^n+1)(q^n-q)} < \frac{1}{q^{s/2}}.$$

(iii) Finally, we consider the case  $G = \text{Sp}_{2n}(q)$ . In this case, arguing similarly to the proof of [19, Proposition 5.7], one can show that  $\{\alpha, \beta\} = \{D^{\circ}_{\lambda_0}, D^{\circ}_{\lambda_1}\}$ , where  $S = O^+_2(q) \cong$  $D_{2(q-1)}$ , with  $\lambda_0$ ,  $\lambda_1$  being the two linear characters trivial at  $SO_2^+(q)$ , and we consider the dual pair  $G \times S \to \operatorname{Sp}_{4n}(q)$ . In particular,  $\chi(1) \ge (q^n + 1)(q^n - q)/2(q - 1) > q^{2n - 4/3}$ . Now, the formula for  $D_{\alpha}$  in [19, Lemma 5.5], the character table of S, and part 1) of the proof of [19, Proposition 5.11] imply that

$$|\alpha(g) - \beta(g)| \le q^{(d(1,g) + d(-1,g))/2} \le q^{2n-s}.$$
(2.32)

On the other hand, using (2.21) we have  $0 \le \rho(g) = \alpha(g) + \beta(g) + 1 \le q^{2n-s} - 1$ . In particular, when  $s \ge 2$  we have

$$|\chi(g)| \le (|\alpha(g) + \beta(g)| + |\alpha(g) - \beta(g)|)/2 \le q^{2n-s} < \chi(1)q^{-s/3}.$$

Assume now that s = 1. Then g = zu for some  $z = \pm 1$  and unipotent  $u \in G$ ; furthermore,  $\rho(q) = (q^{2n-1} - 1)/(q - 1)$ . Applying also (2.32), we obtain

$$|\chi(g)| \le \left( |\alpha(g) + \beta(g)| + |\alpha(g) - \beta(g)| \right) / 2 \le \left( \frac{q^{2n-1} - q}{q-1} + q^{n-1/2} \right) / 2 < \chi(1)q^{-4s/5},$$
 and the proof is complete.

an

### 3. Classical groups: Proof of Theorem 1

Let p be a prime, and let  $G = \operatorname{Sp}(V)$  or  $\Omega(V)$ , where  $V = \mathbb{F}_q^n$  and  $q = p^f$ . Write  $G = \operatorname{Cl}_n(q)$  to cover both cases. As before, for a semisimple element  $g \in G$ , denote  $\nu(g) = \operatorname{supp}(g)$ , the codimension of the largest eigenspace of g over  $\mathbb{F}_q$  (see also (2.4)).

For n < 10, Theorem 1 can be easily proved by exactly the same method of proof of [20, Theorem 2] (improving the constant D in Lemma 2.3 of [20] by using better bounds for |G| and  $|C_G(g)|_p$ , where p is as above). So assume from now on that  $n \ge 10$ , so that the character ratio bounds in Propositions 2.12 and 2.13 apply.

We begin with a lemma analogous to [20, Lemma 3.2].

Lemma 3.1. For  $1 \leq s < n$ , define

$$N_s(G) := \{g \in G_{\mathrm{ss}} : \nu(g) = s\}$$

and let  $n_s(G) := |N_s(G)|$ .

- (i) If  $g \in N_s(g)$  and  $s < \frac{n}{2}$  then  $|\mathbf{C}_G(g)|_p < q^{\frac{1}{4}((n-s)^2 + s^2) v\frac{n-1}{2}}$ , where v = 0 or 1 according as G is symplectic or orthogonal.
- (ii) If  $g \in N_s(g)$  and  $s \ge \frac{n}{2}$  then  $|\mathbf{C}_G(g)|_p < q^{\frac{1}{4}(n^2 ns)}$ . (iii)  $\sum_{n-1 \ge s \ge n/2} n_s(G) < |G| < q^{\frac{1}{2}(n^2 + n) vn}$ , where v is as in (ii).

(iv) If s < n/2, then  $n_s(G) < cq^{\frac{1}{2}s(2n-s+1)+\frac{n}{2}}$ , where c is an absolute constant that can be taken to be 15.2.

*Proof.* (i) If  $\nu(g) = s < \frac{n}{2}$ , then the largest eigenspace of g has dimension  $n - s > \frac{n}{2}$ , so has eigenvalue  $\pm 1$ , and so  $\mathbf{C}_G(g) \leq \operatorname{Cl}_{n-s}(q) \times \operatorname{Cl}_s(q)$ . Part (i) follows.

(ii) Now suppose  $\nu(g) = s \geq \frac{n}{2}$ , and let  $E_{\lambda}$  ( $\lambda \in \overline{\mathbb{F}}_q$ ) be an eigenspace of maximal dimension n - s.

Assume first that  $\lambda \neq \pm 1$ . Then letting a and b denote the dimensions of the +1- and -1-eigenspaces, we have

$$\mathbf{C}_{G}(g) \leq \prod_{i=1}^{t} \mathrm{GL}_{d_{i}}(q^{k_{i}}) \times \mathrm{Cl}_{a}(q) \times \mathrm{Cl}_{b}(q), \qquad (3.1)$$

where  $n - s = d_1 \ge d_2 \ge \cdots \ge d_t$  and also  $d_1 \ge a \ge b$  and  $2\sum_{i=1}^{t} k_i d_i + a + b = n$ . Hence  $|\mathbf{C}_G(g)|_p \le q^D$ , where

$$D = \frac{1}{2} \sum_{i=1}^{t} k_i d_i (d_i - 1) + \frac{1}{4} (a^2 + b^2).$$
(3.2)

If  $n \ge 4d_1$ , this expression is maximised when  $a = b = d_1$  and  $(d_1, \ldots, d_t) = (d_1, \ldots, d_1, r)$ with  $r \le d_1$  and  $k_i = 1$  for all *i*. Hence in this case,

$$D \le \frac{1}{2}(t-1)d_1(d_1-1) + \frac{1}{2}r(r-1) + \frac{1}{2}d_1^2 = \frac{1}{2}td_1^2 - \frac{1}{2}(t-1)d_1 + \frac{1}{2}r(r-1),$$

and this is easily seen to be less than  $\frac{1}{4}nd_1$ , as required for part (ii).

Similarly, if  $4d_1 > n \ge 3d_1$ , the expression (3.2) is maximised when t = 1,  $k_1 = 1$ ,  $a = d_1$  and  $b = r < d_1$ ; and when  $3d_1 > n \ge 2d_1$  (note that  $n \ge 2d_1 = 2(n - s)$  by our assumption that  $\nu(g) = s \ge \frac{n}{2}$ ), the expression (3.2) is maximised when t = 1 and  $a = r < d_1$ . In each case, we see that  $D < \frac{1}{4}nd_1$  as above.

Assume finally that the eigenvalue  $\lambda = \pm 1$ . In this case the centralizer  $\mathbf{C}(g)$  is as in (3.1), with  $n - s = a \ge d_1 \ge \cdots \ge d_t$  and also  $a \ge b$  and  $2\sum_{1}^{t} k_i d_i + a + b = n$ . Again we have  $|\mathbf{C}_G(g)|_p \le q^D$ , with D as in (3.2), and we argue as above that  $D < \frac{1}{4}na = \frac{1}{4}n(n-s)$ . This completes the proof of (ii).

(iii) This is clear.

(iv) If  $\nu(g) = s < \frac{n}{2}$  then as in (i), the largest eigenspace of g has eigenvalue  $\pm 1$ , so we have  $\mathbf{C}_G(g) \ge \operatorname{Cl}_{n-s}(q) \times T_s$ , where  $T_s$  is a maximal torus of  $\operatorname{Cl}_s(q)$ . Hence  $|g^G| \le |G|$ :  $\operatorname{Cl}_{n-s}(q)T_s| \le q^{\frac{1}{2}s(2n-s+1)}$ . Also the number of conjugacy classes in G is at most  $15.2q^{n/2}$  by [7, Corollary 1.2], and (iv) follows.

**Lemma 3.2.** Let  $\chi \in \{\alpha, \beta, \gamma_i\}$ , where  $\alpha, \beta, \gamma_i$  are the irreducible characters of G defined in Section 2.1. Then  $\mathsf{St} \subseteq \chi^{4n}$ .

*Proof.* As in the proof of [20, Lemma 2.3], there are signs  $\epsilon_q = \pm 1$  such that

$$\begin{aligned} [\chi^l, \mathsf{St}]_G &= \frac{1}{|G|} \sum_{g \in G_{\mathrm{ss}}} \epsilon_g \chi^l(g) |\mathbf{C}_G(g)|_p \\ &= \frac{\chi^l(1)}{|G|} \left( |G|_p + \sum_{1 \neq g \in G_{\mathrm{ss}}} \epsilon_g \left( \frac{\chi(g)}{\chi(1)} \right)^l |\mathbf{C}_G(g)|_p \right). \end{aligned}$$
(3.3)

Hence  $[\chi^l, \mathsf{St}]_G \neq 0$  provided  $\Sigma_l < |G|_p$ , where

$$\Sigma_l := \sum_{1 \neq g \in G_{ss}} \left| \frac{\chi(g)}{\chi(1)} \right|^l |\mathbf{C}_G(g)|_p.$$

By Propositions 2.12 and 2.13, if  $s = \nu(g)$  we have

$$\frac{|\chi(g)|}{\chi(1)} \le \frac{1}{q^{s/3}}$$

Hence applying Lemma 3.1, we have  $\Sigma_l \leq \Sigma_1 + \Sigma_2$ , where

$$\begin{split} \Sigma_1 &= \sum_{1 \le s < \frac{n}{2}} cq^{\frac{1}{2}s(2n-s+1)+\frac{n}{2}} \cdot \frac{1}{q^{l_s/3}} \cdot q^{\frac{1}{4}((n-s)^2+s^2)-v\frac{n-1}{2}} \\ \Sigma_2 &= \sum_{\frac{n}{2} \le s < n} q^{\frac{1}{2}(n^2+n)-vn} \cdot \frac{1}{q^{l_s/3}} \cdot q^{\frac{1}{4}(n^2-ns)}. \end{split}$$

For a term in  $\Sigma_1$ , the exponent of q is

$$\frac{1}{4}n^2 - v\frac{n-1}{2} + \frac{1}{2}s(n+1) + \frac{1}{2}n - \frac{ls}{3}.$$

As  $|G|_p \leq q^{\frac{1}{4}n^2 - v\frac{n-1}{2}}$ , taking l = 4n this gives

$$\begin{split} \frac{\Sigma_1}{G|_p} &\leq \sum_{1 \leq s < \frac{n}{2}} cq^{\frac{1}{2}s(n+1) + \frac{n}{2} - \frac{ls}{3}} \\ &\leq \sum_{1 \leq s < \frac{n}{2}} cq^{\frac{1}{2}n(1 - \frac{5s}{3}) + \frac{s}{2}}. \end{split}$$

Recalling that c = 15.2, it follows that  $\frac{\Sigma_1}{|G|_p} < \frac{1}{2}$  (except for  $q = 2, n \leq 20$ , in which case we obtain the same conclusion using slightly more refined estimates instead of Lemma 3.1(iv)).

For a term in  $\Sigma_2$ , the exponent of q is

$$\frac{1}{2}(n^2+n) - vn + \frac{1}{4}n(n-s) - \frac{ls}{3},$$

and leads similarly to the inequality  $\frac{\Sigma_2}{|G|_p} < \frac{1}{2}$  when l = 4n.

We conclude that  $\Sigma_l < |G|_p$  for l = 4n, proving the lemma.

Proof of Theorem 1. (i) View  $G = \tilde{G}/\mathbb{Z}(\tilde{G})$ , where  $\tilde{G} = \operatorname{Sp}_n(q)$ , respectively  $\tilde{G} = \Omega_n^{\epsilon}(q)$ . Let  $1 \neq \psi \in \operatorname{Irr}(\tilde{G})$ . By Lemma 3.2 together with Lemmas 2.2 and 2.3, we have  $\operatorname{St} \subseteq \psi^{8n}$  for  $\tilde{G} = \operatorname{Sp}_n(q)$ , and  $\operatorname{St} \subseteq \psi^{16n}$  for  $\tilde{G} = \Omega_n^{\epsilon}(q)$ . Since  $\operatorname{Ker}(\operatorname{St}) = \mathbb{Z}(\tilde{G})$  and  $\operatorname{St}^2$  contains all irreducible characters of G by [15], the conclusion (i) of Theorem 1 follows.

(ii) Note that  $G/\operatorname{Ker}(\alpha)$  is an *e*-fold cover of the simple group  $S := \operatorname{PSp}_n(q)$  or  $P\Omega_n^{\epsilon}(q)$ , where  $e \in \{1, 2, 4\}$  (and e = 4 only when  $S = P\Omega_{2r}^{\epsilon}(q)$  with  $2 \nmid r$  and  $4|(q^r - \epsilon))$ ). By [20, Theorem 5.1], there exists some  $1_S \neq \beta \in \operatorname{Irr}(S)$  such that

diam 
$$\mathcal{M}(G/\operatorname{Ker}(\alpha), \alpha) \le e \operatorname{diam} \mathcal{M}(S, \beta) + e - 1$$

Hence the conclusion (ii) of Theorem 1 follows from (i).

# 4. Alternating groups: Proof of Theorem 2

In this section we prove Theorem 2.

**Lemma 4.1.** Let n := m(m+1)/2 with  $m \in \mathbb{Z}_{\geq 6}$ , and let  $\chi_m := \chi^{(m,m-1,\dots,1)}$  be the staircase character of  $S_n$ . Then

$$\chi_m(1) \ge |\mathsf{S}_n|^{5/11}.$$

*Proof.* We will proceed by induction on  $m \ge 6$ . The induction base m = 6, 7 can be checked directly. For the induction step going from m to m + 2, note by the hook length formula that  $\chi_m(1) = n!/H_m$ , where  $H_m$  is the product of all the hook lengths in the Young diagram of the staircase partition (m, m - 1, ..., 1). Hence it is equivalent to to prove that

$$(m(m+1)/2)! > H_m^{11/6}.$$

Since the statement holds for m and  $H_{m+2}/H_m = (2m+3)!!(2m+1)!!$  (where  $(2k+1)!! = \prod_{i=0}^{k} (2i+1)$  for any  $k \in \mathbb{Z}_{\geq 0}$ ), it suffices to prove that

$$\prod_{i=1}^{2m+3} \left( m(m+1)/2 + i \right) > \left( (2m+3)!!(2m+1)!! \right)^{11/6}$$
(4.1)

for any  $m \ge 6$ . Direct computation shows that (4.1) holds when  $3 \le m \le 40$ . When  $m \ge 40$ , note that

$$\prod_{i=1}^{2m+3} (m(m+1)/2+i) > (m(m+1)/2+1)^{2m+3} > ((m+3)^{m+1}(m+2)^m)^{11/6} > ((2m+3)!!(2m+1)!!)^{11/6},$$

proving (4.1) and completing the induction step.

Proof of Theorem 2. We will make use of [25, Theorem 1.4] which states that there exists an effective absolute constant  $C_1 \geq 2$  such that

$$\chi^t$$
 contains  $\operatorname{Irr}(\mathsf{S}_n)$  whenever  $t \ge C_1 n \log(n) / \log(\chi(1))$  (4.2)

for every non-linear  $\chi \in Irr(S_n)$ . With this, we will prove that when n is sufficiently large we have

$$\varphi^{\kappa}$$
 contains  $\operatorname{Irr}(\mathsf{A}_n)$  whenever  $k \ge Cn \log(n) / \log(\varphi(1))$  (4.3)

for every nontrivial  $\varphi \in \operatorname{Irr}(A_n)$ , with  $C = 5C_1^2$ .

(i) Consider any  $n \ge 5$  and any nontrivial  $\varphi \in \operatorname{Irr}(\mathsf{A}_n)$ . If  $\varphi$  extends to  $\mathsf{S}_n$ , then we are done by (4.2). Hence we may assume that  $\varphi$  lies under some  $\chi^{\lambda} \in \operatorname{Irr}(\mathsf{S}_n)$ , where  $\lambda \vdash n$  is self-associate, and that n is sufficiently large. By [16, Proposition 4.3], the latter implies that

$$\varphi(1) \ge 2^{(n-5)/4}.$$
 (4.4)

Consider the Young diagram  $Y(\lambda)$  of  $\lambda$ , and let A denote the removable node in the last row of  $Y(\lambda)$ . Also let  $\rho := \chi^{\lambda \setminus A} \in \operatorname{Irr}(\mathsf{S}_{n-1})$ . Since  $\lambda \setminus A$  is not self-associate,  $\rho$  is also irreducible over  $\mathsf{A}_{n-1}$ . Furthermore, by Frobenius' reciprocity,

$$1 \le [(\chi^{\lambda})|_{\mathsf{S}_{n-1}}, \rho]_{\mathsf{S}_{n-1}} = [\chi^{\lambda}, \mathrm{Ind}_{\mathsf{S}_{n-1}}^{\mathsf{S}_n}(\rho)]_{\mathsf{S}_n},$$

whence  $2\varphi(1) = \chi^{\lambda}(1) \leq \operatorname{Ind}_{\mathsf{S}_{n-1}}^{\mathsf{S}_n}(\rho)(1) = n\rho(1)$ , and so

$$\rho(1) \ge (2/n)\varphi(1). \tag{4.5}$$

It follows from (4.4) and (4.5) that when n is large enough,

$$\log(\rho(1)) \ge \log(\varphi(1)) - \log(n/2) \ge (9/10)\log(\varphi(1)).$$

Now we consider any integer

$$s \ge \frac{10C_1}{9} \cdot \frac{n\log(n)}{\log(\varphi(1))}.$$
(4.6)

This ensures that  $s \ge C_1(n-1)\log(n-1)/\log(\rho(1))$ , and so, by (4.2) applied to  $\rho$ ,  $\rho^s$  contains  $\operatorname{Irr}(\mathsf{S}_{n-1})$ .

(ii) Next, we can find a unique  $m \in \mathbb{Z}_{\geq 3}$  such that

$$n_0 := m(m+1)/2 \le n-3 < (m+1)(m+2)/2, \tag{4.7}$$

and consider the following partition

$$\mu := (n - 1 - m(m - 1)/2, m - 1, m - 2, \dots, 2, 1)$$
(4.8)

of n-1. Note that  $\mu$  has m rows, with the first (longest) row

$$\mu_1 = n - 1 - m(m - 1)/2 \ge m + 2$$

by (4.7). Hence, if B is any addable node for the Young diagram  $Y(\mu)$  of  $\mu$ ,  $Y(\mu \sqcup B)$  has at most m + 1 rows and at least m + 2 columns, and so is not self-associate. It follows that, for any such B, the character  $\chi^{\mu \sqcup B}$  of  $S_n$  is irreducible over  $A_n$ .

(iii) Recall that  $\chi^{\lambda}|_{\mathsf{A}_n} = \varphi + \varphi^*$  with  $\varphi^*$  being  $\mathsf{S}_n$ -conjugate to  $\varphi$ . It suffices to prove (4.3) for an  $\mathsf{S}_n$ -conjugate of  $\varphi$ . As  $\chi^{\lambda}|_{\mathsf{S}_{n-1}}$  contains  $\rho = \chi^{\lambda \setminus A}$  which is irreducible over  $\mathsf{A}_{n-1}$ , without loss we may assume that  $\varphi|_{\mathsf{A}_{n-1}}$  contains  $\rho|_{\mathsf{A}_{n-1}}$ . By the result of (i),  $\rho^s$  contains  $\chi^{\mu}$ , with  $\mu$  defined in (4.8) Thus

$$1 \le [\varphi^{s}|_{\mathsf{A}_{n-1}}, (\chi^{\mu})|_{\mathsf{A}_{n-1}}]_{\mathsf{A}_{n-1}} = \left[\varphi^{s}, \operatorname{Ind}_{\mathsf{A}_{n-1}}^{\mathsf{A}_{n}} ((\chi^{\mu})|_{\mathsf{A}_{n-1}})\right]_{\mathsf{A}_{n}}.$$
(4.9)

Also recall that  $\chi^{\mu}$  is an  $S_{n-1}$ -character and  $S_n = A_n S_{n-1}$ . Hence

$$\operatorname{Ind}_{\mathsf{A}_{n-1}}^{\mathsf{A}_n}\left((\chi^{\mu})|_{\mathsf{A}_{n-1}}\right) = \left(\operatorname{Ind}_{\mathsf{S}_{n-1}}^{\mathsf{S}_n}(\chi^{\mu})\right)|_{\mathsf{A}_n}.$$

Next,

$$\operatorname{Ind}_{\mathsf{S}_{n-1}}^{\mathsf{S}_n}(\chi^{\mu}) = \sum_{B \text{ addable}} \chi^{\mu \sqcup B}$$

where, as shown in (ii), each such  $\chi^{\mu \sqcup B}$  is irreducible over  $A_n$ . Hence, it now follows from (4.9) that there is an addable node  $B_0$  for  $Y(\mu)$  that  $\varphi^s$  contains  $\psi|_{A_n}$ , with  $\psi := \chi^{\mu \sqcup B_0}$ .

(iv) By the choice of  $B_0$ ,  $\psi|_{\mathsf{S}_{n-1}}$  contains  $\chi^{\mu}$ , whence  $\psi(1) \geq \chi^{\mu}(1)$ . Next, by (4.7), we can remove  $n - 1 - n_0 \geq 2$  nodes from the first row to arrive at the staircase partition  $(m, m - 1, \ldots, 1) \vdash n_0$ . In particular,  $\psi|_{\mathsf{S}_{n_0}}$  contains the character  $\chi_m$  of  $\mathsf{S}_{n_0}$ . By Lemma 4.1, for n sufficiently large we have

$$\log(\psi(1)) \ge \log(\chi_m(1)) \ge (5/11) \log(n_0!) \ge (2/5)n \log(n), \tag{4.10}$$

since

$$n_0 = m(m+1)/2 \ge n - (m+2) \ge n - (3/2 + \sqrt{2n-4})$$

by the choice (4.7) of *m*. Now we consider the integer  $t := \lfloor (5/2)C_1 \rfloor \leq 3C_1$  (since  $C_1 \geq 2$ ). Then

$$C_1 n \log(n) / \log(\psi(1)) \le (5/2)C_1 \le t$$

by (4.10), and so  $\psi^t$  contains  $\operatorname{Irr}(S_n)$  by (4.2) applied to  $\psi$ . In particular,  $(\psi^t)|_{A_n}$  contains  $\operatorname{Irr}(A_n)$ .

Recall from (iii) that  $\varphi^s$  contains the irreducible character  $\psi|_{\mathsf{A}_n}$ . It follows that  $\varphi^{st}$  contains  $(\psi^t)|_{\mathsf{A}_n}$ , and so  $\varphi^{st}$  contains  $\operatorname{Irr}(\mathsf{A}_n)$ .

(v) Finally, consider any integer  $k \ge Cn \log(n)/\varphi(1)$  with  $C = 5C_1^2$ . Then

 $k/t \ge k/3C_1 \ge (5/3)C_1 n \log(n) / \log(\varphi(1)).$ 

As  $C_1 \ge 1$  and  $n \log(n) / \log(\varphi(1) \ge 2)$ , we have that

$$(5/3 - 10/9)C_1 n \log(n) / \log(\varphi(1)) \ge 10/9.$$

In particular, we can find an integer  $s_0$  such that

$$k/t \ge s_0 \ge (10/9)C_1 n \log(n) / \log(\varphi(1)).$$

As s satisfies (4.6), the result of (iv) shows that  $\varphi^{s_0t}$  contains  $\operatorname{Irr}(A_n)$ .

Now, given any  $\gamma \in \operatorname{Irr}(A_n)$ , we can find an irreducible constituent  $\delta$  of  $\varphi^{k-s_0t}\overline{\gamma}$ . By the previous result,  $\varphi^{s_0t}$  contains  $\overline{\delta}$ . It follows that  $\varphi^k$  contains  $\varphi^{k-s_0t}\overline{\delta}$ , and

$$[\varphi^{k-s_0t}\overline{\delta},\gamma]_{\mathsf{A}_n} = [\varphi^{k-s_0t}\overline{\gamma},\delta]_{\mathsf{A}_n} \ge 1,$$

i.e.  $\varphi^k$  contains  $\gamma$ , and the proof of (4.3) is completed.

#### 5. Products of characters

5.1. Products of characters in groups of Lie type. Here we prove Theorem 3(i). This is very similar to the proof of Theorem 2 of [20]. Let  $G = G_r(q)$  be a simple group of Lie type of rank r over  $\mathbb{F}_q$ .

**Lemma 5.1.** There is an absolute constant D such that for any  $m \ge Dr^2$  and any  $\chi_1, \ldots, \chi_m \in \operatorname{Irr}(G)$ , we have  $[\prod_{i=1}^{m} \chi_i, \operatorname{St}]_G \neq 0$ . Indeed, D = 163 suffices.

*Proof.* This is proved exactly as for [20, Lemma 2.3], replacing the power  $\chi^m$  by the product  $\prod_{i=1}^{m} \chi_i$ .

Proof of Theorem 3(i). Take  $c_1 = 3D$  with D as in the lemma, and let  $\chi_1, \ldots, \chi_l \in \operatorname{Irr}(G)$  with  $l = c_1 r^2$ . Writing  $m = l/3 = Dr^2$ , Lemma 5.1 shows that each of the products  $\prod_{1}^{m} \chi_i, \prod_{m+1}^{2m} \chi_i$  and  $\prod_{2m+1}^{3m} \chi_i$  contains St. Hence  $\prod_{1}^{l} \chi_i$  contains St<sup>3</sup>, and this contains  $\operatorname{Irr}(G)$  by [20, Prop. 2.1]. This completes the proof.

5.2. Products of characters in linear and unitary groups. In this subsection we prove Theorem 3(ii). This is similar to the proof of Theorem 3 of [20]. Let  $G = PSL_n^{\epsilon}(q)$ .

We shall need [20, Theorem 3.1], which states that there is a function  $f : \mathbb{N} \to \mathbb{N}$  such that for any  $g \in G_{ss}$  with  $s = \nu(g)$ , and any  $\chi \in Irr(G)$ , we have

$$|\chi(g)| < f(n)\chi(1)^{1-\frac{s}{n}}.$$
(5.1)

Again we begin with a lemma involving the Steinberg character.

**Lemma 5.2.** Let  $m \in \mathbb{N}$  and let  $\chi_1, \ldots, \chi_m \in \operatorname{Irr}(G)$ . Set c = 44.1, and define

$$\Delta_{1m} = cf(n)^m \sum_{1 \le s < n/2} q^{ns + \frac{3n}{2} - 1} \left(\prod_{1}^m \chi_i(1)\right)^{-s/n},$$
  
$$\Delta_{2m} = f(n)^m \sum_{n/2 \le s < n} q^{n^2 - \frac{1}{2}n(s-1) - 1} \left(\prod_{1}^m \chi_i(1)\right)^{-s/n}$$

If  $\Delta_{1m} + \Delta_{2m} < 1$ , then  $[\prod_{i=1}^{m} \chi_i, \operatorname{St}]_G \neq 0$ .

*Proof.* Arguing as in the proof of [20, Lemma 3.3], we see that  $[\prod_{i=1}^{m} \chi_i, \mathsf{St}]_G \neq 0$  provided  $\Delta_m < 1$ , where

$$\Delta_m := \sum_{1 \le s < n/2} cq^{ns + \frac{3n}{2} - 1} \left| \prod_{1}^m \frac{\chi_i(g_{i,s})}{\chi(1)} \right| + \sum_{n/2 \le s < n} q^{n^2 - \frac{1}{2}n(s-1) - 1} \left| \prod_{1}^m \frac{\chi(g_{i,s})}{\chi(1)} \right|,$$

where  $g_{i,s} \in G_{ss}$  is chosen such that  $\nu(g_{i,s}) = s$  and  $|\chi_i(g_{i,s})|$  is maximal. Now application of (5.1) gives the conclusion.

**Lemma 5.3.** There is a function  $g : \mathbb{N} \to \mathbb{N}$  such that the following holds. Suppose that  $\chi_1, \ldots, \chi_m \in \operatorname{Irr}(G)$  satisfy  $\prod_{i=1}^{m} \chi_i(1) > |G|^3$ . Then provided q > g(n), we have  $[\prod_{i=1}^{m} \chi_i, \operatorname{St}]_G \neq 0$ .

*Proof.* We have  $|G| > \frac{1}{2}q^{n^2-2}$ , so for s < n,

$$\left(\prod_{1}^{m} \chi_i(1)\right)^{-s/n} < 8q^{-3ns + \frac{6s}{n}}.$$

Hence

$$\Delta_{1m} \le 8cf(n)^m \sum_{1 \le s < n/2} q^{-2ns + \frac{3n}{2} + 2},$$

and

$$\begin{aligned} \Delta_{2m} &\leq 8f(n)^m \sum_{n/2 \leq s < n} q^{n^2 - \frac{1}{2}n(s-1) - 1} q^{-3ns+6} \\ &\leq 8f(n)^m \sum_{n/2 \leq s < n} q^{-\frac{3n^2}{4} + \frac{1}{2}n + 5}. \end{aligned}$$

Now the conclusion follows from Lemma 5.2 (using some slight refinements of the above inequalities for  $n \leq 4$ ). 

Proof of Theorem 3(ii). Assume  $\chi_1, \ldots, \chi_l \in \operatorname{Irr}(G)$  satisfy  $\prod_{i=1}^{l} \chi_i(1) > |G|^{10}$ . Since  $\chi_i(1) < |G|^{10}$ .  $|G|^{1/2}$  for all *i*, there are disjoint subsets  $I_1, I_2, I_3$  of  $\{1, \ldots, m\}$  such that  $\prod_{i \in I_k} \chi_i(1) > |G|^3$ for k = 1, 2, 3. Then  $\prod_{i \in I_k} \chi_i$  contains St for each k, by Lemma 5.3, and so  $\prod_{i=1}^{l} \chi_i$  contains  $St^3$ , hence contains Irr(G), completing the proof. 

# 5.3. Products of characters in symmetric and alternating groups.

**Proposition 5.4.** Let  $G \in \{S_n, A_n\}$ ,  $l \in \mathbb{Z}_{\geq 1}$ , and let  $\chi_1, \chi_2, \ldots, \chi_l \in Irr(G)$  with  $\chi_i(1) >$ 1 for all i.

- (i) If  $l \ge 8n 11$ , then  $\left(\prod_{i=1}^{l} \chi_i\right)^2$  contains  $\operatorname{Irr}(G)$ . (ii) Suppose that, for each  $1 \le i \le l$ , there exists some  $j \ne i$  such that  $\chi_j = \chi_i$ . If  $l \geq 24n - 33$  then  $\prod_{i=1}^{l} \chi_i$  contains  $\operatorname{Irr}(G)$ .

*Proof.* (i) Let  $\chi^{\lambda}$  denote the irreducible character of  $S_n$  labeled by the partition  $\lambda \vdash n$ . A key result established in the proof of [20, Theorem 5] is that, for any *i* there exists

$$\alpha_i \in \left\{ \chi^{(n-1,1)}, \chi^{(n-2,2)}, \chi^{(n-2,1^2)}, \chi^{(n-3,3)} \right\}$$

such that  $\chi_i^2$  contains  $(\alpha_i)|_G$ . Since  $l \ge 8n - 11$ , there must be some

$$\beta \in \left\{ \chi^{(n-1,1)}, \chi^{(n-2,2)}, \chi^{(n-2,1^2)}, \chi^{(n-3,3)} \right\}$$

such that  $\beta = \alpha_i$  for at least 2n - 2 distinct values of *i*. It follows that  $\left(\prod_{i=1}^l \chi_i\right)^2 = \gamma \delta$ , where  $\gamma := \beta^{2n-2}|_G$ , and  $\delta$  is a character of *G*. By [20, Theorem 5],  $\beta^{2n-2}$  contains  $\operatorname{Irr}(S_n)$ , whence  $\gamma$  contains  $\operatorname{Irr}(G)$ . Now the arguments in the last paragraph of the proof of Theorem 2 show that  $\gamma \delta$  contains Irr(G) as well.

(ii) Note that the assumptions imply, after a suitable relabeling, that  $\prod_{i=1}^{l} \chi_i$  contains  $\sigma\lambda$ , where  $\lambda$  is a character of G and

$$\sigma = \prod_{i=1}^{8n-11} \chi_i^2.$$

(Indeed, any subproduct  $\chi_{i_1} \dots \chi_{i_t}$  with t > 1 and  $\chi_{i_1} = \dots = \chi_{i_t}$  yields a term  $(\chi_{i_1}^2)^{\lfloor t/2 \rfloor}$ in  $\sigma$ .) By (i),  $\sigma$  contains Irr(G), and so we are done as above. 

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