# An imperfect tool: COVID-19 'test & trace' success relies on minimising the impact of false negatives and continuation of physical distancing.

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#### Abstract

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The increasingly evident role of asymptomatic and pre-symptomatic trans-2 mission means testing is central to COVID-19 control, but test sensitivity 3 estimates are low (around 65%). We extend an existing branching pro-4 cess contact tracing model, adding diagnostic testing and refining parameter 5 estimates. Poor test sensitivity potentially reduces the efficacy of contact 6 tracing, due to false-negative results impacting quarantine. We show that, 7 counter-intuitively, faster testing could also reduce operational test sensitiv-8 ity, exacerbating this effect. If sensitivity-based risks are mitigated, we find 9 that contact tracing can facilitate control, but small changes in the popula-10 tion reproduction number (1.3 to 1.5) could impact contact tracing feasibility. 11

### 12 Main

In December 2019, SARS-CoV-2, a novel coronavirus strain, was detected 13 in Hubei Province, China [1]. By 31st January 2020 the first UK cases of 14 COVID-19, the disease caused by SARS-CoV-2, were confirmed [2]. Ini-15 tial modelling studies indicated that fast and effective contact tracing could 16 contain the UK outbreak in most settings [3, 4]. However, by 20th March 17 there were almost 4,000 confirmed cases nationwide [5], at which point the 18 UK Government halted national contact tracing and scaled up physical dis-19 tancing measures, including the closure of schools and social venues, ex-20 tending to heightened restrictions on non-essential travel, outdoor activities 21 and between-household social mixing [6]. Similar patterns occurred in other 22 countries [7, 8]. 23

By early May 2020 these measures were estimated to have reduced the effective reproduction number, R, from 2.6 to 0.62 [9, 10] and so from 12th– 13th May in England some limitations on outdoor exercise were lifted and workers encouraged to return to work if they could maintain physical distancing [11].

Capacity for diagnostic testing in the UK, as in other countries, has been 29 escalated over recent months, with capacity reaching over 300,000 tests a day 30 by the end of June (https://coronavirus.data.gov.uk/testing). Cur-31 rently, testing of asymptomatic individuals is limited to staff and patients 32 in NHS and social care facilities [12], but on the 28th of May the UK Gov-33 ernment rolled out the initial stages of their 'Test & Trace' contact tracing 34 programme to the general population, which aims to follow chains of trans-35 mission and use isolation to prevent onward spread. Since the beginning of 36 'Test & Trace' over 3 million people were tested by the end of July, just under 37 50,000 of which were positive [13]. 38

Crucially, the current strategy only tests symptomatic contacts and noti-39 fies individuals that they no longer need to isolate following a negative test, 40 which comes back in 24 or 48 hours depending on type of test [13]. However, 41 there are critical limitations to the diagnostic test, with poor sensitivity (cur-42 rent estimates imply close to 65% [14, 15]), especially in community-based 43 settings, leading to high false negative rates which are exacerbated by high 44 variability in symptom severity [15]. Infectious individuals who test falsely 45 negative may prematurely resume their normal activities, contributing to 46 ongoing chains of transmission: reliance on testing of contacts will always 47 reduce the effectiveness of contact tracing, potentially substantially [16]. 48

Imperfect adherence and the innate difficulties in identifying contacts will
pose challenges for 'Test & Trace', particularly in crowded urban settings [17].
Therefore, evaluating both the limitations of contact tracing and how to
maximise its effectiveness could be crucial in preventing an exponential rise in
cases, which might see contact tracing capacity rapidly exceeded and stricter
physical distancing measures required [18].

As our knowledge of the transmission dynamics of SARS-CoV-2 grows, 55 extending Hellewell et al.'s 3 UK-focused contact tracing study with new 56 insights could inform this 'Test & Trace' strategy. The key conclusion of the 57 initial study was that highly effective contact tracing would be sufficient to 58 control an initial outbreak of COVID-19 in the UK, however substantial new 59 evidence supports much higher pre- and asymptomatic transmission rates 60 than had initially been considered [19, 20, 21]. The focus on rapid testing 61 in the UK contact tracing programme also requires a detailed assessment of 62 the associated trade-offs through mechanistic modelling of the testing pro-63 cess. Up-to-date modelling studies are therefore needed to investigate the 64 feasibility of contact tracing and the conditions under which it is effective. 65

We use improved incubation period and serial interval estimates [22, 23, 24], consider imperfect self-reporting and tracing rates and simulate the use of diagnostic tests for both detection and tracing of asymptomatic infection chains. We also simulate decision-making regarding quarantine procedures for traced individuals and explore the trade-offs introduced by poor test sensitivity, particularly when negative test results are used to advise individuals to cease self-isolation.

### $_{73}$ Results

#### 74 Testing

<sup>75</sup> By comparing the time individuals are tested after exposure in the model <sup>76</sup> to temporal estimates of PCR test sensitivity [15] we see that an average of <sup>77</sup> 65% sensitivity is a relatively realistic expectation if testing is conducted 2 or <sup>78</sup> more days post-isolation (Figure 1a). Test sensitivity is expected to peak at <sup>79</sup> just over 75% 8 days post-exposure, but the majority of testing in the model <sup>80</sup> occurs between 4 and 7 days post-exposure, where sensitivity estimates have <sup>81</sup> more variance.

In the case of a 2 day testing delay, 29.3% of cases are tested before 5 days post-exposure, at which point sensitivity estimates are around 62%, and only

10.2% of cases are tested before 4 days post-exposure. The time-weighted average of expected test sensitivity for all cases tested in the model with a 2 day delay is 68.0%. For a 4 day test delay, less than 1% of cases are tested before day 5 post-exposure, but just under 10% are tested after day 14, when test sensitivity drops to 61%.

However, in the case of immediate testing, 65% sensitivity could be a substantial over-estimate, with 64.5% of cases being tested before 5 days post-exposure and 48.9% before 4 days, meaning the test could be less than 33% sensitive for around half of all cases tested.

Even if test sensitivity was constant, the timing of testing and quarantine 93 duration has an impact on the risk of a large outbreak (at least 2,000 cases) 94 and can undermine the positive impact of testing within a contact tracing 95 programme. The probability of a large outbreak occurring is greater with 96 an assumed test sensitivity of 65% compared to scenarios where no testing 97 was carried out at all if testing is rapid and results in an immediate return 98 to normal behaviour (Figure 1b, upper left panel). This result was observed 90 across all contact tracing coverage rates. The deleterious effect of releasing 100 false negative cases is mitigated by using a precautionary seven-day quaran-101 tine period, which reduced the risk of a large outbreak 11.7% to 3.9% for 102  $R_S = 1.3$  with 60% contact tracing (Figure 1b). 103

<sup>104</sup> A two day delay in carrying out the tests also led to a decrease in the <sup>105</sup> probability of a large outbreak, from 11.7% to 6.3% for  $R_S$  of 1.3. Combining <sup>106</sup> the two-day delay in testing and the seven-day precautionary quarantine <sup>107</sup> reduced the risk of a large outbreak further, from 11.7% to 3.4% for  $R_S =$ <sup>108</sup> 1.3 and 60% contact tracing.

In the case of instant testing and an immediate end to quarantine if the test is negative, there was a comparatively small benefit from scaling up of contact tracing coverage from 0% to 100%, implying that much of the potential positive impact of contact tracing could be lost if such an approach were taken.

Whilst a test with 65% sensitivity and no minimum quarantine period can reduce the benefits of contact tracing, if a test were to be 95% sensitive, this would improve the outcome compared to no testing in all scenarios. However, with a two-day test delay and seven-day precautionary quarantine a 65% sensitive test is almost as effective in reducing transmission as a 95% sensitive test due to this strategy ensuring quarantine of all cases during peak transmission periods irrespective of test result.

#### 121 Case detection

Even with perfect contact tracing and employing good diagnostic practices 122 (100% of contacts traced in 24 hours and a minimum quarantine period of 123 7 days), a large proportion of cases are likely to go unobserved (Figure 2). 124 High levels of symptomatic self-reporting to the tracing programme and im-125 proved test sensitivity can increase case detection: 95% sensitivity and 100% 126 self-reporting gives an increase from 30.5% to 73.9% compared to 65% sensi-127 tivity and 50% self-reporting (both for  $R_{\rm S} = 1.3$ ). However, this still results 128 in 26.1% of cases being missed, hence detecting every case is essentially in-129 feasible. 130

#### <sup>131</sup> Super-spreading events

Every missed case is a potential new chain of transmission and, given the high heterogeneity in the secondary case distribution, characterised by dispersion parameter k, there is a substantial risk of super-spreading events. Considering a scenario with poor adherence to self-reporting guidelines and where one missed case leads to a cluster of either 5 or 100 new cases, we assume observation of the outbreak only occurs when the first case is hospitalised, after which contact tracing may be initiated (Figure 3a and b).

For a cluster of 5 new cases the median total unobserved outbreak size 139 before the first case is hospitalised is 13 cases for  $R_S = 1.3$  and 16 cases for 140  $R_S = 1.5$ , which translates to 8.0% and 36.9% probability of a large outbreak 141 respectively if 60% contact tracing can be implemented (Figure 3c). For a 142 cluster of 100 new cases the median is 219 for  $R_S = 1.3$  and 238 for  $R_S = 1.5$ , 143 translating to 36.3% and 84.9% probability of a large outbreak with 60%144 contact tracing. This emphasises the importance of maintaining physical 145 distancing measures that restrict the attendance of indoor social gatherings 146 to avoid super-spreading events which could rapidly escalate. 147

#### 148 Additional observations

More generally, the probability of a large outbreak given the current outbreak size (Figure 3c) could be used to assess at what point during an epidemic contact tracing would be unable to control transmission, as well as to inform targets for coverage and speed. In our model both the time taken to trace contacts and the proportion of contacts traced had effects on the risk of a large outbreak, although increasing tracing speed may have the counter-effect

of reducing average test sensitivity due to impact on test timing with respectto exposure.

<sup>157</sup> We also found that higher contact tracing coverage results in a lower <sup>158</sup> overall number of individuals which are traced, tested and quarantined, due <sup>159</sup> to the lower outbreak size. This means that achieving greater efficacy in <sup>160</sup> tracing will ultimately require fewer resources. However, these resources are <sup>161</sup> likely to be needed in a more condensed period of time.

### 162 Conclusions

Our results show that with a test sensitivity of 65%, fast testing which rec-163 ommends infected but false-negative individuals to cease quarantine could be 164 counter-productive, undermining contact tracing efforts, and may be worse 165 than not testing. However the impact of low test sensitivity could be miti-166 gated by applying a minimum quarantine period to all traced contacts and 167 using positive tests to prompt further contact tracing. This would allow 168 negative individuals to leave quarantine comparatively early, but not imme-169 diately upon receipt of test result. Simply slowing down the decision-making 170 process, so any false negative tests occur later in the infectious period, will 171 also reduce the amount of transmission caused by premature cessation of 172 quarantine and potentially increase likelihood of a more accurate test re-173 sult [15]. Control policies in some countries are being designed to account 174 for the high proportion of false negative individuals: for instance Greece 175 requires negative-testing international arrivals to self-quarantine for seven 176 days [25]; in Singapore two negative tests 24 hours apart are required to 177 release from quarantine [26]. 178

We show that even a test with low (65%) sensitivity can improve contact 170 tracing outcomes if the impact of false negative cases can be limited by 180 employing appropriate precautionary measures. This effect is seen because 181 testing can bridge asymptomatic links in transmission chains that would 182 otherwise have been missed, although there is some uncertainty surrounding 183 the infectiousness of asymptomatic individuals [23]. Nonetheless, this benefit 184 is only possible, provided testing is applied to all contacts, not just those 185 displaying symptoms as was the initial UK policy. 186

Testing asymptomatic contacts would require more testing and resources, as well as potentially testing individuals earlier in their infectious period, before symptom onset. Earlier testing increases the impact of immediate quarantine cessation for false negative cases, so this would require a minimum

quarantine period. Despite these considerations, if very good contact tracing
can be implemented from the beginning of the outbreak then fewer total
resources will be required because of a smaller final outbreak size, meaning
the key factor for feasibility will be time-limited resource access.

We demonstrated that small increases in the reproduction number un-195 der physical distancing measures,  $R_S$ , has a large impact on the feasibility 196 of contact tracing. We only consider values of  $R_S$  up to 1.5, which is still 197 substantially lower than estimates of  $R_0$  in the absence of any interventions 198  $(R_0 \approx 2.7 \ [27])$ , but may be achievable with partial interventions. Our esti-199 mates of  $R_S$  reflect a decrease in social contacts of almost 50% but even 60% 200 coverage and a one day trace time is insufficient to negate the risk of a large 201 outbreak. This reiterates that physical distancing is still critical, even with 202 highly effective contact tracing, and that contact tracing will likely be insuf-203 ficient to allow a complete return to normal life without additional measures. 204 such as an effective vaccine. 205

In addition to general physical distancing, the risk posed by a single large super-spreading event means that relaxing restrictions on large gatherings, particularly indoors, could lead to a rise in case numbers, especially in communities where self-reporting rates are expected to be poor. Even with very low  $R_S = 1.1$ , a local cluster of 100 unobserved cases could approximately double in size before being detected.

However, we found that the risk of a large outbreak ( $\geq 2,000$  cases) was 212 relatively low for  $R_S = 1.1$  no matter what the contact tracing and testing 213 strategy. What is of note to national governments who are exiting extreme 214 social distancing is that a dramatic change in the dynamics occurs in the 215 small absolute increase of  $R_S$  to 1.3. At  $R_S = 1.1$  with a poorly resourced 216 or ineffective contact tracing system the probability of a large outbreak is 217 roughly 1%. However when  $R_S \geq 1.3$  then an ineffective contact tracing 218 system becomes noticeable, at which stage it is too late to act. 219

A number of our assumptions may cause our results to appear unduly optimistic. For example, we model a scenario with very low initial case numbers and assume that tracing can be initiated before test results are received, and that contacts of up to 3 days pre-onset are traced. This means there is potentially an increased requirement for maintaining physical distancing measures, even if contact tracing is deployed at high coverage nationwide.

We also consider the test to have a fixed sensitivity over the course of infection, whereas previous studies show that testing too early or late after exposure can dramatically increase false negative rates [15]. However, these

temporal estimates ignore variation in the incubation period, assuming a fixed onset time of 5 days. Additionally, high between-person variance has been observed in the natural history of infection [23]. It is therefore unclear what is driving these temporal changes in sensitivity or whether this temporal profile makes sense on an individual basis.

Furthermore there were worrying trends in adherence to movement re-234 strictions towards the end of "lockdown", suggesting that recommended quar-235 antine through the 'Test & Trace' programme may also be affected; an un-236 published study of 90,000 adults across the UK in the two weeks up to 25th 237 May found that lockdown adherence may have dropped to 50% [28]. Our as-238 sumption of 90% untraced symptomatic individuals self-isolating is therefore 239 at the upper end of realistic, although symptomatic individuals will perhaps 240 be more cautious or less mobile due to the burden of symptoms. However, 241 this could also have repercussions on assuming that contact-traced individu-242 als will self-isolate when asked to do so, particularly if asymptomatic. 243

Modelling studies in other countries have proposed combinations of contact tracing and population-level mitigation strategies [29] and a recent UK study puts  $R_S$  in the range of 1–1.6 for a combination of school closures, 50% reduction in social contacts and elderly shielding [10]. This covers the range of values considered in this study and demonstrates the potential level of physical distancing together with high-coverage contact tracing to keep the effective reproduction number below one.

We also assume the Negative Binomial dispersion, k, of secondary cases, 251 does not vary with  $R_S$  due to different social distancing measures. This 252 relationship is poorly characterised, but it is believed that social distancing 253 may increase k, leading to decreased heterogeneity in number of contacts. 254 potentially making outbreak control harder, although this effect is expected 255 to be at least cancelled out by the reduction in the mean [30]. Furthermore 256 it is also possible that less heterogeneity in contacts may make tracing of 257 individual contacts more feasible, allowing for a higher coverage. 258

Contact tracing improvements include *secondary contact tracing* as seen 259 in Vietnam, i.e. tracing the contacts of contacts of known cases, to get ahead 260 of the chain of transmission [31]. The use of a digital tracing app across 261 the UK if combined with manual tracing could boost tracing coverage [32] 262 and interactive dashboards are being rolled out across a number of countries 263 to inform modelling efforts and raise public awareness [33]. Backwards con-264 tact tracing, whilst highly labour intensive, could also fill vital gaps where 265 transmission links have been missed [34]. As experience in contact tracing 266

develops, it will also likely be possible to give contacts a prior probability of infection (based on the duration and contact setting for example) and combine this with the test results to give a more accurate measure by which to determine isolation requirements.

Overall, we conclude that contact tracing could bring substantial benefits 271 to controlling and preventing outbreaks, with tracing coverage and speed 272 playing an important role, as well as testing. However, any 'test & trace' 273 strategy must carefully consider the limitation of poor test sensitivity, as well 274 as the additional tracing information obtained from testing asymptomatic 275 individuals. Poorly sensitive tests are inappropriate for ruling out a diagnosis, 276 and infectious individuals immediately halting quarantine following a false 277 negative result could have dangerous implications. In line with previous 278 studies [8], we have demonstrated that contact tracing alone is highly unlikely 279 to prevent large outbreaks unless used in combination with evidence-based 280 physical distancing measures, including restrictions on large gatherings. 281

### $_{^{282}}$ Methods

In this extension of a previous COVID-19 branching process model [3], the 283 number of potential secondary cases generated by an index case is drawn 284 from a Negative Binomial. The exposure time for each case, relative to in-285 fector onset, is drawn from a shifted Gamma distribution that allows for 286 pre-symptomatic transmission and is left-truncated to ensure secondary case 287 exposure time is after the primary case exposure time. Secondary cases are 288 averted if the primary case is classified as 'quarantined' at the time of infec-289 tion, assuming within household segregation is possible. The probability of 290 quarantine depends on whether the primary case was traced, any test result, 291 and adherence to self-isolation recommendations (Figure 4). Each simulation 292 was seeded with five infected individuals that are initially undetected by the 293 contact tracing system. 294

#### <sup>295</sup> Secondary case distribution

A standard Negative Binomial assumption was used to represent heterogeneity in onward transmission due to factors such as individual contact patterns or infectiousness, with the mean relating to the *effective reproduction number under physical distancing*  $R_S$  which takes a value of 1.1, 1.3 or 1.5 with a constant dispersion parameter k = 0.16, as used in the

original analysis [30, 3]. The estimates of k for SARS-COV-2 are wide-301 ranging, from k = 0.1 (range : 0.05 - 0.2) for pre-lockdown UK [34] to 302 k = 0.25(range : 0.13 - 0.88) for Tianjin, China during lockdown mea-303 sures [35]. Due to the variation in the literature we have not updated this 304 parameter as 0.16 lies within these ranges and it is not yet possible to derive 305 accurate national estimates of k for post-lockdown scenarios. Here a smaller 306 k represents greater heterogeneity in transmission and results in the majority 307 of index cases leading to no secondary infections, while a small proportion of 308 individuals infect a large number of secondary cases. All parameter estimates 300 and references can be found in Table 1. 310

#### 311 Generation interval

The incubation period (time from exposure to symptoms) is assumed to fol-312 low a Lognormal distribution with mean 1.43 and standard deviation 0.66313 on the log scale [22]. Each new case is then infected at an exposure time 314 drawn from a Gamma-distributed infectivity profile (shape = 17.77, rate = 315  $1.39 \text{ dav}^{-1}$ , shift = 12.98 davs) relative to their infector's symptom onset. 316 If this time is before the infector's exposure then this value is rejected and 317 re-sampled to prevent negative generation intervals. This Gamma distribu-318 tion has been fitted under these sampling assumptions to serial interval data 319 published by He et al. [23] using the fitdistr package in R and our resulting 320 distributions qualitatively match those presented in the original paper (Fig-321 ure 5). The exposure time is then compared to the isolation times of the 322 infector and cases are averted if the infector is in isolation when the infection 323 event would have happened. For non-averted cases, symptom onset times are 324 then drawn from the Lognormal incubation period distribution and the prob-325 ability of a case remaining asymptomatic throughout their infected period is 326 fixed at 40% [19, 20]. 327

#### 328 Contact tracing

New cases are identified either through tracing contacts of known cases or symptomatic individuals self-reporting to the system, which we model as a two-stage process. Firstly, if an individual is symptomatic (i.e. has a fever and/or dry persistent cough) but untraced we assume that a combination of reduced social activity due to illness, and awareness of COVID-19 prevention measures, results in a 90% chance of self-isolation one day after symptom

onset. Secondly, individuals who self-isolate in this way then have a probability of contacting the tracing programme and reporting their symptoms as
a potential case, which can be varied in the model.

The assumption of 90% self-isolation relies on high levels of public aware-338 ness and draws on evidence from COVID-19 studies in the United States 339 and Israel that suggest 87.3% to 94% of individuals may isolate if they had 340 COVID-19 symptoms [36, 37]. These figures are supported by a US-based 341 2013 study that reports 72% of respondents would stay at home if they had 342 flu-like symptoms, provisional on access to sick pay [38], without the ad-343 ditional factor of social responsibility introduced by pandemic awareness. 344 However, it is important to note that this figure may still be optimistic, with 345 one study reporting 62.2% of Japanese citizens surveyed went to work within 346 seven days of onset of symptoms [39] and another that 75.1% of individuals 347 living in a UK households with COVID-19 symptoms admit to leaving the 348 house in the last 24 hours [40]. 349

Contact tracing is initiated where an existing case has been identified 350 and isolated. The contacts of that individual are then traced with 40%-351 100% coverage. If a contact is successfully traced they will always isolate. 352 The time taken to trace and isolate a contact is either one day or drawn from 353 a Uniform distribution of 1–4 days. In the absence of testing, traced contacts 354 are assumed to isolate until non-infectious—approximately 14 days [23]. Any 355 contacts that show symptoms or test positive will have their contacts traced; 356 this continues until no further cases result in transmission chain extinction. 357

#### 358 Testing

In simulations that include testing, we assume test sensitivities of 65% or 359 95% with the lower value representing true sensitivity observed in healthcare 360 settings [14, 15] and the higher value being closer to measurements in con-361 trolled conditions [41] and also to demonstrate utility of an alternative testing 362 protocol with higher sensitivity. Due to the nature of the branching process 363 model, only infected individuals are modelled so the impact of test specificity 364 cannot be assessed under these methods, although the implications would be 365 related to programme feasibility rather than efficacy. Current specificity es-366 timates are believed to be reasonably high in comparison [42, 16, 43], with 367 some estimates of close to 100%, but false positive tests could lead to unnec-368 essary negative socioeconomic impact under any scheme requiring quarantine 369 of healthy individuals. 370

When testing is included in the model, all individuals that either self-371 report to the contact tracing system (individual A in Figure 4), or are traced 372 contacts (B & D in Figure 4), are tested. From the moment a contact self-373 reports or is traced, either a zero- or two-day delay is simulated before the 374 test result is returned, chosen to be representative of UK programme tar-375 gets. If a positive test is returned, the individual's contacts are traced. If a 376 negative test is returned, two different scenarios are explored; either a) im-377 mediate departure from quarantine, or b) the individual is asked to complete 378 a precautionary quarantine period (e.g. 7 days from beginning of isolation). 370 Any contacts of a negative-testing case that were successfully identified prior 380 to receiving the test result are still isolated and tested. 381

#### <sup>382</sup> No active case detection

A scenario in which there is no active case detection in the community is 383 considered whereby the only detected cases are those who are hospitalised. 384 This is simulated by reducing the case reporting proportion to 6%, reflect-385 ing the hospitalisation rate in the UK [44]. Time from symptom onset to 386 hospitalisation is drawn from an Exponential distribution with mean 5.954387 days (fitted to data published alongside a modelling study [44]). We then 388 defined the undetected outbreak size as the number of cases that were ex-389 posed prior to the first hospitalisation, given an initial seeding of 5 index 390 cases at t = 0. We also consider a scenario of 100 index cases to represent a 391 mass super-spreading event, such as the large outbreaks seen in meat-packing 392 plants across Europe [45] or an instance in the SARS outbreak where a flight 393 attendant is thought to have infected more than 100 individuals [46]. 394

#### 395 Simulation process

Results presented are the combined output of 5,000 simulations for each 396 parameter combination, or scenario, considered. These results are used to 397 derive the probability of a large outbreak given a range of conditions. A 398 large outbreak is considered to be 2,000 cases and each simulation is run 399 for a maximum of 300 days. The threshold of 2,000 cases was chosen by 400 running simulations with a maximum of 5,000 cases and noting which of the 401 simulated epidemics that went extinct; 99% of extinction events occurred 402 before reaching 2,000 cases. The model was written in R and the code is 403 publicly available in an online GitHub repository (https://github.com/ 404

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### **CRediT** contribution statement

Conceptualisation: ELD, TCDL, PK, GFM, TDH Formal Analysis: ELD, TCDL Funding acquisition: TDH Investigation: ELD, TCDL, AB, TMP, LP, DA, TC Methodology: ELD, TCDL Software: ELD, TCDL, SA, JH Visualization: ELD, AB Writing – original draft: ELD, TCDL Writing – review & editing: All authors

# Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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Parameter	Values	Refs
Number of initial cases	5, 100	range
Effective reproduction number	1.1, 1.3, 1.5	range
under physical distancing, $R_S$		
Dispersion of $R_S$ , $k$	0.16	[30, 3]
Proportion asymptomatic	40%	[19, 20]
Delay: onset to isolation	1 day	
Incubation period (Lognormal)	mean log: 1.43	[22, 23]
Incubation period (Lognormal)	sd log: $0.66$	[22, 23]
Infection time (Gamma)	shape: 17.77	[23]
Infection time (Gamma)	rate: $1.39 \text{ day}^{-1}$	[23]
Infection time shift	-13.0 days	[23]
Untraced self-isolation prob.	90%	[36, 37, 38]
Self-reporting probability	0.5-1.0	range
Contact tracing coverage	0-100%	range
Min time to trace contacts	1 day	
Max time to trace contacts	1–4 days	range
Test sensitivity	65%, 95%	[15, 14, 41]
Delay: isolate to test result	0–2 days	range
Isolation duration if -ve test	0–7 days	range
Proportion cases hospitalised	6%	[44]
Onset to hospitalisation (Exp)	mean: 5.95 days	[44]

Table 1: Model parameters values/ranges. Parameters taken from the literature are fixed and for other parameters a range of values are explored.



Figure 1: Test sensitivity and mitigation. a) Normalised density distributions of time cases are tested in our model, measured in days postexposure, for an immediate test upon identification (blue), a 2 day delay to testing (pink) and a 4 day delay (grey) for  $R_S = 1.3$ . Black data points are temporal sensitivity estimates from Kuchirka et al. [15]. Grey dashed line represents 65% sensitivity (as assumed in the model). b) Comparing effectiveness of test-and-release of negative-testing cases (left-hand panels) with a minimum seven-day quaratine period (right-hand panels). Assuming 65% sensitivity; 50% self-reporting; 1 day trace delay. Error bars: 95% confidence intervals from simulation output variation.



Figure 2: Case detection. Proportion of cases detected for varying self-reporting of symptomatic cases (50% and 100%) and diagnostic test sensitivity (65% and 95%). Effective reproduction number under physical distancing:  $R_S = 1.1$ , 1.3 and 1.5. Box boundaries represent lower (25%), median (50%) and upper (75%) quartiles; whiskers represent the full range of values, excluding outliers, which are marked individually.



Figure 3: Super-spreading scenarios. a) Total cases occurring before first hospitalisation in a population with no active tracing or case detection from one super-spreading event (5 new cases). b) Same as a) but with 100 new cases. Vertical dashed lines represent median values. c) Probability of outbreak by total number of cases so far. Sensitivity = 65%, self-reporting proportion = 50%, individuals testing negative are isolated for a minimum of 7 days, time to test from isolation = 2 days. Error windows: 95% confidence intervals calculated from simulation output variation.



Figure 4: Contact tracing schematic. Overview of the contact tracing process implemented in our model. Person A isolates and self-reports to the contact tracing programme with some delay after symptom onset, by which time they have infected Persons B and C. When Person A self-reports contact tracing is initiated. They are then tested with positive result and remain isolated for their infectious period. Person B was infected by A prior to their symptom onset and is detected by tracing after some delay, after infecting Person D. After isolating they are tested, with a false negative result. This leads to B either a) stopping isolation immediately or b) finishing a minimum 7 day isolation period. Both may allow new onward transmission. Person C was infected by A but not traced as a contact. Person C does not develop symptoms but is infectious, leading to missed transmission. Person B. The test for D returns positive, meaning that D remains isolated, halting this chain of transmission.



Figure 5: **Parameters' distributions.** Distributions for **a**) incubation period (exposure time to symptom onset) from Li et al. [22]; **b**) transmission profile relative to symptom onset, fitted to data and compared to He et al. [23]; **c**) serial interval, fitted and compared to He et al. [23]; and **d**) generation interval, combined distribution from a) and b) with re-sampling to prevent negative serial intervals, as described in the main text.











