

1 **Community-based hearing and vision screening in schools in low-income**
2 **communities using mobile health technologies**

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32

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35

36

Abstract

Introduction: Globally, more than 50 million children have hearing or vision loss. Most of these sensory losses are identified late due to a lack of systematic screening, making treatment and rehabilitation less effective. Mobile health (mHealth), which is the use of smartphones or wireless devices in healthcare, can improve access to screening services. mHealth technologies allow lay health workers to provide hearing and vision screening in communities.

Purpose: To evaluate a hearing and vision school screening program facilitated by lay health workers (LHWs) using smartphone applications in a low-income community in South Africa.

Method: Three LHWs were trained to provide dual sensory screening using smartphone-based applications. The hearScreen™ app with calibrated headphones was used to conduct screening audiometry and the Peek Acuity™ app was used for visual acuity screening. Schools were selected from low-income communities (Gauteng, South Africa) and children aged between 4 to 9 years received hearing and vision screening. Screening outcomes associated variables and program costs were evaluated.

Results: A total of 4888 and 4933 participants children received hearing and vision screening, respectively. Overall, 1.6% of participants failed the hearing screening and 3.6% failed visual acuity screening. Logistic regression showed that females were more likely to pass hearing screening (OR:1.61; 95% CI: 1.11-2.54) while older children were less likely to pass visual acuity screening [OR: (0.87, 95% CI:0.79-0.96). A third (32.5%) of referred cases followed up for air conduction threshold audiometry and one in four (25.1%) followed up for diagnostic vision testing. A high proportion of

65 these cases were confirmed to have hearing (73.1%; 19/26) or vision loss (57.8%;
66 26/45).

67

68 *Conclusion:* mHealth technologies can enable LHWs to identify school-aged children
69 with hearing and/or vision loss in low-income communities. This approach allows for
70 low-cost, scalable models for early detection of sensory losses that can affect
71 academic performance.

72

Introduction

Hearing and vision loss are significant contributors to the Global burden of disease (Global Burden of Disease 2016 and Injury Incidence and Prevalence Collaborators, 2017; Olusanya, Wright, Nair, Boo, Halpern, Kuper, Abubaker, Almasri, Arabloo, Arora, Backhaus, Berman, Breinbauer, Carr, de Vries, del Castillo-Hegy, Eftekhari, Gladstone, Hoekstra...Kassebaum, 2020). Approximately 34 million children younger than 15 years of age are estimated to live with disabling hearing loss (World Health Organization, 2018). Among children, the prevalence of hearing loss (includes both transient and/or permanent hearing losses) increases with age, from 0.9% amongst children less than a year old to 5.9% amongst adolescents aged 15 to 19 years old (Olusanya et al., 2020). The incidence of permanent congenital hearing loss, in high-income countries (HICs), is considered to be 3 per 1000 births (Shargorodsky, Curhan, Curhan, & Eavey, 2010) and 6 per 1000 live births in low-middle income countries (Olusanya & Newton, 2007).

Countries are categorized according to gross national income (GNI) per capita, with low-income countries having a GNI per capita of \$1,036 to \$4,045 (United States Dollars, USD) (The World Bank, 2020b). Upper-,middle income countries have a GNI per capita of \$4,046 to \$ 12,535 and high income countries have a GNI per capita of \$12,536 or more (The World Bank, 2020b). South Africa is classified as an upper-middle income country with a GNI per capita of \$6,040 (The World Bank, 2020a). Overall, 80 to 90% of children with disabling hearing loss reside in low- and middle income countries [LMICs] (Olusanya & Newton, 2007; Olusanya, 2015; Stevens, Flaxman, Brunskill, Mascarenhas, Mathers & Finucane,2013; World Health Organization, 2017a).

100 Vision loss is also common in children. Refractive error alone affects an estimated 12.8
101 million children aged between 5 and 15 years (Resnikoff, Pascolini, Mariotti &
102 Pokharel, 2008). The Global Burden of Disease study reported an increase in
103 prevalence of vision loss from 1.1% in children less than a year old to 3.9% in
104 adolescents aged 15 to 19 years old (Olusanya et al., 2020). These sensory
105 impairments are commonly co-occurring, with an estimated 40 to 60% of children with
106 hearing loss also having some degree of vision loss (Bakhshaei, Banaei, Ghasemi,
107 Nourizadeh, Shojee, Shahriari & Tayarani, 2009; Nikolopoulos, Lioumi, Stamataki, &
108 O' Donoghue, 2006).

109
110 Periodic hearing and vision screening are considered integral strategies for
111 preventative paediatric health care (American Academy of Pediatrics, 2017).
112 According to the World Health Organization (WHO), the majority (60%) of childhood
113 hearing loss and vision loss (80%) can be corrected or prevented (World Health
114 Organization, 2017b, 2017a). Screening for these conditions is therefore important, as
115 early detection allows for earlier and more effective treatment and rehabilitation
116 (Eksteen, Launer, Kuper, Eikelboom, Bastawrous & Swanepoel, 2019; Rono,
117 Bastawrous, Macleod, Wanjala, DiTanna, Weiss & Burton, 2018), and optimisation of
118 learning outcomes (American Academy of Pediatrics, 2015; Eksteen et al., 2019;
119 Kemper, Fant, Bruckman, & Clark, 2004; Porter, Sladen, Ampah, Rothpletz, & Bess,
120 2013; Reddy & Bassett, 2017; Register, 2010; Rono et al., 2018; Yousuf Hussein,
121 Swanepoel, Mahomed & Biago de Jager, 2018).

122
123 Different options exist for screening and early detection of hearing loss. Universal
124 newborn hearing screening has been implemented in many high-income countries
125 (HICs), but remains largely unavailable in LMICs due to lack of equipment and trained
126 staff, and the high proportion of births outside of clinical settings (Meyer, Swanepoel,
127 le Roux, & van der Linde, 2012; Morton, & Nance, 2006; Olusanya, 2015; Olusanya,

128 2011; Swanepoel, Ebrahim, Joseph, & Friedland, 2007; Thomson & Yoshinaga-Itano,
129 2018). A 2017, South African study revealed that of 30 PHC facilities surveyed
130 (Gauteng and North West Provinces) none of offered neonatal hearing screening. The
131 24 secondary and tertiary hospitals surveyed (Gauteng and North West Provinces)
132 offered some form of screening, with 67% performing targeted newborn screening and
133 33% performing universal newborn screening (Khoza-Shangase, Kanji, Petrocchi-
134 Bartal, & Farr, 2017), whereas the private sector reported 53% of their birthing units
135 offering some form off hearing screening, with 14% performing universal hearing
136 screening (Meyer et al., 2012). Even in HIC, 10 to 20% of permanent childhood hearing
137 loss may not be detected at birth, due to late-onset and acquired hearing loss
138 (Bamford, Fortnum, Bristow, Smith, Vamvakas, Davies, Taylor. Watkin, Fonseca,
139 Davis & Hind, 2007; Dedhia, Kitsko, Sabo, & Chi, 2013; Gravel, White, Johnson, Vohr,
140 Palmer, Maxon, Sullivan-Mahoney, Weirather & Meyer, 2005; Grote, 2000;
141 Shargorodsky, Curhan, Curhan, & Eavey, 2010; Stenfeldt, 2018). For instance, in the
142 United Kingdom, it is estimated that for every 10 children with a permanent bilateral
143 hearing loss detected by newborn screening, there are approximately 5 to 9 children
144 who would only manifest with such a hearing loss by 9 years of age (Fortnum et al.,
145 2001). As a result, repeated hearing screening is required throughout childhood
146 (Stenfeldt, 2018; Yong, Panth, McMahon, Thorne & Emmett, 2020).

147
148 In contrast, screening for vision loss in infancy is difficult as the visual system is not
149 fully developed (Gogate, Gilbert, & Zin, 2011). The red reflex test is widely used in
150 infancy to detect ocular malformations (Eventov-Friedman, Leiba, Flidel-Rimon,
151 Juster-Reicher & Shinwell, 2010). Preschool and primary school vision screening
152 programs has shown to be effective in efficiently and accurately detecting vision loss
153 (Kemper et al., 2004, 2011; Lowry & De Alba Campomanes, 2016; Rono et al., 2018).

154

155 Considering both hearing and vision loss can be accurately detected in a school-aged
156 population provided the resources and personnel is available (Eksteen et al., 2019;
157 Kemper et al., 2004; Mahomed-Asmail et al., 2016; Metsing, Hansraj, Jacobs, & Nel,
158 2018; Rono et al., 2018; Yong et al., 2020; Yousuf Hussein, Swanepoel, & Mahomed-
159 Asmail, & Biagio de Jager, 2018), there is a rationale for combining hearing and vision
160 screening to maximize efficiency, as these conditions often co-occur, however, very
161 few studies have investigated a combined hearing and vision screening program
162 (Eksteen et al., 2019; Kemper et al., 2004).

163
164 School-based health programs are potentially a valuable platform for providing hearing
165 and vision screening given the high levels of school attendance in most countries
166 (Eksteen et al., 2019; Olusanya, Neumann, & Saunders, 2014; Rono et al., 2018;
167 Shinn, Jayawardena, Patro, Zuniga, & Netterville, 2019). Typically, South African
168 learners are mandated to enrol in grade 1, in the year they turn 7, prior to this a
169 preparatory year in Grade R is compulsory (Department of Basic Education, 2019).
170 Approximately 9 out of 10 learners attend public primary or secondary schools in South
171 Africa (Statistics South Africa, 2017). In 2016 there were a reported 12 342 283
172 learners and 381 394 educators who attended or serviced 23718 public schools,
173 respectively (Department of Basic Education, 2018). The National learner educator
174 ratio was estimated at 30.9:1 in 2016 (Department of Basic Education, 2018). The high
175 learner to educator ratio and the substantial number of schools also contribute to the
176 difficulty in efficiently running school-based health programs (Dibakwane & Peu, 2018).
177 There are a number of challenges to the implementation of school-based programs in
178 LMICs, including a shortage of healthcare professionals, equipment constraints and
179 inadequate data management (Stigler, 2012).

180
181 Some of these barriers can be overcome by employing novel mobile health (mHealth)
182 technologies for sensory screening, which enable new service delivery models where

183 services are delivered by persons with minimal training, including by school staff
184 (Bernstein, Besser, Maidment, & Swanepoel, 2018; Bright, McComrick, Phiri, Mulwafu,
185 Burton, Polack, Mactaggart, Yip, Swanepoel & Kuper, 2020, Bright, Mulwafu, Phiri,
186 Ensink, Smith, Yip, Mactaggart & Polack, 2019; Jayawardena, Nassiri, Levy, Valeriani,
187 Kempf, Kahue, Segaren, Labadie, Bennett, Elisée & Netterville, 2020; Morjaria, &
188 Bastawrous, 2017; Reddy & Bassett, 2017; Rono et al., 2018; Shinn, Zuniga,
189 Macharia, Reppart, Netterville & Jayawardena, 2019; Swanepoel, 2017). Smartphone-
190 based applications (apps) have been used in previous studies to successfully screen
191 the hearing of preschool (Yousuf Hussien, Swanepoel, & Mahomed-Asmail, & Biagio
192 de Jager, 2018) and school-aged (Jayawardena et al., 2020; Mahomed-Asmail, et al.,
193 2016) children.

194
195 Automated test protocols and intuitive user interfaces on these smartphone screening
196 apps allow lay health workers (LHWs) or community health workers (CHWs) to
197 facilitate hearing and vision testing (Bright et al., 2020; Eksteen et al., 2019;
198 Jayawardena et al., 2020; Rono et al., 2018; Yousuf Hussein et al., 2016; Yousuf
199 Hussein, Swanepoel, & Mahomed-Asmail, & Biagio de Jager, 2018). A recent study
200 confirmed that training CHWs in primary ear and hearing care identification can be
201 feasible and accurate (Bright et al., 2019). Non-specialist personnel were able to carry
202 out hearing screening using mobile technologies and the results obtained indicated
203 similar accuracy to specialist personnel, such as ENT specialists, ENT medical officers
204 or audiologists (Bright et al., 2019). Similarly, a Kenyan study assessing visual acuity
205 utilising mHealth technology showed that teachers could successfully screen for vision
206 loss (Rono et al., 2018).

207
208 A South African study recently reported the first smartphone-based hearing and vision
209 screening for preschool children, aged between 4 to 7 years old (Eksteen et al., 2019).
210 The findings demonstrate that the use of mHealth technology facilitated by LHWs was

211 cost-effective and efficient in identifying hearing and vision loss. Yet, no research on
212 combined smartphone-based hearing and vision screening for school-aged children
213 exists. This study, therefore, evaluated the feasibility of smartphone hearing and vision
214 screening in school-aged children from a low-income community in South Africa,
215 facilitated by LHWs.

216

217 **Method**

218

219 This study evaluated the feasibility of a combined hearing and vision program at low-
220 income schools across screening outcomes, associated variables (environmental
221 noise, age, and gender), and program costs. The project received research ethics
222 clearance from the University of Pretoria institutional review board (GW20181007HS).

223

224 **Participants**

225

226 A school-based hearing and vision screening program was conducted in two low-
227 income communities, Tembisa and Ivory Park townships, in the Gauteng province of
228 South Africa. These townships suffer a lack of resources, and households affected by
229 poverty are commonplace. Recent statistics indicate that 1 in 5 households in these
230 townships have no income and the middle-class comprises less than 5% of this
231 population (Charman, 2017). These communities are part of the Ekurhuleni
232 Metropolitan Municipality, which had an unemployment rate of 27.7% in 2019,
233 compared to the national average of 29.1% (Ekurhuleni Metropolitan Municipality,
234 2018; Mushongera, Tseng, Kwenda & Benhura, Zikhali & Ngwenya, 2018; Statistics
235 South Africa., 2019; Statistics South Africa, 2015; The World Bank, n.d.).

236

237 The dual sensory screening program was conducted as a collaborative project
238 between hearX group, and PHEME Group. The hearX group is a digital health

239 technology company that provides mHealth solutions for hearing healthcare (hearX
240 Group, n.d.) and the PHEME Group is a local business consulting company who provide
241 enterprise development projects, management and community liaison solutions
242 (PHEME group, n.d.). The screening program ran between September 2017 to August
243 2019, however, this study analysed data from the time between September 2017 to
244 April 2019.

245

246 The school-based health program is often the first point of access to hearing and vision
247 screening services for South African children. These services are recommended
248 throughout the formal school system (Grade 1 to Grade 12) but are specifically
249 required for foundation phase learners (Grade R to Grade 3; 6 to 9 year olds) (Stigler,
250 2012). Given the need for timely detection and treatment of hearing and vision loss,
251 this program targeted children in preschool (4 to 7 years) and if time allowed, included
252 learners in foundation phases (7 to 9 years). During the hearing and vision screening
253 programme, 98% of preschools and primary schools contacted, were willing to
254 participate. In the time period analysed in this study, 118 schools participated in the
255 hearing and vision program [85 preschools (72%) and 33 primary schools (28%)]. The
256 schools were selected for inclusion based on consent from school management. All
257 participants were aged between 4 to 9 years and attended the selected pre- and
258 primary schools.

259

260 **Screening staff**

261

262 LHWs were recruited for the study through application and an internal selection
263 process was conducted by the project coordinators. The screening program employed
264 three LHWs at any given time (a total of six LHWs was employed throughout the
265 duration of the screening program). There were personal extenuating circumstances
266 that resulted in 50% staff turnover, this was not planned, and measures were taken to

267 ensure newly recruited staff were trained in administering sensory screening. The
268 LHWs were paid a monthly salary of \$555.83 which is competitive when compared to
269 the reported national minimum wage rate of \$203 (Department of Employment and
270 Labour, 2020). The salaries of the LHWs were included in the screening program costs.
271 The LHWs underwent a one-day training course which was conducted by the project
272 coordinator (audiologist). The training comprised of a theoretical and practical
273 component. The course included knowledge on the auditory and visual systems,
274 causes of hearing and vision losses and an overview of the treatment for hearing and
275 vision losses. The practical component focused on use of smartphone-applications
276 and factors to consider (e.g. environmental noise, participant attention etc.). LHWs
277 conducted simulated hearing and vision screening on each other. One of the LHWs
278 had experience from a previous hearing screening program in another community
279 (Yousuf-Hussein, Swanepoel, Biagio De Jager, & Mahomed-Asmail, 2018) and was
280 appointed as the project administrator. The LHWs were monitored for three days by
281 the project administrator. The cost of the training course was included in the project
282 management fee (Table 5).

283

284 **Material and Apparatus**

285

286 During the course of the dual sensory screening program, the hearScreen™,
287 hearTest™ (hearX group, Pretoria, South Africa) and Peek Acuity™ (Peek Vision,
288 London, United Kingdom) smartphone applications were utilized to conduct
289 smartphone-based hearing screening, air conduction threshold audiometry and vision
290 screening. These smartphone applications form part of a suite of services and enabling
291 integrated service delivery (Eksteen et al., 2019). Biological listening checks were
292 completed monthly by the LHWs. Results of the tests conducted were uploaded to an
293 encrypted cloud-based server. The security of the mHealth app and server was
294 maintained by utilizing local data encryption at rest using Advanced Encryption

295 standard 256 bit. These smartphone-based applications were installed and used on
296 Samsung Galaxy A3 smartphones with the latest Android operating system (Google,
297 Mountain View, United States of America) available at that time.

298 The hearing screening, air conduction threshold audiometry and vision screening were
299 conducted on school premises by LHWs. The screening took place in an extra
300 classroom/staff room. The room chosen was located away from other classrooms to
301 minimise noise. Children attended screening in small groups or individually.
302 Participants were seated away from distractions (e.g. posters) and LHWs continuously
303 monitored environmental noise.

304

305 ***Hearing Testing***

306 The hearing screening and air conduction threshold audiometry was conducted using
307 the clinical validated hearScreen™, hearTest™ (hearX group, Pretoria, South Africa)
308 applications on smartphones connected to supra-aural Sennheiser HD 280 Pro
309 headphones (Sennheiser, Wedemark, Germany) (Madsen, & Margolis, 2014).
310 Headphones were calibrated using a G.R.A.S. RA0039 artificial ear (with plate adapter
311 for circumaural headphones) and a RION NL-52 sound level meter complying with ISO
312 60318-1:2017 standards (International Organization for Standardization, 2017).
313 Ambient noise levels were recorded during the hearing screening with the
314 hearScreen™ application ambient noise monitoring function, using the smartphone
315 microphone (Swanepoel, Myburgh, Howe, Mahomed, & Eikelboom, 2014; Yousuf
316 Hussien et al., 2018).

317

318 Frequencies tested during the hearing screening for each participant included 1, 2, and
319 4 kHz, presented at an intensity of 25 dB HL. Participants were conditioned at 1 kHz,
320 using a 35 dB HL tone. This referral criterion was chosen based on evidence from
321 previous community-based studies in order to reduce the referrals to over-burdened
322 secondary hospitals (Yousuf Hussein, Swanepoel, Mahomed, et al., 2018).

323 Participants who failed the hearing screening were subsequently referred for air
324 conduction threshold audiometry (Figure1).

325

326 Air conduction threshold audiometry was conducted using the hearTest™ app (hearX
327 group). Air conduction threshold audiometry was conducted by LHWs on the school
328 premises on a different day. The frequencies evaluated were 0.5, 1, 2, 4 and 8 kHz.
329 The smartphone-based application has an intensity range from 0 to 90 dB HL. If a
330 participant had two or more pure tone thresholds (PTTs) greater than 25 dB HL, it
331 constituted a referral.

332

333 ***Vision Testing***

334 The vision screening followed the standard early treatment diabetic retinopathy study
335 (ETDRS) chart design, with a 5x5 grid optotype letter “E” displayed in one of four
336 orientations (90°, 180°, 270° and 0°). This test is capable of producing accurate, reliable
337 results that are comparable to the logMAR charts (Bastawrous, Rono, Livingstone,
338 Weiss, Jordan, Kuper & Burton, 2015; Rono et al., 2018). A failed response of the
339 vision screening constituted a visual logMAR of 0.3 or less in both eyes or 0.4 logMAR
340 in one eye. Participants who failed the vision screening were referred to the optometrist
341 at the secondary hospital or a retail optometrist offering free services to children aged
342 6 to 12 years old.

343

344 **Procedures**

345

346 The screening team visited preschools/primary schools to discuss the hearing and
347 vision screening programme. If a school was willing to participate in the hearing and
348 vision screening programme, the school management, was then provided with consent
349 forms. The consent forms were distributed to each eligible learner and teachers
350 ensured consent form return prior to screening dates. Schools were geotagged (which

351 is the embedding of data in a digital media file to indicate geographical information),
352 by the LHWs prior to screening on the mHealth studio data management app
353 incorporating the hearing and vision screening apps (hearX group). The sequence of
354 school visits was through convenience sampling. Consent was obtained from the
355 school to conduct the screening, and thereafter caregiver consent was obtained before
356 any child was tested. Less than 10% of caregivers failed to return consent forms and
357 those children were not included in the sensory screening program. Approximately 1
358 to 3 days were spent testing at each preschool and 5 to 10 days at each primary school.
359 The number of learners screened depended on the learner enrolment, a minimum of
360 10 learners were screened daily at small preschools and up to 100 learners were
361 screened daily at larger preschools/primary schools. During this study, there were no
362 known children with hearing or vision loss. All children aged between 4 to 7 years at
363 the selected schools were invited to participate in this study and 7.1 to 9 year olds were
364 included, time permitting.

365
366 Participants were explained the testing procedures in their home language by the
367 LHWs, who are from the same community. During the hearing screening and air
368 conduction threshold audiometry (Figure 1), the participant was required to raise
369 his/her hand in response to any tone heard, regardless of intensity. A conditioning tone
370 was presented at 35 dB HL at 1 kHz. The hearing screening was conducted by LHWs
371 at each pre- or primary school. If a participant failed the initial hearing screening,
372 he/she underwent an immediate re-screening conducted by a LHW.

373
374 Failure of the rescreening resulted in participant undergoing air conduction threshold
375 audiometry (Figure 2). The air conduction threshold audiometry (hearTest. hearX
376 group) was administered by a trained LHW, which occurred on a different day at the
377 school premises. If a participant failed to hear two or more pure tone frequencies at 25
378 dB HL, in one or both ears, this resulted in a referral. The severity of hearing loss was

379 determined by the pure tone average (PTA). The participant was referred to the
380 audiologist at the local primary healthcare facility (PHC) or secondary hospital for
381 diagnostic audiological testing and further management. Each participant who required
382 clinic-based follow-up treatment was presented with a referral letter and/or text
383 message addressed to the caregiver, stating the results and information on the referral
384 pathway for further testing. South Africa has very high mobile phone penetration
385 estimated at 91.2% in 2019 (Independent Communications Association of South
386 Africa, 2020) making text messages a favoured communication method (Richardson,
387 van der Linde, Pillay & Swanepoel, 2020) The audiologist at the secondary hospital
388 received a referral letter including the air conduction threshold audiometry results..

389

390 The vision screening (Figure 3) was administered on the school premises. During the
391 vision screening, the LHW stood or sat at a testing distance of 2 meters away from the
392 participant and held the smartphone at the participant's eye level. The participant was
393 presented single optotypes and would be required to indicate the direction that the
394 letter 'E' was facing by means of hand gestures. Each eye was screened individually.
395 Caregivers were informed of the screening results with a referral letter sent home with
396 the participant, as well as a text message. The participants were referred to the
397 optometry department at the local secondary hospital or retail optometry chain with a
398 free pediatric vision intervention program for follow-up testing. The optometrist at the
399 secondary hospital/retail chain received a referral letter with the results of the vision
400 screening. The project administrator kept a record of the running costs of the dual
401 sensory screening program.

402

403 **Data analysis**

404

405 Anonymized electronic data was encrypted onto a Microsoft Excel spreadsheet
406 (Microsoft, Redmond, USA). The results were coded according to pass/fail and severity

407 of impairment (normal, mild, moderate and severe). Data analysis was completed
408 using IBM Statistical Package for Social Sciences, version 25 (IBM, Armonk, USA).
409 Logistic regression was used to predict test outcomes with the predictors being gender,
410 age, and exceed noise levels. When considering the hearing screening, the maximum
411 permissible ambient noise level (MPANL) at 25 dB HL was compared to the test
412 outcomes. The MPANLs for the Sennheiser HD 280 pro at 1, 2 and 4 kHz was 56, 69
413 and 68 dB SPL (Madsen , & Margolis, 2014). Testing did not stop if MPANLs were
414 exceeded. Data were presented according to age and gender, time proficiency of the
415 hearing and vision screening and the referral rate of hearing and vision screening
416 program. The cost of the dual sensory screening program was analyzed according to
417 the total cost per a month, cost per a child, cost per a child referred and total program
418 costs, these costs were subsequently compared to traditional hearing and vision
419 screening.

420

421

422

Results

423

424 Four thousand eight hundred and eighty-eight participants underwent hearing
425 screening, of whom 49.7% were female (2428/4888). Four thousand nine hundred and
426 thirty-three participants underwent vision screening, of whom 50.2% were female
427 (2478/4933). In order to facilitate early hearing and vision loss identification prior to
428 entry in the formal education system, the 4 to 7.0 year olds were the targeted age
429 group, if time allowed the 7.1 to 9 year old were included. Initial hearing screening
430 failure rate was 9.9% (485/4888), which was slightly higher in females (11.2%,
431 272/2428) than males (8.7%, 213/2460) (Table 1). An immediate, automated rescreen
432 of failed frequencies reduced the failure rate to 1.6% (80/4888), which was higher in
433 males (2.0%, 49/2460) than females (1.3%, 31/2428). Logistic regression analysis
434 compared age, gender and exceeded MPANLs in one or both ears across frequencies

435 (1000, 2000 and 4000 Hz) to hearing screening outcomes. Gender was the only
436 significant predictor ($p=0.04$) of hearing screening outcomes with females 1.61 times
437 (OR:1.61; 95% CI: 1.11-2.54) more likely to pass the hearing screening.

438

439 Two-thirds (67.5%) of participants who failed the hearing screening did not follow-up
440 for air conduction threshold audiometry (54/80) (Table 2). The poor follow-up rate was
441 due to participants being unavailable or unable to attend this follow-up assessment
442 due to examinations, classroom work or absence from school on the day of testing.
443 Twenty-six participants who failed the screening audiometry (32.5%, 26/80), went on
444 to have air conduction threshold audiometry (Table 3). A failure rate of 73.1% was
445 noted (19/26), these participants were referred to a secondary hospital for further
446 intervention, which included cerumen management, otitis media treatment or
447 diagnostic audiometry. Due to the relatively poor follow-rate an accurate prevalence of
448 hearing loss could not be determined, but this will range between 0.4% (19/4888)
449 (assuming none of the non-attenders had hearing loss) and 1.5% (73/4888) (assuming
450 all of the non-attenders had hearing loss). Only 21% (4/19) of participants that failed
451 the air conduction threshold audiometry followed-up at audiology services at the
452 secondary hospital. All participants who followed up for audiological services required
453 further management.

454

455 A total of 179 children (3.6 %,179/4933) failed the vision screening (Table1). The
456 failure rate was similar in males (3.3%) and females (4.0%), but higher in 7.1 to 9 year
457 olds (4.2%, 31/739) than 4 to 7.0 year olds (3.5%, 148/4194). Logistic regression
458 analysis found the only significant predictor ($p=0.006$) of vision screening outcomes
459 (OR:0.87,95% CI:0.79-0.96) to be age with every one year increase participants were
460 12.7% less likely to pass. Almost three-quarters (74.9%) of participants who failed the
461 vision screening did not make the necessary follow-up appointments or keep their

462 scheduled appointments (134/179) (Table 2) and some participants could not be
463 contacted due to incorrect details or change of mobile number.

464

465 Of those who failed the vision screening, 25.1% (45/179) attended follow-up
466 appointments at referral partners, secondary hospital, or retail optometrist. There were
467 26.7% of participants who were fitted with spectacles (12/45), 28.9% presented with
468 an eye infection and were referred for further medical management (13/45), 2.2%
469 presented with vision loss but parents refused spectacles as they felt it was
470 unnecessary (1/45) and 42.2% presented with normal vision (19/45). There was a low
471 uptake of follow-up services at referral partners and an accurate prevalence of vision
472 loss cannot be established but this is estimated to range between 0.5% (26/4933)
473 (assuming none of the non-attenders had vision loss) and 3.3% (160/4933) (assuming
474 all the non-attenders presented with vision loss).

475

476 Dual sensory screening was conducted on 99.1% of children (4888/4933) with 45
477 children (0.9%) receiving vision but not hearing screening. These participants may
478 have been unable to comply with screening audiometry and therefore only received
479 vision screening. Overall, 0.2% of children failed both the hearing and vision screening
480 (9/4888). The mean age of this group was 6.0 years (0.9 SD) with 88.9% (8/9) 4 to 7.0
481 year olds and 11.1% (1/9) 7.1 to 9 year olds. After the immediate hearing re-screening
482 0.16% (8/4888) still failed.

483

484 Maximum permissible noise levels (MPANLs) for this study were categorised
485 according to whether they were within or exceeded permissible levels as measured
486 during the presentation instance (Table 4). Minimal exceeded MPANLS instances
487 were recorded at 1 kHz (7.7%; 387), 2 kHz (0.2%, 12) and 4 kHz (0.2%; 11)
488 respectively (Table 4). Logistic regression analysis was used to determine whether
489 exceeded MPANLs were a significant predictor of hearing screening outcomes. Due

490 to the relatively small proportion of exceeded MPANLs (8.2%;401) it did not prove to
491 be a significant predictor for hearing screening outcomes.

492

493 Overall, the hearing and vision screening program provided access to essential
494 services at a relatively low cost. The cost of the screening program, including all costs,
495 was \$6.67 (USD) per child screened, and \$186.87 per child (n=198;19 hearing loss
496 and 179 vision loss) referred for diagnostic testing and treatment, if indicated (Table
497 5).

498

499

Discussion

500

501 This study evaluated the feasibility of a community-based hearing and vision
502 screening program for school-aged children facilitated by LHWs. The program
503 screened 4888 children for hearing loss and 4933 for vision loss. identifying 80 and
504 179 children who needed hearing and visual assessments, respectively. LHWs
505 facilitating smartphone-based screening allowed for a combined sensory screening
506 service that was affordable and efficient.

507

508 Few children (1.6%) required a referral after the community-based hearing screening.
509 This figure is slightly lower than those reported in previous studies conducted in early
510 childhood development (ECD) centres or school settings. For instance, Mahomed-
511 Asmail et al. (2016) reported a referral rate of 5.6% in 6 to 12 year olds (Gauteng,
512 South Africa), which is similar to findings in Eksteen et al. (2019), who found a referral
513 rate of 5.4% for 4 to 7 year olds, (Western Cape, South Africa). In this study a referral
514 criterion of two or more frequencies greater than 25 dB HL was employed with an
515 immediate rescreen of failed frequencies, whereas previous studies utilised a referral
516 criteria of one or more frequencies greater than 25 dB HL (Mahomed-Asmail et al.,
517 2016). A second factor to consider, is that basic education in South Africa is mandated

518 between the ages of 7 to 15 years of age (Hall, 2018). Early childhood education is not
519 compulsory and it is possible that not all young children with sensory deficits attended
520 preschool facilities (Eksteen et al., 2019) targeted in this study, which may have
521 resulted in lower referral rates.

522

523 The reported referral rate for vision screening was 3.6%, which is comparable to the
524 results reported by Eksteen et al. (2019) with a referral rate of 2.1% for children 4 to 7
525 years of age. Only 0.16% (8/4888) of participants failed both hearing and vision
526 screening much like the results reported by Eksteen et al. (2019) for children between
527 4 to 7 years of age (0.2%; 19/8023). No further information could be found regarding
528 the presence of dual sensory deficits in young pre- and school-aged children.

529

530 Approximately three in every four participants (78.9%, 15/19) did not follow-up for
531 audiology services at the secondary hospital and did not follow-up for further vision
532 tests (74.9%) at the secondary hospital/retail optometrist. In South Africa, the public
533 healthcare system is funded through general tax, private insurance and out-of-pocket
534 payments which are dependent on household income (Ataguba & McIntyre, 2012;
535 McIntyre, Garshong, Mtei, Meheus, Thiede, Akazili, Ally, Aikins, Mulligan & Gouge,
536 2008). Even though these costs are low compared to private healthcare there are
537 indirect costs of travel and food when attending follow-up appointments and possible
538 loss of pay with parents/caregivers being away from work (Bright et al., 2017; McLaren
539 et al., 2014; Yong et al., 2020). The long waiting periods at the hospital as well as
540 waiting periods between appointments has been cited as a cause of patient
541 dissatisfaction and often results in patients skipping their appointments (Maphumulo,
542 & Bhengu, 2013).

543

544 Over-burdened and poorly run PHC facilities (Blecher, & Harrison, 2006; Maphumulo,
545 & Bhengu, 2013) result in many children with sensory deficits not being identified and

546 treated. In this study it was noted that waiting periods for appointments at the
547 secondary hospital or retail optometrist could be up to a month. Given the fact that a
548 large number of the South African population rely on the public health sector the
549 waiting times at public hospitals are much longer than anticipated (Ataguba & McIntyre,
550 2012; Ataguba, 2010; Maphumulo, & Bhengu, 2013; McIntyre et al., 2008). Whilst this
551 study indicates promising community-based mHealth screening future studies should
552 focus on ways to improve attendance for follow-up testing. Training of teachers and
553 parents/caregivers regarding the importance of hearing and vision screening as well
554 as attendance of follow-up appointments at secondary hospitals is imperative (Khoza-
555 Shangase, 2019; Narayanan & Ramani, 2018). This reinforces a family-centred
556 approach to assessment and treatment and improves follow-up attendance (Khoza-
557 Shangase, 2019; Narayanan, & Ramani, 2018). .

558

559 It is notable that,73.1% of participants (19/26) who underwent air conduction threshold
560 audiometry, presented with some degree of hearing loss. Furthermore, all participants
561 (4/19) who attended audiology services required further intervention. The diagnostic
562 hearing results could not be reported since it was part of the public healthcare facility
563 information. Likewise, a significant number of participants who attended follow-up
564 vision services presented with vision loss (57.8%, 26/45). Hearing loss prevalence
565 therefore likely ranges between 0.4% and 1.5% and vision loss between 0.5% and
566 3.3%. Future research should investigate reasons for this non-compliance and how to
567 address these barriers, including implementing dual sensory screening as part of the
568 child wellness visits at local clinics (Yong et al., 2020).

569

570 Gender was a significant predictor for hearing screening outcomes with females 1.6
571 times more likely to pass hearing screening (OR:1.61; 95% CI: 1.11-2.54). Eksteen et
572 al. (2019) however, found no gender differences in a pre-school population. In a South
573 African study of school-aged children, males were more likely to fail the hearing

574 screening (North-Matthiassen & Singh, 2007). Other studies have also reported that
575 males are more likely to fail hearing screening but reasons for a potential gender effect
576 is unclear and further investigation is needed (Osei, Larnyo, Azaglo, Sedzro, &
577 Torgbenu, 2018; Rao, Subramanyam, Nair, Sreekumaran & Rajashekhar, 2002).

578

579 Age was also a significant predictor ($p=0.006$) of vision screening outcome with older
580 children more likely to fail. If vision loss is not identified in early stages, the visual
581 morbidity of an individual is negatively impacted (Reddy & Bassett, 2017; Register,
582 2010). Timely detection followed by intervention for vision loss is therefore essential to
583 ensure optimal outcomes (World Health Organization, 2017b).

584

585 This study emphasizes the potential of dual smartphone-sensory screening provided
586 by non-specialist personnel as an efficient, and cost-effective approach to hearing and
587 vision care. The low cost of the dual sensory program reported in this study (Table 5)
588 can be further reduced with greater retention of LHWs. As the LHWs gain experience
589 and reach more patients the test times should be reduced (Eksteen et al., 2019). A
590 high attrition rate of LHWs with a 50% staff turnover during the 20 months of this project
591 was recorded. Attrition was due to personal reasons and a previous study suggests
592 that relationship with peers is one of the strongest predictors of LHW retention (Ngugi,
593 Nyaga, Lakhani, Agoi, Hanselman, Lugogo, & Mehta, 2018). Improved retention of
594 LHWs is important to sustain a successful community-based program. High LHW
595 retention has previously been linked to a supportive environment, community-led
596 selection process, functioning referral systems, monetary compensation, sufficient
597 resources and adequate training, refresher training and skill development (Ludwick,
598 Brenner, Kyomuhangi, Wotton & Kabakyenga, 2014; Ngilangwa, & Mgomella, 2018;
599 Ngugi et al., 2018). A careful community-led selection process for future LHWs is
600 recommended, clear expectations, incentives and remuneration should be discussed
601 (Ludwick et al., 2014; Ngilangwa, & Mgomella, 2018; Ngugi et al., 2018).

602

603 LHWs are essential, when implementing a sustainable community-led hearing and
604 vision screening programme (Eksteen et al., 2019; Jayawardena et al., 2020; Rono et
605 al., 2018; Yousuf Hussein, Swanepoel, Mahomed, et al., 2018). The use of LHWs kept
606 costs low, compared to the use of hearing health professionals (audiologist/ENT) or
607 eye health professionals (optometrist/ophthalmologist), and this has also been
608 demonstrated by other researchers (Bright et al., 2019; Eksteen et al., 2019;
609 Mahomed-Asmail, et al., 2016; Rono et al., 2018; Yousuf Hussein et al., 2018).

610

611 The specific costs of the dual sensory smartphone screening was sourced from the
612 project administrators of the PHEME Group and hearX group. The full-cost model
613 estimated the sensory screening cost at \$6.67 (US Dollars) per child. In contrast, pure-
614 tone screening costs have been estimated at between \$10.23 to \$18.28 for hearing
615 (Healthman, 2020) and at \$13.03 for vision screening (Lowry & De Alba Campomanes,
616 2016), these figures include, supply, travel and staff costs. The reported costs for
617 school hearing screening is variable. Nguyen et al. (2015) reported a cost of \$ 63.08^a
618 per a child screened and Fortnum et al. (2016) reported a cost of \$ 2.50^b per a child
619 screened (Fortnum et al., 2016; Nguyen, Smith, Armfield, Bensink, & Scuffham, 2015).
620 Both these estimated costs were based on pure tone screening audiometry performed
621 by a healthcare worker (Fortnum et al., 2016; Nguyen et al., 2015). The considerably
622 lower cost per a child screened reported by Fortnum et al. (2016) is likely due to the
623 study population size (10000) and the length of the program (4 years). The vision
624 screening program entailed screening with a visual acuity chart and corneal light
625 testing by a nurse (Lowry & De Alba Campomanes, 2016).

626

627 The program efficacy was limited by poor uptake of appointments at diagnostic
628 services in the public health care system, where there were long waiting periods at the
629 secondary hospital and parents/caregivers failed to attend follow-up appointments.

630 The poor follow-up rate in this program meant that the prevalence of hearing and vision
631 loss could not be accurately established. The availability of healthcare facilities and
632 the distance needed to travel to such facilities has been identified as some of the
633 factors influencing uptake of hearing health services in low-income communities
634 (Khoza-Shangase, 2019; Yong et al., 2020). Eksteen et al. (2019) reported better
635 follow-up and attribute this to regular contact made with parents/guardians reminding
636 them to follow-up. Post-screening follow-up may be necessary in ensuring that children
637 identified with a possible hearing and vision loss receive the adequate follow-up
638 services (Eksteen et al., 2019; Zeng et al., 2020). In a 2020 study conducted in
639 Guangzhou, China, it was demonstrated that if specific follow-up appointments for
640 vision services were given to patients there was an increased compliance in attending
641 appointments (Zeng et al., 2020). Furthermore, teacher uptake of vision services and
642 advocacy thereof has been seen to increase compliance, resulting in increased follow-
643 up rate and spectacle wearing in a study conducted in Chennai, India (Narayanan &
644 Ramani, 2018).

645

646 Community-based hearing and vision screening is essential in identifying sensory
647 deficits in children. This study has provided further support to recent findings (Eksteen
648 et al. 2019), especially for school-aged children, showing that low-cost dual sensory
649 screening can be successfully provided by LHWs. In LMICs, school-based screening
650 is often the first point of care for children (Eksteen et al., 2019; Olusanya et al., 2014;
651 Shinn, Jayawardena, et al., 2019). Future research should develop standardized
652 protocols for smartphone hearing and vision screening of young children in schools.
653 This study provided valuable information on hearing and vision loss and future studies
654 should be conducted on a larger scale and involving older children.

655

656 ^a1 Australian Dollar equates to 0.73 US Dollars; 15 October 2020

657 ^b1 British Pound equates to 1.30 US Dollars, 15 October 2020

658

659

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660

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663

664

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665

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