

1 **Title:** Modifiable lifestyle risk factors for dry eye disease

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3 **Authors:**

4 Michael T. M. Wang, MBChB <sup>1</sup>

5 Alex Muntz, PhD<sup>1</sup>

6 Brinda Mamidi, BOptom<sup>1,2</sup>

7 James S. Wolffsohn, PhD FCOptom FAAO FIACLE FBCLA PFHEA FRSB<sup>3</sup>

8 Jennifer P. Craig, PhD FCOptom FAAO FBCLA FACLS <sup>1</sup>

9

10

11 **Author affiliations:**

12

13 <sup>1</sup> Department of Ophthalmology, New Zealand National Eye Centre, The University  
14 of Auckland, New Zealand

15 <sup>2</sup> Department of Optometry and Vision Science, New Zealand National Eye Centre,  
16 The University of Auckland, New Zealand

17 <sup>3</sup> Ophthalmic Research Group, School of Life and Health Sciences, Aston University,  
18 Birmingham, United Kingdom

19

20 **Corresponding author:**

21

22 Professor Jennifer P. Craig

23 Department of Ophthalmology

24 New Zealand National Eye Centre

25 The University of Auckland, New Zealand

26 Private Bag 92019

27 Auckland 1142

28 New Zealand

29

30 Phone: +64 9 923 8173

31 Fax: +64 9 367 7173

32 Email: [jp.craig@auckland.ac.nz](mailto:jp.craig@auckland.ac.nz)

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35 **Declarations of interest:** None.

36

37 **Funding:** This research did not receive any specific grant from funding agencies in  
38 the public, commercial, or not-for-profit sectors.

39 **ABSTRACT**

40

41 **Purpose:** To examine the association between modifiable lifestyle factors and dry eye  
42 disease.

43

44 **Methods:** Three hundred and twenty-two community residents (186 females, 136 males;  
45 mean±SD age, 41±22 years) with no major systemic or ophthalmic conditions (other than  
46 dry eye disease) were recruited in a cross-sectional study. A lifestyle factor questionnaire  
47 was administered, and dry eye symptomology, ocular surface characteristics, and tear film  
48 quality were evaluated for each participant within a single clinical session, in accordance  
49 with the global consensus recommendations of the TFOS DEWS II reports.

50

51 **Results:** A total of 111 (34%) participants fulfilled the TFOS DEWS II diagnostic criteria for  
52 dry eye disease. Multivariate regression analysis demonstrated that advancing age, female  
53 sex, East Asian ethnicity, and increased digital screen exposure time were positive risk  
54 factors for dry eye disease (all  $p<0.05$ ), while increased caffeine consumption was a  
55 protective factor ( $p=0.04$ ).

56

57 **Conclusions:** Increased digital screen exposure time and reduced caffeine consumption  
58 were modifiable lifestyle factors associated with higher odds of dry eye disease. These  
59 findings might contribute to informing the design of future prospective research investigating  
60 the efficacy of preventative intervention and risk factor modification strategies.

61

62

63 **KEYWORDS**

64

65 Epidemiology; lifestyle; risk factor; dry eye; ocular surface; tear film

66

## 67 INTRODUCTION

68

69 Dry eye disease is a highly prevalent ophthalmic condition, which is acknowledged to have  
70 significant financial and public health burden worldwide.[1, 2] The condition is characterised  
71 by homeostatic disturbance of the ocular surface, which leads to a self-perpetuating vicious  
72 cycle of tear film instability, hyperosmolarity and inflammation.[3, 4] The resulting symptoms  
73 of ocular discomfort and visual blurring can be associated with significant impacts on quality  
74 of life and work productivity.[1, 5, 6] In the United States, it is estimated that the total societal  
75 expenditure related to dry eye disease, including therapeutic management, physician visits,  
76 productivity loss, and other associated costs, amounts to over US\$55 billion per year.[2]

77

78 On account of the projected increase of the financial and public health burden of dry eye  
79 disease with the ageing population,[1, 4, 7] there has been growing interest in the  
80 identification of modifiable risk factors for the condition.[1, 8] Indeed, preventative  
81 intervention efforts and risk factor modification strategies might potentially be more cost  
82 effective than disease treatment at the population level.[1, 2, 8] While the Tear Film and  
83 Ocular Surface Society Dry Eye Workshop II (TFOS DEWS II) Epidemiology Report  
84 identified a number of potential lifestyle factors that might be associated with the  
85 development of dry eye disease, it also highlighted the need for further research to clarify  
86 the inconsistent findings reported in the contemporaneous scientific literature.[1]

87 Furthermore, the lack of consistency in methodological design and disease definition was  
88 acknowledged to introduce significant challenges when interpreting and comparing the  
89 findings of earlier epidemiology studies.[1] The purpose of the current cross-sectional study  
90 was therefore to evaluate the relationship between lifestyle factors and dry eye disease,  
91 incorporating diagnostic criteria and methodology in accordance with the global consensus  
92 recommendations of the TFOS DEWS II Diagnostic Methodology report.[9]

93

94

## 95 **METHODS**

96

### 97 **Subjects**

98

99 This cross-sectional study adhered to the tenets of the Declaration of Helsinki, and was  
100 approved by the University of Auckland Human Participants Ethics Committee. Participants  
101 were recruited through open advertisement at a single university centre, between June 2018  
102 and June 2019. To minimise environmental differences, participants were required to be  
103 local community residents who had lived in the Auckland region for at least 15 years.  
104 Furthermore, eligibility required participants to be at least 16 years of age, with no contact  
105 lens wear 48 hours prior to study participation; report no history of major systemic or  
106 ophthalmic conditions (other than anterior blepharitis, meibomian gland dysfunction and dry  
107 eye disease); no use of systemic or topical medications known to affect the eye in the  
108 previous three months; and no previous ophthalmic surgery. Eligible participants were  
109 enrolled after providing written consent. The sample size was pragmatically determined by  
110 the number of participants enrolled during the recruitment period.

111

### 112 **Measurements**

113

114 Participants were assessed at a single site, with a mean $\pm$ SD room temperature of  
115 20.2 $\pm$ 0.6°C and a mean $\pm$ SD relative humidity of 63.8 $\pm$ 6.4%, and ocular measurements were  
116 conducted on the right eye of each participant. Clinical measurements were conducted in  
117 accordance with the recommendations of the TFOS DEWS II Diagnostic Methodology  
118 subcommittee.[9] To minimise the impact on ocular surface and tear film physiology for  
119 subsequent assessments, clinical measurements were performed in ascending order of  
120 invasiveness,[9] as listed in Table 1. The diagnostic criteria for dry eye disease was based  
121 on the global consensus recommendations of the Tear Film and Ocular Surface Society Dry  
122 Eye Workshop II,[9] as summarised in Table 2.

123

124 **Table 1:** Order of clinical assessments conducted during the study visit.

125

<b>Assessments</b>
1. Lifestyle factor questionnaire
2. OSDI dry eye questionnaire
3. DEQ-5 dry eye questionnaire
4. Tear meniscus height
5. Non-invasive tear film breakup time
6. Tear film lipid layer grade
7. Tear osmolarity
8. Ocular surface staining
9. Infrared meibography

126

127

128

129 **Table 2:** Diagnostic criteria for dry eye disease based on the global consensus recommendations of  
130 the Tear Film and Ocular Surface Society Dry Eye Workshop II (TFOS DEWS II).[9]

131

<b>Diagnosis</b>	<b>Criteria</b>
Dry eye disease	<ul style="list-style-type: none"> <li>• OSDI score <math>\geq 13</math>, or DEQ-5 score <math>\geq 6</math></li> </ul> <p><u>AND</u></p> <ul style="list-style-type: none"> <li>• Non-invasive tear film breakup time <math>&lt; 10</math>s, tear osmolarity <math>\geq 308</math>mOsm/L, inter-ocular difference in osmolarity <math>&gt; 8</math>mOsm/L, corneal staining <math>&gt; 5</math> spots, conjunctival staining <math>&gt; 9</math> spots, or lid margin staining <math>\geq 2</math>mm length and <math>\geq 25\%</math> width</li> </ul>

132

133

134 A lifestyle factor questionnaire was administered, and included questions on contact lens  
135 wear, urban or rural residential area, educational attainment, digital screen exposure, hours  
136 spent in air-conditioned or centrally heated environments, exercise, outdoor activity, sleep,  
137 diet, water intake, caffeine intake, alcohol consumption, and smoking. The lifestyle risk  
138 factors investigated in the current study were based on those identified in the TFOS DEWS  
139 II Epidemiology Report and recent dry eye epidemiology studies.[1, 10, 11] The Ocular  
140 Surface Disease Index (OSDI) and 5-Item Dry Eye Questionnaire (DEQ-5) questionnaires  
141 were then administered to grade the level of dry eye symptomology.[9]

142

143 Tear meniscus height, non-invasive tear film breakup time, and tear film lipid layer grade  
144 were evaluated using the Keratograph 5M (Oculus Optikgeräte GmbH, Wetzlar, Germany).  
145 The lower tear meniscus height was measured using high magnification pre-calibrated digital  
146 imaging, and three readings near the centre of the lower meniscus were averaged. Non-  
147 invasive tear film breakup time was assessed using automated detection of first break-up,  
148 while the subject maintained fixation and was requested to refrain from blinking. Three  
149 breakup time readings were averaged in each case.[9] Tear film lipid layer interferometry  
150 was graded according to the modified Guillon-Keeler system: grade 1, open meshwork;  
151 grade 2, closed meshwork; grade 3, wave or flow; grade 4, amorphous; grade 5, coloured  
152 fringes; grade 0, non-continuous layer (non-visible or abnormal coloured fringes).[12, 13]

153

154 Tear film osmolarity measurements were performed with a clinical osmometer (TearLab,  
155 California, USA), from 50nL tear samples collected from the lower lateral canthal tear  
156 meniscus. A measurement was taken for each eye, and the higher reading and the inter-  
157 ocular difference recorded.[9]

158

159 Sodium fluorescein and lissamine green dyes were applied using the recommended  
160 technique described in the TFOS DEWS II Diagnostic Methodology report, in order to

161 evaluate localised corneal and conjunctival areas of epithelial desiccation, and lid wiper  
162 epitheliopathy.[9]

163

164 Infrared meibography was imaged with the Oculus Keratograph 5M, with the superior and  
165 inferior eyelids everted in turn. From the captured image, the proportion of meibomian  
166 glands visible within the tarsal area was graded according to the five-point Meiboscale.[14]

167

## 168 **Statistics**

169

170 Statistical analysis was conducted with Graph Pad Prism version 8.01 (California, USA) and  
171 IBM SPSS version 24 (New York, USA). Preliminary univariate logistic regression was used  
172 to identify potential predictors of dry eye disease. Multivariate logistic regression for  
173 predictors of dry eye disease was then conducted, incorporating variables with a univariate  
174 association threshold of  $p < 0.15$ . The number of variables used in the multivariate regression  
175 analysis was limited to the number of diagnosed participants divided by 10, to avoid  
176 overfitting. All tests were two tailed, and  $p < 0.05$  was considered significant. Data are  
177 presented as mean $\pm$ SD, median (IQR), or number of participants (% of participants) unless  
178 otherwise stated.

179 **RESULTS**

180

181 The mean  $\pm$  SD age of the 322 participants (186 females, 136 males) was 41 $\pm$ 22 years

182 (range, 16 to 88 years). Demographic, lifestyle, and ophthalmic characteristics of

183 participants are presented in Table 3. Overall, 111 (34%) participants fulfilled the TFOS

184 DEWS II criteria for dry eye disease.

185

186 **Table 3:** Demographic, lifestyle, and ocular surface characteristics of participants. Data are presented  
 187 as mean  $\pm$  SD, median (IQR), or number of participants (% of participants). Asterisks denote  
 188 statistically significant values ( $p < 0.05$ ).  
 189

Characteristic	Total (n=322)	TFOS DEWS II diagnostic criteria for dry eye disease		
		Present (n=111)	Absent (n=211)	p
<b>Demographics</b>				
Age (years)	41 $\pm$ 22	46 $\pm$ 22	38 $\pm$ 22	0.005*
Female sex	186 (58%)	75 (68%)	111 (53%)	0.01*
European ethnicity	136 (42%)	37 (33%)	99 (47%)	0.02*
East Asian ethnicity	116 (36%)	49 (44%)	67 (32%)	0.04*
South Asian ethnicity	32 (10%)	11 (10%)	21 (10%)	>0.99
Other ethnicity	38 (12%)	14 (13%)	24 (11%)	0.72
<b>Lifestyle factors</b>				
Contact lens wear	87 (27%)	36 (32%)	51 (24%)	0.12
Urban residential area	310 (96%)	107 (96%)	203 (96%)	>0.99
Work hours per weekday (hours)	8 (6-8)	8 (5-8)	8 (6-8)	0.78
Tertiary educational attainment	208 (65%)	76 (68%)	132 (63%)	0.33
Hours of digital screen exposure per day (hours)	4 (3-7)	5 (4-8)	4 (2-7)	0.02*
Hours spent in air-conditioned or centrally heated environments per day (hours)	4 (0-8)	4 (0-8)	4 (0-8)	0.96
Hours of exercise per day (hours)	1 (0-1)	1 (0-1)	1 (0-1)	0.89
Hours of outdoor activity per day (hours)	2 (1-3)	2 (1-3)	2 (1-3)	0.82
Hours of sleep per day (hours)	7 (6-8)	7 (6-8)	7 (6-8)	0.36
Vegetarian diet	14 (4%)	4 (4%)	10 (5%)	0.78
Water intake per day (cups)	3 (2-6)	3 (2-5)	3 (2-6)	0.65
Caffeine intake per day (servings)	1 (0-3)	1 (0-1)	1 (0-3)	0.03*
Alcohol consumption per week (units)	1 (0-6)	1 (0-5)	1 (0-6)	0.39
Smoking	62 (19%)	21 (19%)	41 (19%)	>0.99
<b>Dry eye symptomatology</b>				
OSDI score (out of 100)	15 (6-33)	8 (2-12)	33 (22-50)	<0.001*
DEQ-5 score (out of 22)	6 (4-10)	5 (4-6)	9 (6-13)	<0.001*
<b>Tear film quality</b>				
Non-invasive tear film breakup time (s)	7.9 (4.7-11.4)	5.5 (3.2-7.3)	9.2 (6.4-15.1)	0.007*
Tear film osmolarity (mOsmol/L)	308 $\pm$ 14	312 $\pm$ 17	305 $\pm$ 12	0.003*
Inter-ocular difference in osmolarity (mOsmol/L)	7 (3-11)	10 (5-16)	6 (2-8)	0.01*
Tear film lipid layer grade (out of 5)	3 (2-4)	2 (1-3)	3 (2-4)	0.02*
Tear meniscus height (mm)	0.28 $\pm$ 0.12	0.25 $\pm$ 0.11	0.29 $\pm$ 0.12	0.006*
<b>Ocular surface characteristics</b>				
Corneal staining >5 spots	61 (19%)	35 (32%)	26 (13%)	<0.001*
Conjunctival staining >9 spots	94 (29%)	53 (48%)	41 (19%)	<0.001*
Lid wiper epitheliopathy $\geq$ 2mm length and $\geq$ 25% width	114 (35%)	63 (57%)	51 (24%)	<0.001*
Superior meibography grade (out of 4)	1 (0-2)	2 (1-3)	1 (0-2)	0.002*
Inferior meibography grade (out of 4)	1 (0-2)	1 (1-3)	1 (0-2)	0.03*

190



191 Unadjusted univariate and multivariate-adjusted odds ratios of dry eye disease by  
 192 demographic and lifestyle characteristic are presented in Tables 4. Multivariate regression  
 193 analysis demonstrated that advancing age, female sex, and East Asian ethnicity were  
 194 positive risk factors of dry eye disease (all  $p < 0.05$ ). Increased digital screen exposure time  
 195 (per 1 hour/day increase) was a significant predictor of higher odds of dry eye disease  
 196 (OR=1.14, 95% CI 1.04-1.26,  $p=0.008$ ). Increased caffeine consumption (per 1 serving/day  
 197 increase) was independently associated with reduced odds of dry eye disease (OR=0.84,  
 198 95% CI, 0.72-0.98,  $p=0.03$ ). Sensitivity analysis demonstrated similar trends following the  
 199 exclusion of participants with a history of contact lens wear.

200

201 **Table 4:** Logistic regression odds ratio of dry eye disease by demographic and clinical characteristics.  
 202 Asterisks denote statistically significant values ( $p < 0.05$ ).

203

Characteristic	Unadjusted univariate logistic regression		Multivariate-adjusted logistic regression	
	OR (95% CI)	p	OR (95% CI)	p
<b>Demographics</b>				
Age (per 10 years)	1.16 (1.04-1.28)	0.005*	1.21 (1.06-1.44)	0.006*
Female sex	1.85 (1.14-2.97)	0.01*	1.83 (1.06-3.15)	0.03*
East Asian versus European ethnicity	1.96 (1.16-3.32)	0.01*	2.07 (1.10-3.91)	0.02*
South Asian versus European ethnicity	1.40 (0.62-3.19)	0.42	-	-
Other versus European ethnicity	1.56 (0.73-3.34)	0.25	-	-
<b>Lifestyle factors</b>				
Contact lens wear	1.51 (0.91-2.50)	0.11	1.35 (0.75-2.42)	0.32
Urban residential area	1.05 (0.31-3.58)	0.93	-	-
Work hours per weekday (per hour)	1.03 (0.90-1.17)	0.68	-	-
Tertiary educational attainment	1.30 (0.79-2.12)	0.29	-	-
Hours of digital screen exposure per day (per hour)	1.12 (1.03-1.22)	0.007*	1.14 (1.04-1.26)	0.008*
Hours spent in air-conditioned or centrally heated environments per day (per hour)	1.01 (0.95-1.07)	0.79	-	-
Hours of exercise per day (per hour)	0.96 (0.56-1.66)	0.89	-	-
Hours of outdoor activity per day (per hour)	1.05 (0.94-1.17)	0.39	-	-
Hours of sleep per day (per hour)	1.02 (0.97-1.08)	0.43	-	-
Vegetarian diet	0.75 (0.23-2.45)	0.64	-	-
Water intake per day (per cup)	0.96 (0.88-1.04)	0.33	-	-
Caffeine intake per day (per serving)	0.84 (0.72-0.98)	0.03*	0.82 (0.68-0.99)	0.04*
Alcohol consumption per week (per unit)	1.01 (0.94-1.07)	0.89	-	-
Smoking	0.97 (0.54-1.74)	0.91	-	-

204

205

**206 DISCUSSION**

207

208 The results of this study demonstrated that advancing age, female sex, East Asian ethnicity,  
209 and increased digital screen exposure time were positive risk factors of dry eye disease,  
210 while increased caffeine consumption was a significant protective factor. The TFOS DEWS II  
211 epidemiology report previously identified the lack of methodological homogeneity and  
212 disease definition to introduce significant challenges when interpreting the results of dry eye  
213 epidemiology studies.[1] To our knowledge, this study is among the first to assess the  
214 relationship between lifestyle factors and dry eye disease using the global consensus TFOS  
215 DEWS II diagnostic criteria.[9] In addition, recruited participants were required to be  
216 residents within the Auckland region over the past 15 years, providing some degree of  
217 control to climate and environmental exposure, and none of the participants reported any  
218 major systemic or ophthalmic conditions other than dry eye disease.

219

220 Consistent with the trends reported in previous studies and the TFOS DEWS II epidemiology  
221 report,[1, 15-23] advancing age, female sex, and Asian ethnicity were identified to be non-  
222 modifiable positive risk factors of dry eye disease. Indeed, dry eye disease is acknowledged  
223 to be an age-related, degenerative condition which progresses with cumulative lifetime  
224 exposure to various environmental and physiological factors, that culminate in neurosensory  
225 abnormalities, hormonal changes, tear film homeostatic disturbances, and ocular surface  
226 inflammation.[1, 4, 7, 24] The association between female sex and dry eye disease has  
227 been hypothesised to be partially attributed to the complex inter-relationships between the  
228 regulatory action of sex steroids, hypothalamic-pituitary and thyroid hormones on the  
229 immune system and ocular surface.[1, 25, 26] The East Asian ethnic propensity to dry eye  
230 development has been hypothesised to be related to anatomical differences in orbital  
231 structure, that predispose to increased eyelid tension, incomplete blinking, and accelerated  
232 rates of meibomian gland dropout.[17, 20, 27]

233

234 Increased digital screen exposure time was shown to be positively associated with dry eye  
235 disease in the current study. These results are in agreement with the trends reported by  
236 earlier observational studies.[28, 29] The association between digital device screen  
237 exposure and dry eye disease is thought to be mediated by the suppression of spontaneous  
238 and reflex blinking while performing tasks related to significant cognitive loading and visual  
239 processing.[30-32] This can lead to decreased blink rate and completeness,[29, 32] thereby  
240 reducing the delivery of meibomian gland secretions to the ocular surface and impairing the  
241 integrity and quality of the surface tear film lipid layer.[27] A continuous lipid layer has been  
242 previously demonstrated to be essential for inhibiting aqueous tear evaporation,[33] and the  
243 pathophysiological changes associated with diminished blink quality can result in a vicious  
244 cycle of tear film hyper-evaporation, instability, hyper-osmolarity, and ocular surface  
245 inflammation.[4, 27] Furthermore, up-gaze associated with the use of certain desktop  
246 computer monitors might also increase the exposed ocular surface area between blink  
247 cycles,[31, 34] further exacerbating any pre-existing aqueous tear hyper-evaporation.[4]

248

249 Increased caffeine consumption was demonstrated to be a protective factor of dry eye  
250 disease in the current study, although conflicting findings have been reported in previous  
251 studies.[10, 11, 35-38] Although increased tear meniscus height and Schirmer's test values  
252 have been observed following caffeine consumption in prospective, placebo-controlled,  
253 crossover studies,[35, 36] conflicting results have been reported in earlier observational  
254 research.[37, 38] The protective effects of caffeine have been previously hypothesised to be  
255 mediated by the stimulation of increased aqueous tear production of the lacrimal glands via  
256 the inhibition of 3',5'-cyclic nucleotide phosphodiesterase, although the exact mechanisms  
257 remain yet to be fully understood.[11, 35, 36]

258

259 The identification of modifiable risk factors, including digital screen exposure time and  
260 caffeine consumption, in the current study might inform future research in preventative  
261 management strategies.[1, 8] Dry eye disease is recognised to have significant public health

262 impacts and financial burden;[1, 2] and in the United States, the total societal expenditure  
263 related to physician visits, therapeutic management, productivity loss, and other associated  
264 costs that amounts to the equivalent of around US\$1 billion per week.[2] Preventative  
265 intervention and risk factor modification strategies might potentially be more cost effective at  
266 the population level.[1, 8] While the observational nature of the current study would preclude  
267 the inference of causality, future prospective or randomised studies should be conducted to  
268 further investigate the long-term effects of blinking training, digital screen exposure time  
269 modification, and caffeine consumption on the ocular surface and tear film.[1, 8, 27, 35, 36]

270

271 This study is not without limitations. Lifestyle factors were self-reported by participants,  
272 which can introduce recall bias. The inclusion of participants with recent contact lens wear  
273 might have contributed to a higher proportion of participants fulfilling the diagnostic criteria  
274 for dry eye disease, although sensitivity analysis following the exclusion participants with a  
275 history of contact lens wear demonstrated similar trends to the primary analysis. The open  
276 recruitment process might also be associated with selection bias. Nevertheless, the same  
277 limitations are acknowledged to exist in previous studies with similar designs, and may be  
278 partially mitigated to some extent by recruiting from healthy community residents with no  
279 other major systemic or ophthalmic conditions through a university research centre, rather  
280 than a hospital-based convenience sample of patients. Moreover, a number of additional  
281 lifestyle factors, including body mass index and other dietary factors, such as green tea  
282 consumption,[39, 40] were not investigated in the current study, and would warrant further  
283 investigation in future epidemiological studies.

284

285 In conclusion, advancing age, female sex, East Asian ethnicity, and increased digital screen  
286 exposure time were positive risk factors of dry eye disease, while increased caffeine  
287 consumption was a protective factor. The identification of modifiable risk factors for dry eye  
288 disease in the current study might contribute towards informing the design of future

289 prospective research investigating the efficacy of preventative intervention and risk factor  
290 modification strategies.

291

292

### 293 **ACKNOWLEDGEMENTS**

294

295 The authors are grateful to Alicia Han, Dr Lucas Lacerda, Dr Joevy Lim, and Leslie Tien who  
296 contributed to collecting the data reported in this study.

297

298 This research did not receive any specific grant from funding agencies in the public,  
299 commercial, or not-for-profit sectors.

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