

# Modeling magnetohydrodynamics and non-equilibrium SoHO/UVCS line emission of CME shocks

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Received 16 November 2007 / Accepted 17 January 2008

## ABSTRACT

**Aims.** We provide a guideline to interpret the UltraViolet Coronagraph Spectrometer (UVCS) emission lines (in particular O VI and Si XII) during shock wave propagation in the outer solar corona.

**Methods.** We use a numerical magnetohydrodynamic (MHD) model performing a set of simulations of shock waves generated in the corona and from the result we compute the plasma emission for the O VI and Si XII, including the effects of Non Equilibrium Ionization (NEI). We analyze the radiative and spectral properties of our model with the support of a detailed radiation model, including Doppler dimming and an analytical model for shocks, and, finally, we synthesize the expected O VI 1032 Å line profile.

**Results.** We explain several spectral features of the observations like the absence of discontinuities in the O VI emission during the shock passage, the brightening of Si XII emission, and the width of the lines. We also use our model to give very simple and general predictions for the strength of the line wings due to the ions shock heating and on the line shape for Limb Coronal Mass Ejections (CMEs) or Halo CMEs.

**Conclusions.** The emission coming from the post-shock region in the solar corona roughly agrees with the emission from a simple planar and adiabatic shock, but the effect of thermal conduction and the magnetic field may be important depending on the event parameters. Doppler dimming significantly influences the O VI emission while Si XII line brightens mainly because of the shock compression. Significant shock heating is responsible for the wide and faint component of the O VI line usually observed, which may be taken as a shock signature in the solar corona.

**Key words.** Sun: coronal mass ejections (CMEs) – magnetohydrodynamics (MHD) – Sun: corona

## 1. Introduction

Strong shock waves are expected to develop from fast Coronal Mass Ejections (CME). According to the most popular idea about the structure of CMEs, an expanding magnetic flux rope propagates in the solar corona and this leads to the creation of the CME leading edge and to the creation of shocks, as well. It is widely accepted that the front side halo CMEs are strongly correlated with geomagnetic disturbances (Brueckner et al. 1998; Cane et al. 2000; Gopalswamy et al. 2000; Webb et al. 2000; Zhang et al. 2003). Thus, the detection of CME related shocks is a crucial topic in space weather research. However, it is also a difficult problem because it cannot be confirmed from white light images alone. A further problem is to describe the shock and figure out whether the environment or the CME core expansion determine the shock wave properties, since they influence the acceleration of energetic particles as the shock evolves, which is again a key question in space weather research.

All proposed hypotheses agree that the shock wave, whenever it exists, stays on the leading edge of the CME (Hundhausen 1987; Wagner & MacQueen 1983), though it may be too faint to be easily seen. The leading edge, as observed in white light images, could be either plasma compressed by a shock or denser material in magnetic loops ejected from near the solar surface. Several shocks have been detected during CME front

propagation with different diagnostics (Ciaravella et al. 2006). Sharp edges in white light images have been taken as evidence of shocks at the CME leading edge, but it is impossible to prove that they are shocks without the support of deeper diagnostics. For instance, Vourlidis et al. (2003) support the identification of shocks in SoHO/LASCO observations thanks to an MHD model where a shock was needed to fit the observational constraints. Sometimes the shock is detected with certainty by the presence of a type II radio burst that gives a reliable estimate of the post-shock density, but not of speed and location. However, spectral analysis is the most general way to detect and describe the shock in the solar corona.

The UltraViolet Coronagraph Spectrometer (UVCS) (Kohl et al. 1995) on board the Solar and Heliospheric Observatory (SoHO) (Domingo & Poland 1988) motivated this research topic. Ciaravella et al. (2006) pointed out the correlation between the shocks and several spectral properties of the post-shock emission. Overall, they found that the shock emission is comparable or weaker than the background coronal emission. The O VI 1032 Å line is usually broad, but its intensity does not increase. Instead, the Si XII brightens significantly, and it is common that the intensity of the line doubles and the Ly  $\alpha$  fades. This general description is based on several studies made of different CME events that reached similar conclusions. For instance, broad O VI lines and more intense

Si XII lines have been observed in 2000 June 28 (Ciaravella et al. 2005), 2000 September 12 (Suleiman et al. 2005), and 2000 October 24 (Ciaravella et al. 2006) events. In the shock related to the CME event on 1998 June 11, the derived compression and ionization appear modest with respect to the inferred shock strength (Raymond et al. 2000). Signatures of shock have been observed during the 2000 March 3 CME event (Mancuso et al. 2002). Mancuso et al. (2002) pointed out that the oxygen temperature ( $T_O$ ) was much larger than the proton temperature ( $T_p$ ), and that the coronal O VI emission during a shock is well fitted by a narrow component from the quiet corona and a broad component from the shocked plasma. Actually, particles of different species can be heated by different amounts in a collisionless shock since there is not enough time for Coulomb collisions to bring the temperatures into equilibrium. Observations of shocks in the solar wind generally show that electrons are heated weakly (Schwartz et al. 2000) and that minor ions of mass  $m_i$  have higher temperatures than protons, perhaps as high as  $T_i = (m_i/m_p)T_p$  (Korreck et al. 2007). Observations of supernova remnants instead show  $T_e \sim T_i$  for relatively slow shocks;  $T_e \ll T_i$  for fast shocks (Ghavamian et al. 2001);  $T_O \sim T_p$  for slow shocks; and  $T_O \sim 8T_p$  for a fast one (Raymond et al. 2003b; Korreck et al. 2004).

Since the whole sample of events amounts to  $\sim 10$  shocks linked to CMEs and few of them have good enough data to do a detailed analysis, questions about the line emission from shocked plasma are still open. Overall, it is important to link all the observed features listed above with models, at least qualitatively. Because of the large number of parameters that could characterize the evolution of a CME, it is quite impossible and even useless to model one single event quantitatively. On the other hand, many recent efforts have focused on the theoretical modeling of the solar corona, with the goal of properly describing the processes that lead to eruption and activity in the corona. We think that modeling should be linked as much as possible to observables because several assumptions lay between the physics described by the model and the actual emission that could be inferred from that model. As an immediate implication, any attempt to model observables in shock wave propagation cannot neglect that the highly dynamic plasma can be easily in NEI (Spadaro et al. 1994), since the time scales are too short to allow the ionization state of the plasma to relax.

The aim of this work is to find unambiguous spectral shock signatures, and to provide a guide for the interpretation of general features that could appear in UVCS observations. Our approach is to model in detail and diagnose the propagation of a shock wave generated from a supersonic fragment of a CME in the magnetized corona. We use a numerical MHD model (Pagano et al. 2007) to describe the MHD evolution and from the result we compute the plasma emission for the O VI and Si XII lines, which are diagnostically important in UVCS observations of shock waves, including the effects of NEI. As a guideline to interpret the results, we support the study with a simple analytical model of a Rankine-Hugoniot planar and adiabatic shock wave. From the radiation model we synthesize observable quantities, such as the profiles of spectral lines. In Sect. 2 we describe our model, Sect. 3 includes the results which are discussed in Sect. 4.

## 2. The model

We use a full MHD model of the solar corona tuned to investigate the coronal emission visible from UVCS during a shock wave propagation. We model a supersonic CME core fragment

moving upward in a magnetohydrostatic solar corona. During its propagation, the fast cloud generates a series of shock waves, and we study how the waves perturb the quiet corona. To this aim, we need to model the evolution of both the plasma and the magnetic field and, to compute emission of the ion abundances as well.

We consider the solar gravity, the radiative losses (e.g. Raymond & Smith 1977), a phenomenological coronal heating term, and the field-oriented thermal conduction (Spitzer 1962) as important physical effects. The full set of MHD equations we solve are:

$$\frac{\partial \rho}{\partial t} + \nabla \cdot (\rho \mathbf{v}) = 0; \quad (1)$$

$$\frac{\partial \rho \mathbf{v}}{\partial t} + \nabla \cdot (\rho \mathbf{v} \mathbf{v}) = -\nabla p + \frac{(\nabla \times \mathbf{B}) \times \mathbf{B}}{4\pi} + \rho \mathbf{g}; \quad (2)$$

$$\frac{\partial u}{\partial t} + \nabla \cdot [(u + p)\mathbf{v}] = \rho \mathbf{g} \cdot \mathbf{v} - n^2 P(T) + H_0 - \nabla \cdot \mathbf{F}_c; \quad (3)$$

$$\frac{\partial \mathbf{B}}{\partial t} = \nabla \times (\mathbf{v} \times \mathbf{B}); \quad (4)$$

$$u = \frac{1}{2} \rho v^2 + E; \quad (5)$$

$$p = (\gamma - 1)E; \quad (6)$$

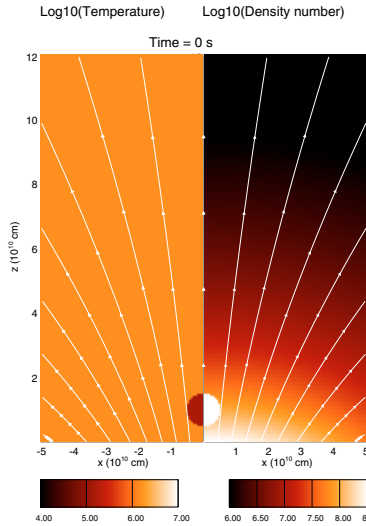
with the constraint given by

$$\nabla \cdot \mathbf{B} = 0; \quad (7)$$

where  $t$  is the time;  $\rho$  is the density;  $n$  the number density;  $p$  the thermal pressure;  $T$  the temperature ( $T_e = T_p = T_i$  in this model);  $\mathbf{v}$  the plasma flow speed;  $u$  the total energy (internal energy  $E$  plus kinetic);  $\mathbf{g}$  the gravity acceleration;  $\mathbf{F}_c$  is the conductive flux according to Spitzer (1962), and corrected for the saturation effect (Cowie & McKee 1977);  $P(T)$  the radiative losses per unit emission measure (Raymond & Smith 1977); and  $H_0 = n_0^2 P(T_0)$  is a constant heating term whose only role is to keep steady the unperturbed corona by balancing exactly its radiative losses, with  $n_0(\mathbf{r}) = n(\mathbf{r}, t = 0)$  and  $T_0 = T(t = 0)$  (Pagano et al. 2007). We do not include plasma resistivity effects, which can be considered globally negligible on large scales in the solar corona.

We solve numerically the set of the ideal full MHD equations with the MHD module of the advanced parallel FLASH code, basically developed by the Advanced Simulation and Computing (ASC)/Alliance Center for Astrophysical Thermonuclear Flashes in Chicago (Fryxell et al. 2000), with adaptive mesh refinement (PARAMESH, MacNeice et al. 2000). We include the FLASH module for the anisotropic thermal conduction (Spitzer 1962) implemented by Pagano et al. (2007), and improved for the saturation effects by Orlando et al. (2005).

We compute the ionization state of the plasma from the history and distribution of  $\mathbf{v}$ ,  $n_e$ , and  $T$  obtained with the MHD model. This is done asynchronously of the MHD computations; we can do this safely because we sample the solution on time bins ( $\sim 25$  s) shorter than a typical UVCS exposure time ( $\sim 120$  s) and than the shock passage time scales ( $\sim 500$  s for a scale length of  $5 \times 10^9$  cm and a typical sound speed of  $10^7$  cm/s), and because we do not expect effects from small scale mixing by turbulent motion in the presence of the magnetic field and of the thermal conduction. The ionization state is computed considering the lagrangian transport of ions, and



**Fig. 1.** Initial condition of the model. The panel shows a section at  $y = 0$  of the temperature and density number spatial distributions. The magnetic field lines are also shown (white lines).

the ionization/recombination processes, i.e., we are not assuming ionization equilibrium during the simulation. The variation of the ionization fraction of each ion species is governed by the equation:

$$\frac{\partial n_i^Z}{\partial t} = -\nabla \cdot n_i^Z \mathbf{v} + n_e \left[ n_{i+1}^Z \alpha_{i+1}^Z + n_{i-1}^Z q_{i-1}^Z - n_i^Z (\alpha_i^Z + q_i^Z) \right] \quad (8)$$

where  $n_i^Z$  is the density of the element  $Z$  in the  $i$ th ionization level in units of  $\text{cm}^{-3}$ ,  $n_e$  is the electron number density and  $\alpha_i^Z$  and  $q_i^Z$  are, respectively, the recombination and ionization rates for the element  $Z$  in the  $i$ th ionization level in units of  $\text{cm}^3/\text{s}$ .

The ionization state evolution explicitly depends on electron and ion densities and the bulk velocity of the plasma, and implicitly on the temperature through  $\alpha_i^Z$  and  $q_i^Z$ . In Eq. (8) the first term on the righthand side is the advection term, and the second is the variation due to ionization and recombination processes that take place at the temperature of the plasma element. We solve this equation numerically for each relevant species and ion, assuming initial ionization equilibrium, and treating explicitly the advection term with a Godunov scheme (Godunov 1959) and implicitly the second term with ionization rates given by Cox & Raymond (1985) and recombination rates given by Bryans et al. (2006). The evolution of the electron density and of the plasma motion throughout the domain are taken from the MHD model results (sampled every 25 s). A Godunov scheme is applied to compute the ion transfer through the cells due to the bulk motion of the plasma, and the ionization fraction is updated considering the ionizing and recombining collisions (second term in Eq. (8)) between electrons and ions.

The intensity of the lines is computed as the sum of the collisional ( $I_c$ ) and radiative ( $I_r$ ) contributions (see the Appendix A for more details):

$$I = I_c(n_e, n_i^Z, T) + I_r(n_i^Z, T, \mathbf{v}). \quad (9)$$

We model the evolution of a dense cloud moving upward supersonically in the outer coronal atmosphere as shown in Fig. 1. The center of the cloud (i.e. the CME fragment) is initially positioned at  $0.15 R_\odot$  above the photosphere and has an upward initial velocity. It is denser than the surroundings, but in pressure equilibrium with them (i.e., colder than the surrounding atmosphere).

**Table 1.** Numerical simulations.

Name	$\beta$	$n_c/n_0$	$v_c$ ( $\text{km s}^{-1}$ )
REF	1	10	1000
$\beta 01$	0.1	10	1000
$\beta 10$	10	10	1000
$n_c 4$	1	4	1000
$v 700$	1	10	700

The atmosphere is isothermal at 1.5 MK and stratified by gravity in such a way to have  $n = 10^8 \text{ cm}^{-3}$  at the initial CME fragment center height. The background magnetic field is modeled as a dipole laying in the center of the Sun and seen from the central axis. On this basic configuration, we build various setups of the other parameter and initial conditions. As reference set up, the CME fragment has an initial velocity  $v_c = 1000 \text{ km s}^{-1}$  and is 10 times denser than the surroundings (i.e.,  $n_c/n_0 = 10$  and temperature:  $T_c = 1.5 \times 10^5 \text{ K}$ ). The intensity of the dipole is chosen to give  $\beta \sim 1$  at the initial height of the CME fragment center. The other simulations that we present differ only for one parameter, and in particular,  $\beta = 10$ ,  $\beta = 0.1$ ,  $n_c/n_0 = 4$ , and  $v_c = 700 \text{ km s}^{-1}$ .

Simulations  $\beta 01$  and  $\beta 10$  are devoted to investigate the effect of the magnetic field on the global structure of the shocks, i.e., whether a stronger (weaker) magnetic field could lead to less (more) compression and, ultimately, to less (more) emission. Simulation  $n_c 4$  and  $v 700$  explore situations in which the initial shocking fragment carries less momentum (less mass, the former, less velocity, the latter).

The simulations are all performed in a 3D Cartesian domain  $(x, y, z)$  that has dimension of  $(8 \times 8 \times 16) \times 10^{10} \text{ cm}$ , for the duration needed by the shock to propagate well above  $2 R_\odot$ , i.e., the height of hypothetical UVCS observations. The time is  $\sim 1000 \text{ s}$  when the initial velocity of the fragment is  $1000 \text{ km s}^{-1}$ , and  $\sim 1500 \text{ s}$  when it is  $700 \text{ km s}^{-1}$ .

### 3. Results

We now discuss our reference simulation, and later the others, which differ from it by just one parameter of the initial conditions (i.e.:  $\beta$ , initial velocity of the cloud, density of the cloud).

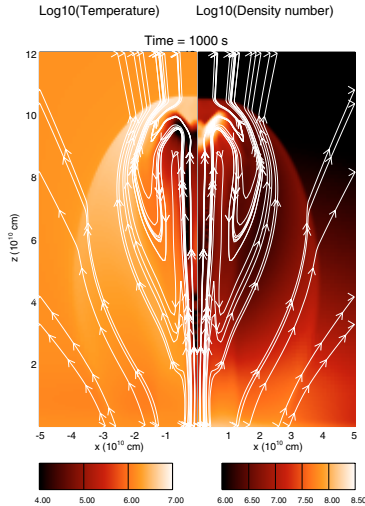
#### 3.1. The reference simulation

In Fig. 2, the density and the temperature of the plasma after 1000 s of evolution are shown (for the reference simulation). In all the simulations, shock fronts depart from the upper part of the high speed cloud. Here we address the shock evolution only and not the cloud evolution. The cloud continuously shocks the surrounding medium, since its speed remains faster than the sound speed (at least during the time of our simulation), it cools down during the motion because of the radiative losses and its core becomes thermally unstable.

##### 3.1.1. The shock

In the reference simulation, the shock propagates radially from the cloud. Several shock fronts are generated during the passage of the cloud and, finally, they merge in a bow shock edge expanding in time. After 1000 s of evolution the cloud has reached the height of  $\sim 2.5 R_\odot$  and the produced shock edge has traveled  $\sim 0.4 R_\odot$  from the originating cloud. Behind the edge of the shock





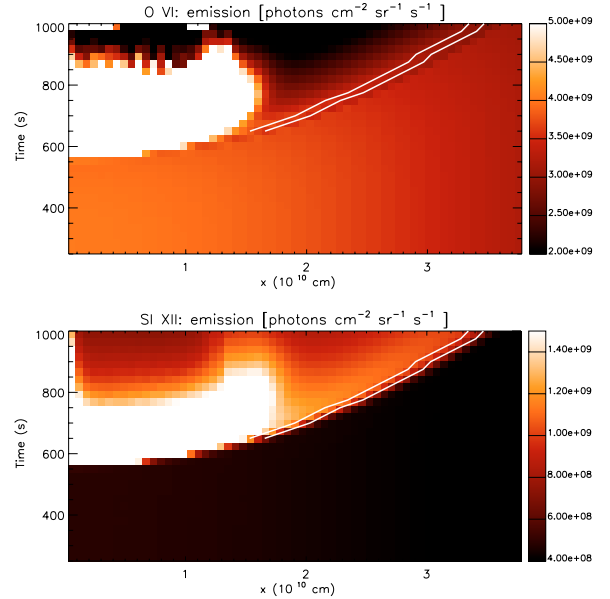
**Fig. 2.** As in Fig. 1, but at  $t = 1000$  s in the reference simulation.

wave, the structure of the shocked gas is due to the interaction and superposition of the different shock waves.

Since this work addresses the signatures of a shock transition during a CME, we focus our attention on the shocking edge that drives the disturbance of the quiet outer corona, rather than on the inner structure of the shock. The closer in  $x$  direction the shocked plasma is to the shocking cloud, the less the shock has propagated in the corona, the stronger is the shock, and the more the bulk velocity of the post-shock plasma is perpendicular to the solar surface. The cloud velocity remains superalfvénic and the magnetic field is shocked as well. For this reason the post-shock magnetic field tends to be parallel to the shock front. The plasma  $\beta$  is relatively high in the upper corona ( $\sim 15$  in our simulation at  $2 R_{\odot}$ ), and so the magnetic field pressure is negligible with respect to the plasma compression, while the magnetic field orientation influences the thermal properties of the shock and, as consequence, the ionization state. Since the shocked magnetic field is parallel to the shock front, which is hotter than the surrounding atmosphere, the thermal conduction toward pre-shock regions is ineffective and the shocked plasma does not cool down. The shock heats the plasma, the ionization is enhanced and the ionization state changes. Immediately behind the shock the plasma is far from the ionization equilibrium at the shock temperature, and the ionization equilibrium is approached farther from the shock front where the temperature has no longer been changing significantly and the plasma is slowly relaxing.

### 3.1.2. O VI and Si XII shock emission

We apply our general radiation model to O VI and Si XII lines, which are important for the CME shock front diagnostics. In Fig. 3, we show the evolution of the line intensity across the UVCS slit computed from the full MHD simulation and considering non-equilibrium of ionization. The intensity jump across the shock is sharper in the Si XII line than in the O VI line. Our model shows that the shocked plasma is far from ionization equilibrium; in fact, the heating time due to the shock is much shorter than the ionization equilibrium time and there is not enough time for the ionization state to change as soon as the shock has reached the plasma. For instance, the ionization time for the O VI at the post-shock temperature ( $T \sim 3$  MK) is roughly  $\tau_{\text{eq}} \sim 400$  s (see Appendix B), significantly longer than the heating time. We

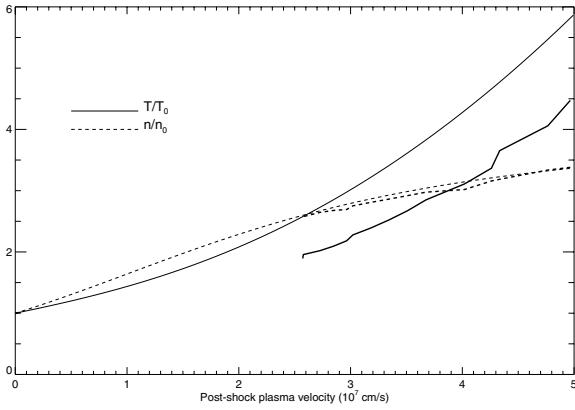


**Fig. 3.** Evolution of the emission of O VI (*upper panel*) and Si XII (*lower panel*) expected along an UVCS slit positioned at  $2 R_{\odot}$  integrated along the line of sight. The evolution is sampled every 25 s. The white lines mark the shock edge.

can estimate the actual heating time ( $\tau_{\text{heat}}$ ) from the speed of the shock and the gyroradius of the particles. Considering a magnetic field of  $B \sim 0.5$  G, a temperature of  $\sim 2$  MK, and the velocity of the shock ( $\sim 500$  km s $^{-1}$ ), we get  $\tau_{\text{heat}} \sim 10^{-5}$  s. Since the temperature in the region behind the shock is higher than the peak temperature for the O VI, the emission becomes fainter and fainter behind the shock while the ions approach equilibrium, i.e., at a later time. The shock heating makes the Si XII abundance increase, because the Si XII peak temperature is higher than the temperature of the quiet atmosphere (1.5 MK). Because of this, a large fraction of the Si XII emission comes from the inner part of the shocked region.

As mentioned above, for diagnostic purposes, we focus the analysis at a characteristic height for the UVCS observations and for shock formation (Raymond et al. 2003a), i.e.  $2 R_{\odot}$ . It should be noted, that, in spite of the formation of the shock, the CME may either accelerate or decelerate that far from the solar surface and that long after the ignition phase. In order to investigate the role of the non-equilibrium ionization state, of the thermal conduction, and of the magnetic field, we compare the intensity of the plasma behind the shock obtained from the MHD simulation with the one predicted by a simple model of adiabatic and locally planar shock, according to the Rankine-Hugoniot jump relations of density, temperature, and velocity as a function of the post-shock velocity of the plasma. For further details about this analytical model see Appendix B. This simple model is a rough approximation for our shocks, since the shock is not propagating in a very low beta plasma (neglect the momentum flux due to the Lorentz force), the thermal conduction is mostly inhibited by the magnetic field (adiabatic plasma) and the shock heating is much faster than the plasma ionization and recombination (the ionization fraction does not change at the shock front). Significant departures from this approximation may be a signature that some of the assumptions listed above do not hold.

To compare the detailed simulation with the analytical model so as to evaluate the deviations, in Fig. 4 we plot the ratios

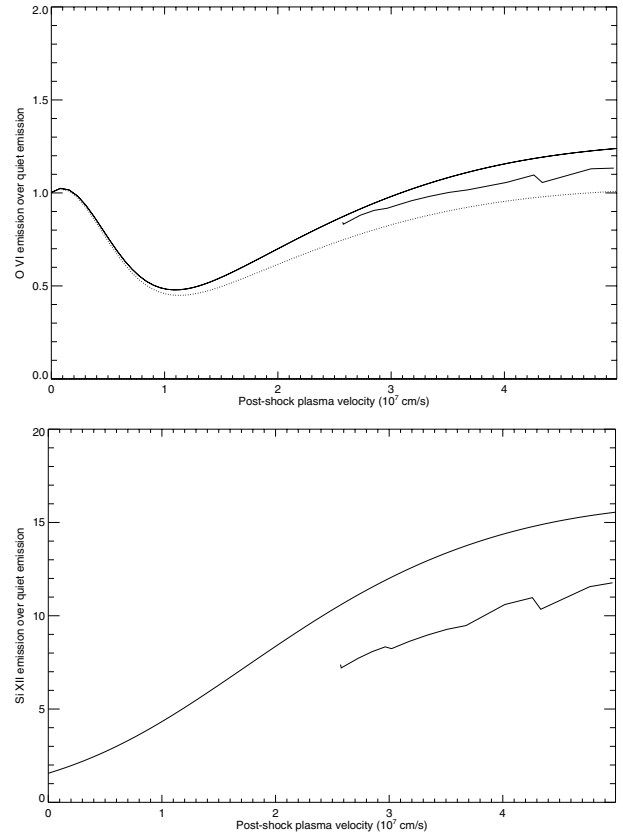


**Fig. 4.** Ratio between post-shock and pre-shock temperature (solid lines) and density (dashed) as a function of the post-shock plasma velocity. Thick lines mark results obtained from the MHD simulation, and thin lines from an analytical model of Rankine-Hugoniot relations on an adiabatic and planar shock.

between the post-shocked and pre-shocked density and temperature as a function of the post-shock velocity of the plasma for the MHD simulation and for the analytical model. The post-shock quantities for the simulation (i.e. post-shock velocity, density, and temperature jump) are computed at the shock edge.

The front of the bow shock begins to cross the UVCS slit field of view at  $\sim 675$  s. UVCS detects first the upper and fastest part of this front and later the flanks. As mentioned above, the shock strength decreases in time during the crossing of the UVCS slit field of view and at  $t = 700$  s, the plasma is accelerated to  $\sim 500$  km s $^{-1}$  because of the shock, and to  $\sim 250$  km s $^{-1}$  at  $t = 1000$  s (see Fig. 4). As shown in Fig. 4, the density jump in the simulation roughly agrees with that of the analytical model, meaning that the magnetic field has little relevance in this dynamic regime. The temperatures agree with less accuracy because the thermal conduction is not completely ineffective, and for this reason the temperature is lower than predicted by the analytical model. For instance, the thermal conduction is effective in the region where the shock is stronger, i.e., where the shock propagation is not perpendicular to the post-shock magnetic field. Here (i.e.,  $x \sim 2 \times 10^{10}$  s and  $z \sim 10 \times 10^{10}$  cm in Fig. 2), the angle between the magnetic field and the thermal gradient is  $\sim 30^\circ$ . Considering the thermal gradient, the temperature, and the length scale involved, we estimate a thermal conduction time scale of  $\sim 5$  s to be compared to the dynamical (expansion) time on the same length scale which is  $\sim 100$  s. The thermal conduction is therefore very effective and leads to temperatures lower than those predicted by the Rankine-Hugoniot relations.

From the jump in the density and the temperature we derive the jump in the emission for O VI and Si XII with our radiation model. It is plotted in Fig. 5 as a function of the post-shock plasma velocity. In the O VI line the radiative part of the line is significant for the unperturbed plasma, but it completely vanishes because of the Doppler dimming in the region behind the shock. The radiative contribution to O VI 1032 is 4 times that of O VI 1037 (in the absence of other pumping), while the collisional component is a factor of 2 brighter. The shock compression makes the collisional contribution of the line increase, whereas the shock acceleration makes the radiative contribution decrease at the same time. For this reason, the shock shows an emission fainter than, or at most comparable with, the quiet corona, as usually observed (Ciaravella et al. 2005, 2006), i.e.,



**Fig. 5.** Ratio between post-shock and pre-shock emission in the O VI (upper panel) and Si XII (lower panel) lines as a function of the post-shock plasma velocity. Results from the MHD simulation (thick lines) and from the analytical model (thin lines) are shown. In the latter case, we consider approximated ionization state formulae assuming  $\tau = 0$  (solid line) or  $\tau = 100$  (dashed line) and our radiation model (see Appendix A).

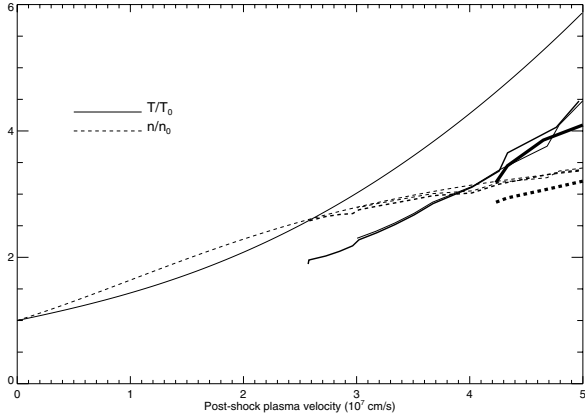
O VI emission ratio  $\sim 1$  in Fig. 5. The weight of the radiative part depends on the non-shocked plasma density and, in our model, considering an unperturbed density of  $\sim 2 \times 10^6$  cm $^{-3}$ , it is 85% of the emission and increases as the unperturbed density decreases. Instead, since the radiative part of the Si XII is negligible, the total intensity of the post-shock line emission jumps significantly and relatively to the strength of the shock, as plotted in Fig. 5 (lower panel). As a consequence, the Si XII line clearly brightens, while the O VI line does not, as Ciaravella et al. (2006) pointed out.

### 3.2. Comparison with other simulations

#### 3.2.1. Different $\beta$

As anticipated above, we perform two more simulations considering different intensities of the magnetic field, with a less ( $\beta \sim 10$ ) and more ( $\beta \sim 0.1$ ) intense magnetic field than in the reference simulation, respectively. Figure 6 shows the density and temperature jump across the shock for the simulations with different values of  $\beta$ . The simulation with  $\beta = 10$  shows a very similar behavior and the shock properties do not depart significantly from the reference case already discussed. This is not surprising since we have already shown that the magnetic field has no relevance for the shock dynamics in this regime.

In a stronger magnetic field (Fig. 6), the shock is significantly influenced by the magnetic pressure. According to the theory of



**Fig. 6.** Ratio between post-shock and pre-shock temperature (solid lines) and density (dashed lines) as a function of the post-shock plasma velocity. The thickness of the line is inversely proportional to the initial  $\beta$  of the simulation, ( $\beta = 0.1$ ,  $\beta = 1$  and  $\beta = 10$ ). The thinnest lines is for the ratio computed analytically from Rankine-Hugoniot relations.

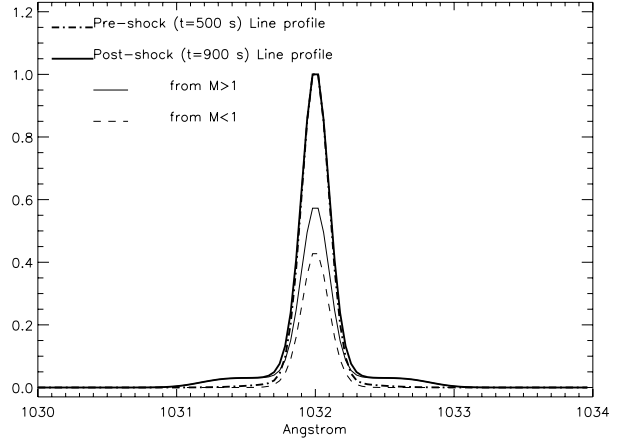
MHD shocks, the compression due to the shock is split between magnetic field and plasma and, as a result, the plasma density jump is weaker than in a normal HD shock (Bazer & Ericson 1959). This effect characterizes our simulation with a stronger field. The density jump from the pre-shock to the post-shock region is  $\sim 5\%$  less than the reference case, but the temperature does not show any significant departure since the energetics of the shock is not influenced by the magnetic field except for the partial thermal insulation which acts regardless of the  $\beta$  value. The radiative properties of this case descend from these considerations. The emission of the O VI and Si XII line is weaker than in the reference case because the density is lower. The radiative part of the line vanishes because of the Doppler dimming, and the intensity of the line is weaker than the reference case by  $\sim 10\%$ .

### 3.2.2. Weaker cloud

We now discuss the propagation of shocking clouds that initially carries less momentum, i.e., with initial density contrast  $n/n_0 = 4$ , instead of  $n/n_0 = 10$ , or initial cloud velocity  $v_0 = 700 \text{ km s}^{-1}$ , instead of  $v_0 = 1000 \text{ km s}^{-1}$ . The shock propagation is slower in those simulations and the top of the cloud needs 600 s and 875 s to reach the height of  $2 R_\odot$ , in the simulation with  $n/n_0 = 4$  and in the one with  $v_0 = 700 \text{ km s}^{-1}$ , respectively. During the travel of the cloud in the corona, the shock departs from it radially, reaching a final distance from the cloud of  $\sim 0.6 R_\odot$  in the  $x$  direction. The final bow shape of the shock front is similar to the shape in the reference case. Of course, the smaller the energy of the cloud, the less the shock strength at any time, so that the plasma is never accelerated over  $\sim 300 \text{ km s}^{-1}$ . The velocity relative to the cloud is 30% smaller and that leads to a corresponding delay in the detection at  $2 R_\odot$ . This delay allows the plasma to better approach ionization equilibrium.

### 3.3. O VI line profile

To further investigate the observational constraints for the shock properties, we show here the line profile of the O VI lines synthesized from our reference model. This line is analyzed in detail by Mancuso et al. (2002), who indicate shock diagnostics from UVCS observations. Here we try to provide further insight on



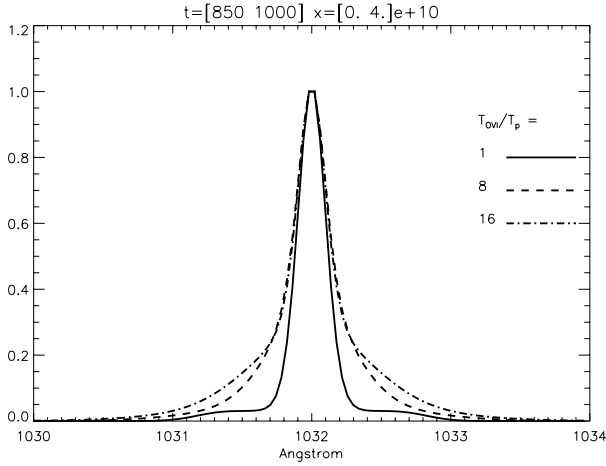
**Fig. 7.** Line profile of the O VI line synthesized from our reference model if observed as a limb CME at  $t \sim 500$  s (point-dashed thick line) and at  $t \sim 900$  s (solid thick line), i.e., before and after the shock crossed the UVCS field of view, respectively. The emission is averaged on time bins of 150 s and on space bins of  $9'$  along the UVCS slit through which the CME is observed. The profile is normalized to the peak. We also show the two components that combine to form the line at  $t \sim 900$ : the one emitted by the shocked plasma ( $M > 1$ , solid thin line) and the one emitted by the unshocked plasma ( $M < 1$ , dashed thin line).

that analysis, but our synthesis of the line profile is very general and could also be applied to the Si XII line after tuning the appropriate parameters.

We take into account the thermal broadening of the line and the Doppler shift due to the plasma motion projected along the line of sight, but not the instrumental broadening. To approach a realistic UVCS observation, we average the spectrum over time bins of 150 s and over space bins of  $9'$ . We also consider integrations along two different lines of sight: one mimicking a limb CME, the other a halo CME. Finally, we discuss the effect of the differential oxygen/protons shock heating on the Limb CME line profile.

#### 3.3.1. Limb CME

In Fig. 7, we plot the profile of the O VI line for the reference model as if it were observed as a limb CME before and after the shock passed the UVCS slit. For the moment we assume equal proton, electron, and ion temperature. After the shock, the central part of the line has not changed because it is emitted by that part of the plasma never involved in the shock passage. Evidence of the passage of the shock across the UVCS slit can instead be found in the wings of the later line. They are more prominent than in the quiet line because of the line shift due to the line of sight component of the shock velocity. The resulting line profile is the sum of the emission contribution from unshocked plasma and shocked plasma. The unshocked plasma emits only a narrow component (Fig. 7,  $M < 1$  line), while superposition of the lines emitted by the shocked plasma gives both a central component and significant wings. These two emission contributions merge in a two component line. The first is a narrow and bright component, the sharp peak emitted by the unshocked corona, and by the shocked plasma that moves perpendicularly to the line of sight; the second component is due to the shocked plasma that has a significant component of the velocity parallel to the line of sight so that it emits thermally broadened lines centered far from  $1032 \text{ \AA}$ . The relative weight of the two components is



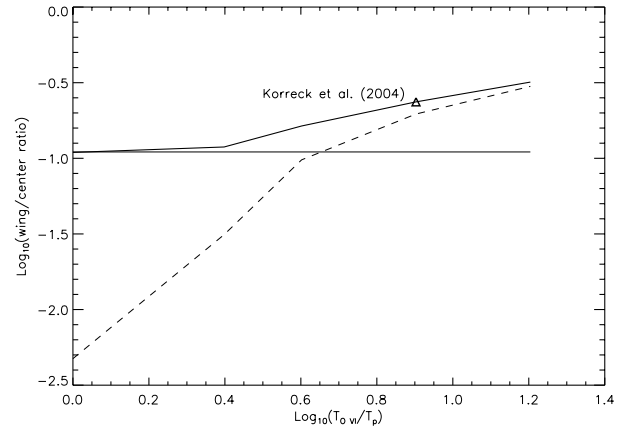
**Fig. 8.** As in Fig. 7, but considering different oxygen-electron plasma temperature ratio, as indicated in the legend. All the line profiles are computed at  $t \sim 900$  s.

strongly sensitive to the background emission, which seriously influence the narrow component. Mancuso et al. (2002) indeed observed a similar line profile and concluded that a shock was crossing the field of view of the UVCS slit during the observation. We argue that a two component line profile, as shown in Fig. 7, may in general be a signature of the presence of a shock.

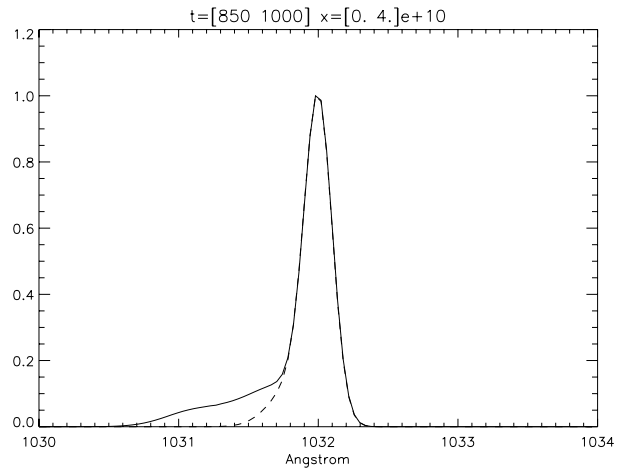
### 3.3.2. Oxygen shock heating

We expect that the wings of the line profile become more prominent if the shock heating mechanism is more effective on the heavy ions than on the protons because the thermal broadening becomes larger right in the Doppler-shifted components of the ion lines. To test this consideration, already made by Korreck et al. (2004) and Raymond et al. (2003b), we check what happens if we artificially increase the O VI temperature obtained from our MHD model by a given factor. Figure 8 shows the O VI line profile obtained by increasing the temperature by a factor 8 (Korreck et al. 2004; Raymond et al. 2003b) and 16 (oxygen atomic mass). Because of the temperature decoupling between electrons and ions, the FWHM of the line emitted by the shocked plasma increases from  $\sim 0.2$  Å up to  $\sim 1$  Å.

The wings of the line profile brighten if a greater  $T_{OVI}/T_p$  ratio is supposed. In Fig. 9, we show the ratio between the total emission of the wings (i.e., emission out of the range  $1032 \pm 0.3$  Å) and that of the center of the line (i.e., inside that range). It increases from 0.1 ( $T_{OVI} = T_e$ ) up to 0.24 ( $T_{OVI} = 16T_e$ ). The trend is mostly due to the increasing thermal broadening of the emission from the post-shock plasma (Fig. 9, dotted line), but it is limited by the presence of the other broadening component, which is independent of the temperature (Fig. 9, thin solid line). The sum of the two effects gives the total strength of the wings in the observed lines (Fig. 9, thick solid line). The stronger is the shock, the stronger are the wings. Therefore, at  $\sim 575$  s, when the first part of the shock crosses the UVCS slit field of view and the shock is the strongest, the wing/center ratio is  $\sim 0.6$  and later, at  $\sim 1000$  s, it decreases to 0.24 (shown in Fig. 9). It should be noted that Fig. 8 shows that  $T_O/T_p = 16$  resembles the profiles shown by Raymond et al. (2003b) and Mancuso et al. (2002) far better than the lower temperature ratios, supporting the assertions of those papers that  $T_O/T_p$  was large. Our approach, when applied to specific events, can give reliable and alternative measurements on the actual  $T_i/T_p$  – once the post-shock electron



**Fig. 9.** Fraction of the O VI line emitted in the wings as function of Oxygen-electron plasma temperature ratio. The thin solid line is considering only the Doppler effect (temperature of the atmosphere not changed), the dashed line only the thermal broadening, the thick solid line both of them.



**Fig. 10.** Line profile of the O VI line synthesized from our reference model if observed as an Halo CME at  $t \sim 900$  s, i.e., after the shock crossed the UVCS field of view. The emission is averaged over time bins of 150 s and over space bins of  $9'$  along the UVCS slit through which the CME is observed. The profile is normalized to the peak. For the solid line the slit is  $\sim 5'$  apart from the center of the Sun,  $\sim 7'$  for the dashed one.

temperature has been measured – and of the components of the velocity, since the value of the wing/center ratio uniquely corresponds to a certain ion temperature.

### 3.3.3. Halo CME

In Fig. 10, we show the results for the reference model if oriented as a Halo CME expelled toward the observer, not considering any temperature increase for the ions. In the line profile there is only one tail on the blue side. The line of sight is appropriate to detect the Doppler shift due to the global front motion, rather than that due to front expansion, detected for the Limb CME line of sight. At increasing distance from the shock central axis the blue-shift is progressively reduced due to the projection effect. The total line profile consists of the superposition of radiation with continuously varying blue-shift. In Fig. 10, we show the line detected by the slit pointing at  $\sim 5'$  (solid line) and  $\sim 7'$  (dashed line) from the center of the Sun. The closer the field of view to the Sun disk, the larger is the line of sight component



of the post-shock bulk velocity and the more blue-shifted is the emission. The line presents a very bright quiet emission and an envelope of shifted lines extending to  $\sim 1 \text{ \AA}$  from the quiet line (see Fig. 10, solid line). Although the details of the structure of the line are strongly related to the structure and geometry of the shock, the very presence of this smooth and asymmetric component of the line could be a distinctive feature, although such observations are technically unfeasible for UVCS because the slit is too close to the center of the Sun, we may equally hope to detect this feature in real observations. In fact, since the shock front is more than  $16'$  wide, if the CME is not expelled perfectly along the Sun-Earth line, part of the shock front could be visible out of the Sun disk in a realistic UVCS field of view. This conclusion would apply to the halo CME shocks observed by Ciaravella et al. (2006). Moreover, as we already claim above (Sect. 3.2.2), a shock front could extend over a wider angle if the shocking fragment is less dense.

Finally, for CMEs expelled in directions in between the extreme cases considered here, we expect to detect both the broadening effect due to the thermal broadening of the shocked plasma (Limb CME) and the broadening effect due to the Doppler shifted emission from fast plasma moving toward the observer.

#### 4. Discussion and conclusions

In this work, we study the shock fronts departing from supersonic CME fragments with detailed MHD modeling. We address the diagnostics obtainable with UVCS observations, which have been widely analyzed in this framework, and, in particular, the O VI and Si XII emission lines. As a result, we are able to explain most of the spectral signatures of the shocks revealed by the observations, and we predict more shock properties. In particular, we indicate the main differences in the line shapes expected between halo CMEs and limb CMEs and the strength of the wings of the O VI line as possible diagnostics for ion shock heating.

We have chosen as a reference case the shock front originating from a CME fragment 10 times denser than the surrounding  $1.5 \text{ MK}$  magnetized ( $\beta \approx 1$ ) corona expelled at a speed of  $1000 \text{ km s}^{-1}$ . The ambient magnetic field is a large scale dipole. Then we have explored other cases with higher and lower magnetic field, with less dense clouds and with slower clouds. For each simulation, we have computed the emission in the selected lines and derived the line profiles with a detailed radiation model, including the radiative and collisional contributions and the effects of non-equilibrium of ionization.

From the reference simulation we find that some of the distinctive shock features detectable in O VI and Si XII lines arise from the relative brightness of the collisional and radiative contributions in the unperturbed corona. Since the radiative contribution is actually negligible for Si XII, but important for O VI, the intensity jump across the shock is sharper in the Si XII line than in the O VI line. The intensity of the Si XII line scales roughly as the square of the compression, while the intensity of the O VI line scales more smoothly because its emission is strongly biased by the radiative contribution. In the O VI line the radiative part completely vanishes in the region behind the shock because of the Doppler dimming, while the shock compression makes the collisional contribution of the line increase. The two effects are competitive and comparable so that the shock shows an emission fainter than or comparable with the quiet corona in this line. In the Si XII line, the shocks produce only the growth of the collisional contribution. This explains why at the same time the Si XII line clearly brightens, while the shock has little influence on the O VI line. These considerations explain

past observations. Ciaravella et al. (2005) found that during the shock passage in the 2000 June 28 event the O VI emission was weaker or comparable to the quiet corona emission. Ciaravella et al. (2006) examined several events and showed that commonly when a shock is detected, the O VI does not brighten and the Si XII brightens significantly.

The ionization fractions of oxygen and silicon do not change in shock transitions because the shock heating and passage are much faster than the ionization/recombination processes. In our simulations, the shocks are not extremely strong, so that they represent realistic situations, typically observed. For this reason, we expect that the conditions of ionization non-equilibrium are commonly found and that the ionization fractions are comparable to those of the quiet corona. Behind the shock front the plasma should slowly approach the ionization equilibrium at post-shock temperature.

The effect of the magnetic field on the shocks depends on the strength of the field. In high  $\beta$  plasma the role of the magnetic field is only to thermally insulate the shocked plasma. It happens because in this regime the shock is superalfvénic and it is responsible for the reorientation of the magnetic field parallel to the shock front, i.e., perpendicular to the thermal gradient. In low  $\beta$  plasma, the magnetic field also influences the compression of the plasma. In this regime (also superalfvénic), the compression due to the shock is split between the magnetic field and the plasma; therefore, the density (and the line intensity) is lower than in a high  $\beta$  regime. Raymond et al. (2000) argued that a relatively strong magnetic field could, in principle, be responsible for modest compressions with respect to the observed shock speed.

Differences in the kinetic energy of the CME could lead to different extent of the shock front. We find that the weaker the CME core, the more extended and slow is the shock propagation.

When we observe the shock propagating from a limb CME, we expect to observe a profile of the O VI line consisting on two distinct overlapping contributions. The unperturbed plasma that lies along the line of sight emits a narrow line and the shocked plasma emits a wider line. This combination is the kind of line shape that Raymond et al. (2000) and Mancuso et al. (2002) observed during the CME events. They used the double component line profile as signature of the shock. In our simulation we get the same line profile shape after the shock passage. Therefore, we confirm that this spectral feature is a reliable signature of the shock presence.

In our simulation we have a line of sight component of the velocity of  $\sim 200 \text{ km s}^{-1}$ , leading to a line shift of  $\sim 0.7 \text{ \AA}$ . The shock heating  $T_O = T_p$  leads to a line shift of  $\sim 0.2 \text{ \AA}$ . The acceleration of the plasma is responsible for the presence of the wings and higher  $T_O/T_p$  leads to more significant wings. For this reason, diagnostic of the ion shock heating could be based on measuring the relative importance of the wings of the O VI line and on estimating the post-shock electron temperature, and the components of the velocity. Here we present an example of proton and oxygen enhanced heating (Korreck et al. 2004; Raymond et al. 2003b). In the future it will be interesting to apply this kind of diagnostics of the ions shock heating to one particular event.

Finally, we expect to see an asymmetric O VI line profile with a smooth tail on the blue-side, when the shock propagating from a halo CME expelled toward the observer enters in the UVCS field of view. A condition for the detectability of this effect out of the solar disk are a large line of sight component of the plasma velocity and a wide shock front.



*Acknowledgements.* The authors thank Angela Ciaravella for fruitful discussion and feedback on observational aspects. They acknowledge support for this work from Agenzia Spaziale Italiana (contract I/035/05/0), Istituto Nazionale di Astrofisica and Ministero dell'Università e Ricerca. P. Pagano's stay at the Center for Astrophysics was supported by NASA grant NNG06GG78G to the Smithsonian Astrophysical Observatory. The software used in this work was in part developed by the DOE-supported ASC / Alliance Center for Astrophysical Thermonuclear Flashes at the University of Chicago, using modules for thermal conduction and optically thin radiation built at the Osservatorio Astronomico di Palermo. The calculations were performed on the Exadron Linux cluster at the SCAN (Sistema di Calcolo per l'Astrofisica Numerica) facility of the Osservatorio Astronomico di Palermo and on the IBM/SP5 machine at CINECA (Bologna, Italy). Part of the simulations were performed within a key-project approved in the INAF/CINECA agreement 2006–2007. CHIANTI is a collaborative project involving the NRL (USA), RAL (UK), MSSL (UK), the Universities of Florence (Italy) and Cambridge (UK), and George Mason University (USA).

## Appendix A: The radiative and collisional contribution

The intensity of the lines is modeled as the sum of the collisional ( $I_c$ ) and radiative ( $I_r$ ) contributions as functions of  $n_e$ ,  $n_i^Z$ ,  $T$  and  $v$ :

$$I = I_c(n_e, n_i^Z, T) + I_r(n_i^Z, T, v). \quad (\text{A.1})$$

### A.1. Collisional contribution

The collisional contribution is:

$$I_c(n_e, n_i^Z, T) = n_e n_i^Z Q(T) \quad (\text{A.2})$$

where  $Q(T)$  is the collisional excitation rate coefficient for the ions, which treats the collisionally-induced emission of the ions. Here we present the approximated formula for  $Q(T)$  we use for the two lines of interest for this paper (i.e. O VI 1032 Å and Si XII 499 Å):

$$Q(T)_{\text{O VI}} = Q_0^{\text{O VI}} \frac{e^{-T_{\text{cut}}^{\text{O VI}}/T}}{\sqrt{T}} \ln \frac{k_b T}{\mathcal{E}_{\text{O VI}}}; \quad (\text{A.3})$$

$$Q(T)_{\text{Si XII}} = Q_0^{\text{Si XII}} \frac{e^{-T_{\text{cut}}^{\text{Si XII}}/T}}{\sqrt{T}} \ln \frac{k_b T}{\mathcal{E}_{\text{Si XII}}} \quad (\text{A.4})$$

where  $Q_0^{\text{O VI}}$  and  $Q_0^{\text{Si XII}}$  are two normalization factors useful to match the emission at the ionization equilibrium with the one prescribed by the CHIANTI database (Landi et al. 2006),  $T_{\text{cut}}^{\text{O VI}} = 139\,000$  K and  $T_{\text{cut}}^{\text{Si XII}} = 288\,000$  K are the temperature for O VI and Si XII respectively, and  $\mathcal{E}_{\text{O VI}} = 11.99$  eV and  $\mathcal{E}_{\text{Si XII}} = 14.8$  eV are the threshold energy respectively for O VI and Si XII.

### A.2. Radiative contribution

The radiative contribution is:

$$I_r(n_i^Z, T, v) = I_0^r n_i^Z \sigma \mathcal{D} \quad (\text{A.5})$$

where  $I_0^r$  is the intensity emitted from the Sun disk that reaches the height  $r$  to be scattered by the coronal ions,  $\sigma$  is the cross section of the scattering, and  $\mathcal{D}$  is the Doppler dimming factor. The radiative contribution is computed only for O VI, since it is negligible for Si XII.  $I_0^r$  is computed by:

$$I_0^r = I_0^{\text{O VI } 1032} 2\pi \left( 1 - \sqrt{1 - \frac{R_{\odot}^2}{r^2}} \right) \quad (\text{A.6})$$

where  $I_0^{\text{O VI } 1032} = 1.94$  phot/(cm<sup>2</sup> s sr) is the disk intensity and the following function of  $r$  is simply the dilution factor due to the distance from the disk.

$\sigma$  is computed (for oxygen) by:

$$\sigma_{\text{O VI}} = \sigma_0^{\text{O VI}} \frac{v_{\text{disk}}^{\text{O VI}}}{\sqrt{\frac{3k_b T}{m_{\text{O}}}}} \quad (\text{A.7})$$

where  $v_{\text{disk}}^{\text{O VI}} = 30 \times 10^5$  cm/s is the wideness of the oxygen lines emitted from the disk, and  $m_{\text{O}} = 2.67 \times 10^{-23}$  g is the oxygen mass.

$\mathcal{D}$  is computed (for oxygen) by:

$$\mathcal{D}_{\text{O VI}} = \left( I_0^{\text{O VI } 1032} e^{-\left(\frac{v_{\text{rad}}}{v_{\text{O VI}}}\right)^2} + I_0^{\text{Ly}\beta} e^{-\left(\frac{v_{\text{rad}} - v_0^{\text{Ly}\beta}}{v_{\text{cut}}^{\text{H}}}\right)^2} \right) / I_0^{\text{O VI } 1032} \quad (\text{A.8})$$

where the second term accounts for pumping of O VI by Ly $\beta$  (Raymond & Ciaravella 2004) and  $I_0^{\text{Ly}\beta} = 4.13 \times 10^{13}$  phot/(cm<sup>2</sup> s sr) is the disk intensity for the Lyman  $\beta$  line,  $v_0^{\text{Ly}\beta} = 18 \times 10^7$  cm/s is the distance between the center of the O VI 1032 Å line and the Ly $\beta$  line,  $v_{\text{rad}}$  is the radial velocity of the plasma, and  $v_{\text{cut}}$  is the cutoff velocity for the Doppler dimming, which is given by the overlapping between the disk line profile and the coronal line profile. For oxygen and hydrogen it is respectively:

$$v_{\text{cut}}^{\text{O VI}} = \sqrt{v_{\text{disk}}^{\text{O VI}} + \frac{3k_b T}{m_{\text{O}}}} \quad (\text{A.9})$$

$$v_{\text{cut}}^{\text{H}} = \sqrt{v_{\text{disk}}^{\text{H}} + \frac{3k_b T}{m_{\text{H}}}} \quad (\text{A.10})$$

where  $v_{\text{disk}}^{\text{H}} = 112 \times 10^5$  cm/s is the width for hydrogen lines emitted from the disk, and  $m_{\text{H}} = 1.68 \times 10^{-24}$  g is the hydrogen mass.

## Appendix B: Analytical shock model

From the Rankine-Hugoniot conditions for a planar adiabatic shock and our radiation model presented in Appendix A, we develop an analytical model for the jump in the intensity of the emission lines due to the shock.

First, we compute the jump in  $n_e$ ,  $n_i^Z$ ,  $T$ , and  $v$  as function of the Mach Number, then we use the radiation model presented in Appendix A to get the jump in the intensity of the emission.

Hereafter, we indicate the pre-shock and post-shock quantities, respectively, with the subscript 0 and 1. The jump in electron density, temperature, and velocity due to the shock are (Landau & Lifshitz 1966):

$$\frac{n_{e1}}{n_{e0}} = \frac{(\gamma + 1)\mathcal{M}^2}{2 + (\gamma - 1)\mathcal{M}^2}; \quad (\text{B.1})$$

$$\frac{T_1}{T_0} = \frac{2\gamma(\gamma - 1)\mathcal{M}^4 - (\gamma^2 - 6\gamma + 1)\mathcal{M}^2 - 2(\gamma - 1)}{(\gamma + 1)^2 \mathcal{M}^2}; \quad (\text{B.2})$$

$$v_1 = 2c_{s0} \frac{\mathcal{M}^2 - 1}{(\gamma + 1)\mathcal{M}} \quad (\text{B.3})$$

where  $\mathcal{M}$  is the Mach number and  $c_s$  is the sound speed.

The ionization fraction is computed from the following approximated formula (Eq. (B.4)) in which pre-shock ionization equilibrium is assumed.

$$f_i^Z = F_i^Z(T_1) + (F_i^Z(T_0) - F_i^Z(T_1))e^{-\frac{\tau}{\tau_{\text{eq}}}} \quad (\text{B.4})$$

where  $f_i^Z$  is the ionization fraction for the ions  $Z$  in the  $i$ th ionization state,  $F_i^Z(T)$  is the equilibrium ionization fraction for the ions  $Z$  in the  $i$ th ionization state at temperature  $T$ ,  $\tau$  is an estimation of the time elapsed from the shock front passage, and  $\tau_{\text{eq}}$  is the time scale needed to get ionization equilibrium which is given by:

$$\tau_{\text{eq}} = (n_e q_{\text{eff}})^{-1}. \quad (\text{B.5})$$

Because a single rate dominates for both of the ions we are interested in, we can approximate  $q_{\text{eff}}$  by the fastest rate involving the ion.

We can compute the ions density by:

$$n_i^Z = n_e A_Z^{\text{Sun}} f_i^Z \quad (\text{B.6})$$

where  $A_Z^{\text{Sun}}$  is the solar abundance of the ion  $Z$ .

Through this computation we get the values for  $n_e$ ,  $n_i^Z$ ,  $T$  and  $v$  for the post-shock region, while we know all of them for the pre-shock region. At this point we compute the jump in the emission lines from the radiation model presented in Appendix A.

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