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1 **Presence of an EML4-ALK gene fusion detected by microfluidic chip DNA hybridization**

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21 **Abstract**

22 Non-small cell lung cancer (NSCLC) accounts for ~80-85% of all lung cancer cases, and the
23 EML4-ALK fusion oncogene is a well-known contributor to NSCLC cases. Expensive methods
24 such as FISH, IHC, and NGS have previously been used to detect the EML4-ALK fusion
25 oncogene. Here, a cost-effective and facile method of detecting and differentiating an EML4-
26 ALK fusion oncogene from the wildtype gene has been accomplished by hybridization using the
27 microfluidic biochip. First, oligonucleotide probes were confirmed for successful detection of
28 immobilized sense strands. Second, capture of the sense PCR product strands (fusion and WT)
29 and their subsequent detection and differentiation were accomplished. Our proof-of-concept
30 study shows the ability to detect 1% fusion products, among WT ones.

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33 **Key words:** Microfluidic chip, lung cancer, DNA hybridization, oligonucleotide immobilization,
34 fluorescence detection

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47 **Introduction**

48 Non-small cell lung cancer (NSCLC) accounts for ~80-85% of all lung cancer cases (1, 2). One
49 genetic mutation among the NSCLC cases is caused by the fusion of the EML4 gene and the
50 ALK gene (3). Both the genes of EML4 (echinoderm microtubule-associated protein-like 4) and
51 ALK (anaplastic lymphoma kinase) are located on chromosome 2, separated by ~12 megabases,
52 and the fusion of these genes occurs to produce the EML4-ALK fusion oncogene (4). The
53 expression of this fusion gene results in uncontrolled cell proliferation (5, 6).

54 There are many variants of the EML4-ALK fusion oncogene with the differences in the site of
55 fusion (7). The most prevalent mutation is variant 1 (7-9), in which the fusion occurs between
56 exon 20 of ALK and EML4 exon 13 (10).

57 Detection of the EML4-ALK gene fusion has been achieved by using techniques such as real
58 time polymerase chain reaction (RT-PCR) (7, 11, 12), DNA microarrays (13-15), fluorescence in
59 situ hybridization (FISH) (16-18), immunohistochemistry (IHC) (16-18), or next-generation
60 sequencing (NGS) (16, 18, 19), which are expensive methods. In this proof-of-concept study, a
61 microfluidic biochip is used in which DNA hybridization occurs to allow for the cost-effective
62 detection of the wildtype and fusion gene sequences in a sample. The biochip contains
63 microchannels through which microliters of sample solutions can be injected for hybridization
64 with the immobilized components on the biochip surface, and this method has previously been
65 used to detect KRAS mutations (20, 21). By using the biochip made in the lab, we have greater
66 control over the target sequences and the probes which are to be used for hybridization.

67 Therefore, the use of the biochip hybridization method requires low sample consumption (i.e.
68 nanograms of samples) and flexible choice of probes, which makes this a cost-efficient method.

69 **Materials and Methods**

70 There were no human or animal subjects used in this study.

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72 *Microfluidic biochip Formation*

73 The 16-microchannel biochip consists of a polydimethylsiloxane (PDMS) slab and a
74 functionalized glass slide (*Fig. 1*). The PDMS slab was synthesized in the lab by mixing the
75 elastomer with a curing agent, then pouring the solution onto a mold and allowing it to cure at
76 22°C (22). After removal of the slab from the mold, holes were punched into the slab at the ends
77 of the sixteen trenches. The slab was then reversibly sealed onto a functionalized glass slide so
78 that trenches became microchannels and holes became wells. Afterwards, solutions were injected
79 on the wells for introduction into the microchannels and allowed to react with the glass slide
80 surface (*Fig. 1*).

81

82 *Glass Slide Functionalization*

83 A 75 mm x 50 mm glass slide was first cleaned with 100 mL of Piranha solution consisting of 70
84 mL of 98% H₂SO₄ and 30 mL of 30% H₂O₂ for 15 min. After drying, the slide was treated with a
85 (3-aminopropyl)triethoxysilane (APTES) solution containing 2 mL APTES and 98 mL ethanol
86 (95%) for 20 min. This reaction was conducted under an inert N₂ atmosphere and was followed

87 by heating the slide at 120°C for 1 h. The slide was then reacted with a 100 mL 5%
88 glutaraldehyde solution in 1x phosphate-buffered saline (PBS) for 1 h.

89

90 *DNA Oligomer Sequences*

91 The EML4-ALK fusion and the wildtype ALK sequence were cross-referenced with the National
92 Center for Biotechnology Information (NCBI) and the European Nucleotide Archive (ENA)
93 databases to ensure sequence accuracy. Target strands and detection probes were ordered from
94 Integrated DNA Technologies (IDT). Oligonucleotide probes were designed, and they were
95 either complementary to the EML4 portion of the gene fusion (EML4 probe) or complementary
96 to the ALK portion (ALK probes 1 and 2), and they were biotin-labelled on their 5' ends for
97 detection. An 85-mer target sequence (fusion oligomer) was designed encompassing the site of
98 the EML4-ALK fusion such that it contained both the EML4 and ALK portions of the fusion
99 allowing it to bind to both the EML4 and ALK probes. A 55-mer target (WT oligomer) was
100 designed consisting of the wildtype ALK sequence such that the WT probe would hybridize but
101 the EML4 probe would not.

102 Two oligomers (gBlock gene fragments) were obtained to serve as double-stranded templates for
103 producing PCR products for the fusion and wild-type ALK sequences. Two forward primers
104 were designed to amplify the fusion sequence and the wildtype sequence; the same reverse
105 primer was used to amplify the two sequences, since the 3' end of both sequences consists of the
106 same ALK wildtype portion. The sense strand of the PCR products would be immobilized on the
107 glass slide using the complementary sequence of the forward primer as the capture strand; the 3'

108 amino labelled capture strands (antisense) were designed in order to stick out the target strand
109 away from the slide surface.

110 Analysis of T_m and hairpin for the target strands, primers and probes was achieved using
111 software such as MFOLD, IDT and New England Biolabs (NEB) T_m calculators to ensure
112 optimal hybridization thermodynamics (23-27).

113 *Amplification of fusion and wildtype sequences*

114 PCR buffer and Taq DNA polymerase were obtained from Applied Biological Materials Inc.
115 (Richmond, BC, Canada) and dNTP's from Thermo Scientific Inc. (Waltham, MA, USA). PCR
116 was performed in a 50 μ L reaction volume and reagent concentrations were 1X PCR Buffer, 200
117 μ M of each nucleotide (dATP, dGTP, dCTP, dTTP), 300 nM each of forward and reverse
118 primers if symmetric PCR products were desired or 450 nM and 150 nM of forward and reverse
119 primers, respectively, if asymmetric PCR products were desired, 10 ng DNA template (either
120 fusion or wildtype), and 5U Taq DNA polymerase. PCR was performed using the ³Prime
121 thermocycler (Techne). Thermal cycling parameters started with a 3 min 94°C initial
122 denaturation followed by 30 cycles of 95 °C (denaturation), 50 °C (annealing), and 72 °C
123 (elongation) each for 30 s. PCR amplified products were purified using a PCR purification kit
124 (Qiagen). Following purification, the ratios of DNA absorbances at specific wavelengths (nm),
125 i.e. 260/230 ratio and 260/280 ratio, were obtained using the Nanodrop spectrometer analysis. If
126 the 260/230 ratio was close to 2-2.22 and the 260/280 ratio was close to 1.8, the products were
127 deemed pure and were used in subsequent experiments. The symmetric PCR products were
128 diluted to 22 ng/ μ L in hybridization buffer, and the the fusion and wildtype asymmetric PCR
129 products were diluted to 19.6 ng/ μ L and 15.2 ng/ μ L, respectively.

130

131 ***Immobilization of target strands and hybridization of probes***

132 Once the glass slide was functionalized, the target strands were immobilized onto the glass slide,
133 as previously described (28). First, the PDMS slab was washed with ethanol and water and dried
134 after which it was sealed onto the functionalized glass slides. Next, 1 μL of the 5' amine-labelled
135 target solutions (or 3'-amine-labeled capture strands), all of which were diluted to 25 μM using
136 1.5 M NaCl and 0.15 M NaHCO_3 (immobilization buffer), were injected by a pipettor into the
137 wells of the PDMS slab. Suction was applied to the wells on the opposite side of the slab to pull
138 the solution into the microchannels.

139 The targets were allowed to react and attach to the functionalized glass slide for 1 h after which
140 the solution was pumped out of the channels, followed by a wash of the channels with the
141 immobilization buffer to get rid of any excess target strands. Next, the glass slide was put into a
142 2.5 mg/mL NaBH_4 bath for 15 min to reduce the imine on the target strand to an amine. The
143 glass slide was then washed with 1X PBS and dried, resulting in straight lines of the target
144 strands immobilized onto the glass slide.

145 After target strand immobilization, a second PDMS slab was reversibly sealed onto the glass
146 slide so that the biotin-labelled probe solutions (EML4 or ALK) would flow perpendicular to the
147 lines of the immobilized target strands (***Fig. 1***). One μL of probe solutions (25 nM) were diluted
148 in 1X SSC (0.15 M NaCl + 0.015 M citrate), and 0.1% sodium dodecyl sulfate (SDS) to the
149 needed concentrations, and were injected into the microchannels and allowed to hybridize to the
150 immobilized target strands for 1 h. Excess solution was then removed from the channels, and the
151 channels were subsequently washed with 1X PBS. Next, 1 μL of a 50 ng/mL streptavidin-Cy5

152 solution was introduced into the channels and allowed to bind to the biotin label on the probes
153 for 15 min. This was followed by a wash with Tween solution in 1X PBS. The PDMS slab was
154 peeled off and the glass slide was now ready for fluorescence scanning.

155

156 ***Immobilization of capture strands and hybridization first of PCR sense strand and then of***
157 ***probes***

158 Immobilization of 3'-amine-labeled capture strands and subsequent washing and reduction are
159 similar to what are described in the preceding section for the 5'-amine-labeled target strands.

160 After capture strand immobilization, a second PDMS slab was reversibly sealed onto the glass
161 slide so that the PCR products (fusion or WT) would flow perpendicular to the lines of the
162 immobilized antisense capture strands. These capture strands captured the sense strands of the
163 PCR products, which were diluted before each experiment to the indicated concentrations, both
164 in hybridization buffer.

165 With the PDMS slab still in place, 1 μ L of probe solutions (25 nM), prepared in a similar way as
166 in the preceding section, was injected into the microchannels by a pipettor and allowed to
167 hybridize to the captured PCR strands for 1 h. Excess solution was then removed from the
168 channels, and the channels were subsequently washed with 1X PBS. Next, 1 μ L of a 50 ng/mL
169 streptavidin-Cy5 solution was introduced into the channels and allowed to bind to the biotin label
170 on the probes for 15 min. This was followed by a wash with Tween solution in 1X PBS. The
171 PDMS slab was peeled off and the glass slide was now ready for fluorescence scanning.

172

173 ***Fluorescence Detection***

174 If the biotin labelled probes were able to hybridize to the complementary target strands or
175 captured PCR sense strand, then the subsequently introduced streptavidin-Cy5 would bind to the
176 biotin and fluorescence from the Cy5 would be detected as patches at the appropriate
177 intersections on the glass surface. However, if hybridization did not occur there would be no
178 fluorescence at the intersections. The image of fluorescent patches was then uploaded onto the
179 ImageQuant software and small rectangle boxes were overlaid onto the signals of the image. The
180 intensity of each rectangular box was then averaged to obtain the intensity of the signal.

181

182 *Instruments*

183 Fluorescence scanning was achieved using the Typhoon Trio+ variable mode imager, as
184 previously used (29), and Biorad ChemiDoc Imager. DNA amplification was conducted on the
185 Techne ³Prime Thermocycler. Analysis of the quality and quantity of PCR products was
186 performed on the Nanodrop 2000 spectrometer, and this provides the DNA concentrations and
187 the 260/280 and 260/230 absorbance ratios which allows assurance that the DNA is pure.

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189 **Results and Discussion**

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191 *Testing of the effectiveness of the probes*

192 Two 5'-amine-labelled target strands were immobilized onto the functionalized glass slide and
193 then allowed to hybridize with both the EML4 and ALK probes. Using this method, we show
194 that detection of the presence of the 55-mer and 85-mer oligomers is successful. *Fig. 2a* shows

195 that, as expected, both the EML4 and ALK probes hybridize to the 85-mer; whereas the ALK
196 probe, but not the EML4 probe, hybridizes with the 55-mer. This difference in probe binding is
197 sufficient in differentiating the 55-mer from the 88-mer. However, the signal intensity generated
198 from the ALK probe is lower. **Fig. 2b** shows the signal intensities of the probes that bind to the
199 respective oligomers.

200 It was noticed in the hybridization experiment that the 85-mer binds a lot stronger to the EML4
201 probe than the ALK probe. Upon reviewing the sequence of the probe, it was determined that
202 ALK probe 1 had no G/C clamp on the 5' and 3' ends. The probe was then modified so that it
203 had a G nucleotide on both ends (ALK Probe 2 in *Table 1*). In **Fig. 3a**, the intensity of ALK
204 probe 2 is more intense than ALK probe 1 indicating that the addition of the G clamps on the
205 ends of the probe help the ALK probe better bind to both oligomers. **Fig. 3b**, shows the
206 difference in intensities between ALK probes 1 and 2, with ALK probe 2 showing greater
207 intensity. For all subsequent experiments, ALK probe 2 was used.

208

209 ***Detection of PCR products of the EML4-ALK fusion sequence***

210 Detection of wildtype ALK and mutated EML4-ALK double stranded DNA, which are
211 unlabeled, required the immobilization of the sense strands on the glass slide surface. A
212 reasonable choice is the sequence of the forward primer (sense strand) that will certainly be
213 captured by the antisense capture strand. This capture strand should be labelled on the 3'-end,
214 rather than the usual 5'-end, so that the captured strand could face away from the glass surface.
215 Once this 3'-amine-labeled capture strand was immobilized onto the glass slide, the PCR product
216 was introduced and the antisense strand latched onto the primer (**Fig. 4**). Subsequently, the
217 probes were introduced and allowed to bind to the PCR product.

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220 The results are shown in **Fig. 5a**, which shows that the signals are high for the fusion product to
221 bind with both the EML4 and ALK probe, but is only high for the WT product to bind with the
222 ALK probe. Moreover, the asymmetric PCR products give higher signals than the symmetric
223 products. To obtain a better comparison of probe binding intensities, each PCR hybridization
224 signal was normalized to the oligomer hybridization signal within the same channel. In this way,
225 the successful detection of the sense strand of the PCR products was defined using a signal
226 intensity threshold normalized to the background, see **Fig. 5b**. It shows that the fusion product
227 binds to both the EML4 and ALK probes whereas the wildtype product binds only the ALK
228 probe. Again, this difference in probe binding is sufficient in differentiating between the
229 wildtype and fusion product. Since the asymmetric PCR products gave higher signals than the
230 symmetric products, for all future experiments, it was decided that only the asymmetric PCR
231 products would be used.

232

233 Since the ratio of mutant to wild-type DNA (i.e. mutation frequency) can vary in a given
234 individual, a study was conducted to see how low of a mutant frequency can be detected in our
235 biochip. Asymmetric PCR products of both fusion and WT sequences were mixed to obtain
236 wildtype:fusion ratios of 75:25, 90:10, and 99:1. All these mixtures were diluted in hybridization
237 buffer to the same volume. The concentration of the ALK and EML4 probes was increased to 50
238 nM, and 0.8 μ L of the PCR product solutions were introduced twice into each channel. Detection
239 of both the fusion and WT sequences was successful with all the mixtures listed above. **Fig. 6a**
240 shows that our method is capable of detecting and differentiating the fusion and wildtype PCR

241 products in even the 99:1 mixture, in which the amount of fusion sequence is only 1% and at a
242 final concentration of 0.25 ng/ μ L. **Fig. 6b** shows signal intensities of the three mixtures. The star
243 above a bar indicates that the detection threshold was met. As can be seen in the graph,
244 successful detection and differentiation was obtained for all 3 mixtures.

245

246 **Conclusion**

247 Detection of the EML4-ALK fusion gene and differentiation between the WT and fusion
248 sequences has been accomplished using a microfluidic biochip. First, single stranded oligomers
249 were directly immobilized, and the probes were subsequently introduced for detection. Next, we
250 show that adding G clamps to the end of the ALK probe can increase the binding of this probe to
251 the target sequences. Detection and differentiation of the fusion and WT PCR products was
252 accomplished after immobilizing the antisense strands. Our study shows the ability to detect WT
253 and fusion products in even the 99:1 mixture, in which the concentration of the fusion
254 asymmetric PCR product is only 0.25 ng/ μ L. Further studies will be conducted by extracting
255 mRNA from cells known to contain the EML4-ALK fusion, reverse-transcribing mRNA to
256 cDNA, and detecting them using the method described in this work.

257

258 **Conflict of Interest**

259 The authors declare that they have no conflict of interest.

260

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265 Author Contributions

266 Original idea was conceived by Li. Experiments were designed by Boparai, with input from Li
267 and Oberc. Experiments were performed by Boparai, with technical guidance from Oberc.
268 Manuscript was written by Boparai with support from Li and Oberc. The project was supervised
269 by Li.

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Table 1. DNA Sequences for target strands, primers, and probes. Red represents the portions of the ALK gene in the fusion and the wildtype ALK (WT) strand; blue represents portions of the EML4 gene in the fusion strand

DNA strand	Nucleotide Sequence and Functionalization
ALK Probe 1	Biotin-5'-TGGCTTGCAGCTCCT-3'
ALK Probe 2	Biotin-5'-GGCTTGCAGCTCCTG-3'
EML4 Probe	Biotin-5'-CTCTACAGTAGTTTTCGCTC C-3'
WT oligomer	NH ₂ -C ₁₂ -5' - TCTCCGGCATCATGATTG TGTACCGCCGGAAGCACCAGGAGCTGC AAGCCATGCA -3'
Fusion oligomer	NH ₂ -C ₁₂ -5' - TATG GAGCAAACTACTGTAGAGCCCACACCTGGGAAAGGACCT AAAGTGTACCGCCGGAAGCACCAGGAGCTGCAAGCCATGCA -3'
EML4 Forward Primer	5' -TGGAGACTCAGGTGGAG-3'
WT Forward Primer	5' -TGATCCTCTCTGTGGTGAC-3'
Reverse Primer	5' -ATGGCTTGCAGCTCC-3'
Fusion strand (sense) in Amplicon	5' -TGGAGACTCA GGTGGAGTCA TGCTTATATG GAGCAAACT ACTGTAGAGC CCACACCTGG GAAAGGACCT AAAGTGTACCG CCGGAAGCAC CAGGAGCTGC AAGCCAT-3'
WT strand (sense) in Amplicon	5' -TGATCCTC TCTGTGGTGA CCTCTGCCCT CGTGGCCGCC CTGGTCCTGG CTTTCTCCGG CATCATGATT GTGTACCGCC GGAAGCACCA GGAGCTGCAA GCCAT-3'
Fusion Capture strand	5' -CTCCACCTGAGTCTCCA-3' -C ₆ -NH ₂
WT Capture strand	5' -GTCACCACAGAGAGGATCA-3' -C ₆ -NH ₂

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Fig. 1 Flow scheme of the hybridization experiments. One PDMS slab consisting of microchannels with wells on either ends of them. The slab is sealed with a glass slide and then solutions are injected into the channels. As the solution rests inside the capillaries, it interacts with the glass slide. Samples containing the target sequences are injected into the channels and allowed to interact and immobilize to the glass slide. Removal of the slab allowed the covalently-immobilized target sequences on the glass slides to be exposed for subsequent reaction (red lines). Another PDMS slab is sealed perpendicular to the immobilized target sequences on the glass slide. Biotin-labelled probe solutions (green) are injected into the channels and allows to hybridize with the immobilized target strands (red). The dots show fluorescent signals which indicates the sites of successful hybridization.

Fig. 2 Detection using the EML4 and ALK probes: a) Images of the binding of EML4 and ALK probes with 85-mer and 55-mer sequences. b) Signal intensities show good differentiation between the 88-mer and 55-mer sequences (error bars are standard deviation of 9 measurements).

Fig. 3 Use of ALK probe 2 enhanced hybridization intensity: a) ALK probe 2 with G clamps on either end binds better to the oligomers. b) Hybridization intensity of ALK probe 2 is greater than that of ALK probe 1 (error bars show standard deviation of 3 measurements)

407 **Fig. 4** The 3-strand hybridization method used to obtain signals for the EML4-ALK sense PCR
408 product strands

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410 **Fig. 5** Asymmetric vs. symmetric PCR product binding intensities. a) Successful detection is
411 defined as a signal intensity greater than 20,000 when normalized to the background (as
412 represented by the dotted line) and is indicated by the red star. b) PCR hybridization signals are
413 normalized to the oligomer hybridization signals in the same channel for binding comparison.
414 The signals are stronger for the asymmetric products than for the symmetric ones (error bars
415 show standard deviation of 3 measurements)

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417 **Fig. 6** Detection of the fusion product among the WT one: a) Images for the detection of both the
418 fusion and WT sequences at a 99:10 wildtype:fusion PCR product mixture. b) Signal intensity
419 graph shows ability to detect and differentiate between fusion and WT PCR product mixtures of
420 fusion:wildtype ratios of 75:25, 90:10, and 99:1 (error bars are standard deviation of 3
421 measurements)

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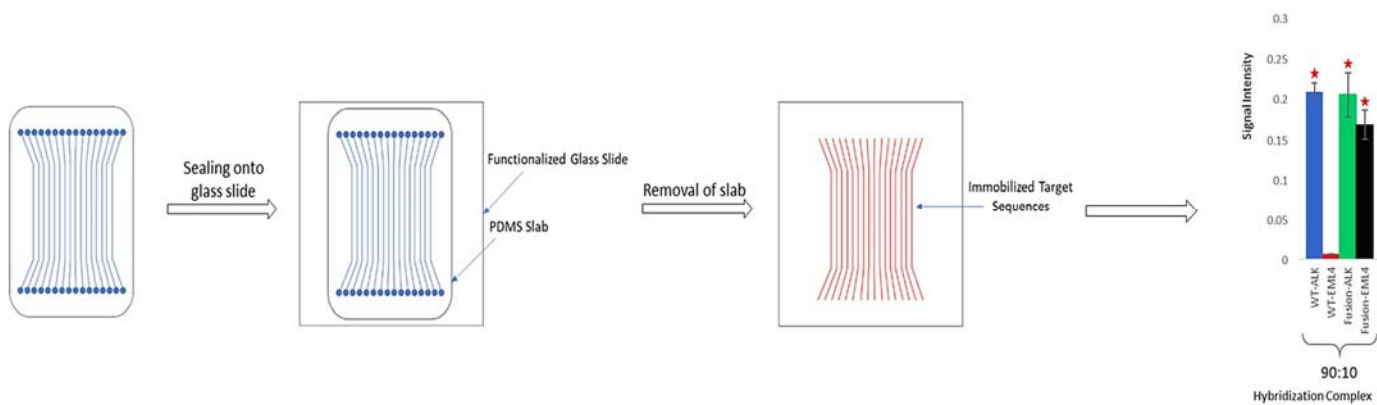
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436 **Graphical Abstract**



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438 Flow scheme of the hybridization experiments performed using the microfluidic chip.