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7-28-2010

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### Citation of this paper:

Amting, Jayna M; Greening, Steven G; and Mitchell, Derek G V, "Multiple mechanisms of consciousness: the neural correlates of emotional awareness." (2010). *Brain and Mind Institute Researchers' Publications*. 360.

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# Multiple Mechanisms of Consciousness: The Neural Correlates of Emotional Awareness

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Emotional stimuli, including facial expressions, are thought to gain rapid and privileged access to processing resources in the brain. Despite this access, we are conscious of only a fraction of the myriad of emotion-related cues we face everyday. It remains unclear, therefore, what the relationship is between activity in neural regions associated with emotional representation and the phenomenological experience of emotional awareness. We used functional magnetic resonance imaging and binocular rivalry to delineate the neural correlates of awareness of conflicting emotional expressions in humans. Behaviorally, fearful faces were significantly more likely to be perceived than disgusted or neutral faces. Functionally, increased activity was observed in regions associated with facial expression processing, including the amygdala and fusiform gyrus during emotional awareness. In contrast, awareness of neutral faces and suppression of fearful faces were associated with increased activity in dorsolateral prefrontal and inferior parietal cortices. The amygdala showed increased functional connectivity with ventral visual system regions during fear awareness and increased connectivity with perigenual prefrontal cortex (pgPFC; Brodmann's area 32/10) when fear was suppressed. Despite being prioritized for awareness, emotional items were associated with reduced activity in areas considered critical for consciousness. Contributions to consciousness from bottom-up and top-down neural regions may be additive, such that increased activity in specialized regions within the extended ventral visual system may reduce demands on a frontoparietal system important for awareness. The possibility is raised that interactions between pgPFC and the amygdala, previously implicated in extinction, may also influence whether or not an emotional stimulus is accessible to consciousness.

## Introduction

The conscious perception of visual stimuli is thought to be an adaptation that complements reflexive unconscious representation, allowing for more sophisticated planning and action (Crick and Koch, 2003). Visual awareness is associated with increased cortical activity (Bar et al., 2001), particularly in category-selective “bottom-up” sensory areas within the ventral visual system (Tong et al., 1998; Grill-Spector et al., 2000). Although increased stimulus representation is considered a prerequisite for conscious perception, it does not inevitably lead to awareness. Robust activity to unperceived stimuli has been observed throughout visual cortex (Leopold and Logothetis, 1996), fusiform gyrus (Moutoussis and Zeki, 2002), and motor cortex (Dehaene et al., 1998). Thus, awareness depends not only on activation in category-selective regions, but also on input from superordinate structures. Candidate regions for performing this “top-down” function include lateral prefrontal and inferior parietal cortices (Beck et al., 2001; Vuilleumier et al., 2001a). Indeed, a recent lesion study suggests a causal role for prefrontal cortex in allowing

stimuli access to consciousness (Del Cul et al., 2009). Although the precise functional contribution of these neural regions remain unclear (Rees, 2007), frontoparietal areas may facilitate awareness by amplifying relevant sensory processes (Dehaene and Naccache, 2001) or biasing the content of awareness toward internal representations (Lumer et al., 1998).

Whereas the neural regions that make bottom-up contributions to visual awareness appear to be category selective (Lumer et al., 1998; Tong et al., 1998), top-down frontoparietal regions appear to factor in consciousness in a stimulus-independent or “generic” manner (Beck et al., 2001; Rees, 2007). However, the neural basis of visual awareness has been examined in only a limited range of stimuli. The possibility therefore remains that frontoparietal contributions to consciousness vary as a function of the properties of the stimuli subject to awareness, suppression, or both. Because of the special processing properties of emotions (Vuilleumier and Driver, 2007), comparing emotional versus neutral facial expressions is particularly promising for extending current models of consciousness. Studies of attention suggest that emotional stimuli receive special access to representation in subcortical areas (Vuilleumier et al., 2001b) even without normal awareness (Vuilleumier et al., 2002). However, it is unclear whether, in the absence of changes in stimulus properties or task demands, subcortical areas contribute to consciousness or alter the contribution of other structures implicated in awareness.

In the present functional magnetic resonance imaging (fMRI) study, we used binocular rivalry to test two opposing predictions about emotional consciousness. If top-down structures make a generic (emotion-independent) contribution to emotional

Received Dec. 30, 2009; revised May 28, 2010; accepted June 8, 2010.

This research was supported by a grant to D.G.V.M. from the Natural Science and Engineering Research Council of Canada. We thank Dr Richard Neufeld for very helpful consultation on matters concerning the data analysis. We also thank Joy Williams for assistance with scanning, Karan Gupta for help in preparing the stimuli, and Betsy Schaefer for participant recruitment and screening.

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DOI:10.1523/JNEUROSCI.6434-09.2010

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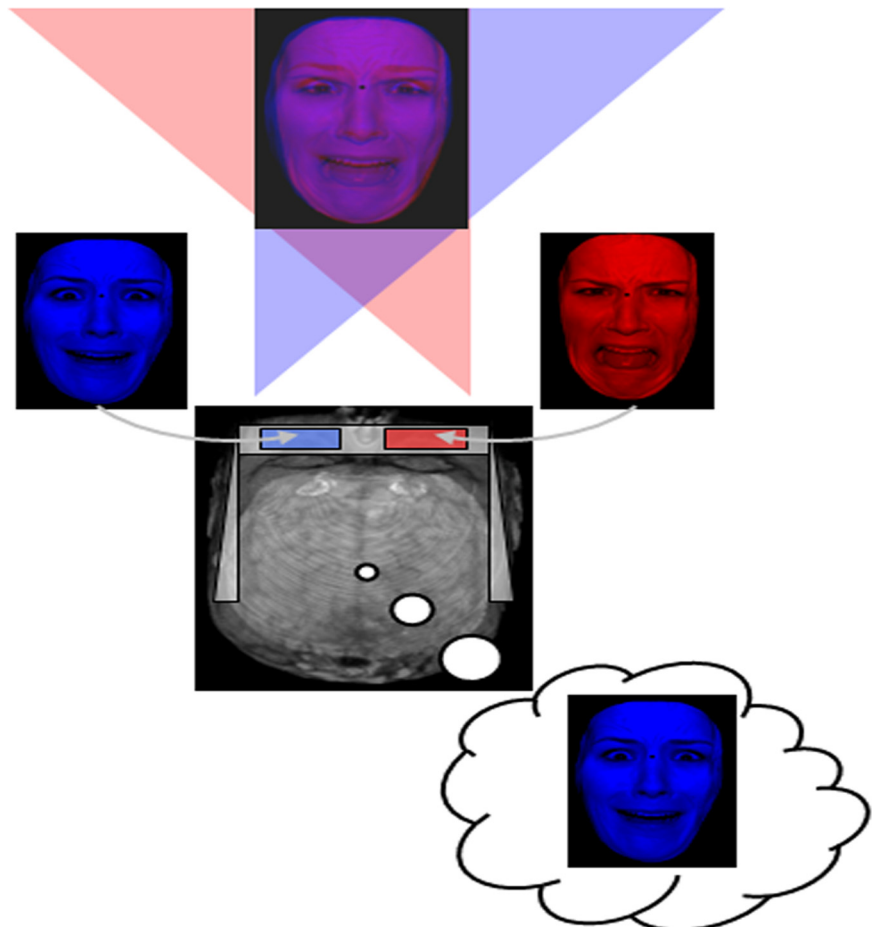
awareness, then activity in bottom-up (ventral visual) but not top-down (frontoparietal) areas should vary as a function of the perceived facial expression. Alternatively, top-down and bottom-up contributions may be additive, such that enhanced contributions from specialized bottom-up structures place fewer demands on a generic top-down mechanism for awareness. Lastly, we tested the intriguing hypothesis that, given the role of perigenual prefrontal cortex [pgPFC; Brodmann's area (BA) 32/10] in emotion regulation (Ochsner and Gross, 2005) and extinction (Quirk and Gehlert, 2003), enhanced functional connectivity between the amygdala and pgPFC would be associated with the suppression of fear from awareness.

## Materials and Methods

**Subjects.** Sixteen healthy human subjects (6 male and 10 female) with a mean age of 24.88 (range 20–32; SD 2.71) completed the study. All subjects granted informed consent, were in good health, and had no past history of psychiatric problems, neurological disease, or head injury as determined by screening and interview using the Structured Clinical Interview for DSM-IV (*Diagnostic and Statistical Manual of Mental Disorders*, 4th Edition). All subjects had normal or corrected to normal vision and were screened for color blindness using the Ishihara color blindness test. All subjects were right handed as determined by the Edinburgh handedness inventory. The study was approved by the Health Sciences Research Ethics Board at the University of Western Ontario, London, Ontario, Canada.

**Stimuli.** A binocular rivalry task was used to manipulate awareness of facial expressions. Binocular rivalry occurs when discrepant images are presented to each eye. This manipulation creates perceptual rivalry, in which one image is perceived while the other is suppressed from awareness. Because the technique varies visual awareness while holding stimulus input and task demands constant, it is considered an effective means for exploring visual consciousness (Tong et al., 2006). Experimental conditions included competing displays of superimposed red and blue facial expressions (see Fig. 1). To induce binocular rivalry, participants wore glasses with a red filter over one eye and a blue filter over the other. The filter/eye pair was counterbalanced across subjects (i.e., right eye with red filter and left eye with blue filter or vice versa). Large numbers of unique individual stimuli were included to minimize habituation (Wright et al., 2001) and also served to reduce the potential influence of perceptual memory traces on subsequent awareness (cf. Pearson and Brascamp, 2008; Sterzer and Rees, 2008). Consequently, facial expressions were taken from 48 different actors (24 males) from the empirically validated NimStim Face Stimulus Set (Tottenham et al., 2002) and the Karolinska Directed Emotional Faces (Lundqvist et al., 1998). The facial images were cropped to remove the hair and neck to eliminate extraneous stereotypic features. Color filters were then applied in Adobe Photoshop to render sets of "red only" and "blue only" stimuli. Images were rendered semitransparent, standardized for luminosity and contrast, and superimposed on one another.

There were a total of six different conditions (three nonrivalrous conditions and three rivalrous conditions). The nonrivalrous conditions included the following: (1) a fearful facial expression paired with the same stimulus (one in red and one in blue); (2) a disgusted facial expression



**Figure 1.** The binocular rivalry task. An illustration of the experimental scenario with a sample stimulus in composite form, involving superimposed red and blue facial expressions and deconstructed into its constituent parts. Participants wore red/blue three-dimensional glasses to filter retinal input. In this example, the left eye receives input from the blue fearful facial expression, while at the same time a red disgusted facial expression is input to the right eye. Note that input from each eye goes to both hemispheres of the brain. This manipulation often allows one of the expressions to reach awareness while the other is suppressed from consciousness.

paired with the same stimulus; and (3) a neutral facial expression paired with the same stimulus. The rivalrous conditions included these: (1) a fearful facial expression paired with a neutral facial expression (a red fearful face paired with a blue neutral face and vice versa); (2) a disgusted facial expression paired with a neutral facial expression; and (3) a fearful facial expression paired with a disgusted facial expression. In each case, the two superimposed faces that formed a stimulus, whether nonrivalrous or rivalrous, were of the same actor. In each condition, the red-blue designation of each face was counterbalanced (i.e., for each actor, there was one trial involving a red fearful face paired with a blue neutral face and a second trial involving a blue fearful face paired with a red neutral face). Thus, for each actor (48), there were 12 presentations (6 stimuli presented twice to balance color). Altogether, there were 96 trials for each condition for a total of 576 trials divided equally into 6 runs.

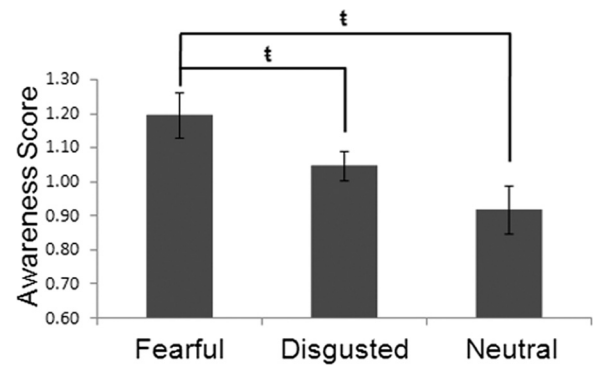
**Task design.** Each trial began with a fixation point (500 ms), followed by the image of two superimposed facial expressions (rivalrous or non-rivalrous; 500 ms) and then a screen listing the four potential emotional perception choices (2250 ms). During binocular rivalry, perceptual dominance spontaneously alternates every few seconds between one image and the other (Tong et al., 2006). As with other recent fMRI studies with similar considerations (e.g., Williams et al., 2004), a short presentation time for each stimulus was chosen specifically to help insure that participants would experience a single unchanging percept on each trial. While undergoing fMRI, participants indicated whether the facial expression presented was neutral, fearful, disgusted, or blended (if they could not distinguish one single emotion) via button press at the end of every trial.

Disgusted faces were chosen to complement the fearful and neutral stimuli for two main reasons. First, like fearful stimuli, disgusted faces involve complex pattern information and luminance contrast edges within the expressive features; i.e., for both fearful and disgusted faces, the mouth, nose, eye, and eyebrows all change position relative to their location on a neutral expression (Ekman and Friesen, 1975). Because both disgusted and fearful faces involve this complex pattern information, it is less likely that participants would make rule-based (e.g., if eye brows deviate from center respond “fear”) rather than emotional discriminations. This is particularly true in the context of piecemeal rivalry, where if only fearful and neutral expressions were present, participants may be more likely to form a response bias to label blended percepts as “fearful” by default. Second, relative to fearful faces, disgusted expressions are thought to activate a partially dissociable network of neural regions (Calder et al., 2001) and receive less prioritized access to processing resources (Anderson et al., 2003). There were 96 trials in each condition for a total of 576 trials divided equally into 6 runs. Within each run, the six different stimulus conditions were intermixed, presented in random order, and balanced. The runs themselves were presented in pseudorandom order. The stimuli were projected through a data projector onto a screen that could be viewed by the subject via a mirror positioned above the head-coil in the MRI scanner. Before entering the scanner, subjects performed a 24 trial training version that included samples of each condition that were not used in the experiment. The task was programmed using E-Prime software (Schneider et al., 2002).

**Behavioral data analysis.** Because of the possibility that perception of an expression was driven in part by emotion recognition difficulty (e.g., the expressions may differ intrinsically in the ease with which they are identified), we controlled for the ability to correctly identify fearful (F), neutral (N), and disgusted (D) faces in nonrivalrous conditions. Thus, a “fear awareness score” was derived by dividing the number of times fear was present and perceived in rivalrous conditions (fear paired with neutral or disgusted faces) by the number of correct identifications of fear made in nonrivalrous conditions [(FNF + FDF)/FF]. A disgust awareness score was derived by dividing the number of times disgust was present and perceived in rivalrous conditions (disgust paired with neutral or fearful faces) divided by the number of correct identifications of disgust made in nonrivalrous conditions [(DND + FDD)/DD]. A neutral awareness score was derived in the same fashion [(DNN + FNN)/NN]. A repeated-measures ANOVA was used to determine whether the three awareness scores differed significantly. In addition, to examine the relative likelihood of unresolved rivalry across conditions, a second ANOVA was conducted examining the number of “blended” responses across the three rivalrous conditions (fear paired with disgust; fear paired with neutral; disgust paired with neutral). Follow-up paired *t* tests were conducted to delineate the nature of significant effects.

**MRI data acquisition.** Subjects were scanned during task performance using a 3T Siemens scanner with a 32 channel head coil. fMRI images were taken with a T2\*-gradient echo-planar imaging sequence [repetition time = 3000 ms; echo time = 30 ms; 120 × 120 matrix; field of view (FOV) = 24 cm]. Complete brain coverage was obtained with 45 ascending interleaved slices of 2 × 2 mm in plane with a slice thickness of 2.5 mm, which formed voxels of 2 × 2 × 2.5 mm. A high-resolution, T1-weighted, anatomical scan was obtained covering the whole brain (repetition time = 2300 ms, echo time = 4.25 ms; FOV = 25.6 cm; 192 axial slices; voxel size = 1 mm isovoxels; 256 × 256 matrix).

**fMRI analysis.** Individual and group analyses were conducted using Analysis of Functional NeuroImages software (AFNI) (Cox, 1996). The first four “dummy” volumes of each of the six runs were discarded to insure that magnetization equilibrium was reached. Motion correction was performed by registering all volumes to the first functional volume acquired following the anatomical scan. The dataset for each subject was spatially smoothed (using an isotropic 4 mm Gaussian kernel) and the time series data were normalized by dividing the signal intensity of a voxel at each time point by the mean signal intensity of that voxel for each run and multiplying the result by 100. The resultant regression coefficients represented the percentage signal change from the mean activity. Regressors for each of the 12 experimental conditions were created using a fixed duration epoch design by convolving the stimulus events of inter-



**Figure 2.** Fear is prioritized for awareness. Behavioral results demonstrated that participants were significantly more likely to be conscious of fearful facial expressions than both disgusted ( $t_{(15)} = 2.26, p = 0.039$ ) and neutral ( $t_{(15)} = 2.83, p = 0.013$ ) expressions when paired with a competing facial expression. Error bars depict the SEM between subjects ( $t = p < 0.05$ ).

**Table 1. Contrast tests for perceived fear and disgust**

Anatomical location	L/R	BA	x	y	z	t value
<b>Perceived fear vs unperceived fear contrast</b>						
Perceived fear > unperceived fear						
Amygdala*	R		16	-8	-12	4.29
Unperceived fear > perceived fear						
Perigenual PFC	L	24	-3	33	7	6.79
<b>Perceived disgust vs unperceived disgust contrast</b>						
Perceived disgust > unperceived disgust						
Fusiform gyrus*	L	37	-35	48	-22	5.78
Unperceived disgust > perceived disgust						
Inferior parietal cortex	R	40	62	-40	38	6.90
<b>Emotion suppression with neutral awareness &gt; neutral neutral</b>						
Middle frontal gyrus	R	9/44	48	9	39	8.13
Middle frontal gyrus <sup>o</sup>	L	9/45	-40	28	32	7.71
Inferior frontal gyrus	R	44	50	12	20	6.31
Insula	L	13	-32	18	6	6.64
Insula	R	13	30	20	4	6.11
Precuneus	R	7	6	67	42	6.60

Significant neural regions revealed by the perceived fear versus unperceived fear, perceived disgust versus unperceived disgust, and neutral awareness with emotional suppression contrast tests. Displayed in the table are hemispheric location (L, left; R, right), Brodmann’s area (BA), Montreal Neurological Institute coordinates at the center of mass (x,y,z), and maximum activity (F value) for each significant cluster.  $p < 0.001$ ;  $p < 0.05$ , corrected; \* $p < 0.01$ ; <sup>o</sup>uncorrected.

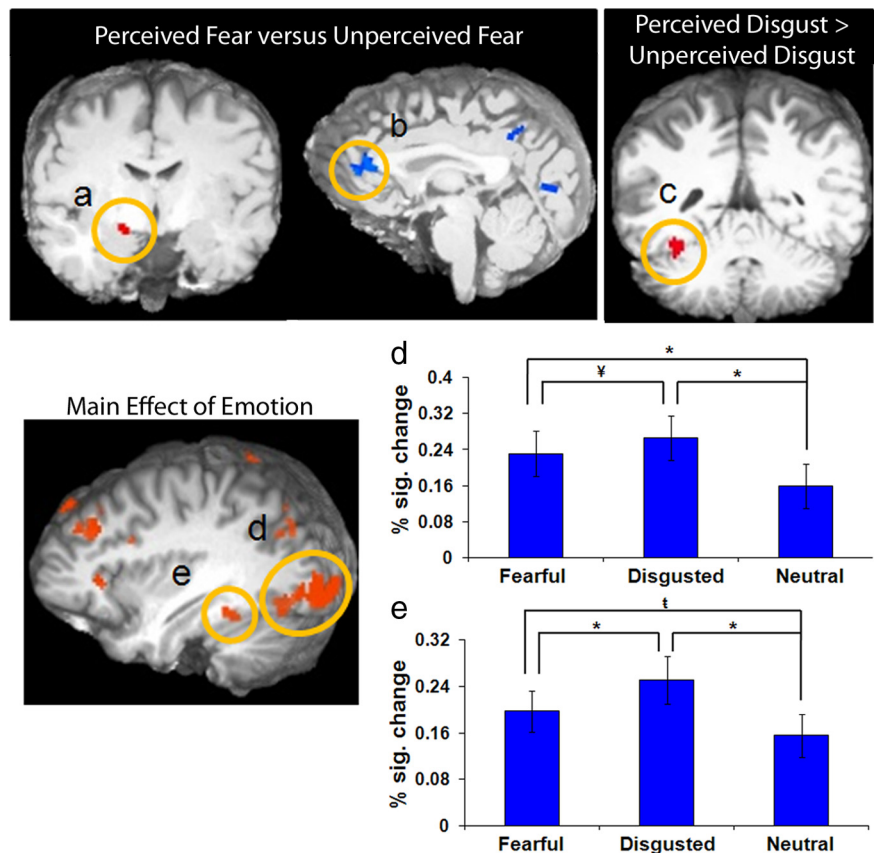
est (i.e., 500 ms of superimposed facial expression presentation) with a gamma-variate basis function to account for the slow hemodynamic response. The BOLD response was fitted to each of the 13 regressors to perform linear regression modeling. The rivalrous disgust-neutral condition (DN) yielded three possible regressors; participants perceived either disgusted (DND), neutral (DNN), or blended (DNB) faces. The rivalrous fearful-neutral condition (FN) also yielded three regressors; participants perceived either fearful (FNF), neutral (FNN), or blended (FNB) faces. The rivalrous fear-disgust condition (FD) yielded three possible regressors; participants perceived either fearful (FDF), disgusted (FDD), or blended (FDB) faces. There were also three regressors generated from nonrivalrous pairings of fearful (FF), disgusted (DD), and neutral (NN) faces. Errors were defined as those trials in which participants indicated awareness for an emotion that was not present. Such trials, along with responses made outside of a 2250 ms time period, were modeled separately as regressors of no interest. To account for voxelwise correlated drifting, a baseline plus linear drift and quadratic trend were modeled to the time series of each voxel. This produced a  $\beta$  coefficient and *t* value for each voxel and regressor. To perform the group analyses, each individual’s data were transformed into the standard space of Talairach and Tournoux. This individual subject analysis was followed by the group analyses described below.

**Group analysis.** Two contrast tests were performed to examine neural activity associated with the awareness of fearful and disgusted facial expressions. A perceived fear versus unperceived fear contrast compared the mean blood oxygenation level-dependent (BOLD) response associated with all rivalrous trials in which fear was present and perceived (FNF and FDF) with rivalrous trials in which fear was present but not perceived (FNN and FDD). A contrast examining perceived fear versus unperceived fear was defined by the following equation:  $[(FNF + FDF)/2] - [(FNN + FDD)/2]$ . The perceived disgust versus unperceived disgust contrast compared the mean BOLD response associated with all rivalrous trials in which disgust was present and perceived (DND and FDD) with all rivalrous trials in which disgust was present but not perceived (DNN and FDF). The contrast examining perceived disgust versus unperceived disgust was defined by the following equation:  $[(DND + FDD)/2] - [(DNN + FDF)/2]$ .

Following the suggestion of an anonymous reviewer, we performed a third contrast to dissociate brain activity specifically related to the awareness of a neutral stimulus while suppressing an emotional stimulus. At the same time, the contrast allowed us to control for activity associated with the perception of a neutral stimulus in the absence of emotional rivalry. This contrast compared the BOLD response in all conditions where an emotional face was present but unperceived (i.e., a fearful or disgusted face was suppressed) and a neutral face was perceived (FNN and DNN) relative to trials in which only neutral faces were present and perceived (NN). This contrast of perceived neutral with emotion suppression versus neutral only was defined by the following equation:  $[(FNN + DNN)/2] - NN$ .

In addition, a 3 (perceived emotion: fearful, disgusted, or neutral facial expressions)  $\times$  3 (unperceived emotion: fearful, disgusted, or neutral facial expressions) ANOVA was conducted on the regression coefficients derived from the fMRI analysis (described above). For the main effect of perceived emotion, main effect of unperceived emotion, and interaction between the perceived and unperceived emotions, regions significantly active at a threshold of  $p < 0.001$  were examined. For a priori regions of interest (ROI) including the amygdala, fusiform gyrus, and anterior insula, a more liberal threshold was used ( $p < 0.01$ ) and reported where significant. To correct for multiple comparisons, a spatial clustering operation was performed at a threshold of  $p < 0.001$  ( $p < 0.05$  corrected) using AlphaSim with 1000 Monte Carlo simulations taking into account the entire echoplanar image matrix. For significantly active clusters, follow-up analyses using paired  $t$  tests were performed to delineate the nature of the main effects and interaction.

**Context-dependent connectivity analysis (psychophysiological interaction).** One of the objectives of the current study was to identify neural regions that are important for selecting between conflicting emotional stimuli for awareness. Given the role of the amygdala in processing emotional facial expressions (Adolphs et al., 1994; LeDoux, 1996), we predicted that areas involved in the conscious perception of fear would show enhanced connectivity with the amygdala during trials of perceived relative to unperceived fear. Context-dependent changes in functional connectivity were assessed using a psychophysiological interaction model (Context-Dependent Correlation Analysis by Gang Chen, National Institute of Mental Health, Bethesda, MD; <http://afni.nimh.nih.gov/sscc/gangc/CD-CorrAna.html>) that identified brain regions showing significantly altered functional connectivity (correlated activity) with the



**Figure 3.** Activity in both bottom-up and top-down neural regions modulated as a function of emotional awareness. **a–c**, The contrast of fear awareness (perceived fear minus unperceived fear) revealed greater activity in the right amygdala ( $p < 0.01$ , **a**) when fear was perceived and greater activation of the left perigenual prefrontal cortex (**b**) when fear was present but unperceived. The contrast of disgust awareness (perceived disgust minus unperceived, but present, disgust) showed greater activation in left fusiform gyrus (**c**) when disgust was perceived ( $p < 0.01$ ). **d, e**, The main effect of perceived emotion revealed greater activity during the awareness of fearful and disgusted faces versus neutral ones in left inferior occipital lobe (**d**) and the left fusiform gyrus (**e**). The y-axis depicts percentage signal change (% sig. change) in BOLD response; error bars depict SEM between subjects (\* $p < 0.001$ , † $p < 0.01$ , ‡ $p < 0.05$ ).

right amygdala during trials in which fear was perceived relative to the when fear was present but unperceived. Each individual's BOLD signal time series was converted into standardized Talairach space according to their anatomical dataset. The right amygdala functional mask (SEED region) was then separately paired with each individual's dataset, the time series was extracted, and the trend was removed from the resulting time series. The psychophysiological variable was created as a product of a deconvolved extracted time series of the seed region and a vector coded for the desired condition (1 for the perceived fear condition,  $-1$  for conditions when fear was unperceived, 0 for all other conditions). The variable created for the incongruent and congruent conditions were paired to the detrended SEED time series. To control for global drifting, the average signal across the whole brain (global signal) was used as a covariate in the correlation analysis. A voxelwise correlation analysis was conducted between each individual voxel's time series and that of the specified SEED region. The proportion of the variation that could be attributed to the correlation with the SEED was determined by squaring the resulting correlation coefficient. The correlation coefficients were converted to a Gaussian variable using a Fisher transformation formula to reduce the skew and normalize the distribution. To identify regions that showed a significant alteration in correlated activity with right amygdala across perceived and unperceived conditions, a  $t$  test was performed on the transformed correlation coefficients.

## Results

### Behavioral data

A repeated-measures ANOVA examining the response awareness scores (i.e., scores designed to control for individual differences

**Table 2. Main effect of perceived emotion**

Anatomical location	L/R	BA	x	y	z	F value
Perceived fearful and disgusted faces > neutral faces						
Inferior occipital cortex <sup>β</sup>	L	18	-34	-90	-10	22.87
Fusiform gyrus <sup>β</sup>	L	37	-36	-46	-18	16.99
Inferior frontal gyrus	L	44/45	-44	15	22	30.05
Declive	R	9	10	-73	-31	17.49
Perceived disgusted faces > fearful and neutral faces						
Postcentral gyrus	L	3	-49	-22	49	19.76
Cuneus	L	18	-13	-92	18	13.62
Perceived neutral faces > perceived fearful and disgusted faces						
Middle frontal gyrus	L	9	-35	29	40	32.60
Middle frontal gyrus	R	8	26	18	50	22.21
Middle frontal gyrus	L	8	-22	28	51	19.46
Perigenual PFC	L	32	-6	36	20	17.37
Perigenual PFC	L/R	32	0	40	3	15.40
Posterior cingulate gyrus	L/R	31	0	-39	39	38.23
Inferior parietal cortex	R	40	52	-53	32	41.97
Inferior parietal cortex*	L	40	-58	-42	37	13.62
Superior parietal cortex	L	7	-19	-58	66	15.50
Superior occipital cortex	L	19	-40	-79	27	20.14
Middle temporal gyrus	R	21	59	-26	-19	20.16
Middle temporal gyrus <sup>α</sup>	R	21	60	-43	-3	15.12
Middle temporal gyrus	L	21	-62	-26	-16	19.28
Superior temporal gyrus	L	22	-55	-20	9	12.38
Lentiform nucleus <sup>α</sup>	R		29	-18	8	33.22
Perceived neutral and disgusted faces > fearful faces						
Lingual gyrus	L/R	18	0	-73	2	23.64
Cuneus	L	18	-10	-73	15	13.05

Areas showing a significant main effect of perceived emotion following the perceived emotion × unperceived emotion ( $3 \times 3$  ANOVA) whole-brain analysis;  $p < 0.05$ , corrected; <sup>β</sup>perceived disgusted faces > fearful faces > neutral faces; \*perceived neutral faces > fearful faces > disgusted faces; <sup>α</sup>perceived neutral faces > disgusted faces > fearful faces; L, left; R, right.

in emotion recognition) was significant ( $F_{(2,30)} = 3.83$ ,  $p < 0.05$ ). Follow-up paired  $t$  tests revealed that, when present, fearful faces were consciously perceived [(FNF + FDF)/FF;  $M = 1.20$ ,  $SD = 0.27$ ] significantly more than disgusted [(DND + FDD)/DD;  $M = 1.05$ ,  $SD = 0.17$ ;  $t_{(15)} = 2.26$ ,  $p < 0.05$ ] and neutral faces [(DNN + FNN)/NN;  $M = 0.92$ ,  $SD = 0.28$ ;  $t_{(15)} = 2.83$ ,  $p = 0.01$ ]. The results of this analysis suggest that when multiple facial expressions are present, even emotional ones, fearful faces are most likely to reach awareness (Fig. 2). The second ANOVA revealed that the number of blended responses across the three rivalrous conditions did not differ significantly ( $F_{(2,30)} = 1.76$ ,  $p > 0.18$ ): mean blended responses to fearful faces paired with neutral faces was 6.94 ( $SD = 9.54$ ); mean blended responses to fearful faces paired with disgusted faces was 10.75 ( $SD = 11.44$ ); mean blended responses to disgusted faces paired with neutral faces was 11.25 ( $SD = 14.19$ ).

## fMRI results

### Contrast tests

**Perceived fearful faces versus unperceived fearful faces.** The perceived fearful faces versus unperceived fearful faces contrast (Table 1) revealed significantly greater activity in the amygdala ( $p < 0.01$ ) (Fig. 3a) when participants were aware of fearful faces. When participants were unaware of a fearful face being present (i.e., fear suppression), there was greater activity in the left pgPFC ( $p < 0.001$ ) (Fig. 3b).

**Perceived disgusted faces versus unperceived disgusted faces.** The contrast of perceived disgusted faces versus unperceived disgusted

faces (Table 1) revealed significantly greater activation in fusiform gyrus when a disgusted face was perceived ( $p < 0.01$ ) (Fig. 3c). When a disgusted face was present but unperceived, there was greater activation in right inferior parietal cortex (BA 40;  $p < 0.001$ ).

**Perceived neutral with emotion suppression versus neutral only.** We conducted a contrast targeting neural regions specifically related to the suppression of an emotional percept in favor of a neutral one. At the same time, we controlled for activity associated with neutral stimulus perception in the absence of emotional rivalry. This contrast identified significantly greater activation in bilateral middle frontal gyrus ( $p < 0.001$ ) (see Fig. 5), inferior frontal gyrus, bilateral insula, and precuneus when emotional faces were being suppressed (Table 1). There were no clusters with significantly greater activity in the neutral only condition compared with the perceived neutral with emotion suppression condition.

## ANOVA

### Main effect of perceived emotion

Areas showing a significant main effect of perceived emotion include widespread regions of prefrontal cortex, parietal cortex, and the dorsal and ventral visual system ( $p < 0.001$ ). Pairwise comparisons were performed to delineate the nature of the main effects. The delineation revealed three primary patterns of activity: (1) areas showing significantly greater activity for perceived fearful and disgusted faces relative to neutral faces; (2) areas showing greater activity to perceived disgusted faces relative to perceived neutral and fearful faces; (3) areas showing greater activity to perceived neutral faces relative to perceived fearful and disgusted faces. These regions and their distinct pattern of results are detailed in Table 2.

Of particular interest, left inferior occipital cortex (BA 18) (Fig. 3d) and left fusiform gyrus (BA 37) (Fig. 3e) showed significantly greater activity for fearful and disgusted faces relative to neutral faces and greater activity to disgusted relative to fearful faces (disgusted > fearful > neutral;  $p < 0.05$ ) (Table 2). Significantly greater activity for perceived fearful and disgusted expressions relative to perceived neutral expressions (fearful and disgusted > neutral;  $p < 0.01$ ) was also observed in left inferior frontal gyrus (BA 44/45).

A number of regions showed a significantly greater activity for perceived neutral faces regardless of the unperceived stimulus (neutral > disgusted and fearful), including bilateral pgPFC (BA 32; left,  $p < 0.01$ ; right,  $p < 0.001$ ) (Fig. 4), right posterior cingulate cortex (BA 31;  $p < 0.001$ ), bilateral middle frontal gyrus (BA 8/9;  $p < 0.001$ ) (Fig. 5a,b), bilateral middle temporal gyrus (BA 21;  $p < 0.001$ ), left superior temporal gyrus (BA 22;  $p < 0.001$ ), bilateral inferior parietal cortex (BA 40;  $p < 0.001$ ) (Fig. 5c, left; Fig. 5d, right), left superior parietal cortex (BA 7;  $p < 0.001$ ), and left superior occipital cortex (BA 19;  $p < 0.001$ ) (Table 2). With the exception of left inferior parietal cortex, all regions showed no significant differences in activity for perceived fearful faces relative to perceived disgusted faces. Left inferior parietal cortex (BA 40) showed significantly greater activity for neutral relative to both fearful and disgusted faces and greater activity to fearful than disgusted faces (neutral > fearful > disgusted;  $p < 0.05$ ).

### Main effect of unperceived emotion

There were no significant clusters observed for the main effect of unperceived emotion ( $p < 0.001$ ).

### Perceived emotion × unperceived emotion interaction

There were no significant clusters observed for the perceived emotion × unperceived emotion interaction ( $p < 0.001$ ).

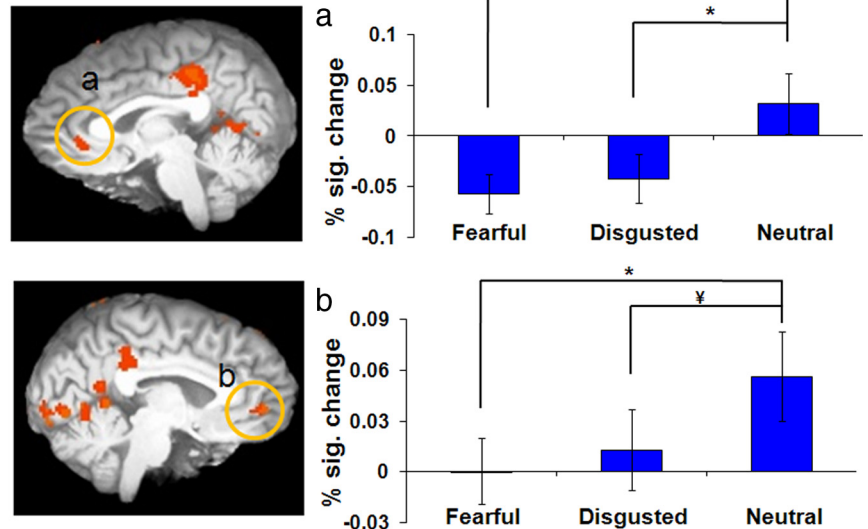
**Context-dependent connectivity analysis**

Table 3 and Figure 6 show the results of the functional connectivity analysis. Superior temporal cortex (BA 36), middle temporal cortex (BA 38), and superior parietal cortex (BA 7) all showed enhanced functional connectivity with the right amygdala during trials of perceived fear relative to unperceived fear ( $p < 0.01$ ). Conversely, the pgPFC (BA 32), superior frontal gyrus (BA 6), middle frontal gyrus (BA 6), posterior cingulate cortex (BA 13), middle temporal cortex (BA 21), and superior temporal cortex (BA 41) all showed enhanced connectivity with the right amygdala during trials of unperceived fear relative to perceived fear ( $p < 0.01$ ).

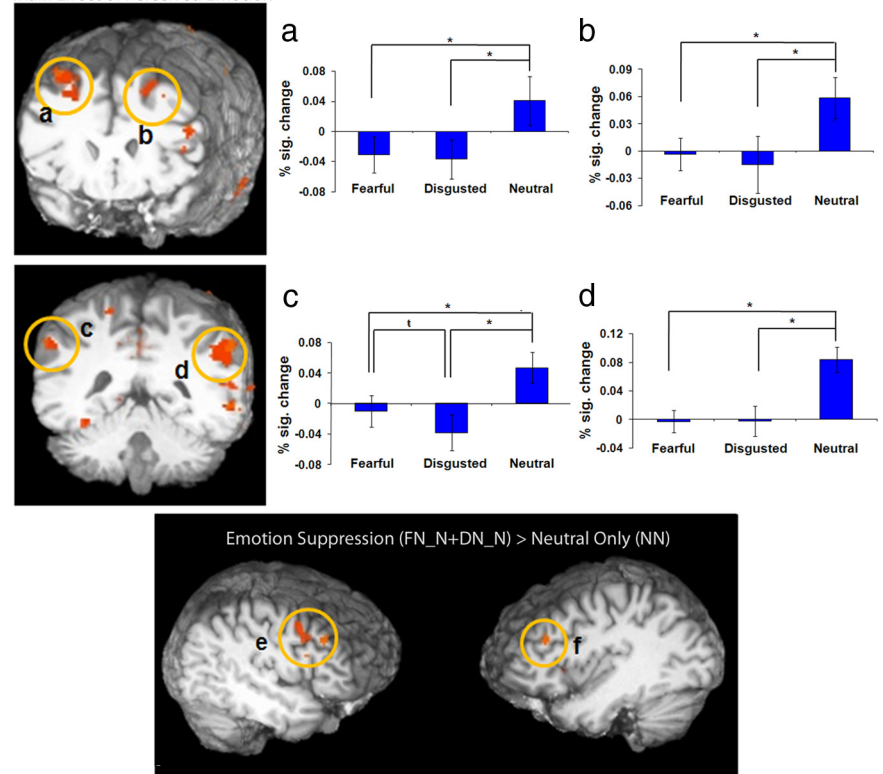
**Discussion**

In the current study, binocular rivalry was used to determine whether activity in top-down neural regions implicated in visual awareness or emotion regulation vary as a function of consciousness. We reasoned that if top-down contributions to consciousness functioned in a stimulus-independent fashion, then activity in bottom-up (ventral visual system) but not top-down (frontoparietal) structures should vary as a function of the perceived emotion. When present, fearful faces were significantly more likely to be perceived than disgusted or neutral faces. Despite gaining preferred access to consciousness, the perception of fearful relative to neutral faces was associated with less activity in top-down areas considered critical for visual awareness. Enhanced activity in the amygdala and ventral visual stream was associated with fear awareness. Whereas fear perception was associated with enhanced activity in the amygdala, increased pgPFC activity was observed when fearful faces were present but unperceived. Similarly, whereas awareness of fear was associated with increased functional coupling between amygdala and temporal cortices, trials featuring suppressed fearful faces featured greater connectivity between amygdala and pgPFC.

A distinction should be made between stimulus representation, the focus of studies of attention, and visual awareness, which is targeted by the current study. Attention enhances the neural representation of target stimuli (Desimone and Duncan, 1995); it is considered a critical precursor for awareness by some (Driver and Mattingley, 1998; Dehaene et al., 2006) and a potential confound by others (Tse et al., 2005). Although the specific relationship between attention and consciousness remains unclear (Rees, 2007), it is evident that enhanced representation is not sufficient to produce

**Main Effect of Perceived Emotion**

**Figure 4.** Perigenual prefrontal cortex activity associated with awareness of neutral relative to emotional stimuli. *a, b*, Images depicting the main effect of perceived emotion revealed greater activation in the right (*a*) and left (*b*) perigenual prefrontal cortex when a neutral expression was perceived relative to when either a fearful or disgusted expression was perceived ( $*p < 0.001$ ,  $†p < 0.01$ ). The *y*-axis depicts percentage signal change (% sig. change) in BOLD response; error bars depict the SEM between subjects.

**Main Effect of Perceived Emotion**

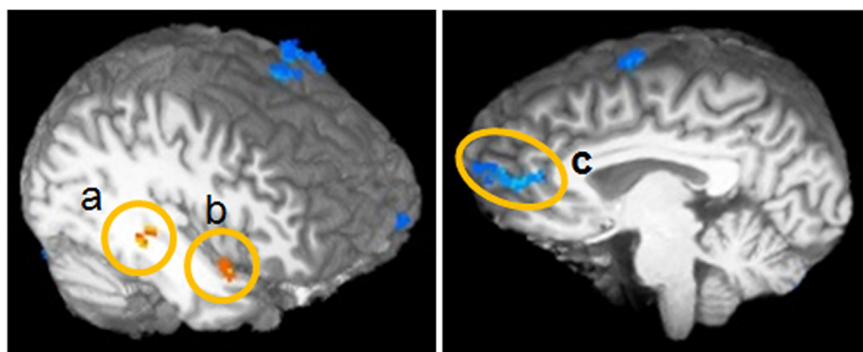
**Figure 5.** Enhanced activity in a frontoparietal network associated with awareness of neutral relative to emotional stimuli. *a–d*, Images depicting the main effect of perceived emotion revealed greater activation in the right (*a*) and left (*b*) middle frontal gyrus and the left (*c*) and right (*d*) inferior parietal cortices when a neutral relative to fearful or disgusted expression was perceived ( $*p < 0.001$ ,  $†p < 0.05$ ). *e, f*, The results of the contrast of emotion suppression [FN\_N + DN\_N] > neutral only condition (NN) revealed greater activation in the right (*e*) middle frontal gyrus and the left (*f*) middle frontal gyrus during emotion suppression. The *y*-axis depicts percentage signal change in BOLD response; error bars depict the SEM between subjects.

awareness (Leopold and Logothetis, 1996). We controlled for stimulus duration, location, and task demands across conditions to target processes related to emotional awareness. Nevertheless, awareness depended on correctly categorizing a facial expression

**Table 3. Areas revealed by the functional connectivity analysis showing significantly enhanced or reduced functional connectivity with the right amygdala during fear awareness and fear suppression**

Anatomical location	L/R	BA	x	y	z	t value
Regions showing greater amygdala connectivity during perceived relative to unperceived fear						
Superior parietal cortex	R	7	25	−70	45	5.14
Middle temporal cortex	R	38	42	7	−13	5.52
Superior temporal cortex	R	36	40	−32	−7	5.39
Regions showing greater amygdala connectivity during unperceived relative to perceived fear						
Superior frontal gyrus	L/R	6	1	8	70	5.48
Middle frontal gyrus	L	6	−29	6	66	4.35
Perigenual PFC	L	32	−4	54	8	6.42
Posterior cingulate cortex	L	31	−8	−69	18	4.51
Middle temporal cortex	L	21	−64	−12	−3	6.56
Superior temporal cortex	L	41	−62	−28	12	4.57

$p < 0.01$ ; L, left; R, right.



**Figure 6.** Connectivity with the amygdala varies as a function of awareness. **a–c**, Context-dependent connectivity analysis revealing areas with a significant modulation of functional connectivity with the right amygdala in the presence of perceived fearful trials relative to unperceived fearful trials ( $p < 0.01$ ). Areas in orange and yellow show enhanced functional connectivity during perceived fearful trials relative to unperceived fearful trials: right superior temporal cortex (**a**) and right middle temporal cortex (**b**). Regions in blue show reduced functional connectivity with the right amygdala during perceived fearful trials relative to unperceived fearful trials: left perigenual prefrontal cortex (**c**).

(an effortful process), and the possible effects of these task demands on the current results remains unclear. Although future work that systematically varies cognitive demands will be needed to address this problem, our primary findings are not likely caused by a general emotion categorization difficulty effect. For example, other studies show that frontoparietal activity increases with heightened demands on attention, decision-making, or rule-learning (Mitchell et al., 2007, 2009; Dumontheil et al., 2010). However, we observed greatest frontoparietal activity to neutral faces, which our behavioral results (reaction time and error data) suggest were the easiest to classify in the absence of emotional competition.

### Emotion as a bottom-up influence on conscious perception

It has been suggested that emotion acts as a bottom-up influence on stimulus representation, giving affective stimuli enhanced access to processing resources (Pessoa and Ungerleider, 2004; Blair and Mitchell, 2009). This influence is not sufficient to produce awareness; robust amygdala activity has been observed even when emotional awareness is reduced because of masking (Whalen et al., 1998; Morris et al., 1999) or cortical blindness (Morris et al., 2001; Pegna et al., 2005). One possibility is that the amygdala's response to fear is entirely independent of awareness. However, in the current study fearful faces were significantly

more likely to be perceived than other expressions, and this awareness was associated with enhanced amygdala activity and increased functional coupling between amygdala and ventral visual system. Other evidence supports this finding. Subliminal emotional presentations modulate awareness for other stimuli (de Gelder et al., 2005); furthermore, subcortical influences likely contribute to residual emotion discrimination ability in affective blindsight (de Gelder et al., 1999) and rapid emotional contagion (Tamietto et al., 2009) for unseen stimuli in cortically blind patients. Furthermore, using signal detection theory, Pessoa et al. (2006) revealed greater amygdala activity to seen versus unseen fearful faces.

Although prior work has focused primarily on the extent to which awareness is required for emotional stimuli to activate the amygdala (Whalen et al., 1998; Pessoa et al., 2006), an alternate perspective is that amygdala activity influences the threshold for consciousness (Anderson and Phelps, 2001; Dehaene et al., 2006). Accordingly, the amygdala may not only detect innate

biologically and socially relevant information (Sergeyev et al., 2008) but also facilitate awareness of such stimuli by interacting with phylogenetically newer systems. This formulation would reconcile the seemingly contradictory findings regarding the extent to which awareness is necessary to activate the amygdala. While not ruling out a necessary contribution from top-down structures, it raises the possibility that top-down contributions vary as a function of the stimuli ultimately perceived or suppressed from awareness.

### Frontoparietal contributions to consciousness vary as a function of emotion

Our results shed light on how top-down and bottom-up areas interact in some circumstances to influence consciousness.

Frontoparietal networks are thought to make a generic (i.e., stimulus-independent) contribution to conscious perception (Lumer et al., 1998; Beck et al., 2001). We observed greater activity in dorsolateral prefrontal cortex (BA 8/9) and, to a lesser degree, inferior parietal cortex (BA 40/7) to perceived neutral relative to fearful or disgusted expressions. Despite being primed for awareness, fearful faces were associated with less activity in neural regions thought to play a critical role in consciousness (and greater amygdala activity). One interpretation of this finding is that the amygdala acts as a bottom-up amplifier that increases accessibility of emotional items to consciousness. Accordingly, because neutral stimuli would not benefit from similar bottom-up support, enhanced generic frontoparietal contributions become more important. This interpretation is consistent with proposals that frontoparietal regions contribute to consciousness by amplifying relevant sensory processes (Dehaene and Naccache, 2001; Sergent and Dehaene, 2004). It also raises the possibility that the amygdala makes a similar (though not sufficient) contribution to emotional awareness. However, it should be noted that stronger visual cortical responses have been observed for perceived compared with unperceived stimuli (Moutoussis and Zeki, 2002). Thus, it remains possible that the observed amygdala activity and enhanced functional coupling with the ventral visual system was the result of fear awareness rather than its cause.



### Implications for emotion regulation

The current results have implications for our understanding of emotion regulation. One proposed mechanism for emotion regulation involves projections between medial prefrontal cortex (mPFC) and basolateral amygdala. The mPFC is thought to regulate affect by modulating emotional output from the amygdala (Morgan et al., 1993; Rosenkranz and Grace, 2001). Furthermore, enhanced activity in mPFC is associated with reduced emotional distracter processing (Bishop et al., 2004) and successful emotional reappraisal (Ochsner et al., 2004). However, little is known about how activation of this system may vary as a function of awareness. In the present study, we found that activity in pgPFC was enhanced during the conscious perception of neutral relative to emotional facial expressions. Furthermore, the suppression of fearful stimuli from consciousness was associated with enhanced coupling between pgPFC and amygdala. These findings raise the intriguing possibility that activity in pgPFC may influence not only how one feels about a particular stimulus but also whether or not an emotional stimulus is accessible to awareness. An alternative possibility is that information about the presence of fearful stimuli is conveyed from amygdala to pgPFC, and it is in the latter structure that competition for emotional awareness is ultimately resolved.

### Relationship to other binocular rivalry studies of emotion

It is important to note that the current study differs in several key ways from prior binocular rivalry studies suggesting that amygdala activity is resistant to manipulations of awareness (Anderson et al., 2003; Williams et al., 2004). First, in these studies awareness of emotion was not the target process; emotional representation occurred incidentally while participants made other perceptual judgments (e.g., gender discriminations). The focus of these studies, therefore, was on emotional representation as a function of awareness rather than awareness of emotion per se. To our knowledge, this is the only fMRI study of binocular rivalry that targets awareness of emotionality within the same class of stimuli. Furthermore, whereas the current study involved competition between two faces for awareness, prior studies involved rivalry between faces and places. The use of two social stimuli that activate similar areas within the ventral stream may have enhanced competition. Indeed, we have noted previously that competition between discordant emotional expressions modulates the BOLD response in the ventral stream (Amting et al., 2009). It should be noted that by using face-only rivalry in the current study, we may have unintentionally increased perceptual fusion of the compound stimuli, with only piecemeal rivalry in parts of the face with the greatest discrepancy. The potential implications of this for our results remain unclear.

### Conclusions

Considerable research supports the idea that contributions from the ventral visual system to consciousness vary in a category-selective manner. However, to date little evidence exists that contributions from frontoparietal areas considered critical for consciousness are also variable. Here, we show that activity in these regions can vary as a function of the emotion perceived and suppressed from awareness. Strikingly, although fearful stimuli were more likely to be perceived when present, this increased awareness was associated with reduced activity in regions of frontoparietal cortices thought to play a critical and general role in consciousness. These results are consistent with the idea that the amygdala modulates the threshold for awareness of emotional stimuli. Evidence is also provided that activity in regions of pg-

PFC, previously implicated in extinguishing a fear-conditioned response and in explicit emotion regulation, is associated with the suppression of fearful faces from consciousness. The results have implications for models of consciousness, emotion regulation, and the relationship between amygdala activation and visual awareness.

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