

ORIGINAL RESEARCH REPORT 2

Autologous stem cell transplantation may be 8

- curative for patients with follicular
- lymphoma with early therapy failure without
- the need for immunotherapy
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SeeKEYWORDSAutologous stem cell transplantation; Early relapse; Folicular lymphoma; Survival, chemotherapyAbstractObjective/Background: Patients with follicular lymphoma (FL) with early therapy failu within 2 years of frontline therapy have poor overall survival (OS). We recently repo results of autologous stem cell transplantation (ASCT) in patients from the Grupo Ess Linfomas y Trasplantes de Médula Ósea (GELTAMO) registry treated with rituximab ASCT and with ETF after first-line immunochemotherapy, leading to 81% 5-year OS sing We explored whether ASCT is also an effective option in the pre-rituximab era-th patients treated in induction and rescued only with chemotherapy.Methods: ETF was defined as relapse/progression within 2 years of starting first-line We identified two groups: the ETF cohort ($n = 87$) and the non-ETF cohort ($n = 47$ receiving ASCT but not experiencing ETF following first-line therapy).Results: There was a significant difference in 5-year progression-free survival between and non-ETF cohorts (43% vs. 57% , respectively; $p = .048$). Nevertheless, in patients wi with an interval from first relapse after primary treatment to ASCT of <1 year, no dif were observed in 5-year progression-free survival (48% vs. 66% , respectively; $p = .45$ sear OS (69% vs. 77% , $p = .4$). Patients in the ETF cohort transplanted in complete m showed a plateau in the OS curves, at 56% , beyond 13.7 years of follow-up. Conclusion: ASCT may be a curative option for ETF in patients who respond to chemotherapy, without the need for immunotherapy or other therapies, and should be ered as an early consolidation, especially in patients with difficult access to rituximad © 2019 King Faisal Specialist Hospital & Research Centre. Published by Elsevier Ltd. To open access article under the CC BY-NC-ND license (http://cr	the differences the ETF vith ETF ferences 4) or in emission or rescue e consid- o. his is an
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Introduction 78

Follicular lymphoma (FL) is the most frequent subtype of 79 indolent non-Hodgkin lymphoma. Treatment improvements 80 in the past four decades have contributed to modifying 81 the natural history of FL, with median overall survival (OS) 82 approaching 20 years [1-3]. Nevertheless, FL remains lar-83 gely incurable, relapses are common, and patients are often 84 85 chemorefractory and achieve less durable and lower-quality 86 responses after subsequent therapies [4]. Moreover, trans-87 formation may occur, and many patients ultimately die 88 from the disease [5,6].

Prior to the approval of rituximab for the treatment of 89 non-Hodgkin lymphoma, combination chemotherapy and 90 prolonged therapy for patients with advanced FL requiring 91 treatment were shown to improve response rates and 92 extend first remission as compared with short-term alkylat-93 ing agents alone, but with no improvement in OS [7]. The 94 addition of rituximab to conventional chemotherapy was a 95 significant development in FL therapy, with phase III ran-96

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domized trials demonstrating the benefits, including better OS, of first-line rituximab-containing chemotherapy [8–10]. Anti-CD20 maintenance therapy prolongs remission and likely survival, and has become a standard of care after first-line therapy [11]. However, for some patients, especially those in underdeveloped countries, access to rituximab is difficult because of its high cost [12].

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Several studies have shown that 15-20% of patients with FL do not respond to first-line chemo-/ immunochemotherapy (refractoriness) or will experience early therapy failure (ETF), defined as relapse or progression within 2 years of commencing first-line chemo/ immunochemotherapy [13-17]. The outcome for this subgroup of high-risk FL patients is much worse than for those responding well to first-line treatment, with reported 5-year OS of approximately 50% versus 90% in the latter [15].

Several retrospective series have shown promising out-113 comes in relapsed/refractory FL irrespective of previous 114 rituximab use [12-21]. No randomized study has thus far 115 evaluated the role of autologous stem cell transplantation 116 (ASCT) in relapsed FL in the rituximab era; however, in 117 patients naive to rituximab, the randomized European CUP 118 (cancer of unknown primary site) study showed an OS 119 advantage for ASCT over standard chemotherapy in relapsed 120 FL [22]. Nevertheless, there were too few patients in this 121 study who presented relapse within the first 2 years from 122

diagnosis, and no information was provided regarding their
specific outcome compared with those who experienced a
later relapse.

At present, there are no standard therapeutic options for 126 high-risk early failure FL, and considerable effort has been 127 placed on investigating novel therapies in this setting. We 128 recently published the results of ASCT in patients with FL 129 from the Grupo Español de Linfomas y Trasplantes de 130 Médula Ósea (GELTAMO) registry, treated with rituximab 131 prior to transplant and with ETF after first-line therapy 132 [23]. These patients had excellent survival, with 5-year OS 133 since ASCT > 80%. In the present study, we sought to analyze 134 135 whether ASCT is also an effective option in this high-risk subgroup of FL patients prior to the use of rituximab, that 136 is, in patients treated in induction and rescued only with 137 chemotherapy. 138

139 Patients and methods

140 Study design and participants

The GELTAMO registry database includes 655 patients with 141 non-transformed FL who received ASCT between January 142 1, 1989 and December 31, 2007, at 44 centers in Spain. Of 143 this total, we identified 255 patients who underwent trans-144 plantation in either second complete response (CR2) or sec-145 ond partial response (PR2). Data on duration of response to 146 first-line immunochemotherapy were available for 202 147 patients; of these, a total of 134 patients (66%), who were 148 transplanted in either CR2 (n = 94) or PR2 (n = 40), were 149 naive to rituximab prior to ASCT. Therefore, the population 150 for this analysis comprised 134 non-transformed FL patients 151 with known duration of response to first-line therapy 152 (Fig. 1). Regarding histology, patients were classified per 153 the Working Formulation into follicular small cleaved cell, 154 155 follicular mixed small cleaved and large cell, or follicular 156 large cell, and according to the Revised European-Ameri-157 can Classification of Lymphoid Neoplasms, as non-Hodgkin lymphoma follicular Grade 1, 2, or 3 [24]. In this analysis, 158 ETF was defined as relapse/progression within 2 years of 159 starting first-ine chemotherapy. Patients in the GELTAMO 160 registry who underwent their first ASCT and experienced 161 relapse/progression within 2 years of starting first-line ther-162 apy and underwent ASCT following achievement of a CR2 or 163 PR2 comprised the ETF cohort. Outcomes were compared 164 with those patients in the GELTAMO registry who received 165 their first ASCT in either CR2 or PR2, but who did not expe-166 rience ETF following first-line therapy. All the patients 167 needed treatment at the time of initiation of salvage treat-168 169 ment according to Groupe d'Etudes des Lymphomes Follicu-170 laires (GELF) criteria [25].

171 Ethical approval

All procedures performed in studies involving human participants were in accordance with the ethical standards of the institutional and/or national research committee and with the 1964 Helsinki Declaration and its later amendments or comparable ethical standards. For this type of study, formal consent is not required.

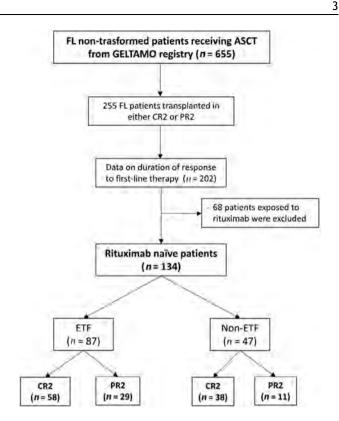


Fig. 1 CONSORT diagram for follicular lymphoma patients in the GELTAMO study. ASCT = autologous stem cell transplantation; CONSORT = Consolidated Standards of Reporting Trials; CR = complete response; ETF = early therapy failure; FL = follicular lymphoma; GELTAMO = Grupo Español de Linfomas y Trasplantes de Médula Ósea; PR = partial response.

Response and disease status

According to GELTAMO guidelines, CR was defined as the 179 disappearance of all clinical evidence of disease, with nor-180 malization of X-rays, computed tomography scans, and lab-181 oratory values that had been abnormal prior to therapy; PR 182 was defined as >50% reduction in measurable disease for 183 >1 month; and resistant/refractory disease was defined as 184 lymphoma that progressed during initial combination 185 chemotherapy or a response of less than PR to salvage ther-186 apy. From 1999 onward, response criteria used were those 187 recommended internationally at the time of high-dose ther-188 apy [26]. As the timing of ASCT in relapse/refractory FL is 189 not uniform across transplant centers, we compared out-190 comes of ETF in FL patients who underwent, or not, an early 191 ASCT consolidation. Early ASCT was defined as transplanta-192 tion performed within 1 year of ETF. The intent of this anal-193 ysis was to evaluate the impact of early ASCT in FL patients 194 with ETF. 195

Statistical analysis

The primary study end point was OS. Progression-free survival (PFS) was defined as the time from ASCT to disease relapse/progression or death from any cause. OS was ana-199

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lyzed from the time of ASCT. Surviving patients were 200 201 censored at last follow-up. Patient-, disease-, and transplantation-related factors were compared between 202 groups using the χ^2 test for categorical variables and the 203 Mann-Whitney U test for continuous variables. PFS and OS 204 were analyzed using the Kaplan-Meier method, and differ-205 ences were assessed using the log-rank test. All p values 206 were two-sided, and p < .05 was considered statistically sig-207 nificant. Univariate analyses of PFS and OS were conducted 208 for multiple patient-, disease-, and treatment-related fac-209 tors. Statistically significant variables were included in mul-210 211 tivariate analyses, which were performed using the Cox 212 proportional hazards model.

213 **Results**

214 Baseline characteristics

Of the 134 patients included in the analysis, 87 experienced 215 ETF. Of these, 58 were transplanted in CR2 and 29 in PR2. 216 The non-ETF cohort comprised 47 patients who achieved 217 CR/PR and progressed more than 2 years after starting 218 first-line therapy. Of these, 36 were transplanted in CR2 219 220 and 11 in PR2. The time interval from first relapse after primary treatment to ASCT was 10 (range 4-56) months and 10 221 (range 4-37) months for the ETF and non-ETF cohorts, 222 respectively (p = .9). In the ETF and non-ETF groups, a total 223 of 78% (68/87) and 83% (39/47) of the patients, respec-224 tively, received ASCT within the 1st year after treatment 225 failure (p = .5). The median follow-up from ASCT for the 226 134 patients was 13.4 years (range 9-97 months). The med-227 ian time to progression within first-line therapy was 11 228 (range 0.1-23) months and 47 (range 25-114) months for 229 patients in the ETF and non-ETF cohorts, respectively 230 (p < .00001).231

232 As first-line therapy, patients received either an anthracycline-based (70%; 94/134) or a fludarabine-based 233 234 (2%; 3/134) regimen. Regarding rescue treatment, of the 106 patients with known data, 50% (53/106) received a 235 cisplatin-based regimen, 21% (22/106) a fludarabine-based 236 regimen, and 29% (31/106) an anthracycline-based regimen. 237 For transplantation, peripheral blood (PB) was used as the 238 progenitor cell source in 79% of patients (median number 239 of CD34⁺ cells infused $2.7 \times 10^{\circ}$ /kg; range, 0.7-240 15.4×10^6 /kg). A total of 33/134 patients (25%) received 241 a total body irradiation-containing conditioning regimen. 242 The most commonly used conditioning regimen was car-243 mustine, etoposide, cytarabine, and melphalan (BEAM; 244 46%; 61/134). 245

246 Patient/disease characteristics were well balanced 247 between the ETF and non-ETF cohorts. The only differences were in the status according to the Eastern Cooperative 248 Oncology Group (ECOG) scale, with more patients having 249 $ECOG \ge 2$ in the non-ETF group; and in the response to 250 frontline chemotherapy, with more patients reaching CR 251 in the non-ETF group (98% vs. 84%, p = .01; Table 1). In 252 the ETF cohort, there were no differences between the 253 254 group who received ASCT within 1 year (n = 68) versus > 1 year (n = 19) after first relapse, after primary 255 treatment. 256

Survival analysis and predictors of survival

A significant difference was found for PFS (p = .048) 258 between the ETF (n = 87) and non-ETF cohorts (n = 47), with 259 5-year PFS rates from the time of ASCT of 43% (95% confi-260 dence interval [CI], 33-55%) and 57% (95% CI, 44-71%), 261 respectively (Fig. 2A). Nevertheless, in patients experienc-262 ing ETF with an interval from first relapse after primary 263 treatment to ASCT of <1 year (n = 68), the 5-year PFS was 264 48% (95% CI, 36-60%), which was similar to that of the 265 non-ETF cohort (n = 19) (5-year PFS: 66%; 95% CI, 46-80%; 266 p = .44). No significant difference was found for OS (p = .4) 267 between the ETF and non-ETF cohorts, with 5-year OS rates 268 from the time of ASCT of 69% (95% CI, 59-79%) and 77% (95% 269 CI, 65–89%), respectively (Fig. 2B). 270

In the ETF cohort, multivariate analysis identified that 271 factors associated with a higher risk of mortality (i.e., infe-272 rior OS) were male sex (hazard ratio [HR] = 2.46; 95% CI, 273 1.2-5.03; p = .01), older age (HR = 1.27; 95% CI, 1.05-10; 274 marrow infiltration at diagnosis p = .0005),bone 275 (HR = 2.24; 95% Cl, 1.13-4.4; *p* = .02) and the use of bone 276 marrow as a stem cell source (HR = 5.7; 95% CI, 2.5-13; 277 p = .00002). Factors correlating with an inferior PFS were 278 male sex (HR = 2.36; 95% CI, 1.36-4.07; p = .002), older 279 age (HR = 1.97; 95% CI, 1.14-3.30; p = .01), and the use of 280 bone marrow as a stem cell source (HR = 2.58; 95% CI, 281 1.37–4.86; *p* = .003). 282

Response status at ASCT and survival in patients with ETF

Patients with ETF transplanted in CR (n = 58) had a better OS 285 (HR = 1.95; 95% CI, 1.06–3.57; p = .03) but not a better PFS 286 (HR = 1.42; 95% CI, 0.84–2.42; p = .1) than those trans-287 planted in PR (n = 29) (Fig. 3). Patients who underwent ASCT 288 in CR (n = 58) had a projected 5-year PFS and OS of 47% (95%) 289 CI, 33-58%) and 74% (95% CI, 60-83%), respectively. In the 290 latter group, there was a plateau in the OS curves beyond 291 13.7 years of follow-up, at 56%. Patients who underwent 292 ASCT in PR (n = 29) had a projected 5-year PFS and OS of 293 36% (95% CI, 31-50%) and 57% (95% CI, 48-78%), respec-294 tively (Fig. 3). 295

Causes of death and secondary malignancies

Among all 134 patients, 63 (47%) died: 49 after progression 297 of FL and 14 without presenting progression of the disease. 298 The causes of death of these 14 patients were infection (7 299 cases), secondary neoplasia (2 cases), cardiotixicity, hemor-300 rhage, graft-versus-host disease (1 case each), and nonspec-301 ified nonrelapsed mortality (2 cases). In the ETF and non-302 ETF cohorts a total of 49% (n = 43) and 42% (n = 20) (p = .4) 303 of patients died. Nineteen patients (14.1%) developed a sec-304 ondary malignancy (12 cases of solid tumors, including 2 305 skin cancers and 7 cases of myelodysplastic syndrome/acute 306 myelogenous leukemia) at a median of 9 years after ASCT. 307 Of these 19 patients, 11 have died, and the rest were still 308 alive at last follow-up. In the EFT and non-ETF cohorts, 14 309 patients (16%) and five patients (10.6%) developed sec-310 ondary neoplasia (p = .4). 311

Transplantation in ETF FL in pre-rituximab era

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Characteristics	ETF	Non-ETF	p ^a
	(<i>n</i> = 140)	(<i>n</i> = 62)	
Age at diagnosis, y			
Median (range)	47 (25–73)	51 (22–70)	.01
≤46, n/N (%)	65/140 (46)	25/62 (40)	
>46, n/N (%)	75/140 (64)	37/62 (60)	
Sex, n (%)			
Male	72 (51)	32 (50)	.6
Female	68 (49)	32 (50)	
ECOG performance status, n/N (%) ^b			
0–1	113/132 (86)	59/61 (97)	.02
≥2	19/132 (14)	2/61 (3)	
Ann Arbor stage, n/N (%)			
I—II	20/140 (14)	15/62 (24)	.08
III—IV	120/140 (86)	47/62 (76)	
B symptoms, n/N (%)			
Absent	104/140 (75)	51/62 (82)	.3
Present	36/140 (25)	11/62 (18)	
Nodal sites, n/N (%)			
≤4	50/73 (69)	26/37 (70)	.9
>4	23/73 (31)	11/37 (30)	
Bone marrow involvement, n/N (%)			
Yes	74/133 (56)	29/59 (49)	.4
No	59/133 (44)	30/59 (51)	
Lactate dehydrogenase, n/N (%)			
High	23/115 (20)	12/51 (24)	.6
Normal	92/115 (80)	39/51 (76)	
Tumor mass, cm, n/N (%)			
<6	51/105 (49)	23/44 (52)	.4
≥6	54/105 (51)	21/44 (48)	
Hemoglobin level, g/dL, n/N (%)			
≥12	48/59 (86)	23/29 (79)	.4
<12	8/59 (14)	6/29 (21)	
β2-Microglobulin level, n/N (%) ^c			
Low	29/100 (29)	10/35 (21)	.4
High	71/100 (71)	25/35 (71)	
FLIPI score, n/N (%)			
Intermediate-high	31/56 (55)	17/30 (57)	.9
FLIPI 2 score, n/N (%)			
Intermediate-high	41/57 (72)	23/31 (74)	.9
Response to frontline therapy			
CR2	118/140 (84)	60/62 (97)	.000.
$PR \ge 2$	17/140 (12)	2/62 (3)	.04
			(continued on next page

 Table 1
 Main clinical features at diagnosis and treatment variables of early treatment failure and non-early treatment failure

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Characteristics	ETF (<i>n</i> = 140)	Non-ETF (<i>n</i> = 62)	p ^a
Median lines of therapy to reach first response			
1	111/140 (79)	57/62 (92)	0.02
≥1	29/140 (21)	5/62 (8)	
Time from first relapse after primary treatment to ASCT, y, n/N (%)			
≤1	103/140 (74)	52/62 (84)	.1
 >1	37/140 (26)	10/62 (16)	
Disease status at ASCT, n/N (%)			
CR2	95 (68)	50 (81)	.005
$PR \ge 2$	45 (32)	12 (19)	
Anthracycline-based induction thera	DV		
Yes	104/140 (74)	43/62 (69)	.4
No	36/140 (26)	19/62 (31)	
Receipt of rituximab prior to HDT/ASCT, n/N (%)			
Yes	52/140 (37)	16/62 (26)	.1
No	88/140 (63)	46/62 (74)	
TBI-based conditioning regimen, n/N (%)			
Yes	24/140 (17)	10/62 (16)	.9
No	116/140 (83)	52/62 (84)	
Use of PBPCs for ASCT, n/N (%)			
Yes	119/140 (85)	55/62 (89)	.5
No	21/140 (15)	7/62 (11)	
Years of transplant			
1989–1999	73/140 (52)	30/621 (48)	.8
2000–2007	67/140 (48)	32/62 (52)	

Note. In some categories, the % values may not sum to 100% because of rounding. ASCT = autologous stem cell transplantation; CR = complete response; ECOG = Eastern Cooperative Oncology Group; ETF = early therapy failure; FLIPI = Follicular Lymphoma International Prognostic Index; HDT = high-dose therapy; PBPCs = peripheral blood progenitor cells; PR = partial response; TBI = total body irradiation.

^a Comparison between transplantations performed after an early treatment failure or a non-early treatment failure.

^b Performance status according to the ECOG scale: 0–1, low level of functional impairment; 2–4, high level of functional impairment. ^c According to normal values of each laboratory.

Discussion 312

Patients with FL experiencing ETF following first-line 313 chemotherapy/immunochemotherapy lack effective treat-314 ments and ETF has been recently validated as a prognostic 315 316 marker of poor outcome [15,16,27,28]. Data from the Center for International Blood and Marrow Transplant Research 317 and the National LymphoCare Study on 174 non-ASCT 318 patients and 175 ASCT patients who received a rituximab-319 based chemotherapy as frontline treatment showed that 320 there were no differences in 5-year OS between the two 321 322 groups (60% vs. 67%, respectively, p = .16) [29]. However, 323 patients with FL with ETF receiving ASCT soon after treat-

ment failure (<1 year of ETF, n = 123) had a higher 5-year 324 OS than those without ASCT (73% vs. 60%) [29]. Our previous 325 report from the GELTAMO registry [23], including only 326 patients from the rituximab era, showed no significant difference in PFS (49% vs. 60%, p = .49) or in OS (81% vs. 83%, p = .8) between patients in the ETF or non-ETF cohort. Additionally, patients with early ASCT, performed within 1 year of ETF, showed similar PFS (49% vs. 66%, p = .4) and OS (86% vs. 85%, p = .9) to those in the non-ETF group. Overall, these results suggest that ASCT is an effective treatment option for transplant-eligible patients with high-risk FL who experi-334 ence ETF in the rituximab era. Nevertheless, it is not known 335 whether this favorable outcome is a consequence of ASCT 336 alone or is the result of a synergistic effect of ASCT plus 337

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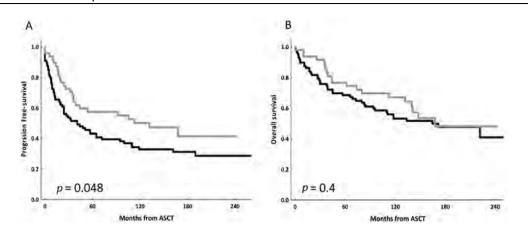


Fig. 2 Kaplan–Meier curves. (A) Progression-free survival and (B) overall survival from the time of autologous stem cell transplantation, according to whether patients had early therapy failure (n = 87; black line) or not (n = 47; gray line) after first-line therapy. ASCT = autologous stem cell transplantation.

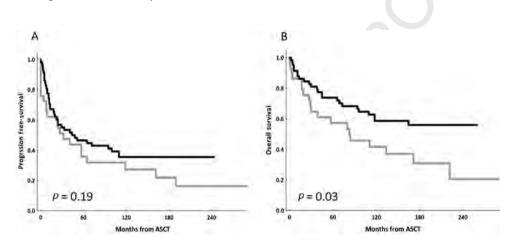


Fig. 3 Kaplan—Meier curves. (A) Progression-free survival and (B) Overall survival from the time of autologous stem cell transplantation (ASCT) in patients presenting early therapy failure according to the status of response at the moment of ASCT: second complete response (n = 58, black line) or second partial response (n = 29, gray line).

rituximab. In the present study, we demonstrate in a large, 338 long-term follow-up group of rituximab-naive patients that 339 ASCT is also an effective treatment in this setting, reaching 340 a 5-year PFS and OS since the time of ASCT of 43% and 67%, 341 respectively. Interestingly, in patients experiencing ETF 342 with an interval from first relapse after primary treatment 343 to ASCT of <1 year, there were no differences in 5-year 344 PFS (48% vs. 66%, respectively, p = .44) between the two 345 346 cohorts, which was similar to that for patients who received 347 an ASCT with an interval from first relapse after primary treatment to ASCT of <1 year but receiving rituximab prior 348 to transplant (5-year PFS 49%) [23]. A very recent study 349 has reported the results from patients with advanced FL 350 351 who received frontline treatment within the GLSG1996 or GLSG2000 trials, and who presented with ETF [30]. Those 352 353 patients who received ASCT (n = 52) showed a significant 354 survival benefit with a 5-year second-line PFS versus no transplant patients (n = 46) of 51% versus 19% (p < .0001) 355 and a 5-year second-line OS of 77% versus 59% (p = .031). 356 Of the 52 patients who received ASCT, only 10 had received 357

rituximab prior to ASCT [30]. Moreover, ASCT had a greater 358 impact on improved treatment outcome as compared with 359 second-line rituximab. Similar results have been reported 360 by Le Gouill et al. [20] for patients in the FL2000 study 361 who were in progression after first-line therapy with or 362 without addition of rituximab to chemotherapy and inter-363 feron. The authors found a significant difference in 3-year 364 OS (92% vs. 63%, respectively; p = 0.0003) between patients 365 receiving ASCT and those who did not. This benefit was rel-366 evant irrespective of frontline rituximab exposure. By con-367 trast, Sebban et al. [31] reported a stronger impact of 368 rituximab compared with ASCT in patients in the GELF-86 369 and GELF-94 trials. Of the 364 patients included in these 370 two studies, 98 had been treated with ASCT, including 33 371 after rituximab-containing salvage regimen. The 33 patients 372 on combined treatment presented a 5-year survival after 373 relapse >90%, suggesting a possible synergism between the 374 two therapies. Our results on patients treated with the com-375 bination of rituximab plus ASCT [23] were somewhat better 376 than those who received ASCT only (5-year PFS and OS of 377

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Table 2Clinical practice points.

- Patients with follicular lymphoma (FL) who experience early therapy failure (ETF) within 2 years of frontline therapy have poor overall survival (OS).
- For patients naive to rituximab, the randomized European CUP (cancer of unknown primary site) study showed an OS advantage for ASCT compared with standard chemotherapy in relapsed FL. Nevertheless, there were too few patients who presented relapse within the first 2 years from diagnosis, and no information was available about their specific outcome.
- Our previous study showed that FL patients from the GELTAMO (Grupo Español de Linfomas y Trasplantes de Médula Ósea) with ETF after first-line therapy immunochemotherapy who undergo ASCT have an excellent survival, with 5-year OS since ASCT greater than 80%.
- In the present study, we found that ASCT is also an effective option in ETF FL patients prior to the use of rituximab, leading to a 5-year PFS and OS of 43% and 69%, respectively.
- Patients experiencing ETF with an interval from first relapse after primary treatment to ASCT of < 1 year have similar 5-year PFS to the non-EFT cohort (48% vs. 66%, respectively; *p* = .44). No differences were found when these patients were compared with rituximab-exposed patients who received ASCT with an interval from first relapse after primary treatment to ASCT of <1 year (5-year PFS, 49%).
- These findings suggest that ASCT can be a curative option in ETF FL patients who respond to rescue treatments without the need of rituximab, above all in patients experiencing ETF with an interval from first relapse after primary treatment to ASCT of <1 year. Early ASCT could be a promising option in patients with difficult access to rituximab.

49% vs. 43% and 81% vs. 69%, respectively). However, the differences disappeared when we analyzed exclusively patients experiencing ETF with an interval from first relapse after primary treatment to ASCT of <1 year (5-year PFS 49% vs. 48%, respectively), suggesting that the possible synergistic effect of rituximab plus ASCT is not as relevant if ASCT is offered soon in the course of the disease.

385 In the present analysis, the time from first relapse after primary treatment to ASCT was 10 months in both the ETF 386 387 and non-ETF cohorts, with both receiving mostly anthracycline-containing induction regimens. The only rele-388 389 vant difference between the two groups was the ECOG score, with more patients having a performance status 390 according to the ECOG scale ≥ 2 in the non-ETF cohort, 391 and the response status to first-line therapy (significantly 392 better in the non-ETF group). There were no differences 393 in terms of age, status of disease at ASCT, or other 394 395 already-known prognostic factors in FL. The survival benefit 396 of PB over bone marrow as a stem cell source has been observed in most of the settings, likely because PB is asso-397 398 ciated with a reduction in the number of platelet transfusions and with the time to platelet and neutrophil 399 recovery [32,33]. Male sex and older age have recently been 400 demonstrated as adverse prognostic factors both in the set-401 ting of autologous transplantation [25] and in rituximab-402 treated patients [11]. 403

Overall, our results suggest that, whereas some patients 404 might benefit from more aggressive therapies, such as allo-405 genic stem cell transplantations, or novel drugs, such as 406 immunomodulatory agents [34], monoclonal antibodies 407 [35], phosphoinositide 3-kinase inhibitors [36], or even the 408 application of bispecific T-cell engagers [37] and chimeric 409 antigen receptor T cells [38], there are a considerable num-410 411 ber of patients in this high-risk ETF subgroup that can be 412 cured with ASCT, even in the absence of rituximab (Table 2). 413 This is a hopeful option, especially in patients with difficult 414 access to rituximab, as is the case in many underdeveloped countries. 415

Our study has several limitations, including its retrospective design, the antiquity of the data, and the absence of a
cohort of non-ASCT patients. Nonetheless, we believe the

findings are valuable, owing to the very long follow-up and the absence of standard therapeutic options for high-risk early failure FL. 421

Conclusions

Our results lead us to suggest that ASCT can be a curative
option in ETF FL patients who respond to rescue treatments,
without the need of rituximab. These results are more
favorable when ASCT is performed in patients experiencing
ETF with an interval from first relapse after primary treat-
ment to ASCT of <1 year. Thus, early ASCT could be a hope-
ful option in patients with difficult access to rituximab.423
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Declaration of Competing Interest

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The authors have stated that they have no conflicts of 440 interest. 441

Authors' contributions

JUA, GC, and LJJ were involved in the study conception and design, provision of study materials or patients, collection and assembly of data, data analysis and interpretation, manuscript writing, and manuscript approval. SA, CD, and LG-A were involved in provision of study materials or patients, collection and assembly of data, manuscript writ-448

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ing, and manuscript approval. The remaining authors were 449 involved in data analysis and interpretation, and manuscript 450 review and approval. 451

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