

1 Automated Energy Storage and Curtailment System to Mitigate  
2 Distribution Transformer Aging due to High Renewable Energy  
3 Penetration

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7 **Abstract**

8 The increase of distributed generation units in low voltage distribution grids, stimulated  
9 by different mechanisms (mostly economic), like the Energy Performance of Buildings  
10 Directive in Europe and the associated nearly-Zero Energy Building concept, stresses in-  
11 depth research on the possible impacts that such generation may impose on fundamental  
12 grid equipment such as distribution transformers.

13 Taking this into consideration, this paper has two main objectives: i) to analyze the  
14 impacts of distributed generation on a non-residential building supplied by a dedicated  
15 distribution transformer when this building is converted to a nearly-Zero Energy  
16 Building; and ii) to develop a Transformer Anti-Aging Protection System (TAAPS) that  
17 mitigates existing negative impacts in order to reduce transformer aging.

18 The present study is based on 1-year, 1-min resolution, real electricity demand and  
19 weather data and uses the standard IEC 60076-7 (Loading Guide For Oil-immersed Power  
20 Transformers) to model the transformer aging. The collected results show that the  
21 introduction of distributed generation increases transformer aging and that the proposed  
22 protection system (TAAPS) fulfills its objectives preventing the excessive aging. An  
23 economic analysis, related with the proposed system, is also provided in this paper.

24  
25 Keywords: Photovoltaic systems, Distribution transformer, Energy storage, Generation curtailment.

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## 28 **1 – Introduction**

29 Due to technological and economic advances, humanity has witnessed an increase in  
30 energy consumption of all its forms [1]. Since the main source of energy supply is still  
31 fossil fuels, energy consumption has contributed to increase the concentration of  
32 Greenhouse Gases (GHG) in the atmosphere in the last decades [1], with the main  
33 negative impact being pointed out as the resulting Earth's surface average temperature  
34 increase and related phenomena [2]. In this context, Renewable Energy Sources (RES)  
35 play an important role in near future energy systems due to their often GHG emission-  
36 free operation, being their integration into power systems encouraged worldwide (see, for  
37 instance, the Kyoto Protocol [3] or the Paris Agreement [4]). Additionally, on the demand  
38 side, energy efficiency improvements are referred to as an important part of the solution  
39 [5,6].

40 Taking the particular case of Europe into consideration, where buildings alone are  
41 responsible for 40% of the energy consumption and 36% of CO<sub>2</sub> emissions [7], the nearly  
42 Zero-Energy Building (nZEB) concept has been introduced, in 2010, by the Performance  
43 of Buildings Directive (EPBD) recast aiming to increase both the energy efficiency of  
44 European building stock and the integration of RES at local level. As referred by the  
45 respective directive [8], nZEBs should present low energy demand, due to their high  
46 energy efficiency, and be able to cover their energy needs mostly using RES based  
47 conversion systems located on-site or nearby. While many different technologies can be  
48 used to convert energy on-site, most nZEBs rely on solar photovoltaic (PV) systems [9].  
49 Therefore, since PV systems present a generation profile dependent on the solar resource  
50 availability, the majority of nZEBs are connected to power grids and rely on them to  
51 import energy when on-site generation is not available or to export energy when a  
52 generation surplus is registered. In fact, over a certain period of time (typically one year),

53 nZEBs usually presents net-zero energy balances, exporting as much energy to power  
54 grids as they import [10].

55 Despite all the advantages of using RES in nZEBs, or in other types of buildings, it is  
56 important to note that at some periods generation may not be correlated with demand and  
57 that large amounts of energy may be exported to power distribution grids that were not  
58 originally designed to accommodate such reverse power flows [11–14]. Being  
59 distribution transformers one of the most important components integrating these grids  
60 [15], the impacts introduced on their operation and aging should be carefully analyzed.

61 The literature shows that these impacts, imposed by the modification on the distribution  
62 grids' power flows, can be both positive and negative, depending on the RES integration  
63 level [16–29]. More specifically, low RES integration levels result on positive impacts  
64 on distribution transformers' operation and aging due to the reduction of the power flows'  
65 magnitude [16–24], while high RES integration levels may conduct to negative impacts  
66 due to reverse power flows whose magnitude cannot be supported by the distribution  
67 transformers under consideration [23–29]. However, the literature is still lacking studies  
68 focused on distribution transformers supplying large single buildings, which is the case  
69 when energy supply is conducted at medium voltage, but the respective electricity  
70 demand occurs at low voltage levels, as it is common in large non-residential buildings.  
71 Additionally, studies addressing the development and assessment of automated systems  
72 to protect distribution transformers in case of excessive reverse power flows are still  
73 missing.

74 Taking this into consideration, the contribution of the study described in this paper is  
75 twofold. Firstly, the impacts of RES on a distribution transformer supplying a single large  
76 non-residential building are studied. Then, a Transformer Anti-Aging Protection System  
77 (TAAPS), developed to mitigate negative impacts introduced on the transformer's

78 operation and aging by reverse power flows, is presented and assessed. This TAAPS,  
79 designed to be used in non-residential buildings with dedicated transformers, uses the  
80 energy flexibility offered by a Battery Energy Storage System (BESS) and/or a  
81 curtailment mechanism to limit transformer aging. One non-residential building located  
82 in Lisbon area, Portugal, is used as case study and 1-min resolution real data of energy  
83 consumption and weather conditions, collected throughout an entire year, are considered.  
84 This paper is structured as follows; Section 2 presents the methodology used in this study,  
85 describing the electrical energy consumption of the building and the used meteorological  
86 data, the considered PV system and the system developed to protect the distribution  
87 transformer from the accelerated aging (i.e. the TAAPS); Section 3 focus the results  
88 obtained for different scenarios related with the building operation (before its transition  
89 to nZEB, after this transition and considering the TAAPS); and Section 4 provides the  
90 conclusions of this study and possible future work.

91

## 92 **2 – Methodology**

93 The assessment of the impacts originated by the conversion of a non-residential building  
94 into nZEB is based on the validated model described in the IEC 60076-7 (Loading Guide  
95 For Oil-immersed Power Transformers) [30] and on real data associated to the building's  
96 energy demand, outside ambient temperature and registered global solar irradiance for  
97 the entire year of 2013. The building under analysis is the home of the Department of  
98 Electrical and Computer Engineering of the Faculty of Sciences and Technology of Nova  
99 University of Lisbon, in Portugal. The load profile of the building is described in Section  
100 2.1 while the model used to simulate the PV generation profile is described in Section  
101 2.2, together with the method followed to size the number of PV modules needed to match  
102 the energy balance of the nZEB condition. Then, Section 2.3 describes the proposed

103 TAAPS and provides information on the thermal behavior and resulting aging of the  
104 distribution transformer.

105

## 106 **2.1 – Electricity demand profile**

107 The Faculty of Sciences and Technology campus has an area of more than 30 ha with 14  
108 large buildings. The campus has its own medium voltage grid (15 kV) with 12 distribution  
109 transformers. In particular the building of the Department of Electrical and Computer  
110 Engineering (DEEC) is supplied by one of those distribution transformers. It is important  
111 to note that, at the time of the building construction, no concerns were considered in the  
112 transformer design. Therefore, for the specific purpose of this study, the sizing of the  
113 transformer was conducted in order to ensure that the instantaneous load is never higher  
114 than 1.5 times the rated load, as recommended by the IEC 60076-7 for a normal cycle  
115 loading [30]. Table 1 presents the main characteristics of the considered distribution  
116 transformer (DT). Regarding other energy carriers influencing the building's energy  
117 demand, an oil boiler heating system is used to satisfy the existing heating needs.

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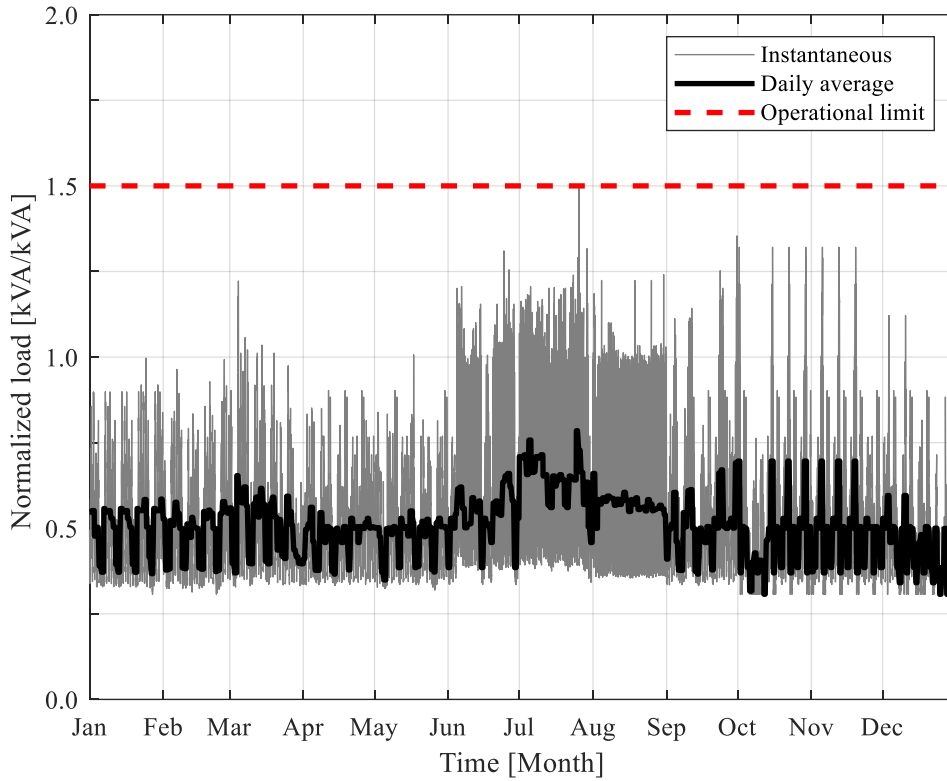
119 *Table 1 – Parameters of the Department of Electrical and Computer Engineering's*  
120 *distribution transformer.*

<b>Rated Power</b>	<b>Transform Relation</b>	<b>Insulation</b>	<b>Cooling</b>
124 kVA	15 kV - 0,4/0,23 kV	Oil immersed	ONAN

121

122 Figure 1 presents the electricity demand profile of the building (with 1-min resolution)  
123 for the entire year of 2013, where the dashed red line represents the DT's limit load for a  
124 normal operation. This figure also presents the daily average load (black line), which  
125 exhibits higher values during summer due to the operation of the HVAC system used to  
126 satisfy the cooling demand. Throughout this paper all figures presenting values related to

127 the building's electricity demand and/or generation are normalized to DT's rated load (i.e.  
 128 124 kVA).  
 129



130  
 131 *Figure 1 – Instantaneous and daily average building's load profile, normalized to DT's*  
 132 *rated load.*  
 133

134 **2.2 – Electricity generation profile**

135 To convert the DEEC building to nZEB, a PV system is considered for installation to  
 136 generate on-site energy. The annual electricity consumption  $E_C$  is taken into consideration  
 137 to size the rated power of this PV system so that the building generates as much on-site  
 138 electricity,  $E_G$ , as the building consumes over a 1-year period ( $E_C$ ).  $E_G$  depends on the  
 139 number of PV modules ( $N$ ) and on the annual generation of each module ( $E_M$ ), as  
 140 described by Equation 1, assuming that all modules perform equally. Therefore, in this  
 141 case, the number of PV modules necessary to convert the building to nZEB is given by  
 142 Equation 2.

143  $E_G = N \times E_M$  (1)

144  $N = \frac{E_C}{E_M}$  (2)

145  $P_G(n) = N \times P_M(n)$  (3)

146

147 For a single PV module, the power output is described in Equation 4 [31], where  $A$  is the  
 148 total area of a PV module,  $G(n)$  is the instantaneous global solar irradiance at the  
 149 considered local,  $\eta_c(n)$  is the instantaneous PV module's efficiency, given by Equation  
 150 5, and  $\eta_i$  is the efficiency of the converting power electronics equipment (e.g. DC/AC  
 151 inverter).

152

153  $P_M(n) = A \times G(n) \times \eta_c(n) \times \eta_i$  (4)

154  $\eta_c(n) = \eta_{STC} \times \left\{ 1 + \mu \times \left[ \theta_a(n) - T_{c,STC} + G(n) \times \left( \frac{T_{c,NOCT} - \theta_{a,NOCT}}{G_{NOCT}} \right) \times (1 - \eta_{STC}) \right] \right\}$

155 (5)

156

157 In Equation 5,  $\eta_{STC}$  is the PV module's efficiency at Standard Test Conditions (STC),  $\mu$   
 158 is the temperature coefficient,  $\theta_a(n)$  is the ambient temperature,  $T_{c,STC}$  is the cell  
 159 temperature at STC,  $T_{c,NOCT}$  is the cell temperature at NOCT,  $\theta_{a,NOCT}$  is the ambient  
 160 temperature at Nominal Operating Cell Temperature (NOCT) and  $G_{NOCT}$  is the global  
 161 irradiance at NOCT. The PV system related parameters used in this study are presented  
 162 in Table 2, while the values of  $\theta_a(n)$  and  $G(n)$  are showed in Figure 2. The ambient  
 163 temperature and the global irradiance for the horizontal plan were collected with 1-min  
 164 resolution by a weather station located at the DEEC building. While the ambient  
 165 temperature values were directly used in this study, the global irradiance values were  
 166 transferred to a 33° tilt plan before being used. This is the angle that maximizes the PV

167 annual energy generation of a single module in the location of the considered building,  
168 being the respective transfer performed according to the geometric factor described in  
169 [32].

170

171

*Table 2 – Parameters of the PV module used to size the PV system.*

<b>Parameter</b>	<b>Value</b>	<b>Unit</b>
A	1	m <sup>2</sup>
$\eta_i$	0.9	-
$\eta_{STC}$	0.15	-
$\mu$	-0.0045	°C <sup>-1</sup>
$T_{c,STC}$	25	°C
$T_{c,NOCT}$	47	°C
$\theta_{a,NOCT}$	20	°C
$G_{NOCT}$	800	W.m <sup>-2</sup>

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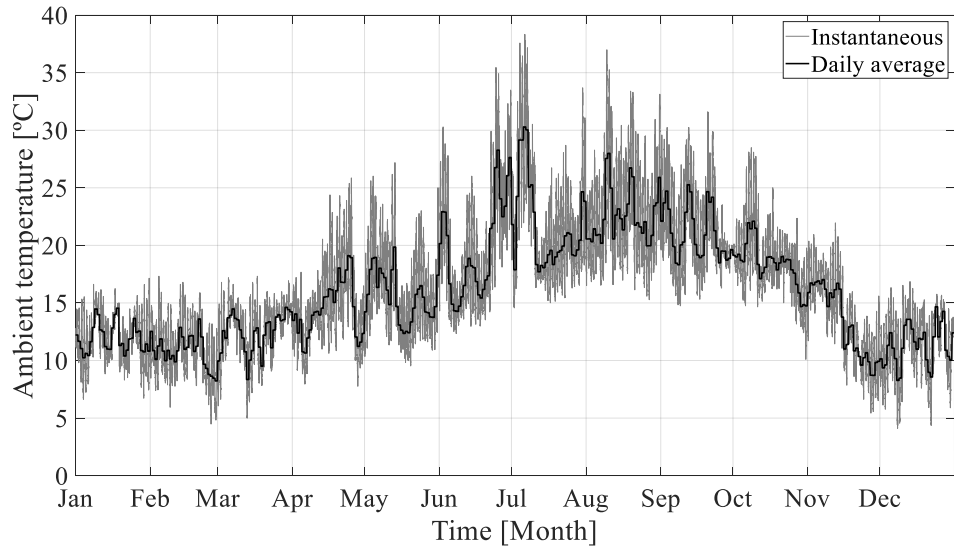
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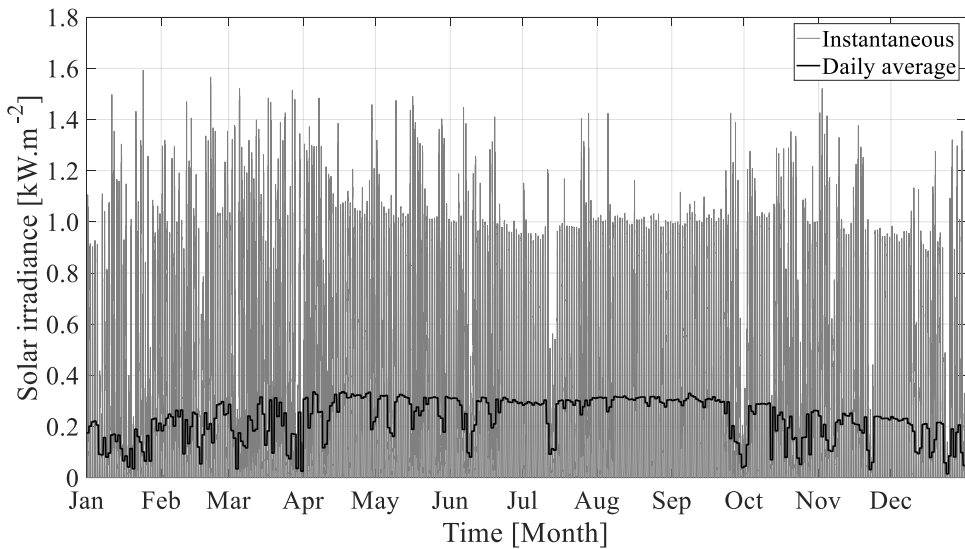
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(a)



(b)

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189 *Figure 2 – Weather data used in this study (1-min resolution): (a) Ambient temperature.*  
190 *(b) Global solar irradiance at 33° tilt plan.*

191

### 192 **2.3 – Transformer Anti-Aging Protection System**

193 In order to avoid transformer’s excessive aging due to the reverse power flows originated  
194 by the introduction of on-site PV generation, the Transformer Anti-Aging Protection  
195 System (TAAPS) is design to use (i) a BESS, (ii) a combination of a BESS and generation  
196 curtailment or (iii) only generation curtailment. Therefore, the TAAPS main objective is  
197 to define, at each time-step  $n$ , the needed power for the charging and discharging cycles

198 of the BESS ( $B$ ) and/or the level of power curtailment of the PV matrix ( $Cm$ ) as a function  
199 of the transformer load and its associated insulation oil temperature. Since both the oil  
200 and winding temperature are not equally distributed, IEC 60076-7 [30] defines two  
201 critical temperatures that affect the transformer's operating conditions: the top-oil  
202 temperature  $\theta_o$  and the hotspot temperature  $\theta_h$ . The top-oil temperature is defined as the  
203 hottest point of the oil in the transformer's tank while the hotspot temperature refers to  
204 the hottest point of the insulating oil inside the transformer's windings, which, by default,  
205 is higher than the top-oil temperature to a considered load due to current flowing on the  
206 windings. The hotspot temperature is obtained using the model described in the IEC  
207 60076-7 and used to compute the transformer aging [30]. To achieve this objective,  
208 TAAPS operation is based on three main modules (presented in Figure 3): i) Data  
209 Acquisition Module; ii) Control Module; and iii) Interface Module.

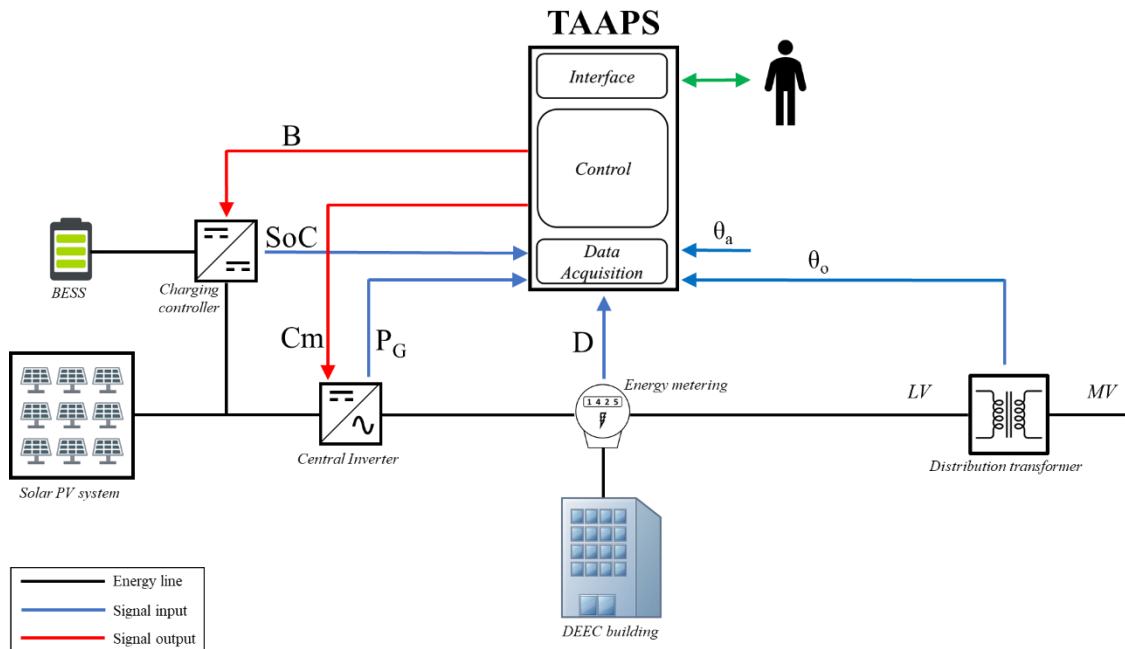
210 The **Data Acquisition Module** aims to collect, at each time-step, all the variables needed  
211 for a proper system operation. These variables are the ambient temperature ( $\theta_a$ ), the top-  
212 oil temperature of the transformer ( $\theta_o$ ), in case the transformer provides this information,  
213 the building's electricity demand ( $D$ ), the power generated by the PV matrix ( $P_G$ ) and the  
214 BESS state-of-charge ( $SoC$ ). The **Control Module** is responsible for computing the  
215 maximum admitted load for the transformer to not exceed its hotspot temperature ( $\theta_h$ )  
216 operational limit of  $140^\circ\text{C}$ <sup>1</sup> while ensuring that this load is lower than 1.5 times the  
217 transformer's rated load. Then, taking into consideration the current load and this  
218 maximum load value, the Control module computes the value of  $B$ ,  $Cm$  and the resulting

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<sup>1</sup> According to [30], if the hotspot temperature exceeds  $140^\circ\text{C}$  gas bubbles may occur in the insulation oil which can compromise the dielectric strength of the transformer.

219  $\theta_h$  during the considered time-step. The **Interface Module** displays all the collected and  
 220 calculated variables for the system user.

221



222  
 223 *Figure 3 – Schematic of the TAAPS.*

224

225 Given the importance of the Control Module for the TAAPS operation, the next sections  
 226 provide a detail description of the considered models and algorithms. Namely, Section  
 227 2.3.1 describes the thermal behavior model of the transformer, Section 2.3.2 explains the  
 228 aging process of the transformer and Section 2.3.3 shows the algorithms used to prevent  
 229 the transformer from excessive aging.

230

### 231 **2.3.1 – Transformer thermal behavior**

232 According to IEC 60076-7 [30], the hotspot temperature can be mathematically  
 233 described, for varying load and ambient temperature, by a series of differential equations  
 234 related to heat transfer processes. However, in order to make it applicable for online  
 235 monitoring, these differential equations can be transformed into difference equations in

236 which the outputs of the  $(n - 1)$  timestep are inputs for the  $n$  timestep. Figure 4 presents  
 237 the block diagram of the thermal process described by these difference equations. The  
 238 input variables are the instantaneous load applied to the transformer terminals normalized  
 239 to the rated power, usually defined as load factor (Equation 6), and the ambient  
 240 temperature ( $\theta_a$ ).

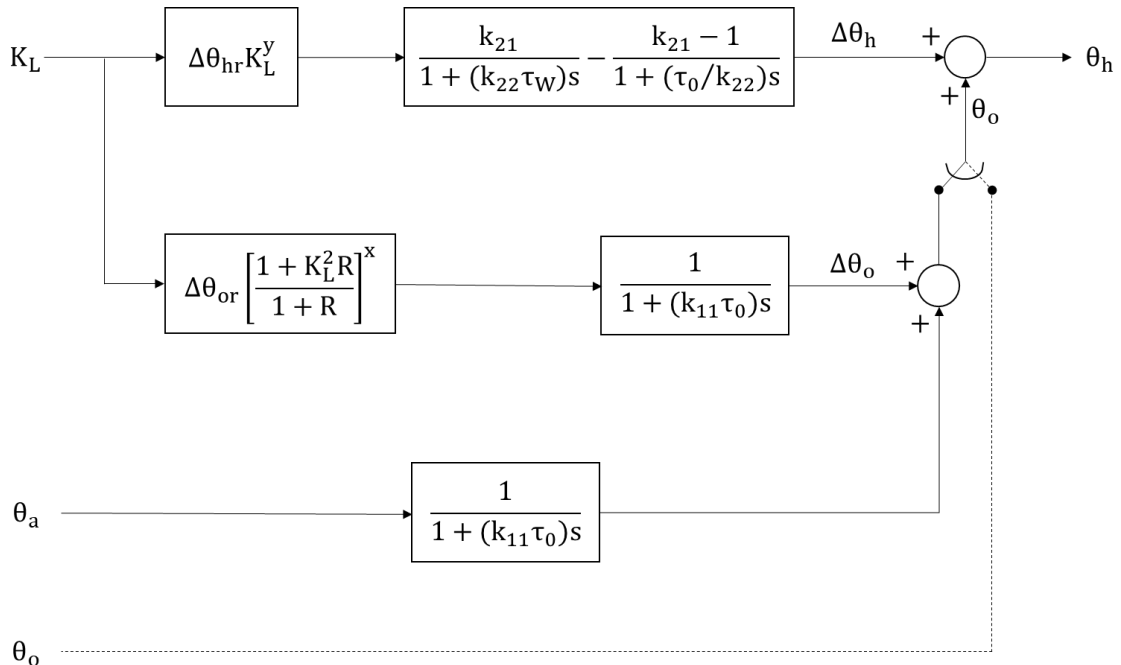
241

$$242 \quad K_L = |(D - P_G)|/L_R \quad (6)$$

243

244 As shown in Figure 4, if the top-oil temperature is measured then the alternative path  
 245 defined by the dashed line can be used and the ambient temperature is no longer a required  
 246 input. Table 3 describes the parameters and associated values used in this study, which  
 247 were obtained from IEC 60076-7 [30] and refer to the DEEC distribution transformer.

248



249

250 *Figure 4 – Differential equations' blocks diagram of the transformer's thermal behavior*  
 251 *[30].*

252

253

254 *Table 3 – Description and values of the transformer’s thermal model parameters [30].*

Constant	Description	Value
$\Delta\theta_{hr}$	Hot-spot-to-top-oil (in tank) gradient at rated current	35 K
$\Delta\theta_{or}$	Top-oil (in tank) temperature rise in steady state at rated losses (no-load losses + load losses)	45 K
$k_{11}$	Thermal model constant	1
$k_{21}$	Thermal model constant	1
$k_{22}$	Thermal model constant	2
$L_R$	Transformer rated power	124 kVA
$R$	Ratio of load losses at rated current to no-load losses	8
$\tau_o$	Average oil time constant	180 min
$\tau_w$	Winding time constant	4 min
$x$	Exponential power of total losses versus top-oil (in tank) temperature rise (oil exponent)	0,8
$y$	Exponential power of current versus winding temperature rise (winding exponent)	1,6

255

### 256 **2.3.2 – Aging process**

257 According to IEC 60076-7 [30], the aging process of a transformer (due to the  
 258 deterioration of its insulating material) is a time function of temperature, moisture,  
 259 oxygen and acid content of the insulating oil. Since the temperature distribution is not  
 260 uniform in the tank, the part that operates at higher temperature (i.e. hotspot temperature)  
 261 is submitted to greater deterioration and, according to [30], define the transformer relative  
 262 aging rate (*RAR*), as described by Equation 7. Thereby, the equivalent Loss of Life (*LOL*)  
 263 over a period defined by  $n_1$  and  $n_2$  is given by Equation 8. The term “equivalent” is used  
 264 because *RAR* is computed against a reference scenario where the transformer has unitary  
 265 aging when the  $\theta_h = 110$  °C whose results were experimentally obtained [30]. Therefore,  
 266 hotspot temperatures higher/lower than 110 °C originate *RAR* values higher/lower than  
 267 one.

268

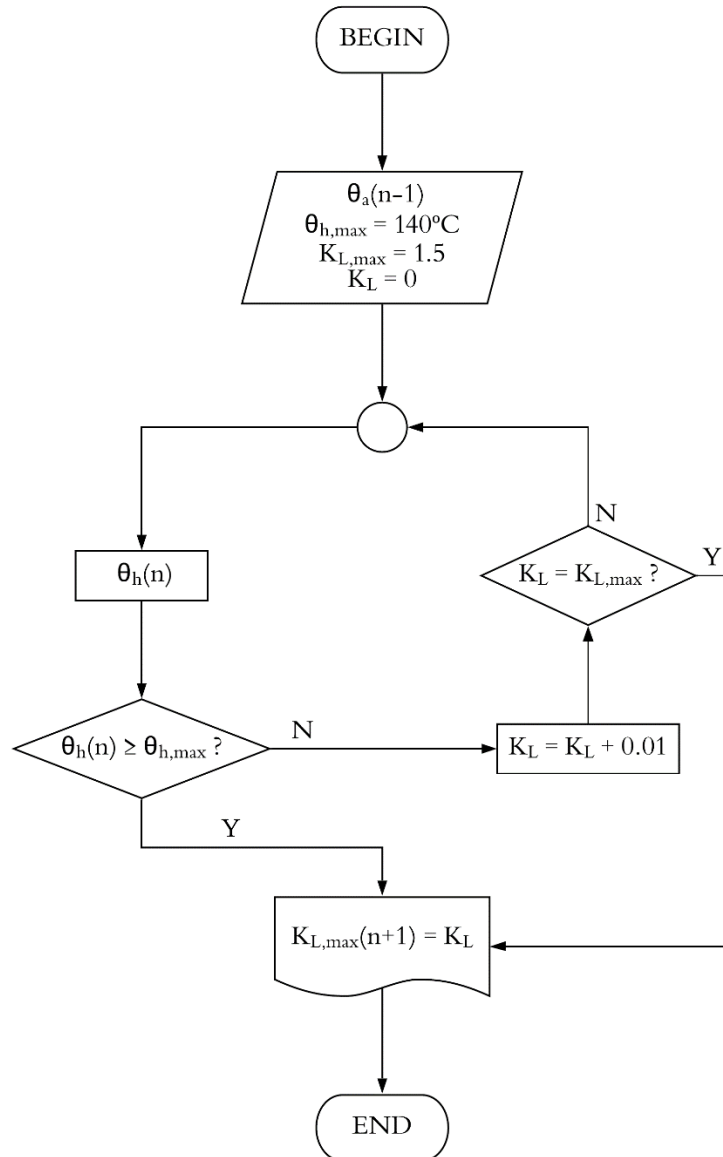
$$269 \quad RAR(n) = e^{\left(\frac{15.000}{110+273} - \frac{15.000}{\theta_h(n)+273}\right)} \quad (7)$$

$$270 \quad LOL = \sum_{n_1}^{n_2} RAR(n) \quad (8)$$

271

### 272 **2.3.3 – TAAPS control algorithms**

273 To limit the power at the transformer terminals within the limits defined in terms of  
274 hotspot temperature and load, the Control Module uses two main algorithms. The first  
275 one is used to compute, at a specific time-step, the maximum power that can be applied  
276 at the transformer terminals during the next time-step in order to respect the load and  
277 hotspot temperature limits. In this case, the Control Module assumes that the ambient  
278 temperature does not change significantly between two time-steps and uses the thermal  
279 model shown in Figure 4 backwards, following an iterative approach, to find the  $K_L$  for  
280 the next time-step that originates a hotspot temperature of 140 °C (i.e. the maximum  
281 allowed load) while being lower than 1.5 times the transformer's rated load. The  
282 flowchart that describes this algorithm is shown in Figure 5, referring the required input  
283 variables (i.e. maximum hotspot temperature ( $\theta_{h,max}$ ), maximum load ( $K_{L,max}$ ) and  
284 ambient temperature associated to the current time-step) and the desired output variable  
285 (i.e. the maximum load factor admitted in the transformer for the next time-step ( $K_{L,max}$ )).  
286



287  
288  
289

Figure 5 – Flowchart for the TAAPS maximum load prediction algorithm.

290 After computing the maximum load admitted for next time-step, the second algorithm  
291 defines the BESS charging ( $B$ ) and/or the generation curtailment ( $C_m$ ) in case of  
292 transformer overloading. In this case, the Control Modules can consider three different  
293 scenarios: i) the BESS is capable of storing all the energy needed to reduce the load to  
294 the maximum allowed value; ii) the BESS is not able to store all the energy and the  
295 remaining load reduction is ensured by the curtailment mechanism; and iii) there is no  
296 BESS and all the excessive load is mitigated by curtailment. Additionally, this algorithm

297 also establishes the BESS discharging when the building's electricity demand is higher  
 298 than its generation. The flowchart that describes this second algorithm is shown in Figure  
 299 6. In this case the input variables are the maximum load admitted, ( $K_{L,max}$ ), which is  
 300 calculated at the previous timestep by the first algorithm, and the state of charge of the  
 301 BESS ( $SoC$ ), also measured at the previous timestep by the Data Acquisition Module.  
 302 The remaining variables of interest for this second algorithm are described in Table 4.

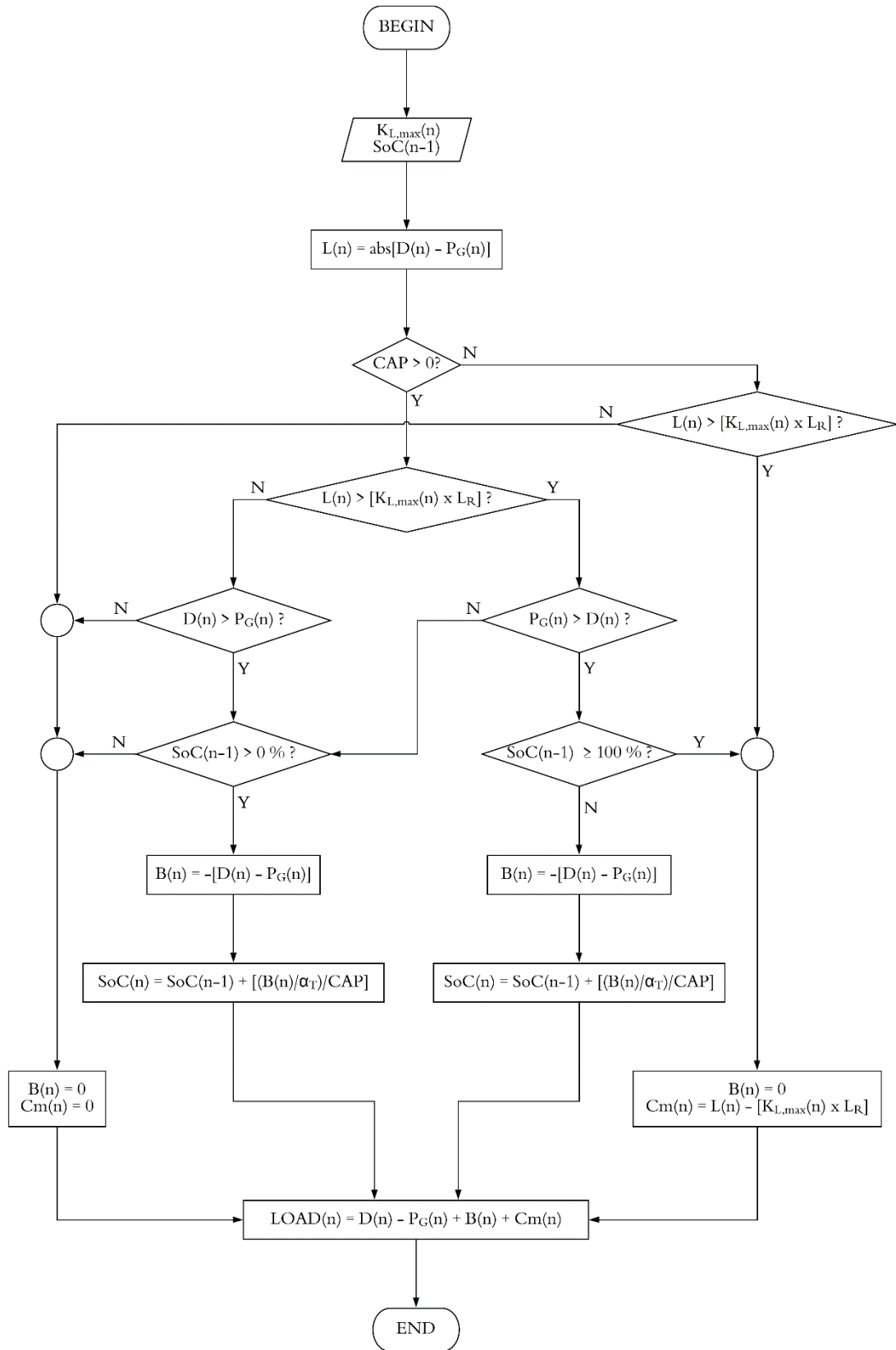
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304 *Table 4 – Interest variables and respective units for the TAAPS protection algorithm.*

Variable	Unit	Description
L	kW	Load at the transformer's output before the TAAPS operation, which is given by the difference between the building's demand and generation.
D	kW	Building's demand (see Figure 1).
P <sub>G</sub>	kW	Building's generation (see Section 2.2)
CAP	kWh	Energy storage capacity of the BESS. If no BESS is used CAP is zero and TAAPS relies only on the curtailment mechanism to limit the transformer's load.
L <sub>R</sub>	kW	Transformer's rated load (124 kVA in this study).
B	kW	Power at the BESS output.
$\alpha_T$	W/kWh	Constant used to compute the energy storage at a specific time-step given the respective BESS power. In this study, $\alpha_T = 60000$ W/kWh since 1-min resolution data is used.
C <sub>m</sub>	KW	Generation curtailment.
LOAD	KW	Load at the transformer's out after the TAAPS operation, which ensures $\theta_h < 140$ °C and transformer's load lower than its rated value.

305





306

307 *Figure 6 – Flowchart for the TAAPS protection algorithm.*

308

### 309 **3 – Results and analysis**

310 To collect the results needed to assess the aging process of the transformer under analysis,  
311 throughout a year, three distinct scenarios are considered. The first scenario refers to the  
312 operation of the DEEC building before its conversion to nZEB, being the transformer's  
313 load always lower than 1.5 times the rated load. In the second scenario it is assumed that  
314 the building is converted to nZEB using a PV system large enough to compensate the  
315 annual electricity demand of the building. The last scenario regards the operation of the  
316 building with the proposed Transformer Anti-Aging Protection System, using energy  
317 storage and/or generation curtailment.

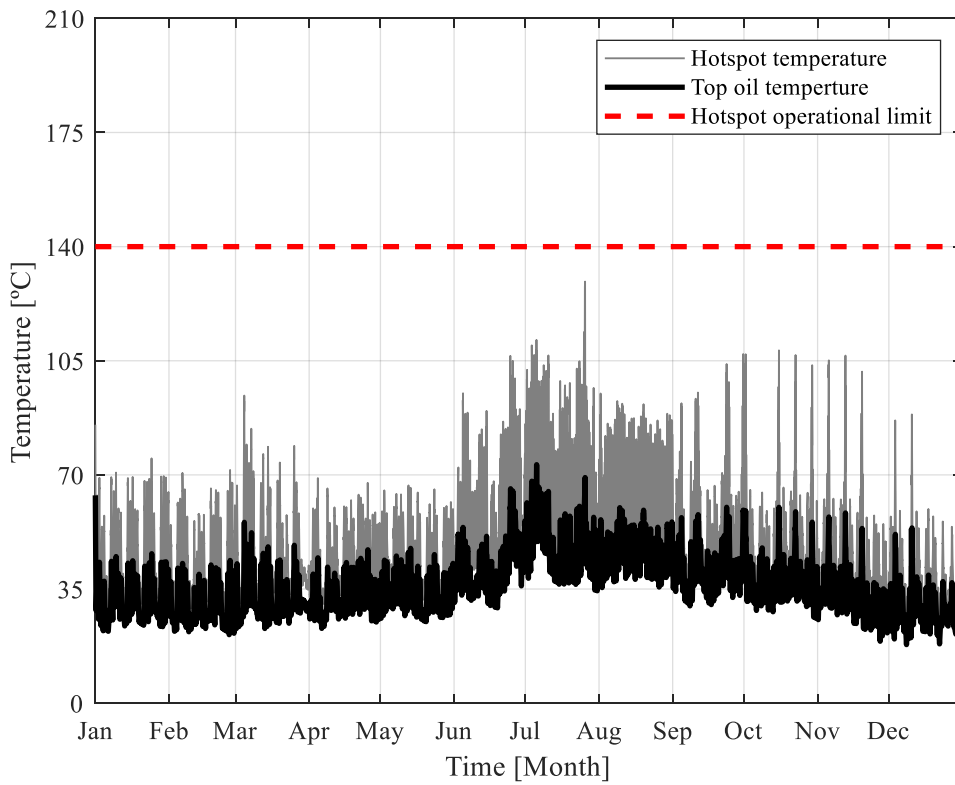
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#### 319 **3.1 – Original DEEC building**

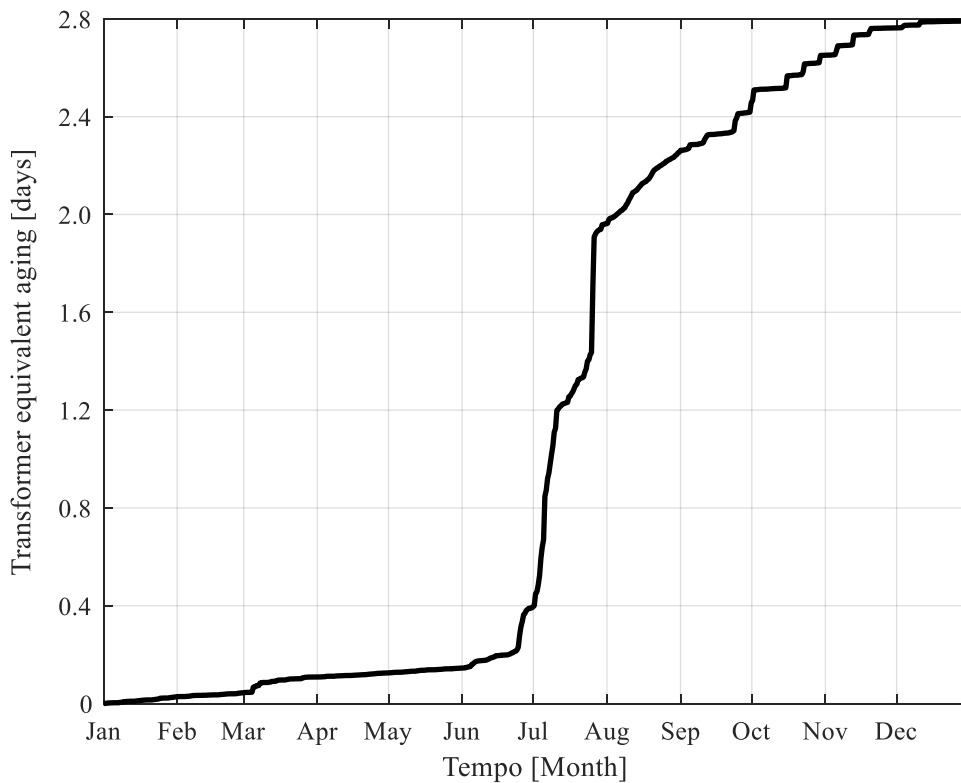
320 In this scenario, the energy flowing through the transformer is only due to the demand of  
321 the building's electrical equipment, being the respective load profile shown in Figure 1.  
322 Based on the transformer thermal model, the top oil and hotspot temperature profiles are  
323 presented in Figure 7, while the equivalent aging calculated for the same period of  
324 analysis (1-year period) is shown in Figure 8.

325 In this case, over the 1-year period, the transformer suffers an equivalent aging of only 3  
326 days. This reduced value results from the fact that the transformer was oversized for most  
327 of the analyzed year in order to respect the assumption followed to define the normal  
328 operation (i.e. transformer's load always lower than 1.5 the rated load). Therefore, most  
329 of the aging occurs during the summer, mainly due to the chiller's operation and higher  
330 ambient temperature, but still with a relatively low value. During the second part of the  
331 year Figure 8 presents higher equivalent aging due to the influence of the increased  
332 ambient temperature values that influence the hotspot temperature and the operation of  
333 the chiller. It is important to note that according to the conducted transformer sizing the

334 hotspot temperature never exceeds the value of 140°C and that, as presented in Figure 1  
335 (see Section 2.1), the transformer’s load is always lower than 1.5 times its rating load.  
336 The transformer would have achieved an equivalent aging of 365 days if the hotspot  
337 temperature was kept equal to 110 °C during the entire year.



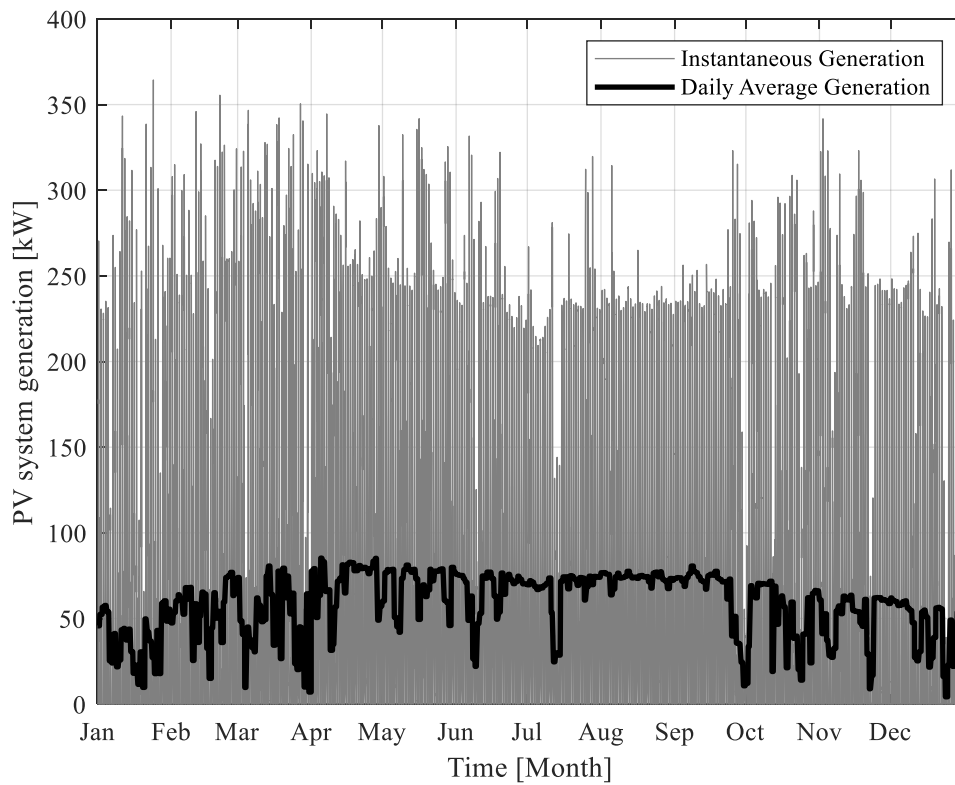
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339 *Figure 7 – Transformer temperature profiles without PV generation.*  
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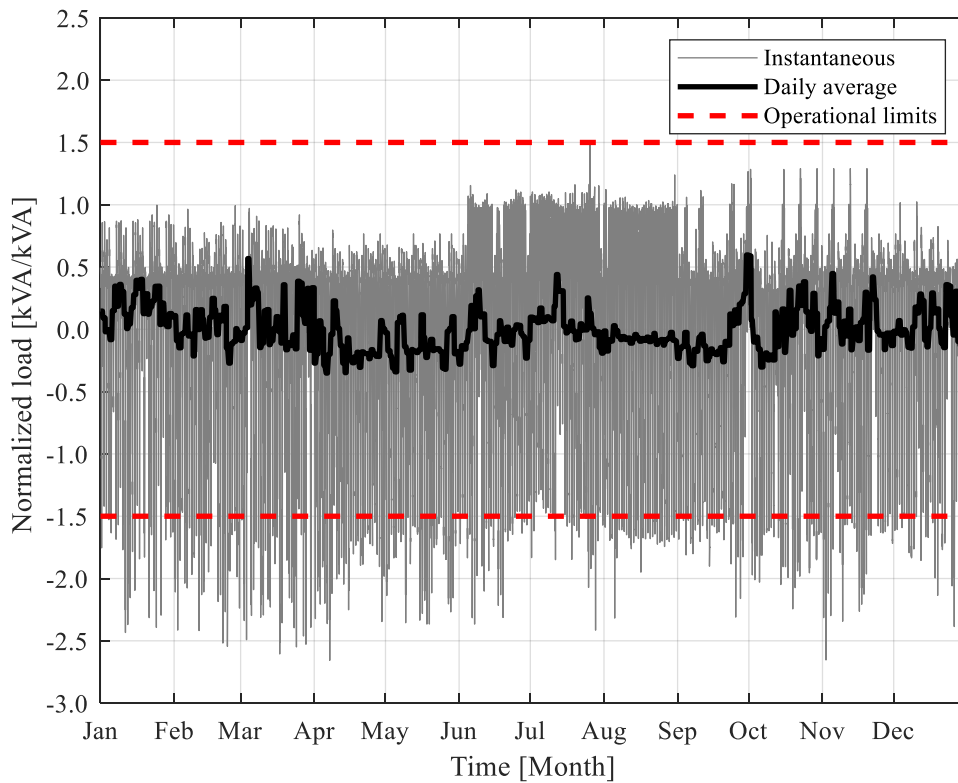
341  
 342 *Figure 8 – Transformer equivalent aging without PV generation.*  
 343

344 **3.2 – DEEC building converted to nZEB**

345 Due to the generation of the PV system, the conversion of the DEEC building to nZEB  
 346 has a considerable impact on the load profile to which the transformer is subject. Figure  
 347 9 presents this generation for the analyzed year, where a maximum generation peak of  
 348 364 kW is observed. Figure 10 shows the resulting load profile imposed at the  
 349 transformer. Since the nZEB relies on the solar resource to generate energy on-site,  
 350 reverse power flows with a magnitude much higher than the original demand peaks are  
 351 registered. As a result, the transformer no longer operates within the limits defined for its  
 352 normal operation and reverse power flows with a magnitude of 2.65 times higher than the  
 353 transformer’s rated load can be found.

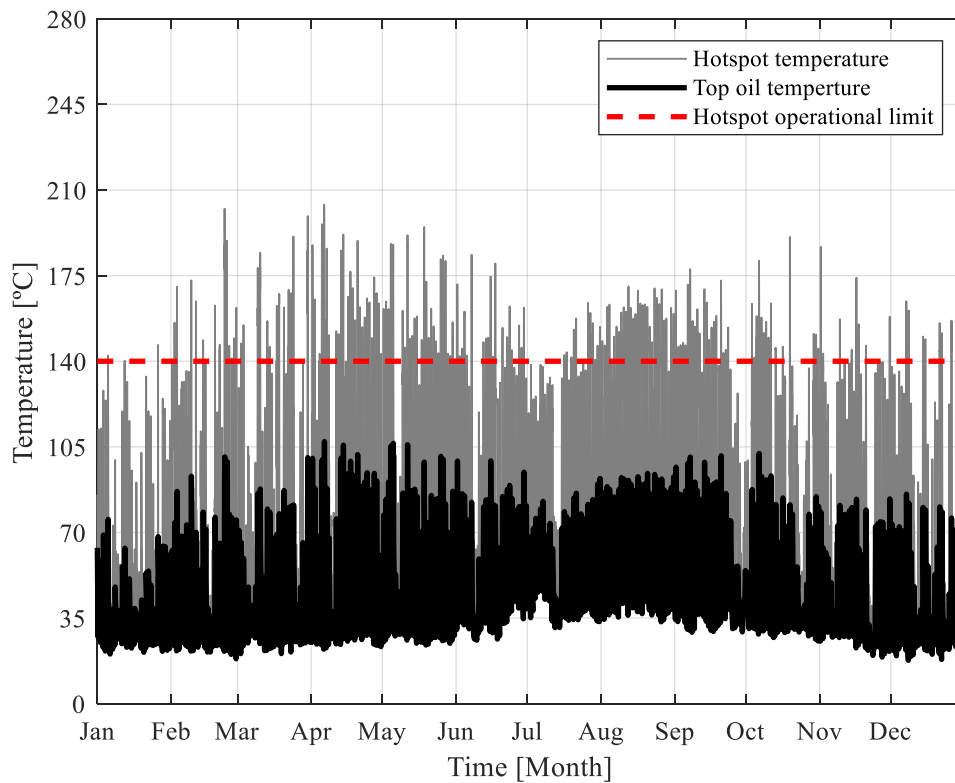


354  
355 *Figure 9 – Generation profile of the PV system*

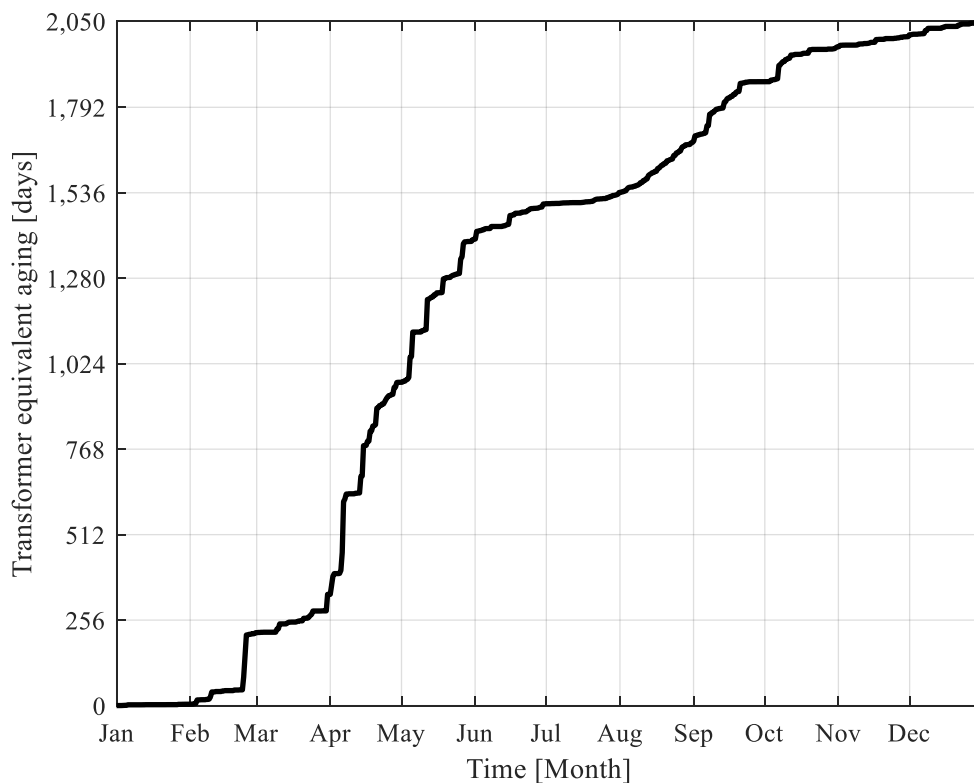


356  
357 *Figure 10 – Instantaneous and daily average load profile of the transformer at the nZEB*  
358 *condition.*

359 Regarding the top oil and hotspot temperatures, which are presented in Figure 11, these  
360 are also deeply impacted by the PV generation and associated reverse power flows,  
361 reaching maximum values of 107 °C and 204 °C, respectively. Such high temperatures  
362 would probably conduct to the transformer failure as it can also be observed by the  
363 equivalent aging for the analyzed period. Figure 12 shows the equivalent aging  
364 throughout the entire year. In this case, the equivalent aging of the transformer is 2050  
365 days, reflecting a hotspot temperature higher than 110 °C during a significant amount  
366 time.



367  
368 *Figure 11 – Transformer temperature profile when the building is converted to nZEB.*  
369



370  
 371 *Figure 12 – Transformer equivalent aging at the nZEB condition.*  
 372

373 **3.3 – nZEB equipped with the Transformer Anti-Aging Protection System**

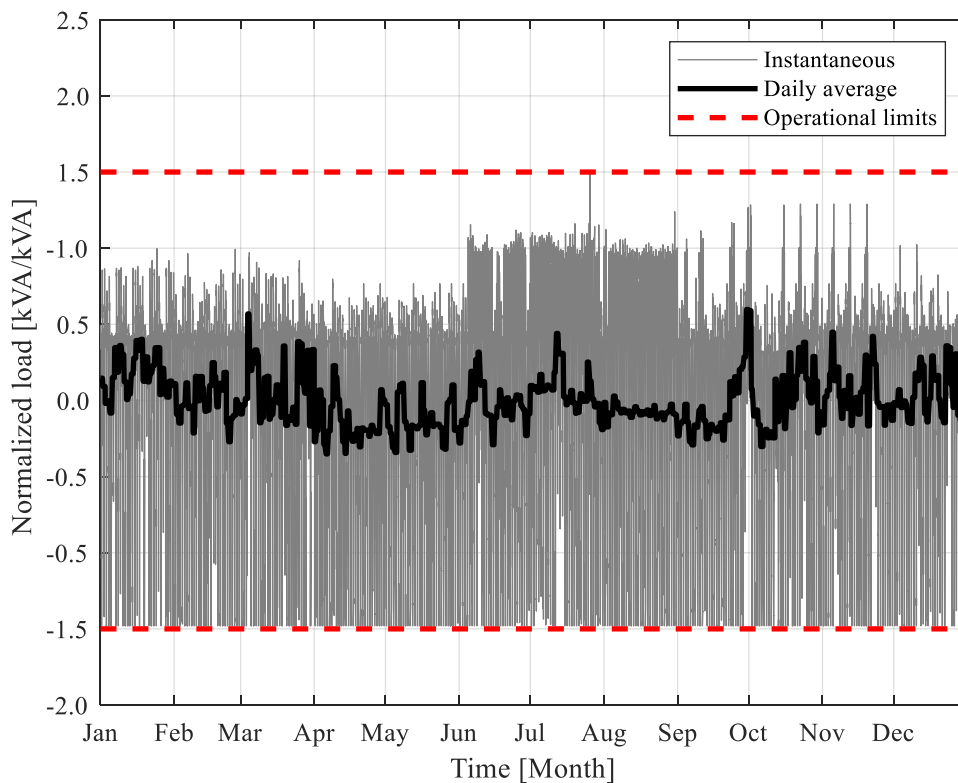
374 To mitigate the negative impacts introduced by the reverse power flows observed after  
 375 the conversion of the original building to nZEB (see Section 3.2), the proposed TAAPS  
 376 is used and the respective results are presented and analyzed in this section. It is assumed  
 377 that TAAPS uses BESS, BESS and curtailment (BESS&CURT) or curtailment only  
 378 (CURT) to reduce the magnitude of reverse power flows when necessary (see Section  
 379 2.3). The simulations carried out show that the BESS mechanism (with no curtailment)  
 380 requires a 250.5 kWh capacity battery bank, with a maximum charging and discharging  
 381 power of 134 kW and 110 kW, respectively, to keep reverse power flows within the limits  
 382 for a normal operation. Since any storage capacity could be selected for the BESS&CURT  
 383 based operation, this study assumes that an arbitrary storage capacity of 135 kWh is used.  
 384 This value was chosen because it is the maximum limit of battery bank units that can be

385 put into operation in an installation, imposed by the chosen manufacturer available in the  
 386 market [33]. For both BESS and BESS&CURT mechanisms it is assumed that a roundtrip  
 387 efficiency of 90 % is offered by each individual battery (e.g. see Tesla Powerwall [33]).  
 388 The collected results show that the equivalent aging of the distribution transformer, over  
 389 the analyzed 1-year period, is similar for three operations (see Table 5). Likewise, the  
 390 transformer's load and temperature profiles are identical, being presented in Figures 13  
 391 and 14 for the TAAPS operation based on BESS.

392

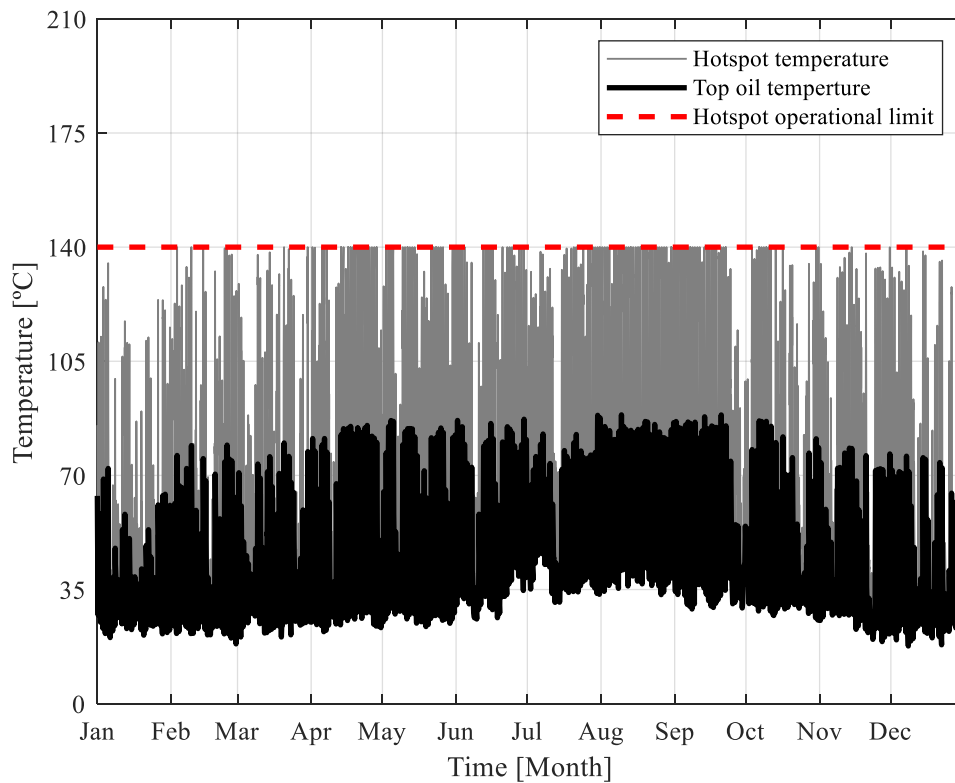
393 *Table 5 – Equivalent aging for each scenario.*

	Protection mechanism	Equivalent aging [Days]
Original	-	2.8
nZEB	-	2050
nZEB w/ TAAPS	BESS	302
	BESS&CURT	302
	CURT	302



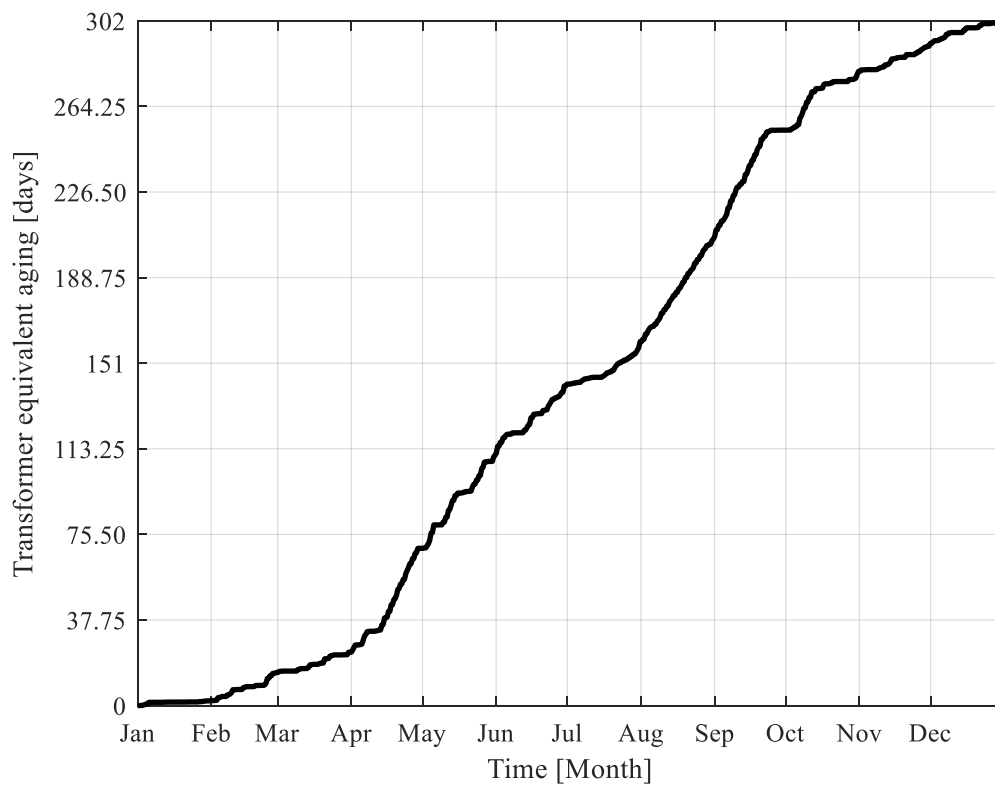
394 *Figure 13 – Instantaneous and daily average load profile of the transformer at the nZEB*  
 395 *condition with the TAAPS.*  
 396  
 397





398  
 399 *Figure 14 – Transformer temperature profiles at the nZEB condition with the TAAPS.*  
 400

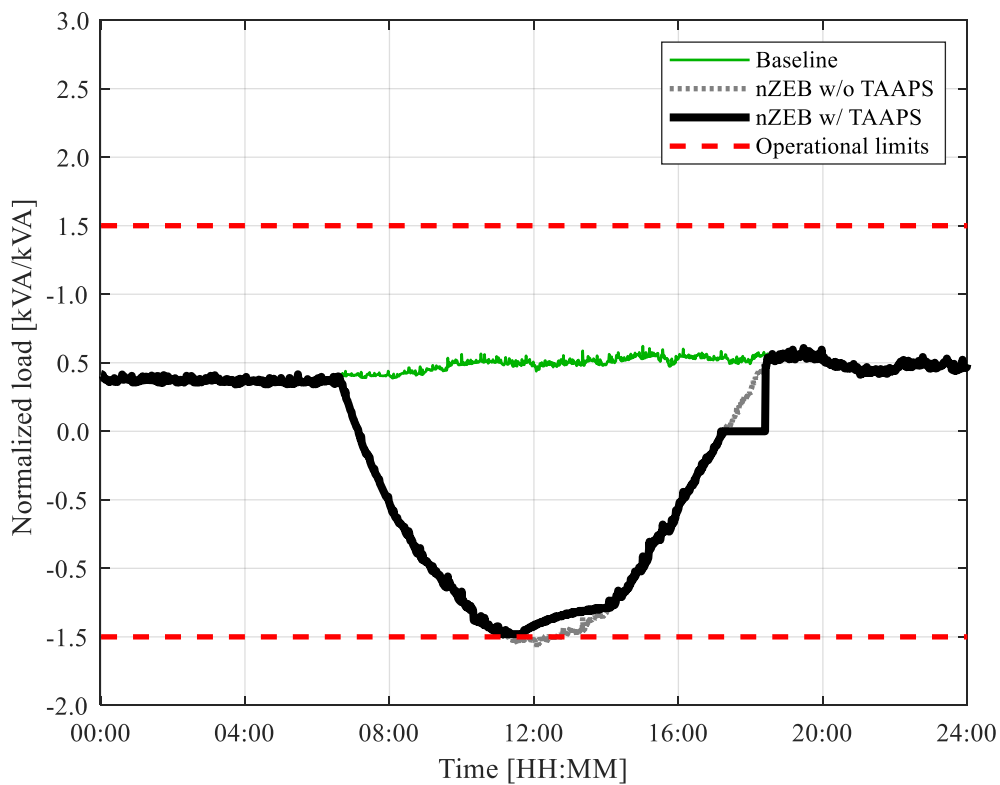
401 With the protection system, the load normal operation limit of 1.5 times the transformer’s  
 402 rated load is always respected, even in situations of generation surplus around noon (the  
 403 instants when the aging process is mostly accelerated in the second scenario). Regarding  
 404 the hotspot temperature, it does not exceed the limit of 140°. The resulting equivalent  
 405 aging throughout the year is shown in Figure 15, where a reduction of 85.2 % is observed  
 406 at the end of the considered 1-year period when compared to the nZEB scenario depicted  
 407 in Figure 11 (from 2050 to 302 days). Therefore, the main objective of limiting  
 408 transformer equivalent aging is achieved by TAAPS when considering BESS,  
 409 BESS&CURT or CURT.



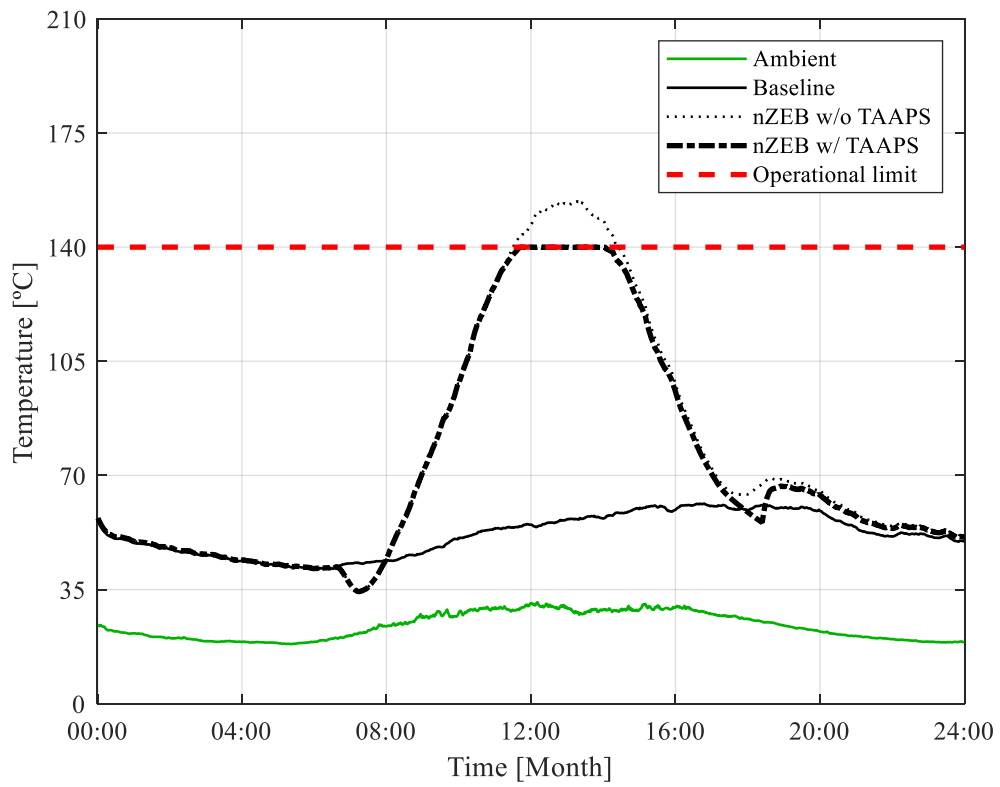
410  
 411 *Figure 15 – Transformer equivalent aging at the nZEB condition with the TAAPS.*  
 412

413 To better understand the TAAPS operation (only BESS) on a daily basis, Figure 16 shows  
 414 the transformer’s load during an arbitrary summer day. During the period with higher PV  
 415 generation, the BESS is charged to avoid reverse power flows with excessive magnitude  
 416 or hotspot temperatures greater than 140 °C, as presented in Figure 17. The stored energy  
 417 (which corresponds to the area between the black and grey lines, around noon) is then  
 418 discharged to supply the building during the evening, when the demand is higher than the  
 419 PV generation. Consequentially, there is no energy flowing through the transformer,  
 420 allowing it to cool down limiting its aging process. The amount of stored energy, defined  
 421 by the chosen operational limits for the admitted load and the hotspot temperature, is  
 422 therefore directly related to the decreasing rate of the aging process. For this illustrative  
 423 day, Figure 18 presents the resulting aging process, where the benefits introduced by the  
 424 TAAPS are evident. On the original scenario, before the conversion of the DEEC building

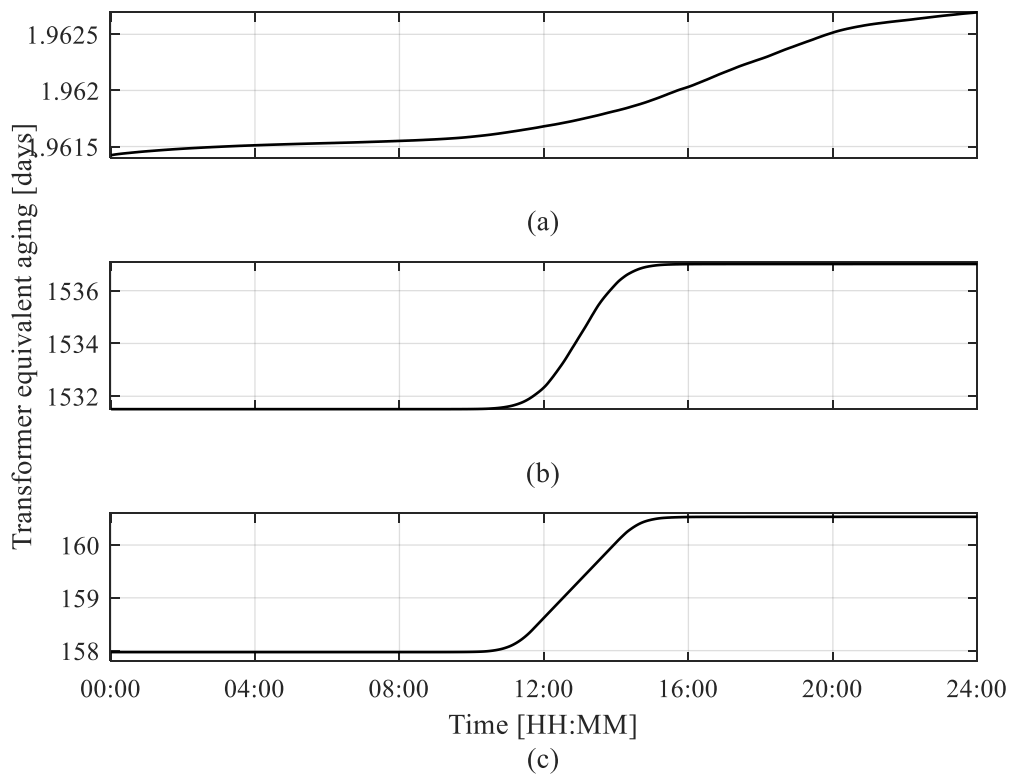
425 to nZEB, the aging of the transformer on this day is equal to only 0.0013 days. After the  
 426 conversion of the building to nZEB, the equivalent aging on this day equals 5.49 days,  
 427 while the TAAPS operation reduces this value to an equivalent aging of 2.56 days.  
 428 Despite the increase from the initial situation, after the installation of the TAAPS the  
 429 aging for this day is decreased by 53.4% when compared to the second scenario, for this  
 430 specific day. However, even with the TAAPS, the equivalent aging is not unitary due to  
 431 the operation at hotspot temperature values higher than 110 °C for a period too large to  
 432 be compensated by the operation at lower temperatures (it is important to note that the  
 433 equivalent aging is exponentially dependent on the hotspot temperature, as described by  
 434 Equation 7).



435  
 436 *Figure 16 – Transformer load, for each scenario, on a 24-h period during a summer day,*  
 437 *where the TAAPS operation is based on BESS.*



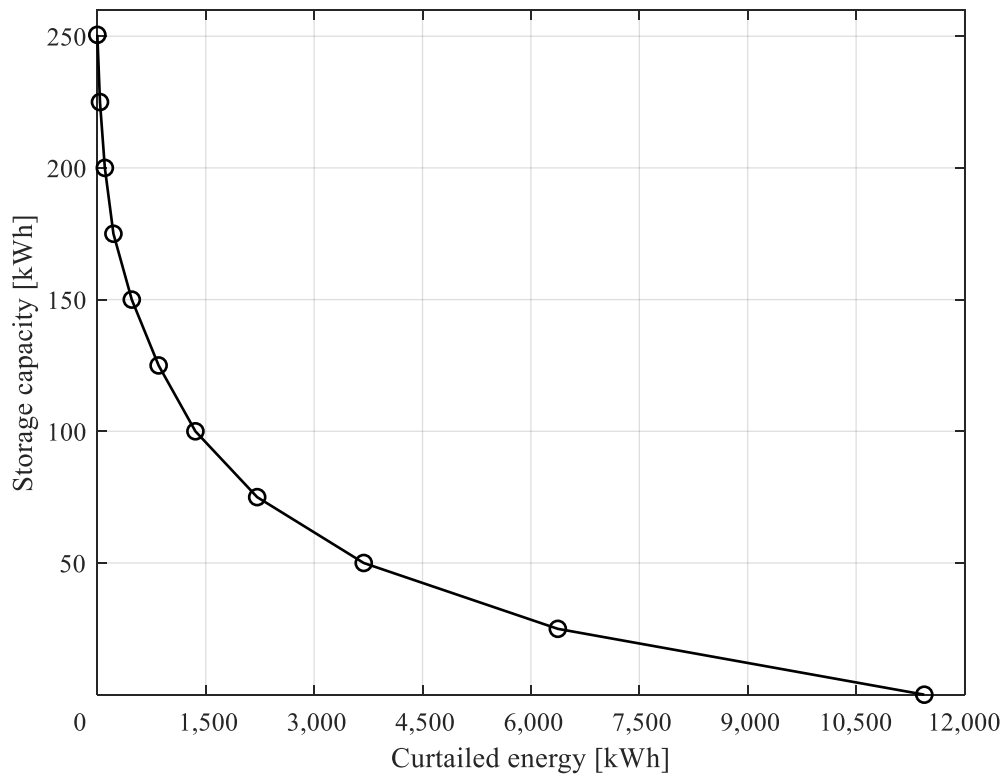
438  
 439 *Figure 17 – Transformer hotspot temperature on a 24-h period during a summer day,*  
 440 *where the TAAPS operation is based on BESS.*  
 441  
 442  
 443



444 *Figure 18 – Transformer aging on a 24-h period during a summer day for each scenario:*  
 445 *(a) before the introducing PV generation; (b) at nZEB condition; (c) at nZEB condition*  
 446 *with the TAAPS operation based on BESS.*  
 447

448

449 While the presented results do not vary significantly with the selected protection  
 450 mechanism (i.e. BESS, BESS&CURT or CURT), it is important to assess the relation  
 451 between the storage capacity and the necessity of curtailment when the BESS&CURT is  
 452 chosen. This relation is presented in Figure 18, considering the annual curtailed energy  
 453 and the battery bank storage capacity for the BESS&CURT mechanism. As the storage  
 454 capacity of the BESS installed decreases, the need for curtailment increases. By using the  
 455 BESS&CURT mechanism, the daily curtailed energy varies every day due to the different  
 456 ambient temperature and the solar irradiation conditions. As a result, for larger BESS the  
 457 full storage capacity is often not used.



458

459 *Figure 19 – Relation between Storage Capacity and needed Curtailment for the*  
 460 *BESS&CURT mechanism.*

461

### 462 **3.4 – Economic analysis**

463 This section provides an economic analysis for all scenarios under the Portuguese context,

464 using the Net Present Value (NPV) computed over 10 years as quantitative metric and

465 2013 as the first year. Therefore, for each year, this analysis considers the cost associated

466 to the electricity import and the revenue resulting from electricity export (this revenue is

467 not considered for the original scenario due to the lack of local generation). Since the

468 collected data only comprises one year, two assumptions were considered. The first one

469 is related with the electricity demand of the building. In this case, it is assumed that the

470 electricity demand profile of the building does not change over the 10-year period. The

471 second one assumes that the PV system generation presents a yearly reduction of 1 %,

472 due to the inherent elements aging.

473 Regarding the import costs, Portuguese market data associated to costumers supplied in  
474 MV from 2013 to 2019 [34] were used for the first seven years. As described in Table 6,  
475 the used data refer to a fixed value to be paid at each month, a value dependent on the  
476 power consumption at peak periods, a cost associated to the contracted power and the  
477 value of the Time-of-Use tariffs, which considers four different values throughout the  
478 day. All these values differ according to four different periods (Period I: from January 1<sup>st</sup>  
479 to March 31; Period II from April 1<sup>st</sup> to June 30; Period III from July 1<sup>st</sup> to September 30;  
480 and Period IV from October 1<sup>st</sup> to December 31). It is important to mention that under the  
481 Portuguese context, electricity import costs are also dependent on the consumed reactive  
482 energy. However, since the data collected comprehends only the active power, reactive  
483 energy related costs were not considered in this study. The data associated to the last three  
484 years of this economic analysis have been estimated from the available data, using a linear  
485 regression methodology.

486  
487

*Table 6 – Importation tariffs values from 2013 to 2019 [34].*

Tariff type		2013	2014	2015	2016	2017	2018	2019
Fixed [€/month]		47.20	45.19	46.28	47.33	47.84	47.81	46.07
Peak hours [€/kW.month]		9.289	9.959	9.92	10.157	10.280	10.266	10.087
Contracted power [€/kW.month]		1.448	1.468	1.516	1.552	1.570	1.568	1.544
Period I and IV	Peak [€/kWh.month]	0.1252	0.1287	0.1335	0.1368	0.1384	0.1382	0.1382
	Half-peak [€/kWh.month]	0.0969	0.1004	0.1048	0.1074	0.1087	0.1085	0.1101
	Normal off-peak [€/kWh.month]	0.0644	0.0708	0.0739	0.0757	0.0767	0.0765	0.0777
	Super off-peak [€/kWh.month]	0.0586	0.0604	0.0631	0.0646	0.0654	0.0656	0.0666
Period II and III	Peak [€/kWh.month]	0.1286	0.1316	0.1364	0.1397	0.1414	0.1412	0.1408
	Half-peak [€/kWh.month]	0.0995	0.1030	0.1070	0.1096	0.1109	0.1107	0.1124
	Normal off-peak [€/kWh.month]	0.0669	0.0735	0.0765	0.0784	0.0793	0.0792	0.0791
	Super off-peak [€/kWh.month]	0.0624	0.0677	0.0703	0.0720	0.0729	0.0728	0.0728

488

489 According to the Portuguese PV self-consumption regime, the revenue resulting from  
490 generation surplus export is given by the monthly average value of the Portuguese  
491 electricity spot market multiplied by a constant equal to 0.9. Table 7 presents the values

492 of this market from 2013 to 2018. The values associated to the last four years of the  
 493 analysis were obtained using a linear regression for each month.

494

495 *Table 7 - Monthly average value of the Portuguese electricity spot market from 2013 to*  
 496 *2018 [35].*

	2013 [€/MWh]	2014 [€/MWh]	2015 [€/MWh]	2016 [€/MWh]	2017 [€/MWh]	2018 [€/MWh]
<b>Jan</b>	48.53	31.47	51.82	36.39	71.52	51.63
<b>Feb</b>	43.74	15.39	42.47	27.35	51.39	54.98
<b>Mar</b>	41.70	26.20	43.22	27.70	43.95	39.75
<b>Apr</b>	16.08	26.36	45.49	23.50	44.18	42.66
<b>May</b>	43.25	42.47	45.18	24.93	47.12	55.08
<b>Jun</b>	41.70	51.19	54.74	38.28	50.22	58.48
<b>Jul</b>	51.40	48.27	59.61	40.36	48.60	61.84
<b>Ago</b>	48.12	49.91	55.59	41.14	47.43	64.29
<b>Sep</b>	50.68	58.91	51.92	43.61	49.16	71.30
<b>Oct</b>	51.19	55.39	49.89	52.78	56.97	65.38
<b>Nov</b>	42.10	46.96	51.46	56.25	59.36	62.01
<b>Dec</b>	62.99	47.69	52.92	60.27	59.49	61.87

497

498 Regarding the TAAPS initial investment, it is considered that BESS, BESS&CURT and  
 499 CURT based operations incur on the same software and hardware related costs except  
 500 from the required battery energy storage systems, whose acquisition costs should be  
 501 considered. A specific cost per unit of stored energy of 531.56 €/kWh was used in this  
 502 study, which is aligned with available market data [33] and supported by literature [36–  
 503 39].

504 Table 8 summarizes the NPV for each scenario, where negative values are registered due  
 505 to higher electricity import costs, even when the DEEC building is converted to nZEB.  
 506 To assess the profitability of installing TAAPS on the existing nZEB, the difference  
 507 between the NPVs can be used. The collected results show that TAAPS should be  
 508 installed to prevent transformer replacement if the transformer to be installed and  
 509 respective installation works are cheaper than €125182, €64346 and €4216 for the  
 510 TAAPS operation based on BESS, BESS&CURT and CURT, respectively. Therefore,  
 511 considering that a 200 kVA transformer is needed for a normal operation when the DEEC  
 512 building is converted to nZEB (see Figure 10), this transformer replacement would have



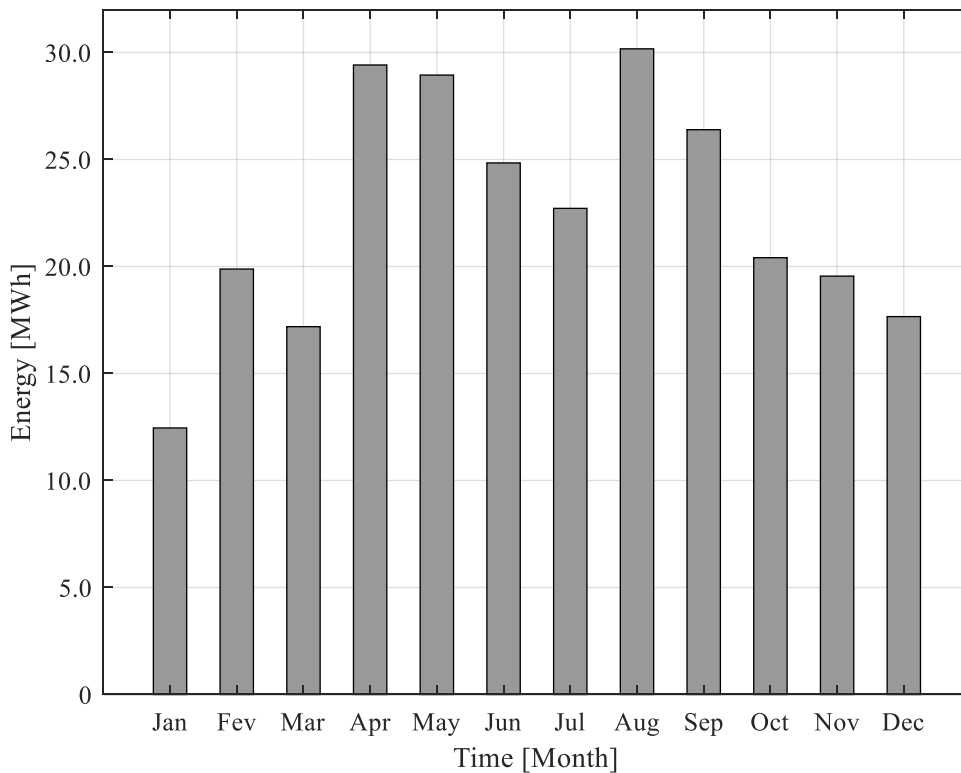
513 to cost less than €4216 to make the TAAPS operation based only on curtailment not  
 514 profitable.

515 *Table 8 – Net present value (10 years) for each scenario.*

Scenario	Protection mechanism	NPV [€]
Original	-	- 452564
nZEB	-	- 157815
nZEB with TAAPS	BESS	- 282997
	BESS&CURT	- 222161
	CURT	- 162031

516

517 Due to the protection algorithm, which only considers the technical aspects of the thermal  
 518 limits of the transformer, the amount of exported energy does not differ among the BESS,  
 519 BESS&CURT and CURT based operations. Figure 20 shows the monthly average  
 520 exported energy for the 10 years period when considering the TAAPS operation based on  
 521 BESS. In June and July, due to higher ambient temperatures and higher electrical energy  
 522 needs to satisfy thermal comfort needs, there is a lower PV generation surplus.



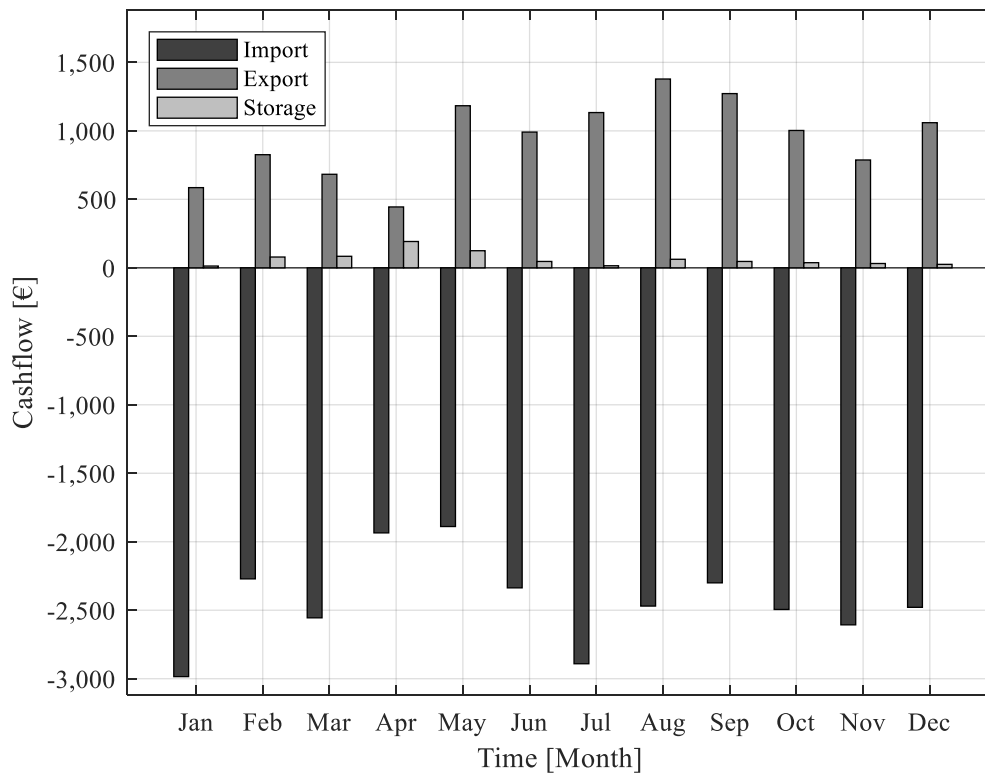
523  
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 525

*Figure 20 – Monthly average exported energy for the 10-years TAAPS operation based on BESS.*

526 Still considering the TAAPS operation, throughout the operation years, the savings from  
527 the stored energy progressively decreases as the generation capacity decreases. On the  
528 same period, the loss of revenue increases when higher amounts of energy are curtailed,  
529 which occurs by the lower BESS capacity to store electrical energy. The curtailed energy,  
530 by the very definition of curtailment, is not used by the building users, stored or exported  
531 to the distribution grid. With these factors taken into consideration, the NPV associated  
532 to the TAAPS operation was calculated without considering the replacement of the  
533 original transformer, which depends on the specific context where the building is located  
534 and therefore no costs were assumed here. For these calculations, it was considered that  
535 all TAAPS investments were made before the operation of the system and all the revenues  
536 and costs due to the operation postponed to the final of the period (one year), considering  
537 an annual discount rate of 6 %.

538 Despite the generated energy waste by using curtailment, strictly from the economical  
539 point of view, the CURT based operation is considered more suitable since it does not  
540 require the large initial investment associated to the acquisition of batteries. This analysis  
541 also shows that, even with the self-consumption and battery energy storage of the BESS  
542 based operation, there is still a need to import a significant amount of electricity from the  
543 grid, due to the unbalance between the peak hours of generation and consumption.  
544 Therefore, even without the initial investment, the BESS based operation would not be  
545 positive in terms of cashflow (see Figure 21). This is due to only using the available  
546 storage capacity to limit generation surplus and not exploring the storage capacity during  
547 the entire year (several days with no battery use were registered) according to the time  
548 varying electricity tariff in order to reduce import costs.

549



550  
551  
552

*Figure 21 – Cashflow for the first year of the BESS based operation.*

#### 553 **4 – Conclusion and Remarks**

554 The study reported in this paper focus the impact introduced by on-site generation on  
555 large non-residential buildings distribution transformer. Additionally, this paper proposes  
556 a novel Transformer Anti-Aging Protection System, able to mitigate excessive aging  
557 using Battery Energy Storage Systems and/or generation curtailment to limit the  
558 magnitude of reserve power flows and provides an economic analysis to clarify the most  
559 suitable solution in the current Portuguese context.

560 The collected results show that the introduction of on-site generation in the analyzed non-  
561 residential building, due to its conversion to nZEB, introduces considerable negative  
562 impacts on transformer aging which could conduct to equipment failure. Regarding the  
563 proposed Transformer Anti-Aging Protection System, the results show that its main  
564 objective of keeping the transformer operation at normal conditions (e.g. transformer's

565 load lower than 1.5 times its rated load) is achieved. Economically, under the Portuguese  
566 context, this study also reveals that the operation of the proposed system, on a financial  
567 point of view, should rely on generation curtailment over energy storage since energy  
568 losses due to curtailment compensate the respective storage for latter self-consumption.  
569 As future work, one can consider the improvement of the storage discharging strategy in  
570 cases where energy storage is used to reduce the magnitude of reverse power flows. For  
571 instance, this strategy could take into consideration the tariffs in order to reduce electricity  
572 import during peak periods or the hotspot temperature associated to energy import so it  
573 could further reduce the resulting transformer aging. The contribution of other sources of  
574 energy flexibility (e.g. electric vehicles charging) should also be considered in the future  
575 as they can be controlled to increase self-consumption and therefore reduce the required  
576 energy storage capacity and/or curtailment losses while ensuring a normal transformer  
577 operation.

578

## 579 **Acknowledgment**

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582

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