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Vascular Cognitive Disorders and Depression After First-Ever Stroke: The Fogarty-Mexico Stroke Cohort

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Key Words

Mexico · Post-stroke depression · Stroke · Vascular cognitive disorders · Vascular cognitive impairment · Vascular dementia

Abstract

Background and Purpose: Stroke is the major cause of vascular behavior and cognitive disorders worldwide. In developing countries, there is a dearth of information regarding the public health magnitude of stroke. The aim of the Fogarty-Mexico cohort was to assess the prevalence of vascular behavioral and cognitive disorders, ranging from mild vascular cognitive impairment (VCI) to vascular dementia (VaD), in a cohort of acute first-ever symptomatic stroke patients in Mexico. Methods: A total of 165 consecutive, firstever stroke patients admitted to the National Institute of Neurology and Neurosurgery in Mexico City, were included in the cohort. Patients were eligible if they had an ischemic stroke, primary intracerebral hemorrhage, or cerebral venous thrombosis (CVT). Stroke diagnosis required the presence of an acute focal deficit lasting more than 24 h, confirmed by a corresponding lesion on CT/MRI. Stroke severity

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E-Mail karger@karger.com www.karger.com/ced was established with the NIH Stroke Scale. The pre-stroke functional status was determined by the IQCODE. Three months after the occurrence of stroke, 110 survivor patients returned for follow-up and were able to undergo functional outcome (modified Rankin scale, Barthel index), along with neurological, psychiatric, neuropsychological, laboratory, and imaging assessments. We compared depression, demographic, and clinical and imaging features between patients with and without dementia, and between patients with VCI and those with intact cognition. Results: Of the 110 patients $(62\% \text{ men}, \text{mean age } 56 \pm 17.8, \text{ education } 7.7 \pm 5.2 \text{ years}) 93$ (84%) had ischemic strokes, 14 (13%) intracerebral hemorrhage, and 3 (3%) CVT. The main risk factors were hypertension (50%), smoking (40%), hypercholesterolemia (29%), hyperhomocysteinemia (24%), and diabetes (22%). Clinical and neuropsychological evaluations demonstrated post-stroke depression in 56%, VCI in 41%, and VaD in 12%; 17% of the latter had pre-stroke functional impairment (IQCODE >3.5). Cognitive deficits included executive function in 69%, verbal memory in 49%, language in 38%, perception in 36%, and attention in 38%. Executive dysfunction occurred in 36% of non-demented subjects, 65% of them with mild-moderate deficits in daily living activities. Female gender ($p \le 0.054$),

Dr. Antonio Arauz Stroke Clinic, National Institute of Neurology and Neurosurgery Insurgentes Sur 3877, Col. La Fama Mexico City, CP 14269 (Mexico) E-Mail antonio.arauz@prodigy.net.mx older age (mean age 65.6 years vs. 49.3, p < 0.001), diabetes ($p \le 0.004$), illiteracy and lower education ($p \le 0.001$), and PSD (p = 0.03) were significantly higher in VCI-VaD compared with cognitively intact post-stroke subjects. We could not demonstrate an association with lesion site and distribution of the cognitive deficits. **Conclusions:** The Fogarty-Mexico cohort recruited relatively young acute stroke patients, compared with other Mexican stroke cohorts. PSD and VCI occurred frequently but prevalence of VaD (12%) was lower than expected. A high prevalence of treatable stroke risk factors suggests that preventive interventions are advisable.

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Introduction

According to the World Health Organization (WHO), stroke is the third major cause of physical disability in adults and the second cause of death [1]. From the cognitive viewpoint, cerebrovascular disease may be the most common cause of dementia [2], found in 80% of autopsy-confirmed cases of Alzheimer's disease [3]. The prevalence of vascular dementia (VaD) in stroke survivors is about 30% and incident dementia doubles after stroke [4]. Very few studies in developing countries have addressed the continuum of Vascular Cognitive Disorders ranging from vascular cognitive impairment (VCI) to VaD [5], despite higher rates of mortality and functional impairment in VaD patients [4, 6]. Post-stroke VCI is far more common than VaD and often goes undetected in routine neurological assessments [7], particularly when specific cognitive areas are affected, such as executive dysfunction causing impairment of activities of daily living and delayed stroke rehabilitation. VaD has been associated with patient-dependent factors such as ageing, education, cognition, comorbidities, stroke characteristics, imaging features; and, most importantly, with quality of stroke care [8]. Cognitive and psychiatric assessments post-stroke are often neglected despite the prevalence of post-stroke cognitive disorders [9, 10].

In Mexico, there is a dearth of information regarding the public health magnitude of stroke [11] and there is no information on VCI, VaD. The aim of the Fogarty-Mexico cohort study was to assess post-stroke cognitive function in a hospital sample of Mexican stroke survivors. We hypothesize that in Mexico, vascular cognitive disorders are more frequent than in other series and that uncontrolled risk factors are the main cause of stroke in a relatively young population.

Methods

Patients

The study population included 165 consecutive patients with first-ever symptomatic stroke admitted to the National Institute of Neurology and Neurosurgery (NINN) in Mexico City, Mexico, between February and November 2005. Patients were eligible if they had an ischemic stroke, primary intracerebral hemorrhage or cerebral venous thrombosis (CVT). Stroke diagnosis required the presence of an acute focal deficit lasting more than 24 hours, confirmed by a corresponding lesion on CT/MRI. Stroke severity was assessed using the National Institutes of Health Stroke Scale (NIHSS) [12]. The pre-stroke functional status was determined by the IQCODE [13]. We excluded patients with prior symptomatic stroke, transient ischemic attacks, preexisting diagnosis of dementia, neurological or psychiatric diseases, or severe aphasia. As patients with subarachnoid hemorrhage are treated in Neurosurgery, they were excluded as well as patients with iatrogenic or traumatic stroke.

The 110 patients that remained in the study, three months after stroke, were survivors who returned for follow-up and were able to undergo neuropsychological testing. All cases were assessed with a comprehensive standardized battery that included medical history, laboratory tests, imaging studies (brain CT/MRI, Doppler, and angiography, if indicated), neurological and psychiatric assessments including apathy and depression scales. We classified ischemic stroke according to TOAST criteria [14] into large-artery arteriosclerosis, cardioembolism, lacunes, other causes, and stroke of undetermined etiology. Stroke location included right/left hemisphere, bilateral, anterior (carotid), and posterior (vertebrobasilar) circulation. The main outcome was cognition defined in categories of VCI to VaD, whereas predictors post-stroke VCI were post-stroke depression (PSD), demographics, and clinical variables. Upon hospital discharge and 3 months later, patients completed the modified Rankin scale (mRs) [12] and the Barthel index (BI) [12]. Favorable outcome was defined as mRs score 0-2 and BI ≥70. An independent neurologist (GCR) confirmed VCI-VaD diagnoses and a geriatric psychiatrist (ALS) reviewed the diagnosis of PSD and other neuropsychiatric symptoms.

The NINN's Internal Review Board approved the study protocol. Written informed consent was obtained from all subjects before enrollment in the study.

Neuropsychological Evaluation

A team of psychologists (YR-A, MCh, MG) administered to all testable patients a comprehensive standard neuropsychological battery adapted for Spanish-speaking population, covering all major cognitive domains, plus Beck's Depression Inventory (BDI) [15] and an apathy evaluation scale [16]. Cognitive domains tested included:

General Cognitive State: Cognitive Abilities Screening Instrument (CASI) [17] and Clinical Dementia Rating scale (CDR) [18].

Memory: California Learning Verbal Test (CVLT) [19], Wechsler Adult Intelligence Scale (WAIS-III) [20], including Wechsler Digit Span, Digit Symbol Substitution test, and block design.

Language: Controlled Word Association Test (COWAT) [21]. *Executive Functions*: Executive Interview Test (EXIT) [22], and CLOX, an executive clock drawing task [23].

We used the Canadian criteria for VCI without dementia [24], DSM-IV [25] criteria for dementia and NINDS-AIREN guidelines for VaD [26].

Table 1. Demographic and clinical characteristics of patients

	Cognitively intact (n = 62) (56.4%)	Cognitively impaired (n = 45) (40.9%)	p value	VaD (n = 13) (12%)	No dementia (n = 97) (88%)	p value
Demographic						
Age, years	49.3±16.1	65.4±15.7	0.000	70.7±11.6	54.0±17.5	0.000
Sex, Female	30.8	51.1	0.054	53.8	36.1	0.216
Sex, Male	69.4	48.9		46.2	63.9	
Education, years	9.6±4.6	4.8 ± 4.7	0.000	2.0 ± 2.5	$8.4{\pm}5.0$	0.000
Low education (0–9)	56.9	88.9	0.000	100.0	66.0	0.009
High education (10–17)	43.5	11.1		0.0	34.0	
Vascular risk factors						
Homocysteine	10.1±6.2	9.3±3.9	0.444	7.9±1.4	9.9±5.6	0.010
Hypercholesterolemia	26.2	33.3	0.415	53.8	25.8	0.051
TIÂ(s)	27.7	15.6	0.135	23.1	22.7	0.974
Diabetes mellitus	12.3	35.6	0.004	46.2	18.6	0.034
Hypertension	44.6	57.8	0.175	84.6	45.4	0.015
Smoking	30.8	20.0	0.208	15.4	27.8	0.507
Alcohol	18.5	22.2	0.628	23.1	19.6	0.721
Stroke-related characteristics						
Stroke type						
Infarct	93.5	77.8	0.017	84.6	87.2	0.678
Hemorrhage	6.5	22.2		15.4	12.8	
Lesion location						
Left hemisphere	35.4	53.3	0.064	76.9	38.1	0.096
Right hemisphere	33.8	37.8		23.1	37.1	
Left and right hemisphere	3.1	0.0		0.0	2.1	
Brain stem, cerebellum	27.7	8.9		0.0	22.7	
Lesion site			0.142			0.661
Cortical	10.8	15.6		15.4	12.4	
Cortico-subcortical	38.5	37.8		46.2	37.1	
Subcortical	23.1	35.6		30.8	27.8	

Statistical Analysis

We compared socio-demographic, clinical, and imaging features and PSD between patients with and without dementia, and between patients with VCI and those with intact cognition (table 1). Patients were classified as having VCI when they scored two standard deviations (SD) below the mean (for age, gender, and educational level) in at least one particular test. Ischemic, hemorrhagic, and venous strokes were analyzed as separate groups. Statistical analysis included chi square (χ^2), Fisher's exact test for categorical variables, and Student's t-test for continuous variables. Spearman method was used for correlation between functional prognosis (mRs/BI) and VaD or VCI.

We transformed neuropsychological and neuropsychiatric raw test scores into z-scores to facilitate analyses and group comparisons. Data analysis was performed using SPSS 17 statistical package (SPSS, Inc., Chicago, Ill., USA).

Results

We examined 110/165 patients (72%) three months after the qualifying stroke (fig. 1); 68 men (62%) and 42 women (38%), age 56 \pm 17.8 (range 18–85), mean educa-

tion 7.6 \pm 5.2 years (range 0–17). There were 93 (84%) with ischemic strokes, 14 (13%) with hemorrhages, and 3 (3%) with cerebral venous thromboses (CVT) (table 1). The main vascular risk factors were hypertension (50%), previous and current smoking (40%), hypercholesterolemia (29%), hyperhomocysteinemia (24%), and diabetes (22%). Ischemic strokes were due to large-vessel atherosclerosis (n = 35, 38%), small vessel disease (n = 21, 23%), cardioembolism (n = 18, 19%), non-atherosclerotic vasculopathy such as spontaneous dissections (n = 11, 12%), other causes (n = 3, 3%), and idiopathic (n = 5, 5%). Left hemisphere strokes occurred in 47 cases (43%), right side (n = 39, 35%) and 2 patients (2%) had bilateral involvement; brainstem strokes occurred in 18 patients (16%), 4 had cerebellar lesions (4%); we found 14 with cortical (13%), 31 with subcortical (28%), 42 with cortico-subcortical (38%), and 23 (21%) with infratentorial lesions.

Overall, 45 patients (41%) had VCI ranging from impairment of a single domain to multiple cognitive domains. Deficits included executive function in 69%, verbal



Fig. 1. Included and excluded patients during capture period.

memory in 49%, language in 38%, perception in 36%, and attention in 38%. Cognitively impaired patients were older than non-impaired subjects (mean age 65.6 years vs. 49.3, p < 0.001), had lower schooling attainment (p < 0.001), and were mostly women (p = 0.05) and diabetic (p = 0.004). The presence of PSD was associated with significant decline in cognitive tests compared with nondepressed patients (p = 0.001). VaD patients (n = 13) were older (mean age 70.7 vs. 54 years, t = 4.8, p < 0.001), had less schooling (p < 0.001), and were mostly diabetic (p = 0.015). We could not demonstrate an association between the lesion site and the distribution of the cognitive deficits.

Ischemic and hemorrhagic strokes were analyzed as separate groups. In the ischemic group (n = 93), the presence of VaD (n = 13, 12%) or VCI (n = 45, 41%) was associated with poor prognosis at 3 months post stroke ($\chi^2 = 5.8$, p = 0.007). VaD ($\chi^2 = 8.1$, p = 0.004), but no VCI (p = 0.3) was associated with diabetes. In the hemorrhagic group (n = 14), 2 cases developed VaD and 10 VCI; no significant associations were found, except that VCI patients had poorer outcome (mRS) at the 3-month follow-up. We included 3 cases with CVT and all were cognitively intact at 3 months.

PSD occurred in 56% of our sample; 16 (14%) had both PSD and executive dysfunction. Executive dysfunction occurred in 38% of VCI subjects and 69% of them had mild-moderate deficits in activities of daily living (ADLs). At hospital discharge, 39% of patients had a favorable functional outcome (mRS = 0–2), increasing to 74% at 3 months (p < 0.001). BI also improved significantly from hospital release to 3-month follow-up (62 vs. 90, p < 0.001). There was correlation between adequate functional prognosis measured by mRs and the absence of VaD (r = 0.30, p = 0.001) or VCI (r = 0.40, p = 0.001). Higher BI correlated with the absence of VCI (BI >70, r = 0.40, p = 0.001).

Discussion

This is the first study on the prevalence of vascular cognitive disorders, ranging from mild VCI to VaD, in a cohort of acute stroke patients in Mexico. We found a relatively high frequency (41%) of VCI 3 months post-stroke, compared with 19.6% in an older cohort in Spain [27], but within the range of those reported in other case series, despite the younger age of stroke patients in this cohort from Mexico. In our study, deficits ranged from impairment of a single cognitive domain (particularly executive dysfunction) to multiple domains.

The observed frequency of VaD (12%) at 3 months post stroke is lower than the mean VaD prevalence (22.8%) observed in older-patient series [28] or using different diagnostic criteria [29]. The mean age of our patients was younger (55.8 years) than in most series (≥ 60 years-old); this is probably the result of a referral bias to a tertiarylevel stroke facility, with higher frequency of spontaneous cerebral vessel dissections [30, 31], cardiac valvular lesions from rheumatic fever, CVTs, and other infrequent pathologies. Also, a high frequency (59%) of left-sided ischemic lesions increases VaD risk 5-fold (OR 5.0; 95% CI 1.92-14.1) [32]. Interestingly 16% with VaD had evidence of pre-stroke functional impairment indicating both poor management of vascular risk factors and possible underlying Alzheimer's disease [33]. The finding of increased risk of VaD in older women is consistent with lower schooling among elderly women in Mexico, and also, it probably indicates the presence of mixed degenerative/vascular lesions, a very common occurrence among elderly demented patients in other ethnic groups [3]. Finally, a higher educational level appeared to be protective may be because it was associated with better functional cognitive reserve [34, 35]. Except for diabetes, we found no association with other clinical variables or vascular risk factors.

We demonstrated an elevated frequency of PSD in 56% of patients, higher than the mean pooled estimate of 33% (95% CI, 29–36%) from 51 studies by Hackett and colleagues [9]. Verdelho et al. [36] found PSD in 43% of patients at 6 months post-ictus. Several studies have suggested that PSD results in worse functional stroke outcome, slow recovery, poor quality of life, and increased mortality [9, 10, 36–38]. PSD was associated with greater

impairment at follow-up in 83% of the studies [38]. However, perhaps due to the younger age of our stroke patients, depression was not significantly associated with poor prognosis.

Sibolt et al. [39] and Vataja et al. [40] demonstrated that executive dysfunction is the core defect in patients with the depression-dysexecutive syndrome, resulting from stroke damage of frontal-subcortical circuits and are associated with a shorter interval to recurrence of ischemic stroke. In our cohort, we found 14.5% of patients with both depression and executive dysfunction.

Our study has several limitations that may hinder the generalization of results. This was a hospital-based sample and patients were recruited from a tertiary care neurological hospital where younger and more acute patients are likely to be admitted. Other selection biases include the exclusion of aphasic subjects, subjects with prior diagnosis of dementia, and the use of conservative NINDS/AIREN criteria for the diagnosis of dementia; lastly, we had a 28% attrition rate; all these factors might have contributed to the lower prevalence of VaD identified in our sample. Our preliminary results require future confirmation studies with a larger sample size.

The main strengths of this study are the following: single-center cohort with prospective enrollment of sequential cases; optimal treatment of acute stroke; clear definition of the type and subtype of stroke; complete clinical and radiological evaluations; systematic neuropsychological assessment with a wide battery of tests to evaluate not just memory, but multiple cognitive domains, including executive function; and, participation of a multidisciplinary team in the evaluation of the patients.

Finally, the high frequency of treatable vascular risk factors in the Fogarty-Mexico cohort, in particular, hypertension and smoking, suggests that primary prevention of stroke will require effective Public Health interventions to decrease the current mortality, morbidity, as well as cognitive and behavioral sequelae of stroke in Mexico.

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Disclosure Statement

The authors report no conflicts of interest.

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