

## **Aalborg Universitet**

### Differential Mode Noise Estimation and Filter Design for Interleaved Boost Power **Factor Correction Converters**

Esfetanaj, Naser Nourani; Wang, Huai; Blaabjerg, Frede; Davari, Pooya

Published in: **Applied Sciences** 

DOI (link to publication from Publisher): https://doi.org/10.3390/app11062716

Publication date: 2021

Document Version

Version created as part of publication process; publisher's layout; not normally made publicly available

Link to publication from Aalborg University

Citation for published version (APA):

Esfetanaj, N. N., Wang, H., Blaabjerg, F., & Davari, P. (2021). Differential Mode Noise Estimation and Filter Design for Interleaved Boost Power Factor Correction Converters. *Applied Sciences*, 11(6), [2716]. https://doi.org/10.3390/app11062716

**General rights** 

Copyright and moral rights for the publications made accessible in the public portal are retained by the authors and/or other copyright owners and it is a condition of accessing publications that users recognise and abide by the legal requirements associated with these rights.

- ? Users may download and print one copy of any publication from the public portal for the purpose of private study or research. ? You may not further distribute the material or use it for any profit-making activity or commercial gain ? You may freely distribute the URL identifying the publication in the public portal ?

If you believe that this document breaches copyright please contact us at vbn@aub.aau.dk providing details, and we will remove access to the work immediately and investigate your claim.





4

5

10

12

13

15

16

17

18

19

20

21

22

23

24

# Differential Mode Noise Estimation and Filter Design for Inter**leaved Boost Power Factor Correction Converters**

Naser Nourani Esfetanaj<sup>1,\*</sup>, Huai Wang<sup>1</sup>, Frede Blaabjerg<sup>1</sup>, and Pooya Davari<sup>1</sup>

- Department of Energy Technology, Aalborg University, Aalborg 9220 East, Denmark; hwa@et.aau.dk (H.W); fbl@et.aau.dk (F.B); pda@et.aau.dk (P.D).
- Correspondence: e-mail: nne@et.aau.dk; Tel.: +4552709022.

Abstract: Interleaved power factor correction (PFC) is widely used circuit topology due to good efficiency and power density for single-switch boost PFC. As the differential mode (DM) electromagnetic interference (EMI) noise magnitude depends upon the input current ripple, this research details a comprehensive study of DM EMI filter design for interleaved boost PFC with the aim of minimizing the component size. It is also demonstrated that the different numbers of interleaved stages and switching frequency influence the filter attenuation requirement and, thus, the EMI filter size. First, an analytical model is derived on the basis of the Norton equivalent circuit model for the differential mode noises of interleaved boost PFC within the frequency range of 9-500 kHz. The derived model can help identify the proper phase shifting among the interleaved boost converters in order to minimize the considered differential mode noises at the filter design frequency. So, a novel phase-shift method is developed to get a minimized attenuation required by a filter in Band B. Further, a volume optimization of the required DM filter was introduced based on the calculated filter attenuation and volumetric component parameters. Based on the obtained results, unconventional and conventional phase shifts have demonstrated a good performance in decreasing the EMI filter volume in Band B and Band A, respectively. A 2-kW interleaved PFC case study is presented to verify the theoretical analyses and the impact of phase-shifting on EMI filter size.

Keywords: DM Noise Estimation; Interleaved PFC Converters; Phase-Shifting; EMI filter design.

Citation: Lastname, F.; Lastname, F.; Lastname, F. Title. Appl. Sci. 2021, 11, x. https://doi.org/10.3390/xxxxx

Academic Editor: Firstname Lastname Received: date Accepted: date Published: date

Publisher's Note: MDPI stays neutral with regard to jurisdictional claims in published maps and institutional affiliations.



Copyright: © 2021 by the authors. Submitted for possible open access publication under the terms and conditions of the Creative Commons Attribution (CC BY) license (http://creativecommons.org/licenses /by/4.0/).

1. Introduction

Complying with harmonic standards and power factor requirement of the input AC power has resulted in the development of boost PFC circuits to get an improved power factor close to unity. Additionally, using interleaving PFC, numerous benefits are obtained, including an increased power density, reduced overall design volume, and declined RMS current flowing through the boost capacitor. And, using an interleaved configuration leads to a significant decrease in the switching frequency ripples as a result of the ripple cancelation effect [1]. Notably, this application is employed to ensure sinusoidally shaped input currents in connection with DM EMI input filters, limiting the highfrequency noise transmission from the converter to the power grid [2]. However, the increased integration of power electronics converter into the grid results in some challenging EMI issues because of inherent pulse energy conversion characteristics. Thus, the unwanted emissions should be suppressed to fulfill noise emission standards, such as CISPR-11 for frequencies beyond 150 kHz [3]. Because of the increasing demand for pulsewidth modulation (PWM) converters, a number of standards are defined below the frequency of 150 kHz in some applications, CISPR-14 (induction hubs) [4], and CISPR-15 (lighting equipment) [5]. Moreover, the CISPR 16-1-1 is split into two main frequencies as Band-A (9-150 kHz) and Band-B (150 kHz-30 MHz) [6]. An EMI estimation approach and

Appl. Sci. 2021, 11, x. https://doi.org/10.3390/xxxxx

www.mdpi.com/journal/applsci

25

26

27

28

33 34 35

36 37

41

39

40

42

**Figure 1.** Block diagram of an interleaved boost PFC converter including LISN, EMI receiver, and EMI filter.

EMI filter designing analysis are proposed for the 9-500 kHz based on the following assumptions:

- DM noise estimation is only considered. A type of noise current is flowing in the same direction as the power supply current, known as "DM," because the outgoing and return currents are reversely directed.
  - Rise time and fall time of switching waveform effects are ignored in the model.
- DM EMI filter is analyzed from the volume optimization point of view. Analysis is not covering the common mode EMI filter volume optimization.
  - Parasitic component effects are negligible.

EMI filter is effectively employed for reducing the EMI noise emissions, which is designed based on the required noise attenuation requirements. The EMI filter's dependency on the EMI noise's peak value has led to many modeling approaches to estimate the EMI noise peak in the Bands A and B frequency ranges [6], [8] - [11]. Additionally, it is highly acceptable that the reduced grid input ripple current results in the reduction of the DM EMI noise magnitude and filter attenuation requirements, which make the DM EMI filter size smaller and the corner frequency higher [1]. So far, the EMI filter has been designed based on Band-B considering the presence of noise criteria within the frequency standards above 150 kHz [7]. Recently, Band-A has become important due to the advent of new standards. Notably, Band-A's design DM EMI filter provides enough damping in Band-B to shift the filter corner frequency within the low frequencies. In the past decade, higher efforts have been carried out to estimate the DM EMI noise emission. Additionally, most of the modeling approaches that have been focusing on EMI analysis within a frequency range above 150 kHz are based on simulations [8] – [9]. Notably, prior state-of-the-art simulation-based methods may be quite cumbersome if scaled up for system-level studies.

Only a few analytical-based approaches are introduced for differential mode noise as in [10] to EMI filter designing based on the input current ripple equation of the interleaved boost PFC. It is only suitable for EMI filter designing for conventional phase shift interleaved and frequency above 150 kHz. Analytical DM EMI estimation is proposed for non-interleaved PFC in [11] for Band-A. However, there are no fundamental studies despite reported EMI noise issues to estimate the EMI level for interleaved boost PFC based on the phase shift's dependency, which can be investigated to minimize the DM EMI noise. Hence, this article suggests an analytical-based modeling approach for differential mode EMI noise estimation. The analytical model is proposed for an interleaved boost PFC converter, depicted in Figure 1 with including the LISN, EMI receiver, and EMI filter. To

create the possibility of compliance with measuring all EMI measuring equipment's impact, including the EMI receivers, LISN is also considered on the analytical model.

From an EMI viewpoint, finding the appropriate phase shift angles is of great importance to get an optimal filter volume [1], [12]. So, the optimal DM EMI filters design for interleaved boost PFC applications is an important challenge, especially within the low-frequency EMI range in-between 2-150 kHz. This research's primary purposes are to propose an appropriate analytical DM EMI noise estimation and EMI filter volume investigation. Moreover, the analytical noise estimation approach covers the number of interleaved units and the related different types of phase shifts. Thus, the unconventional phase shift is achieved based on the analytical EMI estimations for carrier harmonics on Band-B. Also, the effects of the different types of the phase shift on Band B and A DM EMI filter design have been investigated.

The main contributions of this research can be highlighted as follows. First, the impact of switching frequency selection and the number of interleaved stages in a single-phase PFC on DM EMI filter sizing (filter corner frequency and required attenuation) are analytically investigated. Second, an analytical method is proposed for interleaved PFC to predict maximum peak noise that is highly important in the DM EMI filter design. The dependency of the maximum peak noise on the phase shift between the interleaved units leads to the investigation of the phase shift impact on the DM EMI filter size via the EMI estimation approach. So, a novel formulation is presented for the unconventional phase shift method based on the EMI estimation analysis within Band B. Furthermore, it is shown that the unconventional phase-shift angle can be obtained depending on the switching frequency of the power converter and the number of interleaved stages. Third, a general flowchart is presented to find optimal filter volume based on the proper phase shift, EMI estimation approach, type of the band frequency, and volumetric component parameter.

The rest of the research is organized as follows. Section 2 details the design process of a typical two-stage DM EMI filter. In this section, the EMI measurement setup is described according to the CISPR standard such as EMI receiver and line impedance stabilizing network (LISN). Section 3 provides the process of getting the filter's attenuation requirement and filters corner frequency in the interleaving units. In order to calculate the required filter attenuation, the simplified analytical modeling approach is presented in order to estimate DM EMI noise level in Section 4. Subsequently, in Section 5, the advantages of the unconventional phase shift in the interleaved units are developed in Band-B, where the filter attenuation drop is presented. Moreover, filter volume optimization is given based on the type of phase shifts in Section 6. Section 7 provides the experimental results of two interleaved boost PFC converters to validate the DM EMI model noise for different phase shifts. Ultimately, conclusions are provided in Section 8.

### 2. The Design Approached Two-Stage DM Filter

The EMI filter is employed for protecting the utility against the high frequency conducted emission noises. To this end, they should comply with EMI standard requirements. Therefore, a symmetrical two-stage filter structure design, as shown in Figure 2, is considered. Notably, the primary purpose of the EMI filter is to reduce the emission noise in order to fulfill relevant standards [3], [5]. The selection of the filter components depends upon the filter attenuation requirement  $A_{tt-req}$ , calculated by (1) [6]:

$$A_{n-reg}(f_D)[dB] = U_{max}(f_D)[dB\mu V] - CISPR_{limit}(f_D)[dB\mu V] + Margin[dB]$$
 (1) 126 re  $f_D$  is filter design frequency.  $U_{max}$  is the first noise voltage peak.  $A_{tt-reg}$  is the noise 127

where  $f_D$  is filter design frequency,  $U_{max}$  is the first noise voltage peak.  $A_{tt-req}$  is the noise quantity, which should be damped by the filters.  $CISPR_{timit}$  is considered emission limits following CISPR-15 [5] and CISPR-11 [3] based on QP (Quasi Peak) for band A and B, respectively. Moreover, Margin is the filter design margin. It is considered as 6 dB because of uncertainty and EMI filter parameter tolerances [7], [13]. So,  $A_{tt-req}$  for a symmetrical two-stage EMI filter, including inductor and capacitor size is obtained by (2):

Figure 2. Symmetrical two-stage DM EMI filter configuration.

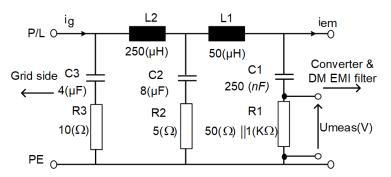


Figure 3. LISN per-phase circuit diagram recommendation by CISPR-16 for 9-500 kHz [10], [11].

$$A_{tt-req}(f_D) = ((j2\pi f_D)^2 \cdot (2L_{DM}) \cdot C_{DM} + 1)^2 + (2\pi f_D)^2 \cdot (2L_{DM}) \cdot C_{DM}$$
(2)

As discussed earlier, the input ripple current reduction implies a lower DM EMI noise magnitude ( $U_{max}$ ), and thus a smaller component size of the DM EMI filter. Additionally, the dependency of EMI filter corner frequency on the filter component results in a challenge in sizing the filter components. Accordingly, reducing the EMI filter component size makes the DM filter corner frequency higher. One of the primary goals of this research is to get an optimal corner frequency based upon the interleaved technique and the employed phase shift. The filter corner frequency is obtained by (3)

$$fc = \frac{1}{2.\pi \sqrt{L_{DM}C_{DM}}}$$
 (3)

Moreover, to measure the  $U_{max}$  based on the CISPR-16 [7] standard requirement, a LISN and an EMI receiver are required. The LISN not only decouples the line and the device under test (DUT) but also provides an interface between the DUT and the test receiver. The LISN structure employed for EMI measurement within the frequency range of 9 kHz - 30 MHz is illustrated in Figure 3. Notably, LISN is able to measure the RMS time-domain voltage ( $u_{meas}$ ) in order to define the EMI noise based on (4). So, the EMI test receiver utilizes a QP detection to get the EMI peak measurement. Finally, by considering (4), the EMI peak measurement [13], [14] is achieved:

$$U_{\text{max}}[dB\mu V] = 20\log[1/\mu V \sum_{f=MB-\frac{BW}{2}}^{f=MB+\frac{BW}{2}} u_{meas}(f) \cdot RBW(f)]$$
(4)

where, MB is frequency sweep that is shifted over the frequency band of interest. RBW is  $4^{th}$  order Butterworth bandpass filter. And, the bandwidth (BW) is 200 Hz for Band A (9 - 150 kHz), and 9 kHz for Band B (150 kHz - 30 MHz).

# 3. Required EMI filter Attenuation in Interleaving Units Using Conventional Phase Shift

The interleaved boost PFC and the related advantages have been reported in the past literature [1]. Here, Figure 1 illustrates the block-diagram of the test system, including two interleaved boost PFCs, EMI receiver, LISN and EMI filter. And, the boost-inductor design for continuous conduction mode (CCM) [15], [16] operation has already provided in the literature. So, for the simplicity, only the widely used equations are specified in this subsection. And, the boost inductor size for CCM operations is obtained by (5) assuming 22% input ripple current according to [17]:

$$L_{boost} = U_{dc}/4.\Delta i_{L,\text{max}}.f_{sw}$$
 (5)

The value of parameters for a single-phase PFC are summarized in Table 1. The parameters are used to define the filter's attenuation requirements with respect to various switching frequencies in CCM. So, inductor size can be obtained via (5) for the different case studies. Thus, the maximum peak values of the spectrum ( $U_{max}$ ) for various frequency switchings are achieved based on PLECS simulations and Eq. (4).

Figure 4 illustrates the phase shift implementation between the two units with phase shift  $180^\circ$  in order to decrease input ripple currents on the boost stages. Notably, as shown in Figure 4, selecting the proper phase shift may affect the ripple of input current. Providing numerous simulation case studies with different phase shifts and switching frequencies is a time-consuming and complicated task at the system-level analysis. In order to alleviate the computational burden/time, a new analytical estimation is proposed in Section 5. In this section, the interleaving technique is evaluated to get the optimal design of DM EMI filter. So, up to four interleaved units have been working at different switching frequencies in Band A and Band B to get the connection between the attenuation requirement considering the interleaving and phase shifting. So, the conventional phase shift of  $360^\circ/N$  (N is the number of the interleaved converters) is employed among the

Table 1. Case Study Specification of Single-Phase Boost PFC.

Symbol	l Parameter	Value	e Unit
$U_g$	Grid phase voltage	230	Vrms
$f_g$	Grid frequency	50	Hz
$C_{dc}$	DC-link capacitor	500	μF
$U_{dc}$	Output voltage	400	V
$P_o$	Output power	1	kW
$\Delta i$ L,max	Inductor current ripple	22	%
$k_{L1}$	Inductor size factor	3	cm <sup>3</sup> /mH. A <sup>2</sup>
$k_{L2}$	Inductor size factor	8	cm³/mH
$k_{L3}$	Inductor size factor	1.1	cm <sup>3</sup> /A
<i>k</i> c1	Capacitor stored energy factor	62	cm <sup>3</sup> /F. V <sup>2</sup>
kC2	Capacitor voltage dependent factor	0.7	cm <sup>3</sup>

Table 2. Inductor Sizes For Single-Phase Unit In CCM Modes based On (5)

$f_{sw}\left(kHz\right)$	20	25	30	35	37.5	45	50	70	75	140	150	250	500
Lboost(mH)	8.06	6.45	5.38	4.61	4.3	3.58	3.23	2.3	2.15	1.15	1.08	0.65	0.32

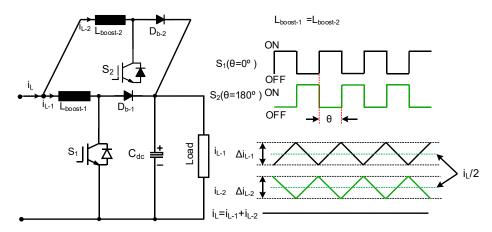
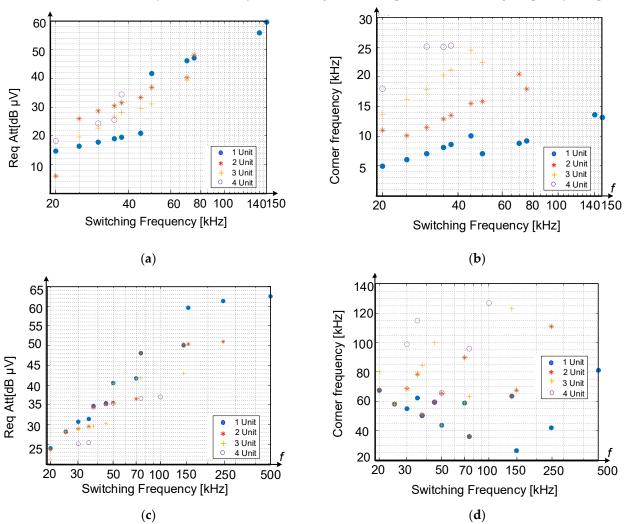


Figure 4. Analysis phase shift effects on input ripple current in two units interleaved boost stages. The phase shift between units is considered  $180^{\circ}$ .

interleaved units. Interestingly, the first noise peak value erects at the switching frequency of  $f_{sw}$  in Band B. By interleaving task, the equivalent switching frequency is equal to N  $f_{sw}$ ,



**Figure 5.** Relationship between attenuation requirement and the switching frequency (up to four units interleaved) in a) Band A. c) Band-B. The relationship between a two-stage filter corner frequency and switching frequency (up to four units interleaved) in b) Band A d) Band B for CCM based on the attenuation requirement (1)-(2). The conventional phase shift is considered  $360^{\circ}/N$ .

198

199

200

201

202

203

204

205

206

207

208

209

210

211

212

213

214

215

216

217

218219

220

221

222

223

224

225

226

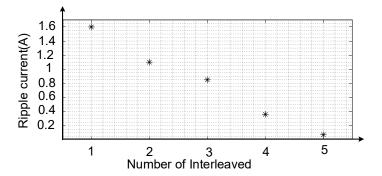
227

228

229

230

231



**Figure 6.** Input ripple current on the basis of the number of the interleaved converters with  $f_{sw}$  =37.5 kHz,  $P_0$ = 1 kW, and  $L_{boost}$ =1 mH based on PLECS simulations.

where N denotes the number of the interleaved units. More details about the impact of the interleaving task on ripple current has been reported in [1].

Figure 5(a) depicts the connection between the attenuation requirement and the switching frequency up to four units for CCM mode in Band A. As the equivalent switching frequency appears in  $N f_{sw}$ , thus there is no need to employ a filter if the switching frequency is considered higher than 75 kHz in two units, 50 kHz in three units, and 37.5 kHz in four units. And, Figure 5(b) depicts the filter corner frequency ( $f_c$ ) via different switching frequencies for a two-stage DM EMI filter in Band A subjected to (1)-(2). Notably, as depicted in Figure 5(c) and Figure 5(d), the filter corner frequency increases while the filter attenuation requirement decreases at a specific switching frequency range (30 - 37.5 kHz, 50 - 75 kHz, and > 150 kHz) in two units interleaved. For example, in order to select switching frequencies of 35 kHz and 30 kHz in Band B, filter design frequencies appear at 175 kHz and 150 kHz, respectively (5th carrier harmonics occur above 150 kHz). Hence, a case study with 175 kHz compared with 150 kHz obtains a smaller component size and higher filter corner frequency in the same filter attenuation requirement. Thus, switching at the aforementioned critical frequencies and utilizing a switching frequency lower than them is not highly efficient. Because, this increases the filter corner frequency without affecting the boost inductor size. Besides, using the interleaving technique leads to decreased input ripple currents. Hence, Figure 6 shows the relationship between the input ripple current with the number of the interleaved converter using PLECS simulations. The current ripple decreases by adding the number of interleaved units. From the ripple current perspective, it is not beneficial to increase the number of units above 5.

### 4. Proposed DM EMI Estimation Method For Interleaved Units

In this part, time-frequency analytical modeling methods are used for DM EMI noise prediction that is important in the DM EMI filter design in order to fulfill the standard requirements. Additionally, the proposed method is on the basis of the closed-loop input impedance and the double Fourier analysis of the noise source spectrum. The suggested technique characterizes the production emissions of the power converter within the frequency range of 9-500 kHz considering the double Fourier analysis and closed-loop impedance. Extra details about the modeling of DM noise, closed-loop input impedance, and the frequency behavior have been reported in [11] for single-phase non-interleaved boost PFC. The DM noise spectrum of each switch is presented by (6), where it contains a DC offset value, baseband harmonics, carrier group harmonics, as well as sideband harmonics [18]:

$$u_{s}(t) = \frac{A_{00}}{2} + \sum_{n=1}^{\infty} [A_{0n} \cos(nw_{0}t) + B_{0n} \sin(nw_{0}t)] + \sum_{m=1}^{\infty} [A_{mo} \cos(mw_{c}t) + B_{m0} \sin(mw_{c}t)]$$

$$+ \sum_{m=1}^{\infty} \sum_{\substack{n=-\infty \\ n=0}}^{\infty} A_{mn} \cos([mw_{c} + nw_{c}]t) + B_{mn} \sin([mw_{c} + nw_{c}]t)$$

$$(6) \qquad 232$$

where m and n denote the carrier group and baseband group indexes, respectively. The fundamental and carrier angular frequencies are denoted by closed-loop and  $w_0$ .  $A_{0n}$ ,  $B_{0n}$ ,  $A_{m0}$ ,  $B_{m0}$ ,  $A_{mn}$ , and  $B_{mn}$  denote the harmonic coefficients [11], [18]. Moreover, it has to be noted that the carrier harmonics can be updated by the phase shift effects given as (7):

$$A_{mo} + jB_{mo} = \frac{8U_{dc}}{\pi^2} \frac{1}{m} e^{jm\theta} \sum_{\substack{k=1\\k=odd}}^{\infty} \frac{J_k(m\pi M)}{k}$$
 (7)

Finally, sideband harmonics are obtained by the phase shift effects in interleaved units given as (8):

$$A_{mn} + jB_{mn} = \frac{2.U_{dc}}{\pi^2} \frac{1}{jm} e^{jm\theta}$$

$$\sum_{k=1}^{\infty} J_k(m\pi M)(j^k - j^{-k})(\frac{(\sin(\frac{k-n}{2})\pi)}{k-n} + \frac{(\sin(\frac{k+n}{2})\pi)}{k+n})$$
(8)

where  $\theta$  is an interleaved unit phase shift, which can be selected by the phase selecting methods. For instance, in two interleaved units, the first unit phase shift is zero, and the second unit phase shift is  $\theta$ . The structure of a simplified case study with a Norton equivalent circuit is illustrated in Figure 7. The current for N units can be calculated from (9):

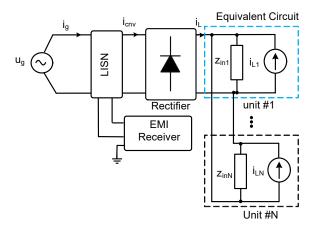
$$i_L(s) = \sum_{n'=1}^{N} \frac{u_s \left\{ (n'-1)\theta \right\}}{z_{in}(n')} \tag{9}$$

where (n'-1)  $\theta$  is the phase shift for unit number n'. The PFC converter's input impedance based on a large signal model is obtained by (10) [11]:

$$z_{in}(s) = sL + \frac{R_s}{u_{mo}} \left( U_{dc} G_{ci} \right) / 1 + \frac{1}{u_{mo}} \left( g U_{dc} G_{ci} \right)$$
(10)

where,  $u_{mo}$  denotes the peak-to-peak value of the PWM signal, and g denotes a constant value. More details on the closed-loop impedance modeling of the boost PFC converter are reported in [19]. Since the switching function of the diode rectifier is a square-waved signal, its Fourier transform is obtained by (11):

$$i_d(t) = \sum_{\substack{h=1\\h \to odd}}^{\infty} \frac{2}{h\pi} \sin(\frac{h\pi}{2}) \cos(w_0 ht)$$
 (11)



**Figure 7.** Norton equivalent circuit of the interleaved boost PFC converter.

257

259

260

261

262

264

267

269

270

271

272

273

274

275

276

277

278

279

280

281

282

283

284

285

286

287

290

291

Where *h* is harmonics order number. Thereby, the LISN input current without EMI filter is obtained by (12):

$$i_{cnv}(s) = i_d(s)i_L(s) \tag{12}$$

The relationship between the LISN input current and EMI receiver voltage should be added in the proposed analytical method to complete it. Hence, the relationship between the input current LISN and EMI receiver branch by considering the EMI filter is given as (13) [11]:

$$i_{rec}(s) = \frac{C}{D}i_{cnv}(s) \tag{13}$$

*C* and *D* are defined in (14) and (15), [11]as:

$$C = L_1 L_2 C_1 C_2 s^4 + R_2 C_1 C_2 (L_2 + L_1) s^3 + C_1 (L_2 + L_1) s^2$$
(14)

$$D = C_1 C_2 (R_2 L_1 + L_2 L_1) s^4 + C_1 C_2 (L_2 R_1 + L_2) s^3 + (L_1 C_1 + L_2 C_2 + L_2 C_1 + R_1 R_2 C_2 C_1) s^2 + (R_1 C_1 + R_2 C_2) s + 1$$
 (15)

Besides, the EMI receiver voltage noise is

$$U_{meas}(s) = R_1 i_{rec}(s) \tag{16}$$

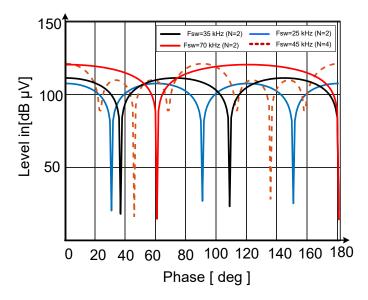
### 5. Unconventional Phase Shift Approach

As seen in Figure 5(c), the attenuation requirement and filter corner frequency are slightly different for one unit non-interleaved and two units and three units with a conventional phase shift. Hence, the interleaving technique does not have any benefits at some switching frequency ranges such as 75-150 kHz for two units interleaved in comparison to one unit in Band B. Hence, observing the carrier frequency harmonics behavior based on the phase to get the unconventional phase shift is essential in that frequency range. Notably, analytical EMI estimation can be predicted on EMI noise level in any order of carrier frequency harmonics based on the selective phase angle. Therefore, an unconventional phase shift can be selected by looking at the first appeared carrier harmonics behavior in the different phases in Band B. Hence, Table 3 provides an unconventional phase shift from an analytical estimation approach to get a low filter attenuation requirement based on the switching frequency and the number of interleaved units. Figure 8 depicts the first carrier harmonics behavior in Band B for several interleaved case studies in different phases and switching frequencies. The first carrier harmonics can be removed by proper phase shift selection. On the other hand, by eliminating the first noise peak, which is important in EMI filter design in Band B, the filter design frequency shifts to a high frequency. So, (17) and (18) representing unconventional phase shift formulation are obtained from Table 3 by assessing the relation between N, k, and  $\theta$ .

$$\theta = \frac{360^{\circ}}{N} \qquad \text{if k is not a multiple of N}$$
 (17)

$$\theta = \frac{360^{\circ}}{\min \{ factor(N).k \}} \quad \text{if } k \text{ is a multiple of } N$$
 (18)

where *k* denotes the harmonic order of the switching frequency, that is the first noise peak in Band B.



**Figure 8.** First carrier harmonics behavior with various switching frequencies in Band-B for three interleaved units with different phases.

**Table 3.** The Unconventional Phase Shift Angles at the Switching Frequency Range (20 - 150 kHz) up to Four Units Interleaved for Band B.

		Number of interleaved (N			
Frequency (kHz)	Harm. order (k):	2	3	4	
20	8 <sup>th</sup>	22.5°	120°	22.5 °	
25	$6^{\text{th}}$	30°	20°	90°	
30	$5^{\mathrm{th}}$	180°	120 °	90°	
35	5 <sup>th</sup>	180°	120°	90°	
37.5	$4^{ m th}$	45°	120 °	45°	
45	$4^{ m th}$	45°	120°	45°	
50	$3^{\mathrm{th}}$	180°	40°	90°	
70	$3^{\mathrm{th}}$	180°	40°	90°	
75	$2^{\mathrm{th}}$	90°	120 °	90°	
140	$2^{\mathrm{th}}$	90°	120°	90°	
150	$1^{th}$	180°	120°	90°	

Notably, unconventional phase shift does not effected on the DM EMI filter loss. Since the DM capacitor takes most of the switching ripple, the DM inductor loss is almost the same no matter which phase shift is applied. In addition, Figure 9 illustrates the RMS input current of the DC-link capacitor for conventional phase shift and unconventional phase shift based on the number of the interleaved converters. As it is clear from Figure 9, the unconventional phase shift increases the RMS capacitor input current in some cases. Notably, it does not affect the DC capacitor size regarding ripple current is lower than non-interleaved PFC.

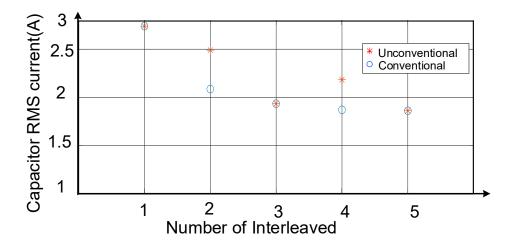


Figure 9. Input ripple current of the DC capacitor on the basis of the number of the interleaved converters with  $f_{sw}$  = 37.5 kHz,  $P_0$  = 1 kW, and  $L_{boost}$  = 1 mH based on PLECS simulations. The conventional phase shift is considered 360°/N and unconventional is selected based on the (17) and

### 6. Filter Volume Optimization

In this section, the primary purpose is to optimize the EMI filter size considering the selected proper phase shift. To investigate the efficiency of the proposed method, EMI filter volume can be calculated based on [14]. As mentioned above, the symmetrical two-stage EMI filter [17], shown in Figure 2, has been considered for this paper's analysis. Hence the EMI filter capacitor size can be obtained from (19) [14]:

$$V_C = k_{C1} C_{DM} u_g^2 + k_{C2} (19)$$

where the factor  $kc_1$  denotes the capacitor volume proportionality to the stored energy and kc2 denotes a voltage-dependent factor. Furthermore, the inductor size is obtained by (20) [14]:

$$V_L = k_{L1} L_{DM} I_g^2 + k_{L2} L_{DM} + k_{L3} I_g (20)$$

 $k_{L1}$  is a constant factor describing the proportionality between the stored energy  $E_L=1/2 \cdot L_{DM} \cdot I_{g^2}$  and the inductor volume. These factors can be derived analogously to  $k_{L_1}$ ,  $k_{L2}$ , and  $k_{L3}$  from the manufacturer's data using Magnetics toroid cores [10], which is present in Table 1. So, the total volume of the two-stage symmetrical EMI filter is calculated from (21):

$$V_{tot} = 2(n_f + 1)V_L + n_f V_C \rightarrow \min$$
(21)

where  $n_f$  is the number of filter stages [10], [14], [17]. Solving (19)– (21) and (2) results in optimum filter component parameters for a certain number of filter stages  $n_f$ . To simplify the calculation analysis, (2) is simplified for two-stage EMI filter as

$$A_{n_{req}}(f) = (j2\pi f)^{2n_f} . (2L_{DM})^{n_f} . C_{DM}^{n_f}$$
 (22) Finally, 33

EMI filter components are calculated by:

$$C_{DM} = \sqrt{\frac{(n_f + 1)(k_{L1}.I_g^2 + k_{L2}).\sqrt[n]{Att_{req,DM}}}{2n_f.k_{C1}.u_g^2.(2\pi f_D)^2}}$$
(23)

305 306

307

308

309 310

> 311 312 313

314 315

316

317 318 319

320

321 322 323

324 325

326

327 328

329

330

$$L_{DM} = \sqrt{\frac{n_f \cdot k_{C1} \cdot u_g^2 \cdot \sqrt[3]{Att_{req,DM}}}{2(n_f + 1) \cdot (k_{L1} \cdot I_g^2 + k_{L2}) \cdot (2\pi f_D)^2}}$$
(24)

Finally, Figure 10 shows a flowchart demonstrating the steps to design the optimal filter volume based on the analytical EMI noise estimation approach. Further, as it is clear from Figure 8, the relation (17)-(18) does cover some unconventional phase angles that filter required attenuation minimized from the analytical method. On the other hand, there are other points for minimization filter required attenuation. The green dash line in Figure 10 shows the general method for phase shift selection based on the analytical estimation. Moreover, (17)-(18) can use instead of the general unconventional phase-shifting method to improve computation time. This flowchart is mainly applied to calculate the EMI filter component with only a few equations considering proper phase shifts with unconventional phase shift or analytical estimation. Hence, Figure 11 shows optimal DM EMI filter boxed-volume approximation, including conventional and unconventional phase shifts in Band-B based on Table 3 and Figure 10. As previously mentioned, the unconventional phase shift efficiency in a decreased EMI filter size is obtained from Figure 11. Here, two cases are presented with different phase shifts, including conventional as  $180^{\circ}$  and unconventional as  $45^{\circ}$  selected from Table 3 based on the  $f_{sw} = 37.5 \, \text{kHz}$  for two units interleaved.

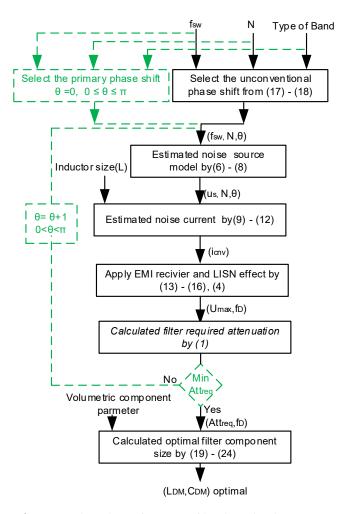
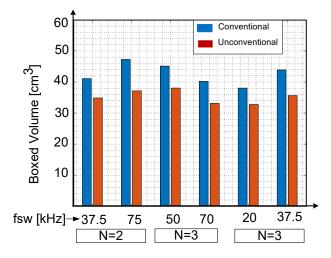
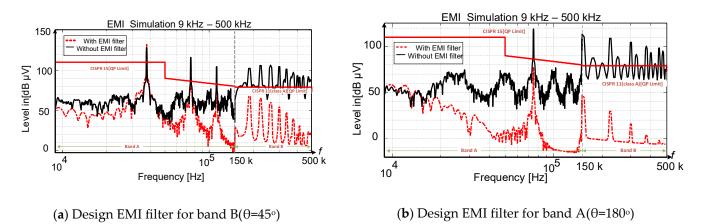


Figure 10. Flow chart of DM EMI filter boxed-volume optimization.



**Figure 11.** Optimal DM EMI filter boxed-volume approximation including conventional and unconventional phase shift in Band b based on Table 3 and flowchart in Figure 10.



**Figure 12.** EMI simulation approach for two-unit interleaved CCM at  $f_{sw}$  = 37.5 kHz based on Table 4.

**Table 4.** Band-B and A EMI Filter Design Based on PLECS Simulations for conventional (180°) and unconventional Phase Shift (45°) regarding (17) And (18). The Switching Frequency is 37.5 kHz For Two Interleaved Units

Band	Phase	Lboost	$\Delta i$ L	fp	Attreq	Lдм	Срм	$\mathbf{V}_{tot}$	Lдм	Срм
		[mH]	[%]	[kHz]	[dB]	[µH]	[nF]	[cm <sup>3</sup> ]	[cm <sup>3</sup> ]	[cm <sup>3</sup> ]
В	180°			150	41.5	40	150	41.5	6.16	2.04
	45°	4.3	22	187.5	30.4	23	90	34.86	5.32	1.48
A	180°			75	39.77	75	293	54.4	8	3.3
	45°			37.5	21.6	180	730	96.8	13.75	7.11

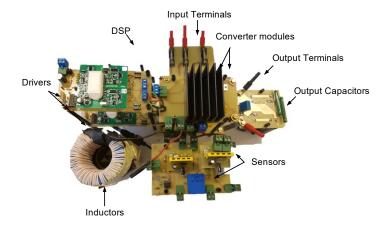
Table 4 provides the outcome of the two case studies, including the attenuation requirement and corner frequency. The phase shift of 45° compared to 180° is required for a lower filter attenuation in Band B, while a phase shift of 180° needs a higher filter attenuation. As it is clear from Table 4, the unconventional and conventional phase shifts provide many beneficiaries such as EMI filter size reduction in Band B and Band A, respectively. Notably, Figure 12 is shown the proposed flowchart optimization approach for EMI filter designing and its benefit to fulfill the EMI level under the standard limitation in designing band frequency.

### 7. Experimental Results

In order to validate the theoretical analyses, a two-unit interleaved boost PFC rectifier, depicted in Figure 1, operating in CCM is taken into account. The required data are summarized in Table 5. A laboratory setup, including EMI receiver, LISN, and the two-unit interleaved converter is considered to validate theory and design. A simplified prototype of the single-phase interleaved boost PFC converter, illustrated in Figure 13, is utilized to verify the proposed method. In addition, the simulation model is carried out in PLECS. The sampling frequency for simulations and experiments is 100 kHz. And, Figure 14 depicts the experimental waveforms of two units - interleaved through the parameters ( $f_{sw}$  = 20 kHz) and phase shift 180° given in Table 5. The first test case is a two-unit interleaved PFC with a zero-phase shift. Figure 15(a) compares the simulation and experimental results for two-unit interleaved with  $\theta = 0^{\circ}$ . The purpose of employing the phase-shifting technique is to suppress the harmonics. As there is no cancelation impact for the  $\theta$  = 0°, it can be simply used as an acceptable case scenario for filter's attenuation requirement. In the second test, a 180° phase difference between two interleaved PFC is applied which is called interleaving using a conventional phase shift. It is obvious from Figure 15(b) that the experimental results are verified via simulations by the conventional phase shift between the units. Notably, the first order of harmonics appears in  $2f_{sw}$  compared to  $\theta = 180^{\circ}$ at higher frequencies. It has many benefits on Band A, including the elimination of the odd order noises, and it is shifting the EMI filter design frequency to a higher frequency. Therefore, the filter size decreases as it occurs at a high frequency.

Table 5. Case Study Specification

Symbol	Parameter	Value	Unit
$U_8$	Grid phase voltage	230	Vrms
$f_{g}$	Grid frequency	50	Hz
L	DC link inductor	2	mH
$f_{sw}$	Switching frequency	20	kHz
$C_{dc}$	DC link capacitor	500	μF
$U_{dc}$	Output voltage	400	V
$P_o$	Output power	2	kW
arDelta Vdc,max	Capacitor voltage ripple	20	V
$\Delta i$ L,max	Inductor current ripple	20	%
$\theta$	Phase shift	0,90,180	degree (°)



**Figure 13.** Experimental prototype of two single phase interleaved boost PFC converter.

366

383

384

385

386

387

391

392

393

394

395

396

397

398

399

400

401

402

403

404

405

406

407

408

409

410

412

413

The second part of the experiments is related to the selective unconventional phase shift. As mentioned before, the 2<sup>nd</sup> order harmonics disappear when 90° is considered as a phase shift. This scenario illustrates the effect of 90° as a phase shift if the switching frequency is selected to be 75-150 kHz. Hence, the second-order harmonics appear to be above 150 kHz, and by using the 90° as a phase shift, it can remove the second harmonics, which is the first harmonic in Band B. Since the switching frequency limitation of the test setup, the effect of this phase shift is investigated at 20 kHz. On the other hand, Figure 15(c) is shown the effects of the 90° phase shift on the second harmonics cancelation. Hence, Figure 15(c) shows the unconventional phase shift impacts considering 90° as a phase shift between the units for two-unit interleaved. As the noise-emission level is quite above the standard requirements, as depicted in Figure 15, designing an appropriate EMI filter is necessary. Further, Figure 15 depicts the simplified estimated DM EMI approach for different phase shifts as the method estimates the DM EMI noise with an error lower than 1 dB in Band A and Band B. As the concentration of harmonics energy on the top of the harmonics is important, the results are just shown on the top of the harmonics' multiple order. Hence, Table 6 summarizes the comparative DM noise results for one case study having different phase shifts for comparisons. Obviously, the proposed analytical model accurately matches the experimental results, and the maximum errors in Band A and Band B are below 1 dB for all considered phase shifts. This analytical modeling approach is valid for the different phase shifts, and also it can be applied by the many interleaved parts.



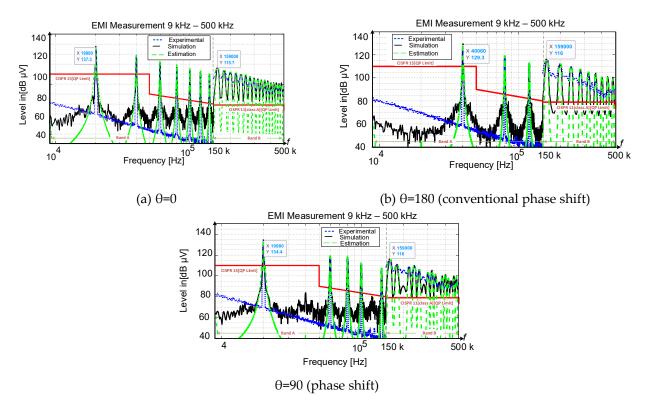
**Figure 14.** Measured waveforms of two units interleaved using parameters ( $f_{sw}$  = 20 kHz) and a phase shift of 180° given in Table 5.

Table 6. Comparative DM Noise Results for the Case Studies

$f_{sw}$ = 20 kHz (CCM Operation)										
Phase	θ:	=0	θ=	180	θ=90					
Band	A	В	A	В	A	В				
Method[dBμV]/ frequency[kHz]	20	160	40	160	20	160				
	кНz	кНz	кНz	кНz	кНz	кНz				
Estimated	137.1	115.8	129	116	133.5	115				
Simulated	136.3	115.1	128	115.3	133.3	115.2				
Experimental	137.3	115.4	129.3	115.75	134.2	115.8				
$E_{es-e}[dB]^1$	0.2	0.4	0.3	0.25	0.7	0.8				
$E_{s-e}[dB]^2$	1	0.3	1.3	0.55	1.1	0.6				

1: Error between estimated and experiment

2: Error between simulation and experiment



**Figure 15.** EMI results measurement for 2-unit interleaved boost PFC converter, including estimation-based proposed model, simulations, and experiments. Test system specification is on the basis of results given in Table 5.

8. Conclusion 417

This research studied the impact of unconventional proposed phase-shift selection on EMI filter optimization for both Band A (9-150 kHz) and Band B (>150 kHz). The results obtained in Band A revealed that the interleaved topology provided has more advantages which gives the possibility of using no filter if the switching frequency is higher than 75 kHz for two units, 50 kHz for three units, and 37.5 kHz four units. Additionally, in Band-B, the application of conventional phase-shift between the units was not effective for all switching frequency ranges. Thus, various phase-shifts (unconventional) were employed to get a higher corner frequency and smaller filter size in Band B based on the EMI estimation technique.

Notably, in order to design a DM EMI filter, the proposed technique was used to model the noise level with higher accuracy at different phase shifts. In addition, this research highlighted the benefits of using the conventional phase shifts in Band-A to suppress the odd-order harmonics in order to optimize the attenuation requirement for EMI filter design. At the end, filter volume optimization was utilized to get a minimized component size by using an analytical estimation method and selective proper phase shifts. Therefore, a general method based on the analytical equation considering phase shift was employed to make EMI filter volume optimization. Experimental results verified the EMI estimation method with different phase shifts in Band A and Band B, and their maximum errors are below 1 dB.

References

Wang, C.; Xu, M.; Lee, F.; & Lu, B. EMI Study for the Interleaved Multi-Channel PFC. IEEE Power Electronics Specialists Conference, 2007, pp. 1336-1342.

2. Nussbaumer, C; Raggl, K; and Kolar, J. -K. Design Guidelines for Interleaved Single-Phase Boost PFC Circuits. *in IEEE Transactions on Industrial Electronics*, **2009**, no.56, pp. 2559-2573.

- 3. C.I.S.P.R, Limits and methods of measurement of Radio-frequency disturbance characteristics of Industrial, scientific and medical equipment-Publication 11. *IEC Int. Special Committee on Radio Interference*, 2015, no. 11.
- 4. C.I.S.P.R., Electromagnetic compatibility Requirements for household appliances, electric tools and similar apparatus Part 1: Emission--Publication 14. *IEC Int. Special Committee on Radio Interference*. **2020**, no. 14.
- 5. C.I.S.P.R., Limits and methods of measurement of radio disturbance characteristics of electrical lighting and similar equipment Interference. *IEC Int. Special Committee on Radio Interference*, **2018**, no. 15.
- 6. Hartmann, M.; H. Ertl; & Kolar, J. W. EMI Filter Design for a 1 MHz, 10 kW Three-Phase/Level PWM Rectifier. in IEEE Transactions on Power Electronics, **2011**, no. 26, pp. 1192-1204.
- 7. C.I.S.P.R., Specification for Radio Interference Measuring Apparatus and Measurement Methods, Publication 16. IEC Int. Special Committee on Radio Interference, **2019**, no. 16.
- 8. Bishnoi, H; Baisden, A. C; Mattavelli, P. and. Boroyevich, D, Analysis of EMI Terminal Modeling of Switched Power Converters, in IEEE Transactions on Power Electronics, 2012, vol. 27, no. 9, pp. 3924-3933.
- 9. Mehri, M and Amini, A, Stochastic EMI Noise Model of PCB Layout for Circuit-Level Analysis of System in IoT Applications, in IEEE Transactions on Microwave Theory and Techniques, 2020, vol. 68, no. 12, pp. 5072-5081,
- 10. Raggl, K.; Nussbaumer, T.; & Kolar, J.K, Guideline for a Simplified Differential-Mode EMI Filter Design, in *IEEE Transactions* on *Industrial Electronics*, **2010**, no. 57, pp. 1031-1040.
- 11. Nourani Esfetanaj, N; Peyghami, S.; Wang, H.; & Davari, P. Analytical Modeling of 9-150 kHz EMI in Single-Phase PFC Converter. *IECON* 2019 45th Annual Conference of the IEEE Industrial Electronics Society, **2019**, pp. 4689-4693.
- 12. Wang, C; Xu, M.; & Lee, F. C. Asymmetrical interleaving strategy for multi-channel PFC. Twenty-Third Annual IEEE Applied Power Electronics Conference and Exposition, 2008, pp. 1409-1415.
- 13. Davari, P.; Blaabjerg, F.; Hoene, E.; & Zare, F. Improving 9-150 kHz EMI Performance of Single-Phase PFC Rectifier. *CIPS* 2018; 10th International Conference on Integrated Power Electronics Systems, **2018**, pp. 1-6.
- 14. Raggl, K; Nussbaumer, T.; & Kolar, J. W. Model based optimization of EMC input filters, 2008 11th Workshop on Control and Modeling for Power Electronics, Zurich, 2008, pp. 1-6.
- 15. Luo, H; Xu, J; He, D and Sha, J, Pulse Train Control Strategy for CCM Boost PFC Converter with Improved Dynamic Response and Unity Power Factor," *in IEEE Transactions on Industrial Electronics*, **2020**, vol. 67, no. 12, pp. 10377-10387.
- 16. Davari, P; Zare, F.; Abdelhakim, A. Active Rectifiers and Their Control, Control of Power Electronic Converters and Systems (Editor Frede Blaabjerg), Elsevier, 2018, no. 2, pp. 3-52.
- 17. Raggl. K; Nussbaumer. T; Doerig. G; Biela. J and Kolar, J. W. Comprehensive Design and Optimization of a High-Power-Density Single-Phase Boost PFC, in IEEE Transactions on Industrial Electronics, 2009, vol. 56, no. 7, pp. 2574-2587.
- 18. Holmes, D. G; Lipo, T. A, Pulse Width Modulation for Power Converters: Principles and Practice," *IEEE Press-Wiley*, 2003, pp. 744.
- 19. Sun, J. Input impedance analysis of single-phase PFC converters, *In IEEE Transactions on Power Electronics*, 2005, vol. 20, no. 2, pp. 308-314.